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ITU-T RECOMMENDATION X.603.1(2007)/Amd.1

Information technology – Relayed Multicast Protocol: Specification for simplex group applications

Proposed Draft Amendment 1
Secure RMCP-2 Protocol

Summary

This amendment describes the security functionalities of an application-level relayed multicast protocol for one-to-many group applications. The protocol provides various security facilities to fulfill general as well as specific security requirements. Some detailed functions that can operate with a variety of standardized security mechanisms are provided. This amendment enforces the existing RMCP protocol security.

CONTENTS

INTERNATIONAL STANDARD ISO/IEC 16512-2:2008/AMD.1
ITU-T RECOMMENDATION X.603.1(2007)/Amd.1

Information technology – Relayed Multicast Protocol : Specification for simplex group applications

Proposed Draft Amendment 1
Secure RMCP-2 Protocol

1) Clause 1

Delete the existing text and replace with the following:

This Recommendation | International Standard specifies the Relayed MultiCast Protocol for simplex group applications (RMCP-2), an application-layer protocol, which constructs a multicast tree for data delivery from one sender to multiple receivers over the Internet where IP multicast is not fully deployed.

Clauses 5 – 8 define a basic RMCP-2 protocol without security features and clauses 9 – 12 define a secure RMCP-2 protocol that adds security features to the basic protocol. Both protocols specify a series of functions and procedures for multicast agents to construct a one-to-many relayed data path and to relay simplex data. They also specify the operations of the session manager to manage multicast sessions.

These protocols can be used for applications that require one-to-many data delivery services, such as multimedia streaming services or file dissemination services.

Annex E defines a membership authentication procedure for use with the secure RMCP-2 protocol. Annexes A – D, and Annex F provide informative material related to these protocols.

2) Clause 2.

Following the first paragraph, re-order the existing references and add new subheadings as follows:

2.1 Identical Recommendations | International Standards

– ITU-T Recommendation X.603 (2004) | ISO/IEC 16512-1:2005, *Information technology – Relayed multicast protocol: Framework*

2.2 Additional References

– ISO/IEC 9797-2:2002, *Information technology -- Security techniques -- Message Authentication Codes (MACs) -- Part 2: Mechanisms using a dedicated hash-function*
– ISO/IEC 9798-3:1998*: Information technology -- Security techniques -- Entity authentication -- Part 3: Mechanisms using digital signature techniques*
– ISO/IEC 18033-2:2006, *Information technology -- Security techniques -- Encryption algorithms --*

Part 2: Asymmetric ciphers
– ISO/IEC 18033-3:2005, *Information technology -- Security techniques -- Encryption algorithms --- Part 3: Block ciphers*
– ISO/IEC 18033-4:2005, *Information technology -- Security techniques -- Encryption algorithms -- Part 4: Stream ciphers*

- *IETF RFC 2*093 (1997), *Group Key Management Protocol (GKMP) Specification*
- IETF RFC 2627 (1999), *Key Management for Multicast: Issues and Architectures*
- IETF RFC 3546 (2003), *Transport Layer Security (TLS) Extensions*
- IETF RFC 3830 (2004), *MIKEY: Multimedia Internet KEYing*
- IETF RFC 4279 (2005), *Pre-Shared Key Ciphersuites for Transport Layer Security (TLS)*
- IETF RFC 4346 (2006), *The Transport Layer Security (TLS) Protocol Version 1.1*
- IETF RFC 4535 (2006), *GSAKMP: Group Secure Association Key Management Protocol*

3) Clause 3.

Add the following definitions to clause 3:

3.13 **RMCP-2 protocol**: A relayed multicast protocol for simplex group applications

NOTE – When used in clauses 5-8 of this Recommendation | International Standard it has the same meaning as basic RMCP-2. It is expected that this term will be withdrawn and replaced by basic RMCP-2 protocol in future revisions of this Recommendation | International Standard.

3.14 **Basic RMCP-2 protocol**: The relayed multicast protocol for simplex group application defined in clauses 5-8 of this Recommendation | International Standard.

3.15 **Secure RMCP-2 protocol**: The relayed multicast protocol supporting security features for simplex group applications defined in clauses 9-12 of this Recommendation | International Standard.

3.16 **Dedicated Multicast Agent (DMA)**: An intermediate MA pre-deployed as a trust server by the Session Manager(SM) in a RMCP session

3.17 **Security policy**: The set of criteria for the provision of security services together with the set of values for these criteria as resulting from agreement or security mechanisms defined in 10.1.4.

3.18 **TLS_CERT mode** : a mode of the TLS defined in IETF RFC 4346 for authentication of MAs using a certifiacte.

3.19 **TLS_PSK mode** : a mode of the TLS defined in IETF RFC 4279 for authentication of MAs using a pre-shared key for the TLS key exchange

3.20 **Relayed Multicast region; RM region**: a management zone defined by the use of the session key Ks.

3.21 **Member Multicast region; MM region**: a management zone defined by the use of one or more group keys Kg.

3.22 **Member Multicast group; MM group**:

1. (in a multicast disabled area) a group consisting of one DMA and multiple RMAs sharing the same group key Kg.

2. (in a multicast enabled area) a group consisting of one HMA, multiple RMAs together with one or more candidate HMAs sharing the same group key Kg.

3.23 **Candidate HMA**: A DMA that is able to assume the role of an HMA should the original HMA leave or be terminated from a multicast-enabled MM group.

3.24 **Group attribute (GP_ATTRIBUTE):** an attribute that defines whether or not the Content Provider controls the admission of RMAs to the secure RMCP-2 session.

3.25 **Closed group**: an MM group in which all the RMAs have been allocated a service user identifier from the Content Provider before subscribing to the secure RMCP-2 session.

3.26 **Open group**: an MM group in which none of the RMAs require a service user identifier before subscribing to the secure RMCP-2 session.

4) Clause 4.

Add the following abbreviations to clause 4:

ACL	Access Control List
AUTH	Authentication
CEK	Contents Encryption Key
HRSREQ	Head Required Security Request
HRSANS	Head Required Security Answer
KEYDELIVER	Key Delivery
SECAGREQ	SECurity Agreement REQuest
SECAGANS	SECurity Agreement ANSwer
SECLIST	Selected sECurity LIST
TLS	Transport Layer Security

5) New Clauses 9 - 12.

Add the following new clauses:

9. Overview of secure RMCP-2

9.1. Convention

The term basic RMCP-2 protocol when used in clauses 9-12 refers to the protocol defined in clauses 5-8 of this Recommendation | International Standard.

9.2. Secure RMCP-2 entities

9.2.1. Introduction

The secure RMCP-2 protocol supports security functions of the RMCP-2 used for relayed multicast data transport through unicast communication over the Internet.

The secure RMCP-2 protocol components correspond to those described in the basic RMCP-2 protocol except that a new type of MA, a Dedicated Multicast Agent(DMA), has been introduced. A Dedicated Multicast Agent is an intermediate MA pre-deployed as a trust server by the SM. For secure communication, each session consists of SM, SMA, DMAs, RMAs, and a sending application and multiple receiving applications. Their topology, as shown in Figure 85, corresponds with that in the basic RMCP-2 protocol (see 5.1).

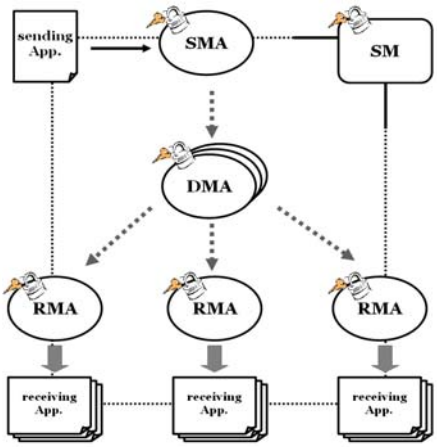

Figure 85 - RMCP-2 Service topology with security

9.2.2. Session Manager

The SM is responsible for maintaining session security, which includes the management of service membership, the management of key and ACL for DMA and RMA, and message encryption/decryption together with the SM functions of basic RMCP-2. Figure 86 shows an abstract protocol stack for the SM functions to be operated. The SM has TLS and multicast session security modules for the provision of security. TLS is used for the initial authentication of DMAs and RMAs when they join the session. The Multicast session security module performs the following security functions after the completion of TLS authentication.

(a) Security policy

(b) Session admission management

(c) Session key management

(d) Access Control list management

(e) Secure group and membership management

(f) Message encryption/decryption

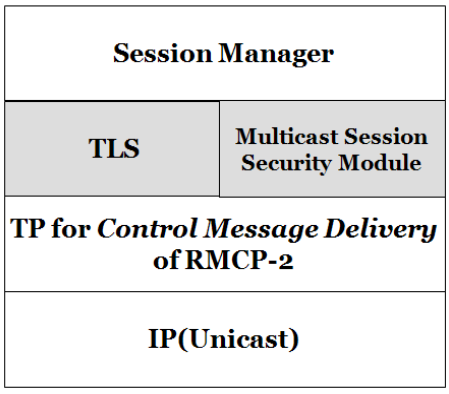

Figure 86 - Internal structure of the SM

9.2.3. Dedicated multicast agents

DMAs are in charge of the secure establishment and maintenance of the RMCP-2 tree, support membership authentication and data confidentiality. Figure 87 shows the internal structure of the DMAs with modules for

Key/Message Security Management and Group/Member Security Management. These modules support the following security functions:

Key/Message Security Management Module

(a) Group key management

(b) Message encryption/decryption

(c) Contents encryption key management

Group/Member Security Management Module

(d) Secure tree configuration

(e) Session key management

(f) Secure group and membership management

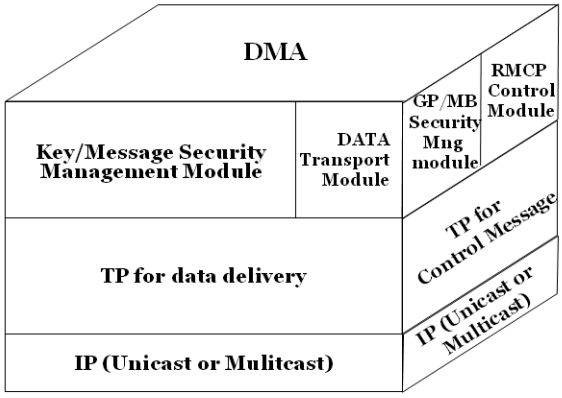

Figure 87 – Internal structure of DMAs

9.2.4. Sender and receiver multicast agents

The internal structure of the SMA and the RMAs is shown in Figure 88. The structure is the same as for DMAs except that the Group Security Management Module is not included.

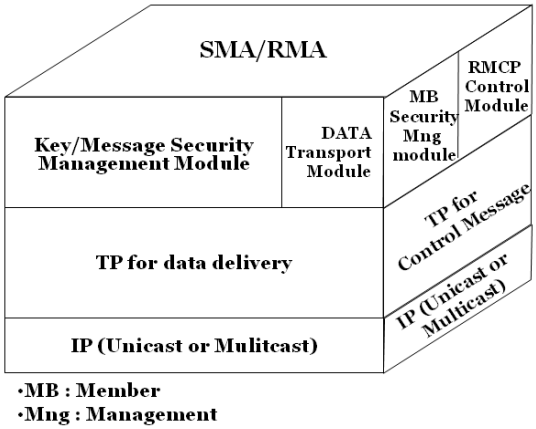

Figure 88 – Internal structure of the SMA and RMAs

9.3. Protocol blocks

The protocol blocks for the SM, Group/Member Security Management of MAs and Key/Message Security Management of MAs are shown in Figures 89, 90 and 91. They correspond to the protocol stacks in the basic RMCP-2 protocol in 5.2 (see figures 2, 3 and 4) but also include the TLS protocol and the Multicast Session Security Module.

The secure RMCP-2 protocol can support general encryption/decryption algorithms of TLS for a variety of common applications. The SM and MAs (SMA, DMAs and RMAs) share the security information to be described in the security policy. The Multicast Session Security Module contains common symmetric encryption/decryption algorithm, authentication mechanisms, and multicast security modules related to RMCP-2 security functions.

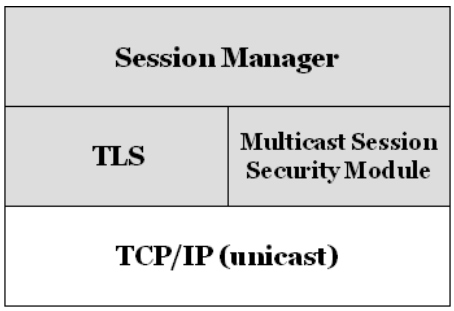

Figure 89 - Protocol Block of SM

The SM messages and the Group/Member Security Management messages of MAs are transmitted reliably through the TCP protocol.

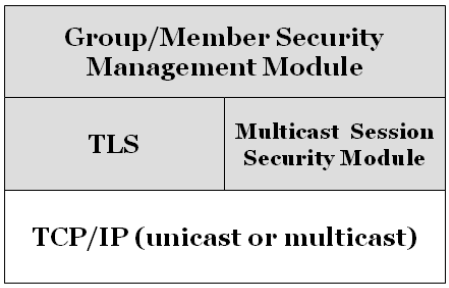

Figure 90- Protocol Block for the Group/Member Security Management of MAs

Key/Message Security Management messages may be transferred using any transport protocol. The transport protocol may be selected according to the nature of the transferred data types. TLS provides secure communication for TCP over unicast communication. The Multicast Security Encryption/Decryption and Authentication Modules protect the multicast packets. These modules contain common symmetric encryption algorithms, hash algorithms, and multicast security modules defined in this Recommendation | International Standard to protect the multicast packets.

