In the Name of God, the Merciful, the Compassionate

# Introduction to Bioinformatics 05: Database Similarity Searching

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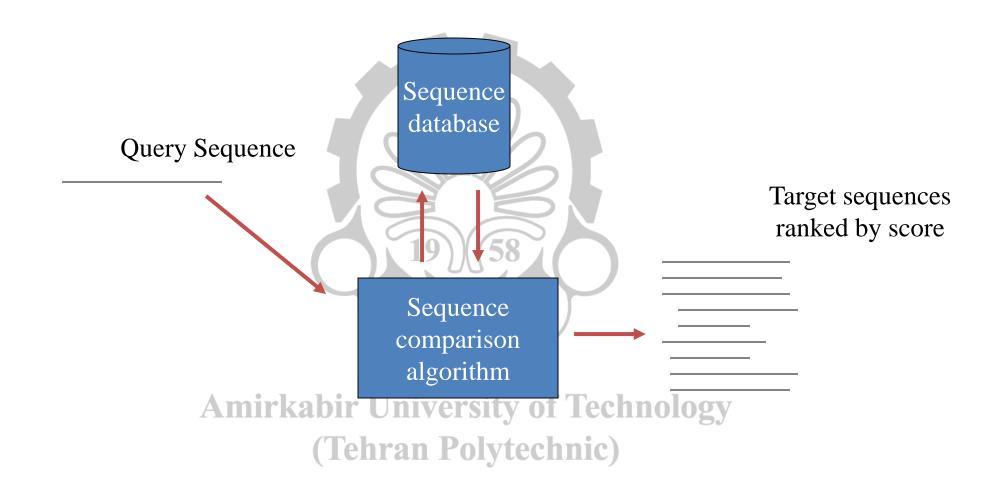


#### Chapter Agenda

- Unique Requirements of Database Searching
- Heuristic Database Searching
- Basic Local Alignment Search Tool (BLAST)
- FASTA
- Comparison of FASTA and BLAST
- Database Searching with Smith-Waterman Method

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# Database searching



#### Why database search is needed?

- Given a newly discovered gene,
  - Does it occur in other species?
  - Is its function known in another species?
- Given a newly sequenced genome, which regions align with genomes of other organisms?
  - Identification of potential genes
  - Identification of other functional parts of chromosomes
- Find members of a multigene family nic)

## Why do we Need Fast Search Algorithms?

- Your query is 200 amino acids (aa) long (N)
- You are searching a non-redundant database, which currently contains  $>10^6$  proteins (K)
- If proteins in database have avg. length 200 aa (M), then:
  - Must fill in  $200 \times 200 \times 10^6 = 4 \times 10^{10}$  **DP entries!!**
- $4 \times 10^{10}$  operations just to fill in the DP matrix!
- DP for pairwise alignment is **O(NM)**
- Searching in a database is **O**(*NMK*)
  - Need faster algorithms for searching in large databases! echnology
- *Speed* is the time it takes to get results from database searches.

## Sensitivity and Specificity

- Sensitivity (Recall): the ability to find as many correct hits as possible and measures the proportion of actual positives that are correctly identified as such.
- Specificity (Selectivity): the ability to exclude incorrect hits and measures the proportion of actual negatives that are correctly identified as such.
- Example in disease: **positive** means having the disease and **negative** means not having the disease.
  - True positive (TP): Sick people correctly identified as sick
  - False positive (FP): Healthy people incorrectly identified as sick
  - True negative (TN): Healthy people correctly identified as healthy
  - False negative (FN): Sick people incorrectly identified as healthy

TP eqv Hit
FP eqv False alarm
TN eqv Correct rejection
FN eqv Miss

## Sensitivity and Specificity (Cont.)

• *Sensitivity (Recall)*: the ability to find as many correct hits as possible.

$$Sensitivity = \frac{TP}{TP + FN}$$

• Specificity (Selectivity): the ability to exclude incorrect hits.

$$Specificity = \frac{TN}{TN + FP}$$

• The ideal case is having the greatest sensitivity, selectivity, and speed in database searches.

# Sensitivity and

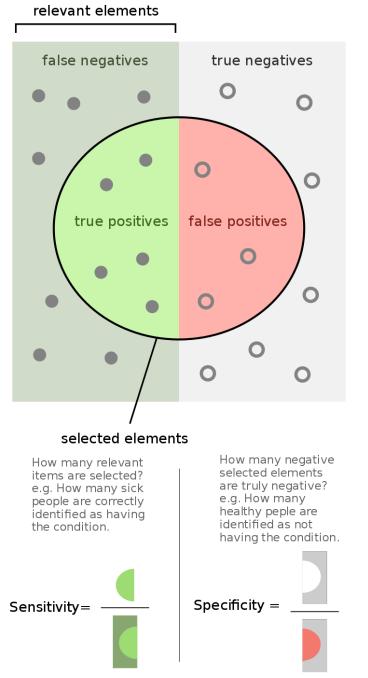
• Sensitivity (Recall): possible.

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• Specificity (Selectiv

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• The ideal case is har speed in database se



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# Example

		Patients with bowel cancer (as confirmed on endoscopy)		
		Condition positive	Condition negative	
Fecal occult blood screen test outcome	Test outcome positive	True positive (TP) = 20	False positive (FP) = 180	Positive predictive value (PPV = TP / (TP + FP) = 20 / (20 + 180) = <b>10</b> %
	Test outcome negative	False negative (FN) = 10	True negative (TN) = 1820	Negative predictive value (NP = TN / (FN + TN) = 1820 / (10 + 1820) ≈ 99.5%
		Sensitivity = TP / (TP + FN) = 20 / (20 + 10) ≈ 67%	Specificity = TN / (FP + TN) = 1820 / (180 + 1820) = <b>91</b> %	

#### Exhaustive vs Heuristic Methods

#### • Exhaustive

- Tests every possible solution
- Guaranteed to give best answer (identifies optimal solution)
- Can be very time/space intensive!
  - e.g., *Dynamic Programming* (as in Smith-Waterman algorithm)
- Example: querying a database of 300,000 sequences using a query sequence of 100 residues took 2–3 hours to complete.

