

# Some model-theoretic methods in algebra

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## Why would one be interested in model-theoretic algebra?

In its early years, model theory was traditionally related to universal algebra. Later on, with M. Morley and S. Shelah's vast work, it became more of a "classification" or "stability" theory.

Model-theoretic algebra has been used to prove far more advanced results well outside of the purely model-theoretic realm. Model theory also aims to identify unifying ideas, and could hence develop ideas that can be used to prove theorems in various mathematical theories.

## Some model theoretic notions

A model-theoretic structure contains interpretations of certain relational, functional, and constant symbols; each relational or functional symbol has a fixed arity. The collection  $K$  of these symbols is called the *signature* of the structure.

A *theory*  $T$  is a set of axioms that is used to define a class of structures.

The **first-order theory of fields** (denoted  $T_{\text{fields}}$ ) is the collection of all first-order statements in a formal language of fields (with constants  $0$  and  $1$ , operations  $+$  and  $\times$ , and equality) that are true in all fields, which includes axioms expressing the basic field properties.

A theory is

- **Complete** if any two models of the theory are *elementarily equivalent*, i.e. they satisfy the same first-order statements.
- **Stable** if it satisfies certain combinatorial restrictions on its complexity.
- **Decidable** if there is an algorithm that can determine whether any given sentence is a theorem of the theory. For fields, decidability means that we can algorithmically verify whether statements about the field hold in the theory.
- **Quantifier-free** if it does not use any existential or universal quantifiers (e.g.,  $\forall$  or  $\exists$ ). In field theory, quantifier-free formulas describe properties directly in terms of field elements without referring to all elements or subsets.
- **Model complete** if every embedding between models of the theory is an *elementary embedding* (preserving truth of all first-order formulas).

**Definitions** Let  $M = (M, \dots)$  be an  $\mathcal{L}$ -structure. If  $X \subseteq M^n$ , then  $X$  is *definable* if and only if there is an  $L$ -formula  $\varphi(v_1, \dots, v_n, w_1, \dots, w_m)$  and  $b \in M^m$  such that

$$X = \{a \in M^n : M \models \varphi(a, b)\}.$$

## A first order-theory of Algebraically Closed Fields

Let ACF be the theory of algebraically closed fields together with the axiom

$$\forall \alpha_0 \dots \forall \alpha_{n-1} \exists z \left( x^n + \sum_{i=0}^{n-1} \alpha_i x^i = 0 \right)$$

for each  $n$ . ACF is not a complete theory since it does not decide the characteristic of the field. For each  $n$ , let  $\varphi_n$  be the formula

$$\forall x (x + \dots + x = 0) \quad (\text{with } n \text{ repetitions of } x).$$

For  $p$  prime, let  $\text{ACF}_p$  be the theory  $\text{ACF} + \varphi_p$ , and let  $\text{ACF}_0 = \text{ACF} \cup \{\neg \varphi_n : n = 1, 2, \dots\}$ .

For a cardinal  $\kappa$ , a theory is  $\kappa$ -categorical if there is, up to isomorphism, a unique model of cardinality  $\kappa$ .

**Proposition.** Let  $p$  be a prime or zero, and let  $\kappa$  be an uncountable cardinal. The theory  $\text{ACF}_p$  is  $\kappa$ -categorical, complete, and decidable.

**Theorem.** The theorem of algebraically closed fields has quantifier elimination. As a result, ACF is model-complete. Additionally,  $\text{ACF}_p$  is complete, where  $p = 0$  or is a prime.

## Sketch of a model-theoretic proof of Hilbert's Nullstellensatz

**Hilbert's Nullstellensatz:** For  $S \subseteq K[\bar{x}]$ , let  $V(S) = \{\bar{a} \in K^n : f(\bar{a}) = 0 \text{ for all } f \in S\}$ . Then if  $I$  and  $J$  are radical ideals in  $K[\bar{x}]$  with  $I \subset J$ , then  $V(J) \subset V(I)$ .

