

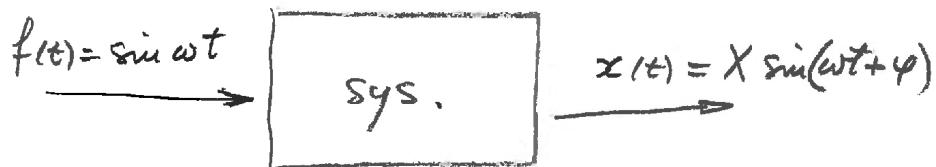
## 8 Frequency Analysis

### 8.1 Time Response Under Harmonic Excitations

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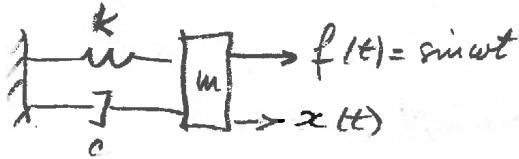
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### Time Response to harmonic excitation



One is interested in finding how the system responds to harmonic excitation of different frequency values,  $\omega = 2\pi f$ .

Ex: For a 2<sup>nd</sup> order system, one is concerned with avoiding resonance where the response may become very large.

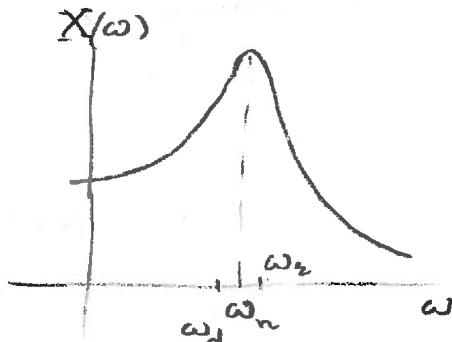


$$x(t) = X(\omega) \sin(\omega t + \varphi(\omega))$$

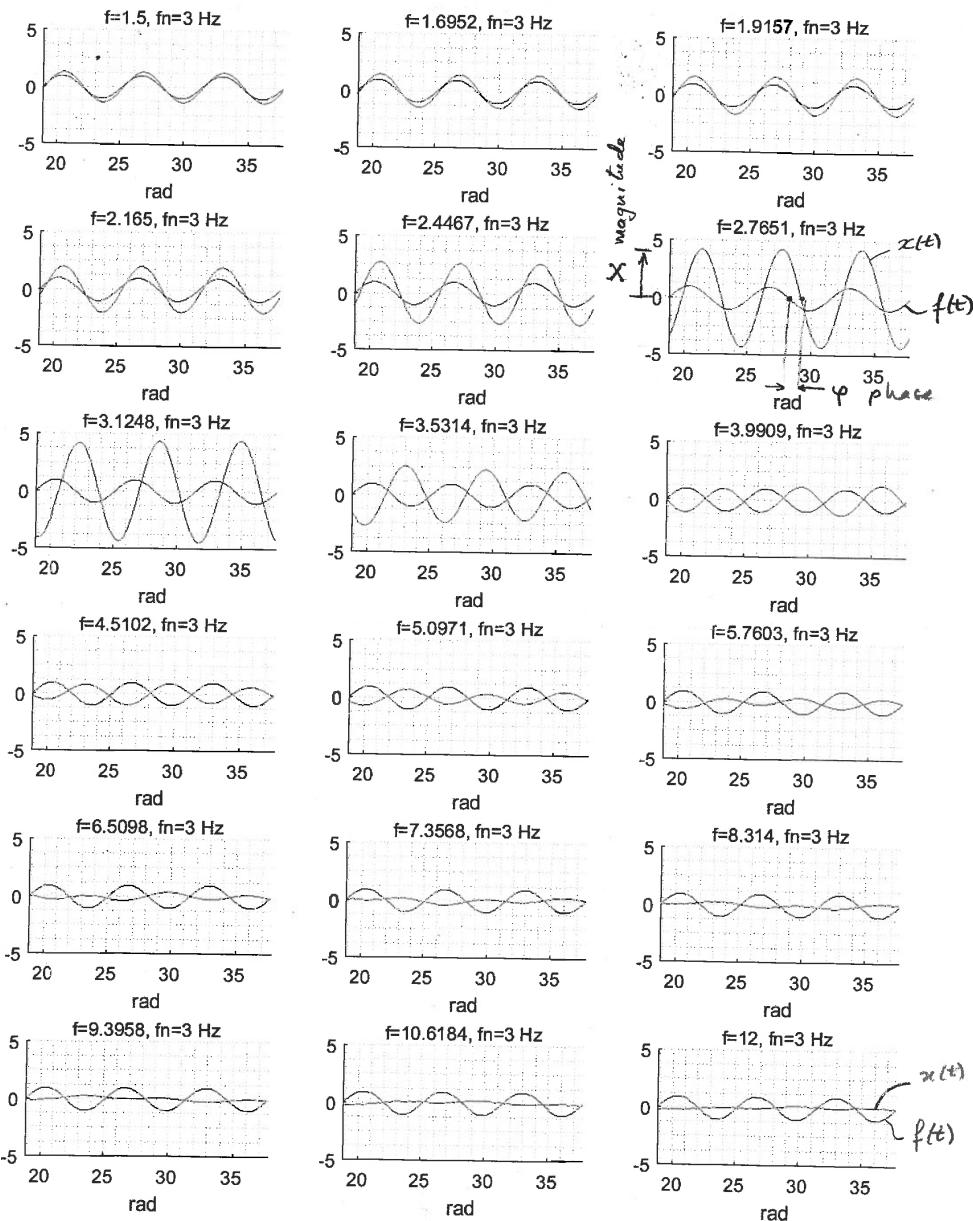
$$\zeta = \frac{c}{2\sqrt{km}}, \quad \omega_n = \sqrt{\frac{k}{m}}.$$

$$\omega_d = \omega_n \sqrt{1 - \zeta^2}$$

We notice that the amplitude and phase of the time response varies with excitation freq.  $\omega$



$$f(x) = \sin(\omega t), \quad \omega = 2\pi f \quad x(t) = 2^{\text{nd}} \text{ order system response to } f(x)$$



<sup>a</sup> F

$$f(x) = \sin \omega t$$

$$x(t) = X(\omega) \sin[\omega t + \varphi(\omega)]$$

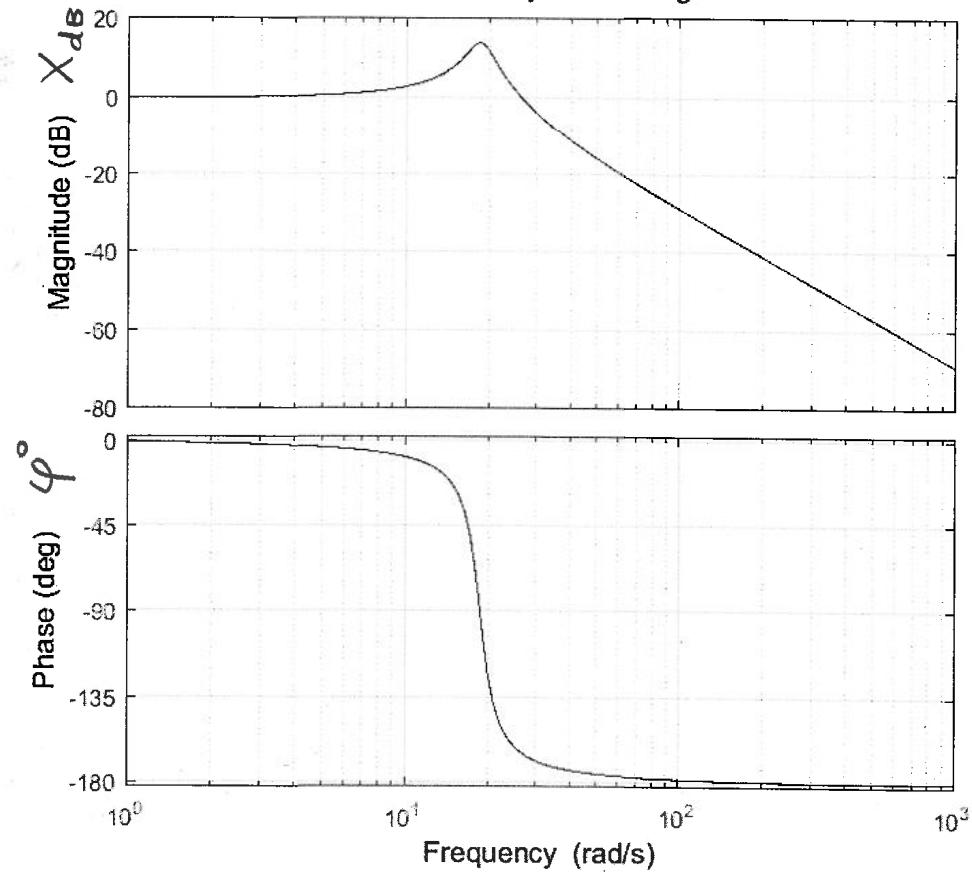
$X(\omega)$  = magnitude of response

$\varphi(\omega)$  = phase of response

Magnitude  $X$  and phase  $\varphi$  vary with excitation freq.  $\omega$ .

Bode diagram plots: variation of  $X(\omega)$  &  $\varphi(\omega)$

2nd order sys Bode diag.



Note that  $\varphi$  is -ve, i.e. the response lags behind the excitation.

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```
1 % Amplitude_and_phase_2ndOrderSys.m
2 % AMPLITUDE AND PHASE IN FREQUENCY RESPONSE
3 clc
4 clear
5 close all
6 s=tf('s');
7 % f=input('f=');
8 figure (1);
9 %% 2nd order system
10 fn=3; z=10e-2; wn=2*pi*fn; G2=wn^2/(s^2+2*z*wn*s+wn^2);
11 M=6; N=3; Nplots=M*N;
12 fmin=fn/2; fmax=fn*4;
13 a=log10(fmin); b=log10(fmax); f=logspace(a,b,Nplots);
14 %% plotting setup
15 Na=1e3; amax=10*2*pi; da=amax/Na; angle=0:da:amax;
16 xmin=0.3*Na*da; xmax=0.6*Na*da;
17 %% plot response at various frequencies
18 for i=1:Nplots
19 w=2*pi*f(i); % excitation frequency
20 t=angle/w; % time steps at this excitation freq.
21 A=1; % forcing function amplitude
22 F=A*w/(s^2+w^2); % Laplace transform of sine forcing function
23 fe=impulse(F,t); % time response of forcing function
24 X2=G2*F; % Laplace transform of 2nd order system response
25 subplot(M,N,i);
26 x2=impulse(X2,t); % time response of 2nd order system
27 plot(angle,fe,angle,x2); hold on
28 title(['f=' num2str(f(i)) ', fn=' num2str(fn) ' Hz' ],...
29 'FontSize', 10,'FontWeight','normal')
30 xlabel('rad'); xlim([xmin xmax]); ylim([-5*A 5*A]);
31 % grid on
32 grid minor; box off
```

6  
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```
33 end
34 %% FRF Bode plots
35 figure(2)
36 bode(G2); grid on; title('2nd order sys Bode diag.');
37 aw=log10(2*pi*fn/2); bw=log10(2*pi*fn*2); N=1e4; wBode=logspace(aw,bw,N);
38 figure(3)
39 bode(G2,wBode); grid on; title('zoom 2nd order sys Bode diag.');
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```

## 8.2 Frequency Response Function (FRF)

FRF

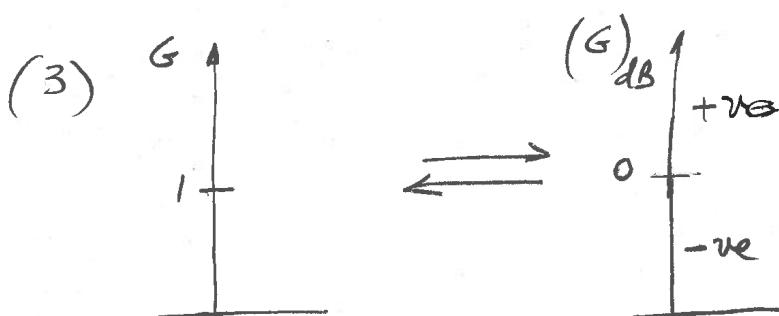
dB scaledecibell  
 $\frac{1}{10}$ dB scale is a  $\log_{10}$  scale magnified by 20

$$(G)_{dB} = 20 \log_{10} G$$

Properties

- (1) Only +ve numbers have dB value  
(cannot take log of -ve numbers!)

(2)  $(\frac{1}{G})_{dB} = -(G)_{dB}$  (reciprocal numbers)



$$G > 1$$

 $(G)_{dB}$  is +ve

$$G = 1$$

$$(G)_{dB} = 0$$

$$G < 1$$

 $(G)_{dB}$  is -ve

FRF

(4) Scale up or scale down in physical values means shift up or shift down in dB

→ (a) double (octave up) = +6 dB

(b) "half" (octave down) = -6 dB

(c) "ten times" (decade) = +20 dB

octave =  $\frac{1}{2}$ <sup>th</sup> musical note has double the frequency  $f_2 = 2f_1$

Proof

$$(a) \log_{10} 2 = 0.303 ; 20 \log_{10} 2 \approx 6$$

$$G_2 = 2G_1$$

$$\left(\frac{G_2}{dB}\right) = 20 \log_{10} (2G_1) = 20 \log_{10} 2 + 20 \log_{10} G_1$$

$$= \frac{20 \times 0.303}{\approx 6} + (G_1)_{dB}$$

>> mag 2 dB (2)

ans 6.0206

$$\left(\frac{G_2}{dB}\right) = (G_1)_{dB} + 6 dB$$

$$(b) G_2 = \frac{1}{2} G_1$$

$$\left(\frac{G_2}{dB}\right) = (G_1)_{dB} + 20 \log_{10} \left(\frac{1}{2}\right)$$

$$= (G_1)_{dB} + 20 \log_{10} 2$$

$$= (G_1)_{dB} - 6 dB$$

<sup>3</sup>  
FRF

$$(C) G_2 = 10 G_1$$

$$(G_2)_{dB} = (G_1)_{dB} + 20 \log_{10}^{=1}$$
$$= (G_1)_{dB} + 20 dB$$

FRFPhase

$$x_1(t) = \hat{x}_1 \sin \omega t \quad \text{reference signal}$$

$$x_2(t) = \hat{x}_2 \sin(\omega t + \varphi)$$

$$x_2(t) = \hat{x}_2 \sin(\omega t + \varphi)$$



$$\psi_1 = \omega t$$

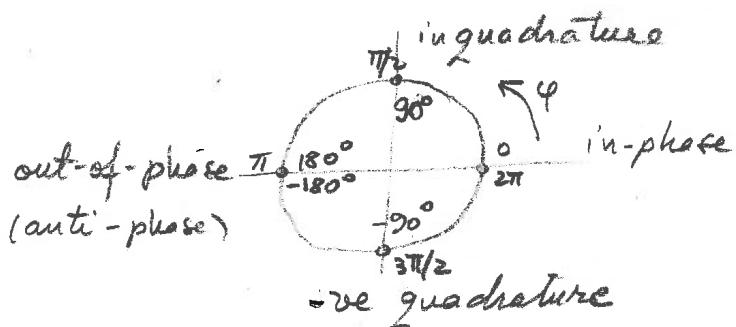
phase of  $x_1$  (reference)

$$\psi_2 = \omega t + \varphi$$

phase of  $x_2$

$$\varphi = \psi_2 - \psi_1$$

- phase difference
- $x_2$  leads by  $\varphi$ .

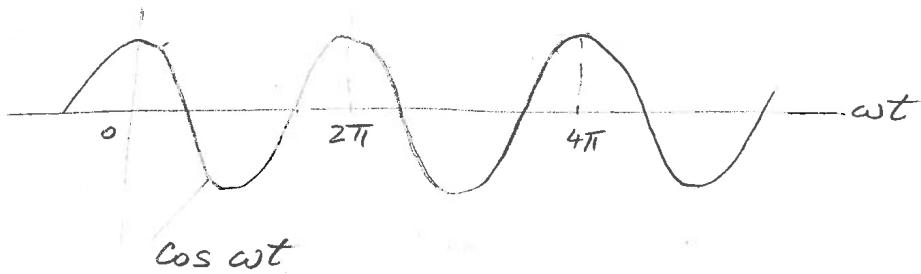
Periodic signals

$$\left\{ \begin{array}{l} 2\pi \\ 360^\circ \end{array} \right. \text{ periodicity: } \bullet x(\omega t + 2\pi) = x(\omega t)$$

$$\bullet x(\omega t + \pi) = x(\omega t - \pi)$$

5  
FRF

## COMPLEX NUMBER REPRESENTATION OF HARMONIC SIGNALS



$$e^{i\omega t} = \cos \omega t + i \sin \omega t \quad (\text{Euler})$$

$$\left\{ \begin{array}{l} x(t) = \hat{x} \cos(\omega t + \varphi) \\ x(t) = \operatorname{Re}[\hat{x} e^{i(\omega t + \varphi)}] \end{array} \right.$$

$$\left\{ \begin{array}{l} x(t) = \hat{x} \sin(\omega t + \varphi) \\ x(t) = \operatorname{Im}[\hat{x} e^{i(\omega t + \varphi)}] \end{array} \right.$$

In general  $x(t) = \hat{x} e^{i(\omega t + \varphi)}$

$$\hat{x} e^{i(\omega t + \varphi)} = \hat{x} e^{i\varphi} e^{i\omega t} = X e^{i\omega t}$$

$$X = \hat{x} e^{i\varphi} \quad (\text{phasor})$$

$$|X| = \hat{x}$$

magnitude of  $x(t)$

$$|X| = \operatorname{abs}(X)$$

$$\angle X = \varphi$$

phase of  $x(t)$

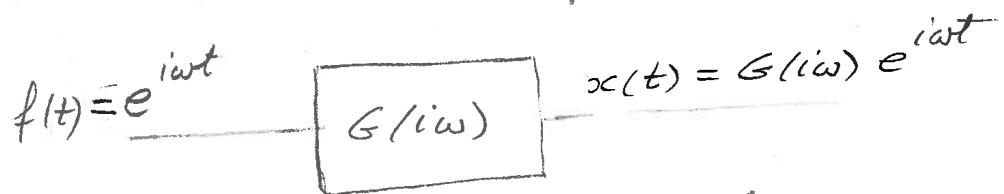
$$\angle X = \operatorname{angle}(X), \text{ rad}$$

$$\text{rad} \Leftrightarrow \text{deg}(\pi) = 180^\circ$$

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b  
FRFFrequency response function (FRF)

- $G(s)$  TF: Transfer function in Laplace domain  $s$
- $G(i\omega)$  FRF: Transfer function in frequency domain  $\omega$



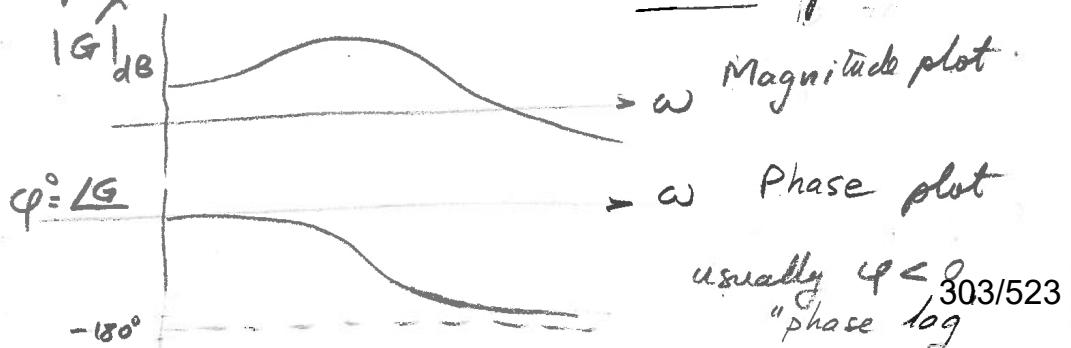
$G(i\omega)$  is a complex number

$|G(i\omega)|$  = magnitude, dB

$\angle G(i\omega)$  = phase, deg

Frequency response function (FRF) is  
 $G(i\omega)$  measured over a range of  
frequencies  $\omega$

Bode diagram



*2018/2019* Harmonic Response via Laplace Transform

$$f(t) = e^{i\omega t} \xrightarrow{\mathcal{L}} F(s) = \frac{1}{s - i\omega}$$

$$X(s) = G(s) F(s) = G(s) \frac{1}{s - i\omega} \quad (1)$$

$$\text{Assume: } G(s) = K \frac{(s - z_1)(s - z_2) \dots (s - z_m)}{(s + p_1)(s + p_2) \dots (s + p_n)} \quad (2)$$

(1) & (2) yields the PFE:

$$X(s) = \frac{a}{s - i\omega} + \frac{b_1}{s + p_1} + \frac{b_2}{s + p_2} + \dots + \frac{b_n}{s + p_n} \quad (3)$$

$$x(t) = a e^{i\omega t} + b_1 e^{-p_1 t} + b_2 e^{-p_2 t} + \dots + b_n e^{-p_n t} \quad (4)$$

↑  
steady state  
response.

Transient response  $\xrightarrow[t \rightarrow \infty]{\longrightarrow} 0$

$$x_{ss}(t) = a e^{i\omega t} \quad (5)$$

To find  $a$ , multiply (3) by  $s - i\omega$  and make

$s = i\omega$  to get:

$$\left[ (s - i\omega) X(s) \right]_{s=i\omega} = \left[ a + \left[ \frac{b_1}{s + p_1} + \frac{b_2}{s + p_2} + \dots + \frac{b_n}{s + p_n} \right] (s = i\omega) \right]_{s=i\omega} \quad (6)$$

$$a = \left[ (s - i\omega) X(s) \right]_{s=i\omega} \quad (6)$$

$$= \left[ (s + i\omega) G(s) \frac{1}{(s - i\omega)} \right]_{s=i\omega} = G(i\omega).$$

$$(6) \rightarrow (5): \quad x_{ss}(t) = \boxed{G(i\omega)} e^{i\omega t}$$

complex amplitude

$$\text{FRF} = G(i\omega) = |G(i\omega)| e^{i\varphi}, \quad \varphi = \angle G(i\omega)$$

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FR14)

## Harmonic Response via Laplace Transform

$$G(s) = \frac{1}{Ts+1} , f(t) = e^{i\omega t} \longrightarrow F(s) = \frac{1}{s-i\omega}$$

$$X(s) = G(s) F(s) = \frac{1}{Ts+1} \cdot \frac{1}{s-i\omega} = \frac{1}{T} \cdot \frac{1}{s+\frac{1}{T}} \cdot \frac{1}{s-i\omega}$$

PFE, p30, Eq<sup>v</sup>(2.6), modified:  $s_1 = -\frac{1}{T}$   $s_2 = i\omega$

$$X(s) = \frac{a_1}{s-s_1} + \frac{a_2}{s-s_2} + \dots + \frac{a_k}{s-s_k} + \dots$$

$$a_k = \left[ (s-s_k) X(s) \right]_{s=s_k}$$

$$a_1 = \left[ \cancel{\left( s + \frac{1}{T} \right)} \frac{1}{T} \frac{1}{s+\frac{1}{T}} \frac{1}{s-i\omega} \right]_{s=-\frac{1}{T}} = \frac{1}{T} \frac{1}{-\frac{1}{T}-i\omega} = -\frac{1}{i\omega T + 1}$$

$$a_2 = \left[ \cancel{(s+i\omega)} \frac{1}{T} \frac{1}{s+\frac{1}{T}} \frac{1}{s-i\omega} \right]_{s=i\omega} = \frac{1}{T} \frac{1}{i\omega + \frac{1}{T}} = \frac{1}{i\omega T + 1}$$

$$X(s) = -\frac{1}{i\omega T + 1} \cdot \frac{1}{s+\frac{1}{T}} + \frac{1}{i\omega T + 1} \cdot \frac{1}{s-i\omega}$$

$$x(t) = -\frac{1}{i\omega T + 1} e^{-t/T} + \frac{1}{i\omega T + 1} e^{i\omega t}$$

transient		steady state
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$$x_{ss}(t) = \frac{1}{i\omega T + 1} e^{i\omega t} = G(i\omega) e^{i\omega t}$$

$$FRF = G(i\omega) = |G(i\omega)| e^{i\varphi}, \varphi = \underline{|G(i\omega)|}$$

True for stable systems (i.e., transients vanish). 305/523

FR15

Harmonic response via Laplace Transform  
for sine excitation

$$G(s) = \frac{1}{Ts+1} \quad \rightarrow f(t) = \sin \omega t \rightarrow F(s) = \frac{\omega}{s^2 + \omega^2}$$

$$X(s) = G(s) F(s) = \frac{1}{Ts+1} \cdot \frac{\omega}{s^2 + \omega^2} = \frac{1}{T} \frac{1}{s + \frac{1}{T}} \cdot \frac{\omega}{(s - i\omega)(s + i\omega)}$$

Poles:  $s_1 = -\frac{1}{T}$   $s_{2,3} = \pm i\omega$

PFE, p.30, Eq. (2.6), modified:

$$X(s) = \frac{a_1}{s - s_1} + \frac{a_2}{s - s_2} + \dots + \frac{a_k}{s - s_k} + \dots$$

$$a_k = \left[ (s - s_k) X(s) \right]_{s=s_k}$$

$$X(s) = \frac{a_1}{s + \frac{1}{T}} + \frac{a_2}{s - i\omega} + \frac{a_3}{s + i\omega}$$

$$a_1 = (s + \frac{1}{T}) G(s) \Big|_{s=s_1} = (s + \frac{1}{T}) \frac{\frac{1}{T}}{\cancel{s + \frac{1}{T}}} \frac{\omega}{s^2 + \omega^2} \Big|_{s=-\frac{1}{T}}$$

$$a_1 = \frac{1}{T} \frac{\omega}{\frac{1}{T^2} + \omega^2} = \frac{\omega T}{\omega^2 T^2 + 1}$$

$$a_2 = (s - i\omega) G(s) \Big|_{s=i\omega} = (s - i\omega) \frac{1}{Ts+1} \frac{\omega}{(s - i\omega)(s + i\omega)} \Big|_{s=i\omega}$$

$$a_2 = \frac{1}{i\omega T + 1} \cdot \frac{\omega}{i\omega + i\omega} = \frac{1}{i\omega T + 1} \cdot \frac{1}{2i} = G(i\omega) \frac{1}{2i}$$

FR16

$$a_3 = (s + i\omega) G(s) \Big|_{s=-i\omega} = (s+i\omega) \frac{1}{T_s+1} \frac{\omega}{(s-i\omega)(s+i\omega)} \Big|_{s=-i\omega}$$

$$= \frac{1}{-i\omega T+1} \cdot \frac{1}{-2i} = -G(-i\omega) \frac{1}{2i}$$

$$X(s) = \frac{\omega T}{\omega^2 T^2 + 1} \frac{1}{s + \frac{1}{T}} + \frac{1}{2i} \left[ \frac{G(i\omega)}{s - i\omega} - \frac{G(-i\omega)}{s + i\omega} \right]$$

— transient — | — steady state — |

$$x(t) = \frac{\omega T}{\omega^2 T^2 + 1} e^{-t/T} + \frac{1}{2i} \left[ G(i\omega) e^{i\omega t} - G(-i\omega) e^{-i\omega t} \right]$$

But  $G(i\omega) = |G(i\omega)| e^{i\varphi}$ ,  $\varphi = \angle G(i\omega)$

$$G(-i\omega) = |G(i\omega)| e^{-i\varphi}$$

Hence

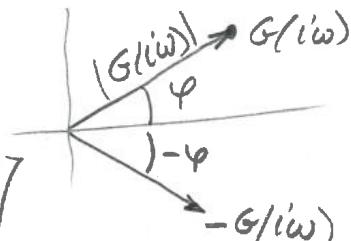
$$x_{ss}(t) = \frac{1}{2i} \left[ G(i\omega) e^{i\omega t} - G(-i\omega) e^{-i\omega t} \right]$$

$$= \frac{1}{2i} |G(i\omega)| \left( e^{i\varphi} e^{i\omega t} - e^{-i\varphi} e^{-i\omega t} \right), \quad \varphi = \angle G(i\omega)$$

$$= |G(i\omega)| \frac{e^{i\varphi} - e^{-i\varphi}}{2i}, \quad \varphi = \omega t + \varphi$$

$$= |G(i\omega)| \sin \varphi$$

$$x_{ss}(t) = |G(i\omega)| \sin(\omega t + \varphi), \quad \varphi = \angle G(i\omega)$$



FRITLemmas:

If  $G(s)$  is polynomial (or fraction of)

and  $G(i\omega) = |G(i\omega)| e^{i\varphi}$

Then  $G(-i\omega) = |G(i\omega)| e^{-i\varphi}$

Proof

$$(a) \quad G(s) = s + a$$

$$G(i\omega) = i\omega + a = \sqrt{a^2 + \omega^2} e^{i\varphi}, \quad \varphi = \tan^{-1} \frac{\omega}{a}$$

$$G(-i\omega) = -i\omega + a = \sqrt{a^2 + \omega^2} e^{i\varphi^*}, \quad \varphi^* = \tan^{-1} \frac{-\omega}{a} = -\varphi$$

$$(b) \quad G(s) = (s + a_1)(s + a_2)$$

$$G(i\omega) = (i\omega + a_1)(i\omega + a_2)$$

$$= \sqrt{a_1^2 + \omega^2} \sqrt{a_2^2 + \omega^2} e^{i\varphi_1} e^{i\varphi_2}, \quad \varphi_1 = \tan^{-1} \frac{\omega}{a_1}$$

$$\varphi_2 = \tan^{-1} \frac{\omega}{a_2}$$

$$G(-i\omega) = (-i\omega + a_1)(-i\omega + a_2)$$

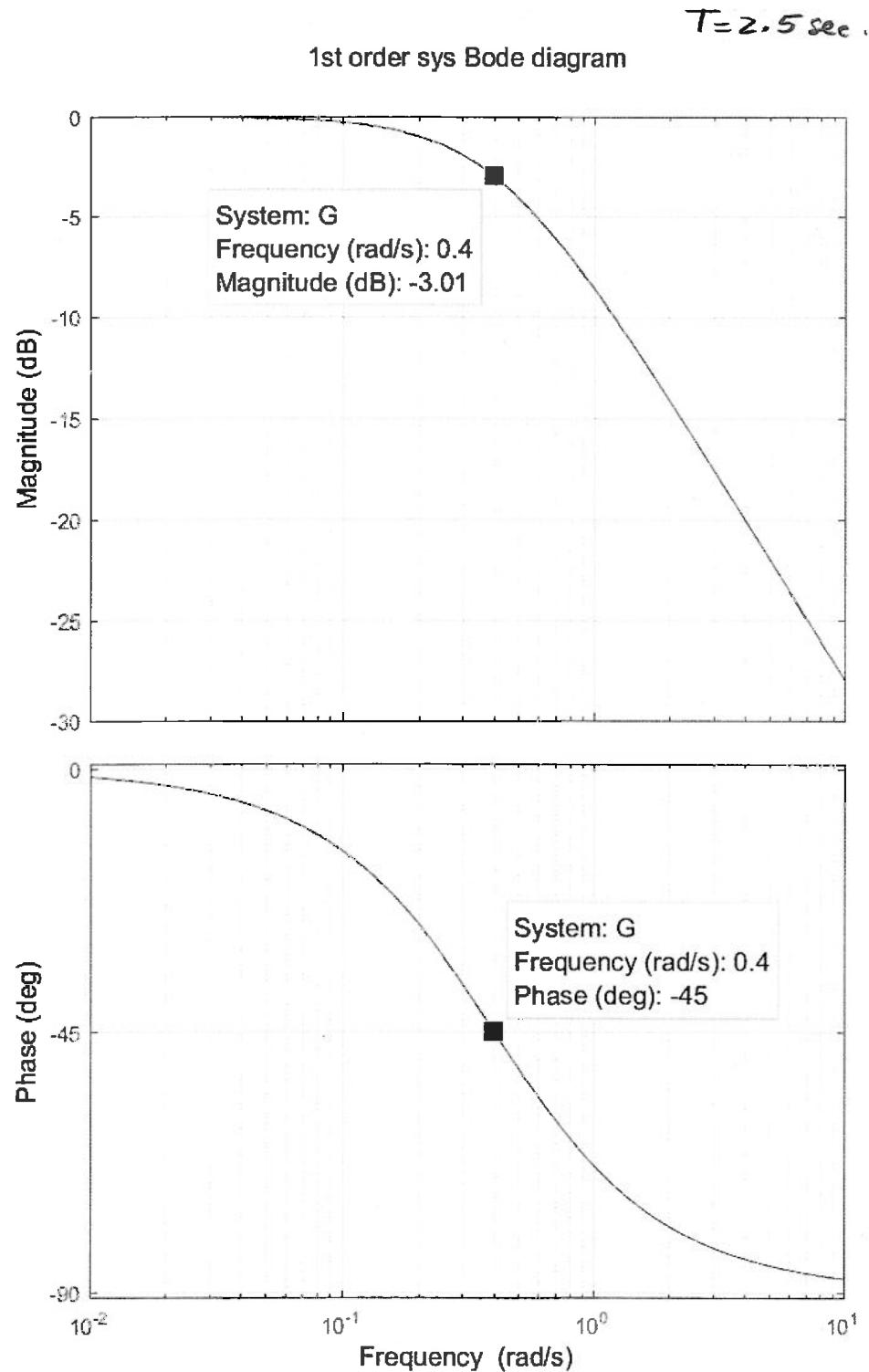
$$= \sqrt{a_1^2 + \omega^2} \sqrt{a_2^2 + \omega^2} e^{i\varphi_1^*} e^{i\varphi_2^*}$$

$$\varphi_1^* = \tan^{-1} \frac{-\omega}{a_1} = -\varphi_1$$

$$\varphi_2^* = \tan^{-1} \frac{-\omega}{a_2} = -\varphi_2$$

etc.

1<sup>st</sup> order system FRF



### 1<sup>st</sup> Order System FRF

$$G(s) = \frac{1}{Ts+1} \quad \text{transfer function (TF)}$$

$$G(i\omega) = \frac{1}{i\omega T + 1} \quad \text{frequency response function (FRF)}$$

Asymptotes

define  $\omega_c = 1/T$

Low freq. asymptote  $\omega \ll \omega_c$   $G(i\omega) \rightarrow G_{LF}$   
 $\omega T \ll 1$

$$G(i\omega) = \frac{1}{i\omega T + 1} \xrightarrow{\omega T \ll 1} \frac{1}{1} = 1$$

$$\therefore G_{LF}(i\omega) = 1, |G_{LF}|_{dB} = 0 \quad \angle G_{LF} = 0^\circ$$

High freq. asymptote  $\omega > \omega_c$   $\omega T \gg 1$   $G(i\omega) \rightarrow G_{HF}$

$$G(i\omega) = \frac{1}{i\omega T + 1} \xrightarrow{\omega T \gg 1} \frac{1}{i\omega T}$$

$$\therefore G_{HF}(i\omega) = \frac{1}{i\omega T} = \frac{1}{\omega T} e^{-i\frac{\pi}{2}}$$

$$|G_{HF}| = \frac{1}{\omega T} \quad \angle G_{HF} = -\frac{\pi}{2} = -90^\circ$$

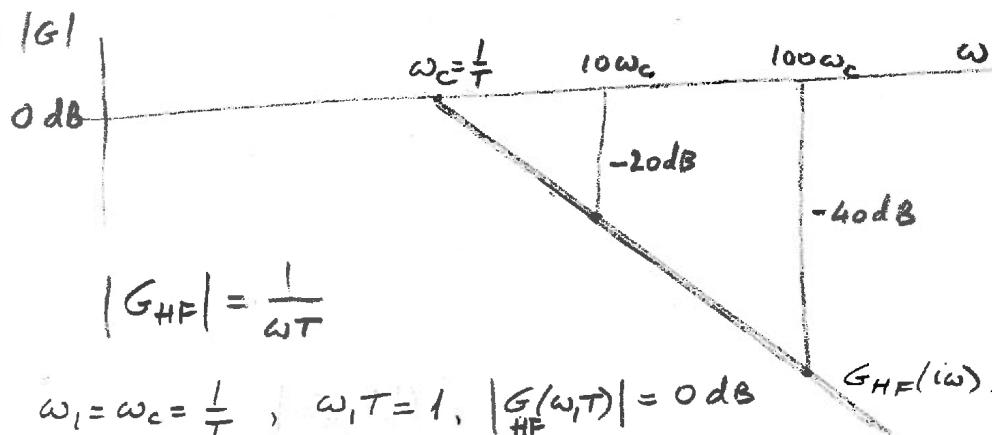
Intersection of  $G_{LF}$  &  $G_{HF}$

$$1 = \frac{1}{\omega_c T} \quad \omega_c = \frac{1}{T} \quad \begin{array}{l} \text{cutoff frequency} \\ \text{decay starts at } \omega_c \end{array}$$

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<sup>2</sup>  
<sup>3</sup>  
Slope of  $G_{HF}$

$$\left| \frac{G_{HF}}{dB} \right| = -20 \log_{10}(\omega T)$$



$$\left| G_{HF} \right| = \frac{1}{\omega T}$$

$$\omega_1 = \omega_c = \frac{1}{T}, \quad \omega_1 T = 1, \quad \left| G_{HF}(\omega_1 T) \right| = 0 \text{ dB}$$

$$\omega_2 = 10\omega_c, \quad \omega_2 T = 10, \quad \left| G_{HF}(\omega_2 T) \right| = \frac{1}{10} = -20 \text{ dB}$$

$$\omega_3 = 100\omega_c, \quad \omega_3 T = 100, \quad \left| G_{HF}(\omega_3 T) \right| = \frac{1}{100} = -40 \text{ dB}$$

$|G_{HF}|$  drops  $-20 \text{ dB/decade}$

For octave, take  $\omega_c, 2\omega_c, 4\omega_c$

$$\omega_1 \quad \omega_2 \quad \omega_3$$

$$1 \quad \frac{1}{2} \quad \frac{1}{4}$$

$$0 \text{ dB} \quad -6 \text{ dB} \quad -12 \text{ dB}$$

$|G_{HF}|$  drops  $-6 \text{ dB/octave}$

3

Exact amplitude and phase at  $\omega_c$ 

$$\omega_c = \frac{1}{T}$$

$$G(i\omega_c) = \frac{1}{i\omega_c T + 1} = \frac{1}{1+i} = \frac{1}{\sqrt{2}} e^{-i\frac{\pi}{4}}$$

$$|G(i\omega_c)| = \frac{1}{\sqrt{2}} = -3 \text{ dB}$$

mag2dB(1/sqrt(2))

3.0103

$$\angle G(i\omega_c) = -\frac{\pi}{4} = -45^\circ$$

Error of using asymptotesMaximum error occurs at  $\omega_c$ 

Appox. value = 0 dB

Exact value = -3 dB

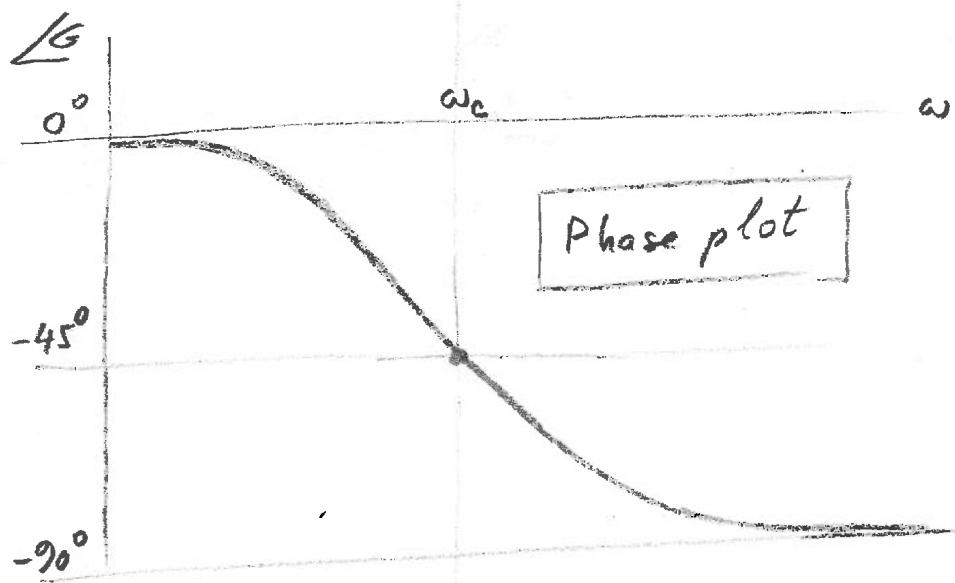
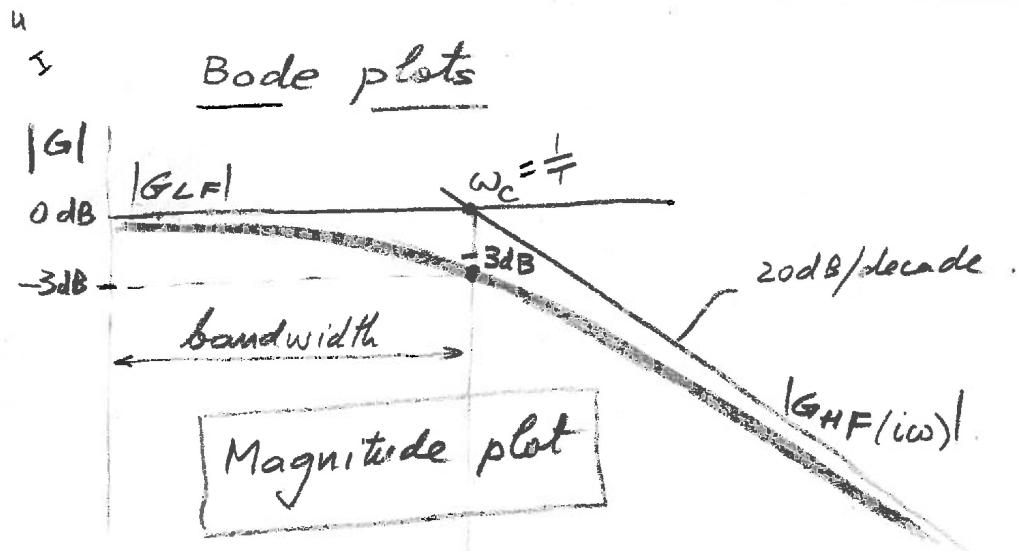
Error = 3 dB

Bandwidth  $\omega_B$ 

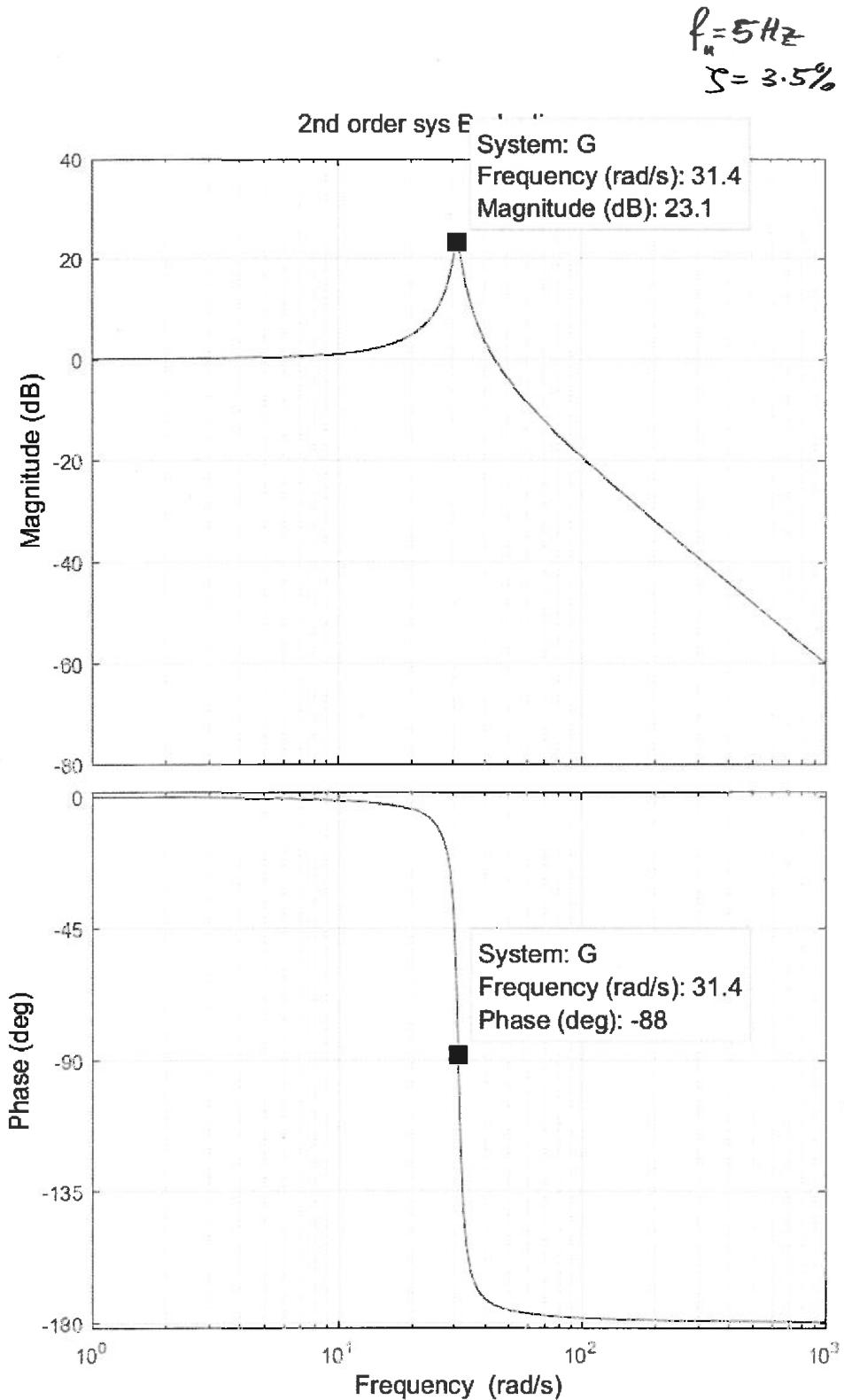
Bandwidth is defined as the frequency below which signal does not decrease more than 3 dB

For 1st order sys,  $\omega_B = \omega_c = \frac{1}{T}$ 

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2<sup>nd</sup> order system FRF



## 2<sup>nd</sup> order syst. FRF

$$G(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$

$$G(i\omega) = \frac{\omega_n^2}{-\omega^2 + 2\zeta\omega_n\omega + \omega_n^2}$$

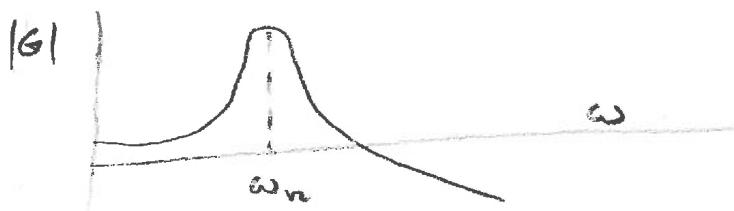
$$= \frac{\omega_n^2}{(-\omega^2 + \omega_n^2) + i2\zeta\omega_n\omega}$$

Phase resonance:  $\omega = \omega_n$  (natural freq.)

$$G(i\omega_n) = \frac{\omega_n^2}{(-\omega_n^2 + \omega_n^2) + i2\zeta\omega_n\omega_n} = \frac{1}{i2\zeta}$$

$$|G(i\omega_n)| = \frac{1}{2\zeta}$$

$$\angle G(i\omega_n) = \angle \frac{1}{i2\zeta} = -90^\circ$$



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$\frac{V}{I}$ LF asymptote

$$G(i\omega) \xrightarrow{\omega \ll \omega_n} G_{LF}(i\omega)$$

$$G(i\omega) = \frac{\omega_n^2}{(-\omega^2 + \omega_n^2) + i2\zeta\omega\omega_n} \xrightarrow{\omega \ll \omega_n} \frac{\omega_n^2}{\omega^2} = 1$$

$$G_{LF}(i\omega) = 1 \quad |G_{LF}|_{dB} = 0 \text{ dB}$$

$$\angle G_{LF} = 0^\circ$$

HF asymptote

$$G(i\omega) \xrightarrow{\omega \gg \omega_n} G_{HF}(i\omega)$$

$$G(i\omega) = \frac{\omega_n^2}{(-\omega^2 + \omega_n^2) + i2\zeta\omega\omega_n} \xrightarrow{\omega \gg \omega_n} -\frac{\omega_n^2}{\omega^2}$$

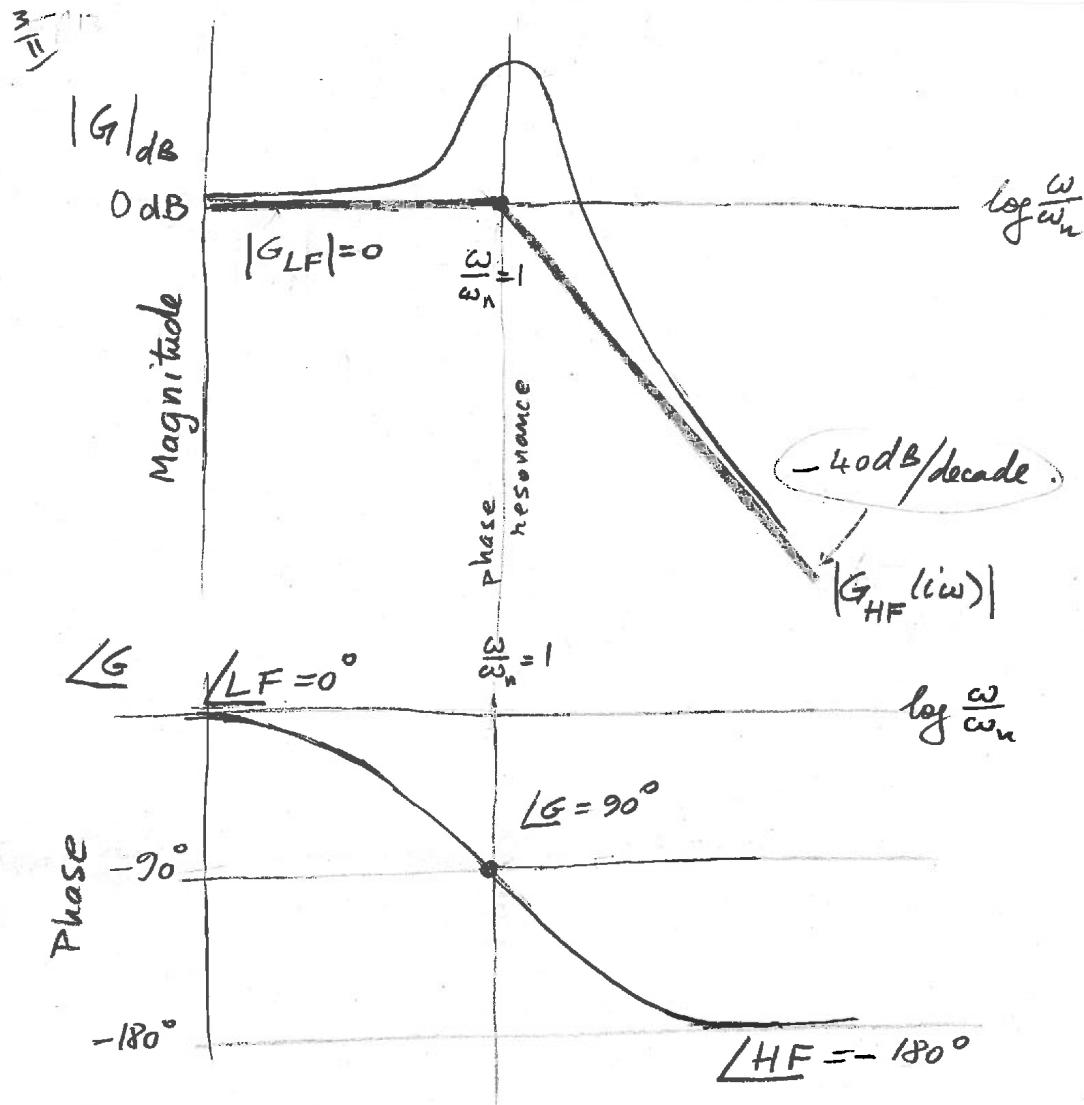
$$G_{HF}(i\omega) = -\frac{\omega_n^2}{\omega^2} = \frac{\omega_n^2}{\omega^2} e^{-i\pi}$$

$$|G_{HF}(i\omega)| = \frac{\omega_n^2}{\omega^2} = \left(\frac{\omega_n}{\omega}\right)^2 = 1/\left(\frac{\omega}{\omega_n}\right)^2$$

$$|G_{HF}(i\omega)|_{dB} = -40 \log_{10} \left(\frac{\omega}{\omega_n}\right)$$

$$\omega_2 = 10\omega_1 \\ \omega_2/\omega_1 = 10; \log_{10} \omega_2/\omega_1 = 1 \quad "40 \text{ dB/decade}"$$

$$\angle G_{HF}(i\omega) = -\pi = -180^\circ$$



Phase

$$\angle G = -\tan^{-1} \left( \frac{2\zeta\omega\omega_n}{-\omega^2 + \omega_n^2} \right) = -\tan^{-1} \frac{2\zeta \frac{\omega}{\omega_n}}{1 - \frac{\omega^2}{\omega_n^2}}$$

$$\frac{\omega}{\omega_n} \ll 1, \quad \angle G = 0^\circ \quad (\text{LF})$$

$$\frac{\omega}{\omega_n} = 1, \quad \angle G = -90^\circ \quad \text{'phase resonance'}. \\ (\tan(\infty) = 90^\circ)$$

$$\frac{\omega}{\omega_n} \gg 1, \quad \angle G = -180^\circ \quad (\text{HF})$$

$\frac{1}{\omega}$ 

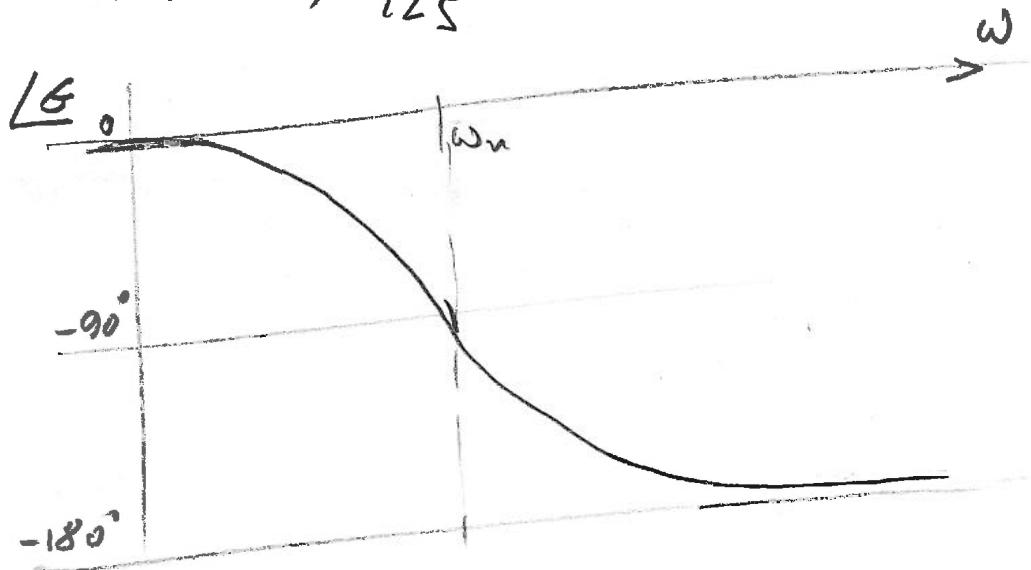
Phase diagram.

$$G(i\omega) = \frac{\omega_n^2}{(-\omega^2 + \omega_n^2) + i2\zeta\omega\omega_n}$$

$$G_{LF}(\omega) = 1 \quad \angle 1 = 0^\circ$$

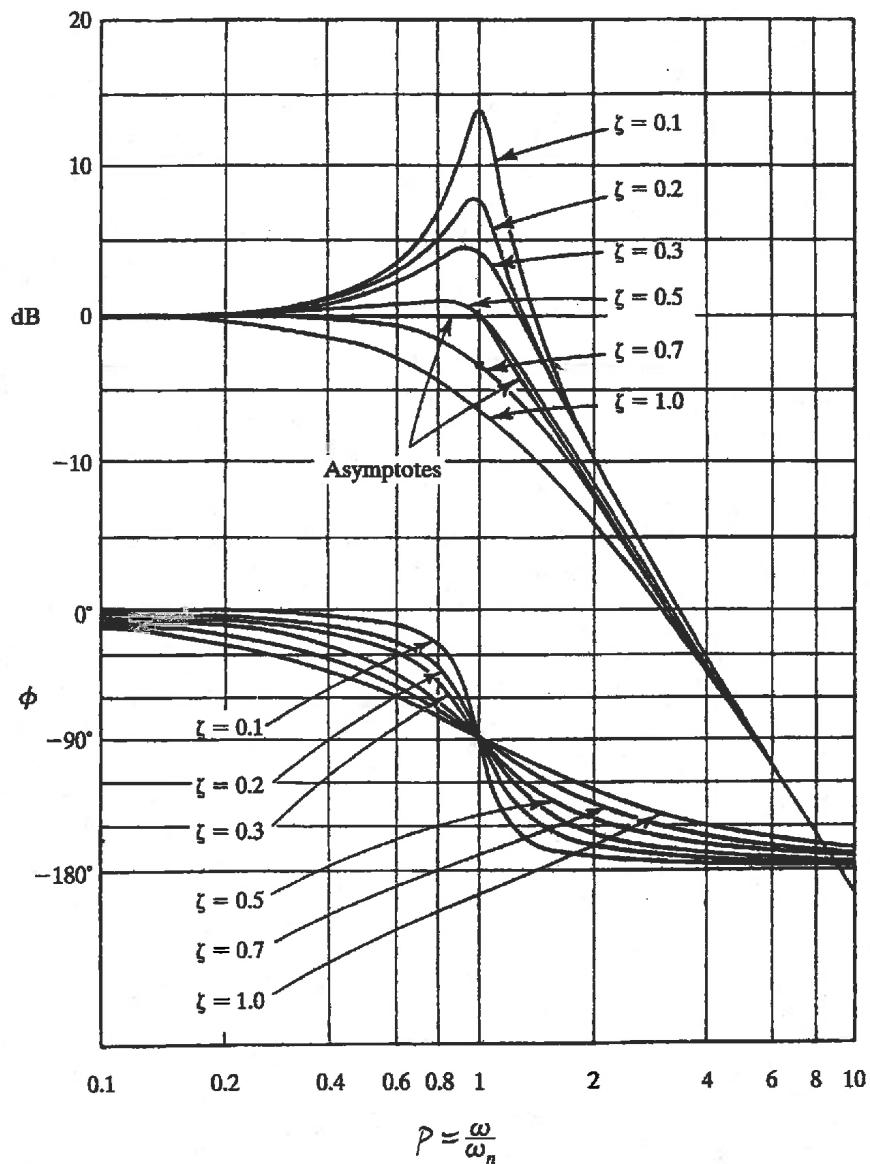
$$G_{HF}(\omega) = -\frac{\omega_n^2}{\omega^2} \quad \angle -1 = -180^\circ$$

$$G(\omega = \omega_n) = \frac{1}{i2\zeta} \quad \angle \frac{1}{i} = -90^\circ$$



4 a  
II

### Bode Diagram Representation of the Frequency Response



Log-magnitude curves together with the asymptotes and phase-angle curves of the quadratic sinusoidal transfer function

MATLAB FD2

### 8.3 Performance Indicators in Frequency Domains

PIF

Generic Performance  
Indicators in Freq. Domain

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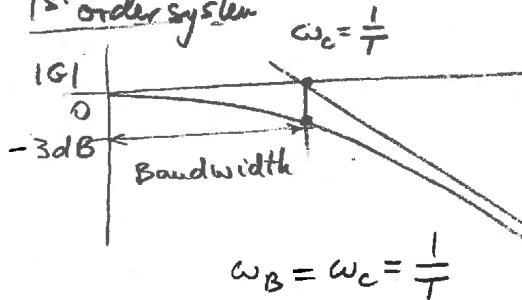
PF

### Generic Performance Indicators in Freq. Domain

Bandwidth and cutoff frequency,  $\omega_B$

$$|G(\omega_B)| = G(0) - 3\text{dB} \quad \text{has declined 3dB below LF value}$$

1<sup>st</sup> order system

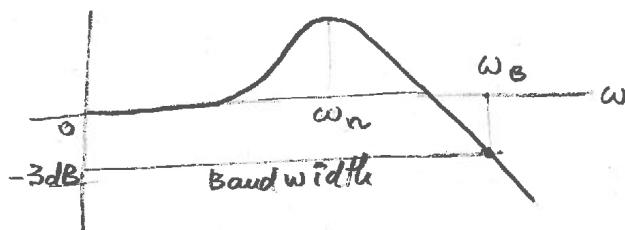


$$G(s) = \frac{1}{Ts + 1}$$

$$\omega_B = \omega_c = \frac{1}{T}$$

2<sup>nd</sup> order system

$$G(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$

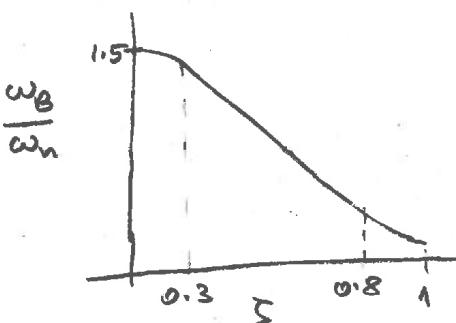


$$|G(j\omega)|_{dB} = -20 \log_{10} \sqrt{(1 - \frac{\omega^2}{\omega_n^2})^2 + (2\zeta \frac{\omega}{\omega_n})^2} = -3\text{dB}$$

$\omega_B$  is determined graphically or solved numerically

$$\frac{\omega_B}{\omega_n} \approx -1.19\zeta + 1.85$$

$$0.3 < \zeta < 0.8$$



$\omega_B \uparrow$  as  $\zeta \downarrow$

<sup>3</sup><sub>PIF</sub> Ex. 11.2

Given: two systems:

$$G_1 = \frac{1}{s+1} ; G_2 = \frac{1}{3s+1}$$

1st order system performance

- Find: (a) bandwidth  
 (b) frequency response  
 (c) step response  
 (d) ramp response  
 (e) discuss results

Solution:  $G_1(i\omega) = \frac{1}{i\omega+1} = \frac{1}{i\omega T_1 + 1} \rightarrow T_1 = 1$

$$G_2(i\omega) = \frac{1}{3i\omega+1} = \frac{1}{i\omega T_2 + 1} \rightarrow T_2 = 3$$

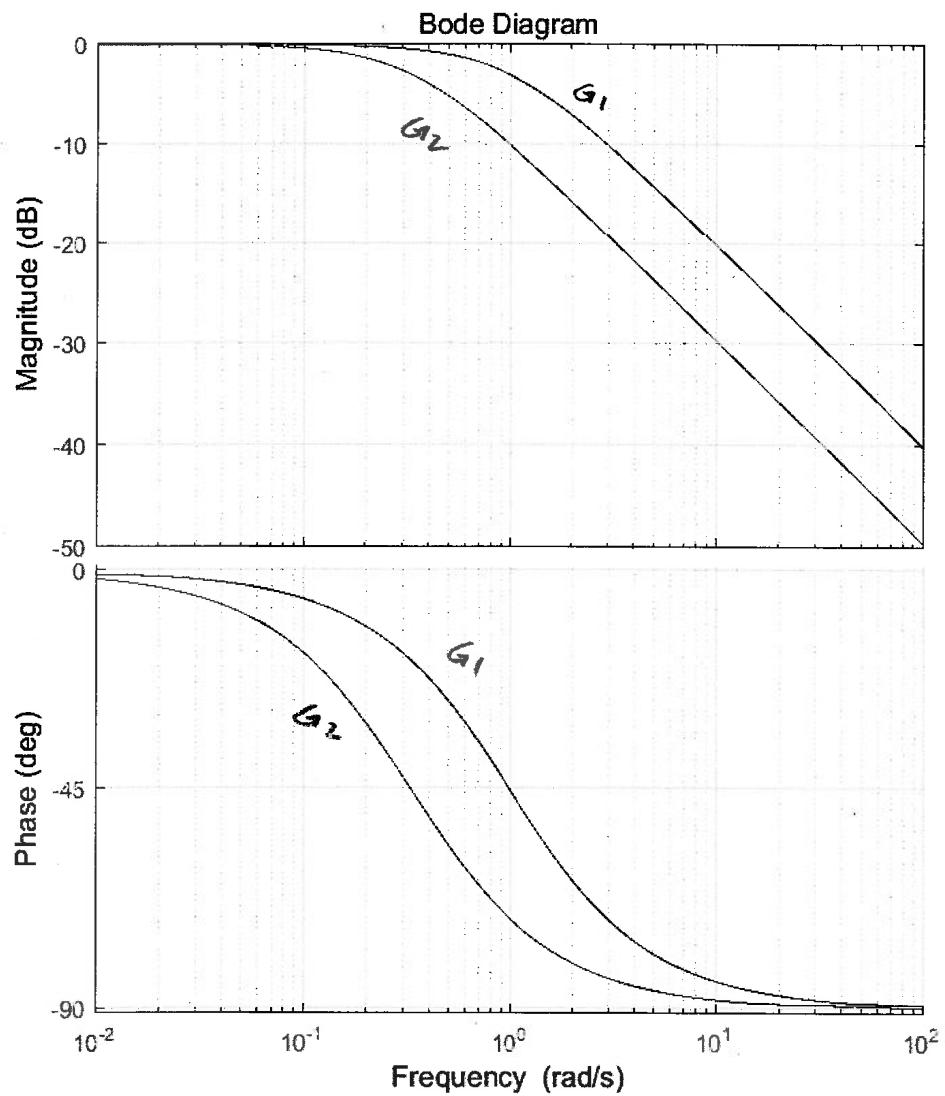
(a)  $\omega_B = \frac{1}{T} \quad \omega_1^B = \frac{1}{1} = 1 \text{ rad/sec} \quad \omega_2^B = \frac{1}{3} \text{ rad/sec}$

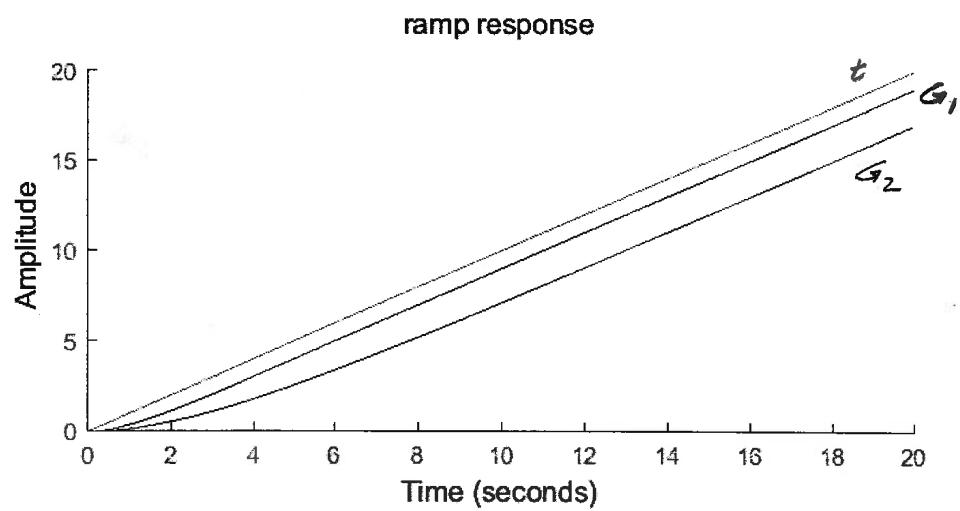
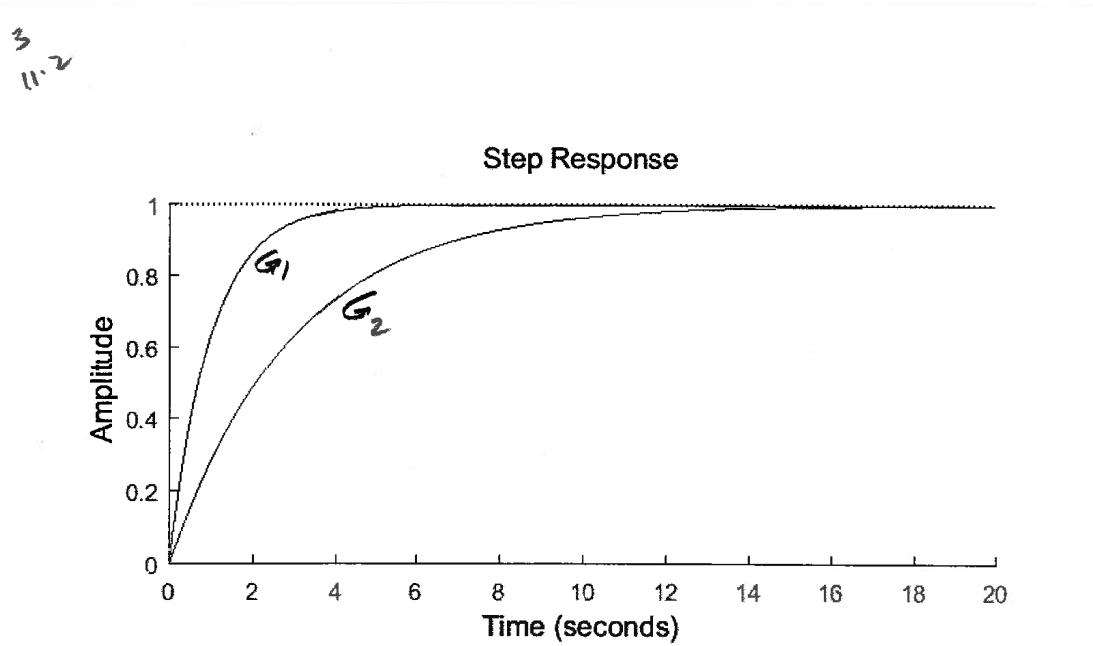
(b), (c), (d): see MATLAB Ex. 11.2

(e) System 1 has bandwidth three times larger than system 2 ( $\omega_1 = 1$  vs.  $\omega_2 = 1/3 \text{ rad/sec}$ ).

Sys. 1 has faster step response and follows the ramp input much better / smaller ramp error)

4  
PIF





QIF

C:\Mydata\1 USC...\Example11\_2\_p628 20161216.m Page 1