#### • Heuristic

- Does NOT test every possibility
- No guarantee that answer is best (but, often can identify optimal solution, 50–100 times faster with a moderate expense of sensitivity and specificity)
- Sacrifices accuracy (potentially) for speed
- Uses "rules of thumb" or "shortcuts" tersity of Technology
  - e.g., BLAST & FASTA which use a heuristic word method

#### FASTA vs BLAST

- Both FASTA, BLAST are based on heuristics
- Tradeoff: Sensitivity vs Speed
- DP is slower, but more sensitive

#### • FASTA

- User defines value for k =word length
- Slower, but more sensitive than BLAST at lower values of k, (preferred for searches involving a very short query sequence)

#### BLAST family

- Family of different algorithms *optimized* for particular types of queries, such as searching for distantly related sequence matches
- BLAST was developed to provide a faster alternative to FASTA without sacrificing much accuracy

# Basic Local Alignment Search Tool (BLAST)

#### Steps in BLAST

- 1. Create list of very possible "word" (e.g., 3-11 residues) from query sequence (Seeding)
- 2. Search database to identify sequences that contain matching words (Searching)
- 3. The matching of the words is scored by a given substitution matrix.
- 4. Extend match (seed) in both directions using pairwise alignment, while calculating alignment score at each step (Extension)
- 5. Continue extension until score drops below a *threshold* (due to mismatches).

High Scoring Segment Pair (HSP) - the resulting contiguous aligned segment pair without gaps. Tehran Polytechnic)

#### What are the Results of a BLAST Search?

- Original version of BLAST?
  - List of HSPs called Maximum Scoring Pairs

- More recent, improved version of BLAST?
  - Allows gaps: Gapped Alignment
  - How? Allows score to drop below threshold, (but only temporarily)

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## Why is Gapped Alignment Harder?

- Without gaps, there are N+M-1 possible alignments between sequences of length N and M
- Once we start allowing gaps, there are many more possible arrangements to consider:

• Becomes a very large number when we also allow mismatches, because we need to look at every possible pairing between elements:

Roughly N<sup>M</sup> possible alignments!

e.g.: for N=M=100, there are  $100^{100}$ = $10^{200}$  possible alignments & 100 aa is a small protein!

#### BLAST - a few details

- Developed by *Stephen Altschul* at NCBI in 1990.
- Word length?
  - Typically: 3 aa for protein sequence
    - 11 nt for DNA sequence
- Substitution matrix?
  - Default is BLOSUM62
  - Can change under Algorithm Parameters
  - Can choose other BLOSUM or PAM matrices
  - Change other parameters here, too
- Stop-Extension Threshold?
  - Typically: 22 for proteins abir University of Technology
    - 20 for DNA

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## DNA potentially can encode 6 protein frames

```
CAT CAA ...
   5' ATC AAC ....

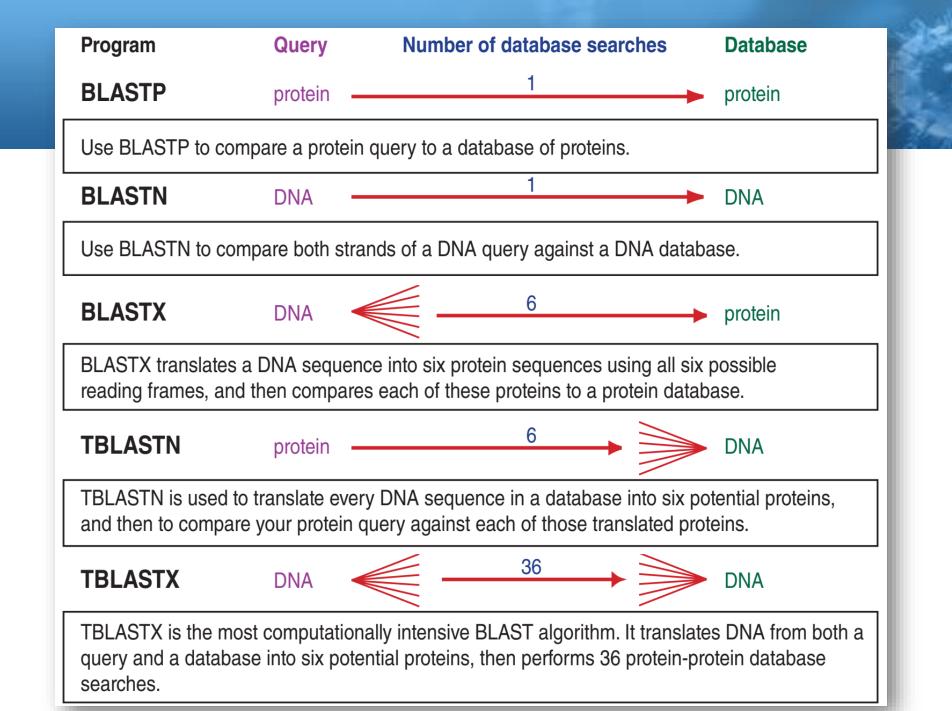
> 5' TCA ACA ....
CATCAACAACACTCCAAAGACACCCTTACACATCAAC 3'
GTAGTTGTTGAGGTTTCTGTGGGAATGTGTAGTTG 5'
                           5' TGA TGT ...
           Amirkabir University of Fechnology ATG
                (Tehran Polytechni5)' GTT GAT
```

#### BLAST - a Family of Programs

- **BLASTN** nucleotide (nt) sequence query against a nucleotide sequence DB (GenBank)
- BLASTP protein sequence query against protein DB
- BLASTX translates nt seq to six translated protein seq as query against protein DB
- TBLASTN protein query against 6 translated protein from translation
- TBLASTX 6-frame DNA query to 6-frame DNA translation
- **PSI-BLAST** protein "profile" query against protein DB
- PHI-BLAST protein pattern against protein DB
- Newest: MEGA-BLAST optimized for highly similar sequences

Which tool should you use?

https://blast.ncbi.nlm.nih.gov/Blast.cgi



#### BLAST - Statistical Significance?

- E-value (expectation value): the probability that the resulting alignments are caused by random chance.
  - $-E = m \times n \times P$
  - m = total number of residues in database
  - n = number of residues in query sequence
  - -P = probability that an HSP is result of random chance
  - Cons: the E-value is proportionally affected by the database size.
- **Bit Score** (S'): measures sequence similarity independent of query sequence length and database size and is normalized based on the raw pairwise alignment score.

