# COMP3506 Algos and Datas Summary

### Gabriel Field

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## 1 Boilerplate

This document contains a summary of *data structures* (section 2) and their associated *algorithms* (section 3).

Each data structure gives its ADT and references to algorithms that can be used on it. Each algorithm gives a pseudocode representation.

I denote data types LikeThis. Each data type symbol D represents both the type itself, and also the set of all objects of that type (this is abusive, I know). This lets me write  $x \in D$  to mean "x is of type D" and method(args)  $\to$  D to mean "method() returns type D". For example, foo( $x \in X$ )  $\to Y$  is a method which takes a single argument x of data type X and returns objects of type Y.

The object null is a member of every data type.

I denote parameterised data types like Java does; i.e. Like<This> where Like is a type parameterised by the type This. For example, Set<Node> is the type of Sets of Nodes.

The LATEX source code for this file, along with the Java code I wrote to generate the macro \dataprintalgos, can be found at this GitHub repo. The Java code is terrible – I know – but it gets the job done.

If you want a good example of what a Tree is good for, check out that repo;)

### Changelog:

2023-08-25 16:04 Content up to the end of week 4 lectures is now summarised here.

### 2 Data Structures

### 2.1 General Linear Structures

### **Definition 2.1.1** (General Linear Structure)

A data structure is a **general linear** structure iff it **extends** either of:

- StaticSequence
- DynamicSequence

**Algorithms** this data structure(s) may utilise:

- Sort:
  - Comparison sort:
    - \* Selection sort (algo 1)
    - \* Insertion sort (algo 2)
    - \* Merge sort (algo 3)
    - \* Quick sort (algo 4)
  - Non-comparison sort:
    - \* Bucket sort (algo 5)
    - \* Lexicographic sort (algo 6)
      - · Radix sort (algo 7)
      - · Binary radix sort (algo 8)

### ADT 2.1.2 (StaticSequence)

Stores an ordered sequence X of elements  $x_0, \ldots, x_{n-1}$ , potentially with duplicates.

Method	Function
build(X)	Create new data structure to store $X$
len()	Return $n$
$ extsf{get}(i \in \{0,\dots,n-1\})$	Return $x_i$
$\mathtt{set}$ $(i \in \{0, \dots, n-1\}$ , $x$ )	Set $x_i$ to $x$

### **Algorithms** this data structure(s) may utilise:

- Sort:
  - Comparison sort:
    - \* Selection sort (algo 1)
    - $\ast$  Insertion sort (algo 2)
    - \* Merge sort (algo 3)
    - \* Quick sort (algo 4)
  - Non-comparison sort:
    - \* Bucket sort (algo 5)
    - \* Lexicographic sort (algo 6)
      - · Radix sort (algo 7)
      - · Binary radix sort (algo 8)

### ADT 2.1.3 (DynamicSequence)

Stores an *ordered* sequence X of elements  $x_0, \ldots, x_{n-1}$ , potentially with duplicates, where the number n of elements is allowed to change.

Method	Function
build(X)	Create new data structure to store $X$
len()	Return $n$
$ exttt{get}(i \in \{0,\ldots,n-1\})$	Return $x_i$
$\mathtt{set}$ ( $i \in \{0, \dots, n-1\}$ , $x$ )	Set $x_i$ to $x$
add(x)	Add $x$ as a new element

### **Algorithms** this data structure(s) may utilise:

- Sort:
  - Comparison sort:

- \* Selection sort (algo 1)
- \* Insertion sort (algo 2)
- \* Merge sort (algo 3)
- \* Quick sort (algo 4)
- Non-comparison sort:
  - \* Bucket sort (algo 5)
  - \* Lexicographic sort (algo 6)
    - · Radix sort (algo 7)
    - · Binary radix sort (algo 8)

### 2.1.1 Children of StaticSequence

### ADT 2.1.4 (Array implements StaticSequence)

A static sequence stored in a *contiguous* chunk of memory. We store:

- ullet size: n
- $\bullet$  the array (duh)

