

```

theory Untyped-Lambda-Calculus
imports Complex-Main
begin

```

```

datatype Term
  = Var nat
  | Abs Term
  | App Term Term

```

Definition 6.1.2 — `n_terms`

```

inductive n-term :: nat  $\Rightarrow$  Term  $\Rightarrow$  bool where
  n-term-Var:  $0 \leq k \implies k < n \implies$  n-term  $n$  (Var  $k$ ) |
  n-term-Abs: n-term  $n$   $t \implies n > 0 \implies$  n-term  $(n - 1)$  (Abs  $t$ ) |
  n-term-App: n-term  $n$   $t1 \implies$  n-term  $n$   $t2 \implies$  n-term  $n$  (App  $t1$   $t2$ )

```

Definition 6.2.1 — Shifting

```

primrec shift :: int  $\Rightarrow$  nat  $\Rightarrow$  Term  $\Rightarrow$  Term where
  shift-Var: shift  $d$   $c$  (Var  $k$ ) = Var (if  $k < c$  then  $k$  else nat ( $k + d$ )) |
  shift-Abs: shift  $d$   $c$  (Abs  $t$ ) = Abs (shift  $d$  (Suc  $c$ )  $t$ ) |
  shift-App: shift  $d$   $c$  (App  $t1$   $t2$ ) = App (shift  $d$   $c$   $t1$ ) (shift  $d$   $c$   $t2$ )

```

Exercise 6.2.2

```

lemma shift 2 0 (Abs (Abs (App (Var 1) (App (Var 0) (Var 2)))))) =
  Abs (Abs (App (Var 1) (App (Var 0) (Var 4))))
by simp

```

```

lemma shift 2 0 (Abs (App (Var 0) (App (Var 1) (Abs (App (Var 0) (App (Var
1) (Var 2))))))) =
  Abs (App (Var 0) (App (Var 3) (Abs (App (Var 0) (App (Var 1)
(Var 4))))))
by simp

```

Exercise 6.2.3

```

lemma n-term  $n$   $t \implies$  n-term  $(n + d)$  (shift  $d$   $c$   $t$ )
proof (induction  $n$   $t$  arbitrary:  $d$   $c$  rule: n-term.induct)
  case (n-term-Var  $k$   $n$ )
    from n-term-Var.hyps show ?case
    using n-term.n-term-Var by simp
  next
    case (n-term-Abs  $n$   $t$ )
    from n-term-Abs.hyps show ?case
    using n-term.n-term-Abs by (auto intro: n-term-Abs.IH)
  next
    case (n-term-App  $n$   $t1$   $t2$ )
    show ?case
    by (simp add: n-term.n-term-App n-term-App.IH)
qed

```

Definition 6.2.4 — Substitution

primrec *subst* :: *nat* \Rightarrow *Term* \Rightarrow *Term* \Rightarrow *Term* **where**
subst-Var: *subst* *j* *s* (*Var* *k*) = (if *k* = *j* then *s* else *Var* *k*) |
subst-Abs: *subst* *j* *s* (*Abs* *t*) = *Abs* (*subst* (*Suc* *j*) (*shift* 1 0 *s*) *t*) |
subst-App: *subst* *j* *s* (*App* *t1* *t2*) = *App* (*subst* *j* *s* *t1*) (*subst* *j* *s* *t2*)

Exercise 6.2.5

lemma *subst* 0 (*Var* 1) (*App* (*Var* 0) (*Abs* (*Abs* (*Var* 2)))) =
App (*Var* 1) (*Abs* (*Abs* (*Var* 3)))
by *simp*

lemma *subst* 0 (*App* (*Var* 1) (*Abs* (*Var* 2))) (*App* (*Var* 0) (*Abs* (*Var* 1))) =
App (*App* (*Var* 1) (*Abs* (*Var* 2))) (*Abs* (*App* (*Var* 2) (*Abs* (*Var* 3))))
by *simp*

lemma *subst* 0 (*Var* 1) (*Abs* (*App* (*Var* 0) (*Var* 2))) =
Abs (*App* (*Var* 0) (*Var* 2))
by *simp*

lemma *subst* 0 (*Var* 1) (*Abs* (*App* (*Var* 1) (*Var* 0))) =
Abs (*App* (*Var* 2) (*Var* 0))
by *simp*

Exercise 6.2.6

lemma *n-term-shift*: *n-term* *n* *t* \implies *n-term* (*n* + *j*) (*shift* *j* *i* *t*)
by (*induction* *n* *t* *arbitrary*: *j* *i* *rule*: *n-term.induct*)
(*auto* *intro*: *n-term-Var* *n-term-Abs*[*unfolded One-nat-def*] *n-term-App*)

lemma *n-term* *n* *t* \implies *n-term* *n* *s* \implies *j* \leq *n* \implies *n-term* *n* (*subst* *j* *s* *t*)

proof (*induction* *n* *t* *arbitrary*: *s* *j* *rule*: *n-term.induct*)
case (*n-term-Var* *k* *n*)
thus ?*case*
by (*auto* *intro*: *n-term.n-term-Var*)
next
case (*n-term-Abs* *n* *t*)
thus ?*case*
using *n-term.n-term-Abs* *n-term-shift*[*OF* *n-term-Abs.prem*s(1), **where** *j* = 1]
by (*auto*
intro: *n-term-Abs.IH*
intro!: *n-term.n-term-Abs*[*unfolded One-nat-def*]
simp: *n-term-shift*[*OF* *n-term-Abs.prem*s(1), **where** *j* = 1])
next
case (*n-term-App* *n* *t1* *t2*)
thus ?*case*
by (*simp* *add*: *n-term.n-term-App*)
qed