```
1 %
2 EXAMiPLE 11.2
3 1st Order System analysis
4 %
5 %% initialization
6 clc
7 clear all
8 % close all
9 s=tf('s');
10 %% system definition
11 G1=1/(s+1); G2=1/(3*s+1);
12 %% Bode plots
13 figure(1)
14 bode(G1,G2)
15 box off
16 grid on
17 %% time response
18 figure(2)
19 Tfinal=20;
20 dt=0.1; t=0:dt:Tfinal; u=1.*t;
21 subplot(2,1,1)
22 step(G1,G2,Tfinal)
23 box off
24 subplot(2,1,2)
25 lsim(G1,G2,u,t)
26 axis([0 Tfinal 0 Tfinal]); title( 'ramp response')
27 box off
28
29
30
31
32
```

S1F

Ex: 2<sup>nd</sup> order sys. Performance

$$G(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$

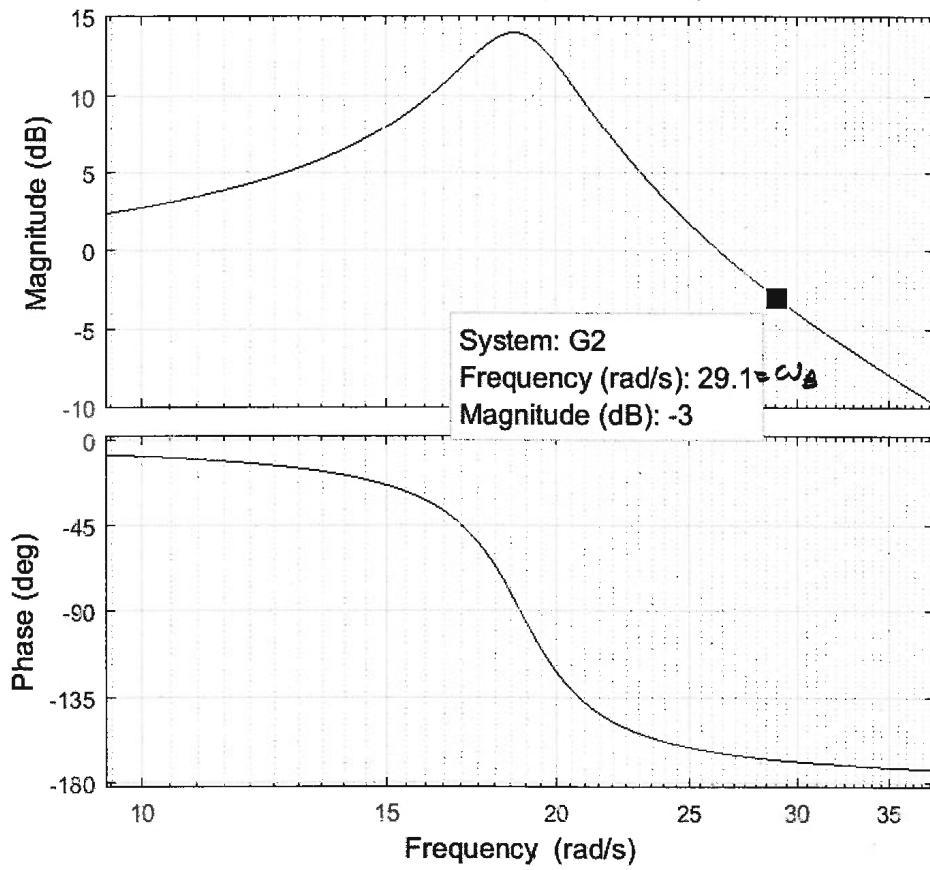
$$\omega_n = 2\pi f_n$$

$$f_n = 3 \text{ Hz}$$

$$\zeta = 10\%$$

$$\omega_B = 29.1 \text{ rad/s}$$

zoom 2nd order sys Bode diag.



7  
PIF

Specific Performance Indicators  
in Freq. Domain for  
2<sup>nd</sup> Order Systems

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8  
PIF

Resonance: peak response  
max. response

$$|G(i\omega)|^2 = \frac{1}{(1 - \frac{\omega^2}{\omega_n^2})^2 + (2\zeta \frac{\omega}{\omega_n})^2}$$

$$\frac{d}{d\omega} |G(i\omega)|^2 = 0 \text{ (for peak)}$$



$$\frac{d}{d\omega} \left[ (1 - \frac{\omega^2}{\omega_n^2})^2 + (2\zeta \frac{\omega}{\omega_n})^2 \right] = 0.$$

use auxiliary variable  $p = \frac{\omega}{\omega_n}$  and write

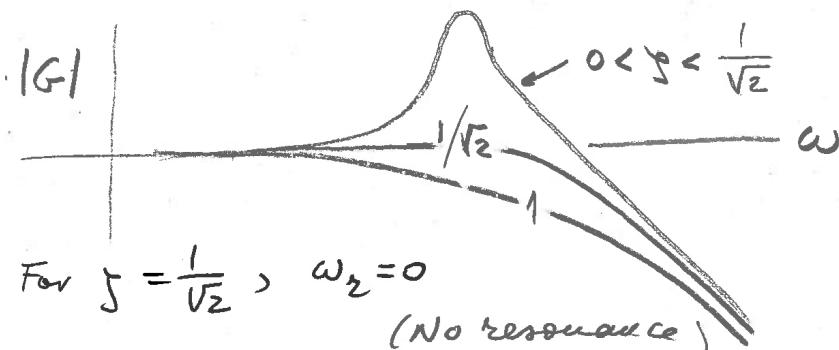
$$\frac{d}{dp} \left[ (1-p^2)^2 + (2\zeta p)^2 \right] = 0.$$

$$2(-2p)(1-p^2) + 2(2\zeta)(2\zeta p) = 0.$$

$$(1-p^2)p' = 2\zeta^2 p$$

$$p^2 = 1 - 2\zeta^2 \rightarrow p_2 = \sqrt{1 - 2\zeta^2}$$

$$\omega_2 = \omega_n \sqrt{1 - 2\zeta^2}$$



Peak exist only for  $0 < \zeta < \frac{1}{\sqrt{2}}$

Amplitude at resonance

$$M_2 = |G(i\omega_2)| = \frac{1}{[1 - (1 - 2\zeta^2)]^2 + (2\zeta)^2(1 - 2\zeta^2)}$$

$$= \frac{1}{(1 - 1 + 2\zeta^2)^2 + 4\zeta^2(1 - 2\zeta^2)}$$

$$= \frac{1}{4\zeta^4 + 4\zeta^2 - 8\zeta^4} = \frac{1}{4\zeta^2(1 - \zeta^2)}$$

$$M_2 = \frac{1}{2\zeta\sqrt{1 - \zeta^2}}$$

$\zeta = \frac{1}{\sqrt{2}}$ 

$$M_2 = \frac{1}{2 \cdot \frac{1}{\sqrt{2}} \sqrt{1 - \frac{1}{2}}} = 1$$

Phase at resonance,  $\varphi_2$ 

$$G(i\omega) = \frac{\omega_n^2}{(i\omega)^2 + 2i\zeta\omega\omega_n + \omega_n^2} = \frac{1}{(1 - \zeta^2) + 2i\zeta\zeta}$$

$$\zeta = \sqrt{1 - 2\zeta^2}, \quad 1 - \zeta^2 = 1 - (1 - 2\zeta^2) = 2\zeta^2$$

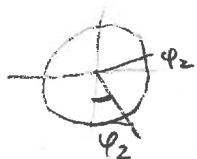
$$G(i\omega_2) = \frac{1}{2\zeta^2 + 2i\zeta\sqrt{1 - 2\zeta^2}}$$

$$\varphi_2 = \angle G(i\omega_2) = -\tan^{-1} \frac{\sqrt{1 - 2\zeta^2}}{\zeta}$$

$$\varphi_2 = -90^\circ + \left( \sin^{-1} \frac{\zeta}{\sqrt{1 - \zeta^2}} \right) = -90^\circ + \varphi_2$$

Proof

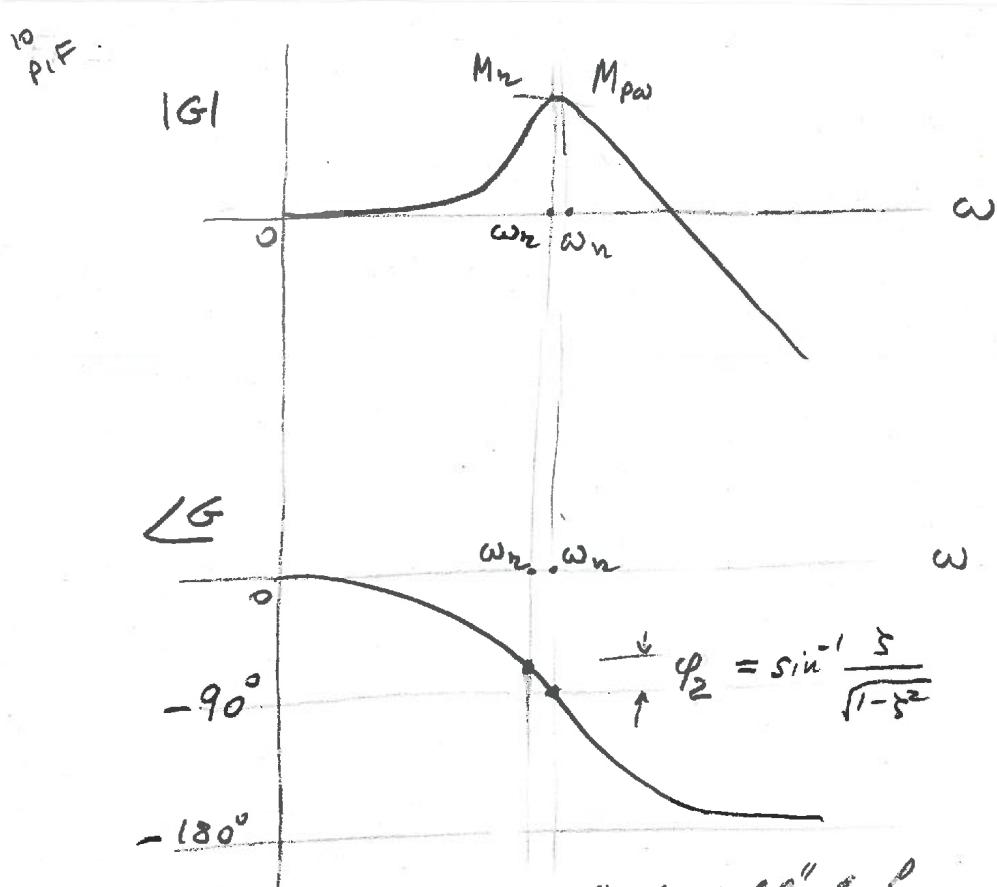
$$\tan(-90^\circ + \varphi_2) = -\cotan \varphi_2$$



$$\sin \varphi_2 = \frac{\zeta}{\sqrt{1 - \zeta^2}}$$

$$\cos^2 \varphi_2 = 1 - \sin^2 \varphi_2 = \frac{1 - 2\zeta^2}{1 - \zeta^2}$$

$$\cotan \varphi_2 = \frac{\cos \varphi_2}{\sin \varphi_2} = \frac{\sqrt{1 - 2\zeta^2}/\sqrt{1 - \zeta^2}}{\zeta/\sqrt{1 - \zeta^2}} = -\tan \varphi_2$$



Resonance happens "slightly" before  $\omega_n$   
 Two definitions of "resonance":

(1)  $90^\circ$  phase  $\Rightarrow \omega_n$ ,  $M_{\text{ph}} = \frac{1}{25}$   
 (phase resonance)

(2) peak value  $\Rightarrow \omega_z = \omega_n \sqrt{1-25^2}$

$$M_z = \frac{1}{25\sqrt{1-5^2}}$$

"RESONANCE"

$\zeta$	0.01	0.1	0.4	$1/\sqrt{2}$	0.8	0.99	1
$M_{pw} = \frac{1}{2\zeta}$	50	5	1.25	0.7	0.625	0.505	0.5
$M_n = \frac{1}{2\zeta\sqrt{1-\zeta^2}}$	50	5.025	1.36	1	—	—	—
$1+M_p = 1 + e^{-\frac{\zeta\pi}{\sqrt{1-\zeta^2}}}$	1.97	1.73	1.25	1.04	1.02	1.00	1
$\frac{\omega_r}{\omega_n} = \sqrt{1-2\zeta^2}$	1	0.99	0.825	0	—	—	—
$\frac{\omega_d}{\omega_n} = \sqrt{1-\zeta^2}$	1	0.995	0.917	$1/\sqrt{2}$	0.6	0.141	0
$\frac{t_3}{T_n} = \frac{2}{\pi\zeta}$	63	6.37	1.59	0.9	0.8	0.64	0.64
$\varphi = -\tan^{-1} \frac{\sqrt{1-2\zeta^2}}{\zeta}$	$-89^\circ$	$-84^\circ$	$-64^\circ$	$0^\circ$	—	—	—

$$\left. \begin{aligned} t_3 &= \frac{4}{\pi\omega_n} \\ T_n &= \frac{1}{f_n} = \frac{2\pi}{\omega_n} \quad (\text{period}) \end{aligned} \right\} \frac{t_3}{T_n} = \frac{4}{\pi} \frac{1}{2\pi} = \frac{2}{\pi\zeta}$$

(2)  
PIFSpecific PIs vs  $\zeta$ 2<sup>nd</sup> order system

performance indicators comparison, 2nd order sys						
$z =$						
0.0100	0.1000	0.4000	0.7071	0.8000	0.9900	1.0000
M <sub>pω</sub> =						
50.0000	5.0000	1.2500	0.7071	0.6250	0.5051	0.5000
M <sub>r</sub> =						
50.0025	5.0252	1.3639	1.0000	0	0	NaN
1+M <sub>p</sub> =						
1.9691	1.7292	1.2538	1.0432	1.0152	1.0000	1.0000
w <sub>r</sub> /w <sub>n</sub> =						
0.9999	0.9899	0.8246	0.0000	0	0	0
w <sub>d</sub> /w <sub>n</sub> =						
0.9999	0.9950	0.9165	0.7071	0.6000	0.1411	0
t <sub>s</sub> /t <sub>n</sub> =						
63.6620	6.3662	1.5915	0.9003	0.7958	0.6431	0.6366
phi <sub>r</sub> =						
-89.4270	-84.2318	-64.1233	0.0000	0	0	0

$$M_{p\omega} = \frac{1}{2\zeta}$$

magnitude at phase res.

$$M_r = \frac{1}{2\zeta\sqrt{1-\zeta^2}}$$

resonance peak

$$1+M_p = 1 + e^{-\frac{5\pi}{\sqrt{1-\zeta^2}}} \quad \text{step response peak}$$

$$\frac{\omega_r}{\omega_n} = \sqrt{1-2\zeta^2} \quad \text{resonance freq. ratio}$$

$$\frac{\omega_d}{\omega_n} = \sqrt{1-\zeta^2} \quad \text{damped freq / natural freq}$$

$$t_s/\tau_n = \frac{2}{\pi\zeta} \quad \text{settling time / osc. period}$$

$$\varphi_r = -\tan^{-1} \frac{\sqrt{1-2\zeta^2}}{\zeta} \quad \text{phase at resonance}$$

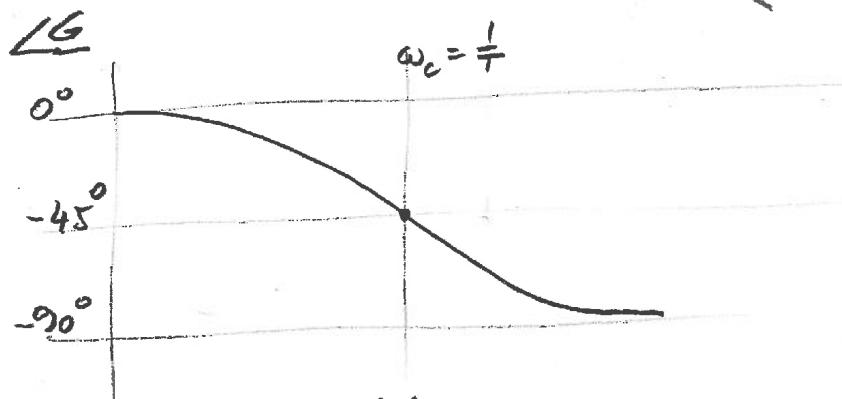
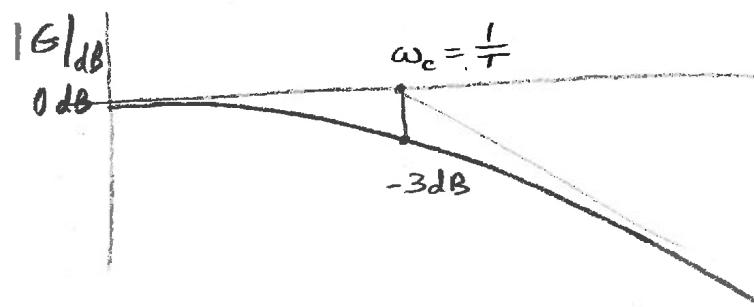
## 8.4 System Identification in Frequency Domains

1DF

1<sup>st</sup> order sys ID in Freq. Domain

$$G(i\omega) = \frac{1}{i\omega T + 1}$$

Bode plots



Given : Bode plots

Find :  $T$ 

Sol<sup>n</sup> : Read: -3dB point on  $|G|_{dB}$  plot  
 45° point on  $\angle G$  plot

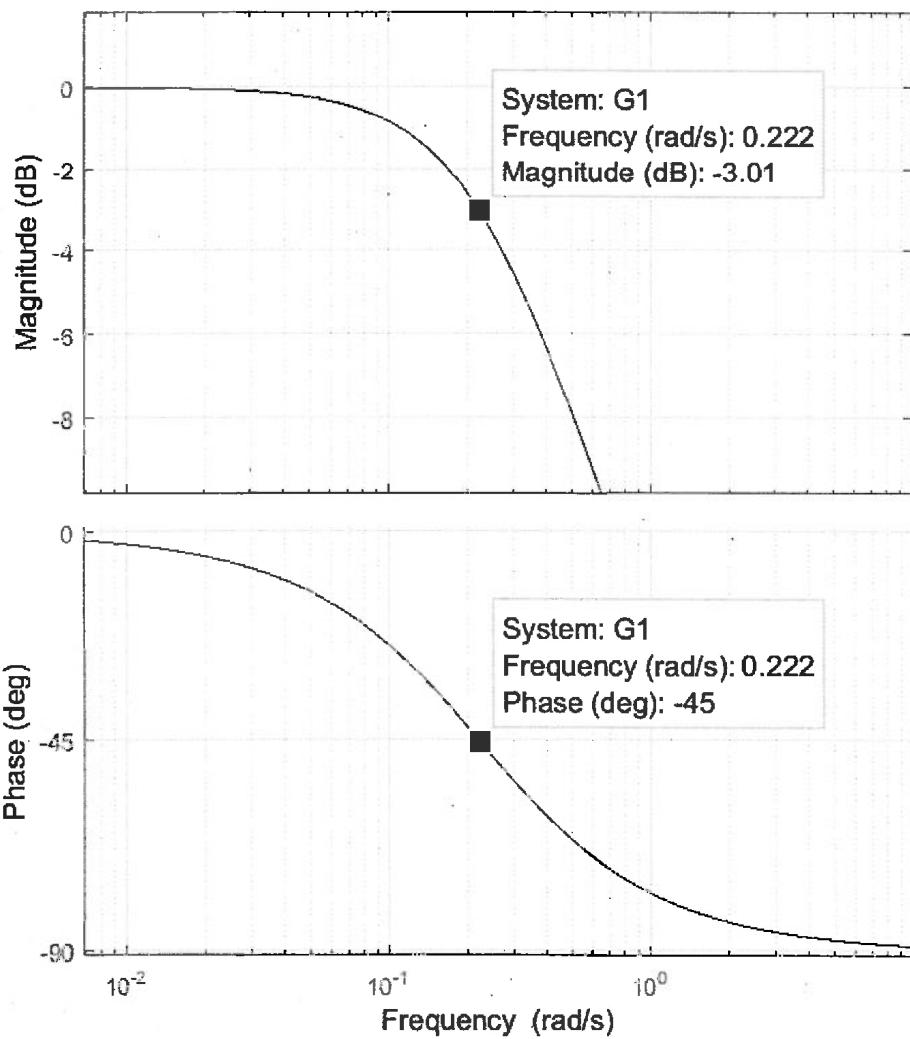
Estimate  $\omega_c$ 

$$\text{Calculate } T = \frac{1}{\omega_c}$$

<sup>z</sup>  
IDFEx: ID 1<sup>st</sup> order sys.

$$G(s) = \frac{1}{4.5s+1}$$

Bode Diagram

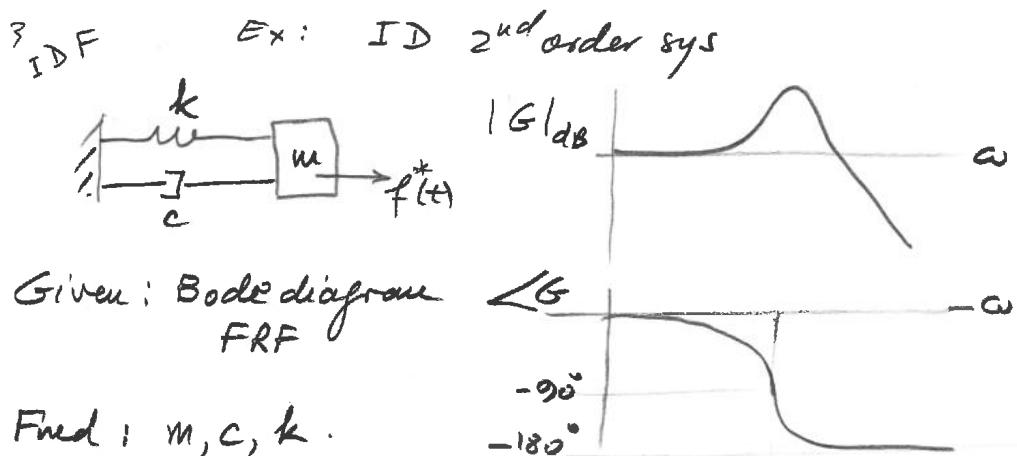


$$\omega_c = 0.222$$

$$T = \frac{1}{\omega_c} = 4.5045$$

$$\text{error: } -0.1\%$$

In practice, error may be larger due to noise.



Solution: recall FBD, EOM

$$m\ddot{x} + c\dot{x} + kx = f^*(t)$$

$$\mathcal{L}T \quad (m\Delta^2 + c\Delta + k)X(\Delta) = F^*(\Delta)$$

$$G(s) = \frac{X(s)}{F^*(s)} = \frac{1}{m\Delta^2 + c\Delta + k}$$

$$G(i\omega) = \frac{1}{-m\omega^2 + i\omega c + k} ; \quad G(0) = \frac{1}{k}$$

$$\omega_n^2 = k/m \quad G(i\omega_n) = \frac{1}{i\omega_n c} ; \quad |G(i\omega_n)| = \frac{1}{\omega_n c}$$

Numerical example:  $m=2\text{kg}$ ,  $c=4 \frac{\text{N}}{\text{m/s}}$ ,  $k=20 \frac{\text{N}}{\text{m}}$

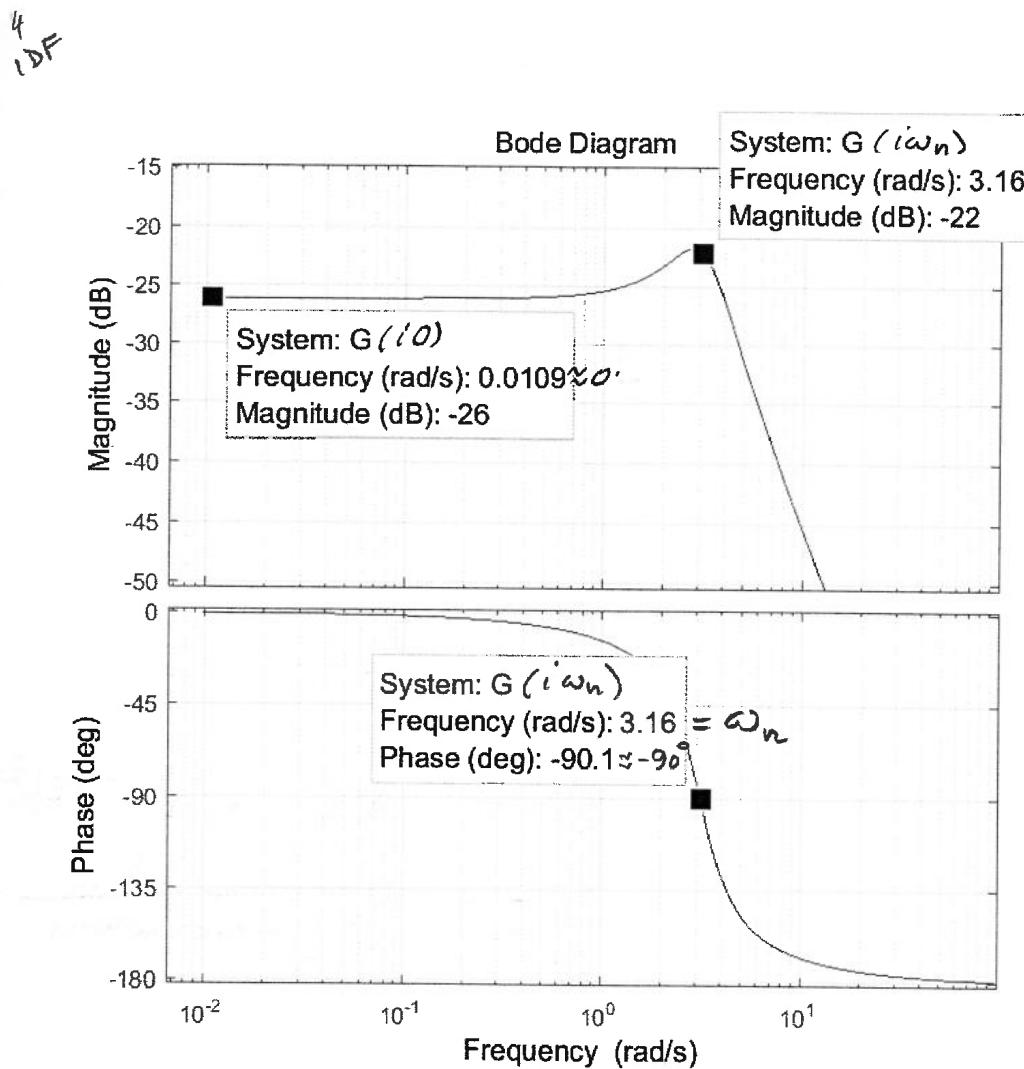
Run MATLAB sys-ID\_2ndOrder\_ExII-1

Read on Bode diagram:

$$|G(0)|_{\text{dB}} = -26 \text{ dB}$$

$$\omega_n = 3.16 \text{ rad/sec}$$

$$\left|G(i\omega_n)\right|_{\text{dB}} = -22 \text{ dB}$$



$$(M_{P\omega})_{dB} = |G(i\omega_n)|_{dB} - |G(i\omega)|_{dB}$$

$$= -22 dB - (-26 dB) = +4 dB$$

<sup>5</sup><sub>DF</sub> calculate  $k_1, \omega_1, c_1$

$$k_1 = \frac{1}{G(i\omega)} = 1/\text{dB2mag}(G(i\omega)\text{dB})$$

$$= 19.9526 \text{ N/m} \approx 20 \text{ N/m} \quad \checkmark$$

$$\omega_n^2 = k/m$$

$$m_1 = \frac{k_1}{\omega_n^2} = 1.9981 \text{ kg} \approx 2 \text{ kg} \quad \checkmark$$

$$c_1 = \frac{1}{\omega_n |G(i\omega)|} = 1/\omega_n/\text{dB2mag}(G(i\omega_n)\text{dB}) = 3.9839$$

or  $\approx 4 \frac{\text{N}}{\text{m/s}}$

$$(M_{pw})_{\text{dB}} = |G(i\omega_n)|_{\text{dB}} - |G(i\omega)|_{\text{dB}}$$

$$M_{pw} = \text{dB2mag}(|G(i\omega_n)|_{\text{dB}} - |G(i\omega)|_{\text{dB}})$$

$$M_{pw} = \frac{1}{2\zeta} \rightarrow \zeta = \frac{1}{2M_{pw}}$$

$$c_2 = 2\zeta \omega_n m_1 = 3.9920 \frac{\text{N}}{\text{m/s}} \approx 4 \frac{\text{N}}{\text{m/s}} \quad \checkmark$$

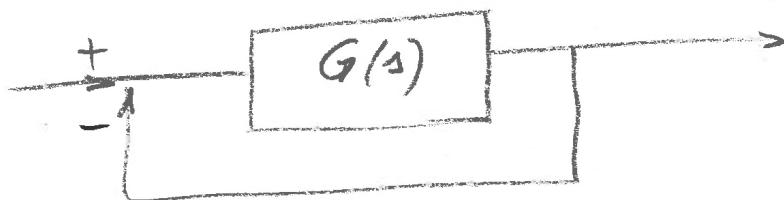
All three parameters of the system,  
 $k, m, c$  have been recovered with  
quite acceptable error.

## 8.5 Frequency Domain Analysis of Feedback System Stability

## STABILITY ANALYSIS

in FREQ. DOMAIN

Evaluate stability of CL system  
by analysing OL system in freq. domain



Two methods :

- Nyquist criterion
- Gain & phase margins

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NYQUIST ANALYSIS  
OF FEEDBACK STABILITY.

Nyquist circuit

$$G(s) = \frac{1}{(s-p_1)(s-p_2)(s-p_3)}$$

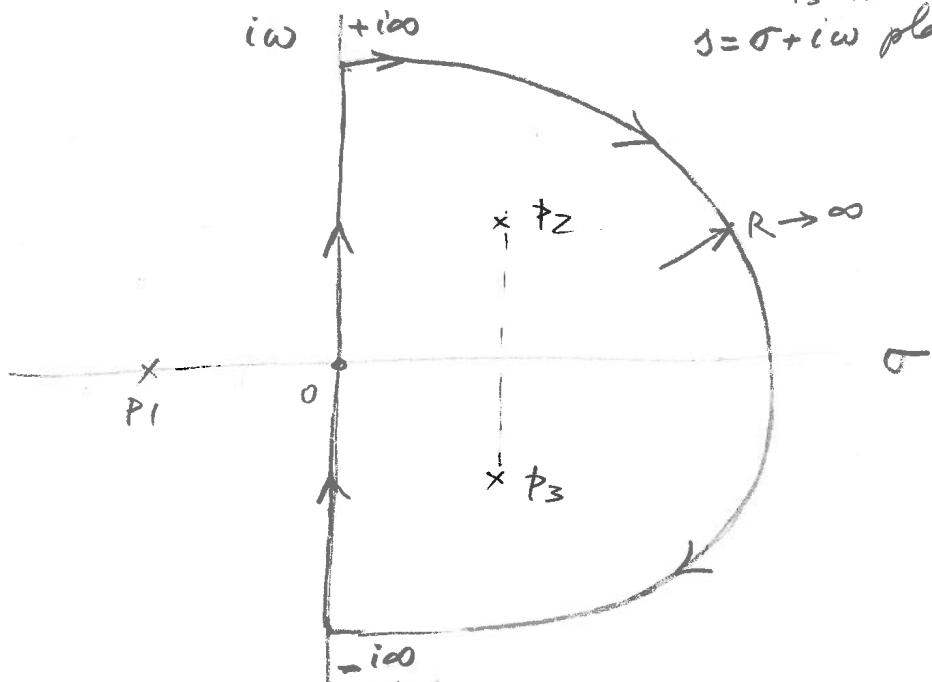
Assume

$$p_1 \in \mathbb{R}, p_1 < 0$$

$$p_2, p_3 \in \mathbb{C} \text{ in RHS}$$

$$p_3 = \bar{p}_2$$

$$s = \sigma + i\omega \text{ plane}$$

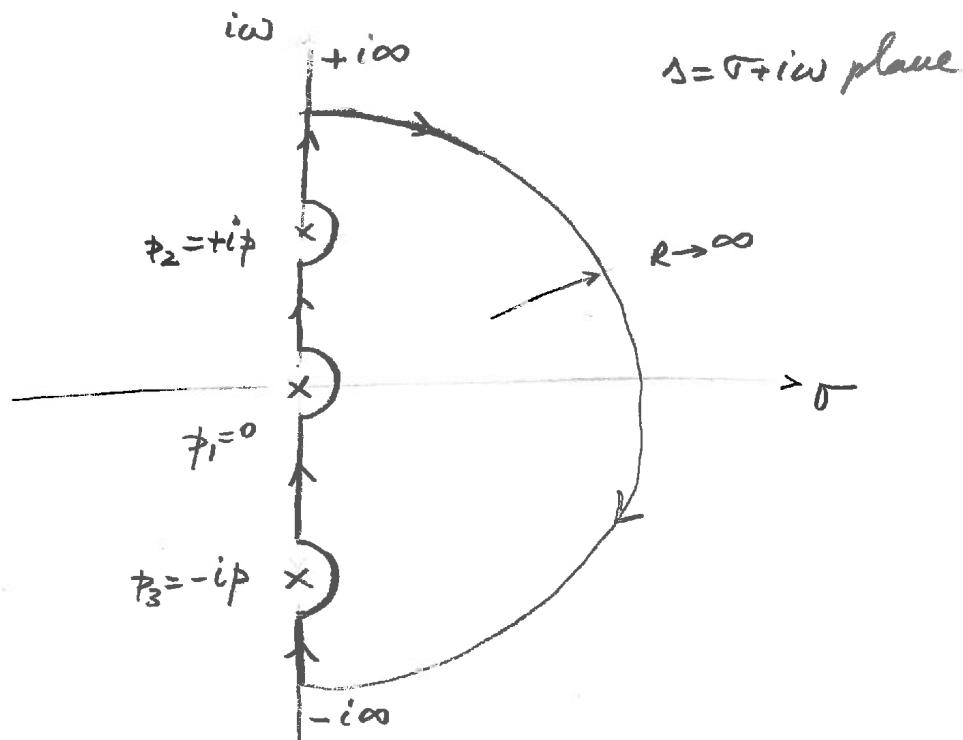


Nyquist circuit is :

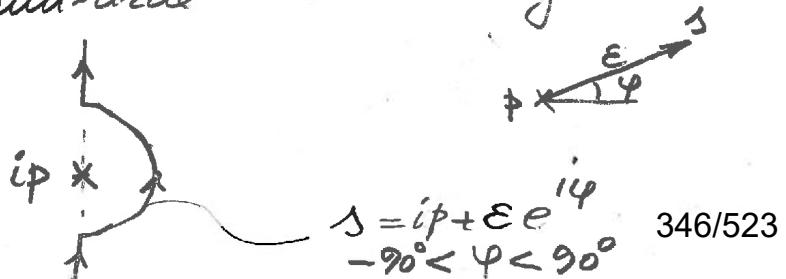
- semi-circle path with  $R \rightarrow \infty$
- in the RHS of  $s$ -plane
- along vertical axis from  $-i\omega$  to  $+i\omega$
- traveled clockwise (CW)

Nyquist circuit with poles  
on the vertical axis

$$G(s) = \frac{1}{s(s-ip)(s+ip)}$$



The poles on the vertical axis must be excluded. We travel around them in the RHS. To do so, we follow a small semi-circle with vanishing radius  $\epsilon$

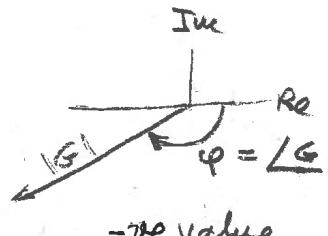
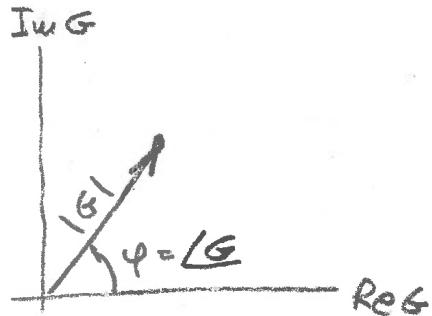


$$s = ip + \epsilon e^{i\varphi} \quad -90^\circ < \varphi < 90^\circ \quad 346/523$$

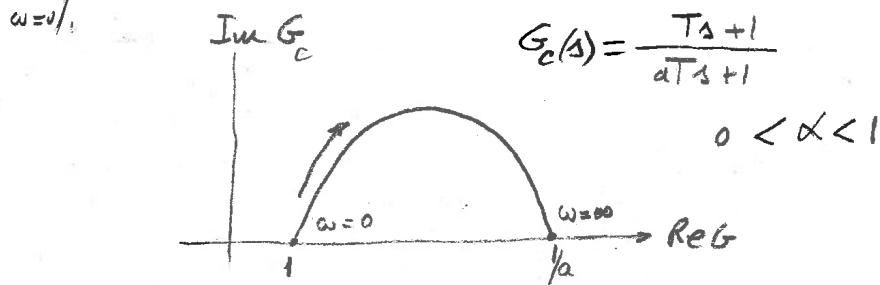
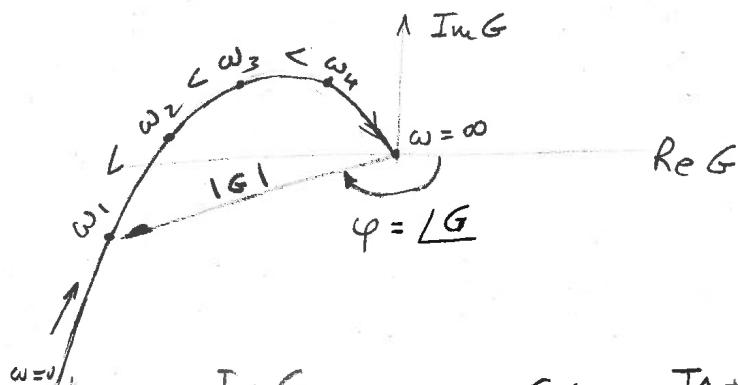
NI

## POLAR PLOTS

$$G(i\omega) = \operatorname{Re} G(i\omega) + i \operatorname{Im} G(i\omega)$$



$-\pi < \angle(G) < \pi$   
 $-180^\circ \quad 180^\circ$   
 (see MATLAB Help)



Run MATLAB examples.

NPP

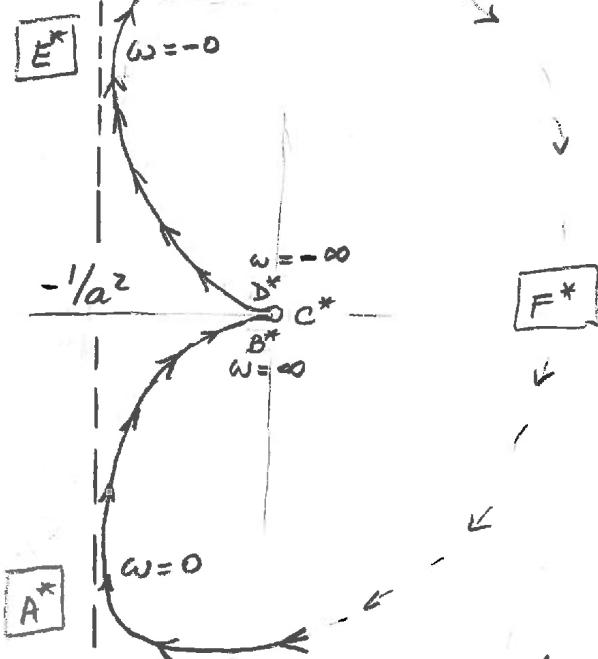
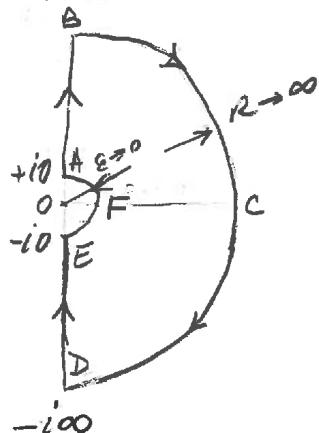
NYQUIST POLAR PLOTS

" $s$  follows  $N$ -circuit;  $G(s)$  follows  $N$ -polar plot"

Example:  $G(s) = \frac{1}{s(s+a)}$  Nyquist polar plot

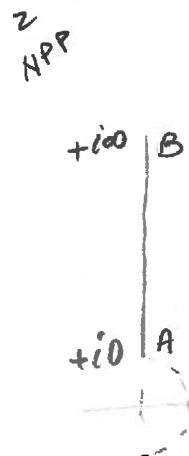
2 poles:  $p_1 = 0, p_2 = -a$

Nyquist circuit



- The variable  $s$  follows the Nyquist circuit ABCDEFA clockwise in the  $s$ -plane
- The function  $G(s)$  follows the resulting circuit in the  $G$ -plane

In this example, we distinguish 4 segments to be analyzed individually and then assembled in one continuous circuit



Segment  $(+i\varepsilon, +iR)$

$$G(s) = \frac{1}{s(s+a)} = \frac{1}{as} - \frac{1}{a(s+a)}$$

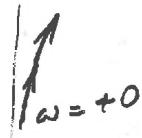
**A**  $G(i\varepsilon) = \frac{1}{ai\varepsilon} - \frac{1}{a(i\varepsilon+a)}$

$$G(i\varepsilon) \approx -\frac{1}{a^2} - i\frac{1}{a\varepsilon}$$

$$G(+i0) = \lim_{\varepsilon \rightarrow 0} G(i\varepsilon) = -\frac{1}{a^2} - i\infty$$

$$|G(+i0)| = \infty$$

$$\angle G(+i0) = \angle -i = -90^\circ$$



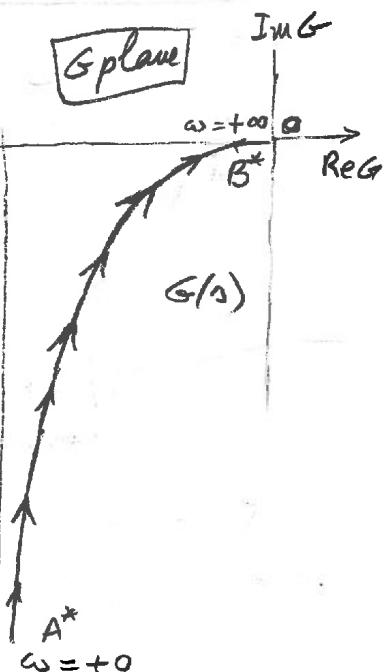
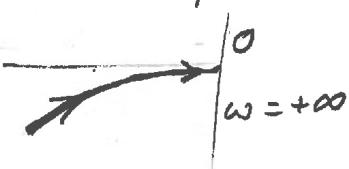
**B**  $G(iR) = \frac{1}{iR(iR+a)}$

$$|G(iR)| \approx \frac{1}{R^2} \xrightarrow[R \rightarrow \infty]{} 0$$

$$\angle G(iR) = -[\angle iR + \angle iR+a]$$

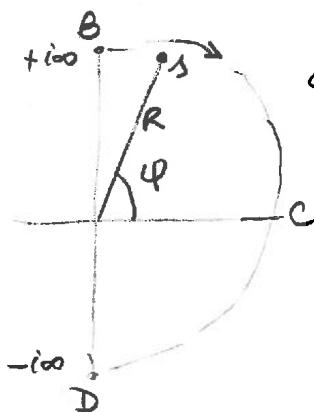
$\varphi = \tan^{-1} \frac{a}{R}$

$$\begin{aligned} \angle G(iR) &= -(90^\circ + 90^\circ - \varphi) \\ &= -180^\circ + \varphi \xrightarrow[R \rightarrow \infty]{} -180^\circ \end{aligned}$$



$\text{NPP}$  Segment  $(iR, R, -iR)$  Big circle  
 $R \rightarrow \infty$

$$s = Re^{i\varphi}, \quad \varphi \in (90^\circ, 0, -90^\circ)$$

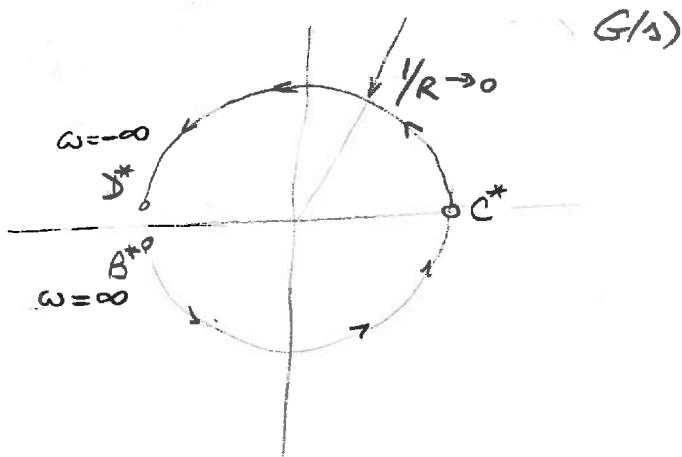


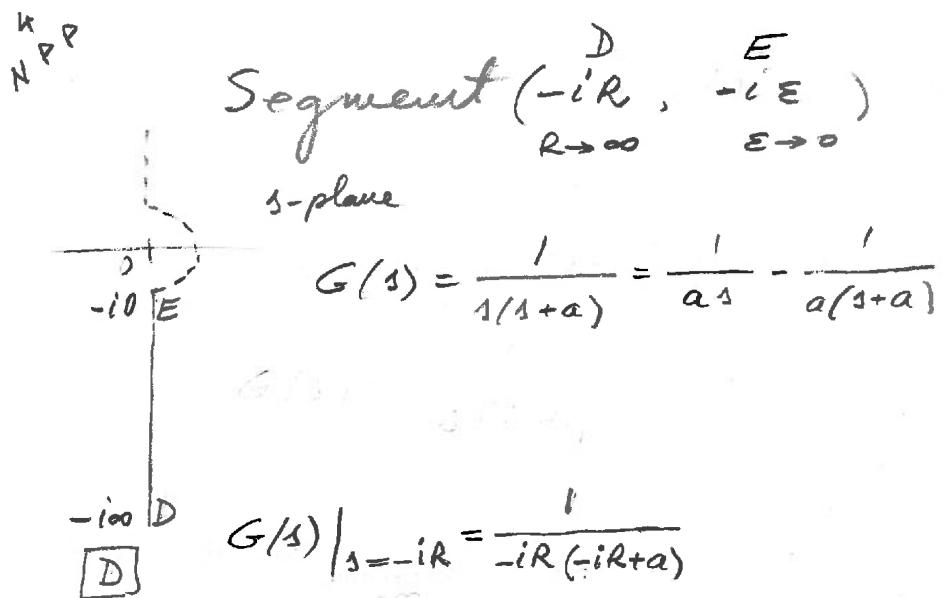
$$G(s) = \frac{1}{Re^{i\varphi}(Re^{i\varphi} + a)}$$

$$R \rightarrow \infty \quad \frac{1}{Re^{2i\varphi}} = \frac{1}{R^2} e^{-i2\varphi}$$

$$\angle G(s) = -2\varphi$$

$$\angle G(s) \in (-180^\circ, 0^\circ, 180^\circ)  
\begin{matrix} B^* & C^* & D^* \end{matrix}$$





