RL Project Proposal:

Influence of Transfer Learning on Performance

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https://github.com/cako2025/rl_ckps_final_project/

1 Motivation

Transfer learning has shown promise in accelerating learning by leveraging prior knowledge, but its impact on the performance of reinforcement learning agents in stochastic environments like Blackjack remains unclear. Understanding whether transfer learning improves or changes the agent's win rate is essential for designing effective training strategies.

This motivation leads us to the hypothesis:

- **H0:** Transfer learning (training an agent after a previously trained agent) does not significantly affect the average win rate of the second agent compared to solo training without transfer.
- **H1:** Transfer learning leads to a significant change in the average win rate of the second agent compared to solo training.

2 Related Topics

RL-Algorithm: Q-Learning (off-policy) and SARSA (on-policy).

exploration-strategy: epsilon-greedy and softmax.

topic: transfer-learning

3 Idea

We first train a policy π_{pre} using a standard reinforcement learning algorithm. This policy is then transferred to a second agent $\pi_{transfer}$, while a separate agent $\pi_{scratch}$ is trained from scratch using the same algorithm and environment. We then compare the expected performance of both agents to determine the effect of transfer learning.

The hypothesis is formalized as:

$$\pi \in \Pi, \pi : \mathcal{S} \mapsto \mathcal{A}, \quad \mathbb{E}[R(\pi_{\text{transfer}})] \neq \mathbb{E}[R(\pi_{\text{scratch}})]$$
 (1)

where $\mathbb{E}[R(\pi)]$ denotes the expected return of policy π .

Algorithm 1 Transfer Learning vs. Solo Training

```
Require: environment e, RL algorithm A
Train first agent \pi_{\text{pre}} on e
Initialize second agents:
Option 1 (Transfer): \pi_{\text{transfer}} \leftarrow \pi_{\text{pre}}
Option 2 (Solo): randomly initialize \pi_{\text{scratch}}
while not converged do

Train \pi_{\text{transfer}} on e using A
Train \pi_{\text{scratch}} on e using A
end while
Compute \mathbb{E}[R(\pi_{\text{transfer}})] and \mathbb{E}[R(\pi_{\text{scratch}})]
return comparison of both performance values
```

4 Experiments

We want to know roughly what you are planning to show in terms of experiments.

Environments & Metrics

ENV: Blackjack-v1 (Gymnasium - Toy Text)

Metrics: average win rate of the agent over multiple episodes, learning speed and convergence behavior.

Experimental Scope

How many experiments are you running? Include seeds, hyperparameter optimization, different environments, ablations, etc. here.

Estimated Computational Load

Training times vary by algorithm but are expected to be moderate due to the simplicity of the Blackjack environment. Each training run should take between several minutes to an hour on a standard CPU/GPU. Experiments will be automated via scripts and run on a local machine. Overall, the project is designed to be computationally feasible within a few days to a week.

5 Timeline

Estimated timeline for our project includes:

- Research: 2 days Literature review on transfer learning and RL algorithms in Blackjack.
- Implementation: 2 days Implement training pipelines for the RL algorithms and transfer learning setups.
- Experiments: 4 days Run experiments across different algorithms, seeds, and settings.
- Analysis: 2 days Statistical evaluation of results, hypothesis testing, and interpretation.
- Reporting: 1.5 days Writing the final report, preparing visualizations, and presentation.