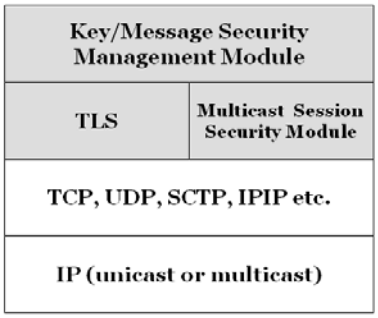

Figure 91 - Protocol Block for the Key/Message Security Management of MAs

9.4. Types of Secure RMCP-2 protocol messages

Control messages are exchanged between secure RMCP-2 protocol nodes in a request-and-answer manner.

Table 11 shows the messages that are specific to the secure RMCP-2 protocol. They complement the messages listed in Table 3 (see 8.3.2).

Table 11 – Secure RMCP-2 Messages

Messages	Meaning	Operations
SUBSREQ (control type =SERV_USER_IDENT)	Additional control type = SERV_USER_IDENT in SUBSREQ (Subscription request)	Session Initialization
RELREQ (control type= AUTH) RELANS (control type= AUTH_ANS)	Additional control type=AUTH in RELREQ (Relay request) Additional control type=AUTH_ANS in RELANS (Relay answer)	Membership Authentication

HRSREQ HRSANS	Head Required Security request Head Required Security answer	Group Member Authentication Group Key Distribution ACL Management
KEYDELIVER	Key Delivery	Key Distribution
SECAGREQ SECLIST	Security Agreement request Security List	Establishment of Multicast Security Policy
SECALGREQ SECAGANS	Security Algorithms request Security Agreement answer	

9.5. Structure of regional security management

For scalable security management, the secure RMCP-2 protocol supports security functions in two independent regions: a RM (Relayed Multicast) region and a MM (Member Multicast) region.

The RM region is a management zone of the session key (Ks). It consists of the SM, the SMA and DMAs in a multicast disabled area.

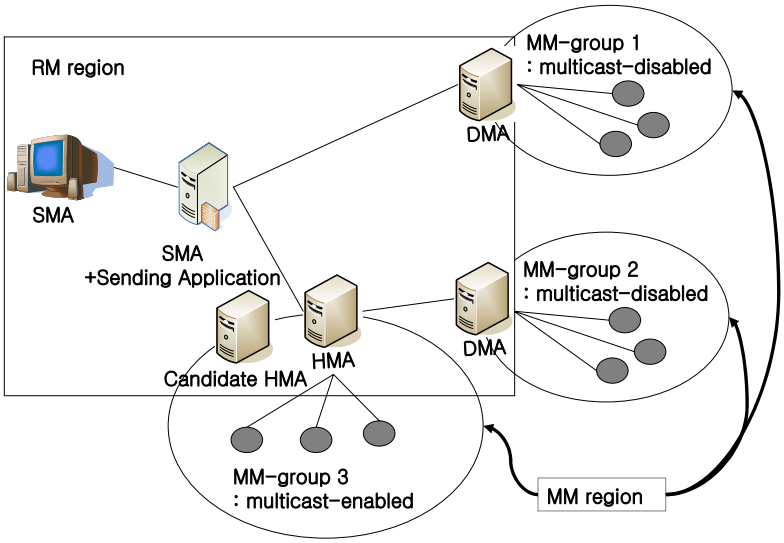

Figure 92 – Security Management Region

The MM region is a management zone defined by the use of group keys (Kg). The MM region consists of DMAs and RMAs. They can be connected over a multicast-enabled or a multicast-disabled network The MM region consists of one or more MM groups each using its own Kg group key.

Multicast-enabled MM groups consist of an HMA, one or more candidate HMAs and multiple RMAs that receive the same multicast messages. Candidate HMAs are DMAs that are not connected to the data delivery tree, but have the capability to assume the role of HMA if required. Multicast-disabled MM groups consist of one DMA and multiple RMAs. In both cases the RMAs are logically connected direct to their parent DMA on the data delivery tree.

Any change in an MM group is localized within the scope of its own MM group

10. Protocol Operation

10.1. SM operation

The SM supports the establishment of security policies applied to each secure RMCP-2 session, and is responsible for user and MA security management such as user and MA authentication. It manages the session key for each RMCP-2 session through the creation, update, and distribution of key information. The SM also has message encryption and decryption abilities through the use of TLS and other owned cryptography suites.

10.1.1. Admission control

10.1.1.1. TLS Authentication

TLS authentication is performed in advance of the subscription request of MA (SMA, DMA or RMA). The MA establishes a TLS session with the SM according to IETF RFC 3546. The SM, as part of the IETF 3546 procedure, decides which TLS mode, TLS_CERT or TLS_PSK, is applied for the verification of the parties concerned. The SM responds to the MA and, if the mutual authentication is successful, shares a secret key K_{TLS} with the MA.

The SM also delivers the session key Ks, encrypted using K_{TLS}, to the SMA and the DMAs, but not to the RMAs.

The TLS session with the SMA and DMAs is closed after the session key is delivered, since the SM, SMA and DMAs exchange control messages that have been encrypted with the session key. The TLS session with RMAs is retained and not closed until membership authentication with their parent DMA in the secure tree join procedure (see 10.2.4) and the individual key K_{MAS} has been established.

10.1.1.2. Admission of the SMA

A secure RMCP-2 session is initiated through the subscription of the SMA. The SMA first obtains authorization for providing the contents from the SM. The SMA is authenticated by the SM through the TLS session (see 10.1.1.1.1) and then joins the session by exchanging SUBSREQ and SUBANS messages with the SM. As a result of this, the SMA receives the session key Ks and is enabled to act as an administrative node of the secure RMCP-2 tree.

10.1.1.3. Admission of DMAs

The DMAs, as prospective trust parties, are invited by the SM to join the session and to establish the DMA network before the subscription of RMAs. The means of this invitation are outside the scope of this Recommendation International Standard.

The DMAs are authenticated by the SM through the TLS session and they join the session through the exchange of SUBSREQ and SUBSANS messages with the SM. They receive the session key Ks from the SM and join the RMCP-2 tree through the secure tree join procedure (see 10.2.4).

The SM consults with the DMAs joining the session before the announcement of the opening of the secure RMCP-2 session, giving a date and time when the subscription of RMAs begins. The means of this announcement are outside the scope of this Recommendation | International Standard.

10.1.1.4. Admission of RMAs to open groups

A potential RMA will know from the announcement of the session whether or not the session supports open groups.

The RMAs are authenticated by the SM through the TLS session and join the session through the exchange of SUBSREQ and SUBSANS messages with the SM. They do not receive the session key Ks. They join the RMCP-2 tree through the secure tree join procedure (see 10.2.4).

10.1.1.5. Admission of RMAs to closed groups

A potential RMA will know from the announcement of the session whether or not the session supports closed groups. Access to membership of closed groups is controlled by the Content Provider (CP). A potential RMA requests a service user identifier from the CP. The CP provides a service user identifier to the potential RMA and also sends the service user identifier, without revealing the identity of the potential RMA, to the SM. The CP is responsible for the format of this identifier and this is not defined in this Recommendation | International Standard.

When the session is opened to RMAs, the RMAs are authenticated by the SM through the TLS session and they join the session through the exchange of SUBSREQ and SUBSANS messages with the SM. The SUBSREQ message shall contain the service user identifier. The SM shall send a rejection in the RESULT control of the SUBANS message if the SM does not hold an identical service user identifier.

The RMAs do not receive the session key Ks. They join the RMCP-2 tree through the secure tree join procedure (see 10.2.4).

10.1.2. Key management for which the SM is responsible

10.1.2.1. Session key

SM creates the session key *Ks* during the bootstrapping of the RMCP-2 session. The session key (Ks) is shared between the SM, the SMA and DMAs and is used to encrypt/decrypt control messages in the RM region. It is initially created by the SM in the bootstrapping of the RMCP-2 session. Ks is encrypted by the individual key K_{TLS} (see 10.1.4) for delivery to the SMA and to each DMA through the data protection procedure of TLS following successful TLS authentication.
Ks is updated at regular intervals through the hash function. When a DMA is truncated or an abnormal situation occurs, the SM does not use the hash function, but instead creates a totally new session key Ks, without hashing. The new key is delivered to the SMA and all DMAs in the RMCP-2 session (See Figure 93).

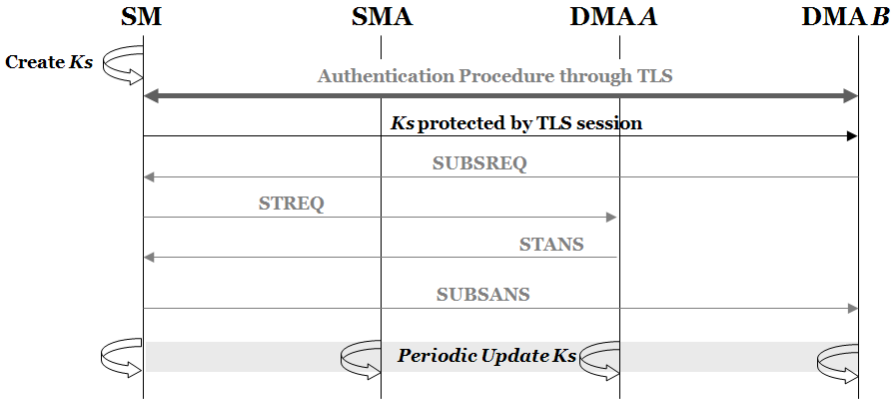

Figure 93 – Session Key Management

10.1.2.2. TLS key

The TLS key K_{TLS} is a private key generated through successful TLS authentication during admission control. Each MA (SMA, DMA and RMA) shares a different K_{TLS} with the SM , which is not shared with the other MAs. K_{TLS} is not updated during the lifetime of the RMCP-2 session.

10.1.3. Establishment of security policy

When a new RMCP-2 session is created, the SM, together with the SMA and the DMAs, establishes the security policy for the session. The policy is established through the exchange of SECAGREQ, SECLIST and SECAGANS messages that enable the selection of parameters in Tables 18-25 define the level of security that is to be provided, as well as the choice of algorithms to be used. The security policy is the set of selected attributes of policy items after the agreement on security mechanisms (See Table 12).

Table 12 – Multicast security policy

Item	Attributes	Definition	Further details
SEC_NAME	- KDC - GKMP - GDOI - MIKEY - GSAKMP - LKH - MEM_AUTH	Announces which security schemes are used	See Table 18
CON_EN_DEC_ID	- AES CBC Mode 128bit key - AES CTR Mode 128bit key - PKCS #1 - SEED	Notifies which encryption/decryption algorithm is used for content data	See Table 19
GK_EN_DEC_ID	- AES CBC Mode 128bit key - AES CTR Mode 128bit key - PKCS #1 - SEED	Notifies which encryption/decryption algorithm is used for content data for group keys	See Table 19
AUTH_ID	- HMAC-SHA - HMAC-MD5 - MD5	Notifies which hash/MAC algorithm is applied	See Table 20
GP_ATTRIBUTE	- closed - open (default)	Notifies the nature of the group	See Table 21
GK_MECHA	- static - periodic - backward - forward - periodic+backward - periodic+forward - periodic+backward+forward	Notifies updating properties of the group key	See Table 22
GK_NAME	- KDC - GKMP - GDOI - MIKEY - GSAKMP - LKH	Notifies which group key mechanism is used.	See Table 23
AUTH_ATTRIBUTE	- membership	Notifies the type of authentication used	See Table 24
AUTH_NAME	- MEM_AUTH	Notifies the authentication mechanism used	See Table 25

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10.1.4. Agreement of security mechanisms

10.1.4.1. SMA and DMAs

The security procedure is initiated after the admission control. The messages are protected by the session key between the SM, SMAs and DMAs, and by the K_{TLS} between the SM and the RMAs. The SMA and the DMA perform the procedure prior to RMA subscription because the server-oriented systems (SMA and DMAs) need to set up the security policy on order to provide a stable service. The SMA and DMAs (see Figure 94) each request a security agreement (SECAGREQ) containing their own security mechanisms and algorithms. After a Security Agree.time, the SM examines the SECAGREQ messages, determines the security policy for the session and sends the policy (SECLIST) to

the SMA and DMAs. If any of these MAs do not have the algorithms of the security policy, they request copies from the SM(SECALGREQ) and the SM sends the corresponding security modules to them. The method for the delivery of these modules is outside the scope of this Recommendation | International Standard. The SMA and each DMA configure the agreed security mechanisms. After configuration the MAs send an acknowledgement (SECAGANS) to the SM.