$$\begin{aligned} |G(s)| &= \frac{1}{R^2} \xrightarrow[R \rightarrow \infty]{} 0 \\ \angle G(s) &= -(\angle -iR + \angle -iR+a) \\ &= -[-90^\circ + (-90^\circ + \gamma)] = 180^\circ - \gamma \xrightarrow[\gamma \rightarrow 0]{} 180^\circ \end{aligned}$$

$\gamma = \tan^{-1} \frac{a}{R}$

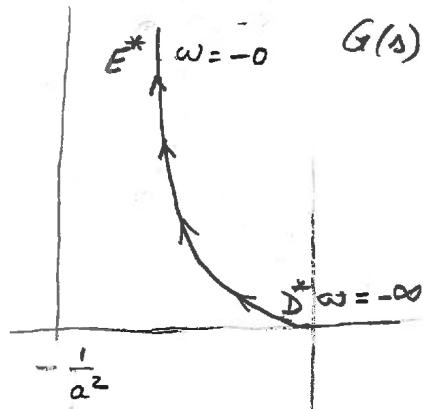
**E**  $G(-i\varepsilon) = -\frac{1}{a(-i\varepsilon)} - \frac{1}{a(-i\varepsilon+a)} = \frac{i}{a\varepsilon} - \frac{1}{a^2}$

$$G(-i0) = \lim_{\varepsilon \rightarrow 0} G(-i\varepsilon)$$

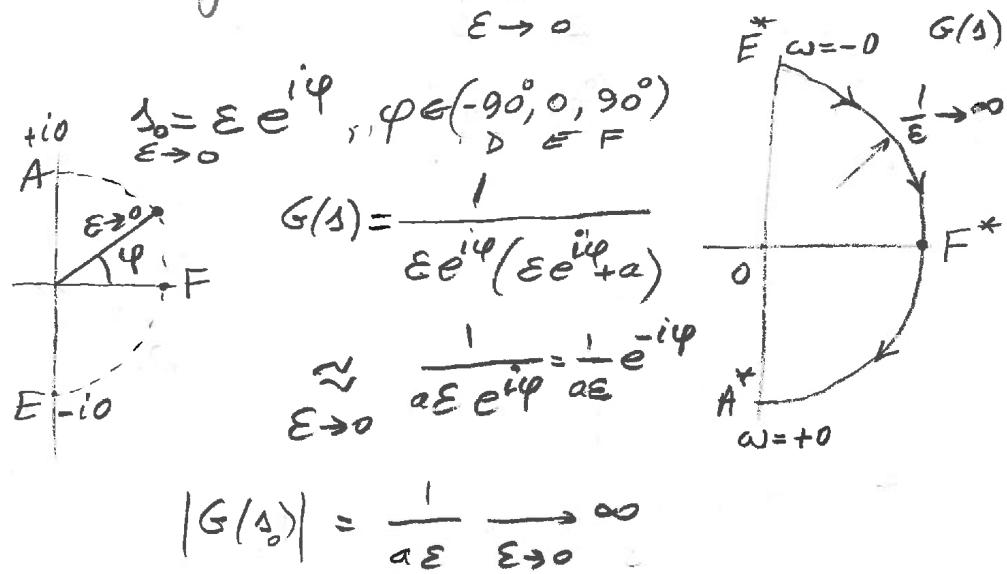
$$G(-i0) = -\frac{1}{a^2} + \frac{i}{a\varepsilon} \Big|_{\varepsilon \rightarrow 0} = -\frac{1}{a^2} + i\infty$$

$$|G(-i0)| = \infty$$

$$\angle G(-i0) = 90^\circ$$



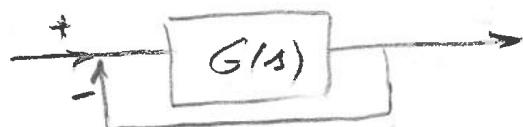
$\zeta \rightarrow 0$  Segment  $(-i\zeta, \zeta, i\zeta)$  small circle



$$\underline{G(\zeta_0)} = -\varphi \in \begin{pmatrix} 90^\circ & 0^\circ & -90^\circ \\ E^* & F^* & A^* \end{pmatrix}$$

$$\varphi = -90^\circ \quad \varphi = 0^\circ \quad \varphi = +90^\circ$$

### NYQUIST STABILITY CRITERION



Find stability of  $G_{CL} = \frac{G}{1+G}$  by  
analyzing the polar plot of  $G(s)$   
as we follow the Nyquist circuit.

$$G_{CL}(s) = \frac{G(s)}{1+G(s)}$$

Poles $G_{CL}(s)$	LHS	iω	RHS
X	+	1	> 0

Poles  $G_{CL}(s) \Rightarrow$  zeros of  $1+G(s)$

stable	$Z \leq 0$	unstable!	$Z > 0$
--------	------------	-----------	---------

Nyquist criterion:

$$Z = P + N \leq 0$$

$P$  = number of  $G(s)$  poles in RHS

$$G(s) = \frac{B(s)}{A(s)} \rightarrow P_1, P_2, \dots$$

$N$  = number of clockwise encirclements  
of the  $(-1, 0)$  point as we follow  
the Nyquist path (circuit)

$Z =$  number of zeros of  $1+G(s)$  in RHS

STABLE if  $Z \leq 0$

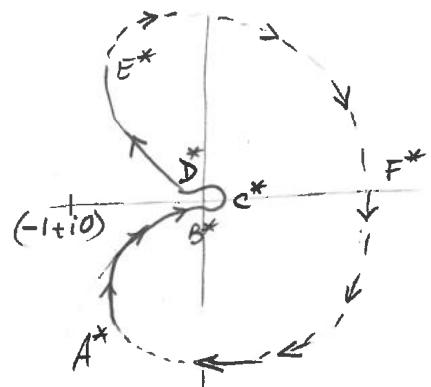
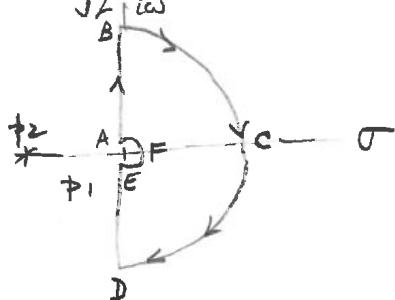
Example: aircraft roll model

$$G(s) = \frac{114}{10s^2 + 4s} = \frac{114}{s(10s+4)}$$

$$P_1 = 0, P_2 = -2/5 : P = 0$$

Recall  $G(s) = \frac{1}{s(s+a)} = \frac{1}{s^2 + sa}$  no poles in RHS

Nyquist circuit



$$P = 0 \quad \text{no poles in RHS}$$

$$N = 0 \quad \text{no encirclements of } (-1+i0) \text{ point}$$

$$Z = N + P = 0 \quad \text{no CL poles in RHS}$$

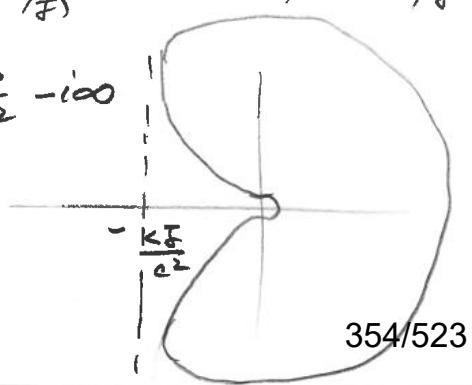
Conclusion: system is unconditionally stable

Note:  $G = \frac{K}{Js^2 + Cs} = \frac{K}{J} \frac{1}{s(s + c/J)} = \frac{B}{s(s+a)} \quad a = c/J$

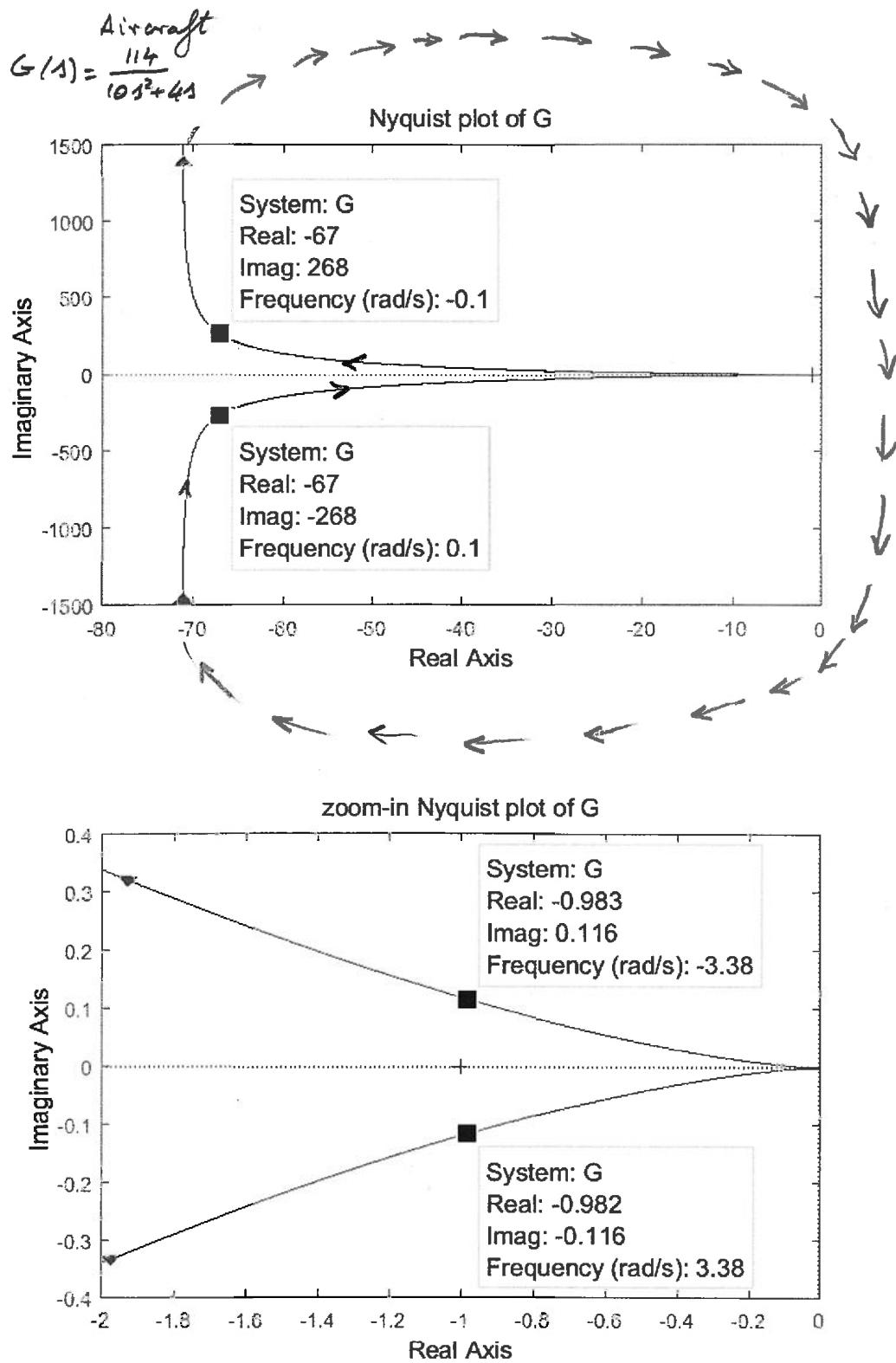
$$G(i\epsilon) = B\left(-\frac{1}{a^2} - i\infty\right) = -\frac{B}{a^2} - i\infty$$

$\epsilon \rightarrow 0$

$$\frac{B}{a^2} = \frac{K}{J} \frac{J^2}{C^2} = \frac{KJ}{C^2}$$



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Example A11.8

Given:  $G = K \frac{1}{s-1}$



Note: pole in RHS,  $s=1$

Find: critical value of K for stability using Nyquist criterion

Solution: do Nyquist plot of  $G(s)$

$$G(0) = -\frac{K}{1} = -K$$

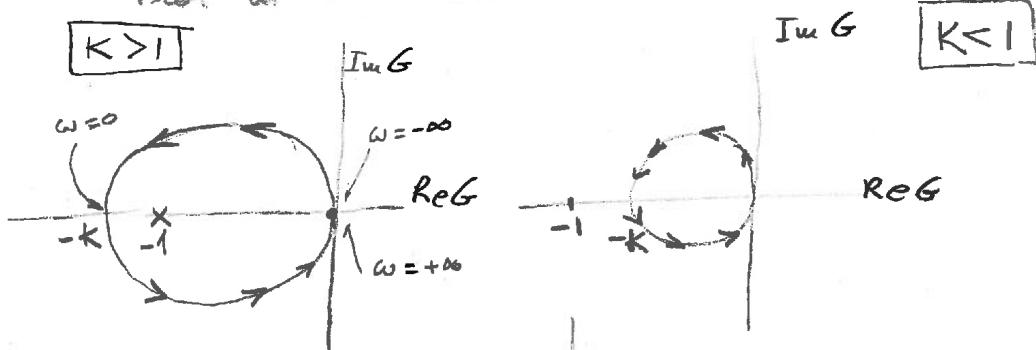
$$G(i\omega) = \lim_{\omega \rightarrow \infty} \frac{K}{i\omega - 1} \approx \frac{K}{i\omega}, |G(i\omega)| = 0$$

$\angle G(i\omega) = -90^\circ$

$$G(-i\omega) \rightarrow |G(-i\omega)| = 0$$

$\angle G(-i\omega) = 90^\circ$

Plot in MATLAB



$N = -1$  (counterclockwise)

$P = 1$  ( $s=1$  pole of  $G$  in RHS)

$$Z = -1 + 1 = 0$$

$N = 0$

$P = 1$

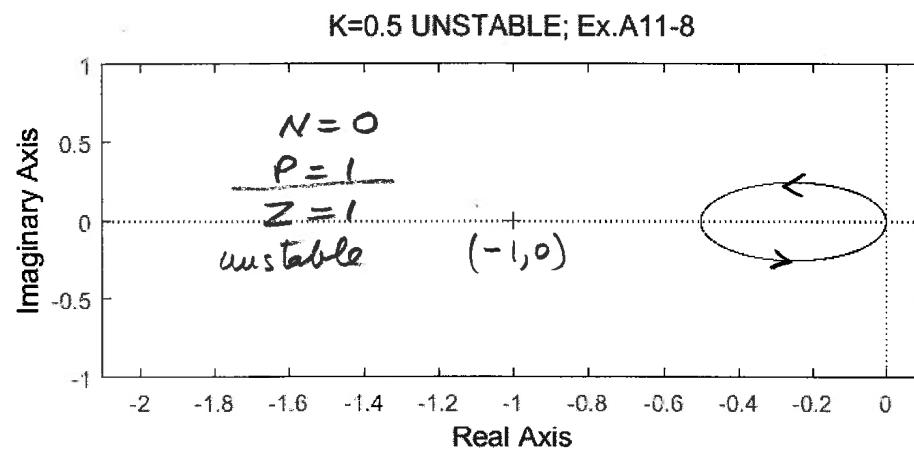
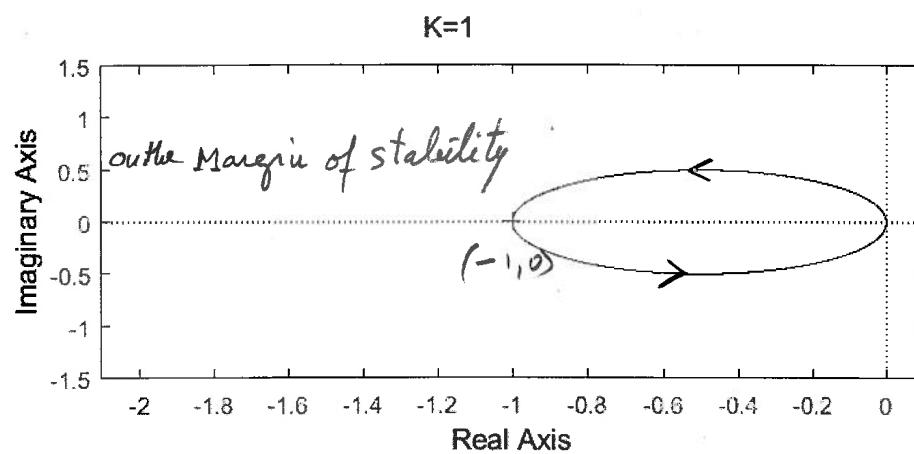
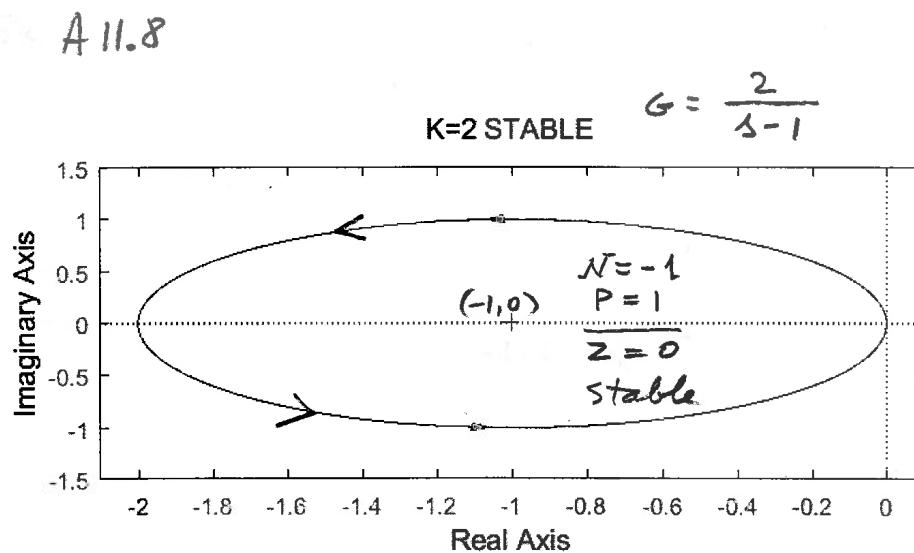
$$Z = 1$$

UNSTABLE for  $K < 1$

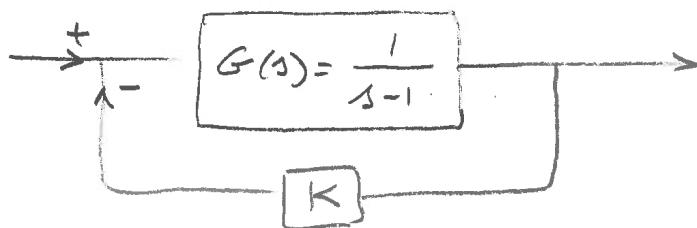
STABLE for  $K > 1$

CRITICAL VALUE:  $K = 1$

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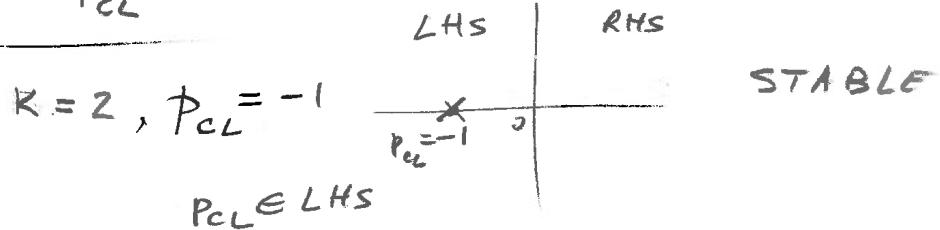


A11.8

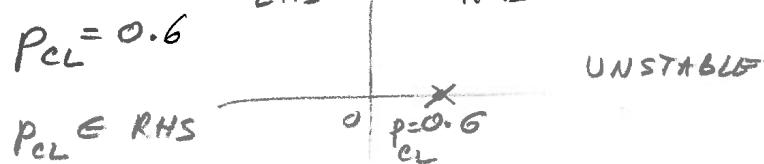


$$G_{CL} = \frac{G}{1+GH} = \frac{1}{s-1+K} = \frac{1}{s-(1-K)}$$

$$\rho_{CL} = 1-K$$

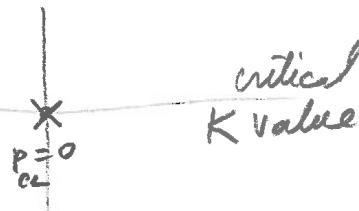


$$K = 0.4, \rho_{CL} = 0.6$$

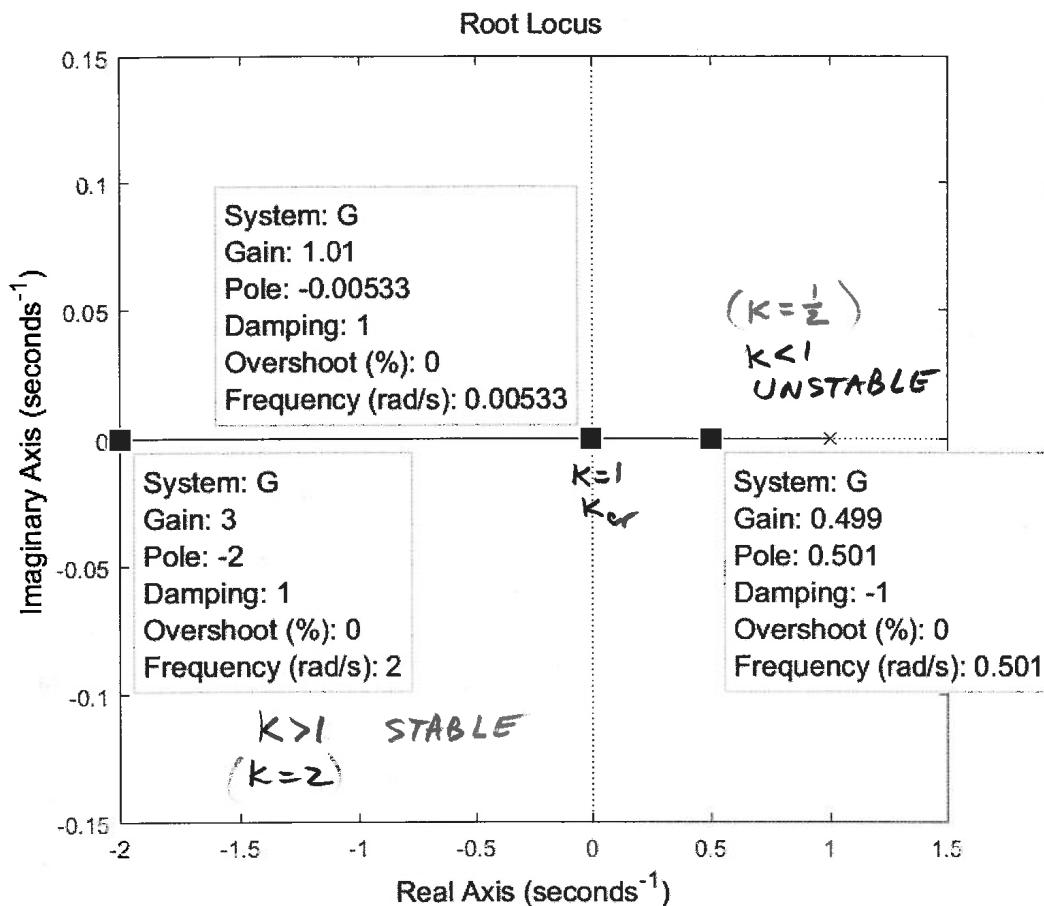


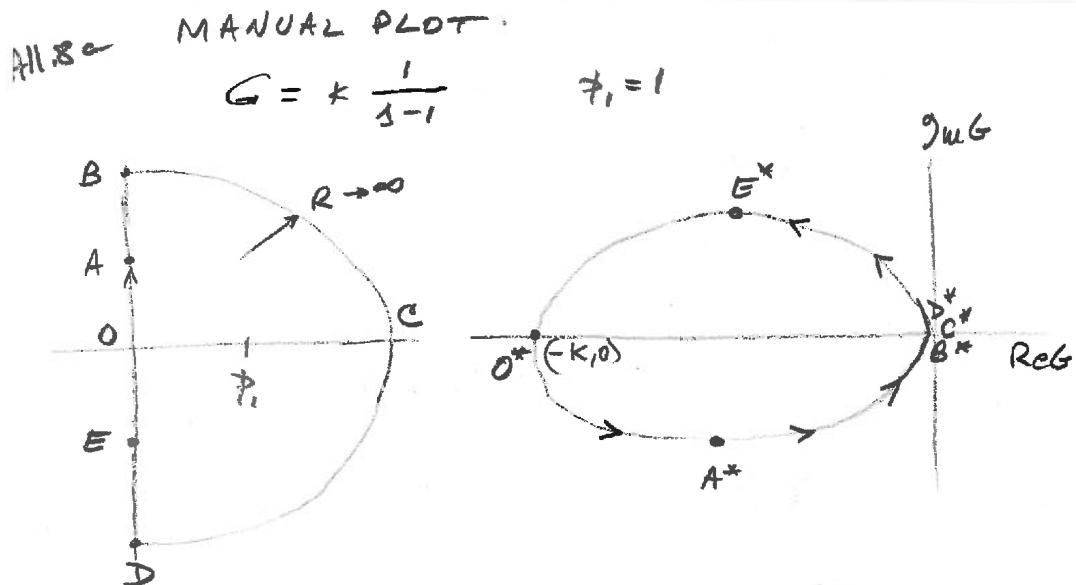
$$\rho_{CL} = 1 - K_{cr} = 0$$

$$K_{cr} = 1$$



All. 8





$$0: \quad G(0) = K \frac{1}{0-1} = -K = K e^{i\pi}$$

$$A = i \quad G(A) = K \frac{1}{i-1} = -K \frac{1+i}{2} = K \frac{\sqrt{2}}{2} e^{i(\pi + \frac{\pi}{4})}$$

$$\angle G(A) = \frac{5\pi}{4}$$

$$B = iR \quad G(B) = K \frac{1}{iR-1} = -K \frac{1+iR}{1+R^2} = \frac{K}{\sqrt{1+R^2}} e^{i(\pi + \varphi)}$$

$$|\underline{G(B)}| \xrightarrow[R \rightarrow \infty]{} 0 \quad \varphi = \tan^{-1} R \xrightarrow[R \rightarrow \infty]{} \frac{\pi}{2}$$

$$\angle G(B) \xrightarrow[R \rightarrow \infty]{} \frac{3\pi}{2}$$

$$C = R \quad G(C) = K \frac{1}{R-1} \xrightarrow[R \rightarrow \infty]{} 0$$

$$D = -iR \quad G(D) = K \frac{1}{-iR-1} = -K \frac{1-iR}{1+R^2} = \frac{K}{\sqrt{1+R^2}} e^{i(\pi - \varphi)}$$

$$|\underline{G(D)}| \xrightarrow[R \rightarrow \infty]{} 0 \quad \varphi = \tan^{-1} R \xrightarrow[R \rightarrow \infty]{} \frac{\pi}{2}$$

$$\angle G(D) \xrightarrow[R \rightarrow \infty]{} \pi - \frac{\pi}{2} = \frac{\pi}{2}$$

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All 86

$$E = -i \quad G(\omega) = K \frac{1}{-i-1} = -K \frac{1-i}{2} = K \frac{\sqrt{2}}{2} e^{i(\pi - \frac{\pi}{4})}$$

$$\angle G(\omega) = \frac{3\pi}{4}$$

Nyquist criterion gives  $K < 1$  UNSTABLE

$K > 1$  STABLE

To verify, calculate the poles of  $G_{CL}$

$$G_{CL} = \frac{G}{1+G} = \frac{K}{s-1+K} = \frac{K}{s-(1-K)}$$

$$P_{CL} = 1-K$$

for  $K < 1$ ,  $P_{CL} > 0$ , in RHS, UNSTABLE

$K > 1$ ,  $P_{CL} < 0$ , in LHS, STABLE

QED

p634

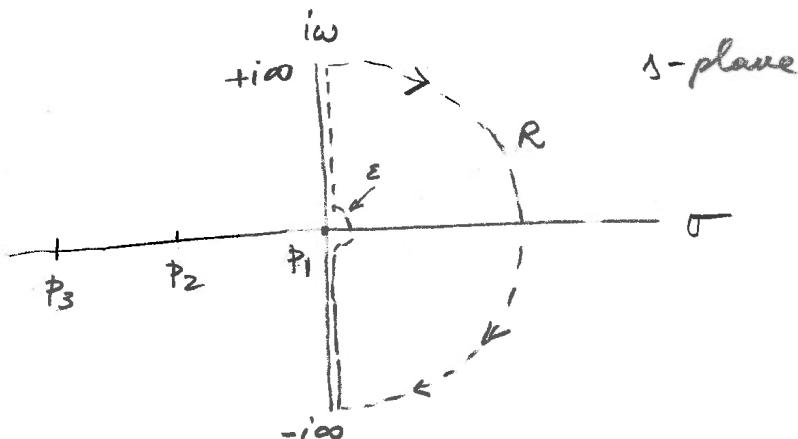
Example p634

$$G(s) = K \frac{1}{s(T_1 s + 1)(T_2 s + 1)} \quad T_2 > T_1$$

Poles :  $p_1 = 0$ 

$$p_2 = -1/T_1$$

$$p_3 = -1/T_2$$



Nyquist circuit will have to go around the origin on a small circle of radius  $\epsilon \rightarrow 0$ . We study four segments:

- positive  $i\omega$  axis  $s \in (+i0, +i\infty)$
- big circle  $R \rightarrow \infty$
- negative  $i\omega$  axis  $s \in (-i\infty, -i0)$
- small circle  $\epsilon \rightarrow 0$

$\rho_{634}$   $\omega + i\omega$  axis.  
 $\Delta = \left( +i\varepsilon, iR \right)$

$$G(+i\varepsilon) = -K(T_1 + T_2) - i\frac{K}{\varepsilon}$$

$$G(+i\varepsilon) \xrightarrow{\varepsilon \rightarrow 0} -K(T_1 + T_2) - i\infty$$

$$|G(i\varepsilon)| \xrightarrow[\varepsilon \rightarrow 0]{} \infty$$

$$\angle G(i\varepsilon) \xrightarrow[\varepsilon \rightarrow 0]{} -90^\circ$$

$$\varepsilon \rightarrow 0$$

Proof

$$\begin{aligned} \frac{K}{i\varepsilon(i\varepsilon T_1 + 1)(i\varepsilon T_2 + 1)} &= \\ \frac{K}{i\varepsilon[i\varepsilon^2 T_1 T_2 + i\varepsilon(T_1 + T_2) + 1]} &= \\ \frac{K}{i\varepsilon} \frac{1}{i\varepsilon(T_1 + T_2) + 1} &= \\ \frac{K}{i\varepsilon} \frac{-i\varepsilon(T_1 + T_2) + 1}{i\varepsilon(T_1 + T_2) + 1} &= \\ = -K(T_1 + T_2) - i\frac{K}{\varepsilon} & \end{aligned}$$

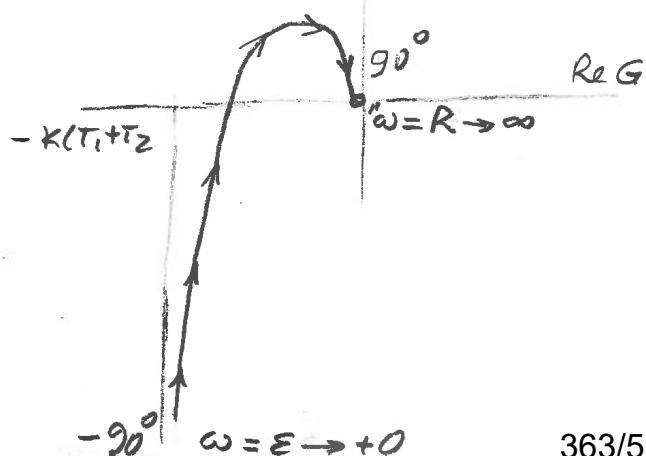
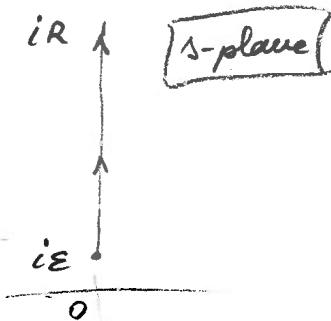
$$G(iR) = \frac{K}{iR(T_1 iR + 1)(T_2 iR + 1)} \approx \frac{K}{T_1 T_2 R^3 i^3} = \frac{K}{T_1 T_2 R^3} e^{i\frac{\pi}{2}}$$

$$\frac{1}{i^3} = \frac{i^4}{i^3} = i = e^{i\frac{\pi}{2}}$$

$$|G(iR)| = \frac{K}{T_1 T_2 R^3} \xrightarrow[R \rightarrow \infty]{} 0$$

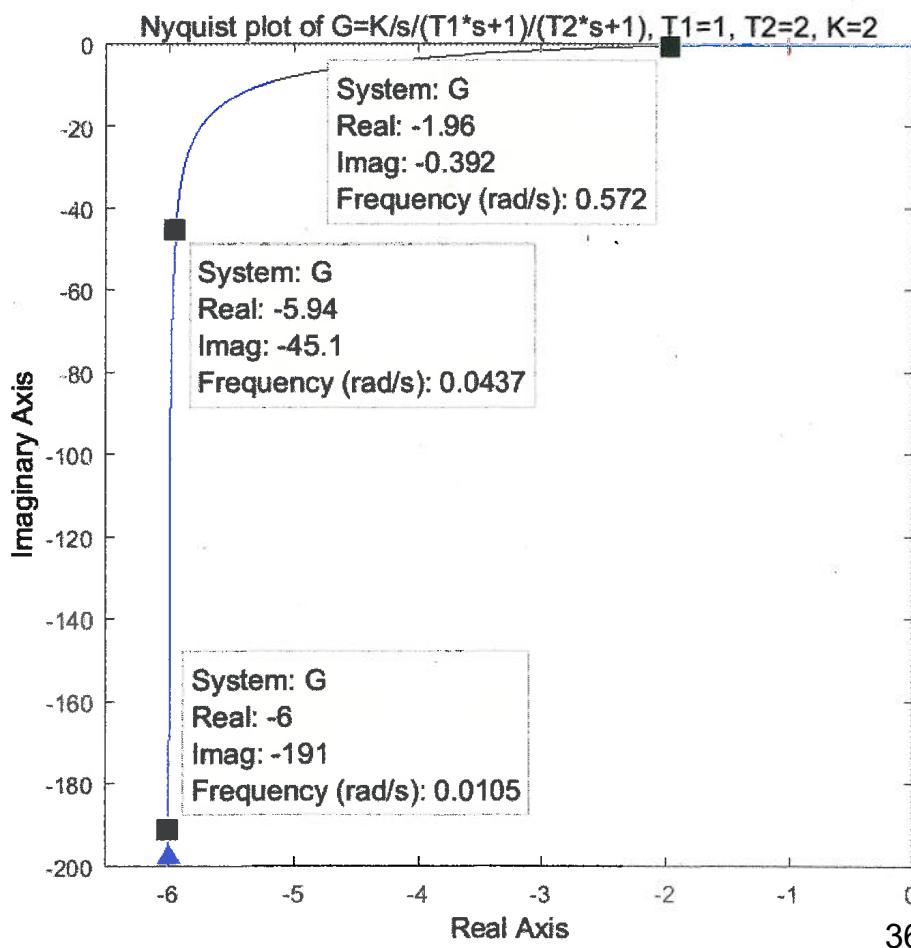
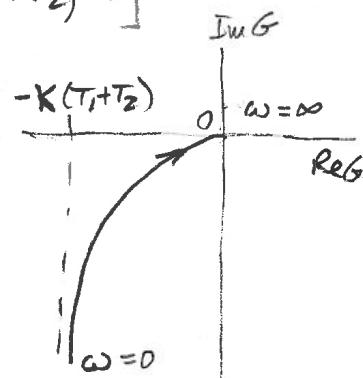
$$\angle G(iR) = \frac{\pi}{2} = 90^\circ$$

Img

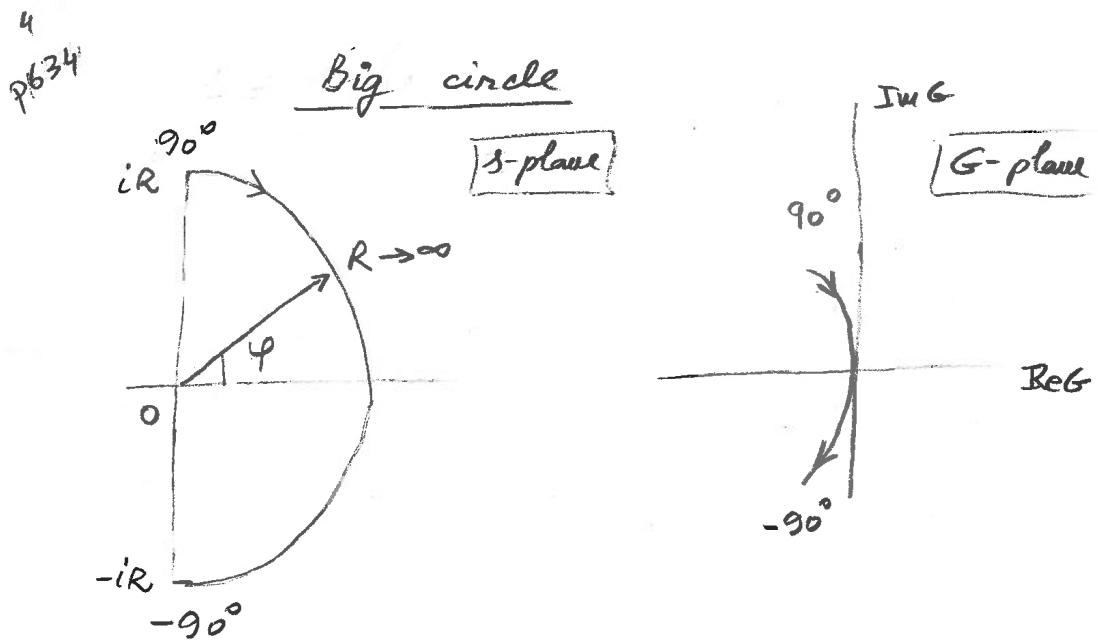


P634

$$\begin{aligned}
 G(i\epsilon) &= \frac{K}{i\epsilon(i\epsilon T_1 + 1)(i\omega T_2 + 1)} \\
 &= \frac{K}{i\epsilon(i^2\epsilon^2 T_1 T_2 + i\epsilon(T_1 + T_2) + 1)} = \frac{K}{\epsilon} \frac{1}{-\epsilon(T_1 + T_2) + i} \\
 &= \frac{K}{\epsilon} \frac{-\epsilon(T_1 + T_2) - i}{\epsilon^2(T_1 + T_2)^2 + 1} = -\frac{K}{\epsilon} [\epsilon(T_1 + T_2) + i] \\
 G(i\epsilon) &= -K(T_1 + T_2) - i\frac{K}{\epsilon} \\
 \xrightarrow{\epsilon \rightarrow 0} & -K(T_1 + T_2) - i\infty \\
 &\quad \text{Re } G = \text{const}
 \end{aligned}$$



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$$G(s) = \frac{K}{Re^{i\varphi}(T_1 Re^{i\varphi} + 1)(T_2 Re^{i\varphi} + 1)}$$

$$G(s) \underset{R \gg 1}{\approx} \frac{K}{T_1 T_2 R^3 e^{3i\varphi}} = \frac{K}{T_1 T_2 R^3} e^{-3i\varphi}$$

$$|G(s)| = \frac{K}{T_1 T_2 R^3} \xrightarrow[R \rightarrow \infty]{} 0$$

$$\angle G(s) = -3\varphi = (-270^\circ, +270^\circ)$$

$$\varphi = 90^\circ, -90^\circ$$

$$-270 + 360 = 90^\circ; 270 - 360 = -90^\circ$$

$$\angle G(s) = (90^\circ, -90^\circ)$$

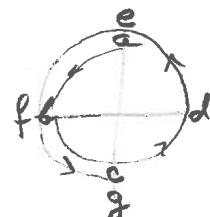
$$\varphi = 90^\circ, 60^\circ, 30^\circ, 0^\circ, -30^\circ, -60^\circ, -90^\circ$$

$$\angle G(s) = -270^\circ, -180^\circ, -90^\circ, 0^\circ, 90^\circ, 180^\circ, 270^\circ$$

$$90^\circ, 180^\circ, -90^\circ, 0^\circ, 90^\circ, 180^\circ, -90^\circ$$

$$a \quad b \quad c \quad d \quad e \quad f \quad g$$

$G(s)$  goes round origin 1.5 times



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$\zeta = \frac{-i\omega \text{ axis}}{(-iR - i\varepsilon)}$

$$\varepsilon \rightarrow 0$$

$$R \rightarrow \infty$$

$$G(-i\varepsilon) \approx -K(T_1 + T_2) + i\frac{K}{\varepsilon}$$

$$G(-i\varepsilon) \xrightarrow{\varepsilon \rightarrow 0} -K(T_1 + T_2) + i\infty$$

$$|G(-i\varepsilon)| \xrightarrow{\varepsilon \rightarrow 0} \infty$$

$$\angle G(-i\varepsilon) \xrightarrow{\varepsilon \rightarrow 0} 90^\circ$$

Proof

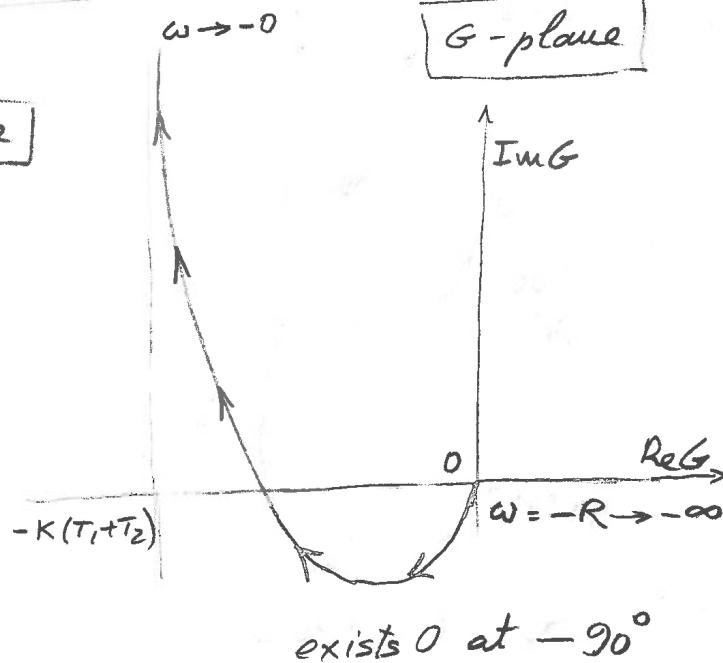
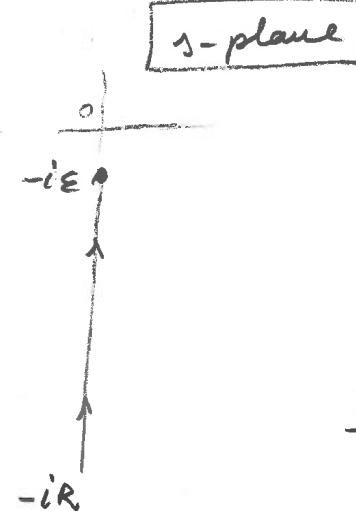
$$\begin{aligned} & \frac{K}{-i\varepsilon(-i\varepsilon T_1 + 1)(-i\varepsilon T_2 + 1)} = \\ & \frac{K}{-i\varepsilon(i^2\varepsilon^2 T_1 T_2 - i\varepsilon(T_1 + T_2) + 1)} \\ & = i\frac{K}{\varepsilon} \frac{i\varepsilon(T_1 + T_2) + 1}{\varepsilon^2(T_1 + T_2)^2 + 1} \\ & = i\frac{K}{\varepsilon} \left[ i\varepsilon(T_1 + T_2) + 1 \right] \\ & = -K(T_1 + T_2) + i\frac{K}{\varepsilon} \end{aligned}$$

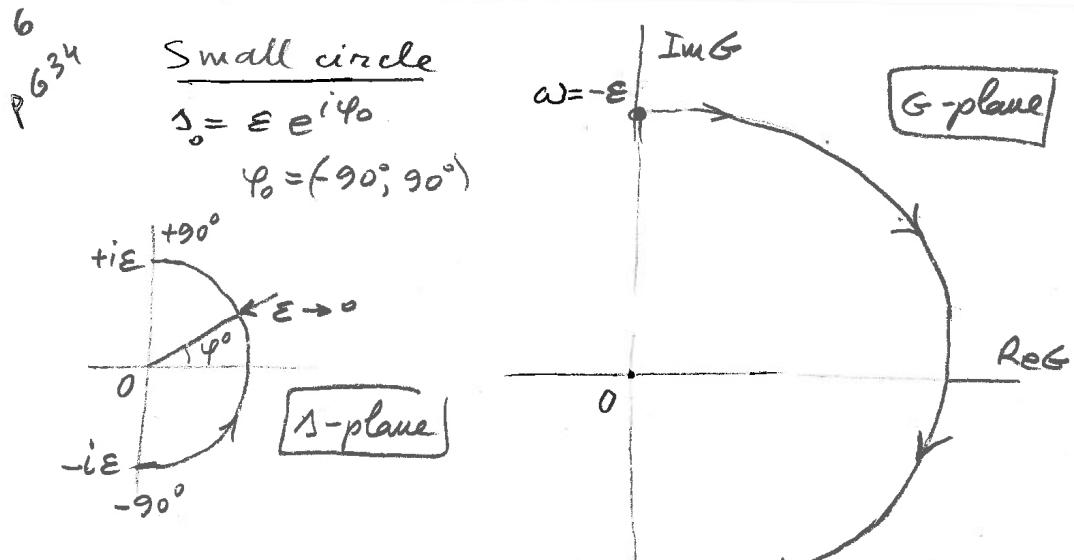
$$G(-iR) = \frac{K}{-iR(-T_1 iR + 1)(-T_2 iR + 1)} \approx \frac{K}{-T_1 T_2 R^3 i^3} = \frac{K}{T_1 T_2 R^3} e^{-i\frac{\pi}{2}}$$

$$\frac{-1}{i^3} = \frac{i^2}{i^3} = \frac{1}{i} = -i$$

$$|G(-iR)| = \frac{K}{T_1 T_2 R^3} \xrightarrow{R \rightarrow \infty} 0$$

$$\angle G(iR) = -\frac{\pi}{2} = -90^\circ$$





$$\varphi_0 = (-90^\circ, 90^\circ)$$

$$G(s_0) = \frac{K}{\varepsilon e^{i\varphi_0} (T_1 \varepsilon e^{i\varphi_0} + 1)(T_2 \varepsilon e^{i\varphi_0} + 1)}$$

$$G(s_0) \approx \frac{K}{\varepsilon e^{i\varphi_0}} = \frac{K}{\varepsilon} e^{-i\varphi_0}$$

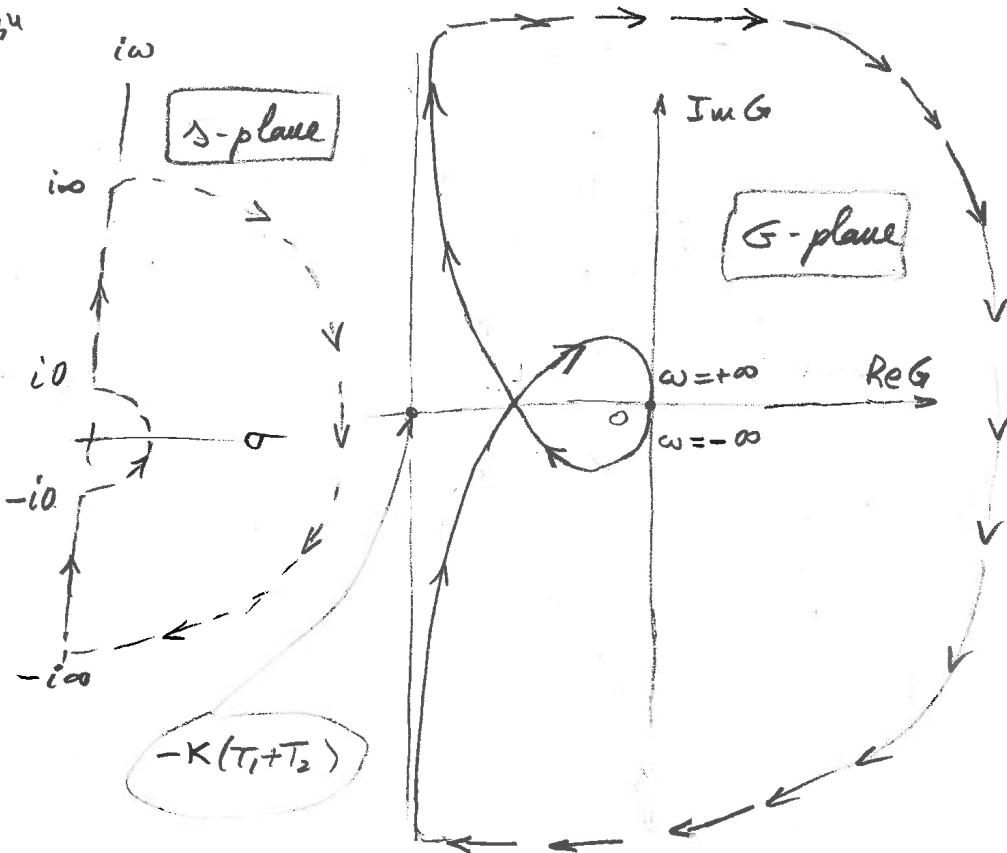
$$|G(s_0)| = \frac{K}{\varepsilon} \xrightarrow{\varepsilon \rightarrow 0} \infty$$

$$\angle G(s_0) = -\varphi_0 = (90^\circ, -90^\circ)$$

$$\varphi_0 = -90^\circ, \frac{90^\circ}{-i\varepsilon, i\varepsilon}$$

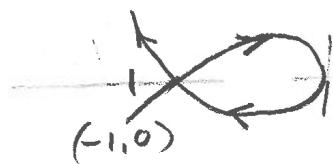
$G(s_0)$  travels a big semicircle from  $90^\circ$  to  $-90^\circ$ .

<sup>8</sup>  
<sup>7</sup>  
<sup>6</sup>  
<sup>5</sup> Finally, assemble the four segments:



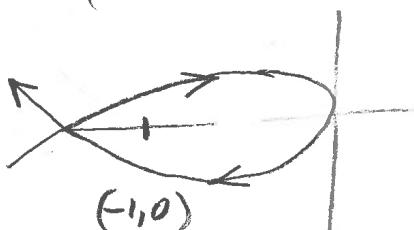
Note that  $G(s)$  goes around two times!

Depending on  $K$ , it may or may not enclose the point  $(-1, 0)$ .



STABLE

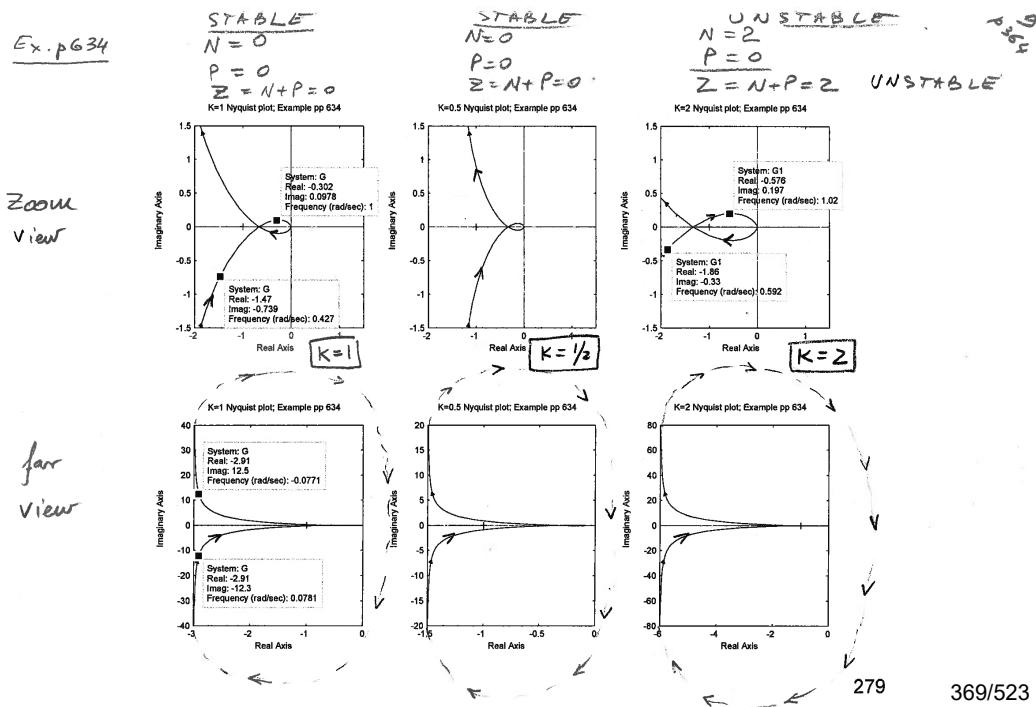
$$\frac{N=0}{\frac{P=0}{Z=0}}$$



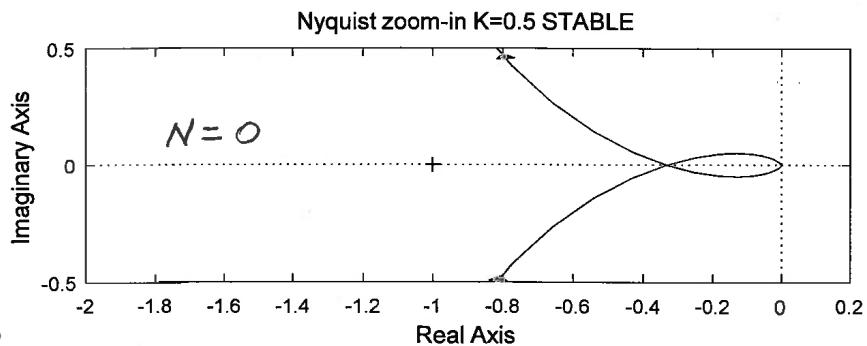
UNSTABLE

$$\frac{N=2}{\frac{P=0}{Z=2}}$$

(see MATLAB plot next page) 368/523



10  
PG34



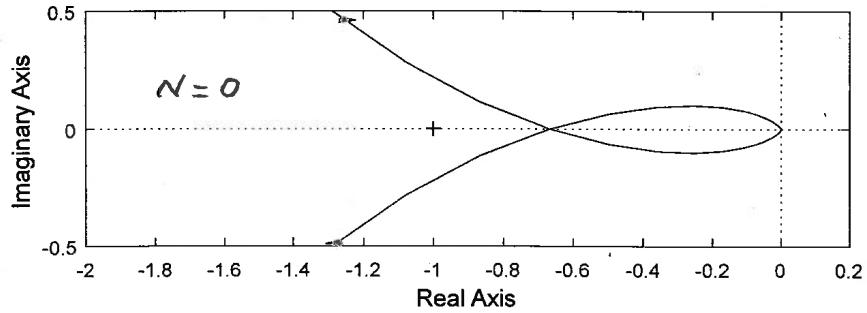
$N = 0$

$P = 0$

$Z = 0$

stable

Nyquist zoom-in  $K=1$  STABLE



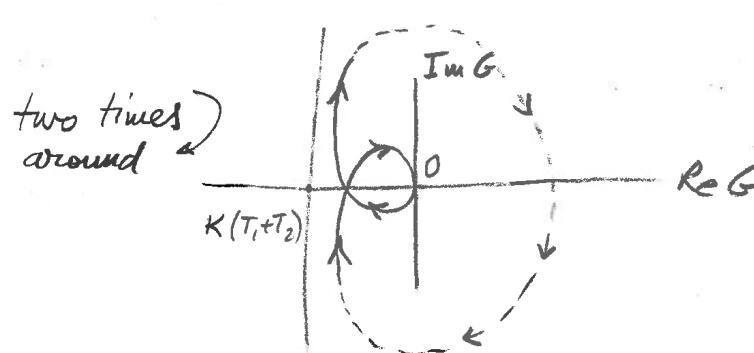
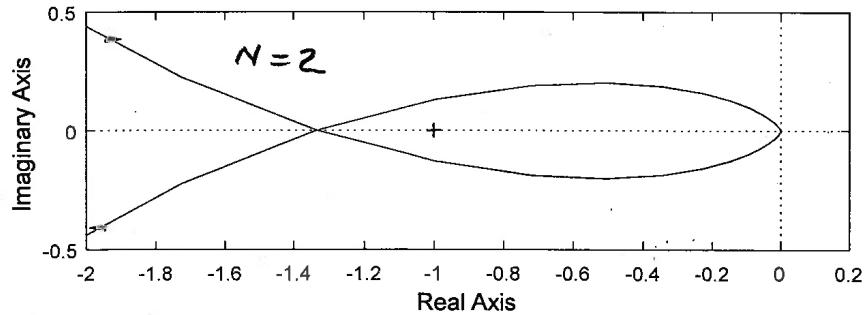
$N = 2$

$P = 0$

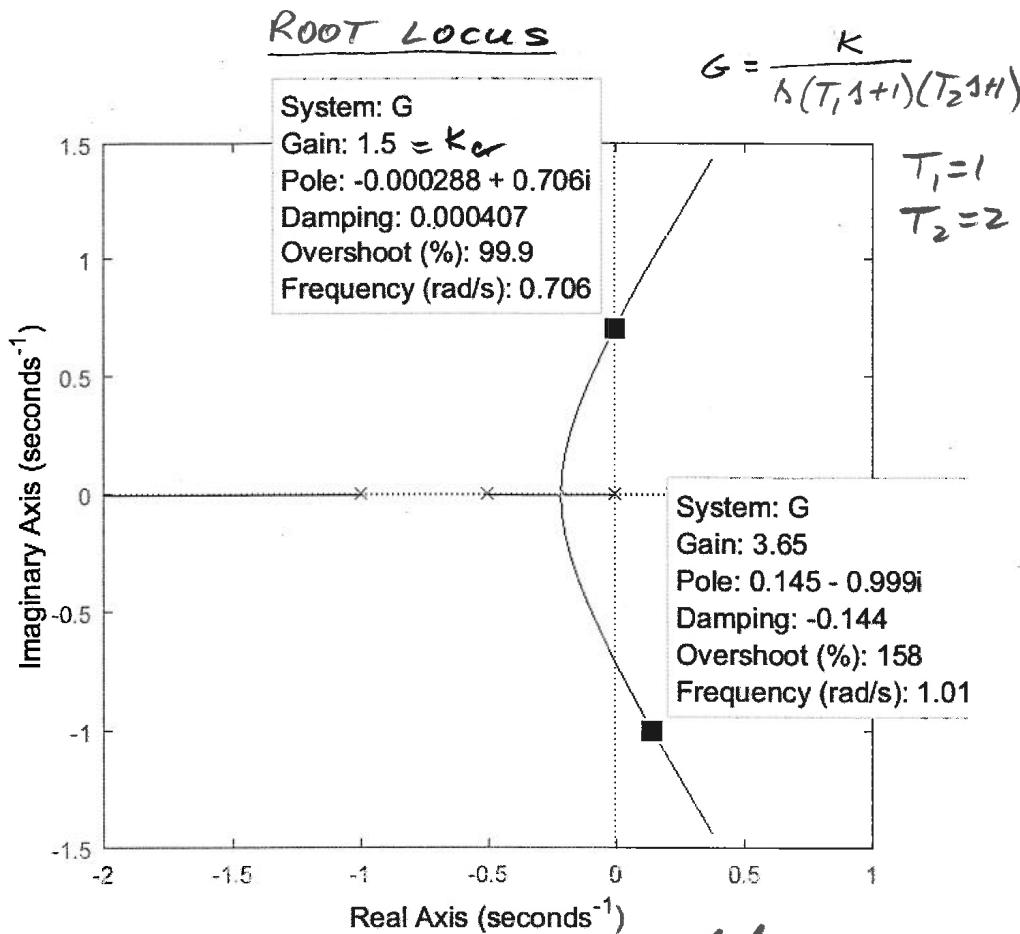
$Z = 2$

unstable

Nyquist zoom-in  $K=2$  UNSTABLE



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$K_{cr} = 1.5$  according to rlocus plot

Verify using  $G_{CL} = \frac{G}{1+G} = \frac{K}{s(T_1s+1)(T_2s+1)+K}$

$$s(T_1s+1)(T_2s+1)+K \Big|_{\substack{T_1=1 \\ T_2=2}} = s(s+1)(2s+1)+K$$

