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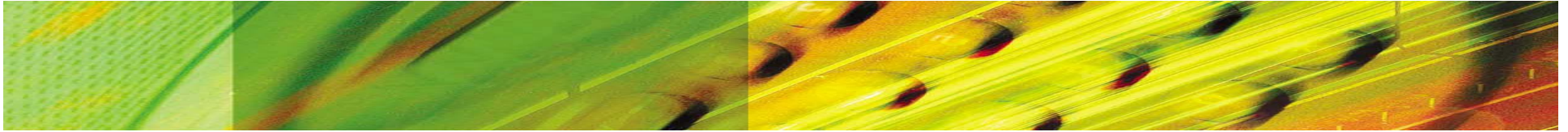
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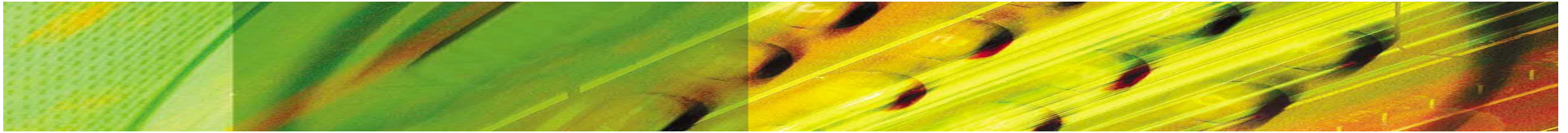


ISO/IEC JTC1 WG6

Corporate Governance of IT

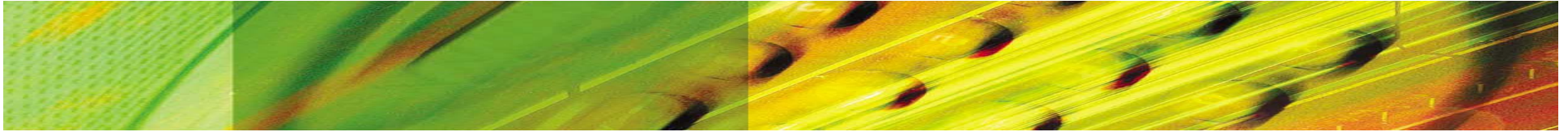
Convener's Report to the JTC1 Plenary Meeting
- 2009 Tel Aviv

John Graham



The genesis of WG6

- ◆ Australian Standard AS 8015:2005 Corporate Governance of Information and Communication Technology
- ◆ SC7 ICT Governance Study Group
- ◆ JTC1 Study Group on IT Governance
- ◆ ISO/IEC 38500:2008 Corporate Governance of Information Technology
- ◆ JTC1 Plenary Nara - Resolution 41 - Establishment of Working Group on Corporate Governance of IT
- ◆ Announcement of Establishment of JTC1 Working Group 6 on Corporate Governance of IT



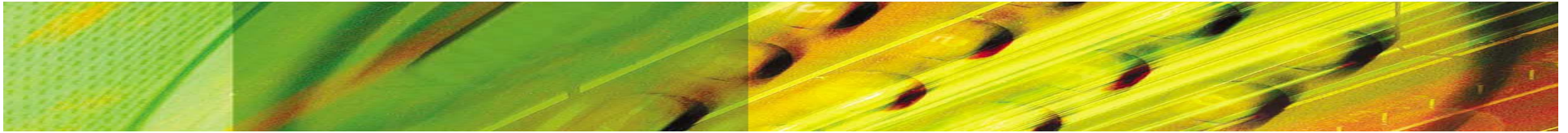
Scope of WG6

◆ Defined by Nara Resolution 41

– Part 1 of TOR

“To develop standards and related documents on Corporate Governance of IT, to include:

- a) Performing a gap analysis and defining a framework and models for the Corporate Governance of IT and its relationships with associated functions and systems for the management of IT activities;*
 - b) Standardisation and harmonisation of terminology related to Corporate Governance of IT; and*
 - c) Ongoing maintenance of ISO/IEC 38500 and development of associated guidelines (subject to needs identified by the Study Group formed under SC 7 Resolution 1081),*
- but excluding the operational management of IT,”*