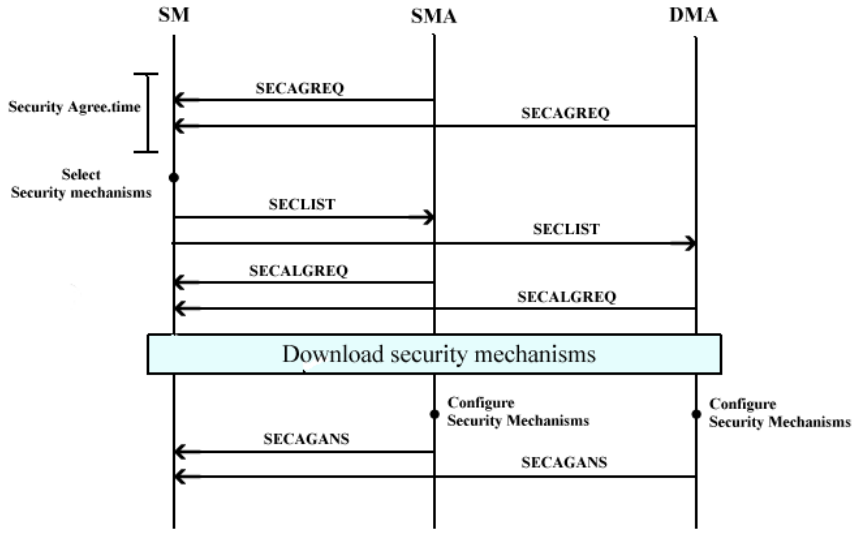

Figure 94 – Security Agreement of DMA and SMA

10.1.4.2. RMAs

When the session is opened for RMA subscription, each RMA requests a security agreement (SECAGREQ) (see Figure 95). The SM sends the policy (SECLIST) to the RMA, If the RMA does not have any of the algorithms of the security policy, it requests copies from the SM (SECALGREQ) and the SM sends the corresponding security modules to to the RMA. The method for the delivery of these modules is outside the scope of this Recommendation | International Standard. The RMA configures the agreed security mechanisms and sends an acknowledgement (SECAGANS) to the SM.

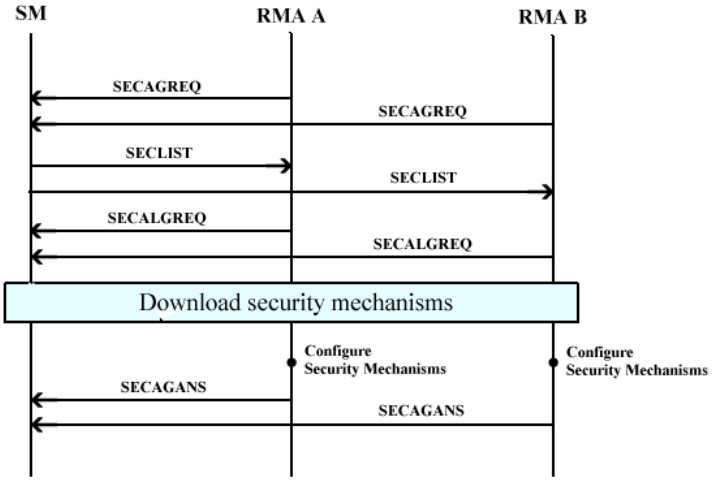

Figure 95 – Security Agreement of RMAs

10.1.5. Access control for RMAs

The SM creates an access control list (ACL) containing hashed MAID and HASHED_AUTH for each authenticated RMA in the current session. Figure 96 illustrates the ACL procedure. A DMA requests an ACL from the SM using an HRSREQ message encrypted by Ks. The SM responds with an HRSANS message encypted by Ks which contains the ACL. Modified information is then periodically polled by DMA after the initial ACL distribution. The DMA may have ACL of all the RMAs in the RMCP-2 session or of some of the RMAs in its own MM group. DMA can reject a RMA to join the group, if ACL list does not contain the information for RMA.

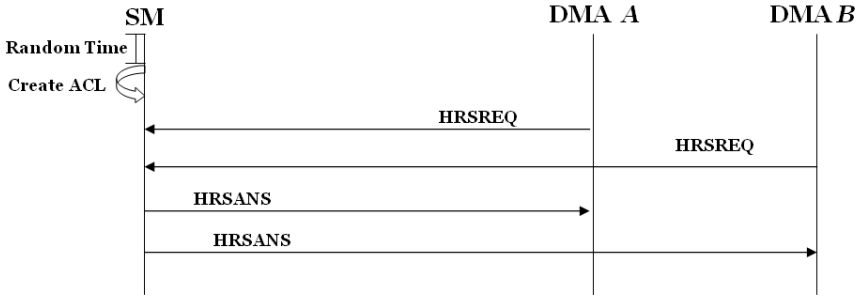

Figure 96 – ACL Management

10.2. MA operation

As main components of the secure RMCP-2 protocol, the SMA and the DMAs are responsible for secure tree configuration and key management as well as for group and member management and message encryption/decryption.

10.2.1. Key management for which the SMA and DMAs are responsible

10.2.1.1. Group key management

A group key (Kg) is shared between a DMA and its child RMAs and it is used in an MM-group for data delivery. The Kg is initially created by the DMA and is encrypted by K_{MAS} (see10.2.1.3) for delivery to its RMAs in the RELANS message confirming successful membership authentication (see 11.2.2.3).

Kg is updated by the DMA or RMA according to the update conditions selected for the security policy (see Table 12).

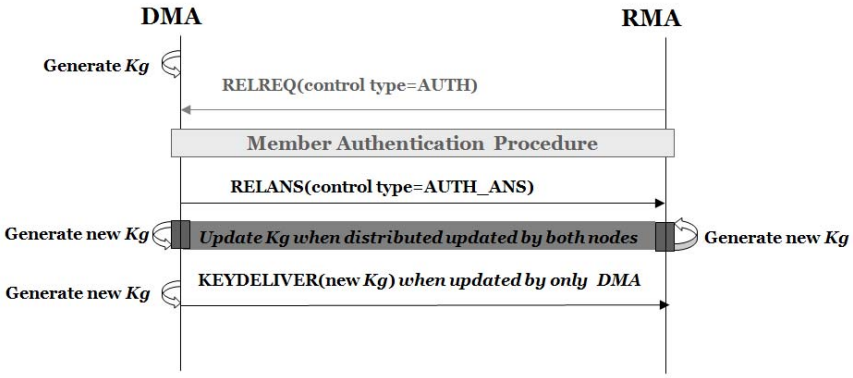

Figure 97 - Group Key Management

10.2.1.2. Contents encryption key management

The contents encryption key (Kc) is shared between the SMA and RMAs in the RMCP-2 session and is used to encrypt/decrypt contents data. Kc is generated by the SMA and is delivered to RMAs through the intermediate DMAs on the delivery path. Kc is encrypted by Ks for transmission between the SMA and DMAs and is encrypted by Kg for transmission between the DMAs and the RMAs. Kc key information need not be known by the SM or intermediate DMAs.

Kc is randomly updated by the SMA at periodic intervals. The delivery of Kc is synchronised with the delivery of the contents data (see 10.2.7).

10.2.1.3. Membership Authentication Key

The membership authentication key K_{MAS} is a private key generated as a result of successful membership authentication between RMAs and their parent DMA, as specified in Annex E. Each RMA shares a different K_{MAS} with the DMA and this is not shared with the other RMAs in the same group. K_{MAS} is not updated while the RMA remains a member of the relevant group.

10.2.2. Secure session subscription

The procedure for secure session subscription for the SMA, DMAs and RMA is described in 10.1.1.2, 10.1.1.3, 10.1.1.4 and 10.1.1.5. This procedure is illustrated in Figure 98.

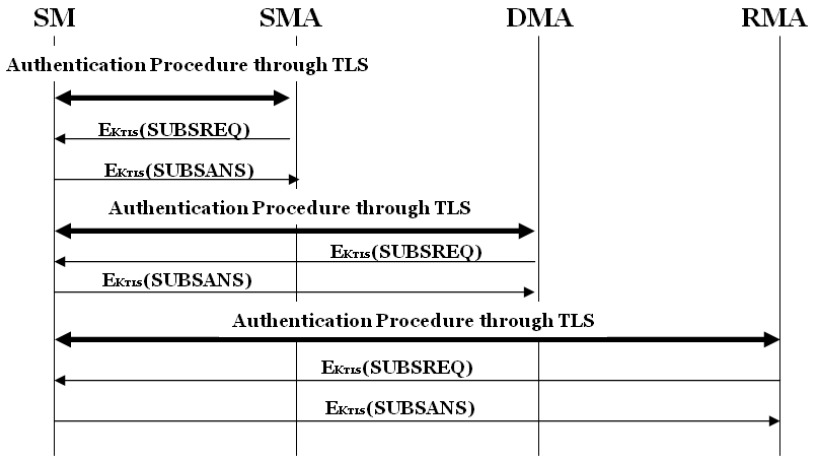

Figure 98– Secure MA subscription

10.2.3. Membership authentication for joining RMCP tree

Although DMAs are authenticated by the SM through TLS authentication, there is also a need for the DMAs and RMAs to verify their membership authority upon joining the RMCP tree and for construction of the pathway from the SMA to the RMAs. This procedure is important for the integrity of the RMCP-2 tree.

The membership authentication procedure defined in Annex E is used for mutual authentication.

The procedure is illustrated in Figure 99. The RMA|DMA sends a RELREQ message confirming the use of the membership authentication mechanism defined in Annex E. The SMA|DMA responds with a RELANS message containing the authentication result in the AUTH_ANS control. If the recipient is an RMA, the message to the RMA shall include the KEY_MATERIAL sub-control.

On receipt of confirmation by the RMA, the TLS session between the SM and the RMA need not be maintained.

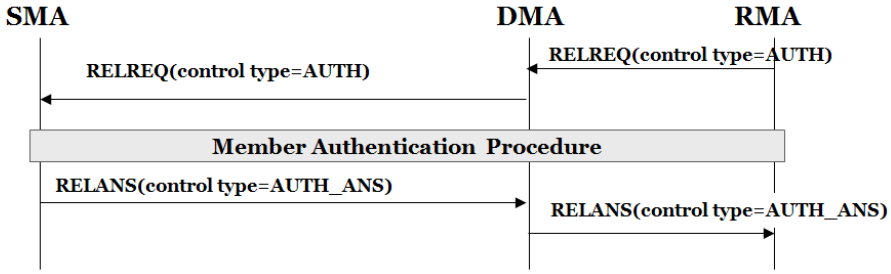

Figure 99 - Authentication between MAs

10.2.4. Secure tree join

Map discovery (see 6.2.2) occurs before the tree join procedure. Map discovery messages(PPROBREQ and PPROBANS) between DMAs are securely transmitted using Ks. Map discovery messages between RMAs and DMAs

are delivered with hashed ‘AUTH’ in plain text

The tree join procedure is illustrated in **Figure 85**. Membership authentication (see 10.2.3) and group key distribution are processed. When the group key update is required (as indicated by the defined GK_MECHA code in the SECLIST, see Table 22), the parent DMA (see note) of the RMA joining the tree (the HMA in the case of multicast-enabled group) re-creates and distributes the group key to its RMAs using the GK_NAME mechanism selected for the security policy (see Table 23). When this procedure is completed, the TLS session between the SM and the RMA is closed.

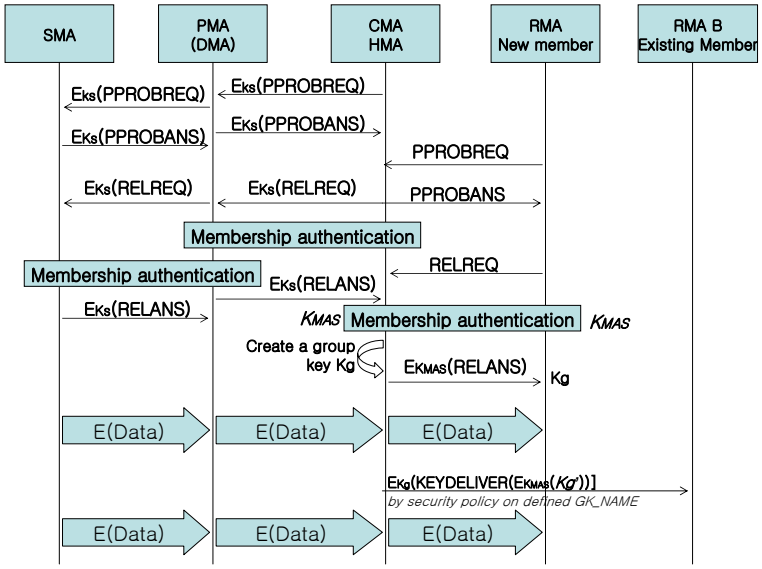

Figure 100 - Secure tree join. The PPROBREQ, PPROBANS and RELREQ messages between RMA A and the HMA are not encrypted as RMA A has not yet received the K_{MAS} or Kg keys

NOTE – In the case of a multicast-enabled group the parent DMA will be the HMA.

10.2.5. Secure tree leave

Whenever an HMA, DMA or RMA leaves the group, the group key or the session key can be updated on the defined GK_MECHA code of multicast security policy.

10.2.5.1. Leave of RMA from multicast-enabled and multicast-disabled areas

When an RMA leaves it notifies its parent DMA (its HMA in the case of multicast-enabled areas) and it is truncated from the tree. The DMA acknowledges the result, and updates and distributes the updated group key to remaining members (see Figure 101). No further notification is required.