$$s(2s^2+3s+1)+K = 2s^3+3s^2+s+K$$

For sign change:

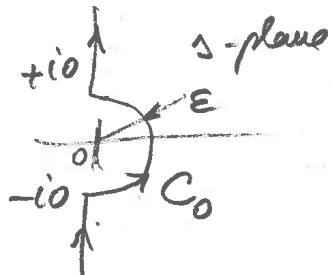
$$3-2K=0$$

$$K_{cr} = \frac{3}{2} = 1.5$$

Routh criterion	
$s^3$	2 1
$s^2$	3 $K$
$s^1$	$\frac{3-2K}{3}$
$s^0$	$K$

QED.

N3d

Tips on Nyquist Plot

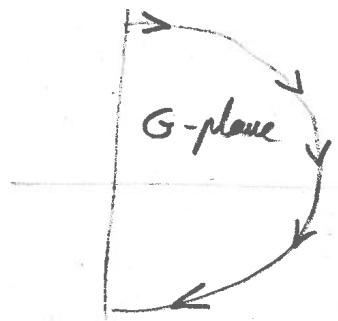
$$s_0 = \epsilon e^{i\phi}$$

$$-90^\circ < \phi < 90^\circ$$

$$G = \frac{1}{s}, \quad G(s_0) = \frac{1}{\epsilon e^{i\phi}} = \frac{1}{\epsilon} e^{-i\phi}$$

(Type 1 system)

$$+90^\circ > \angle G(s_0) > -90^\circ$$

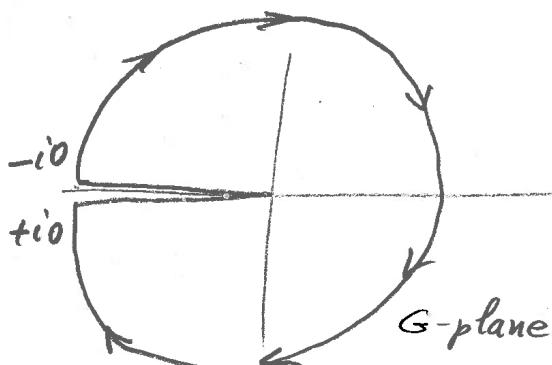


Half-circle, clockwise.

$$G = \frac{1}{s^2}, \quad G(s_0) = \frac{1}{(\epsilon e^{i\phi})^2} = \frac{1}{\epsilon^2} e^{-i2\phi}$$

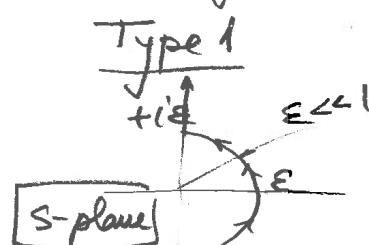
(Type 2 system)

$$+180^\circ > \angle G(s_0) > -180^\circ$$



Full circle,  
clockwise.

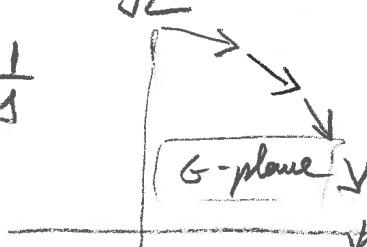
Details on behavior of common functions on the Nyquist circuit



$$\zeta_0 = \varepsilon e^{i\varphi}$$

$$-90^\circ < \varphi < 90^\circ$$

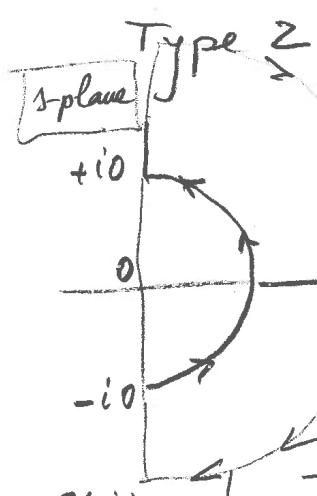
$$G(s) = \frac{1}{s}$$



$$G(s_0) = \frac{1}{\varepsilon e^{i\varphi}}$$

$$= \frac{1}{\varepsilon} e^{-i\varphi}$$

$$90^\circ > \angle G(s_0) > -90^\circ$$



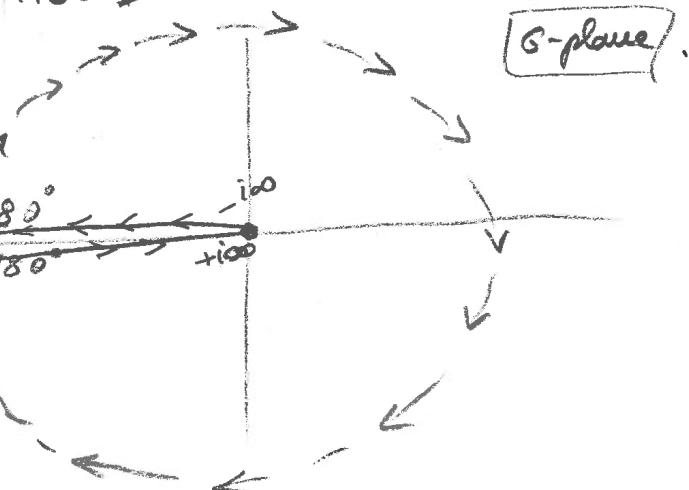
$$G(-i0) = \frac{1}{(-i0)^2}$$

$$G(+i0) = \frac{1}{(i0)^2}$$

$$G(s) = \frac{1}{s^2}$$

$$G(s_0) = \frac{1}{\varepsilon^2} e^{-i2\varphi}, \quad -90^\circ < \varphi < +90^\circ$$

$$+180^\circ > \angle G(s_0) > -180^\circ$$



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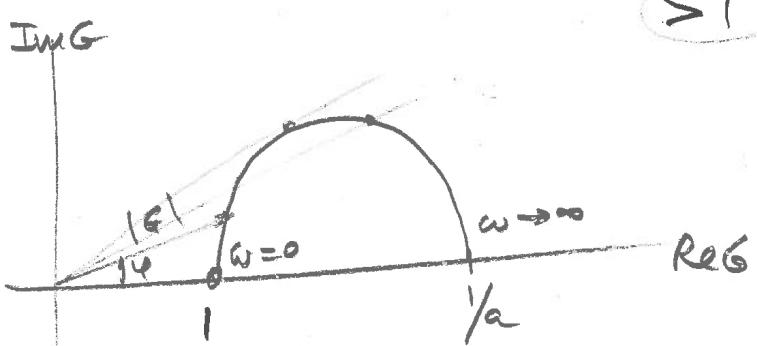
$N/a$ 

$$G(s) = \frac{Ts+1}{aTs+1} \quad 0 < a < 1$$

$$G(i\omega) = \frac{T(i\omega)+1}{a \cdot T(i\omega)+1}$$

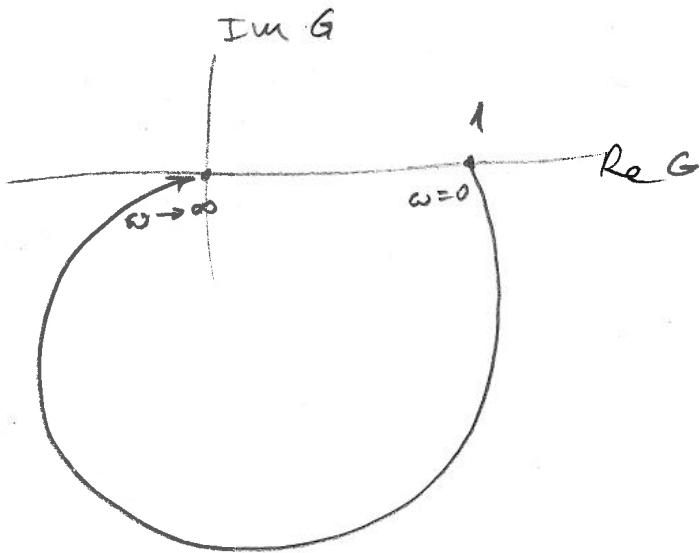
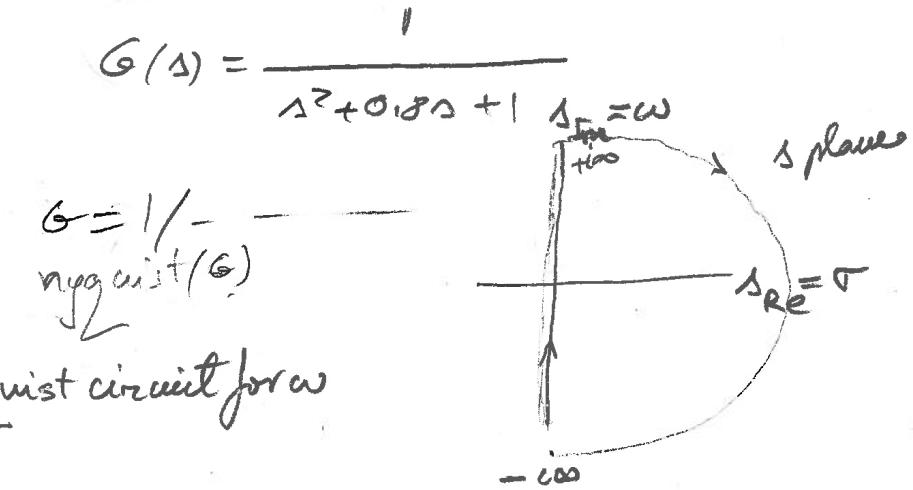
$$\omega \rightarrow 0, \quad G(i0) = 1$$

$$\omega \rightarrow \infty, \quad G(i\infty) = \lim_{\omega \rightarrow \infty} \frac{T(i\omega)+1}{aT(i\omega)+1} = \frac{T}{aT} = \frac{1}{a} > 1$$



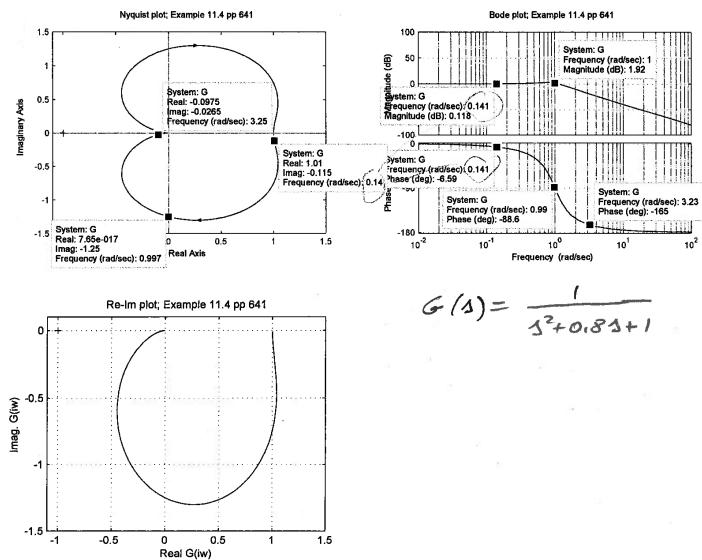
N16-

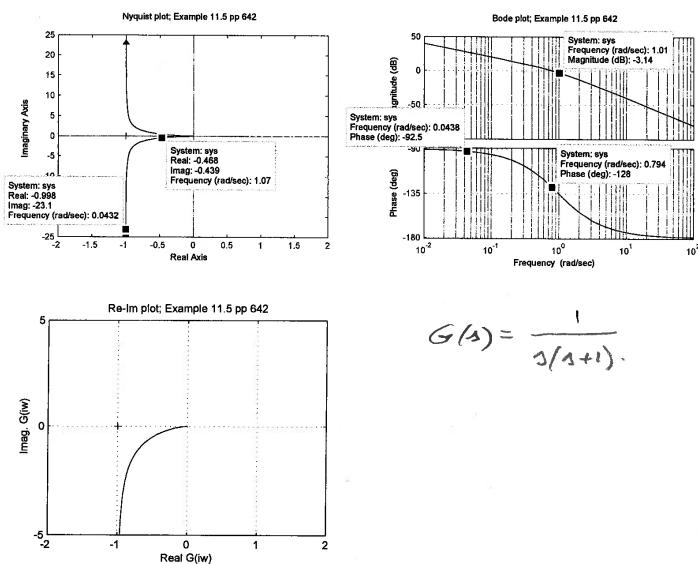
Ex 11.4 , p 641



Ex. 11.5 p 642

$$G(s) = \frac{1}{s(1+s)}$$





$$G(s) = \frac{1}{s(1+s)}$$

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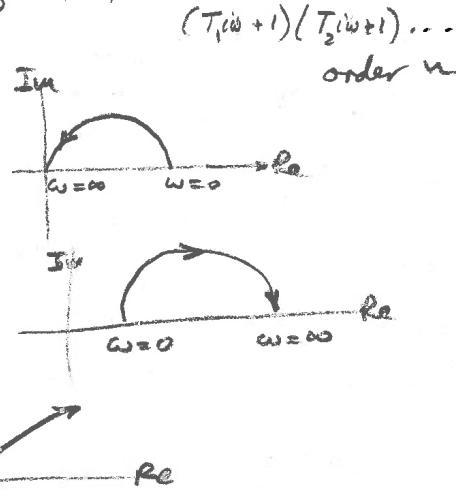
N<sub>2</sub> Nyquist plot trends

$$G(s) = \frac{K}{s^N} \frac{(T_1 s + 1)(T_2 s + 1) \dots}{(T_1 s + 1)(T_2 s + 1) \dots}$$

Type 0 systems ( $N=0$ ) ,  $G(i\omega) = K \frac{(T_1 i\omega + 1)(T_2 i\omega + 1) \dots}{(T_1 i\omega + 1)(T_2 i\omega + 1) \dots}$

$$\omega = 0 \quad G(i0) = K$$

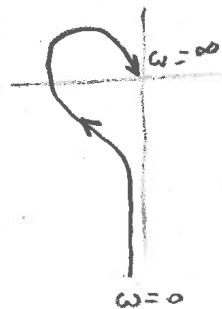
$$\omega \rightarrow \infty \quad G(i\infty) = \begin{cases} 0 & \text{for } n > m \\ \text{const.}, n = m \\ \infty, & n < m \end{cases}$$

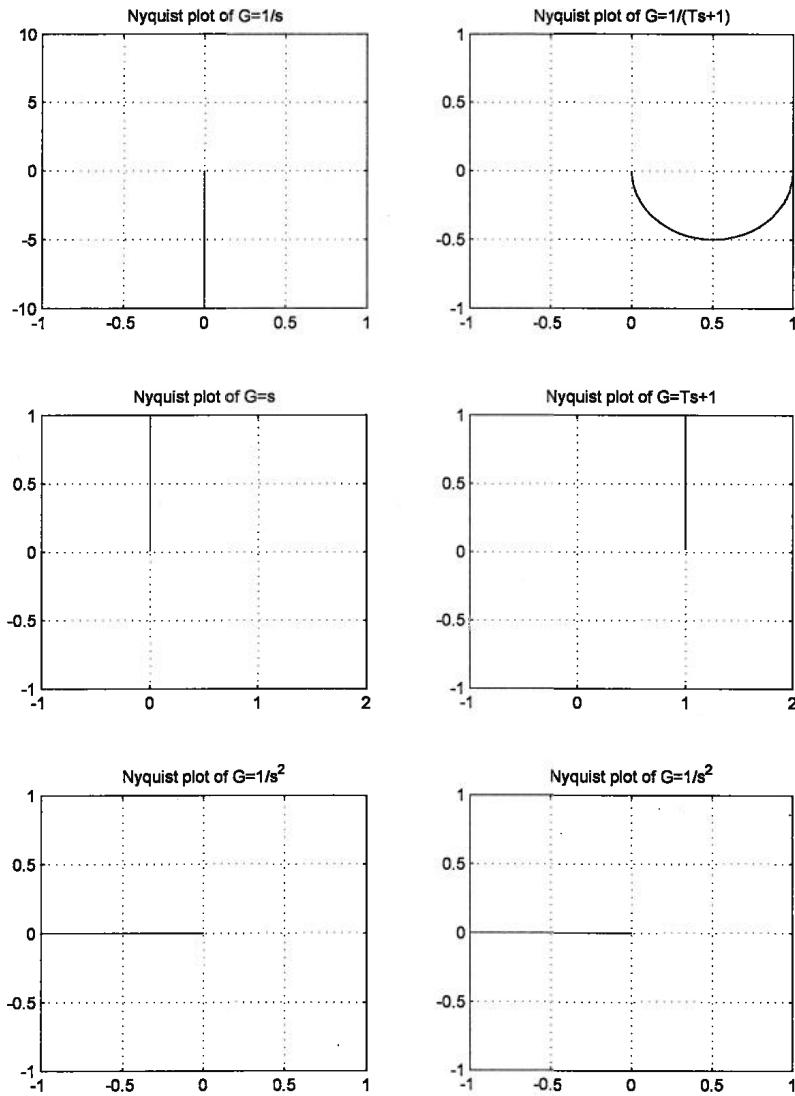


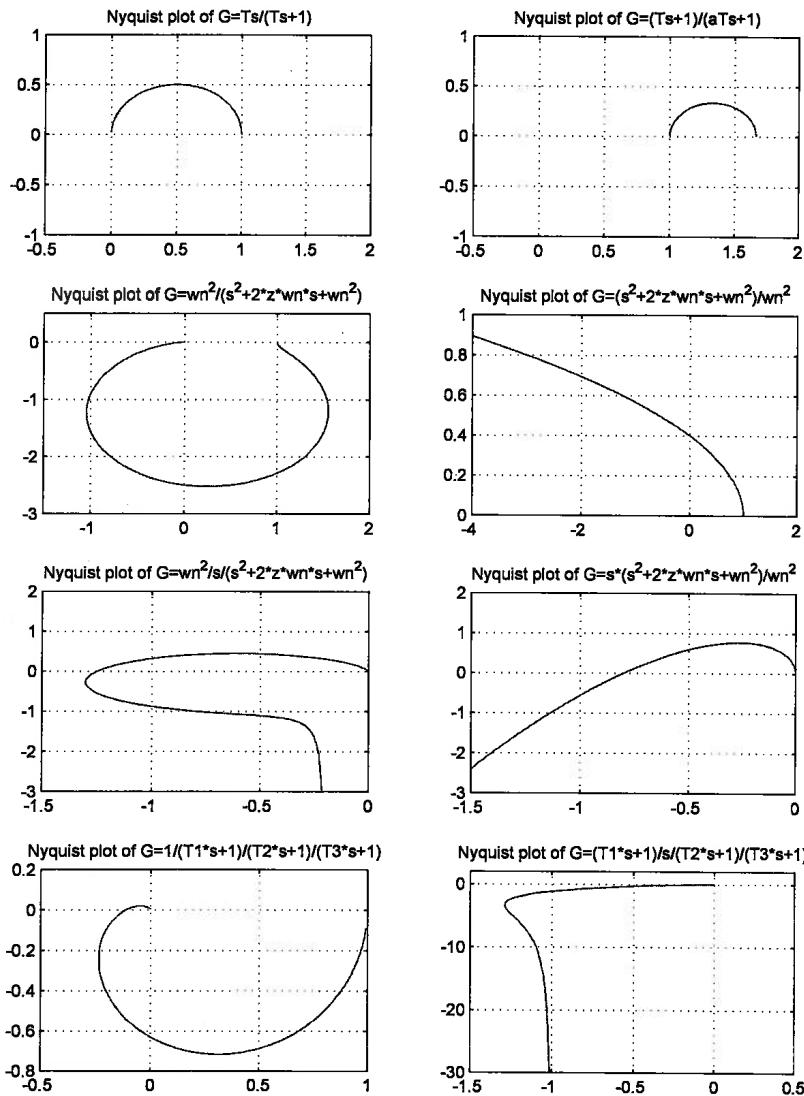
Type 1 systems ( $N=1$ ) ,  $G(i\omega) = \frac{K}{i\omega} \cdot \frac{(\ )^m}{(\ )( )^n}$

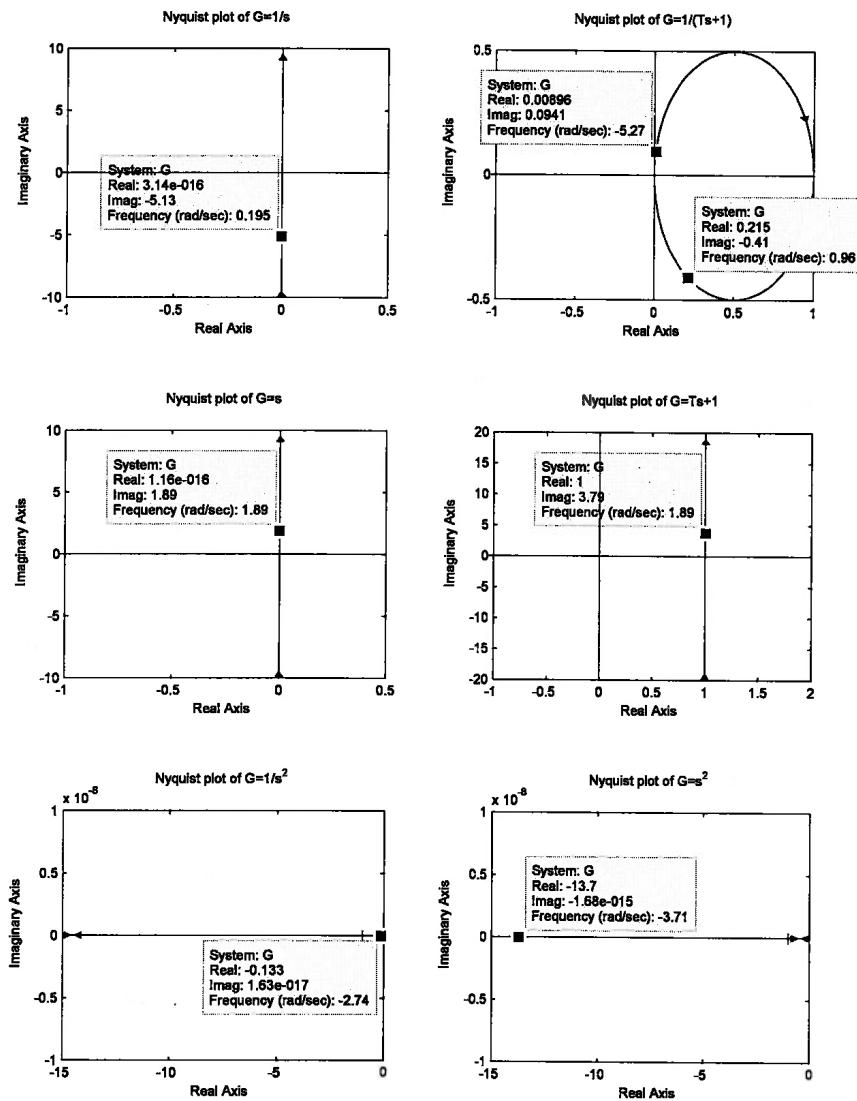
for  $n=m$  ,  $G(i\infty) = 0$

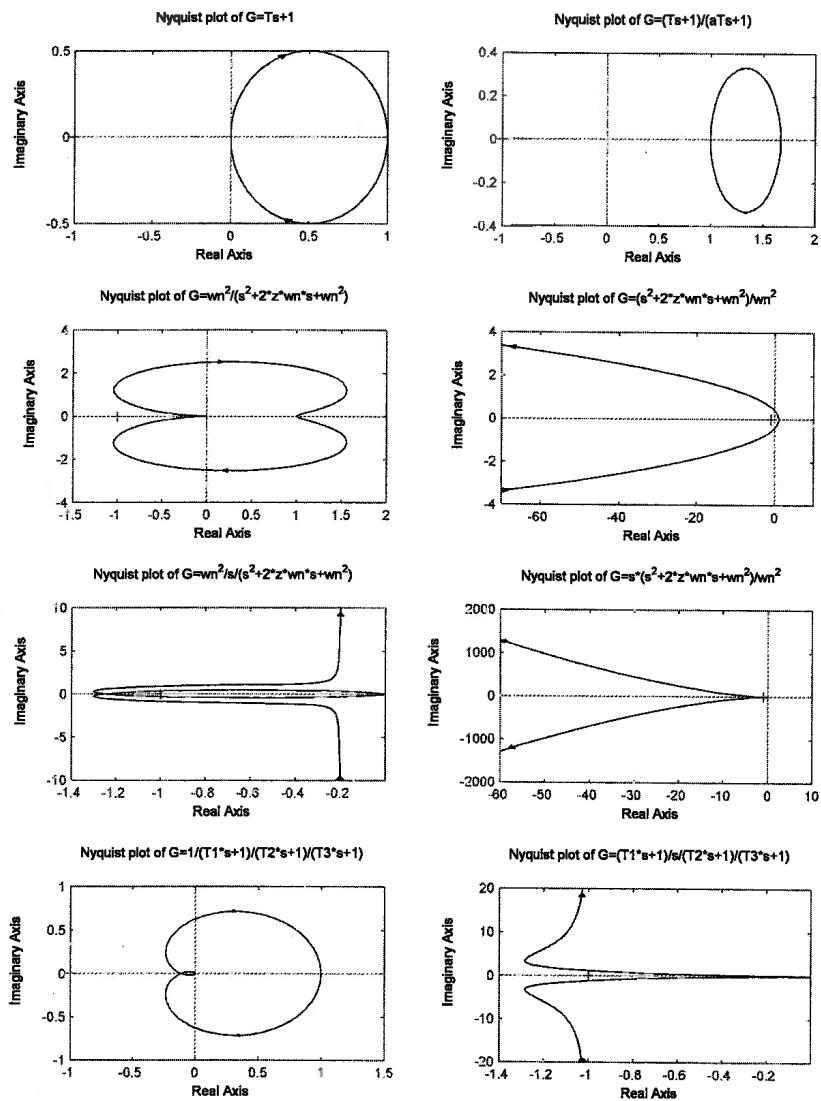
$$G(i0) = \frac{1}{i\infty} , \quad \angle G(i0) = -90^\circ$$

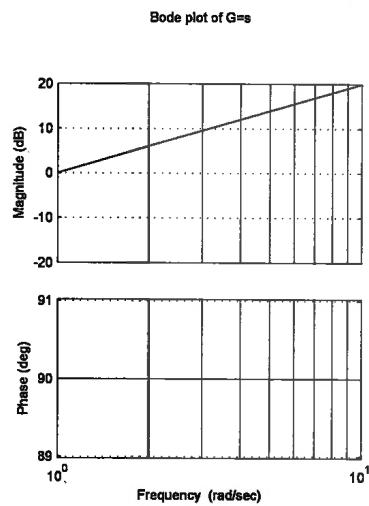
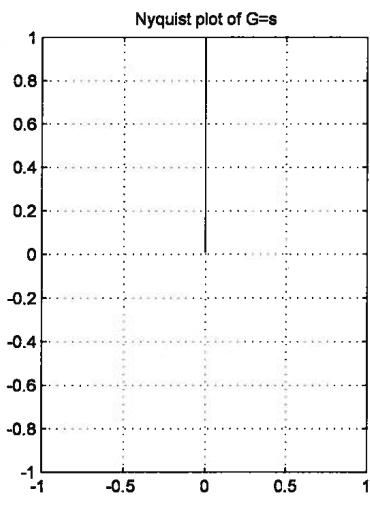
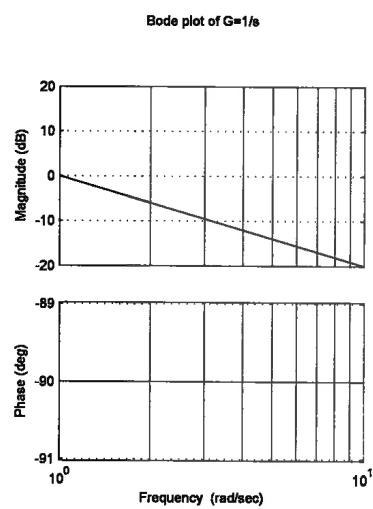
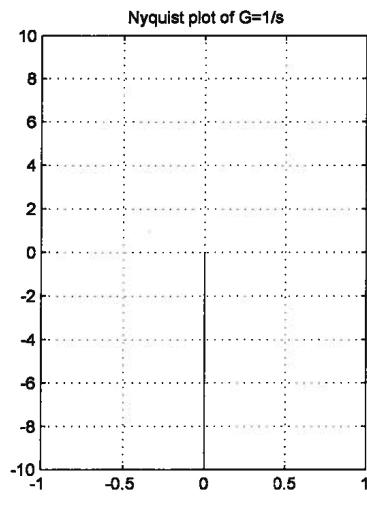


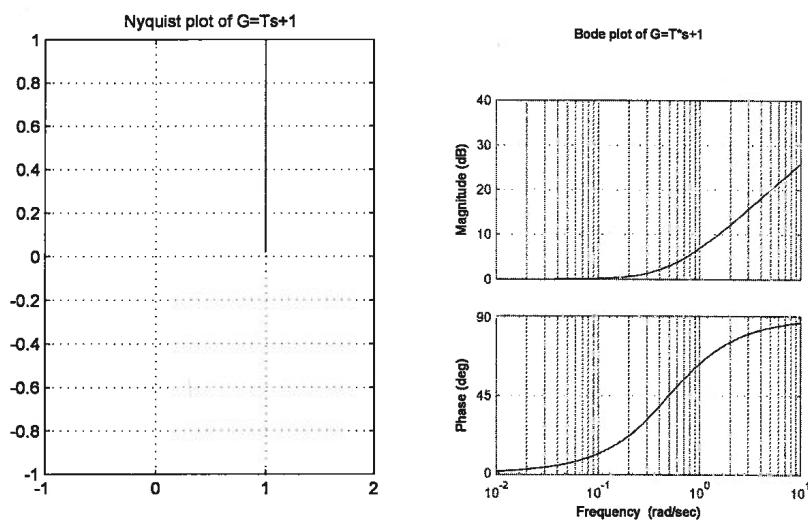
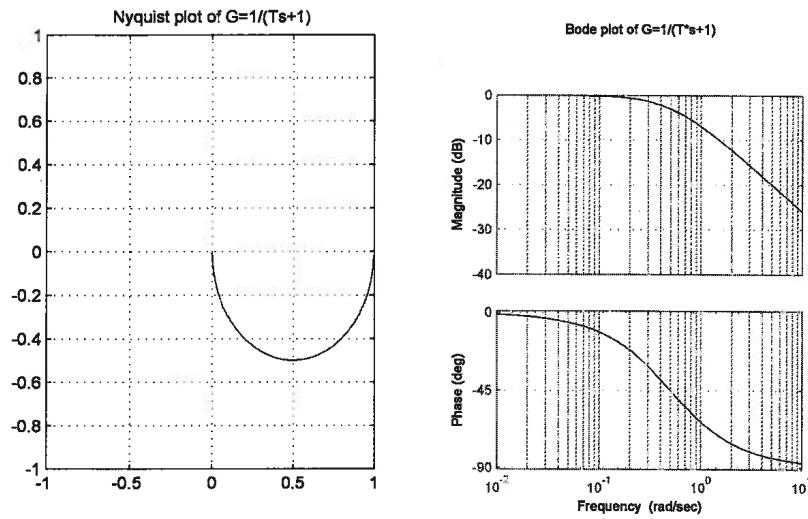


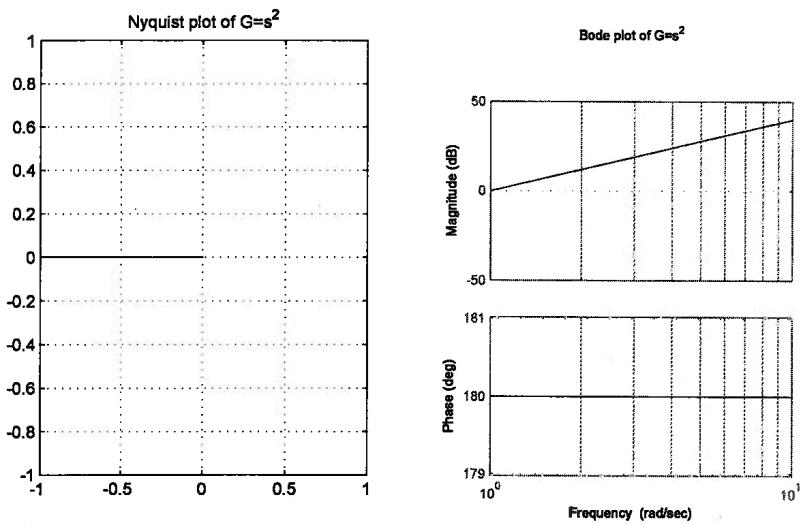
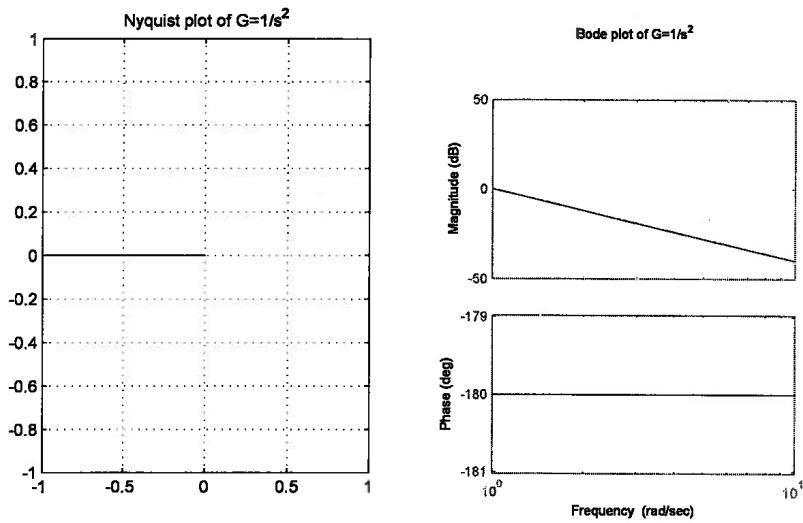


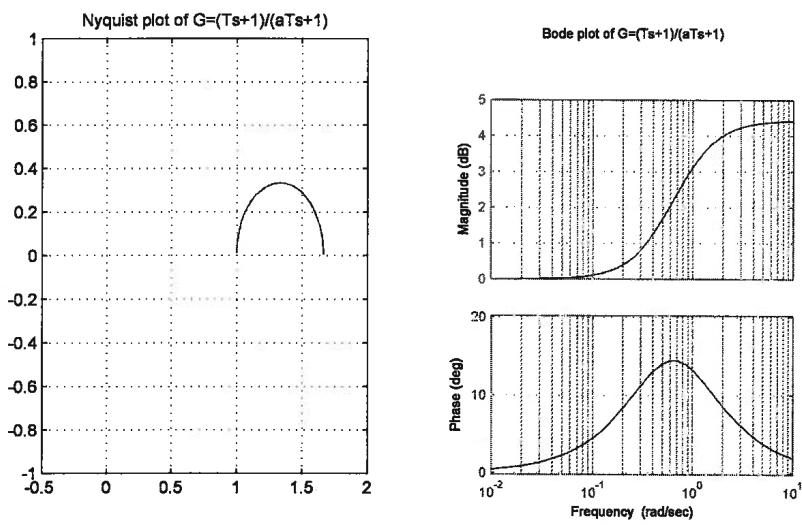
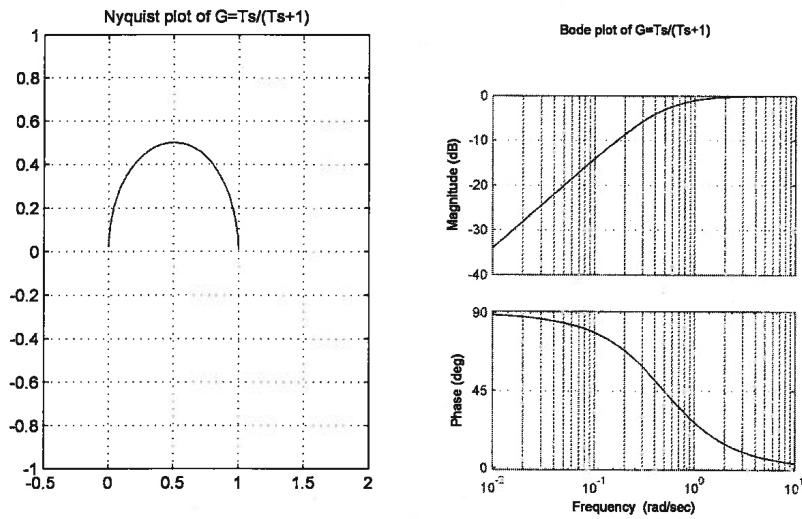


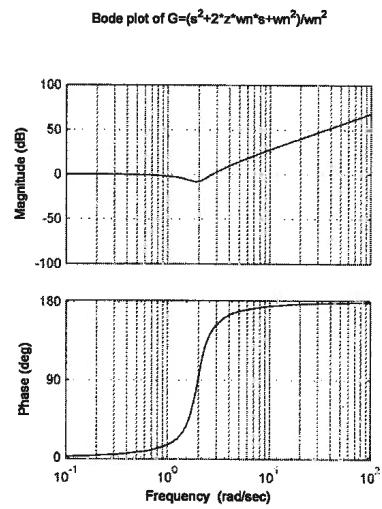
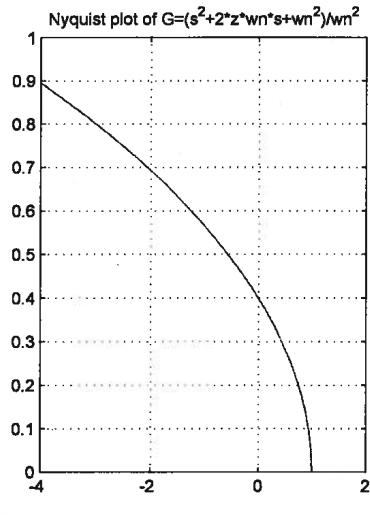
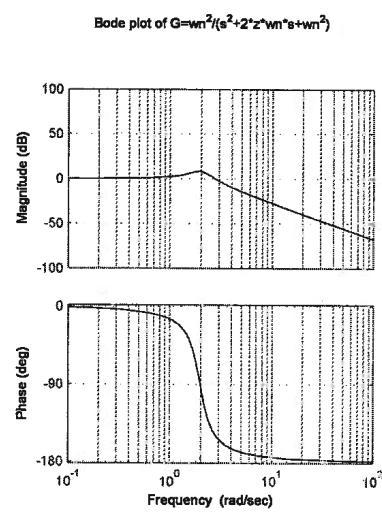
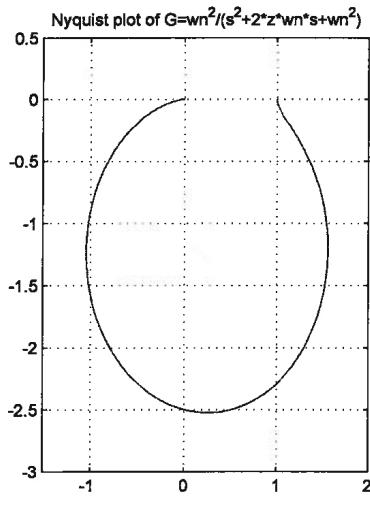


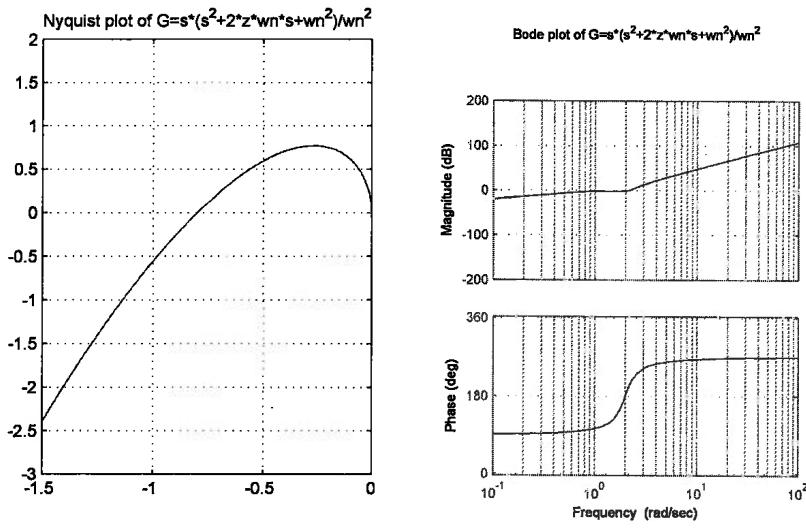
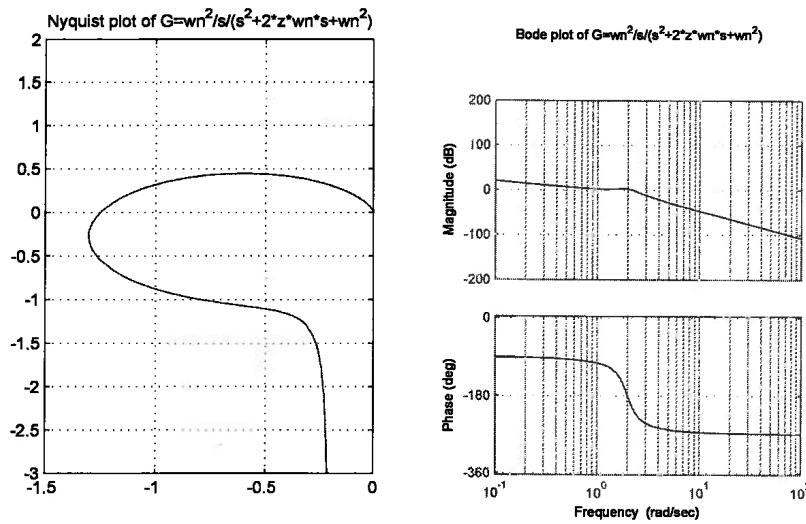


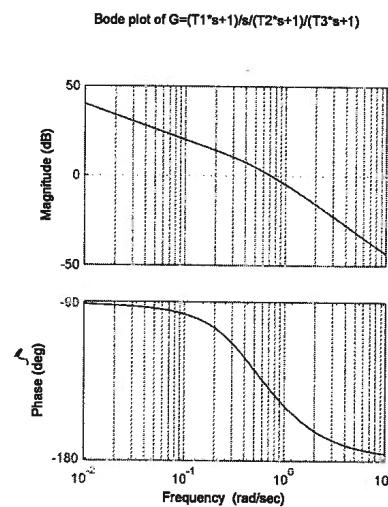
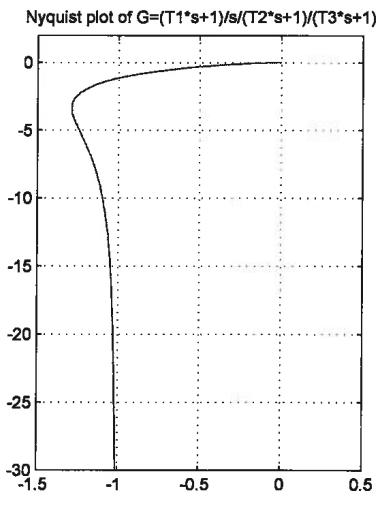
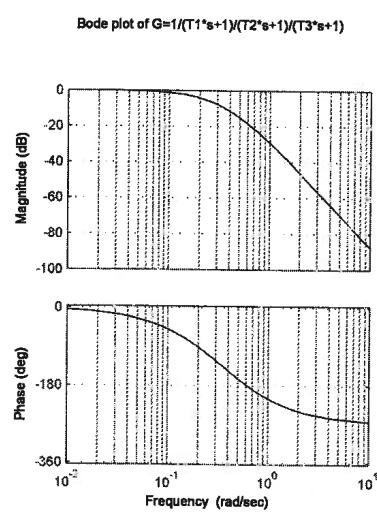
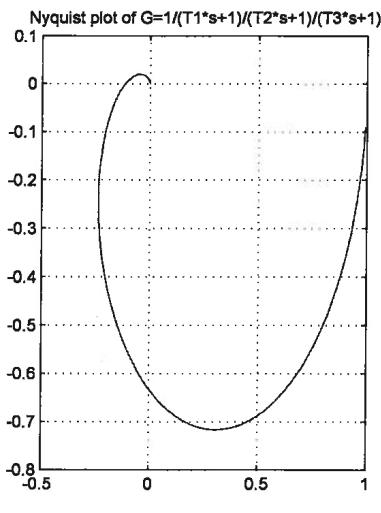












## 8.6 Stability Margins

1  
N

MIL-DTL-9490E defines margin requirements for aircraft flight control systems (FCS) with feedback:

$GM$  = gain margin

$PM$  = phase margin

TABLE III. Gain and phase margin requirements (dB, degrees).

Air speed Mode Frequency Hz	Below $V_{cMIN}$	$V_{cMIN}$ to $V_{cMAX}$	At Limit Airspeed ( $V_L$ )	At $1.15 V_L$
$f_M < 0.06$		$GM = \pm 4.5$ $PM = \pm 30$	$GM = \pm 3.0$ $PM = \pm 20$	
$0.06 \leq f_M <$ First Aero- elastic Mode	$GM = 6$ dB (No Phase Requirement Below $V_{cMIN}$ )	$GM = \pm 6.0$ $PM = \pm 45$	$GM = \pm 4.5$ $PM = \pm 30$	$GM=0$ $PM=0$ (Stable at Nominal Phase and Gain)
$f_M >$ First Aero- Elastic Mode		$GM = \pm 8.0$ $PM = \pm 60$	$GM = \pm 6.0$ $PM = \pm 45$	

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## MIL-DTL-9490E

### Where:

$V_L$	= Limit airspeed (MIL-A-8860).
$V_{oMIN}$	= Minimum operational airspeed (MIL-F-8785)
$V_{oMAX}$	= Maximum operational airspeed (MIL-F-8785)
Mode	= A characteristics aeroelastic response of the aircraft as described by the an aeroelastic characteristic root of the coupled aircraft/FCS dynamic equation of motion.
GM (Gain Margin)	= The minimum chain in loop gain at normal phase, which results in instability beyond that allowed as a residual oscillation.
PM (Phase Margin)	= The minimum change in phase at normal loop gain which results in instability.
$f_M$	= Mode frequency in Hz
Nominal Phase and Gain	= The contractor's best estimate or measurement of FCS and aircraft phase and gain characteristics available at the time of requirement verification.

3.1.3.6.2 Sensitivity analysis. Tolerances on feedback gain and phase shall be established at the system level based on the anticipated range of gain and phase errors which will exist between nominal test values or predictions and in-service operation due to such factors as poorly defined nonlinear and higher order dynamics, anticipated manufacturing tolerances, aging, wear, maintenance and noncritical material failures. Gain and phase margins shall be defined, based on these tolerances, which will assure satisfactory operation in fleet usage. These gain and phase tolerances shall be established based on variations in system characteristics either anticipated or allowed by component or subsystem specification. The contractor shall establish, with the approval of the procuring agency, the range of variation to be considered based on a selected probability of exceedance for each type of variation. The contractor shall select the exceedance probability based on the criticality of the flight control function being provided. The stability requirements established through this sensitivity analysis shall not be less than 50 percent of the magnitude and phase requirements of 3.1.3.6.1.

3.1.3.7 Operation in turbulence. In Operational State I, while flying in the following applicable random and discrete turbulence environment, the FCS shall provide a safe level of operation and maintain mission accomplishment capabilities. For essential and flight phase

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### MIL-DTL-9490E

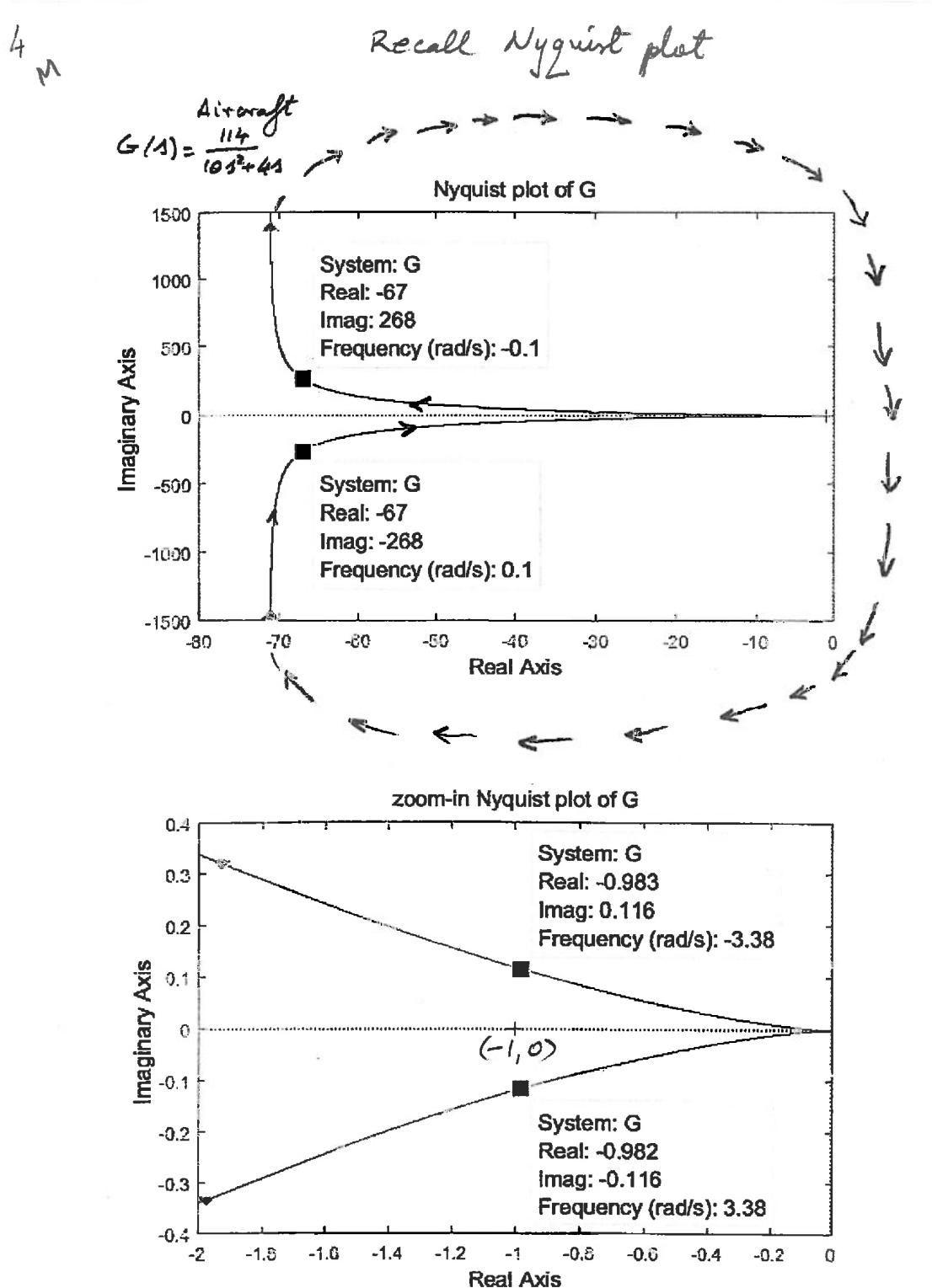
commands by two aircrew members from causing any operation in opposing directions at the same time.

**3.1.3.6 Stability.** For FCS using feedback systems, the stability as specified in 3.1.3.6.1 shall be provided. Alternatively, when approved by the procuring activity, the stability defined by the contractor through the sensitivity analyses of 3.1.3.6.2 shall be provided. Where analysis is used to demonstrate compliance with these stability requirements, the effects of major system nonlinearities shall be included.

**3.1.3.6.1 Stability margins.** Required gain and phase margins about nominal are specified in table III for all aerodynamically closed loop FCS. With these gain or phase variations included, no oscillatory instabilities shall exist with amplitudes greater than those allowed for residual oscillations in 3.1.3.8, and any non oscillatory divergence of the aircraft shall remain within the applicable limits of MIL-F-8785 or MIL-F-83300. AFCS loops shall be stable with these gain or phase variations included for any amplitudes greater than those allowed for residual oscillations in 3.1.3.8. In multiple loop systems, variations shall be made with all gain and phase values in the feedback paths held at nominal values except for the path under investigation. A path is defined to include those elements connecting a sensor to a force or moment producer. For both aerodynamic and nonaerodynamic closed loops, at least 6 dB gain margin shall exist at zero airspeed. At the end of system wear tests, at least 4.5 dB gain margin shall exist for all loops at zero airspeed. The margins specified by table III shall be maintained under flight conditions of most adverse center-of-gravity, mass distribution, and external store configuration throughout the operational envelope and during ground operations.

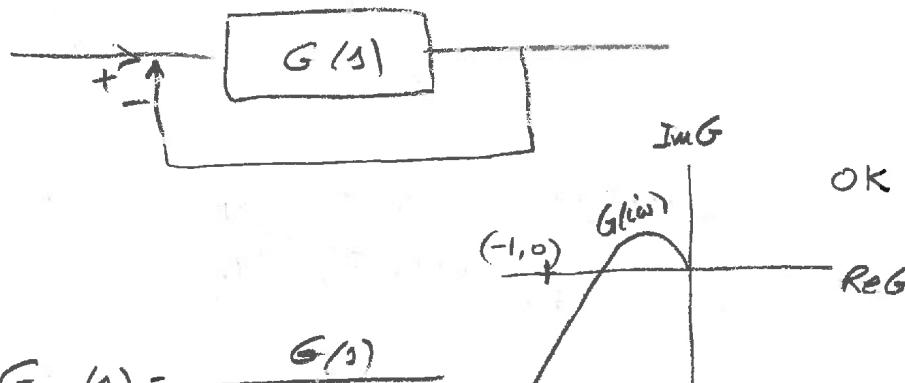
TABLE III. Gain and phase margin requirements (dB, degrees).

Air speed Mode Frequency Hz	Below $V_{oMIN}$	$V_{oMIN}$ to $V_{oMAX}$	At Limit Airspeed ( $V_L$ )	At $1.15 V_L$
$f_M < 0.06$	$GM = 6 \text{ dB}$ (No Phase Requirement Below $V_{oMIN}$ )	$GM = \pm 4.5$ $PM = \pm 30$	$GM = \pm 3.0$ $PM = \pm 20$	$GM = 0$ $PM = 0$ (Stable at Nominal Phase and Gain)
$0.06 \leq f_M < \text{FirstAero-elasticMode}$		$GM = \pm 6.0$ $PM = \pm 45$	$GM = \pm 4.5$ $PM = \pm 30$	
$f_M > \text{First Aero-Elastic Mode}$		$GM = \pm 8.0$ $PM = \pm 60$	$GM = \pm 6.0$ $PM = \pm 45$	



5  
MMargins analysis

- Gain margin
- Phase margin

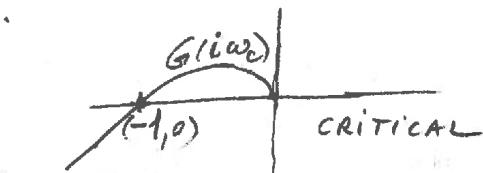


$$G_{CL}(s) = \frac{G(s)}{1 + G(s)}$$

$$G_{CL}(i\omega) \xrightarrow{\text{if } 1 + G(i\omega) \rightarrow 0} \infty$$

Critical condition  $\omega_c$ 

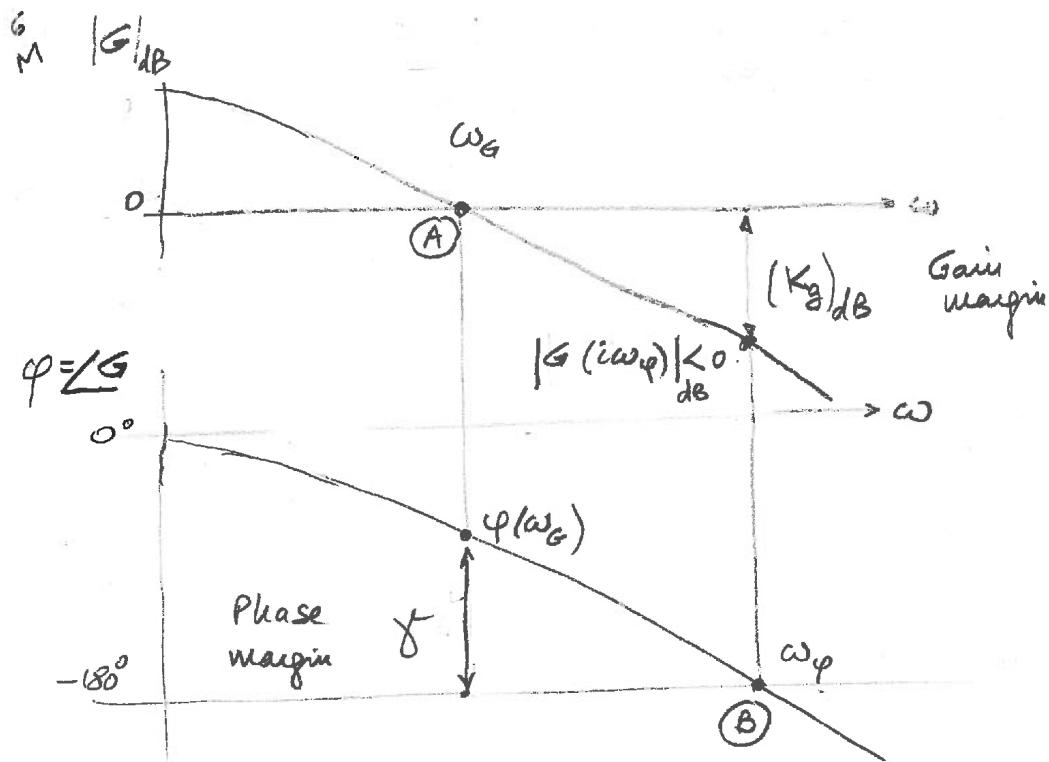
$$\begin{aligned} G(i\omega_c) &= -1 \\ -1 &= e^{-i\pi} \end{aligned}$$

Objective : stay away from  $(-1, 0)$  point!Method

(1) Plot Bode diagram

(2) Determine margins:

- Gain margin : distance from 0dB line
- Phase margin : distance from  $-180^\circ$  line

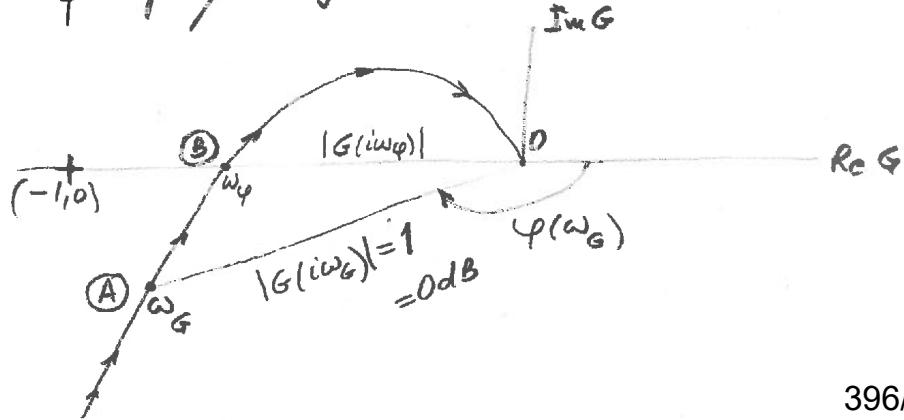


$$\text{Gain margin } (K_g)_{dB} = 0 - |G(i\omega_\varphi)| = -|G(i\omega_\varphi)| > 0$$

$$\text{Phase margin } \gamma = \angle G(i\omega_G) - (-180^\circ) = 180^\circ + \angle G(i\omega_G) > 0$$

$\omega_G$  = frequency when  $|G(i\omega_G)| = 0 \text{ dB}$

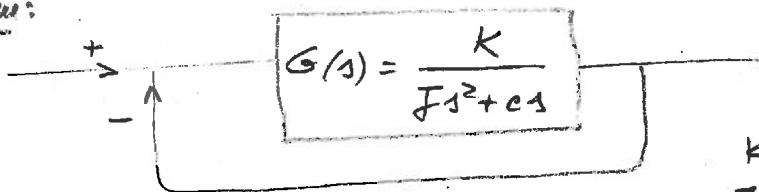
$\omega_\varphi$  = frequency when  $\varphi = -180^\circ$



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9  
2

Example : aircraft roll model

Given:

$$K = 114$$

$$J = 10$$

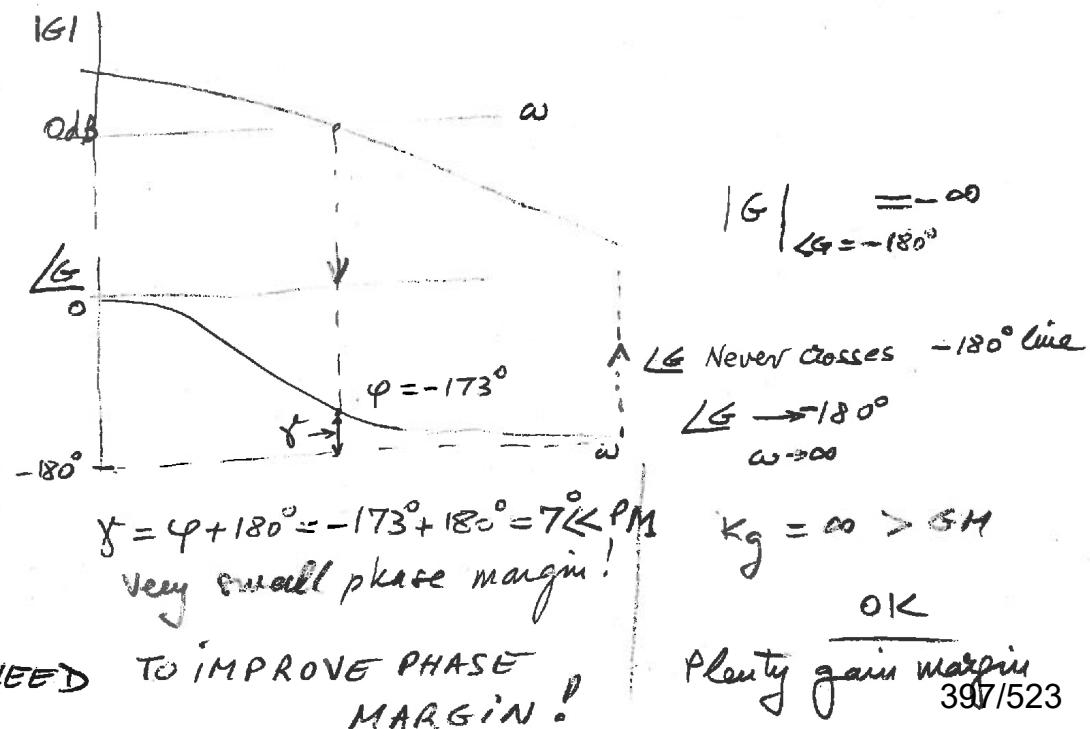
$$c = 4$$

Find:

- gain margin,  $(K_g)$  dB

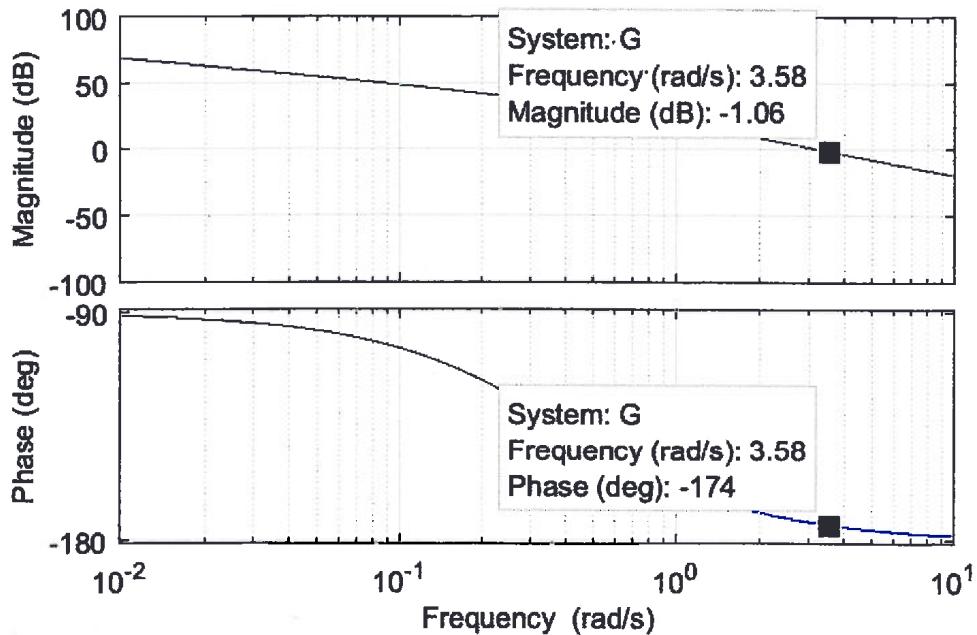
- phase margin  $\gamma$  deg

Solution : pole plot (see MATLAB plot) (Fig. 1)



Aircraft roll model  $G(s) = \frac{114}{10s^2 + 4s}$

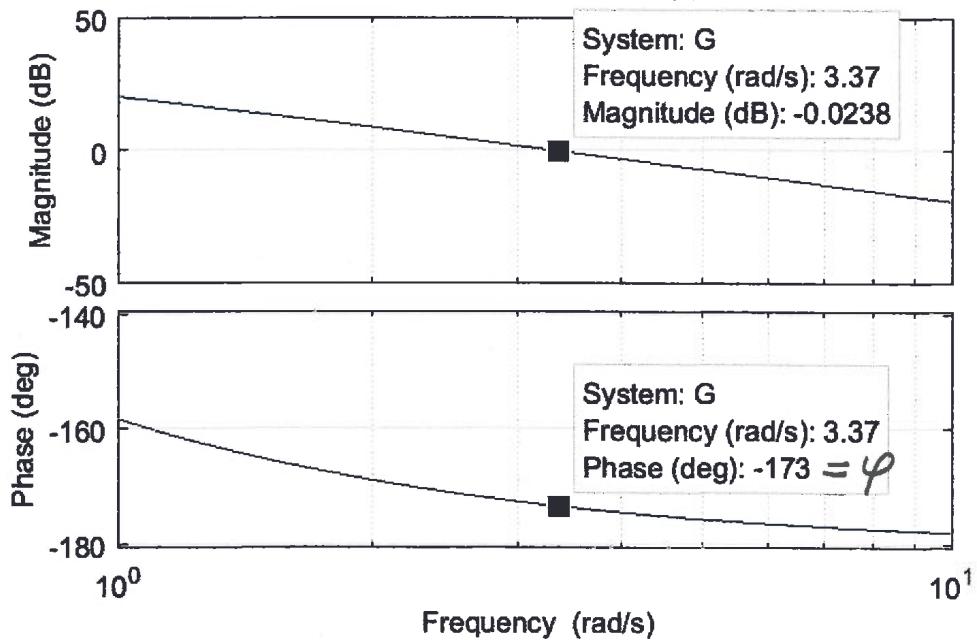
Fig.1a Bode plot of original G(s); aircraft model



$$\text{Phase margin } \gamma^\circ = 180^\circ - 173^\circ = 7^\circ \quad \left. \begin{array}{l} \text{Gain margin (dB)} = \infty \\ \text{Gain margin (Kg)} = \infty \end{array} \right\}$$

$$= 180^\circ - \varphi$$

Fig.1b zoom-in Bode plot of original G(s); aircraft model



8  
21

Phase margin: aircraft model  
input data

K | J | c =

114 10 4

G =

$$\frac{114}{10 s^2 + 4 s}$$

Continuous-time transfer function.

---

GM, dB | PM, deg =

10 60

---

phi = phase at |G|=0 dB point, deg =

-173

gamma = phase margin, deg =

7

---

## margin

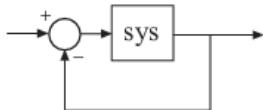
Gain margin, phase margin, and crossover frequencies

### Syntax