Chapter 7 of [4] provides a detailed proof. For an overview, once we have:

Let  $K$  be an algebraically closed field, and let  $m$  be a maximal ideal in  $k[x_1, \dots, x_n]$ . Let  $L$  be the algebraic closure of  $K[x_1, \dots, x_n]/m$ .

We can show that there exists  $a_1, \dots, a_n \in k$ , which is a common root of some set of generators for  $m$ . This assertion is a first-order sentence using the language of fields and symbols in  $K$ . Thus, model completeness of  $\text{ACF}_p$  implies this sentence is true in  $k$  if and only if it is true in some (and hence every) algebraically closed extension field of  $K$ . But we know that this sentence is true in the algebraically closed extension  $L$  by construction, and so we are done.

## Model-theory of Galois Theory

In the model-theoretic sense, Galois theory can be thought of as the classification of definably closed subsets of a model-theoretic algebraic closure according to the structure of a profinite automorphism group. (Poizat, 1983)

For instance, the fundamental theorem of Galois theory can be translated to model theory by working in a monster model  $\mathbb{M} \models T$  for a complete first-order theory  $T$  which eliminates imaginaries. Here,

1. A *monster model*  $\mathbb{M}$  is a very large, highly saturated and homogeneous model of a complete first-order theory  $T$ .
2.  $T$  has elimination of imaginaries if for every definable set

$$X = \{m \in \mathbb{M} \mid \varphi(m, b)\},$$

there exists a formula  $\psi(x, y)$  and a tuple  $c$  with the same sort as  $y$  such that  $c$  uniquely satisfies

$$X = \{m \in \mathbb{M} \mid \psi(m, c)\}.$$

3. Let  $A$  be a subset of a monster model  $\mathbb{M} \models T$ . The *definable closure*  $\text{dcl}(A)$  of  $A$  is the set of all tuples  $b \in \mathbb{M}$  such that there exists a formula  $\varphi(x, y)$  and a tuple  $a$  from  $A$  such that  $b$  is the unique solution to  $\varphi(a, y)$ , i.e.,

$$\varphi(\mathbb{M}, b) = \{b\}.$$

**A model-theoretic Galois correspondence:** Let  $K$  be a definably closed parameter set. Let  $A$  be a normal extension of  $K$  generated by the finite algebraic tuple  $\gamma$ . Then there is an order-reversing bijective correspondence between the subgroups of  $\text{Aut}(A/K)$  and the definably closed intermediate extensions of  $A/K$ . The correspondence is given by maps  $\text{Fix}$  sending a subgroup to its fixed points and  $\text{Stab}$  sending an intermediate definably closed extension to its stabilizer subgroup.

## Two interesting developments in model-theoretic algebra

**Differential Galois Theory** is the theory of solutions of differential equations over a differential base field.

In [7], [6], [5], model-theoretic methods are used to develop differential Galois theory. For instance, in suitable model-theoretic contexts, automorphism groups have the structure of definable groups.

[7] developed a theory of generalised strongly normal extensions of differential fields (which is a generalisation of Kolchin's theory), and showed that any finite-dimensional differential algebraic group is the Galois group of some generalised strongly normal extension  $K'$  of some  $F$ . [5] investigates inverse problems for generalised strongly normal extensions.

**Hrushovski's proof of the Mordell-Lang conjecture:**

The Mordell-Lang conjecture describes the properties of the intersection of a subvariety  $X$  of a semiabelian variety  $A$ , both defined by polynomial equations over a function field  $K$ , with special subgroups  $\Gamma$  of  $A$ .

Let **DCF** stand for the theory of differentially closed fields of characteristic 0. To prove the Mordell-Lang conjecture in characteristic  $p = 0$ . [1] uses DCF as it is a complete  $\omega$ -stable theory and hence has saturated models of any cardinality, and admits quantifier and imaginary elimination.

Hrushovski also utilised concepts from *stable group theory* and introduced the notion of *Zariski geometries*, which generalises classical algebraic geometry into the model-theoretic realm. Objects of finite Morley rank in model theory have properties analogous to those of algebraic varieties, thus translating geometric notions into logical ones.

The notes [3] describes the proof in great detail by presenting the required preliminaries.

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