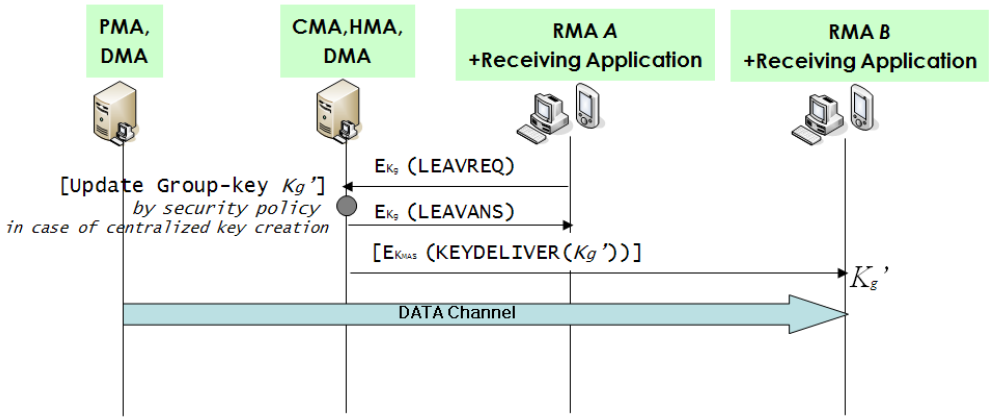

Figure 101 - Secure leave of RMA

10.2.5.2. Leave of HMA from a multicast-enabled area

Figure 102 illustrates the HMA leave procedure. The HMA issues a leave request to its members, and announces the leave to its candidate HMAs. The successful candidate HMA joins the RMCP-2 tree and announces its existence to the RMAs in its MM group. The RMAs request to re-join tree and perform membership authentication with the new HMA. The RMAs are the able to receive multicast data normally from the new HMA, and the old HMA leaves the RMCP-2 tree. (See Figure 102).

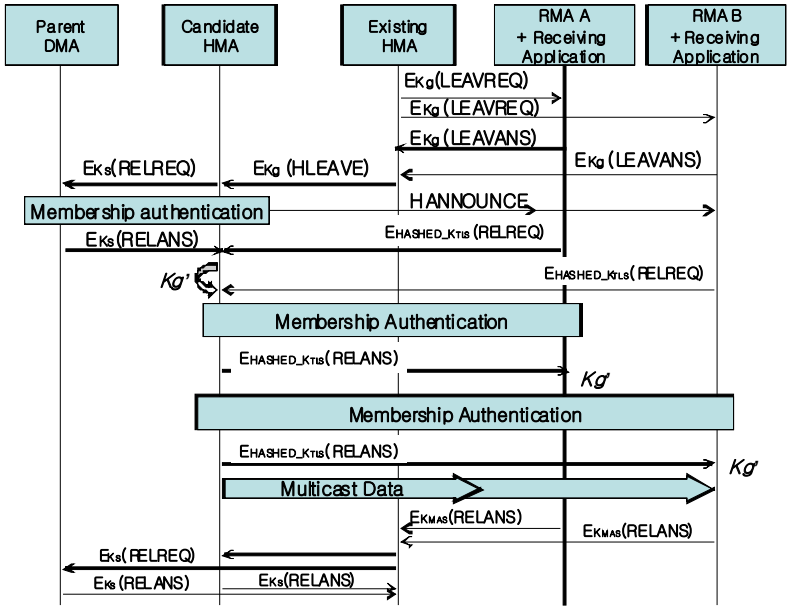

Figure 102 – HMA leave in multicast-enabled area

10.2.5.3. Leave of DMA from a multicast-disabled area.

Figure 103 illustrates the leave of a DMA from a multicast-disabled area. The DMA (PMA A of B, C) announces its departure from the RMCP tree to its children B,C. MA B and C search for their candidate PMA and performs join procedure as shown in Figure 103. CMA B and C request to join at the RMCP tree at the node of the candidate PMA. The PMA verifies authenticity of CMA B and C, and if the authentication check is successful, it sends RELANS to confirm the graft to the RMCP tree. The PMA of B, C then initiates the leaving procedure with its PMA.

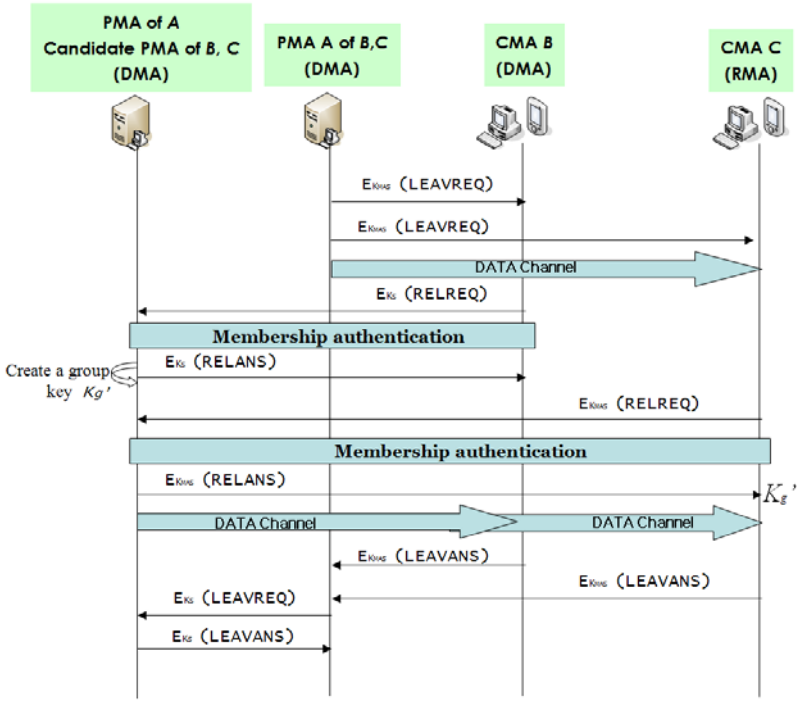

Figure 103 - DMA leave in multicast-disabled area

Membership authentication is performed between the RELREQ and RELANS messages in cases when CMA is expelled by the PMA. If the SM expels an MA, the LEAVREQ and LEAVANS messages are en/decrypted.

10.2.6. Control message encryption/decryption

All secure RMCP-2 messages between the SM, SMA and DMAs are encrypted using agreed encryption algorithms in the SECLIST. Messages between RMAs and their parent DMA are encrypted by K_{MAS} as shown in Table 13.

Table 13 – Encryption of basic & secure RMCP-2 Protocol Messages

Messages	Meaning	Key	
		DMA	RMA
SUBSREQ	Subscription request	*Ks*	K_{TLS}
SUBSANS	Subscription answer		K_{TLS}
PPROREQ	Parent probe request		N/A

PPROBANS	Parent probe answer		N/A
HSOLICIT	HMA solicit		N/A
HANNOUNCE	HMA announce		N/A
HLEAVE	HMA leave		N/A
RELREQ	Relay request		*KMAS*
RELANS	Relay answer		*KMAS*
STREQ	Status report request		*KTLS*
STANS	Status report answer		*KTLS*
STCOLREQ	Status collect request		*N/A*
STCOLANS	Status collect answer		*N/A*
LEAVREQ	Leave request		*KMAS*
LEAVANS	Leave answer		*KMAS*
HB	Heartbeat		*N/A*
TERMREQ	Termination request		*HASHED KTLS*
TERMANS	Termination answer		*HASHED KTLS*
SECAGREQ	Security agreement request		*KTLS*
SECLIST	Security list		*KTLS*
SECALGREQ	Security algorithm request		*KTLS*
SECAGANS	Security agreement answer		*KTLS*
KEYDELIVER	Key delivery		*KMAS, Kg*
HRSREQ	ACL request		*N/A*
HRSANS	ACL answer		*N/A*

10.2.7. Encryption/Decryption and delivery of contents data

The contents are securely forwarded from the SMA to RMAs through the RMCP tree. Streaming or reliable data encrypted by *Kc* is delivered to individual RMAs without a decryption process at the intermediate nodes. In contrast the key information is encrypted at intermediate nodes. The SMA encrypts *Kc* using *Ks* and delivers it to DMAs. The DMAs then decrypt the key information and encrypt it using Kg for delivery to RMAs in their own MM groups. Figure 104 illustrates how the encryption and decryption may be implemented.

The data and key information may be delivered separately. If separately transmitted, they should be synchronized.

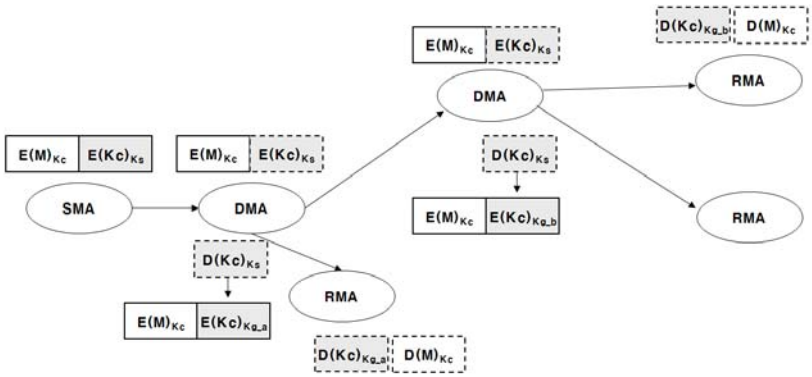

Figure 104 – Example of Data Encryption/Decryption. E(M) and D(M) refer to encrypted and decrypted data. E(Kc) and D(Kc) refer to encrypted and decrypted contents key information. Subscripts refer to keys used to encrypt (M) and (Kc). 'The suffixes $_{Kg\_a}$ and $_{Kg\_b}$ are used to distinguish different group keys used in separate MM groups.'

NOTE - The encrypted data is efficiently transmitted to the RMAs without change in order to reduce the time of encryption/decryption by the intermediate nodes. Faster transmission is enabled due to the considerably reduced computation time.

11. Format of Secure RMCP-2 messages

11.1. Common format for secure RMCP-2 messages

The common format for secure RMCP-2 messages is the same as for RMCP-2 messages (see 7.1 and Figure 31) except that:

a) all secure RMCP-2 messages, including those that are defined for RMCP-2 in 7.3 and used in the secure RMCP-2 protocol, shall be defined as version 0x04; and

b) the range of valid Node Types for secure RMCP-2 messages is SM|SMA|DMA|RMA

11.2. Secure RMCP-2 messages

This sub-clause defines those messages that are specific to RMCP-2 security. They are used in addition to the messages already defined in 7.3. Specific reference is made to the values for individual parameters that are defined in tables associated with clause 12.

11.2.1. SUBSREQ message

11.2.1.1. The SUBSREQ message for RMCP-2 is defined in 7.3.1 and its common format fields are shown in Figure 40. For use in secure RMCP-2 the following common format fields in the SUBSREQ message shall be set as indicated below:

a) *Version*. - This field denotes the current version of RMCP-2. Its value shall be set to 0x04.

b) *Node Type*. - This field denotes the message issuer's node type. Its value shall be set to one of SMA, DMA or RMA coded as in Table 12. When the SERV_USER_IDENT control is appended, the Node Type value shall be set to 0x03 (RMA).

The remaining common format fields for SUBSREQ messages shall be as specified in 7.3.1.

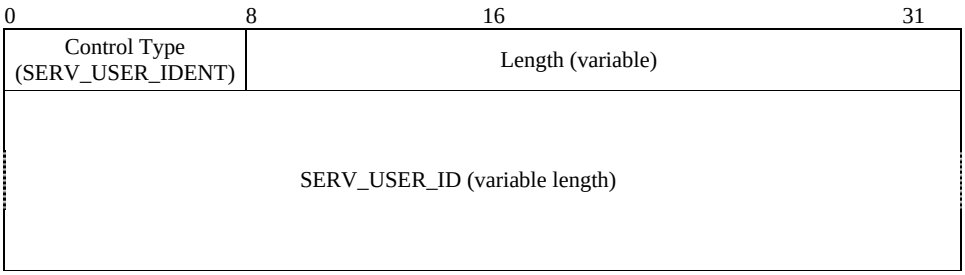

Figure 104A– SERV_USER_IDENT control data

11.2.1.2. This sub-clause defines an additional SERV_USER_IDENT control type for use in secure RMCP-2 in order to confirm that the RMA issuing the SUBSREQ message has been registered by the Content Provider for participation in closed groups (see 10.1.1.5). The SERV_USER_IDENT control type shall be used only when the RMA wishes to join a session in which the MM groups are defined as closed. Figure 104A shows the format of the SERV_USER_IDENT control type. The description of each field is as follows:

- SERV_USER_IDENT
  a) *Control type* – denotes 'SERV_USER_IDENT' control. Its value shall be set to 0x1E (see Table 16)
  b) *Length* – denotes the length of the SERV_USER_IDENT control in bytes.
  c) *Reserved* – is reserved for future use. Its value shall be set to 0x00.
  d) *SERV_USER_ID* – denotes the service user identifier allocated to the RMA by the Content Provider (see 10.1.1.5). Its value shall be identical to that provided to the RMA by the Content Provider.