```
[Gm,Pm,Wgm,Wpm] = margin(sys)
[Gm,Pm,Wgm,Wpm] = margin(mag,phase,w)
margin(sys)
```

### Description

`margin` calculates the minimum gain margin,  $G_m$ , phase margin,  $P_m$ , and associated frequencies  $W_{gm}$  and  $W_{pm}$  of SISO open-loop models. The gain and phase margin of a system `sys` indicates the relative stability of the closed-loop system formed by applying unit negative feedback to `sys`, as in the following illustration.



The gain margin is the amount of gain increase or decrease required to make the loop gain unity at the frequency  $W_{gm}$  where the phase angle is  $-180^\circ$  (modulo  $360^\circ$ ). In other words, the gain margin is  $1/g$  if  $g$  is the gain at the  $-180^\circ$  phase frequency. Similarly, the phase margin is the difference between the phase of the response and  $-180^\circ$  when the loop gain is 1.0. The frequency  $W_{pm}$  at which the magnitude is 1.0 is called the *unity-gain frequency* or *gain crossover frequency*. It is generally found that gain margins of three or more combined with phase margins between 30 and 60 degrees result in reasonable trade-offs between bandwidth and stability.

`[Gm,Pm,Wgm,Wpm] = margin(sys)` computes the gain margin  $G_m$ , the phase margin  $P_m$ , and the corresponding frequencies  $W_{gm}$  and  $W_{pm}$ , given the SISO open-loop dynamic system model `sys`.  $W_{gm}$  is the frequency where the gain margin is measured, which is a  $-180^\circ$  degree phase crossing frequency.  $W_{pm}$  is the frequency where the phase margin is measured, which is a 0dB gain crossing frequency. These frequencies are expressed in radians/TimeUnit, where `TimeUnit` is the unit specified in the `TimeUnit` property of `sys`. When `sys` has several crossovers, `margin` returns the smallest gain and phase margins and corresponding frequencies.

The phase margin  $P_m$  is in degrees. The gain margin  $G_m$  is an absolute magnitude. You can compute the gain margin in dB by

$$Gm\_dB = 20 * \log10(Gm)$$

`[Gm,Pm,Wgm,Wpm] = margin(mag,phase,w)` derives the gain and phase margins from Bode frequency response data (magnitude, phase, and frequency vector). `margin` interpolates between the frequency points to estimate the margin values. Provide the gain data `mag` in absolute units, and phase data `phase` in degrees. You can provide the frequency vector `w` in any units; `margin` returns  $W_{gm}$  and  $W_{pm}$  in the same units.

#### Note

When you use `margin(mag,phase,w)`, `margin` relies on interpolation to approximate the margins, which generally produces less accurate results. For example, if there is no 0 dB crossing within the `w` range, `margin` returns a phase margin of `Inf`. Therefore, if you have an analytical model `sys`, using `[Gm,Pm,Wgm,Wpm] = margin(sys)` is the most robust way to obtain the margins.

`margin(sys)`, without output arguments, plots the Bode response of `sys` on the screen and indicates the gain and

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phase margins on the plot. By default, gain margins are expressed in dB on the plot.

## Examples

### Gain and Phase Margins of Open-Loop Transfer Function

Create an open-loop discrete-time transfer function.