## BLAST - Statistical Significance?

- Bit Score (S'): normalized score, to account for differences in size of database (m) & sequence length(n)
  - $-S' = (\lambda \times S \ln K) / \ln 2$
  - $-\lambda$  = Gumble distribution constant
  - -S = raw alignment score
  - -K = constant associated with scoring matrix
  - It is linearly related to raw alignment score, so higher S' means alignment has higher significance
- Low Complexity Masking
  - remove repeats that confound scoring

Relation with E-value:

$$E = m \times n \times 2^{-S'}$$

#### BLAST - Statistical Significance?

- Conclusions based on E-value:
  - -E < 1e-50: there should be an extremely high confidence that the database match is a result of homologous relationships.
  - -1e-50 < E < 0.01: the match can be considered a result of homology.
  - -0.01 < E < 10: the match is considered not significant, but may hint at a tentative remote homology relationship.
  - -E > 10, the sequences under consideration are unrelated.

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#### Detailed Steps in BLAST algorithm

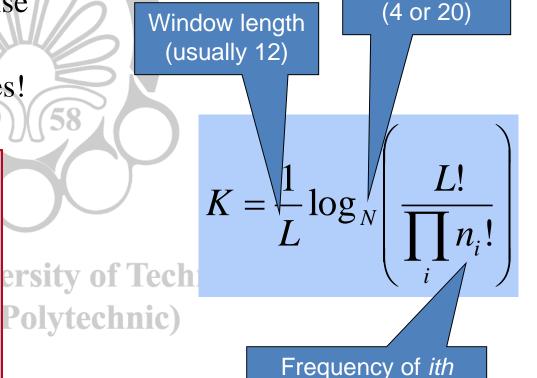
- 1. Remove low-complexity regions (LCRs)
- 2. Make a list (dictionary): all words of length 3 aa or 11 nt
- 3. Augment list to include similar words
- 4. Store list in a search tree (data structure)
- 5. Scan database for occurrences of words in search tree
- 6. Connect nearby occurrences
- 7. Extend matches (words) in both directions
- 8. Prune list of matches using a score threshold
- 9. Evaluate significance of each remaining match
- 10. Perform Smith-Waterman to get alignment

# 1: Filter low-complexity regions (LCRs)

- Low complexity regions, transmembrane regions and coiled-coil regions often display significant similarity without homology.
- Low complexity sequences can yield false positives.
- Screen them out of your query sequences! When appropriate!

#### e.g., for GGGG: L! = 4!=4x3x2x1= 24 $n_G$ =4 $n_T$ = $n_A$ = $n_C$ =0 $\Pi n_i$ ! = 4!x0!x0!x0! = 24 K=1/4 $\log_4 (24/24) = 0$ For CGTA: K=1/4 $\log_4 (24/1) = 0.57$

K = computational complexity;
varies from 0 (very low complexity)
to 1 (high complexity)
Alphabet size



letter in the window

## 2: List all words in query

#### YGGFMTSEKSQTPLVTLFKNAIIKNAHKKGQ

YGG

GGF

GFM

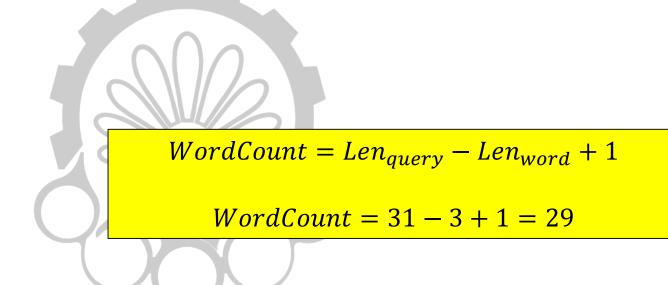
FMT

MTS

TSE

SEK

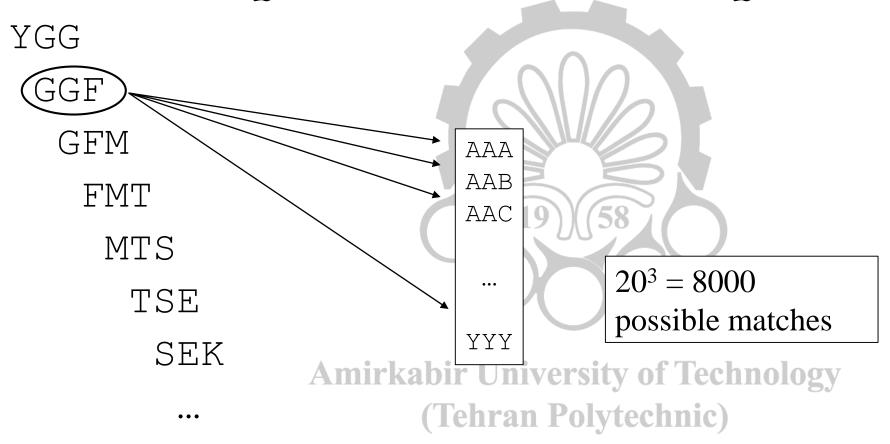
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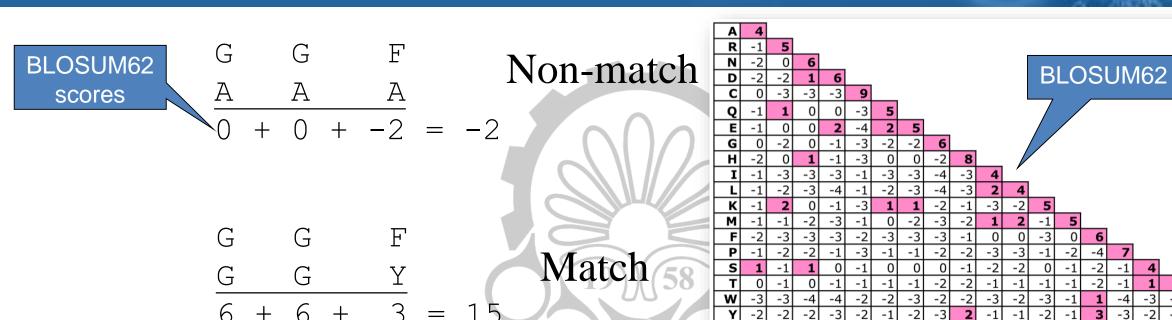
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## 3: Augment word list