Participation in WG6

◆ Also defined by Nara Resolution 41

–Part 2 and 3 of TOR

2) *To seek initial liaison relationships with:*

a) SC7

b) SC27

c) ISO TC 68

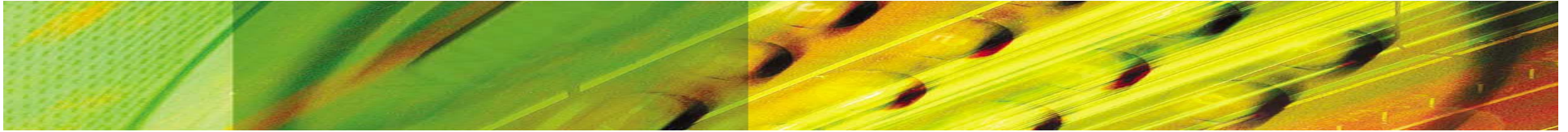
d) ISO TC 215

e) ISACA/ITGI

f) ItSMF-I

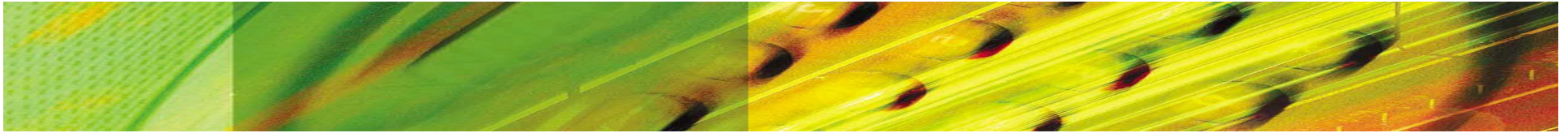
g) *Other relevant bodies, potentially including: SC6, SC17, SC23, SC31 and SC37,*

3) *Membership of the Working Group being open to representatives from all National Bodies,*



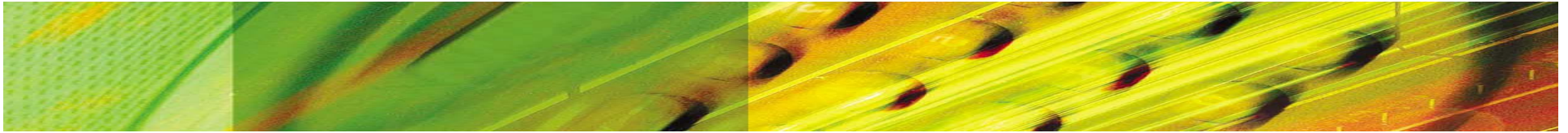
Participation in WG6

- ◆ The Working Group currently has 36 members drawn from 14 P members of JTC1
- ◆ Liaisons have either been established or are in the process of establishment with:
 - **ITSMF International**
 - **ISACA/ITGI**
 - **ISO TC 215**
 - **ISO TC 68**
 - **JTC1 SC7**
 - **JTC1 SC27**
- ◆ Other liaison relationships are being actively sought



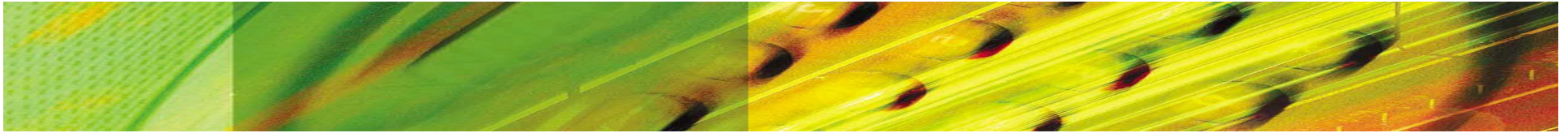
Meetings of WG6

- ◆ The first meeting was held at BSI in London in May
 - 29 attended, including experts from 12 countries and delegates of organisations, either in liaison, or with liaison arrangements in progress
 - meeting was arranged to minimise cost of attending due to the constraints imposed by the GFC.
- ◆ The Working Group has accepted the invitation of the Singapore ITSC to hold its second meeting in Singapore, 2nd - 4th December, 2009
 - the SC7 WG1A interim meeting will be co-located with us



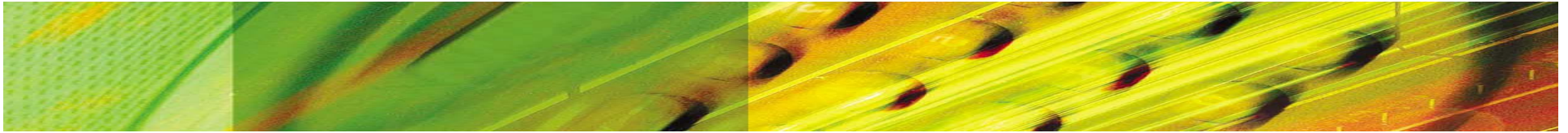
Work Program for WG6

- ◆ At this stage the only project assigned to JTC1 for WG6 is the published standard *ISO/IEC 38500:2008 Corporate Governance of Information Technology*
- ◆ The projects *ISO/IEC NP 29151 Software and System Engineering -- Corporate Governance of Information Technology* and *ISO/IEC NP 29184 Information Technology -- Corporate Governance of IT Implementation Guide* are in the process of transfer to JTC1 for WG6. These transfers has been formally agreed by both SC7 and WG6.
- ◆ The need for timing resets on the projects in process of transfer is becoming more pressing.