```
hd = tf([0.04798 0.0464],[1 -1.81 0.9048],0.1)
```

```
hd =
```

```
0.04798 z + 0.0464  
-----  
z^2 - 1.81 z + 0.9048
```

Sample time: 0.1 seconds

Discrete-time transfer function.

Compute the gain and phase margins.

```
[Gm,Pm,Wgm,Wpm] = margin(hd)
```

```
Gm =
```

```
2.0517
```

```
Pm =
```

```
13.5711
```

```
Wgm =
```

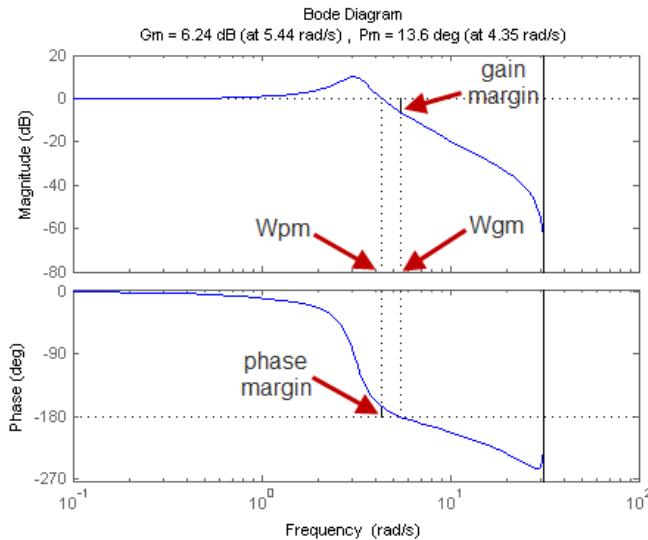
```
5.4374
```

```
Wpm =
```

```
4.3544
```

Display the gain and phase margins graphically.

```
margin(hd)
```



Solid vertical lines mark the gain margin and phase margin. The dashed vertical lines indicate the locations of  $W_{pm}$ , the frequency where the phase margin is measured, and  $W_{gm}$ , the frequency where the gain margin is measured.

## Algorithms

The phase margin is computed using  $H_\infty$  theory, and the gain margin by solving  $H(j\omega) = \overline{H(j\omega)}$  for the frequency  $\omega$ .

## See Also

[Linear System Analyzer](#) | [bode](#)

---

Introduced before R2006a

---

```

margins_aircraft.m × +
1 %% initialization
2 clc %clear command window
3 clear %removes all variables from workspace; release memory
4 format compact
5 close all %closes all figures
6 s=tf('s');
7 %% original aircraft model
8 display('Phase margin: aircraft model')
9 K=114; % gain
10 J=10; % inertia
11 c=4; % damping
12 display('input data')
13 display([K J c], ' K | J | c')
14 G=K/(J*s^2+c*s) % G(s)
15 figure(1)
16 subplot(2,1,1)
17 bode(G)
18 grid
19 title('Fig.1a Bode plot of aircraft model')
20 subplot(2,1,2)
21 d1=0;d2=1;N=1e3; w=logspace(d1,d2,N);
22 bode(G,w)
23 grid
24 title('Fig.1b zoom-in Bode plot of aircraft model')
25 % READ ON PLOT: phase at |G|=0 dB point, deg
26 % phi=-173;
27 phi=input('Input phase read on Bode plot in deg, phi=');
28 gamma=phi-(-180); % gamma = phase margin, deg
29 display([gamma],'gamma = phase margin, deg')
30 figure(2)
31 margin(G)

```

```

Phase margin: aircraft model
input data
    K   |   J   |   c =
    114     10      4

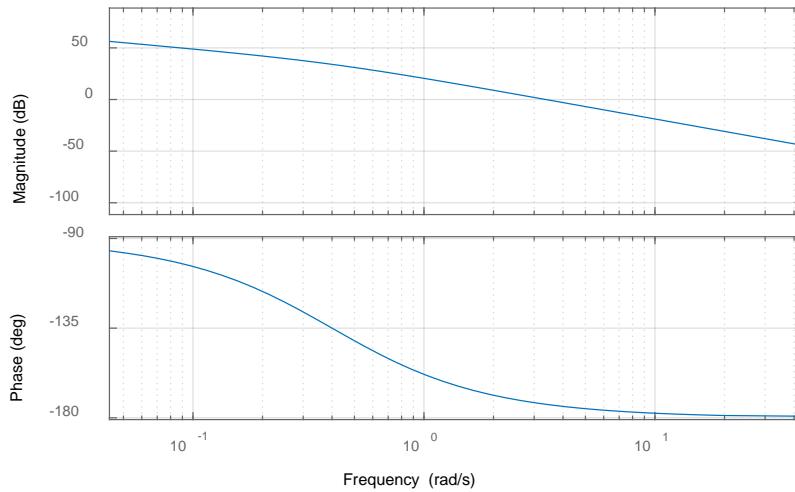
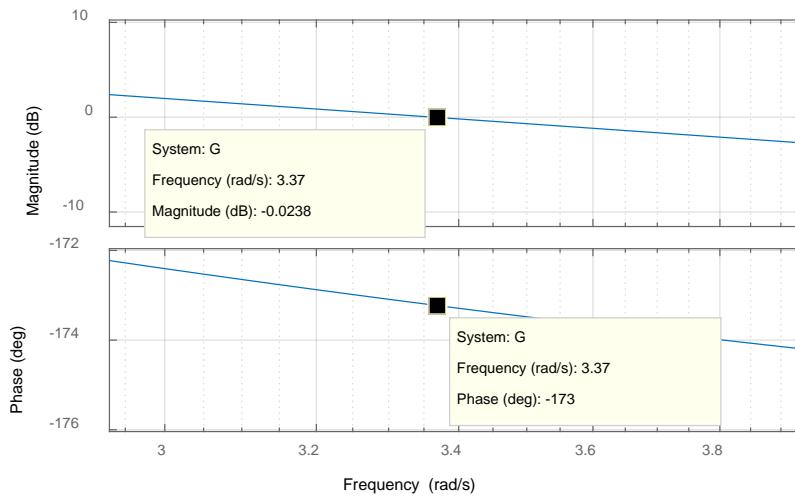
G =

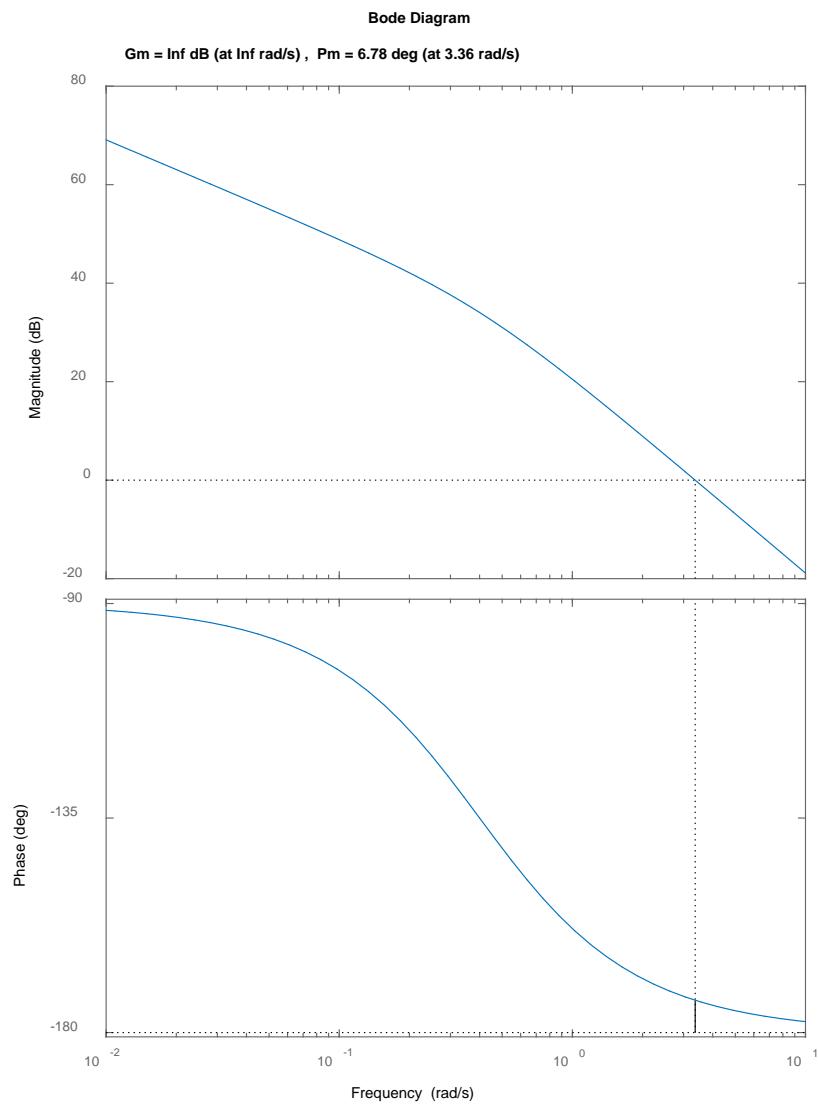
    114
    -----
    10 s^2 + 4 s

Continuous-time transfer function.