#### YGGFMTSEKSQTPLVTLFKNAIIKNAHKKGQ



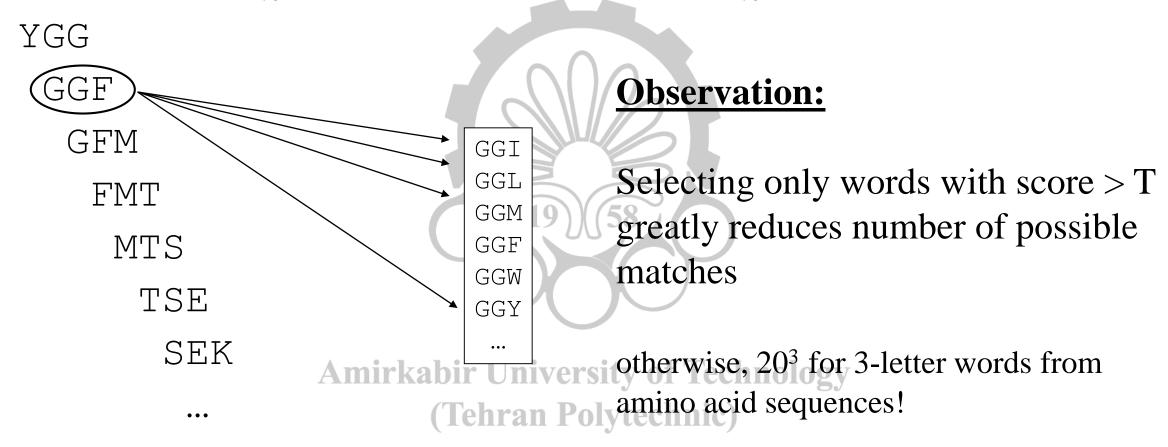
# 3: Augment word list (Cont.)



A user-specified **threshold**, **T**, determines which 3-letter words are considered matches and non-echnology matches (Tehran Polytechnic)

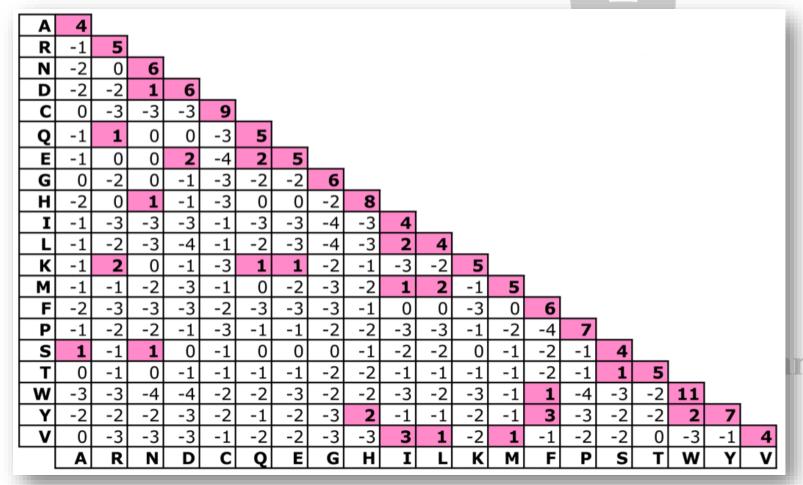
#### 3: Augment word list (Cont.)

#### YGGFMTSEKSQTPLVTLFKNAIIKNAHKKGQ



# Example

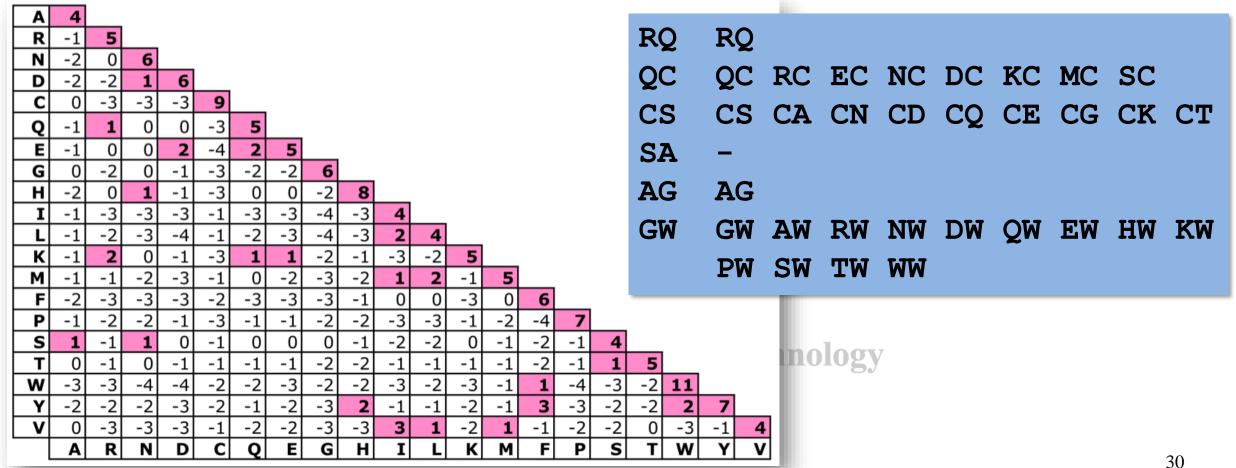
Find all words that match EAM with a score greater than or equal to 11