NOTE – The length of the SERV_USER_ID field and the SERV_USER_IDENT control will be dependent on the length of the identifier provided by the Content Provider.

11.2.2 SUBSANS message

Two additional result codes, specific to the secure RMCP-2 protocol, are defined in Table 23A in order to record reasons for rejecting the subscription of an RMA due to a missing or unrecognized SERV_USER_ID in the SUBSREQ message in cases where the session supports closed groups. These values extend the range of valid codes but do not affect the formatting of the of the RESULT control of the SUBANS message specified in 7.3.2.

11.2.3. RELREQ message

11.2.3.1. The RELREQ message for RMCP-2 is defined in 7.3.8 and its common format fields are shown in Figure 65. For use in secure RMCP-2 the following common format fields in the RELREQ message shall be set as indicated below:

a) *Version*. This field denotes the current version of RMCP. Its value shall be set to 0x04.

b) *Node Type*. This field denotes the message issuer's node type. Its value shall be set to one of DMA or RMA coded as in Table 14.

The remaining common format fields for RELREQ messages shall be as specified in 7.3.8.

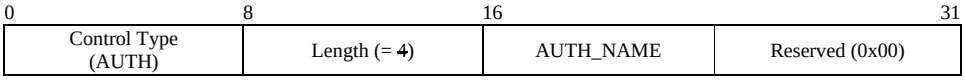

Figure 105 – AUTH control data

11.2.3.2. This sub-clause defines an additional AUTH control type for use in secure RMCP-2 in order to initiate membership authentication. Figure 105 shows the format of the AUTH control type. The description of each field is as follows:

- AUTH
  a) *Control type*–denotes 'AUTH' control. Its value shall be set to 0x1C(see Table 16)
  b) *Length* – denotes the length of the AUTH control in bytes. Its value shall be set to 0x04
  c) *AUTH_NAME* – denotes the authentication mechanism. Its value shall be set to 0x01 denoting MEM_AUTH (see Table 25)
  d) *Reserved* - is reserved for future use. Its value shall be set to 0x00.

11.2.4. RELANS message

11.2.4.1. The RELANS message for RMCP-2 is defined in 7.3.9 and its common format fields are shown in Figure 65. For use in secure RMCP-2, the following common format fields in the RELANS message shall be set as indicated below:

a) *Version* - denotes the current version of RMCP. Its value shall be set to 0x04.

b) *Node Type* - denotes the message issuer's node type. Its value shall be set to one of SMA or DMA coded as in Table 14.

The remaining common format fields for RELANS messages shall be as specified in 7.3.9.

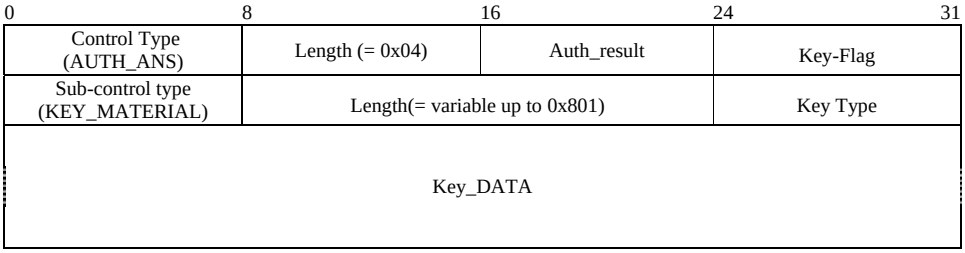

Figure 106 - AUTH_ANS control, including KEY_MATERIAL sub-control

11.2.4.2. This sub-clause defines an additional AUTH_ANS control type for use in secure RMCP-2 in order to notify the result of membership authentication.

Figure 106 shows the format of the AUTH_ANS control type and its KEY_MATERIAL sub-control type.

The description of each field of the AUTH_ANS control is as follows is as follows:

- AUTH_ANS
  a) *Control type* – denotes 'AUTH_ANS' control. Its value shall be set to 0x1D (see Table 16)
  b) *Length* – denotes the length of the AUTH_ANS control in bytes. Its value shall be set to 0x04.
  c) *Auth_result* – denotes the result of authentication. Its value shall be set to 0x01 for successful authentication; in the case of unsuccessful authentication the value shall be set to one of the other codes in Table 27.

d) *Key_Flag* - denotes the presence or absence of key information in the KEY_MATERIAL sub-control of the AUTH_ANS control. Its value shall be set to 0x01 if key information is provided in the message; its value shall be set to 0x00 if this information is not provided.

11.2.4.3. The KEY_MATERIAL sub-control shall not be included in the RELANS message if the key flag is set to 0x00. The description of each field of the KEY_MATERIAL sub-control is as follows:

- KEY_MATERIAL

a) *Sub-control type* – denotes the KEY_MATERIAL sub-control. Its value shall be set to 0x02 (see Table 17)

b) *Length* –shall be set to the total length of the 'KEY_MATERIAL' sub-control in bytes. Its value shall not exceed 0x804.

c) *Key_Type* – denotes the type of the key information. Its value shall be set to one of the code values in Table 28.

d) *Key_DATA* - key information resulting from 10.2.3 shall be included if the receiver is an RMA

11.2.5. SECAGREQ message

11.2.5.1. Figure 107 shows the format of the SECAGREQ message. The description of each field is as follows:

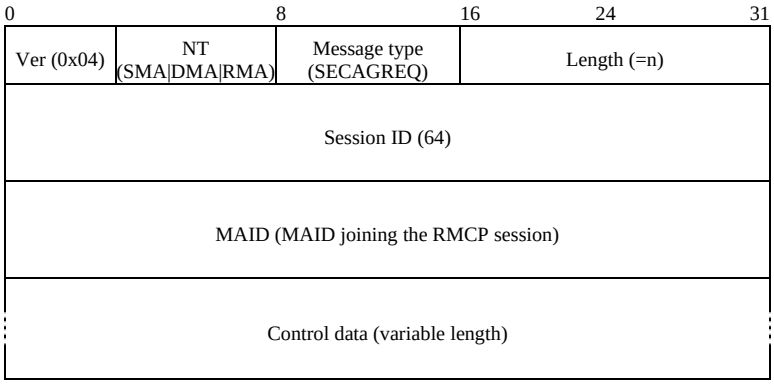

Figure 107 - SECAGREQ Message

a) *Ver* – denotes the current version of RMCP. Its value shall be set to 0x04

b) *NT* – denotes the message issuer's node type; Its value shall be set to one of SMA, DMA or RMA coded as in Table 14.

c) *Message Type* – denotes the type of SECAGREQ message. Its value shall be set to 0x21 (see Table 15)

d) *Length* – shall be set to the total length of the SECAGREQ message including control data (in bytes)

e) *Session ID* – shall be set to the 64-bit value of Session ID as defined in 7.1.1

f) *MAID* – denotes the proposed MAID of the originator of the SECAGREQ message. Its value shall contain the local IP address and port number as defined in 7.1.2

g) *Control data* – The control data in 11.2.3.2 is used by the SMA to propose values to the SM for GR_ATTRIBUTE, GK_MECHA and CON_EN_DEC_ID and shall be included in a SECAGREQ message sent by the SMA.. This control data shall not be included in a SECAGREQ message sent by a DMA or an RMA.

The control data in 11.2.3.3 – 11.2.3.5 is used to indicate the capabilities of the SMA and DMAs during

the establishment of the security policy (see 10.1.3 and 10.1.4). This control data shall not be included in a SECAGREQ message sent by an RMA or by a DMA that joins the session after the security policy has been established.

0	8	16	24	31
Control Type (SMA_PROPOSE)	Length (= 8)	GP_ATTRIBUTE	GK_MECHA	
CON_EN_DEC_ID	Reserved (0x00)			

Figure 108 - SMA_PROPOSE control

11.2.5.2 Figure 108 shows the format of the SMA_PROPOSE control type. The description of each field is as follows:

- SMA_PROPOSE

a) *Control type* – denotes the SMA_PROPOSE control. Its value shall be set to 0x11 (see Table 16)

b) *Length* – denotes the length of the SMA_PROPOSE control in bytes. Its value shall be set to 0x08.

c) *GP_ATTRIBUTE* – denotes the group property proposed by the SMA. Its value shall be set to one of the code values in Table 21.

d) *GK_MECHA* – denotes the update property of the group key proposed by the SMA. Its value shall be set to one of the code values in Table 22.

e) *CON_EN_DEC_ID* – denotes the contents encryption algorithm proposed by the SMA. Its value shall be set to one of the code values less than 1x00 in Table 19.

f) *Reserved* – is reserved for future use. Its value shall be set to 0x00.

0	8	16	24	31
Control Type (SEC_MECH_CAPAB)	Length (= 4)	SEC_NAME	PREFER	
Control Type (SEC_MECH_CAPAB)	Length (= 4)	SEC_NAME	PREFER	
Control Type (SEC_MECH_CAPAB)	Length (= 4)	SEC_NAME	PREFER	

Figure 109 - SEC_MECH_CAPAB control

11.2.5.3. Figure 109 shows the format of the SEC_MECH_CAPAB control type. The control type may be repeated in order to indicate several mechanisms, each with their own order of preference. The description of each field is as follows:

- SEC_MECH_CAPAB

a) *Control type* – describes the SEC_MECH_CAPAB control. Its value shall be set to 0x12 (see Table 16)

b) *Length* – denotes the length of the SEC_MECH_CAPAB control in bytes. Its value shall be set to 0x04.

c) *SEC_NAME* - denotes a security mechanism held by the SMA or DMA for possible use in the secure RMCP-2 session. Its value shall be set to one of the code values in Table 18

d) *PREFER* – defines the priority of the proposed security mechanism in the preceding field. Its value shall be set to an integer in the range 1 to 6. The integer ‘1’ shall indicate the highest priority.

0	8	16	24	31
Control Type (EN_DEC_CAPAB)	Length (= 4)	EN_DEC_ID	PREFER	
Control Type (EN_DEC_CAPAB)	Length (= 4)	EN_DEC_ID	PREFER	

Control Type (EN_DEC_CAPAB)	Length (= 4)	EN_DEC_ID	PREFER

Figure 110- EN_DEC_CAPAB control

11.2.5.4. Figure 110 shows the format of the EN_DEC_CAPAB control type. The control type may be repeated in order to indicate several equally mechanisms, each with their own order of preference. The description of each field is as follows:

- EN_DEC_CAPAB
  a) *Control type* – denotes the EN_DEC_CAPAB control. Its value shall be set to 0x13 (See Table 16)
  b) *Length* –denotes the length of the EN_DEC_CAPAB control in bytes. Its value shall be set to 0x04
  c) *EN_DEC_ID* – denotes proposed an encryption algorithm held by the SMA or DMA for possible use in the secure RMCP-2 session. Its value shall be set to one of the code values in Table 19.
  d) *PREFER* – defines the priority of the proposed security mechanism in the preceding field. Its value shall be set to an integer in the range 1 to 5. The integer '1' shall indicate the highest priority.

0	8	16	24	31
Control Type (AUTH_ALG_CAPAB)	Length (= 4)	AUTH_ID	PREFER	
Control Type (AUTH_ALG_CAPAB)	Length (= 4)	AUTH_ID	PREFER	
Control Type (AUTH_ALG_CAPAB)	Length (= 4)	AUTH_ID	PREFER	

Figure 111 - AUTH_ALG_CAPAB control

11.2.5.5. Figure 111shows the format of the AUTH_ALG_CAPAB control type. The control type may be repeated in order to indicate several mechanisms, each with their own order of preference. The description of each field is as follows:

- AUTH_ALG_CAPAB
  a) *Control type* – denotes the AUTH_ALG_CAPAB control. Its shall be set to 0x13(see Table 16)
  b) *Length* –denotes the length of the AUTH_CAPAB control in bytes. Its value shall be set to 0x14.
  c) *AUTH_ID* – denotes a hash/MAC algorithm held by the SMA or DMA for possible use in the secure RMCP-2 session. Its value shall be set to one of the code values in Table 20.
  d) *PREFER* – defines the priority of the proposed security mechanism in the preceding field. Its value shall be set to an integer in the range 1 to 3. The integer '1' shall indicate the highest priority

11.2.6. SECLIST message

11.2.6.1. Figure 112 shows the format of the SECLIST message. The description of each field is as follows:

0	8	16	24	31
Ver (0x02)	NT (SM)	Message type (SECLIST)	Length (variable)	
Session ID				

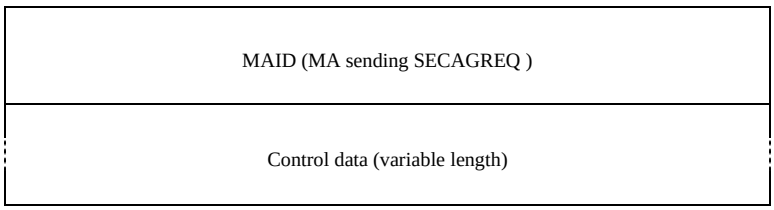

Figure 112 - SECLIST message

a) *Ver* – denotes the current version of RMCP. Its shall be set to 0x04

b) *NT* –denotes the message issuer's node type; its value shall be set to the coded value for SM in Table 14

c) *Message Type* – denotes the SECLIST message. Its value shall be set to 0x22(see Table 15)

d) *Length* – shall be set to the total length of the SECLIST message including control data (in bytes)

e) *Session ID* – shall be set to the 64-bit value of Session ID as defined in 7.1.1.

f) *MAID* –denotes the MAID of the recipient of the SECLIST message

g) *Control data* – shall include all of the controls defined below:

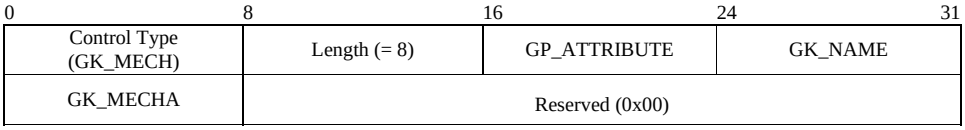

Figure 113 - GK_MECH control

11.2.6.2. Figure 113 shows the format of the GK_MECH control type. The description of each field is as follows:

- GK_MECH

a) *Control type* – denotes the GK_MECH control. Its value shall be set to 0x15 (see Table 16)

b) *Length* – denotes the length of GK_MECH control in bytes. Its value shall be set to 0x08 .

c) *GP_ATTRIBUTE* - denotes the group property for the security policy. Its value shall be set to one of the code values in Table 21

d) *GK_NAME* – defines the group key mechanism for the security policy. Its value shall be set to one of the code values in Table 23

e) *GK_MECHA* – denotes the update property of group key for the security policy. Its value shall be set to one of the code values in Table 22.