Input phase read on Bode plot in deg, phi=-173
gamma = phase margin, deg =
    7

```

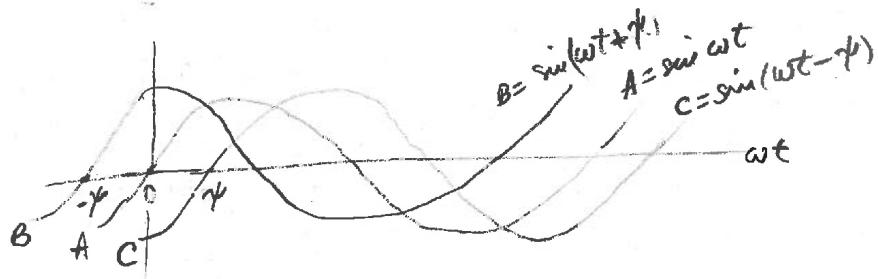
**Fig.1a** Bode plot of aircraft model**Fig.1b** zoom-in Bode plot of aircraft model



## 8.7 Phase Compensators

## C1 PHASE COMPENSATORS

Recall signal phase definition:



$$A: \sin(\omega t) = 0 \quad @ t=0$$

$$B: \sin(\omega t + \phi) = 0 \quad @ \omega t = -\phi \quad \text{"leads of time"} \\ \text{it leads}$$

$$C: \sin(\omega t - \phi) = 0 \quad @ \omega t = \phi \quad \text{"delayed"} \\ \text{it lags}$$

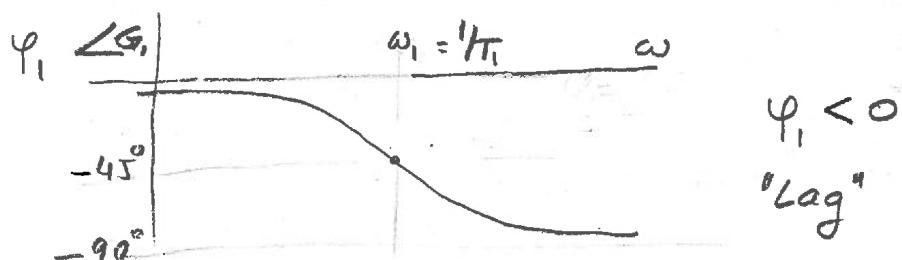
Phase compensator

$$G_c(i\omega) = |G_c(i\omega)| e^{i\varphi_c}, \quad \varphi_c = \angle G_c(i\omega)$$

if  $\varphi_c > 0$ , then "lead compensator"

$\varphi_c < 0$  "lag compensator"

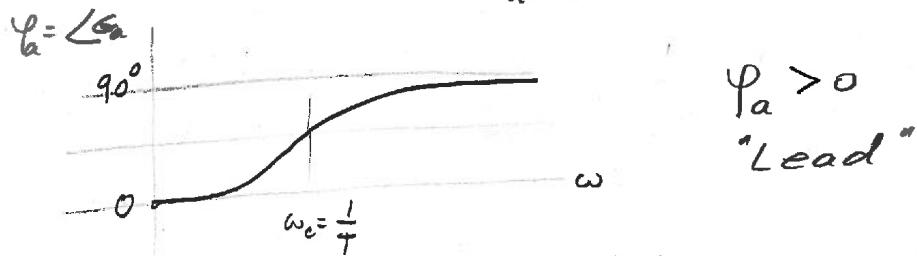
Example 1: 1<sup>st</sup> order system  $G_1(s) = \frac{1}{sT_1 + 1}$



C2

$$\underline{\text{Example 2}} : \quad G_a = sT_a + 1$$

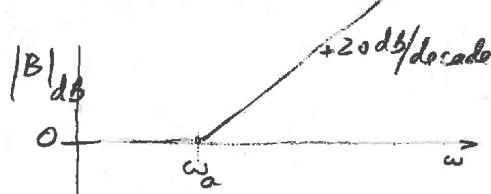
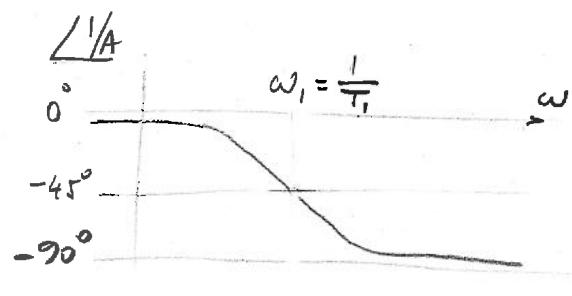
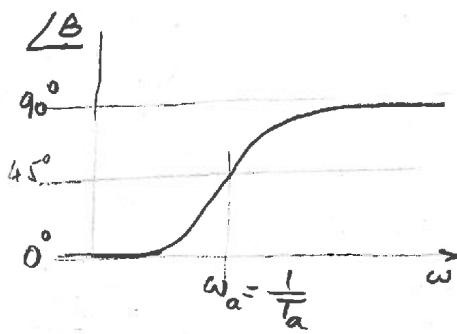
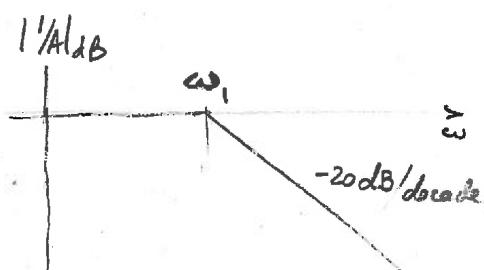
$$G_a(i\omega) = i\omega T_a + 1; \quad \varphi_a = \angle G_a = \tan^{-1} \omega T_a > 0$$



$$\underline{\text{Example 3}} \quad G_c = \frac{sT_a + 1}{sT_1 + 1} = \frac{B(s)}{A(s)}$$

$$G_c(i\omega) = \frac{i\omega T_a + 1}{i\omega T_1 + 1} = \frac{B(i\omega)}{A(i\omega)}$$

$$|G_c|_{dB} = |B|_{dB} - |A|_{dB} \quad ; \quad \angle G_c = \angle B - \angle A$$

B(iω): NUMERATORA(iω): DENOMINATOR

'Lag'

C2o

LEAD COMPENSATOR  
FOR PHASE MARGIN IMPROVEMENT

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C3

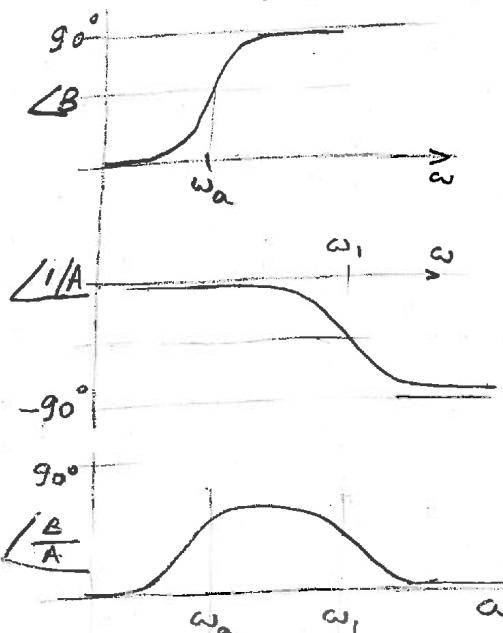
LEAD COMPENSATOR

$$\omega_a < \omega_1 \Rightarrow T_1 < T_a$$

$$G_c = \frac{sT_a + 1}{sT_1 + 1}$$

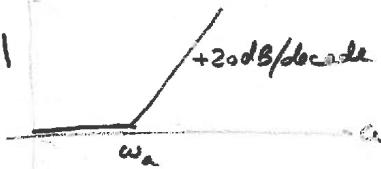
$$\omega_a = \frac{1}{T_a} \Rightarrow \omega_1 = \frac{1}{T_1}$$

-Phase-

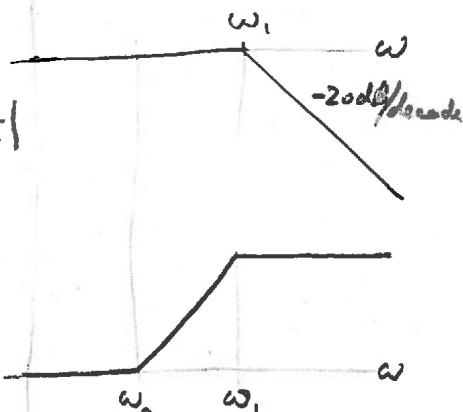


—Gain—

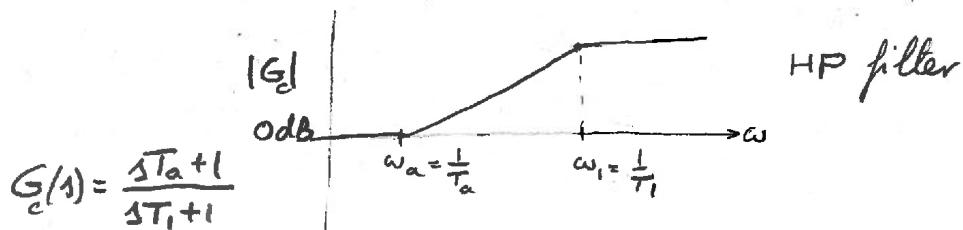
|B|



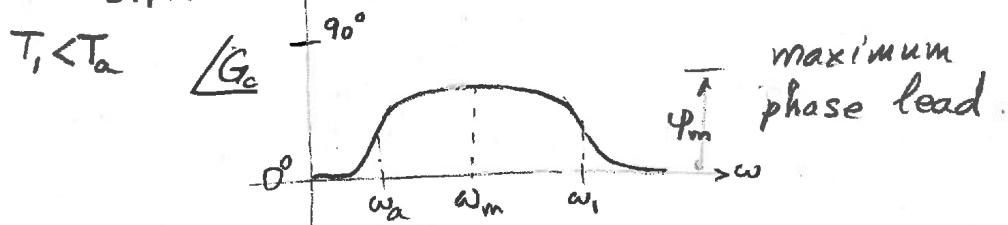
|1/A|

"phase lead" between  $\omega_a$  &  $\omega_1$ 

High pass filter  
(higher frequencies are amplified)



HP filter



C3a

Lead Compensator Design

Use lead compensator to move phase plot upward  
and improve phase margin

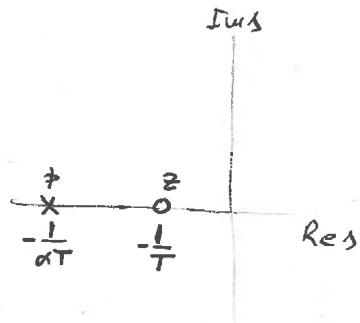
$$G_c = \frac{sT_a + 1}{sT_1 + 1} = \frac{sT + 1}{s\alpha T + 1} \quad \text{lead compensator (1)}$$

$\omega_a < \omega_1, \quad 0 < \alpha < 1$   
 $T_a > T_1$

Poles & zeros :

$$sT + 1 = 0 \rightarrow z = -\frac{1}{T}$$

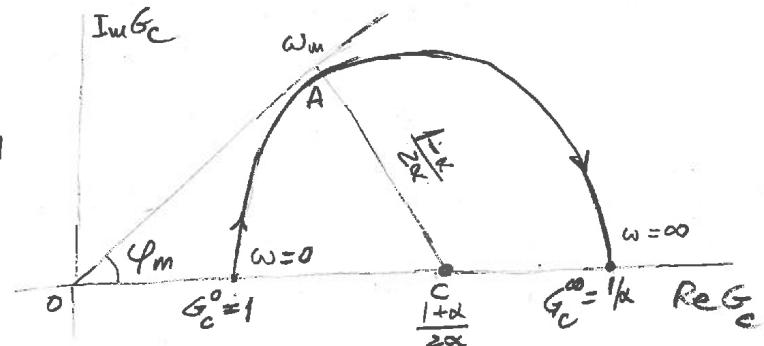
$$s\alpha T + 1 = 0 \rightarrow p = -\frac{1}{\alpha T}$$

Nyquist plot

$$G_c(i\omega) = \frac{i\omega T + 1}{i\omega\alpha T + 1}$$

$$G_c^0(i0) = 1$$

$$G_c^\infty(i\infty) = \frac{1}{\alpha}$$



$$\sin \varphi_m = \frac{1-\alpha}{1+\alpha}$$

maximum phase lead

$$\varphi_w = \pi - \varphi_m = \pi - \frac{1-\alpha}{1+\alpha}$$

(2)

$$\text{Proof : } OC = \frac{1}{2} \left( 1 + \frac{1}{\alpha} \right) = \frac{1+\alpha}{2\alpha}$$

$$\text{Radius} = \frac{1+\alpha}{2\alpha} - 1 = \frac{1-\alpha}{2\alpha} = AC$$

$$\sin \varphi_m = \frac{AC}{OC} = \frac{1-\alpha}{1+\alpha} \quad \text{QED}.$$

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C36 Calculation of  $\alpha$   
 $\text{Eq. (2)}$  can be used to determine the value of  $\alpha$  in Eq. (1), i.e.,

$$\sin \varphi_m = \frac{1-\alpha}{1+\alpha}$$

$$\frac{1+\sin \varphi_m}{1-\sin \varphi_m} = \frac{1-\alpha+1+\alpha}{1+\alpha-(1-\alpha)} = \frac{2}{2\alpha} = \frac{1}{\alpha}$$

$$\alpha = \frac{1-\sin \varphi_m}{1+\sin \varphi_m} \quad \text{--- (3)}$$

### Calculation of $T$

- $\omega_m$  is the geometric mean of the corner frequencies

$$\omega_m = \sqrt{\omega_a \omega_1} = \sqrt{\frac{1}{T_a} \cdot \frac{1}{T_1}} \quad \begin{cases} T_a = T \\ T_1 = \alpha T \end{cases} = \sqrt{\frac{1}{T} \cdot \frac{1}{\alpha T}} = \frac{1}{T} \frac{1}{\sqrt{\alpha}} \quad \text{--- (4)}$$

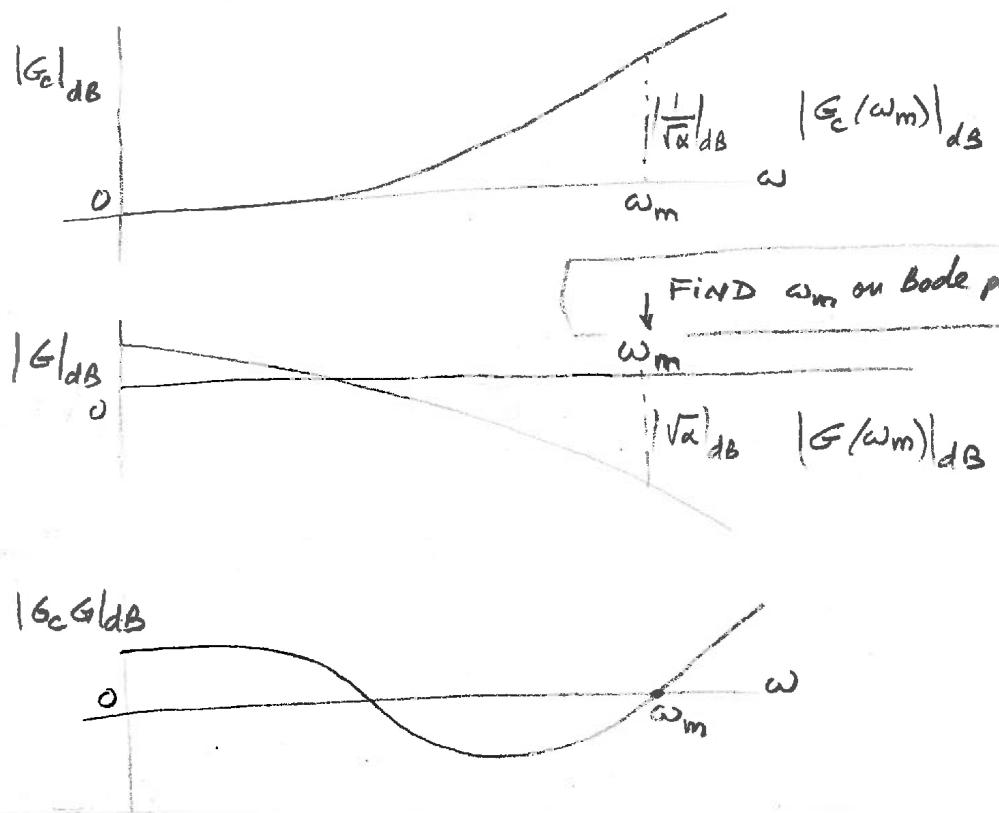
$$\begin{aligned} |G_c(\omega_m)| &= \left| \frac{i\omega_m T + 1}{i\omega_m \times T + 1} \right| = \left| \frac{i \frac{1}{\sqrt{\alpha}} T + 1}{i \alpha \frac{1}{\sqrt{\alpha}} T + 1} \right| = \left| \frac{i + \sqrt{\alpha}}{i\sqrt{\alpha} + 1} \right| \frac{1}{\sqrt{\alpha}} \\ &= \frac{\sqrt{1+\alpha}}{\sqrt{1+\alpha}} \frac{1}{\sqrt{\alpha}} = \frac{1}{\sqrt{\alpha}} \end{aligned}$$

$$|G_c(\omega_n)| = \frac{1}{\sqrt{\alpha}}, \quad 0 < \alpha < 1 \quad \text{--- (5)}$$

The phase compensator will produce a gain  $\frac{1}{\sqrt{\alpha}}$ . To find  $\omega_m$ , examine the Bode diagram of the original system  $G$ , and identify the freq. at which  $|G(\omega_m)| = \sqrt{\alpha}$ ; note that

C3c

$\omega_m$  is selected at a value at which the gain drop  $|G(\omega_n)|$  of the original system balances the gain addition  $|G_c(\omega_m)|$  due to the compensator (balance condition)



- After determining  $\omega_m$  graphically, calculate  $T$  with Eq.(4) i.e.,

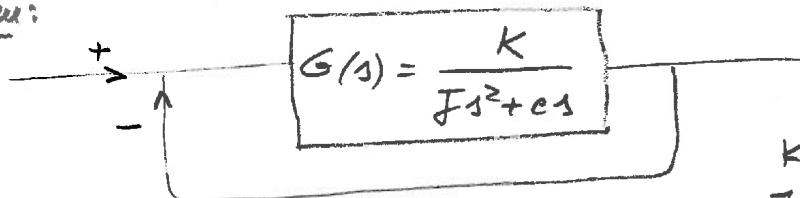
$$T = \frac{1}{\omega_n} \cdot \frac{1}{\sqrt{\alpha}} \quad (6)$$

Thus:

$$G_c = \frac{sT+1}{s\alpha T+1} \quad (7)$$

E

Example : aircraft roll model with lead compns.

Given:

$$K = 114$$

$$J = 10$$

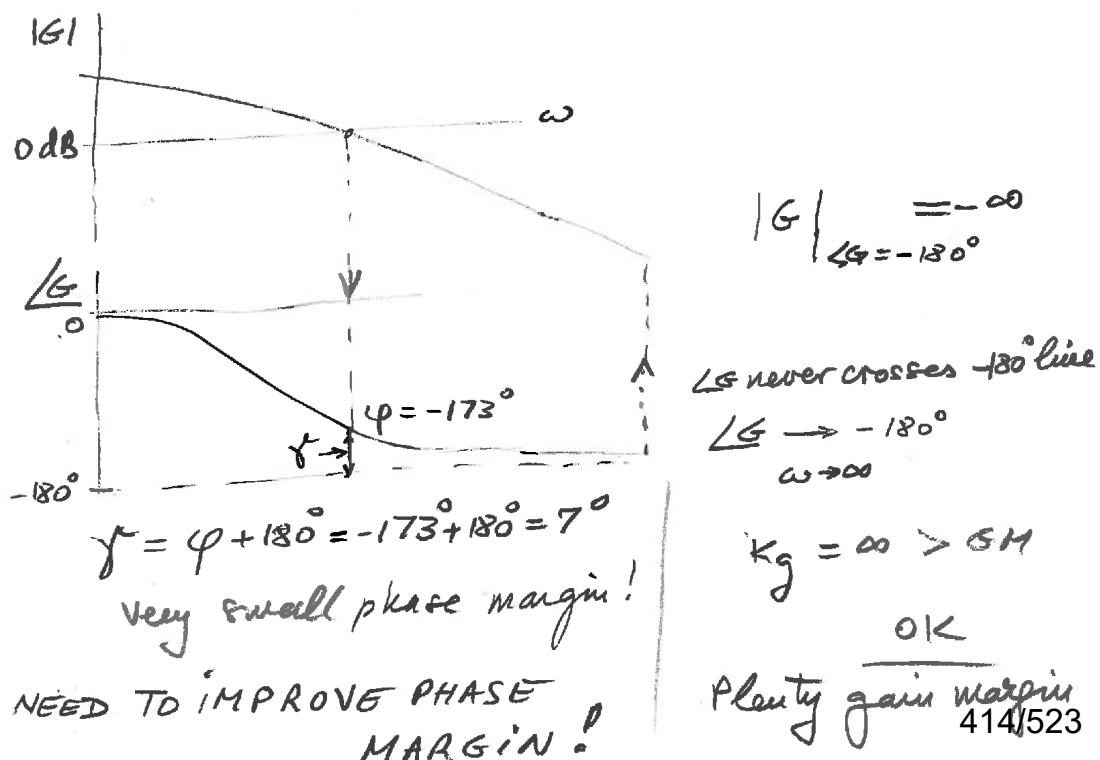
$$c = 4$$

Find(a) - gain margin, ( $K_g$ ) dB- phase margin  $\gamma$  deg

(b) design compensator to achieve

$$GM = 10 \text{ dB} \quad (1)$$

(c) plot time response

Solution (a) Bode plot (see MATLAB plot, Fig. 1)

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Phase margin: aircraft model  
input data

K |  $\omega$  | c =

114 10 4

G =

$$\frac{114}{10 s^2 + 4 s}$$

Continuous-time transfer function.

GM, dB | PM, deg =

10 60

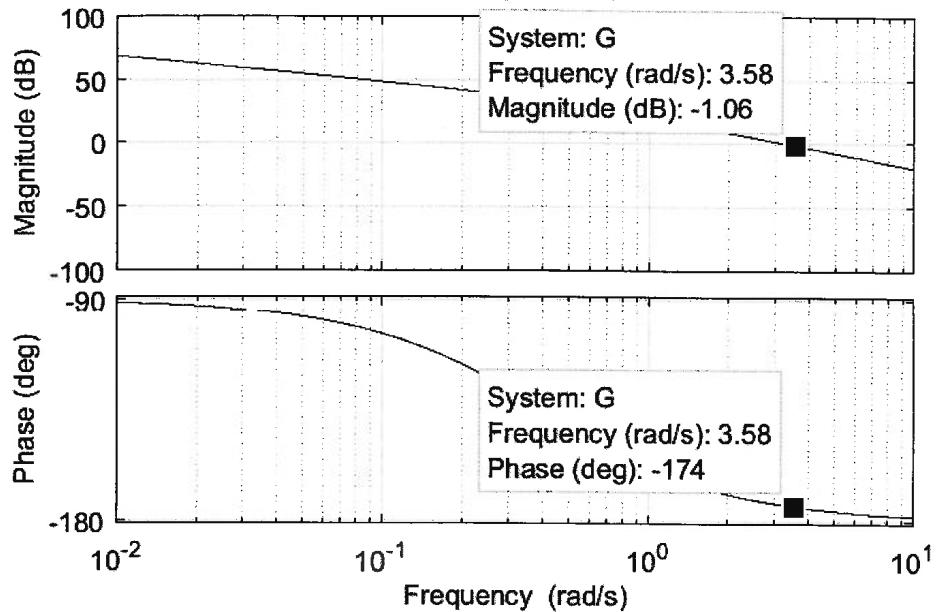
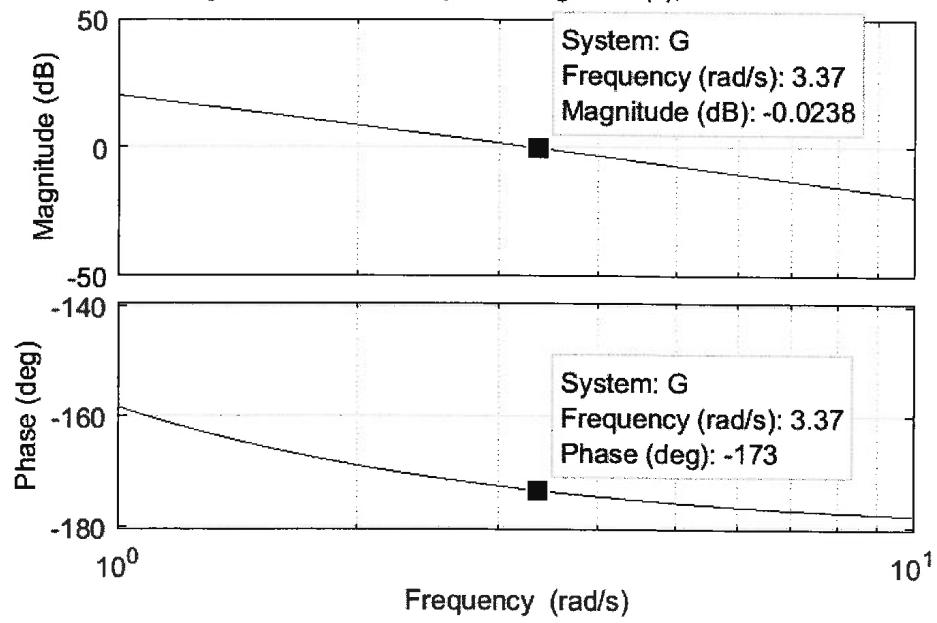
phi = phase at |G|=0 dB point, deg =

-173

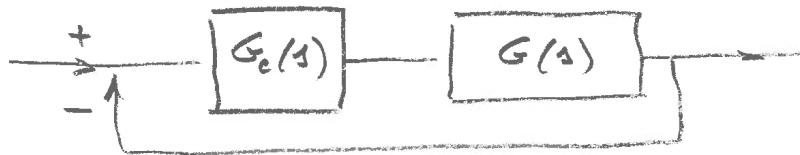
gamma = phase margin, deg =

7

MW

Fig.1a Bode plot of original  $G(s)$ ; aircraft modelFig.1b zoom-in Bode plot of original  $G(s)$ ; aircraft model

<sup>4</sup>E (b) Design phase compensator to improve phase margin



$$G_c(s) = \frac{T_s + 1}{\alpha T s + 1} \quad \text{lead compensator (2)}$$

(b1) We need to improve phase margin from  $\gamma = 7^\circ$  to  $PM = 60^\circ$ , i.e., the phase compensator must add  $\varphi_m = 53^\circ$ .

- To calculate  $\alpha$ , recall

$$\alpha = \frac{1 - \sin \varphi_m}{1 + \sin \varphi_m} = 0.1120 \quad (3)$$

$\varphi_m = 53^\circ$

- To calculate  $T$ , recall  $T = \frac{1}{\omega_m \sqrt{\alpha}}$

We need  $\omega_n$ . We find  $\omega_m$  from the balance condition, i.e.,

$$|G_c(\omega_m)| = \frac{1}{\sqrt{\alpha}} = 2.9887 = 9.5096 \text{ dB} \quad (4)$$

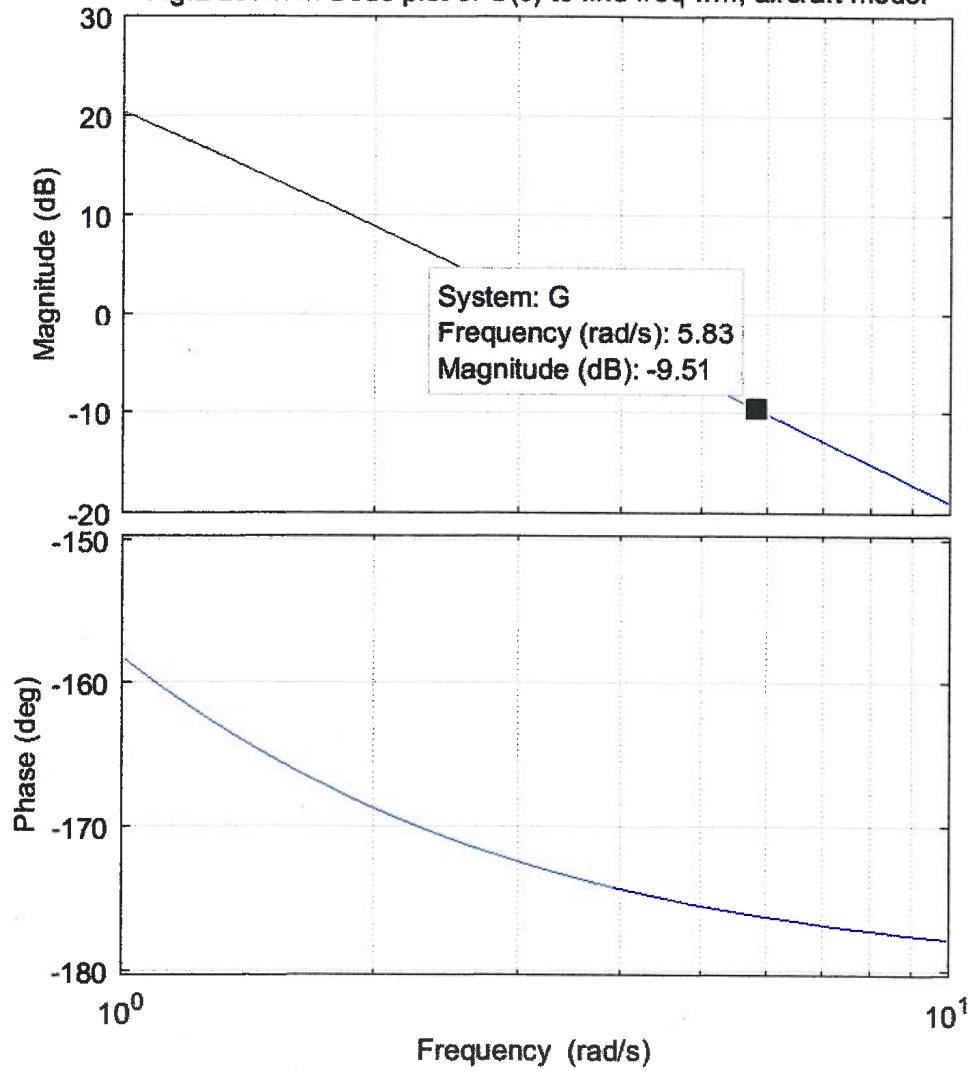
$$|G(\omega_m)| = -|G_c(\omega_m)| = -9.5096 \text{ dB} \quad (5)$$

From Bode plot Fig. 2, we find  $\omega_n = 5.83 \text{ rad/sec}$ .

Hence  $T = 0.5126 \text{ sec}$ .

A<sub>6</sub><sup>17/523</sup>

↙

Fig.2 zoom-in Bode plot of  $G(s)$  to find freq  $\omega_m$ ; aircraft model

$$\omega_m = 5.83 \text{ rad/s}$$

$$|G(\omega_m)|_{dB} = -9.51 \text{ dB.}$$

6 (62): Test compensated system  $G_c G$

Fig. 3 shows the performance of the compensated system  $G_c G$  in comparison to the original system  $G$ . The compensated system  $G_c G$  has

$\varphi_c = -123^\circ \quad \omega_c = 5.83 \text{ rad/s}$  where  $|G_c G| = 0 \text{ dB}$   
The phase margin of the compensated system is

$$\gamma_c = \varphi_c + 180^\circ = -123^\circ + 180^\circ = 57^\circ < 60^\circ \text{ PM}$$

The system has improved, but the phase margin is still less than PM.

(63). Need to adjust the compensation to add a little more phase shift,

$$\Delta\varphi = \text{PM} - \gamma_c = 60^\circ - 57^\circ = 3^\circ \quad (7)$$

The new  $\varphi_m$  is

$$\varphi_{m_1} = \varphi_m + \Delta\varphi = 53^\circ + 3^\circ = 56^\circ \quad (8)$$

The new  $\alpha$  is

$$\alpha_1 = \frac{1 - \sin \varphi_{m_1}}{1 + \sin \varphi_{m_1}} = 0.0935 \quad (9)$$

We keep same time constant,  $T_1 = T$  419/523

7  
E

(61)

Phase compensator design: aircraft model

phi\_m =

53

alpha =

0.1120

1/sqrt(alpha) =

2.9887

Gc\_wm, dB =

9.5096

wm =

5.9300

T =

0.5126

Gc =

0.5126 s + 1

-----

0.05739 s + 1

Continuous-time transfer function.

phi\_c = phase at  $|G_c G|=0$  dB point, deg =

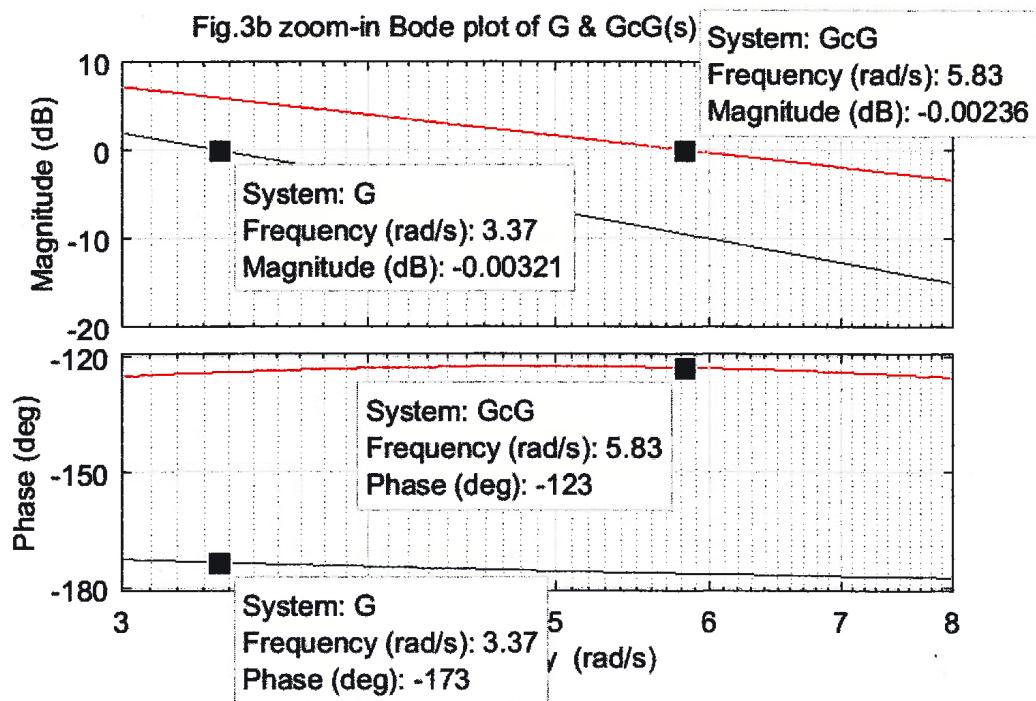
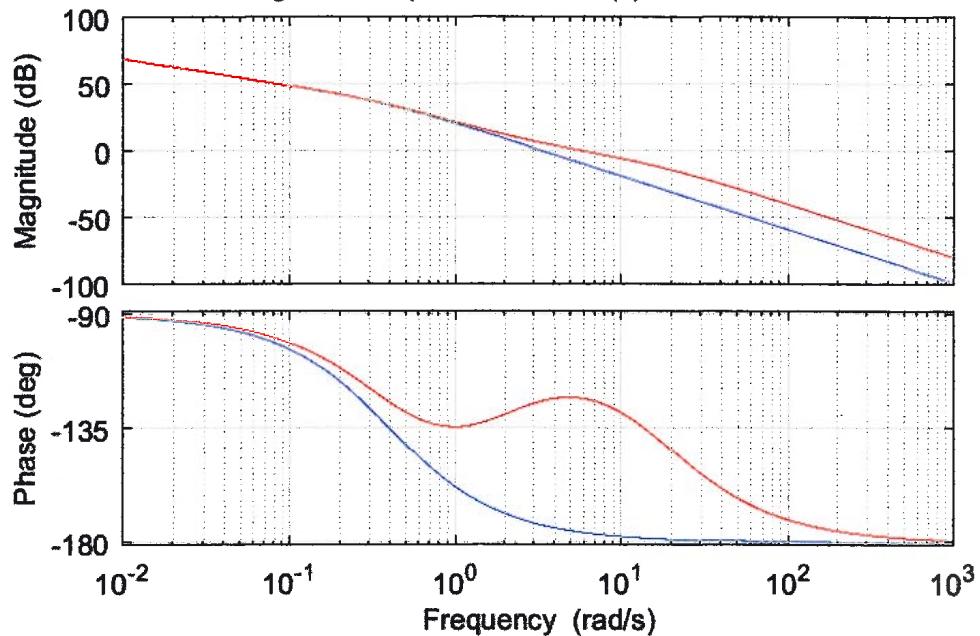
-123

gamma\_c = phase margin of  $G_c G$ , deg =

57

8  
E

(b2)

Fig.3a Bode plot of  $G$  &  $GcG(s)$ ; aircraft model

9  
E L

(63)

---

Adjustment of phase compensator: aircraft model

```
dphi =  
      3  
phi_m1 =  
      56  
alpha1 =  
      0.0935  
T1 =  
      0.5126
```

```
Gc1 =  
  
      0.5126 s + 1  
-----  
      0.04792 s + 1
```

Continuous-time transfer function.

<sup>10</sup>E (64) Test adjusted compensator

Fig. 4 shows the performance of the system with adjusted compensator  $G_c, G$  compared to the original system  $G$ .

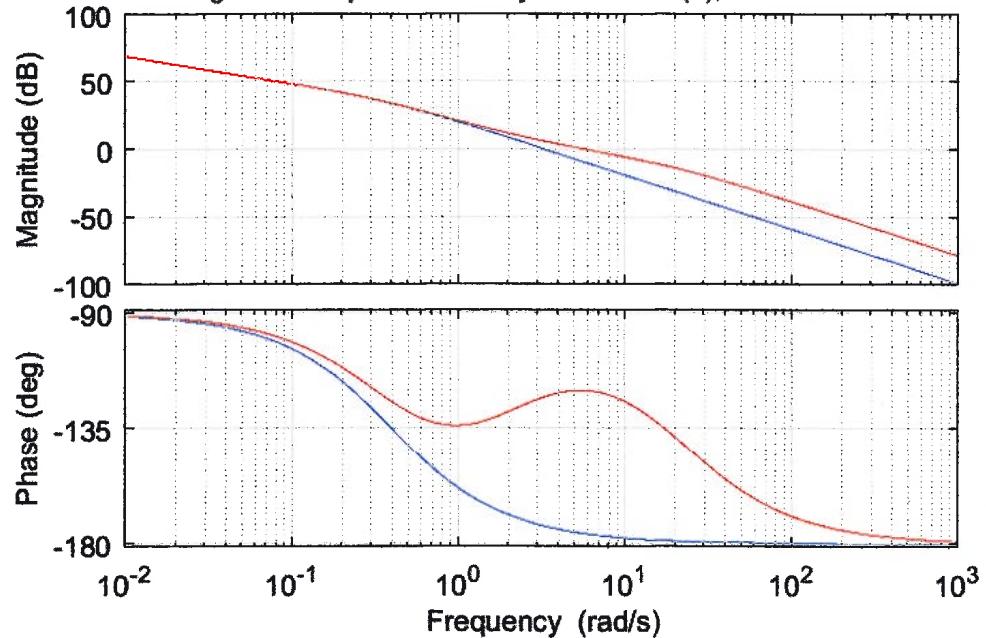
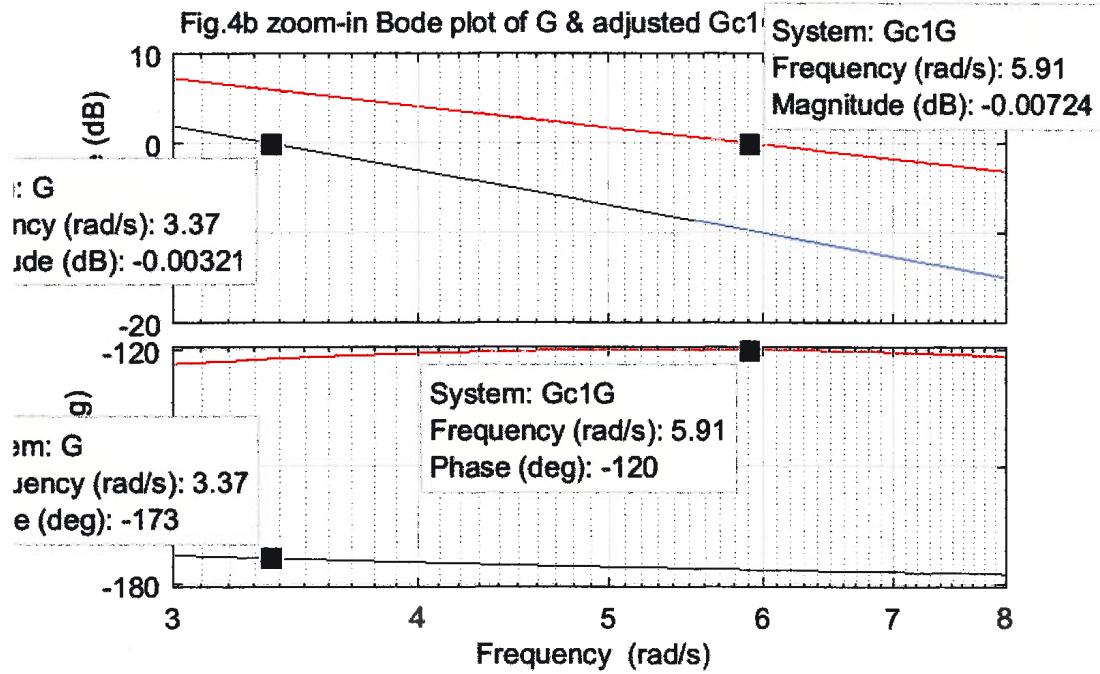
The new phase value at  $(G_c, G) = 0 \text{ dB}$  is:

$$\varphi_{c_1} = -120^\circ \text{ at } 5.91 \text{ rad/s where } |G_c, G| = 0 \text{ dB.}$$

The new phase margin is

$$\gamma_{c_1} = \varphi + 180^\circ = -120^\circ + 180^\circ = 60^\circ = \text{PM (II).}$$

The adjusted system meets the phase margin specification  $\text{PM} = 60^\circ$ .

11  
eFig.4a Bode plot of G & adjusted  $Gc_1G(s)$ ; aircraft modelFig.4b zoom-in Bode plot of G & adjusted  $Gc_1G$ 

<sup>12</sup>  
6

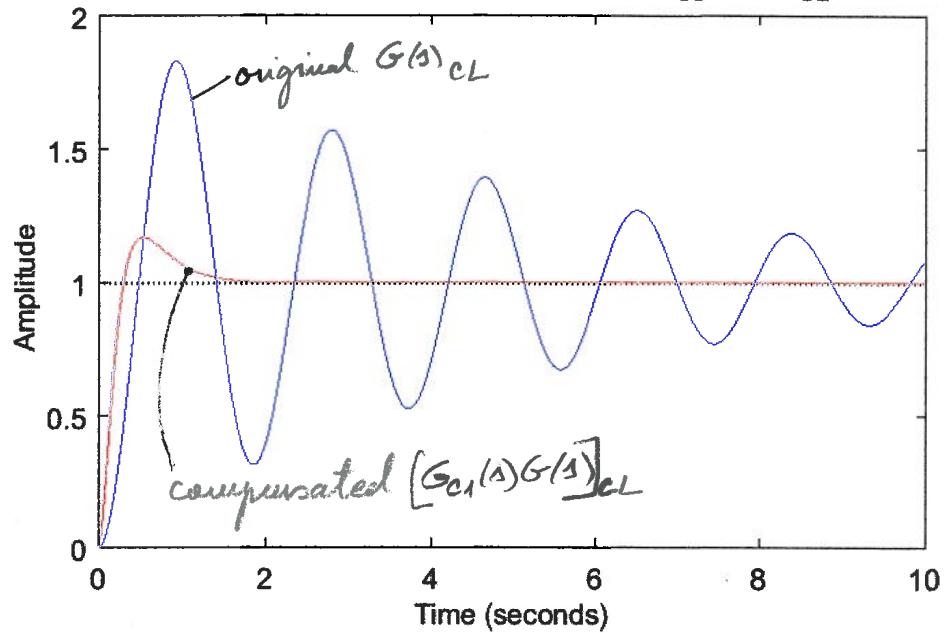
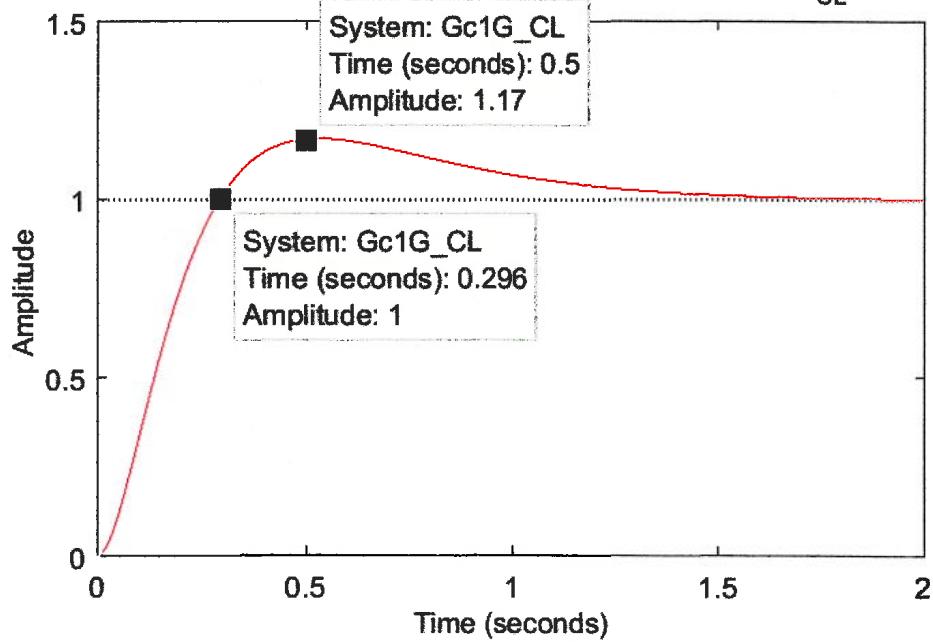
(c) Time response behavior of the compensated system

Fig 5 displays the time response of the compensated system  $(G_c, G)_{CL}$  compared with the response of the original system  $G_{CL}$ . It can be observed that the compensated system has a fast rise time and a small overshoot

$$t_r = 0.296 \text{ sec} \quad (12)$$

$$M_p = 17\%$$

The original system had a longer rise time, a much higher overshoot, and took a long time to settle.

13  
6Fig.5a Step response aircraft model  $G_{CL}$ ,  $Gc_1G_{CL}$ Fig.5b zoom-in Step response aircraft model  $Gc_1G_{CL}$ 

```

1 % Phase compensator design: aircraft model
2 %% initialization
3 - clc %clear command window
4 - clear %removes all variables from workspace; release memory
5 - format compact
6 - close all %closes all figures
7 - s=tf('s');
8 %% original aircraft model
9 - display('Phase margin: aircraft model')
10 - K=114; % gain
11 - J=10; % inertia
12 - c=4; % damping
13 - display('input data')
14 - display([K J c],' K | J | c')
15 - G=K/(J*s^2+c*s) % G(s)
16 - display('=====')
17 %% specs: MIL-DTL-9490E margin requirements
18 - GM=10; % gain margin spec, dB
19 - PM=60; % phase margin spec, deg
20 - display([GM PM], 'GM, dB | PM, deg')
21 - figure(1)
22 - subplot(2,1,1)
23 - bode(G)
24 - grid
25 - title('Bode plot of original G(s); aircraft model')
26 - subplot(2,1,2)
27 - d1=0;d2=1;N=1e3; w=logspace(d1,d2,N);
28 - bode(G,w)
29 - grid
30 - title('zoom-in Bode plot of original G(s); aircraft model')
31 - display('=====')
32 - % READ ON PLOT: phase in deg for |G|=0 dB
33 - % phi=-173;
34 - phi=input('Input phase in deg when |G|=0 dB, phi=');
35 - gamma=phi-(-180); % gamma = phase margin, deg
36 - % display([phi], 'phi = phase at |G|=0 dB point, deg')
37 - display([gamma], 'gamma = phase margin, deg')
38 - display('=====')
39 %% add compensator Gc(s)
40 - display('Phase compensator design: aircraft model')
41 - phi_m=PM-gamma % maximum compensator phase that need to be obtained
42 - alpha=(1-sind(phi_m))/(1+sind(phi_m)) % compensator attenuation factor alpha
43 - display(1/sqrt(alpha), '1/sqrt(alpha)') % expected gain rise from compensator
44 - Gc_wm=mag2db(1/sqrt(alpha)); % expected gain rise from compensator, dB
45 - G_wm=-Gc_wm;
46 - display(G_wm, 'G_wm, dB')
47 - figure(2) % Bode plot to find the freq wm
48 - d1=log10(3);d2=log10(12);N=1e3; w=logspace(d1,d2,N);
49 - bode(G,w)
50 - grid
51 - title('zoom-in Bode plot of |G| to find freq wm; aircraft model')
52 - % READ ON PLOT: frequency for which |G|=G_wm
53 - % wm=5.83
54 - wm=input('Input frequency in rad/s when |G|=G_wm, wm=');
55 - T=1/wm/sqrt(alpha) % time constant of the compensator

```

```

56 %% Test compensated system
57 figure(3)
58 subplot(2,1,1)
59 Gc=(s*T+1)/(s*alpha*T+1)
60 GcG=Gc*G;
61 bode(G,GcG)
62 grid
63 title('Bode plot of G & GcG(s); aircraft model')
64 subplot(2,1,2)
65 d1=log10(3);d2=log10(8);N=1e3; w=logspace(d1,d2,N);
66 bode(G,GcG,w)
67 grid
68 title('zoom-in Bode plot of G & GcG(s); aircraft model')
69 % READ ON PLOT: phase in deg for |GcG|=0 dB
70 % phi_c=123;
71 phi_c=input('Input phase in deg when |GcG|=0 dB, phi_c=');
72 gamma_c=phi_c-(-180); % gamma_c = phase margin of GcG, deg
73 display([phi_c],'phi_c = phase at |GcG|=0 dB point, deg')
74 display([gamma_c],'gamma_c = phase margin of GcG, deg')
75 display('====')
76 %% Adjust compensator to reach PM requirements
77 display('Adjustment of phase compensator: aircraft model')
78 dphi=PM-gamma_c % additional phase shift needed
79 phi_m=phi_m+dphi % adjusted phase shift
80 alpha1=(1-sind(phi_m))/(1+sind(phi_m)) % adjusted alpha
81 Gc1_wm=mag2db(1/sqrt(alpha1)); % expected gain rise from compensator, dB
82 G_wm1=-Gc1_wm;
83 display(G_wm1,'G_wm1, dB')
84 figure(4) % Bode plot to find the freq w_m for |G_wm|dB=-|Gc_wm|dB
85 d1=0;d2=1;N=1e3; w=logspace(d1,d2,N);
86 bode(G,w)
87 grid
88 title('zoom-in Bode plot of G(s) to find new freq w_m1; aircraft model')
89 % READ ON PLOT: frequency for which |G|=G_wm1, dB
90 % w_m1=6.1;
91 w_m1=input('Input frequency rad/s when |G|=G_wm1, w_m1=');
92 T1=1/w_m1/sqrt(alpha1) % updated time constant of the compensator
93 %% Final compensator design
94 Gc1=(s*T1+1)/(s*alpha1*T1+1)
95 Gc1G=Gc1*G;
96 figure(5)
97 subplot(2,1,1)
98 bode(G,Gc1G)
99 grid
100 title('Bode plot of G & adjusted Gc1G(s); aircraft model')
101 subplot(2,1,2)
102 d1=log10(3);d2=log10(8);N=1e3; w=logspace(d1,d2,N);
103 bode(G,Gc1G,w)
104 grid
105 title('zoom-in Bode plot of G & adjusted Gc1G(s); aircraft model')
106 phi_c1=input('Input phase in deg for |Gc1G|=0 dB, phi_c1=');
107 gamma_c1=phi_c1-(-180); % gamma_c1 = phase margin of Gc1G, deg
108 display([phi_c1],'phi_c1 = phase at |Gc1G|=0 dB point, deg')
109 display([gamma_c1],'gamma_c1 = phase margin of Gc1G, deg')
110 %% Plot step response response of the original and compensated systems
111 figure(6)
112 Tf=10; dt=0.01; t=0:dt:Tf;
113 G_CL=feedback(G,1);
114 Gc1G_CL=feedback(Gc1G,1);
115 subplot(2,1,1)
116 step(G_CL,Gc1G_CL,t)
117 title('step response aircraft model G_C_L, Gc1G_C_L')
118 subplot(2,1,2)
119 Tzoom=2; Nt=1e3; dt=Tzoom/Nt; tz=0:dt:Tzoom;
120 step(Gc1G_CL,tz,'r')
121 title('zoom-in step response aircraft model Gc1G_C_L')
122 ylim([0 1.5])

```

```
Phase margin: aircraft model
```

```
input data
```

$$\begin{array}{|c|c|c|} \hline K & | & J \\ \hline 114 & 10 & 4 \\ \hline \end{array}$$

```
G =
```

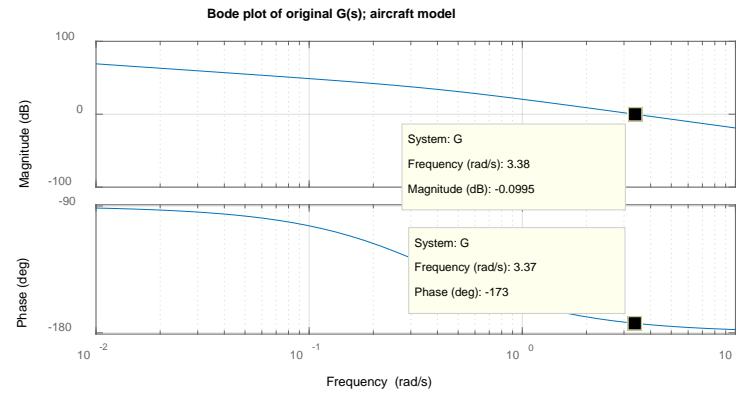
$$\frac{114}{10 s^2 + 4 s}$$

Continuous-time transfer function.

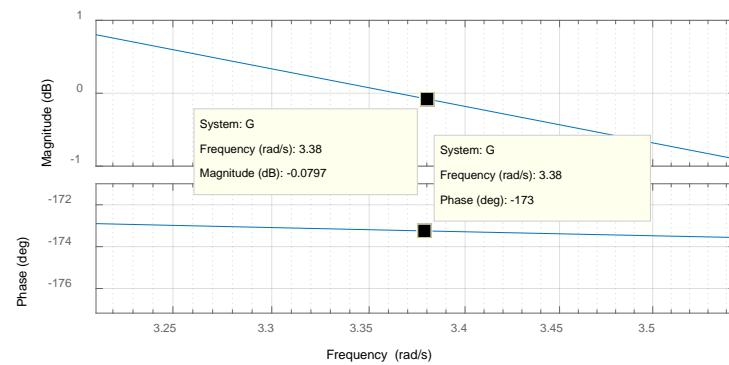
```
=====
```

$$\begin{array}{|c|c|} \hline GM, dB & PM, deg \\ \hline 10 & 60 \\ \hline \end{array}$$

```
=====
```



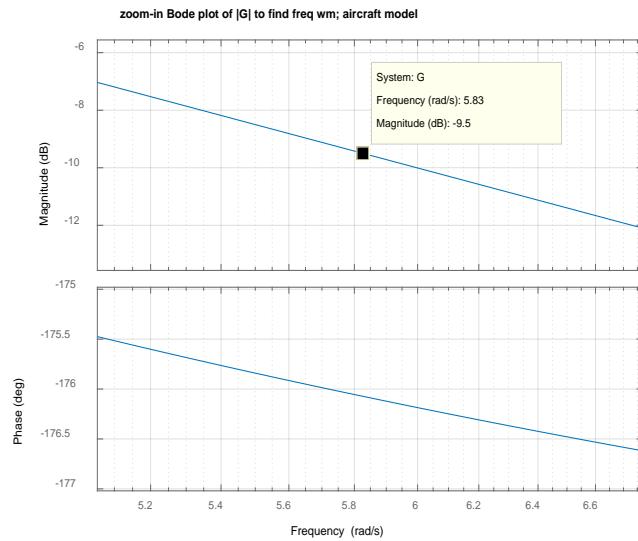
**zoom-in Bode plot of original G(s); aircraft model**



```
Input phase in deg when |G|=0 dB, phi=-173
gamma = phase margin, deg =
```

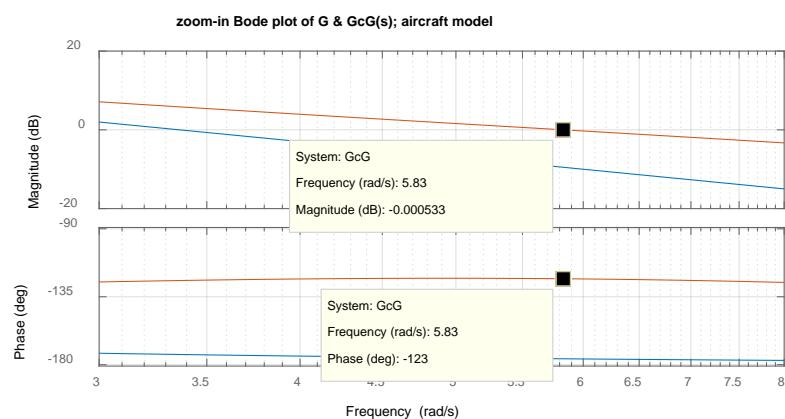
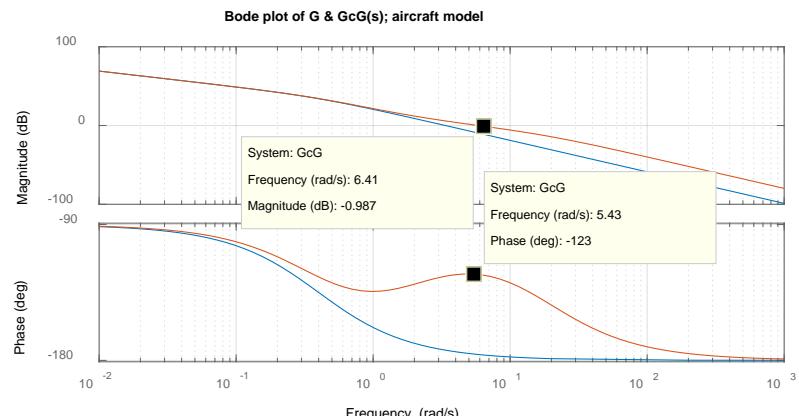
```
7
```

```
Phase compensator design: aircraft model
phi_m =
    53
alpha =
    0.1120
1/sqrt(alpha) =
    2.9887
G_wm, dB =
    -9.5096
```



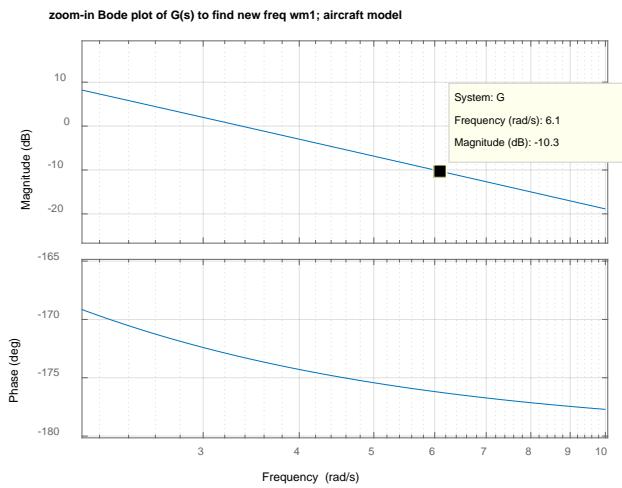
```
Input frequency in rad/s when |G|=G_wm, wm=5.83
T =
    0.5126
```

```
Gc =
0.5126 s + 1
-----
0.05739 s + 1
```



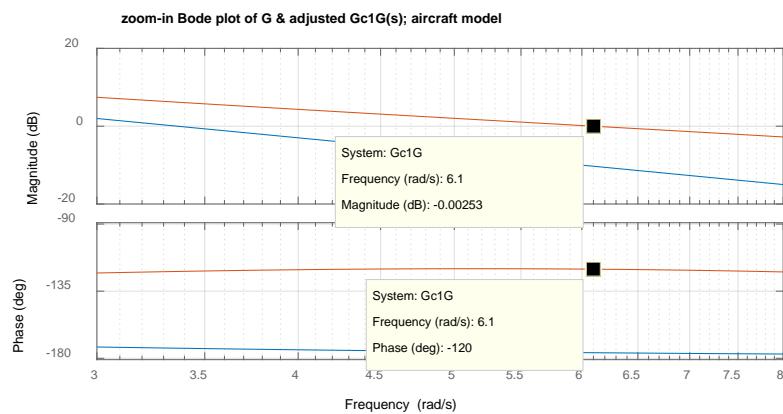
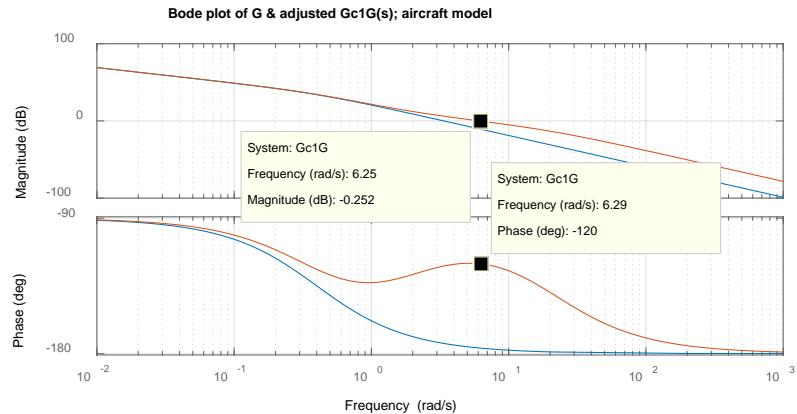
```
Input phase in deg when |GcG|=0 dB, phi_c=-123
phi_c = phase at |GcG|=0 dB point, deg =
-123
gamma_c = phase margin of GcG, deg =
57
```

```
Adjustment of phase compensator: aircraft model
dphi =
    3
phi_m1 =
    56
alpha1 =
    0.0935
G_wm1, dB =
    -10.2932
```

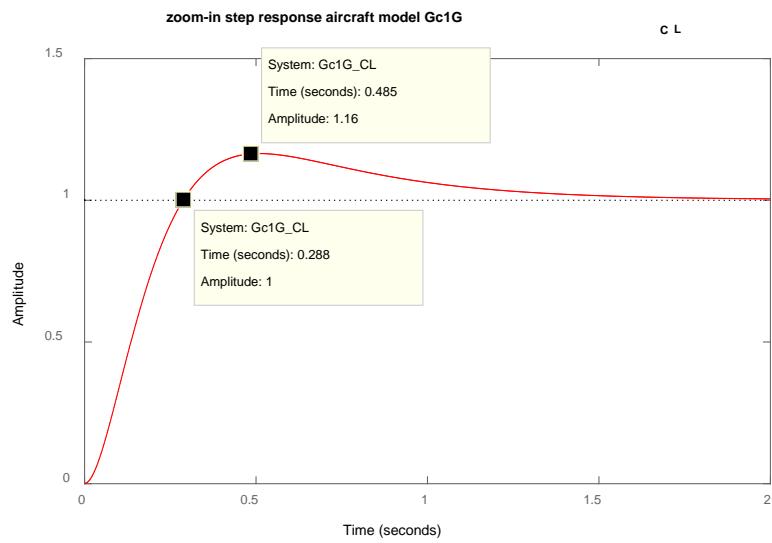
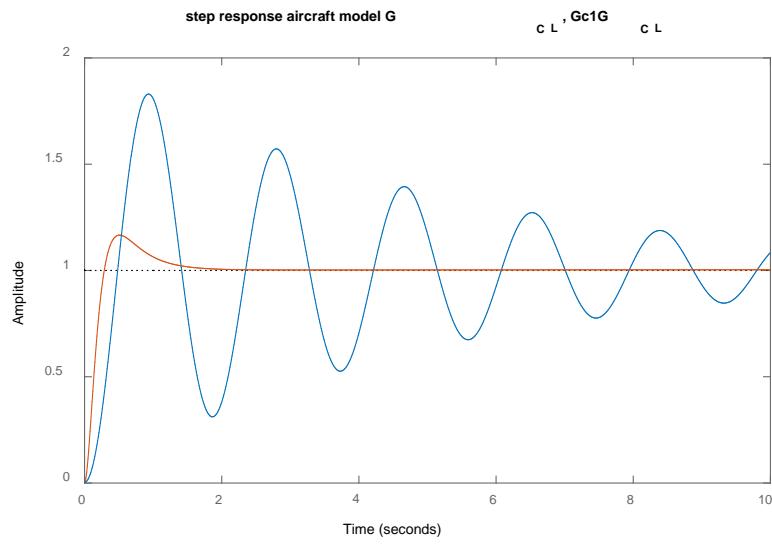