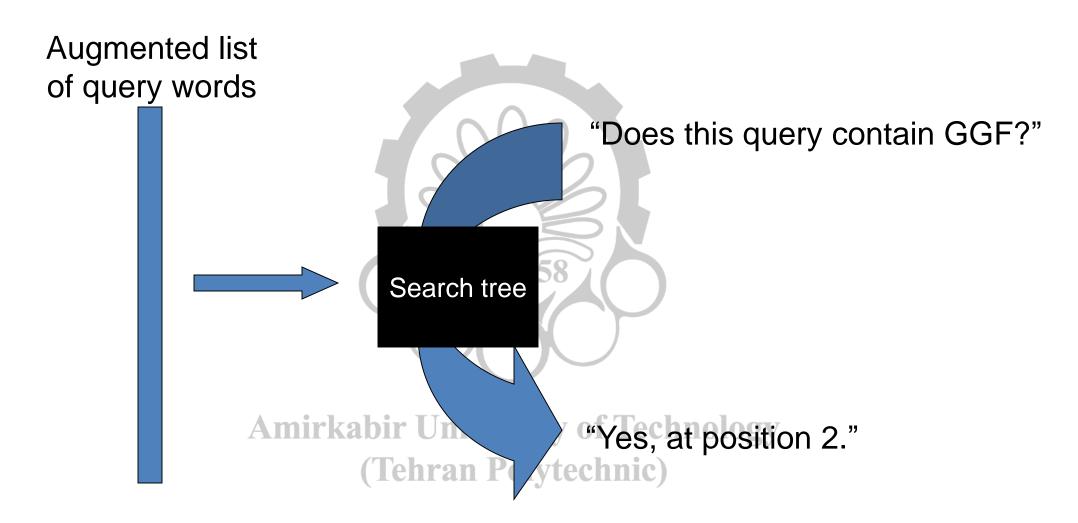
inology

#### Example 2

Find all words with size 2 and score greater than 8 for **RQCSAGW** 



#### 4: Store words in search tree



# Search Tree (Trie)

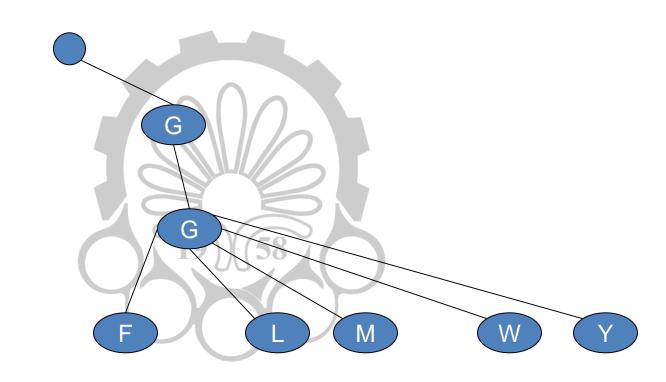
**GGF** 

GGL

**GGM** 

**GGW** 

**GGY** 



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# Trie Example

#### Put this word list into a search tree

DAM

QAM

EAM

KAM

ECM

EGM

ESM

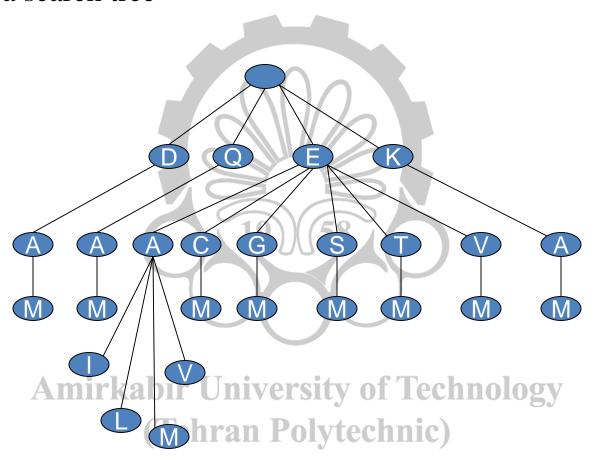
ETM

EVM

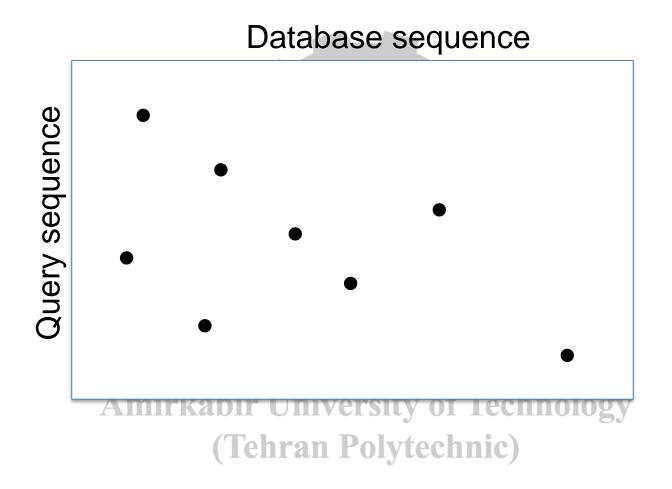
EAI

EAL

EAV

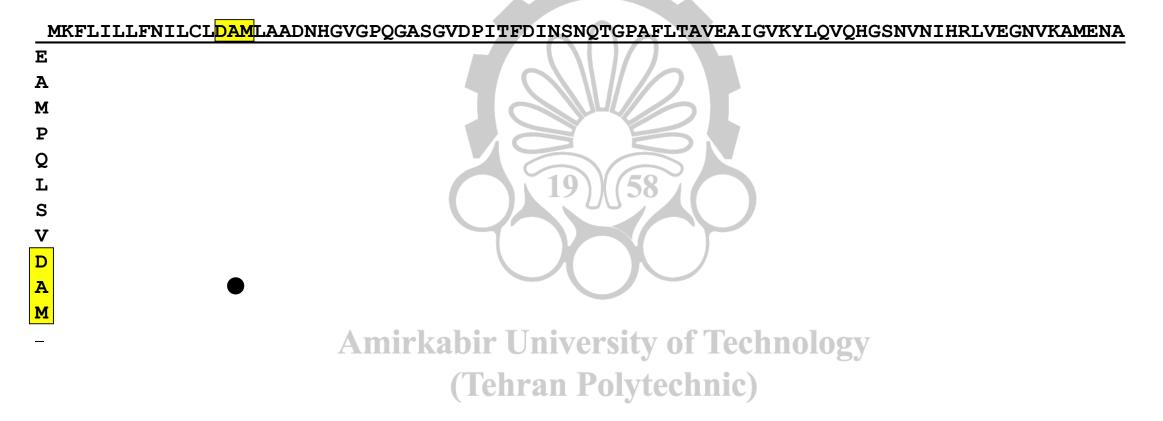


# 5: Scan the database sequences



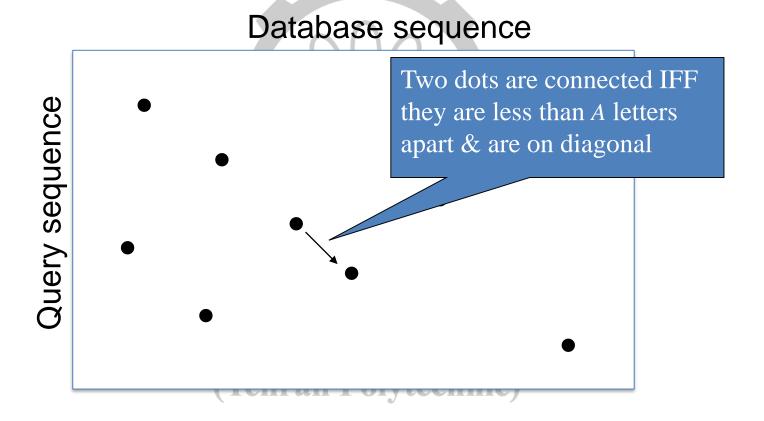
# Example

#### Scan this "database" for occurrences of your words

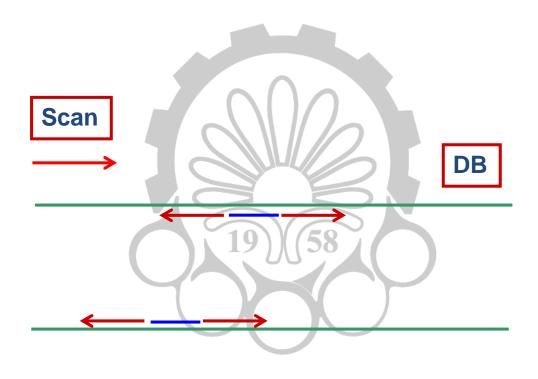


# 6: Connect nearby occurrences

• (diagonal matches in Gapped BLAST)



## 7: Extend matches in both directions



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## 7: Extend matches, calculating score at each step

- Each match is extended to left & right until a negative BLOSUM62 score is encountered
- Extension step typically accounts for > 90% of execution time

# 8&9: Prune matches & Evaluate significance

- Prune matches:
  - Discard all matches that score below defined threshold

- Evaluate significance:
  - BLAST uses an analytical statistical significance calculation

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# 10: Use SW algorithm to generate alignment

- *ONLY* significant matches are re-analyzed using Smith-Waterman DP algorithm.
- Alignments reported by BLAST are produced by dynamic programming

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## BLAST: What is a "Hit"?

- A *hit* is a *w*-length word in database that aligns with a word from query sequence with score > T