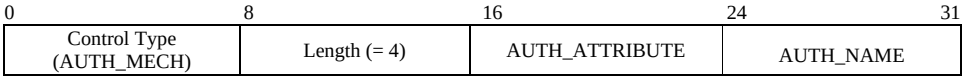

Figure 114 – AUTH_MECH control

11.2.6.3. Figure 114 shows the format of the AUTH_MECH control type. The description of each field is as follows:

- AUTH_MECH

a) *Control type* – denotes the AUTH_MECH control. Its value shall be set to 0x16(see Table 16)

b) *Length* – denotes the length of the AUTH_MECH control in bytes. Its value shall be set to 0x04

c) *AUTH*_ATTRIBUTE – denotes the authentication type for the security policy. Its value shall be set to 0x01 denoting MEMBERSHIP (see Table 24).

d) *AUTH_NAME* – denotes the authentication mechanism for the security policy. Its value shall be set to 0x01 denoting MEM_AUTH (see Table 25).

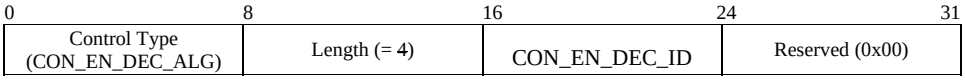

Figure 115 – CON_EN_DEC_ALG control

11.2.6.4. Figure 115 shows the format of the CON_EN_DEC_ALG control type. The description of each field is as follows:

- CON_EN_DEC_ALG
  a) *Control type* – denotes the CON_EN_DEC_ALG control. Its value shall be set to 0x17 (see Table 16).
  b) *Length* –denotes the length of the CONTENTS_EN_DEC_ALG control in bytes. Its value shall be set to 0x04.
  c) *EN_DEC_ID* – denotes the contents encryption algorithm for the security policy. Its value shall be set to one of the code values in Table 19.
  d) *Reserved* - is reserved for future use. Its value shall be set to 0x00

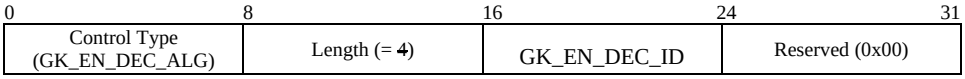

Figure 116 – GK_EN_DEC_ALG control

11.2.6.5. Figure 116 shows the format of the GK_EN_DEC_ALG control type. The description of each field is as follows:

- GK_EN_DEC_ALG
  a) *Control type* – denotes the GK_EN_DEC_ALG control. Its value shall be set to 0x18 (see Table 16).
  b) *Length* – denotes the length of the CONTENTS_EN_DEC_ALG control in bytes. Its value shall be set to 0x04.
  c) *GK_EN_DEC_ID* – denotes the group key encryption algorithm for the security policy. Its value shall be set to one of the code values in Table 19.
  d) *Reserved* - is reserved for future use. Its value shall be set to 0x00.

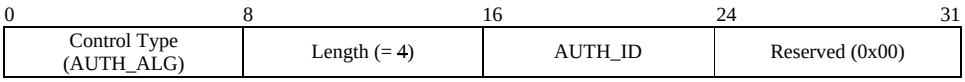

Figure 117 – AUTH_ALG control for the SECLIST message

11.2.6.7 Figure 117 shows the format of the AUTH_ALG control type. The description of each field is as follows:

- AUTH_ALG
  a) *Control type* – denotes the AUTH_ALG control. Its value shall be set to 0x19 (see Table 16).
  b) *Length* – denotes the length of the AUTH_ALG control in bytes. Its value shall be set to 0x04.
  c) *AUTH_ID* – denotes the hash/MAC algorithm for the security policy. Its value shall be set to one of the code values in Table 20.

d) *Reserved* - is reserved for future use. Its value shall be set to 0x00

11.2.7. SECALGREQ message

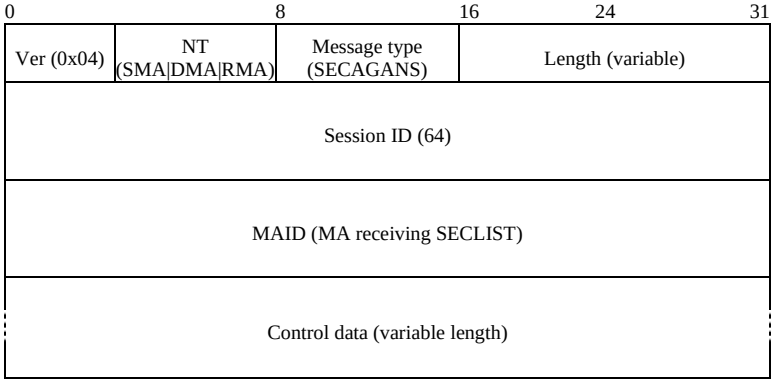

Figure 118 – SECALGREQ Message

11.2.7.1. Figure 118 shows the format of the SECALGREQ message. The description of each field is as follows:

a) *Ver* – denotes the current version of RMCP. Its value shall be set to 0x04

b) *NT* –denotes the message issuer's node type. Its value shall be set to one of SMA, DMA or RMA coded as in Table 14

c) *Message Type* – denotes the SECALGREQ message. Its value shall be set to 0x27 (see Table 15)

d) *Length* – denotes the total length of the SECAGANS message including control data (in bytes)

e) *Session ID* – is set to the 64-bit value of the Session ID as defined in 7.1.1.

f) *MAID* –denotes the MAID of the SECALGREQ originator. Its value shall be formatted as defined in 7.1.2.

g) *Control data* – shall include all of the controls defined below

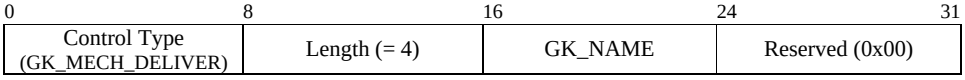

Figure 119 – GK_MECH_DELIVER control

11.2.7.2 Figure 119 shows the format of the GK_MECH_DELIVER control type. It shall only be used by the MA sending the SECAGANS message when its configuration of the GK_NAME security algorithm has failed (see the agreement of security mechanisms procedure in 10.1.4). The description of each field is as follows:

- GK_MECH_DELIVER

a) *Control type* – denotes the GK_MECH_DELIVER control. Its value shall be set to 0x1A (see Table 16)

b) *Length* – denotes the length of GK_MECH_DELIVER control in bytes. Its value shall be set to 0x04.

c) *GK_NAME* –denotes the group key mechanism for the security policy. Its value shall be identical to that in the GK_MECH field of the SECLIST message (see 11.2.4.2.d).

d) .*Reserved* – this field is reserved and is not intended for future use. Its value shall be set to 0x00.

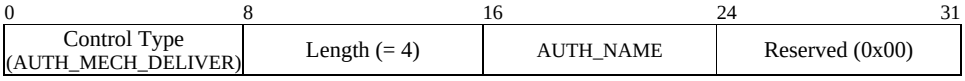

Figure 120 – AUTH_MECH_DELIVER control

11.2.7.3 Figure 120 shows the format of the AUTH_MECH control type. It shall only be used by the MA sending the SECAGANS message when its configuration of the AUTH_NAME security algorithm has failed (see the agreement of security mechanisms procedure in 10.1.4). The description of each field is as follows:

· AUTH_MECH_DELIVER

a) *Control type* – denotes the AUTH_MECH_DELIVER control. Its value shall be set to 0x1B (see Table 16).

b) *Length* – denotes the length of the AUTH_MECH_DELIVER control in bytes. Its value shall be set to 0x04.

c) *AUTH_NAME* – denotes the authentication mechanism for the security policy. Its value shall be set to 0x01 denoting MEM_AUTH (see Table 25).

d) *Reserved* – this field is reserved and is not intended for future use. Its value shall be set to 0x00

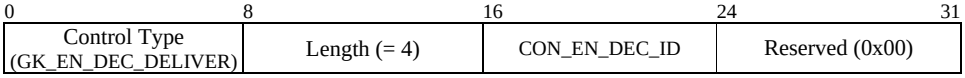

Figure 121 – CON_EN_DEC_DELIVER control

11.2.7.4 Figure 121 shows the format of the CON_EN_DEC_DELIVER control type. It shall only be used by the MA sending the SECAGANS message when its configuration of the CON_EN_DEC_ALG security algorithm has failed (see the agreement of security mechanisms procedure in 10.1.4). The description of each field is as follows:

· CON_EN_DEC_DELIVER

a) *Control type* – denotes the CON_EN_DEC_DELIVER control. The value shall be set to 0x1C (see Table 16).

b) *Length* – denotes the length of the CON_EN_DEC_DELIVER control in bytes. Its value shall be set to 0x04.

c) *CON_EN_DEC_ID* – denotes the contents encryption algorithm for the security policy. Its value shall be identical to that in the CON_EN_DEC_ID field of the CON_EN_DEC_ALG control in the SECLIST message (see 11.2.4.4.c).

d) *Reserved* - is reserved for future use. Its value shall be set to 0x00

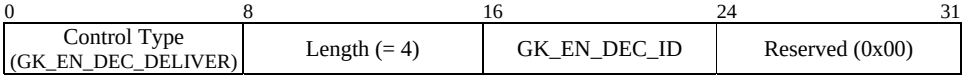

Figure 122 – GK_EN_DEC_DELIVER control

11.2.7.5 Figure 122 shows the format of the GK_EN_DEC_DELIVER control type. It shall only be used by the MA sending the SECAGANS message when its configuration of the GK_EN_DEC_ALG security algorithm has failed (see the agreement of security mechanisms procedure in 10.1.4). The description of each field is as follows:

· GK_EN_DEC_DELIVER

a) *Control type* – denotes the GK_EN_DEC_DELIVER control. The value shall be set to 0x1D (see Table 16).

b) *Length* – denotes the length of the GK_EN_DEC_DELIVER control in bytes. Its value shall be set to 0x04.

c) *GK_EN_DEC_ID* – denotes the ~~proposed~~ group key encryption algorithm for the security policy. Its value shall be identical to that in the GK_EN_DEC_ID field of the GK_EN_DEC_ALG control in the SECLIST message (see 11.2.4.5.c).

d) *Reserved* - is reserved for future use. Its value shall be set to 0x00

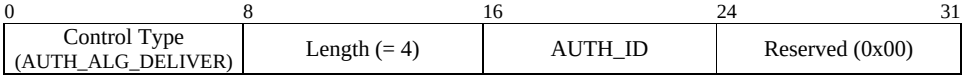

Figure 123 – AUTH_ALG_DELIVER control

11.2.7.6 Figure 123 shows the format of the AUTH_ALG control type for the SECAGANS message. It shall only be used by the MA sending the SECAGANS message only when its configuration of the AUTH_ALG security algorithm has failed (see the agreement of security mechanisms procedure in 10.1.4). The description of each field is as follows:

· AUTH_ALG_DELIVER

a) *Control type* – denotes the AUTH_ALG_DELIVER control. The value shall be set to 0x1E (see Table 16).

b) *Length* – denotes the length of the AUTH_ALG_DELIVER control in bytes. Its value shall be set to 0x04.

c) *AUTH_ID* – denotes the hash/MAC algorithm for the security policy. Its value shall be identical to that in the AUTH_ID field of the AUTH_ALG control in the SECLIST message (see 11.2.4.5.c).

d) *Reserved* - is reserved for future use. Its value shall be set to 0x00.