```
Input frequency rad/s when |G|=G_wm1, wm1=6.1
T1 =
    0.5362
```

```
Gc1 =
0.5362 s + 1
-----
0.05012 s + 1
```



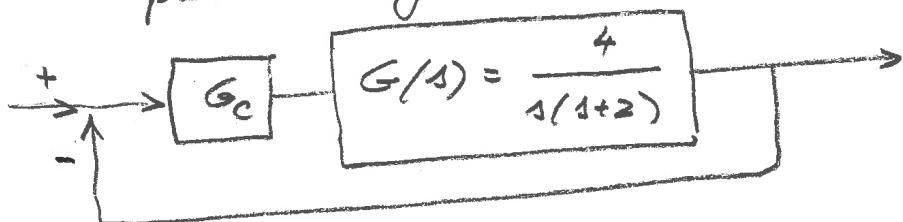
```
Input phase in deg for |Gc1G|=0 dB, phi_c1=-120
phi_c1 = phase at |Gc1G|=0 dB point, deg =
-120
gamma_c = phase margin of GcG, deg =
60
```



C7

Ex. 11.6

Design a lead compensator to reduce servomotor ramp error and meet phase and gain margin requirements.



$$\text{Given : } G(s) = \frac{4}{s(s+2)}$$

Find :

- (a) static velocity error const.  $K_v$   
 phase margin,  $\gamma$   
 gain margin,  $(K_g)_{dB}$

(b) design compensator to achieve:

$$K_v \geq 20 \text{ /sec}$$

$$\gamma \geq 50^\circ \quad (\text{PM} = 50^\circ)$$

$$(K_g)_{dB} \geq 10 \text{ dB} \quad (\text{GM} = 10 \text{ dB})$$

Solution

(a) characterize current system

$$\bullet K_v = \lim_{s \rightarrow 0} s G(s) = \lim_{s \rightarrow 0} s \frac{4}{s(s+2)} = \frac{4}{2} = 2 \text{ /sec.}$$

$$\bullet \angle G(s=0 \text{ dB}) = -128^\circ; \gamma = -128^\circ + 180^\circ = 52^\circ$$

$$\bullet (K_g)_{dB} = \infty \text{ because } \angle G \text{ never crosses } -180^\circ \text{ line}$$

C1a

Fig.1a Bode plot of original G(s); Example 11.6

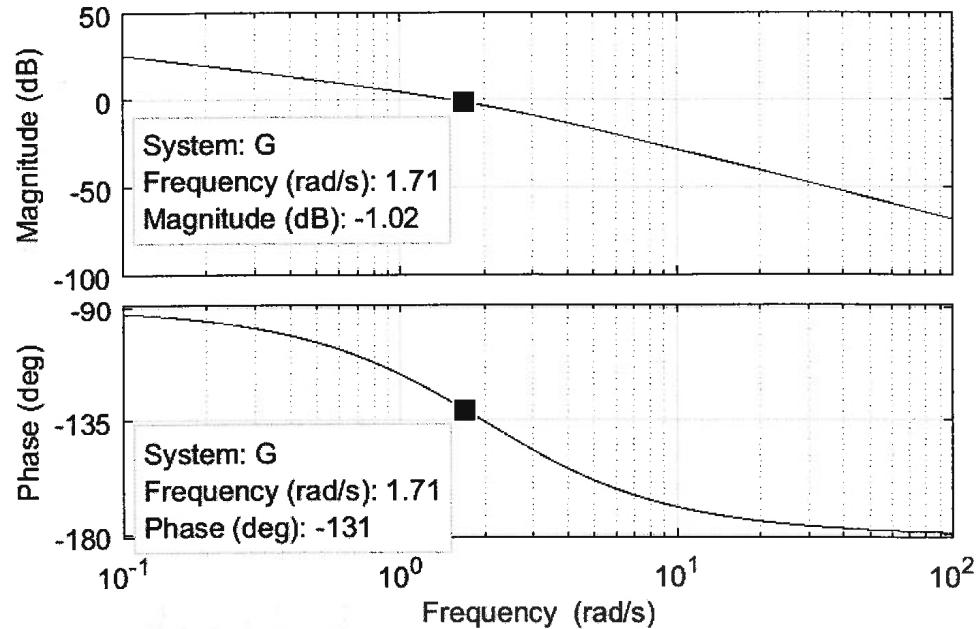
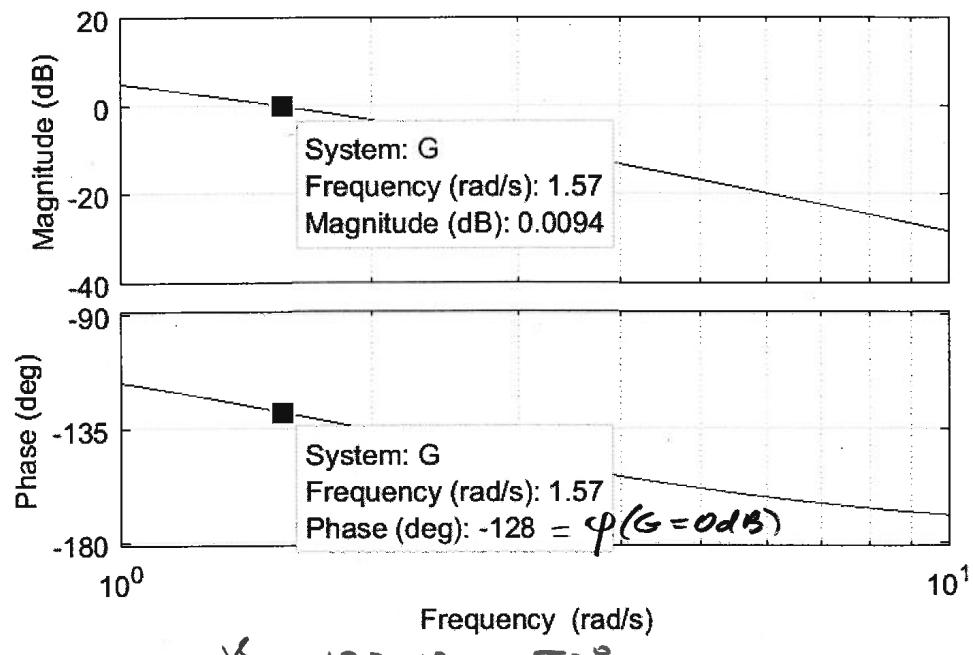


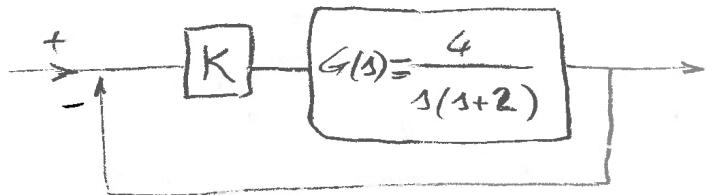
Fig.1b zoom-in Bode plot of original G(s); Example 11.6



$$\gamma = -128 + 180 = 52^\circ$$

436/523

C8) (b) Design P-controller to improve  $K_v$



Roadmap

- (1) add  $K$  to improve  $K_v$  to  $K_v^* = 20/\text{sec}$
- (2) Notice that adding  $K$  shifts magnitude plot upward and spoils the phase margin.
- (3) add compensator  $G_c$  to improve phase and bring phase back to  $\gamma^* \leq 50^\circ$

Design

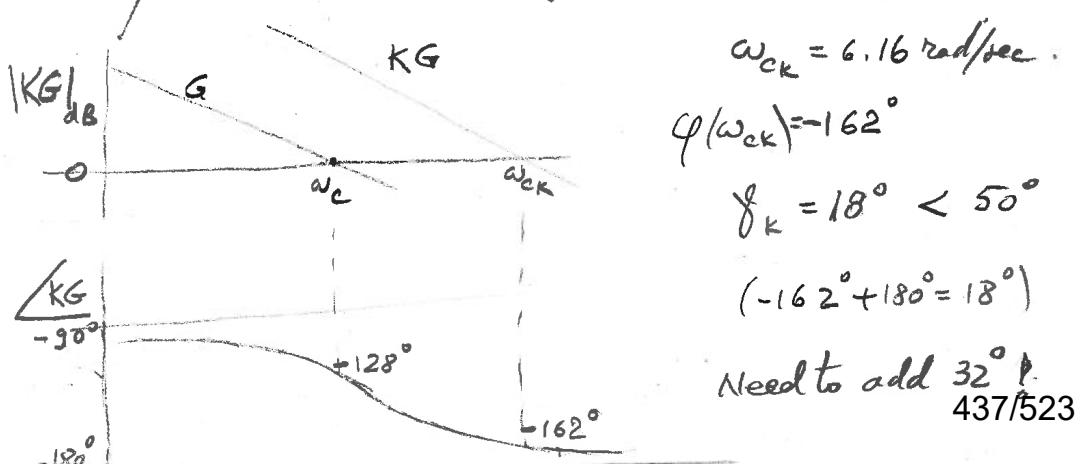
D1. Calculate  $K$  needed to bring  $K_v$  to value  $K_v^* = 20/\text{sec}$

$$K_v^* = \lim_{s \rightarrow 0} s G(s) = \lim_{s \rightarrow 0} K \cdot \frac{4}{s(s+2)} = 2K = 20$$

$$\rightarrow K = 10$$

$$K_{dB} = 20 \text{ dB}$$

D2. Draw Bode diagram for new system. We find that gain crossover freq  $\omega_c$  has moved to the right and the phase margin has decreased



c8a

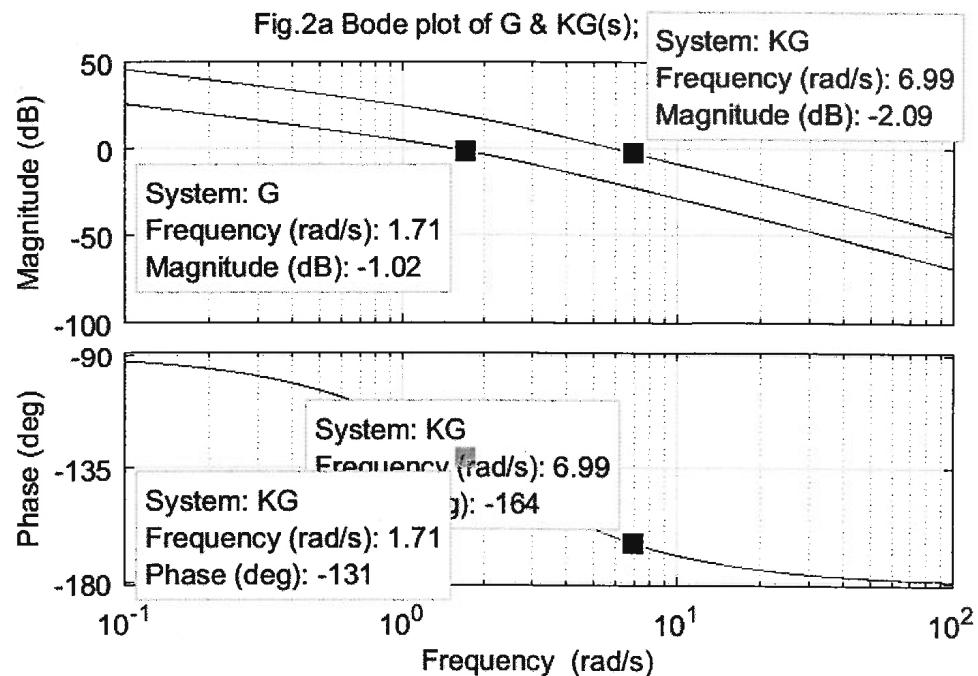
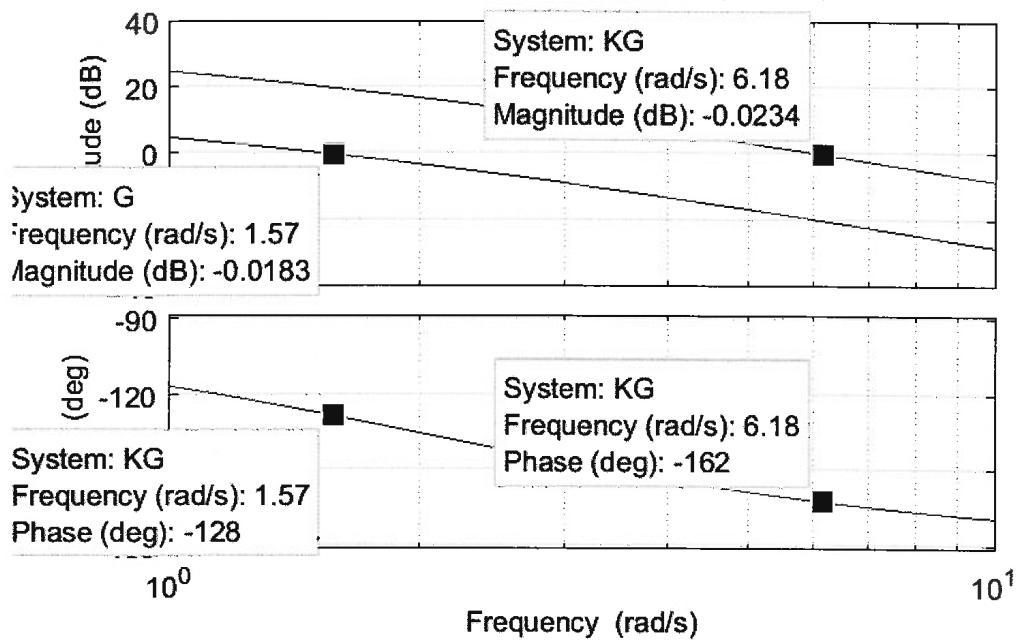
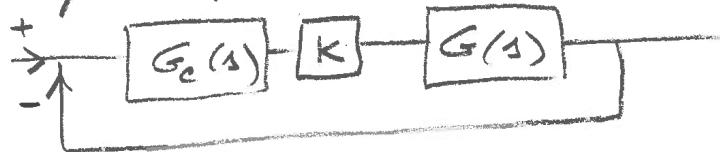


Fig.2b zoom-in Bode plot of G &amp; KG(s); Example 11.6



C9 Design compensator to improve  $\gamma$ .



We need lead compensator

$$G_c(s) = \frac{Ts + 1}{\alpha Ts + 1}$$

We need to improve the phase margin from  $\gamma = 18^\circ$  to  $\gamma_M = 50^\circ$ , i.e., we need the phase compensator to add  $\varphi_m = 32^\circ$

$$\text{Recall } \alpha = \left. \frac{1 - \sin \varphi_m}{1 + \sin \varphi_m} \right|_{\varphi_m = 32^\circ} = 0.3073$$

To calculate  $\omega_m$ , recall

$$|G_c(\omega_m)| = \frac{1}{\sqrt{\alpha}} = 1.8040 = 5.125 \text{ dB}$$

We identify  $\omega_n$  as the point on the Bode plot of  $KG(\omega)$  where

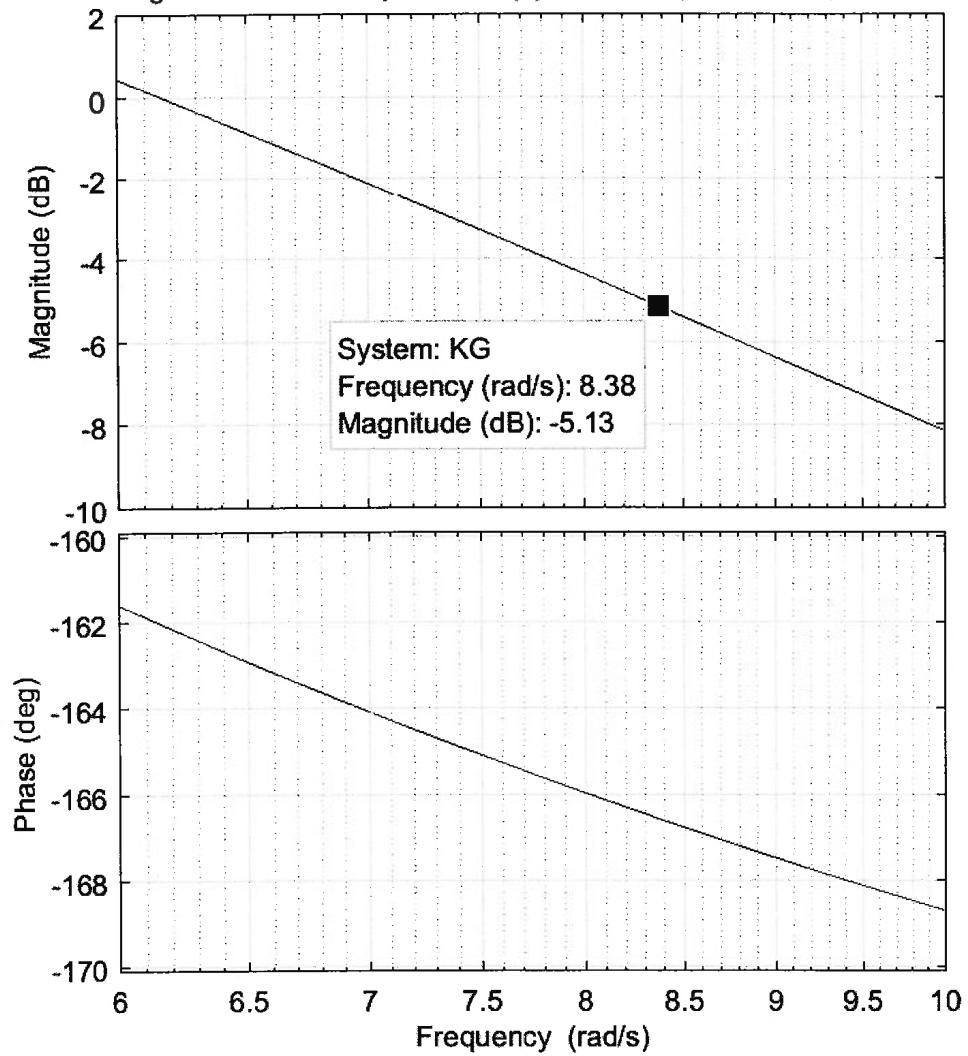
$$|KG(\omega_m)|_{\text{dB}} = -|G_c(\omega_n)|_{\text{dB}} = -5.125 \text{ dB}.$$

From Bode plot, we read

$$\omega_m = 8.38 \text{ rad/sec for } |KG|_{\text{dB}} = -5.13 \text{ dB}$$

$$\text{Hence } T = \frac{1}{\omega_m \sqrt{\alpha}} = 0.2153 \text{ sec.}$$

C9a

Fig.3 zoom-in Bode plot of KG(s) to find freq  $\omega_m$ ; Example 11.6

$$\omega_m = 8.38 \text{ rad/sec} \text{ for } |KG|_{\text{dB}} = -5.13 \text{ dB}$$

C96

Phase compensator design: Exampe 11.6

phi =

-128

gamma =

52

alpha =

0.3073

i/sqrt(alpha) =

1.8040

Gc\_wm, dB =

5.1250

T =

0.2153

Gc =

0.2153 s + 1  
-----  
0.06615 s + 1

C9c

Fig.4a Bode plot of KG &amp; GcKG(s); Example 11.6

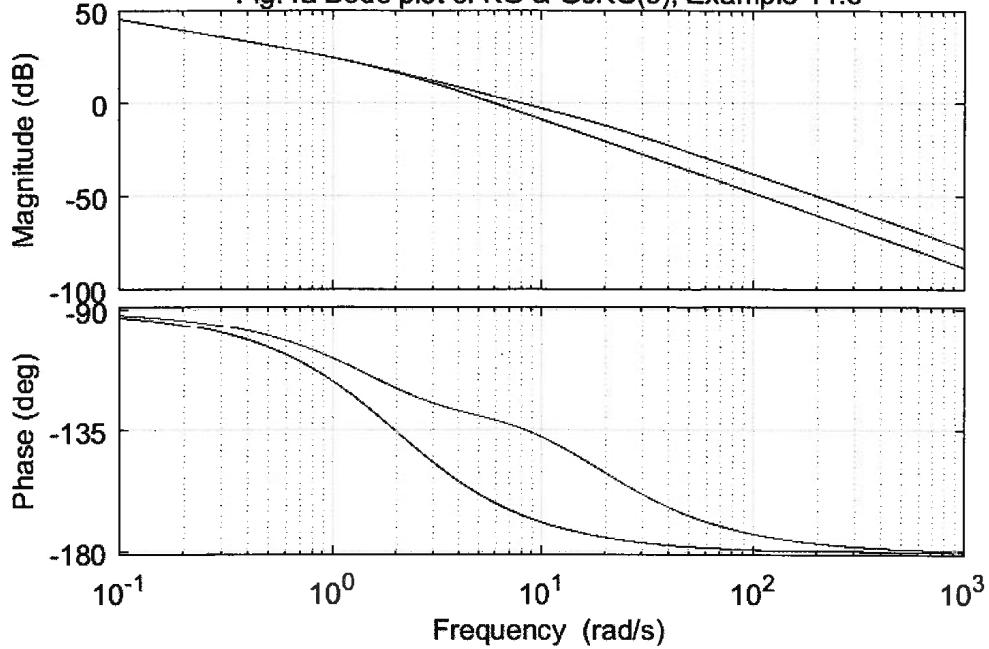
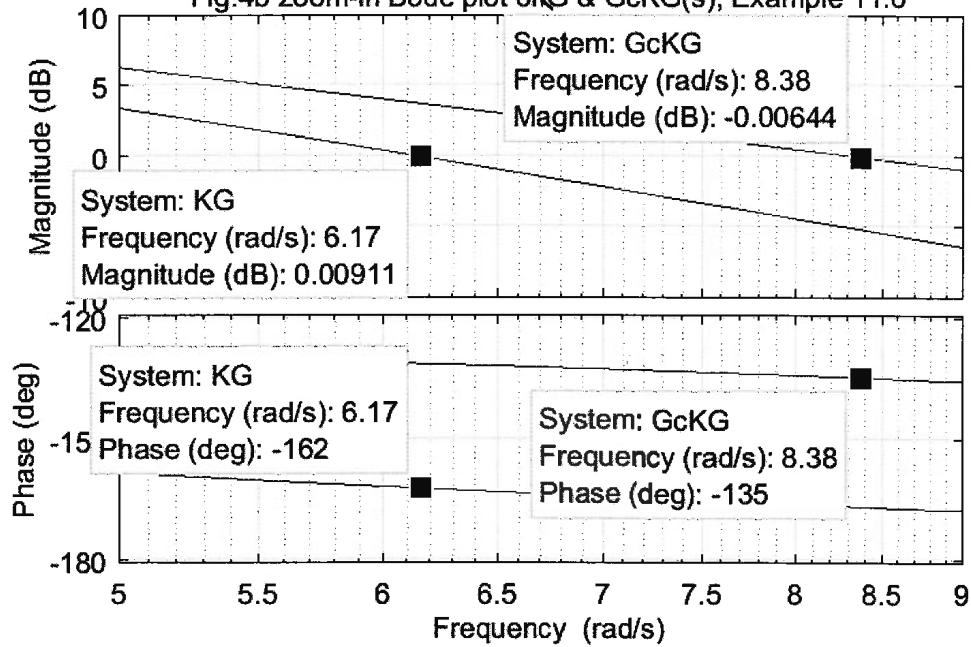


Fig.4b zoom-in Bode plot of KG &amp; GcKG(s); Example 11.6



C10 Test the compensator

Fig 4 shows that the compensator is not sufficient because the compensated phase margin is

$$\gamma_c = -135 + 180 = 45^\circ$$

We need  $50^\circ$ ; thus, we need to adjust the compensator to get to  $50^\circ$ . We need an additional  $5^\circ$  of compensation, i.e.,  $\Delta\varphi = 5^\circ$ . The new  $\varphi_m$  is

$$\varphi_{m_1} = \varphi_m + \Delta\varphi = 32 + 5 = 37^\circ$$

$$\text{The new } \alpha \text{ is } \alpha_1 = \frac{1 - \sin \varphi_{m_1}}{1 + \sin \varphi_{m_1}} = 0.2486$$

We keep  $T_1 = T$  and calculate new compensator

$$G_{C_1} = \frac{T_1 s + 1}{\alpha_1 T_1 s + 1}$$

With this new compensator, the Bode plot is as shown in Fig. 5. The phase at  $G_{C_1} KG = 0 \text{ dB}$  is  $-130^\circ$

$$\text{The margin is } \gamma_{c_1} = -130^\circ + 180^\circ = 50^\circ = \gamma_M$$

We have met the specification!!

C10a

```
dphi =
      5

phi_m1 =
      37

alpha1 =
      0.2436

T1 =
      0.2153

Gc1 =
      0.2153 s + 1
      -----
      0.05352 s + 1
```

C16b

Fig.5a Bode plot of KG &amp; adjusted Gc1KG(s); Example 11.6

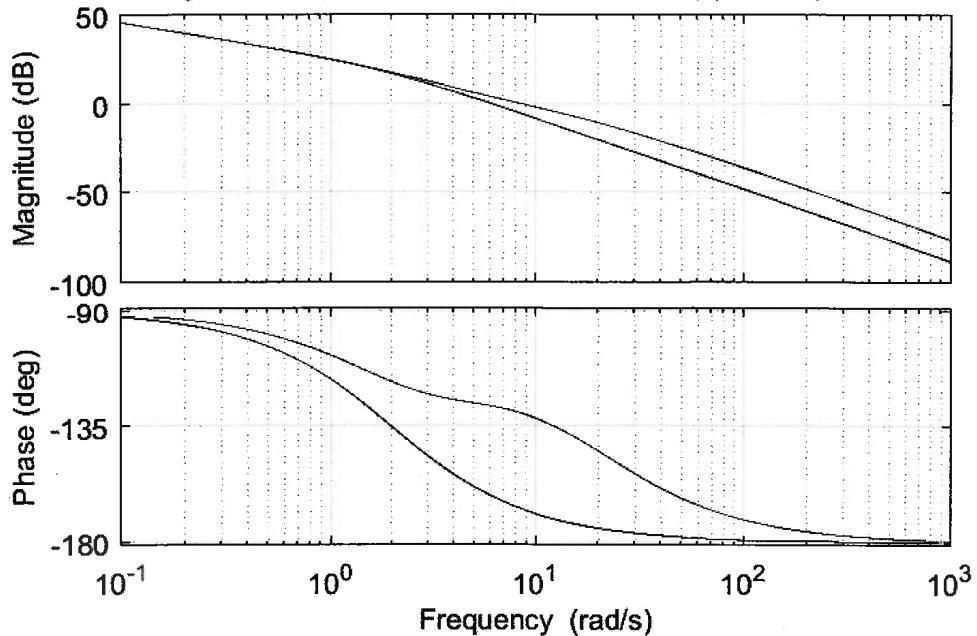
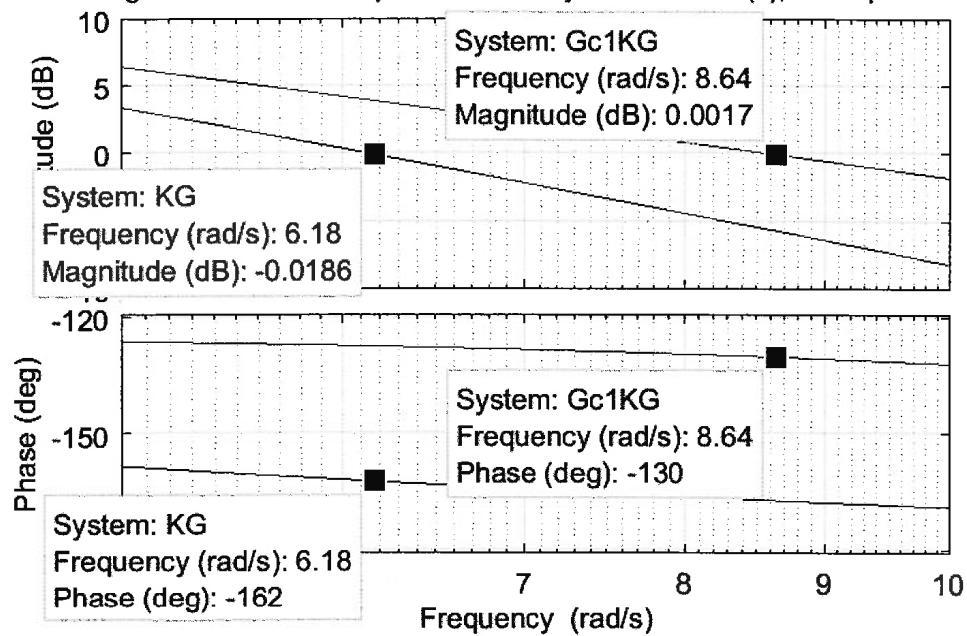
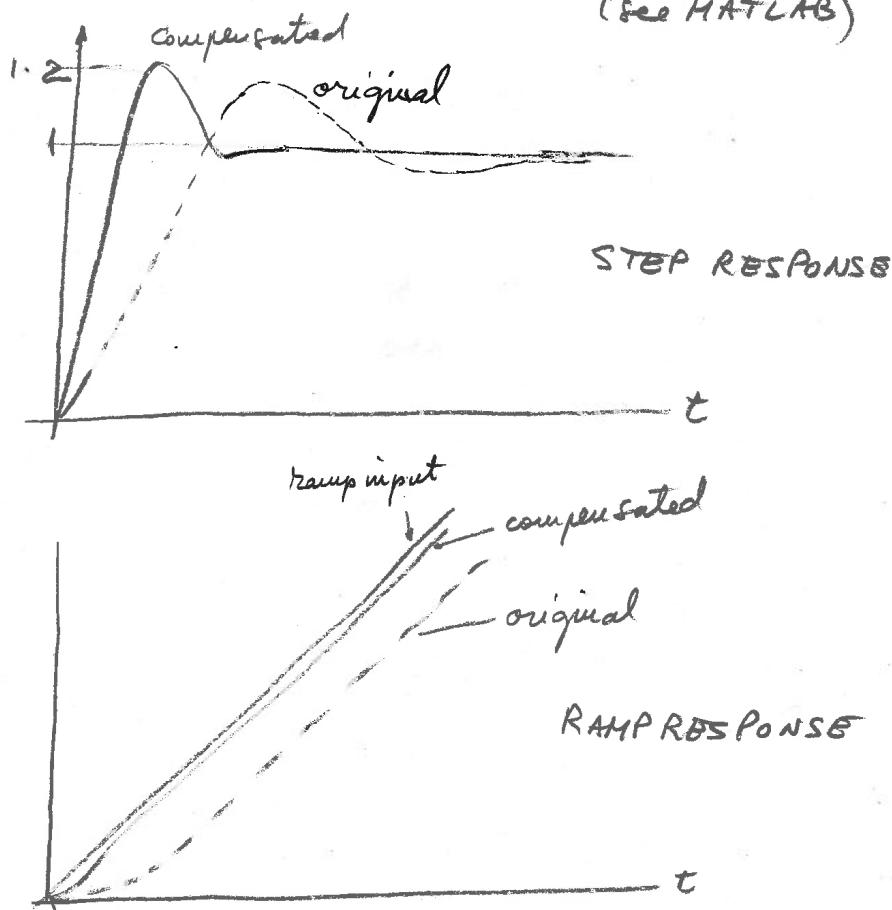


Fig.5b zoom-in Bode plot of KG &amp; adjusted Gc1KG(s); Example 11.6



C11 Plot step response and ramp response of the original and compensated systems (see MATLAB)



### Discussion

Ramp response has improved dramatically  
Step response has a faster rise time and  
a faster settling time, but it has a  
slightly higher overshoot

C 11.6

Fig.6a Step response Example 11.6

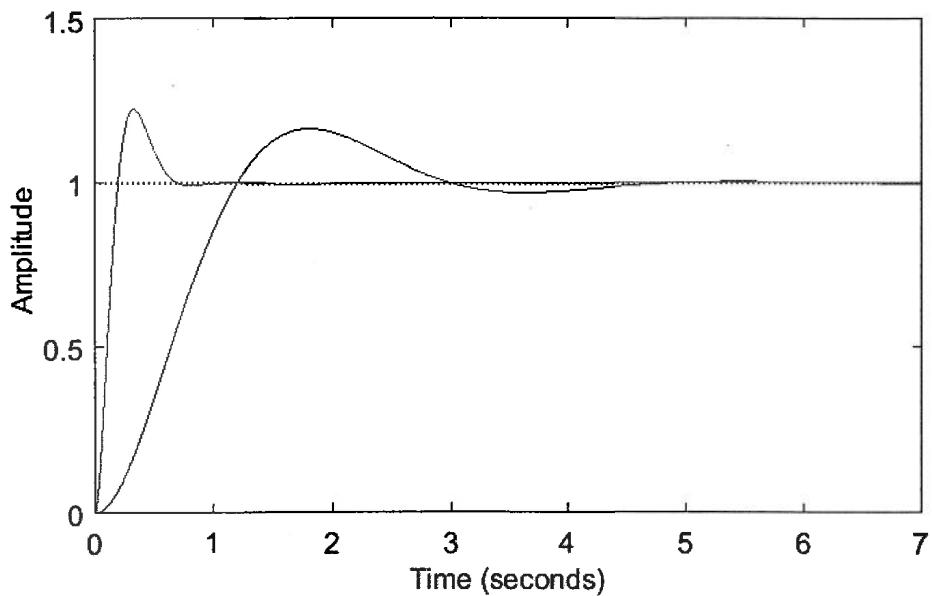
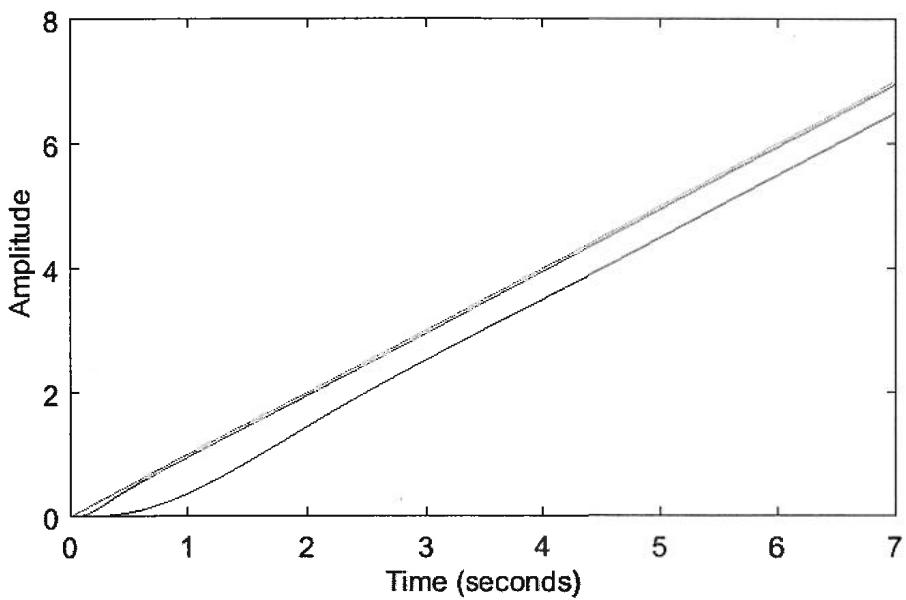


Fig.6b Ramp response Example 11.6



## 8.8 Lag Compensators

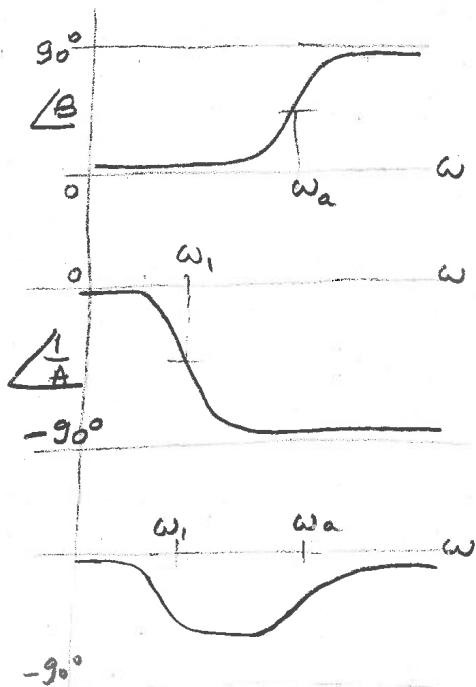
C4

LAG COMPENSATOR

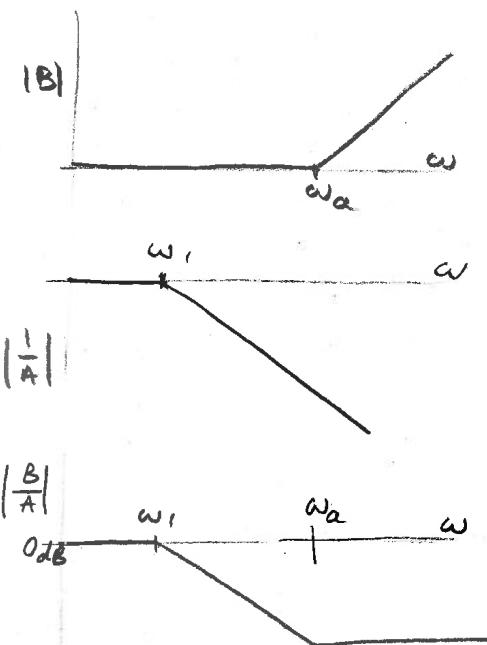
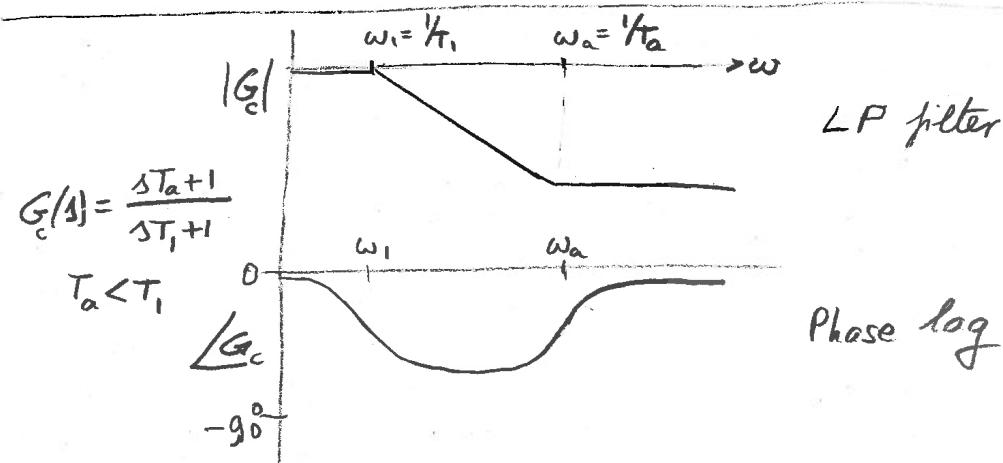
$$G_c = \frac{sT_a + 1}{sT_i + 1}$$

$$\omega_1 < \omega_a, \quad T_a < T_i$$

- Phase -



- Gain -

Adds "lag" between  $\omega_1$  &  $\omega_a$ low pass filter  
(higher freq. are reduced)

c5

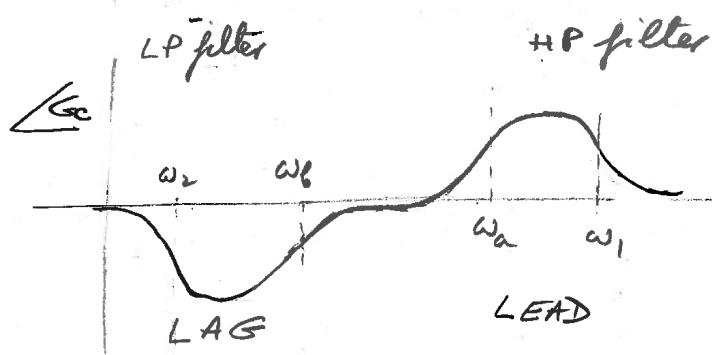
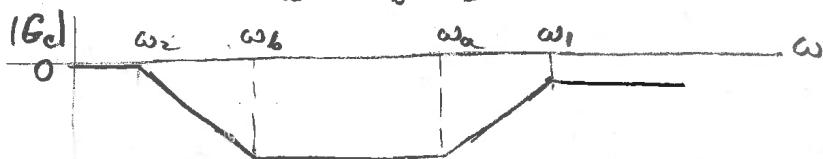
### LAG-LEAD COMPENSATOR (NOTCH FILTER)

$$G_c = \frac{1/T_a + 1}{1/T_1 + 1} \cdot \frac{1/T_b + 1}{1/T_2 + 1}$$

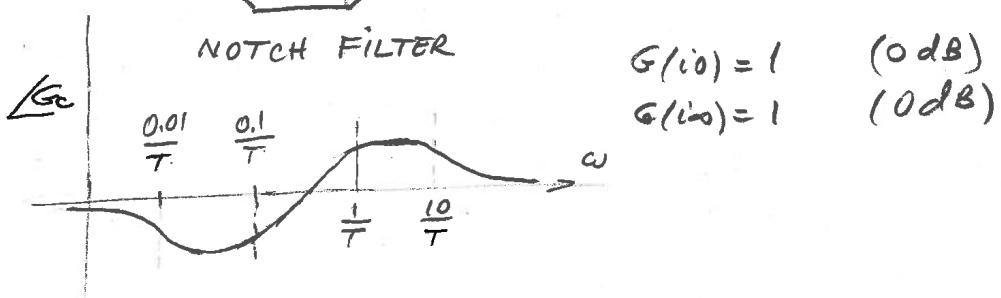
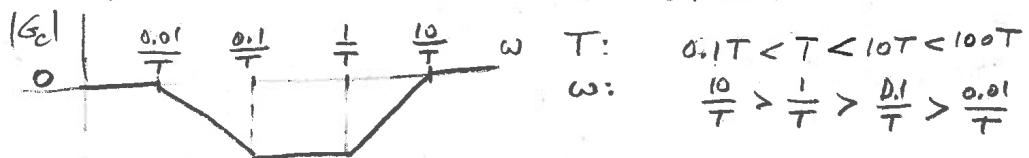
lead      lag

$$T_1 < T_a < T_b < T_2$$

$$\omega_1 > \omega_a > \omega_b > \omega_2$$



Example (Fig 11.35, p 648).  $G_c = \frac{1+1}{0.1T+1} \cdot \frac{10T+1+1}{100T+1+1}$



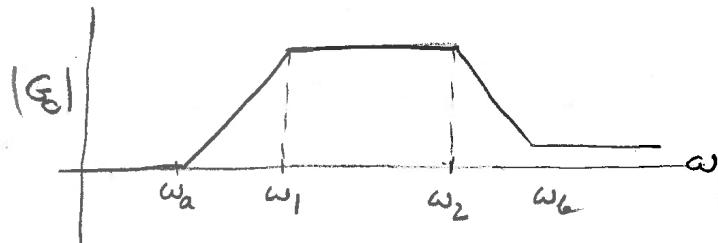
c6

**LEAD-LAG COMPENSATOR  
(BAND PASS FILTER)**

$$G_c = \frac{sT_a + 1}{sT_1 + 1} \cdot \frac{sT_b + 1}{sT_2 + 1}$$

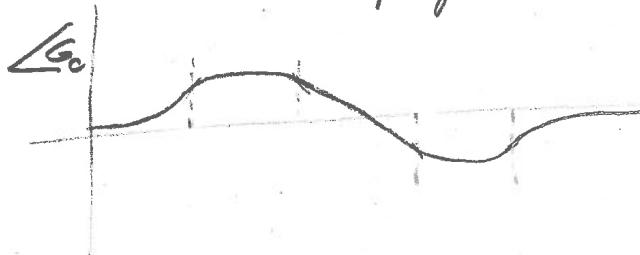
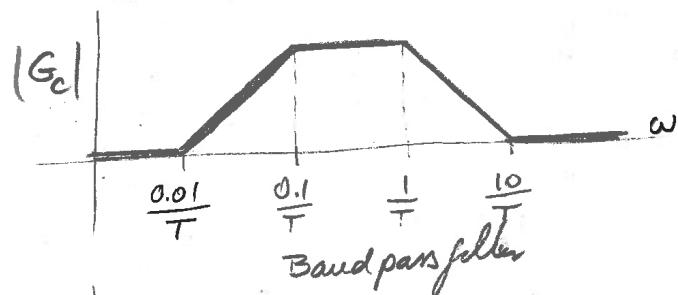
$$\omega_a < \omega_1 < \omega_2 < \omega_b$$

$$T_a > T_1 > T_2 > T_b$$



Example : Band pass filter

$$G_c = \frac{100T_1 + 1}{10T_1 + 1} \cdot \frac{0.1T_2 + 1}{T_2 + 1}$$



~~C6a~~ Notch filter  
Example

$$G_c = \frac{T_s + 1}{0.1T_s + 1} \cdot \frac{10T_s + 1}{100T_s + 1}$$

$$\frac{T_1}{0.1T} < \frac{T_a}{T} < \frac{T_b}{10T} < \frac{T_2}{100T}$$

$$\omega_1 > \omega_a > \omega_b > \omega_2$$

$$\frac{10}{T} > \frac{1}{T} > \frac{0.1}{T} > \frac{0.01}{T}$$

$$\omega_2 < \omega_b < \omega_a < \omega_1$$

$$\frac{0.01}{T} \quad \frac{0.1}{T} \quad \frac{1}{T} \quad \frac{10}{T}$$