- BLAST looks for hits instead of exact matches
  - Allows word size to be kept larger for speed, without sacrificing sensitivity
- Typically:
  - -w = 3-5 for amino acids, w = 11-12 for DNA
- T is the most critical parameter:
  - $-\uparrow T \Rightarrow \downarrow$  "background" hits (faster) of Technology
  - $-\downarrow T \Rightarrow \uparrow$  ability to detect more distant relationships (at cost of increased noise)

# Tips for BLAST Similarity Searches

- If you don't know, use default parameters first
- Try several programs & several parameter settings
- If possible, search on *protein* sequence level

#### Scoring matrices:

- PAM1 / BLOSUM80: if expect/want less divergent proteins
- PAM120 / BLOSUM62: "average" proteins
- PAM250 / BLOSUM45: if need to find more divergent proteins

#### • Proteins:

```
>25-30% identity (and >100aa) -> likely related
15-25% identity -> twilight zone
<15% identity -> likely unrelated
```

## Practical Issues

- Searching on DNA or protein level?
- In general, protein encoding DNA should be translated!
- DNA yields more random matches:
  - 25% for DNA vs. 5% for proteins
- DNA databases are larger and grow faster
- Selection (generally) acts on protein level
  - <u>Synonymous mutations</u> are usually neutral
  - DNA sequence similarity decays faster

## NCBI: BLAST

#### **Basic Local Alignment Search Tool**

**BLAST** finds regions of similarity between biological sequences. The program compares nucleotide or protein sequences to sequence databases and calculates the statistical significance.

Learn more

#### **Search Betacoronavirus Database**

We have created a new BLAST database focused on the SARS-CoV-2 (Severe acute respiratory syndrome coronavirus 2) Sequences. For further detail please visit

NCBI GenBank.

Mon, 03 Feb 2020 10:00:00 EST

More BLAST news...