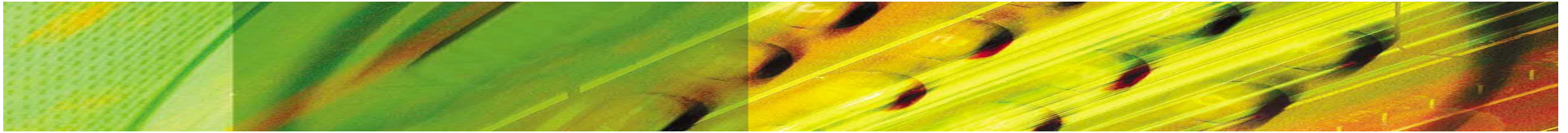
Work Program for WG6

- ◆ The major effort within WG6 is being devoted to “defining a framework and models for the Corporate Governance of IT and its relationships with associated functions and systems for the management of IT activities”
- ◆ It has been agreed in WG6 that this “framework and models” is a pre-requisite for a meaningful gap analysis
- ◆ It has also been agreed that the definition harmonisation and standardisation work specified is an integral part of the “framework and models”



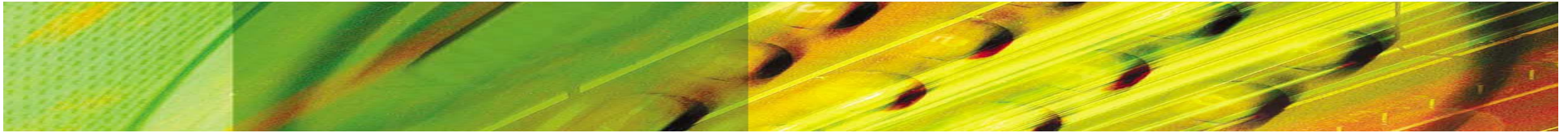
Work Program for WG6

- ◆ WG6 devoted considerable time, both in London and since, to preliminary work in the area of “framework and models”
- ◆ As a result of this work a project team within WG6 has developed an NWI, “*Governance of IT – Framework and Model*” which has been submitted to JTC1 for Letter Ballot. This NWI is for a TR3 which is deemed the most appropriate output for the work.
- ◆ The NWI includes the work required to meet the TOR requirement for “Standardisation and harmonisation of terminology related to Corporate Governance of IT”



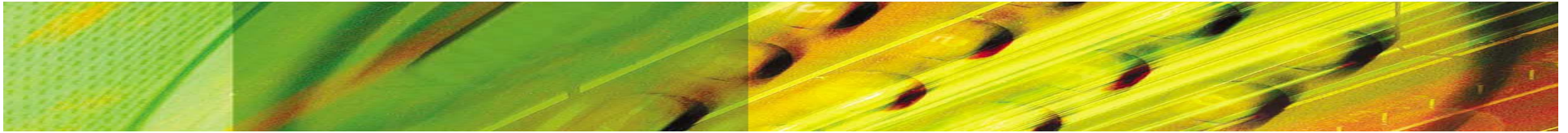
Work Program for WG6

- ◆ A project team within WG6 is looking at issues relating to ISO/IEC 38500:2008 and, in anticipation, project ISO/IEC NP 29151.
- ◆ Another project team is working on ISO/IEC NP 29184 and should be at the first working draft stage by our meeting in Singapore. It is anticipated that the project transfer process should have concluded by then.
- ◆ Some initial work has been done on possible approaches to the estimation of demand for product documents in this area



Subject Matter and Context Issues

- ◆ ISO/IEC 38500 is a starting point. We do not regard its definitions and concepts as immutable
- ◆ The governance of IT is within the context of governance of an organisation or entity.
- ◆ The working group is committed to its documents meeting the criteria “*should be equally applicable to large and small organisations, both public and private, profit and not for profit*”
- ◆ It is becoming apparent that the qualifier “corporate” has significant issues in the context of governance of IT. These issues are both cultural and linguistic



Organisational Issues

- ◆ It is becoming apparent that the JTC1 Directives do not cope well with a Working Group reporting directly to JTC1 and producing documents
- ◆ the main issue is that for a number of important functions, such as initiation of NWIPs, the Directives assume an intermediate layer of Sub Committees between Working Groups and JTC1
- ◆ given the directions signalled in the new ISO/IEC directives it may be prudent to consider the creation of smaller and narrower Sub Committees