Single step evaluation

inductive *is-value* :: *Term* \Rightarrow *bool* **where**
is-value (*Abs* *t*)

inductive *eval-once* :: *Term* \Rightarrow *Term* \Rightarrow *bool* **where**
eval-once-App1: *eval-once* *t1* *t1'* \Rightarrow *eval-once* (*App* *t1* *t2*) (*App* *t1'* *t2*) |
eval-once-App2: *is-value* *v1* \Rightarrow *eval-once* *t2* *t2'* \Rightarrow *eval-once* (*App* *v1* *t2*) (*App* *v1* *t2'*) |
eval-once-App-Abs: *is-value* *v2* \Rightarrow *eval-once* (*App* (*Abs* *t12*) *v2*) (*shift* (-1) 0 (*subst* 0 (*shift* 1 0 *v2*) *t12*))

Theorem 3.5.4 for Untyped Lambda Calculus

theorem *eval-once-right-unique*:
eval-once *t* *t'* \Rightarrow *eval-once* *t* *t''* \Rightarrow *t'* = *t''*
proof (*induction* *t* *t'* *arbitrary*; *t''* *rule*: *eval-once.induct*)
case (*eval-once-App1* *t1* *t1'* *t2*)
from *eval-once-App1.hyps* *eval-once-App1.prem*s **show** ?*case*
by (*auto elim*: *eval-once.cases is-value.cases intro*: *eval-once-App1.IH*)
next
case (*eval-once-App2* *t1* *t2* *t2'*)
from *eval-once-App2.hyps* *eval-once-App2.prem*s **show** ?*case*
by (*auto elim*: *eval-once.cases is-value.cases intro*: *eval-once-App2.IH*)
next
case (*eval-once-App-Abs* *v2* *t12*)
from *eval-once-App-Abs.prem*s *eval-once-App-Abs.hyps* **show** ?*case*
by (*auto elim*: *eval-once.cases simp*: *is-value.simps*)
qed

Definition 3.5.6 for Untyped Lambda Calculus

definition *is-normal-form* :: *Term* \Rightarrow *bool* **where**
is-normal-form *t* \longleftrightarrow (\forall *t'*. \neg *eval-once* *t* *t'*)

Theorem 3.5.7 for Untyped Lambda Calculus

theorem *value-imp-normal-form*: *is-value* *t* \Rightarrow *is-normal-form* *t*
by (*auto elim*: *is-value.cases eval-once.cases simp*: *is-normal-form-def*)

Theorem 3.5.8 does not hold for Untyped Lambda calculus

theorem *normal-form-does-not-imp-value*:
 \exists *t*. *is-normal-form* *t* \wedge \neg *is-value* *t* (**is** \exists *t*. ?*P* *t*)
proof
have *a*: *is-normal-form* (*Var* 0)
by (*auto simp*: *is-normal-form-def elim*: *eval-once.cases*)
have *b*: \neg *is-value* (*Var* 0)
by (*auto simp*: *is-normal-form-def dest*: *is-value.cases*)
from *a b* **show** ?*P* (*Var* 0) **by** *simp*
qed

Multistep evaluation

inductive *eval* :: *Term* \Rightarrow *Term* \Rightarrow *bool* **where**
eval-base: *eval* *t* *t* |
eval-step: *eval-once* *t* *t'* \Rightarrow *eval* *t'* *t''* \Rightarrow *eval* *t* *t''*

Corollary 3.5.11 for Untyped Lambda Calculus

corollary *uniqueness-of-normal-form*:

```
assumes
  eval t u and
  eval t u' and
  is-normal-form u and
  is-normal-form u'
shows u = u'
using assms
proof (induction t u rule: eval.induct)
  case (eval-base t)
  thus ?case by (metis eval.simps is-normal-form-def)
next
  case (eval-step t1 t2 t3)
  thus ?case by (metis eval.cases is-normal-form-def eval-once-right-unique)
qed
```

lemma *eval-once-VarD*: $\text{eval-once } (\text{Var } x) t \implies P$

by (induction Var x t rule: eval-once.induct)

lemma *eval-once-AbsD*: $\text{eval-once } (\text{Abs } x) t \implies P$

by (induction Abs x t rule: eval-once.induct)

theorem *eval-does-not-always-terminate*:

$\exists t. \forall t'. \text{eval } t t' \longrightarrow \neg \text{is-normal-form } t' \text{ (is } \exists t. \forall t'. ?P t t')$

proof

let $? \omega = \text{Abs } (\text{App } (\text{Var } 0) (\text{Var } 0))$

let $? \Omega = \text{App } ? \omega ? \omega$

{ fix t

have $\text{eval-once } ? \Omega t \implies ? \Omega = t$

by (induction ? Ω t rule: eval-once.induct) (auto elim: eval-once-AbsD)

} note $\text{eval-once-}\Omega = \text{this}$

{ fix t

have $\text{eval-}\Omega: \text{eval } ? \Omega t \implies ? \Omega = t$

by (induction ? Ω t rule: eval.induct) (blast dest: eval-once- Ω)+

} note $\text{eval-}\Omega = \text{this}$

show $\forall t'. ?P ? \Omega t'$

by (auto

simp: is-normal-form-def

intro: eval-once-App-Abs is-value.intros

dest!: eval- Ω)

qed

end