#### **Web BLAST**



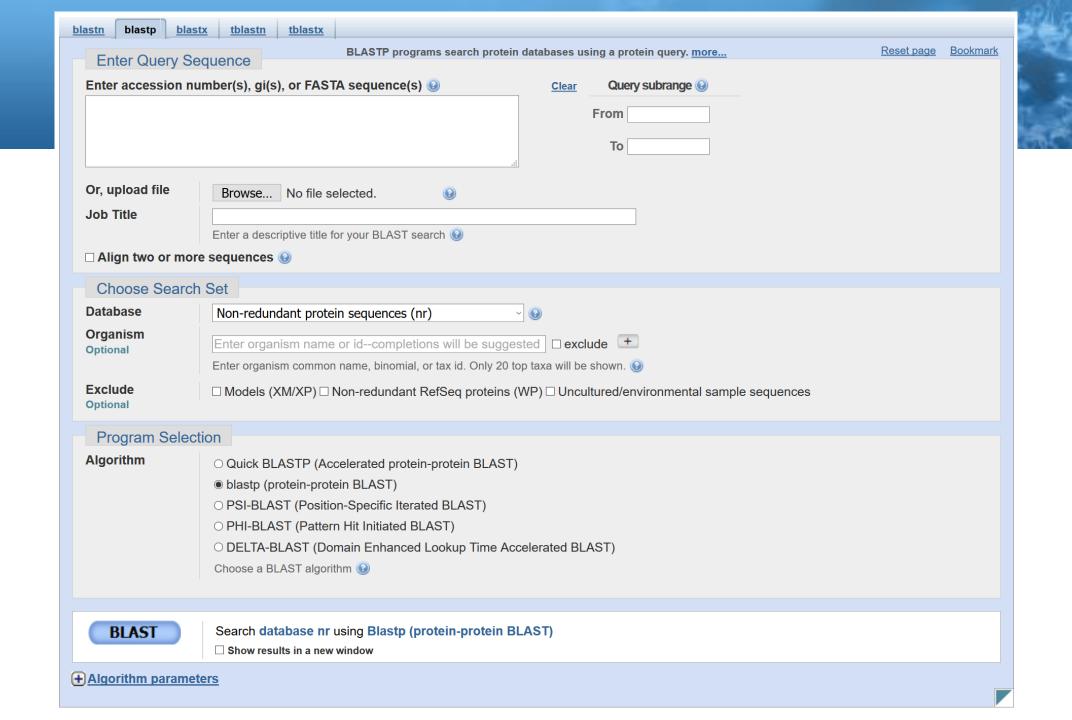
#### blastx

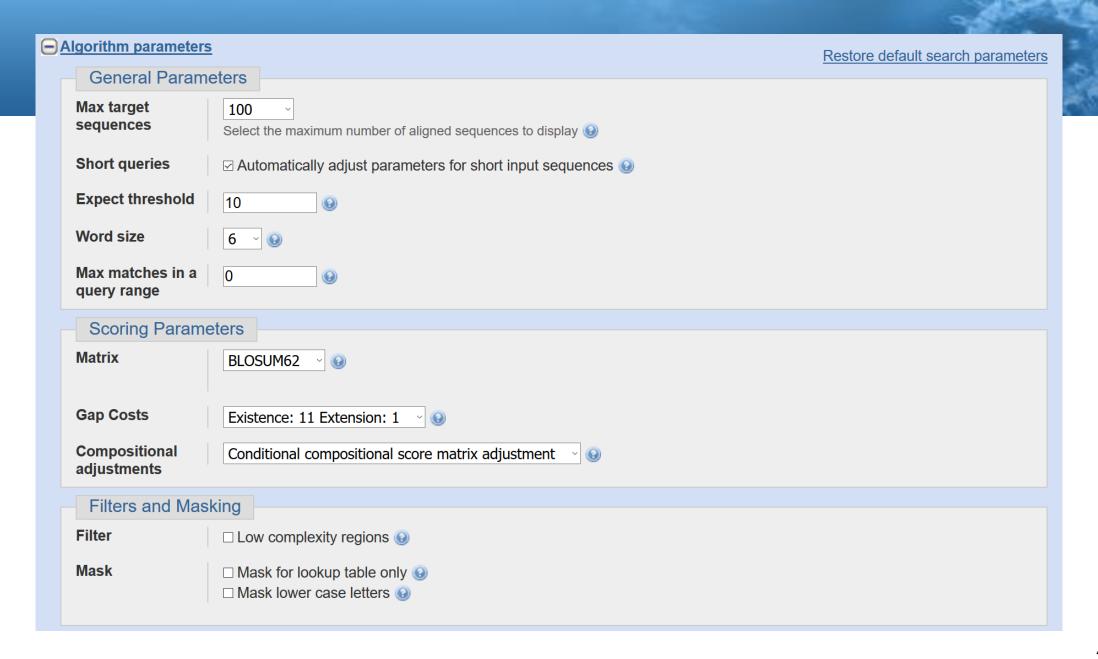
translated nucleotide ▶ protein

#### tblastn

protein ▶ translated nucleotide







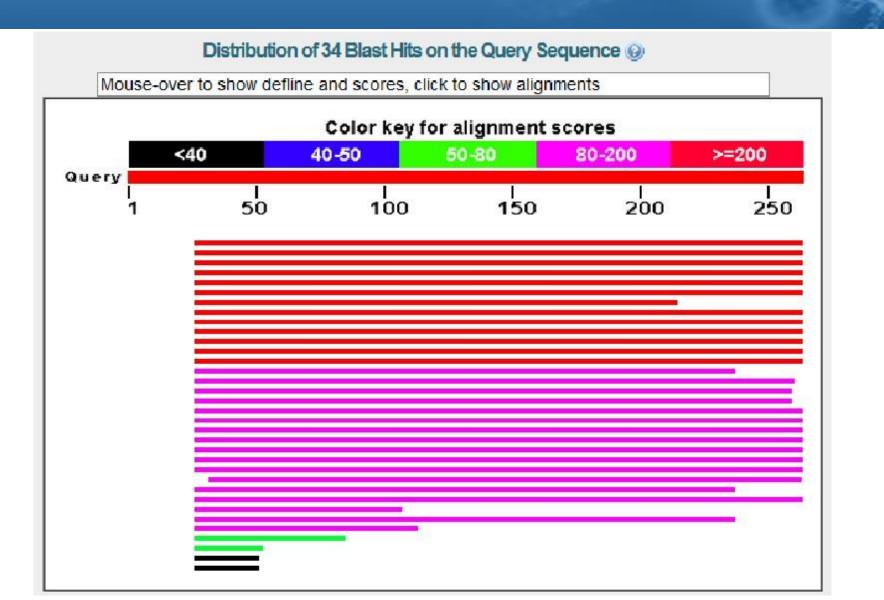
# Example: P01308 (INS\_HUMAN)

#### • Sequence:

MALWMRLLPLLALLALWGPDPAAAFVNQHLCGSHLVEALYLV CGERGFFYTPKTRREAEDLQVGQVELGGGPGAGSLQPLALEG SLQKRGIVEQCCTSICSLYQLENYCN

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# BLAST Output



# FASTA (FAST ALL)

### FASTA

- FASTA was the first database similarity search tool.
- It uses a **hashing** strategy to find matches for a short stretch of identical residues with a length of *k*.
- The string of residues is known as *ktuples* or *ktups*, which are equivalent to words in BLAST, but are normally shorter.
  - A ktup is composed of 2 residues for protein sequences and 6 residues for DNA sequences.