11.2.8. SECAGANS message

11.2.8.1. Figure 124 shows the format of the SECAGANS message. The description of each field is as follows:

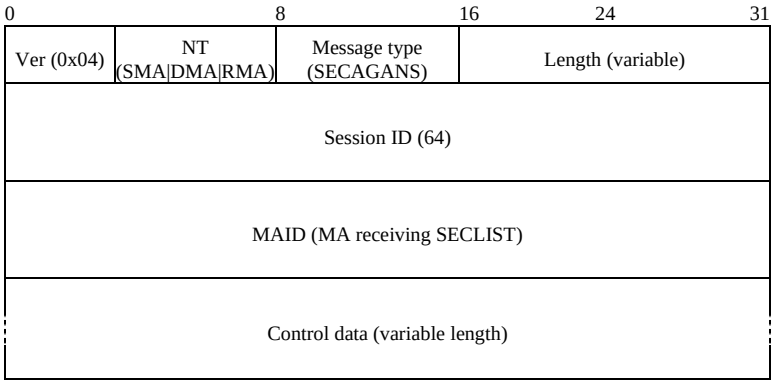

Figure 124– SECAGANS Message

a) *Ver* – denotes the current version of RMCP. Its value shall be set to 0x04

b) *NT* –denotes the message issuer's node type. Its value shall be set to one of SMA, DMA or RMA coded as in Table 14

c) *Message Type* – denotes SECAGANS message. Its value shall be set to 0x23 (see Table 15)

d) *Length* – denotes the total length of the SECAGANS message including control data (in bytes)

e) *Session ID* – is set to the 64-bit value of the Session ID as defined in 7.1.1.

f) *MAID* –denotes the MAID of the SECAGANS originator. Its value shall be formatted as defined in 7.1.2.

g) Control data – The control data shall include the SEC_RETURN control.

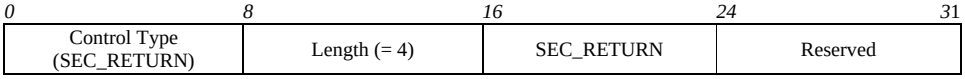

Figure 125 – SEC_RETURN control

11.2.8.2. Figure 125 shows the format of the SEC_RETURN control type. The description of each field is as follows:

SEC_RETURN

a) *Control type* – denotes the SEC_RETURN control. Its value shall be set to 0x1E (see Table 16)

b) *Length* – denotes the length of the SEC_RETURN control in bytes. Its value shall be set to 0x04

c) *SEC_RETURN* – denotes the result of SECAGREQ request. Its value set to 0x01 for a successful return; the value for other results shall be indicated by one of the other remaining codes in Table 27

d) *Reserved* – is reserved for future use. Its value shall be set to 0x00.

11.2.9. KEYDELIVER message

11.2.9.1. Figure 126 shows the format of the KEYDELIVER message. The description of each field is as follows:

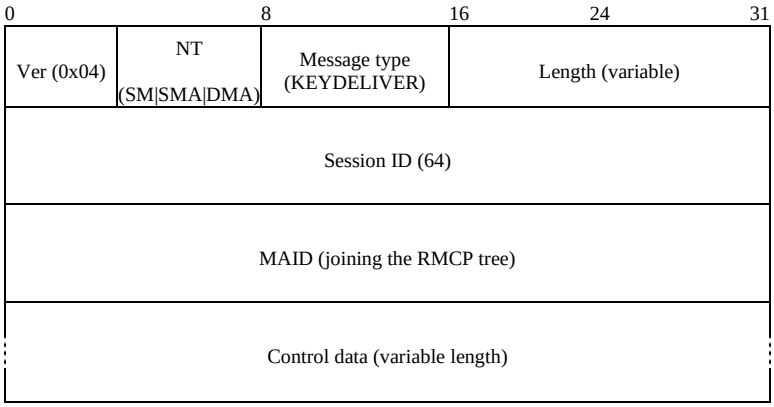

Figure 126 - KEYDELIVER Message

a) *Ver* –denotes the current version of RMCP. The value shall be set to 0x04

b) *NT* – The value shall be set to

- the coded value for SM in Table 6 for the delivery of the Ks key information;
- the coded value for DMA in Table 6 for the delivery of the Kg key information;

- the coded value for SMA in Table 6 for the delivery of the Kc key information.

c) *Message Type* – denotes the KEYDELIVER message. The value shall be set to 0x24 (see Table 15)

d) *Length* – shall be set to the total length of the KEYDELIVER message including control data (in bytes)

e) *Session ID* – shall be a 64-bit value of Session ID as defined in7.1.1

f) *MAID* – denotes the MAID of the recipient of the KEYDELIVER message.

g) *Control data* – shall include the control defined below:

11.2.9.2. Figure 127 shows the format of the KEY_INFO control type and its KEY_MATERIAL subtype. The description of each field of the KEY_INFO control type is as follows:

- KEY_INFO

a) *Control type* – denotes the KEY_INFO control. Its value shall be set to 0x19 (see Table 16)

b) *Length* – denotes the length of the KEY_INFO control in bytes. Its value shall be set to 0x04

c) *Key_type* – denotes what type of the proposed key information. Its value shall be set to one of the code values in table 28

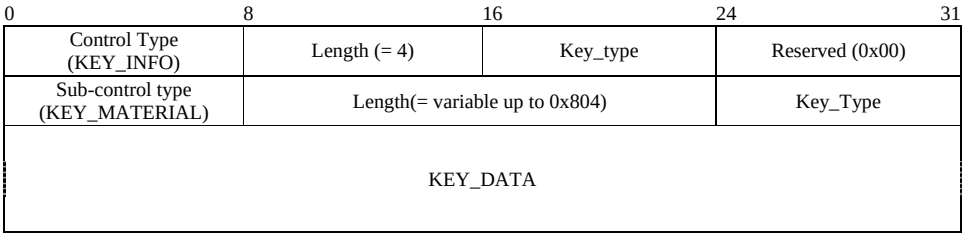

Figure 127 - KEY_INFO control, including KEY_MATERIAL sub-control

11.2.9.3. The description of each field of the KEY_MATERIAL sub-control is as follows:

- KEY_MATERIAL

a) *Sub-Control type* – denotes the KEY_MATERIAL sub-control. Its value shall be set to 0x02 (see Table 17)

b) *Length* – shall be set to the total length of the KEY_MATERIAL sub-control in bytes. Its value shall not exceed 0x04

c) *Key_Type* – denotes the type of the key information. Its value shall be set to one of the code values in Table 28

d) *KEY_DATA* – contains time information and seed value needed to generate the key identified by Key_Type

11.2.10. HRSREQ message

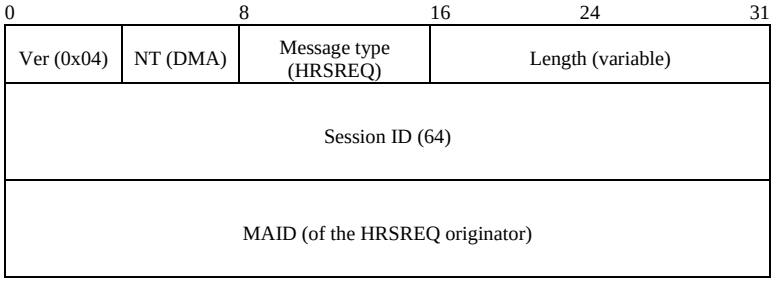

Figure 128 - HRSREQ Message

11.2.10.1. Figure 121 shows the format of the HRSREQ message. The description of each field is as follows:

a) *Ver* –denotes the current version of RMCP. The value shall be set to 0x04

b) *NT* –denotes the message issuer's node type. Its value shall be set to the coded value for DMA in Table 14

c) *Message Type* –denotes the HRSREQ message. The value shall be set to 0x25 (see Table 15)

d) *Length* –denotes the total length of the HRSREQ message (in bytes)

e) *Session ID* – shall be set to the 64-bit value of Session ID as defined in 7.1.1

f) *MAID* –denotes the proposed MAID of the HRSREQ originator. The value shall be formatted as defined in 7.1.2.

11.2.11. HRSANS message

11.2.11.1. Figure 122 shows the format of the HRSANS message. The description of each field is as follows:

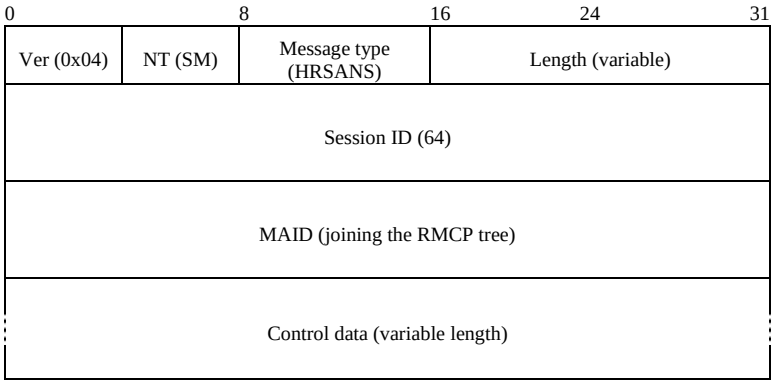

Figure 129- HRSANS Message

a) *Ver* – denotes the current version of RMCP. Its value shall be set to 0x04

b) *NT* – denotes the message issuer's node type. Its value shall be set to the coded value for the SM in Table 14.

c) *Message Type* – denotes the HRSANS message. Its value shall be set to 0x26 (see Table 15)

d) *Length* – shall be set to the total length of the HRSANS message including control data (in bytes)

e) *Session ID* – shall be set to the 64-bit value of Session ID as defined in 7.1.1.

f) *MAID* – denotes the proposed MAID of the HRSANS receivers. Its value shall be as defined in 7.1.2

g) *Control data* –is defined in the ACL_LIST control type defined below:

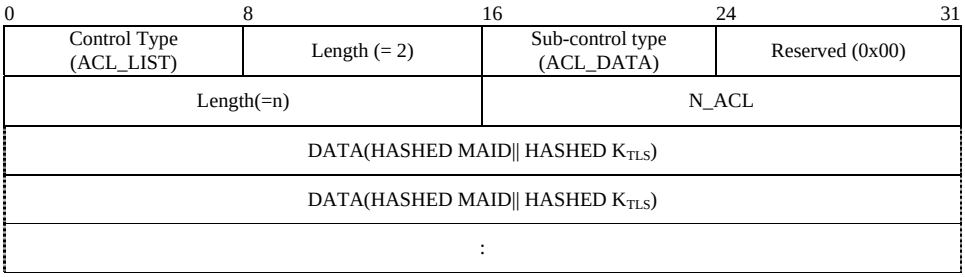

Figure 130 - ACL_LIST control, including ACL_DATA sub-control

11.2.11.2. Figure 137 shows the format of the ACL_LIST control type and its ACL_DATA sub-control. The description of each field of the ACL_LIST control type is as follows:

- ACL_LIST
  a) *Control type* – denotes the ACL_LIST control. Its value shall be set to 0x1B (see Table 16)
  b) *Length* – denotes the length of the ACL_LST control in bytes. Its value shall be set to 0x02.

11.2.11.3. The description of each field of the ACL_DATA sub-control is as follows:

- ACL_DATA
  a) *Sub-control type* – denotes the ACL_DATA sub-control. Its value shall be set to 0x03 (see Table 17)
  b) *Length* – shall be set to the length of the ACL_DATA sub-control in bytes.
  c) *N_ACL* – shall be set to the number of the entries in the ACL-list.
  d) *ACL_DATA* – contains HASHED MAID, HASHED K_{TLS} for each authenticated MA in the current session.

12. Parameters

12.1. Node types and encoded values

Table 14 lists the node types and their corresponding code values.

Table 14 – Node Type Codes for secure RMCP-2

Code	Node type	Definition
0x01	SM	Session Manager
0x02	SMA	Sender Multicast Agent
0x03	RMA	Receiver Multicast Agent
0x05	DMA	Dedicated Multicast Agent

12.2. Secure RMCP-2 message types and code values

Table 15 lists the types of messages and corresponding encoded values for each message.

Table 15 – RMCP-2 Message Types and code Values

Message Type	Meaning	Value (Hexadecimal)	Cross reference to message format
SUBSREQ	Subscription request (Control type = SERV_USER_IDENT)	0x02	See 11.2.0
RELREQ	Relay request (Control type=AUTH)	0x09	See 11.2.1
RELANS	Relay answer (Control type =AUTH_ANS)	0x0C	See 11.2.2
SECAGREQ	Security Agreement Request	0x21	See 11.2.3
SECLIST	Selected Security List	0x22	See 11.2.4
SECAGANS	Security Agreement Answer	0x23	See 11.2.5
KEYDELIVER	Key Delivery	0x24	See 11.2.6
HRSREQ	Head Required Security Request	0x25	See 11.2.7
HRSANS	Head Required Security Answer	0x26	See 11.2.8

NOTE – The code values for the SUBSREQ, RELREQ and RELANS messages are as specified in Table 2 for basic RMCP-2 message types

12.3. Secure RMCP-2 control types and code values

Table 16 lists the code values and meaning of the control types.

Table 16 – Control Types for Secure RMCP-2

Control Type	Meaning	Value (hexadecimal)	Message types containing the Control Type
SEC_MECH	Security mechanism	0x11	SECAGREQ
ENDEC_ALG	Encryption/Decryption algorithm	0x12	SECAGREQ
AUTH_ALG	Authentication Algorithm	0x13	SECAGREQ
GK_MECH	Group Key Mechanism	0x14	SECLIST/SECAGANS
AUTH_MECH	Authentication Mechanism	0x15	SECLIST/SECAGANS
CON_EN_DEC_ALG	Contents Encryption/Decryption Algorithm	0x16	SECLIST/SECAGANS
GK_EN_DEC_ALG	Group Key Encryption/Decryption Algorithm	0x17	SECLIST/SECAGANS
SEC_RETURN	Security Return	0x18	SECAGANS
SERV_USER_IDENT	Service user identification	0x1E	SUBSREQ
KEY_MATERIAL	Key Information	0x19	KEYDELIVER
AUTH	Authentication	0x1A	RELREQ
AUTH_ANS	Authentication Answer	0x1B	RELANS

Table 17 lists the code values and meaning of the sub-control types.