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https://www.ebi.ac.uk/Tools/sss/fasta/

# Steps in FASTA

- Step 1: identify ktups between two sequences by using the hashing strategy.
- Step 2 : narrow down the high similarity regions between the two sequences.
- Step 3: the gapped alignment is refined further using the Smith–Waterman algorithm to produce a final alignment.
- Step 4 : perform a statistical evaluation of the final alignment as in BLAST, which produces the *E*-value.

# Step 1: Construct a Hashing Table

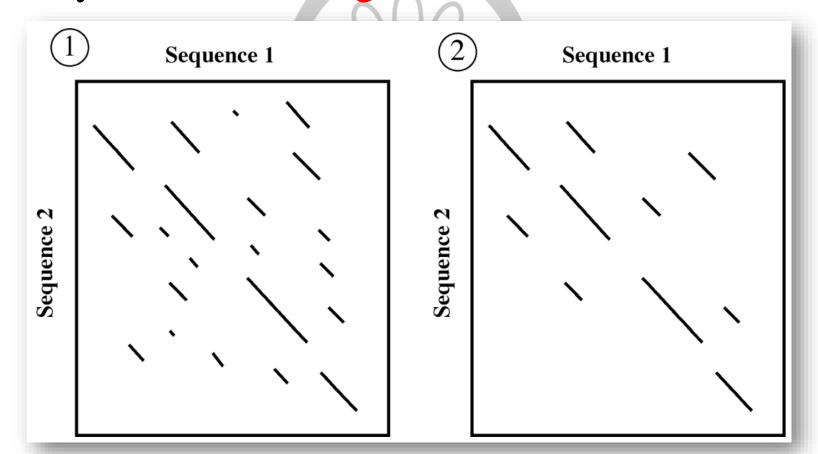
Seq1 = **AMPSDGL** Seq2 = **GPSDNAT** 



amino acid	sequen	offset	
	seq 1	seq 2	
A	1	6	<b>-</b> 5
D	5	4	1
G	6	1	5
${f L}$	7	_	_
M	2	_	_
N	_	5	_
P	3	2	1
S	4	3	1
T	-	7	-

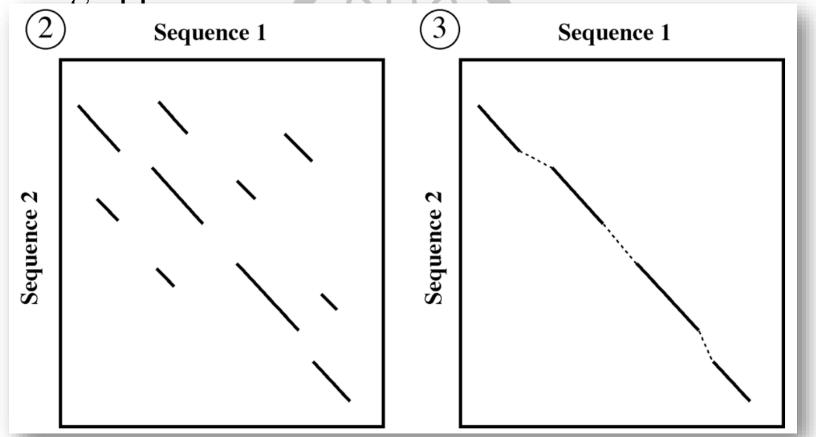
# Step 2: Narrow Down the Similarity Regions

• The alignments are scored according to a particular scoring matrix. Only the ten best alignments are selected.



# Step 3: Refined the Gapped Alignment

• The alignments in the same diagonal are selected and joined to form a single gapped alignment, which is optimized using the dynamic programming approach.



# Step 4: Perform a Statistical Evaluation

- FASTA also uses E-values and bit scores.
- Estimation of the two parameters in FASTA is essentially the same as in BLAST.
- In addition, the FASTA output provides one more statistical parameter, the *Z*-score.
  - Z-score describes the number of standard deviations from the mean score for the database search.
- The higher *Z*-score means the more significant match.
  - Z-score > 15: extremely significant with certainty of a homologous relationship.
  - -5 < Z-score < 15: sequence pair can be described as highly probable homologs.
  - -Z < 5: relationship is described as less certain.

#### BLAST vs FASTA

#### • Seeding:

- BLAST integrates scoring matrix into first phase
- FASTA requires exact matches (uses hashing)
- FASTA uses shorter word sizes so it gives more sensitive results with a better coverage rate for homologs.
- BLAST increases search speed by finding fewer, but better, words during initial screening phase.

#### • Results:

- BLAST can return multiple best scoring alignments
- FASTA returns only one final alignment technic

## BLAST Notes - & DP Alternatives

- BLAST uses heuristics: it may miss some good matches
  - It has been estimated that for some families of protein sequences BLAST can miss 30% of truly significant matches.
- But, it's fast: 50 100X faster than Smith-Waterman (SW) DP
- Large impact:
  - NCBI's BLAST server handles more than 100,000 queries/day
  - Most used bioinformatics program in the world!
- Increased availability of parallel processing has made DP-based approaches feasible: 2 DP-based web servers: both more sensitive than BLAST
  - Scan Protein Sequence: <a href="http://www.ebi.ac.uk/scanps/index.html">http://www.ebi.ac.uk/scanps/index.html</a>
     Implements modified SW optimized for parallel processing
  - ParAlign: www.paralign.org parallel SW or heuristics

## References

- Mostly used:
  - Essential bioinformatics, Chapter 3 (Database Similarity Searching)
- Second reference:
  - Bioinformatics and functional genomics, Chapter 3 (Basic Local Alignment Search Tool (BLAST))

• IP notice: some slides were selected from Drena Dobbs' slides.

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# Thanks for your attention