Table 17 – Sub-control Types for secure RMCP-2

Sub-control Type	Meaning	Value (hexadecimal)	Message types containing the Control Type
KEY_MATERIAL	Key material to generate the key	0x01	RELANS KEYDELIVER
ACL_DATA	ACL-list	0x02	HRSANS

12.4. Code values related to the RMCP-2 security policy

Table 18 lists the SEC_NAME codes for the RMCP-2 security policy.

Table 18– SEC_NAME Codes

Code	Acronym	Meaning	Reference
0x01	KDC	Group Key Management Protocol (GKMP) Architecture	IETF RFC 2094
0x02	GKMP	Group Key Management Protocol (GKMP) Specification	IETF RFC 2093
0x03	GDOI	The Group Domain of Interpretation	IETF RFC 3547
0x04	MIKEY	Multimedia Internet KEYing	IETF RFC 3830
0x05	GSAKMP	Group Secure Association Key Management Protocol	IETF RFC 4535
0x06	LKH	Key Management for Multicast: Issues and Architectures	IETF RFC 2627
0x07	MEM_AUTH	membership authentication	See Annex E

Table 19 lists the EN_DEC_ID codes for the security policy

Table 19 – EN_DEC_ID Codes

Code	Meaning	Reference
0x01	AES CBC Mode 128bit key	ISO/IEC 18033-3:2005
0x02	AES CTR Mode 128bit key	ISO/IEC 18033-4:2005
0x03	PKCS #1	ISO/IEC 18033-2:2006
0x0	The SEED Encryption Algorithm	ISO/IEC 18033-3:2005
1x01	Values greater than 1x00 are reserved for other modes of AES and SEED defined by the SM	ISO/IEC 18033-3:2005
1x02		
1x03		

Table 20 lists the AUTH_ID codes for the security policy

Table 20 – AUTH_ID Codes

Code	Acronym	Meaning	Reference
0x01	HMAC-SHA1	Hash Message Authentication Code – US Secure Hash Algorithm 1	ISO/IEC 9797-2
0x02	HMAC-MD5	Hash Message Authentication Code – Message-Digest Algorithm 5	ISO/IEC 9797-2
0x03	MD5	Message-Digest Algorithm 5	ISO/IEC 9797-2

Table 21 lists the GP_ATTRIBUTE codes for the security policy.

Table 21– GP_ATTRIBUTE Codes

Code	Attribute	Meaning
0x01	OPEN	A service user identifier is not required by an RMA before subscribing to the secure RMCP-2 session
0x02	CLOSED	A service user identifier is required by an RMA before subscribing to the secure RMCP-2 session (see 10.1.1.5)

Table 22 lists the GK_MECHA codes for the RMCP-2 security policy.

Table 22 - GK_MECHA Codes

Code	Value	Meaning
0x00	STATIC	Only one Group Key is used per one session
0x01	PERIODIC	Group Key is updated periodically
0x02	BACKWARD	Group Key is updated whenever any member leaves the group
0x04	FORWARD	Group Key is updated whenever any member joins the group
0x03	PERIODIC+BACKWARD	
0x05	PERIODIC+FORWARD	
0x06	BACKWARD+FORWARD	
0x07	PERIOIDC+FORWARD+BACKWARD	

Table 23 lists the GK_NAME codes for the RMCP-2 security policy.

Table 23– GK_NAME Codes

Code	Acronym	Meaning	Reference
0x01	KDC	Group Key Management Protocol (GKMP) Architecture	IETF RFC 2094

0x02	GKMP	Group Key Management Protocol (GKMP) Specification	IETF RFC 2093
0x03	GDOI	The Group Domain of Interpretation	IETF RFC 3547
0x04	MIKEY	Multimedia Internet KEYing	IETF RFC 3830
0x05	GSAKMP	Group Secure Association Key Management Protocol	IETF RFC 4535
0x06	LKH	Key Management for Multicast: Issues and Architectures	IETF RFC 2627

Table 24 lists the AUTH_ATTRIBUTE codes for the RMCP-2 security policy.

Table 24 - AUTH_ATTRIBUTE Codes

Code	Value	Meaning
0x01	MEMBERSHIP	'Membership' describes its authority is checked and defines its mechanism

NOTE – If other authentication mechanisms could be applied on defined AUTH_ATTRIBUTE such as message, source or user, then the corresponding authentication mechanism will be defined as a new code by SM in future revisions.

Table 25 lists the AUTH_NAME codes for the RMCP-2 security policy.

Table 25 – AUTH_NAME Codes

Code	Acronym	Meaning	Reference
0x01	MEM_AUTH	Membership authentication	See Annex E

12.5. Miscellaneous code values

Table 26 lists two additional result codes that record reasons for rejecting the subscription of an RMA due to a missing or unrecognized SERV_USER_ID in the SUBSREQ message in cases where the session supports closed groups. These result codes are specific to the secure RMCP-2 protocol and they supplement the code values in Table 3 that are also used in the secure RMCP-2 protocol.

Table 26 - Additional result codes for the RMCP-2 return value

Result code	Meaning
0x41	SERV_USER_ID missing
0x42	SERV_USER_ID not recognized

Table 27 lists the SEC_RETURN and Auth-result codes for the RMCP-2 security policy.

Table 27 – SEC_RETURN and Auth_result Codes

Code	Value	Meaning
0x01	OK	Authentication satisfactory

0x02	ERROR	Error found on authentication
0x03	RETRANSMISSION_REQ	Retransmission Requested
0x04	FAIDED CONFIGURATION	Applies only to SEC_RETURN in the SEGANS message

Table 28 lists the KEY_TYPE codes for key delivery.

Table 28 – KEY_TYPE Codes

Code	Value	Meaning
0x01	Ks	Session Key
0x02	Kg	Group Key
0x03	Kc	Contents Encryption Key

Annex E. Membership authentication Mechanism

(Normative)E.1 Overview

'The secure RMCP-2 membership authentication is based on the three pass authentication procedure in ISO/IEC 9798-3:1998. This procedure, as applied to secure RMCP-2, is described below and is illustrated in Figures E.1 and E.2. The variables used are listed in Table E.1.'

Membership authentication checks whether a node is a session member; it plays the role of a member of the RMCP tree or local group of the MM region and assumes that any node trying to authenticate membership for the RMCP tree or the group is verified by SM in the RMCP-2 session in advance, since the procedure is executed based on the password information of the node. To configure the RMCP tree, PMA and CMA perform this procedure when CMA wants to be a child node of PMA. Likewise, DMA and RMA authenticate their counterparts to transmit multicast data to the regular members joining the MM group.

E.2 Detailed Authentication Procedure

The membership authentication is initiated on a RELREQ message containing an 'AUTH control data' in the RELREQ message(see 11.2.1). PMA and DMA can be servers, and CMA and RMA, client parties. The client requests the server to authenticate a membership using some authentication materials: identifier (IDc), random number (r_c), and encrypted value by hashed 'auth' ($E_k(g^A)$ mod p). The server then sends its authentication materials: IDs, r_s, $Ek(g^B)$ mod p, and Vs(Vector value). Finally, authentication is finished successfully when the client sends vector value Vc. The authentication procedure is based on the Diffie Hellman algorithm. Here, A and B are arbitrary values, and K_{MAS} as a shared key between the client and the server encrypts Kg in the local group of the MM region. Here, the random number *r* should be securely generated on cryptographically secure pseudo bit random generator (CGSPRBG) such as PKCS#1, Micali−Schnorr and Blum−Blum−Shub pseudo bit random generators. 'm_auth' is value created by a message digest algorithm for message integrity.The value is made by symmetrical or asymmetrical secure MAC functions. 'auth' is 'AUTH-information' of the SUBSREQ message.

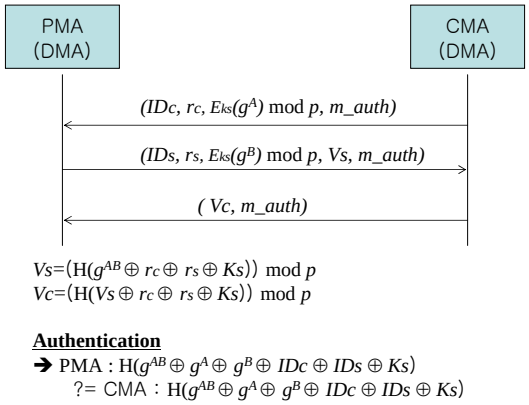

Figure E.1 – Membership authentication between PMA and CMA

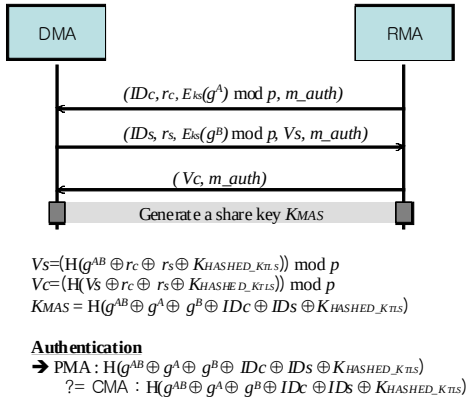

Figure E.2 – Membership authentication between DMA and RMA

Table E.1 – Definication of variables for membership authenticatio

Variables/Functions	Definitions
E(*x*)	Encryption function on defined multicast security policy
H(*x*)	Hash function on defined AUTH_ALG of multicast security policy
Mod	Modulation operator
IDc	Identifier of client-side; CMA and RMA
Ids	Identifier of server-side; PMA and DMA
r_c	Random number from client-side; CMA and RMA
r_s	Random number from server-side; PMA and DMA
G	generator on Diffie-Hellman algorithm
A	Arbitrary value by client-side; CMA and RMA
B	Arbitrary value by server-side; PMA and DMA
P	Defined value on Diffie-Hellman algorithm
Vs	Vector value on Diffie-Hellman algorithm from server-side; PMA and DMA
Vc	Vector value on Diffie-Hellman algorithm from client-side; CMA and RMA

Successful authentication is indicated by Auth_result with a value of 0x01 in the AUTH_ANS control of the RELANS message..

Annex F. Key Management

(Informative**)**

F.1 Overview

A key update procedure is required whenever RMA and DMA join or leave a group and the RMCP tree. Thus, the secure RMCP-2 protocol updates and distributes the key to be described in this chapter. The keys to be managed or updated are session key Ks and group key Kg.

Keys are updated periodically. When leaving the process or abnormal situation, three keys are newly created and distributed only to members of the RMCP tree and local group of the MM region.

F.2 Detailed Key Update Management

The two types of key – Ks, Kg -- are updated periodically by SM and DMA including SMA and RMA. SM manages Ks as a session administrator. DMA contains Kg. Likewise, DMA as a member of the RMCP tree has group key Kg for a local MM region. Kg is used to deliver messages to any RMA in its own region.

When leaving the RMCP tree or group of the MM region, each new key is generated and distributed to the members of the RMCP tree or group of the MM group.

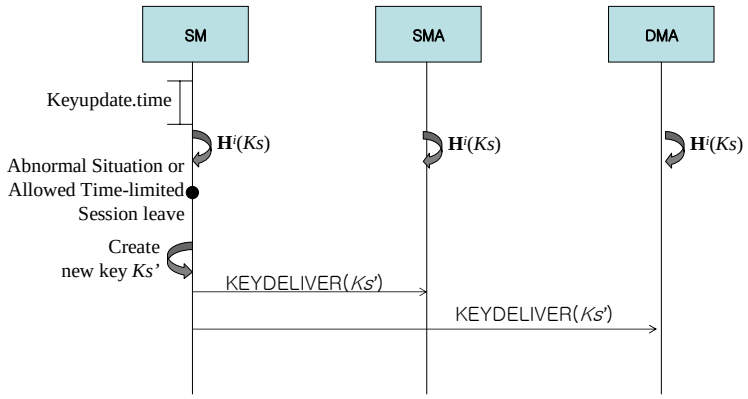

Figure F.1 – Key Update Procedure of Ks

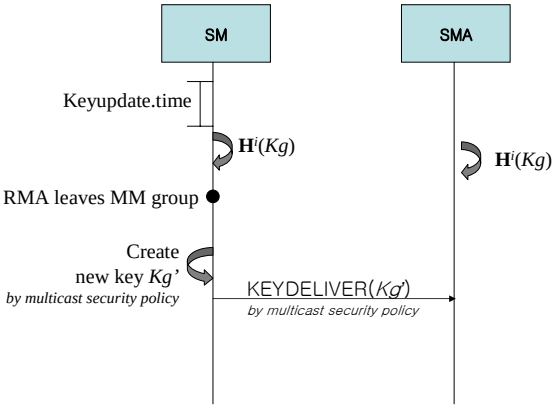

Figure F.2– Key Update Procedure of Kg