Method	Function	Runtime complexity (worst)
Memory usage	store	$\Theta(n)$
$\mathtt{build}(X)$	Create new data structure	idk lol
	to store $X$	
len()	Return $n$	$\Theta(1)$
$ exttt{get}(i \in \{0,\ldots,n-1\})$	Return $x_i$	$\Theta(1)$
$set(i \in \{0, \dots, n-1\}, x)$	Set $x_i$ to $x$	$\Theta(1)$
iterate(f a function)	Iterate through collection	$\mathcal{O}(n \cdot T_f(s))$ for $T_f$ the runtime
	_	of $f$ and $s$ the size of elements

### **Algorithms** this data structure(s) may utilise:

- Sort:
  - Comparison sort:
    - \* Selection sort (algo 1)
    - \* Insertion sort (algo 2)
    - \* Merge sort (algo 3)
    - \* Quick sort (algo 4)
  - Non-comparison sort:
    - \* Bucket sort (algo 5)
    - \* Lexicographic sort (algo 6)
      - · Radix sort (algo 7)
      - · Binary radix sort (algo 8)

### 2.1.2 Children of DynamicSequence

### ADT 2.1.5 (LinkedList implements DynamicSequence)

A linear arrangement of (at least singly) linked nodes. We store:

- ullet size: n
- head: reference to first node in the list
- tail: reference to last node in the list Note: only exists sometimes

Method	Function	Runtime complexity (worst)
Memory usage	store	$\Theta(n)$
$\mathtt{build}(X)$	Create new data structure	$\Theta(n)$
	to store $X$	
len()	Return $n$	$\Theta(1)$
$ extsf{get}$ ( $i \in \{0,\ldots,n-1\}$ )	Return $x_i$	$\Theta(n)$ (cf. ExtensibleList)
$\mathtt{set}$ ( $i \in \{0,\ldots,n-1\}$ , $x$ )	Set $x_i$ to $x$	$\Theta(n)$ (cf. ExtensibleList)
iterate(f a function)	Iterate through collection	$\mathcal{O}(n \cdot T_f(s))$ for $T_f$ the runtime
		of $f$ and $s$ the size of elements

Method	Function	Runtime complexity (worst)
add(x)	Add $x$ to list (at either the	$\Theta(1)$
	head or the tail)	
$\mathtt{insert}$ ( $i \in \{0, \dots, n-1\}$ , $x$ )	Insert $x$ immediately be-	$\Theta(n)$
	fore element $i$	

This data structure has the following variants:

- DoublyLinkedList extends LinkedList
- CircularlyLinkedList extends LinkedList

**Algorithms** this data structure(s) may utilise:

- Sort:
  - Comparison sort:
    - \* Selection sort (algo 1)
    - \* Insertion sort (algo 2)
    - \* Merge sort (algo 3)
    - \* Quick sort (algo 4)
  - Non-comparison sort:
    - \* Bucket sort (algo 5)
    - \* Lexicographic sort (algo 6)
      - · Radix sort (algo 7)
        - · Binary radix sort (algo 8)

### ADT 2.1.6 (ExtensibleList implements DynamicSequence)

An array-based implementation of DynamicSequence where the array is resized if need be. We store:

- ullet size: logical size n
- capacity: (current) length of the internal array
- the array (duh)

Method	Function	Runtime complexity (worst)
Memory usage	store	$\Theta(n)$
$\mathtt{build}(X)$	Create new data structure	$\Theta(n)$
	to store $X$	
len()	Return $n$	$\Theta(1)$
$ extsf{get}$ ( $i \in \{0,\ldots,n-1\}$ )	Return $x_i$	$\Theta(1)$ (cf. LinkedList)
$\mathtt{set}(i \in \{0,\ldots,n-1\}$ , $x$ )	Set $x_i$ to $x$	$\Theta(1)$ (cf. LinkedList)
iterate(f a function)	Iterate through collection	$\mathcal{O}(n \cdot T_f(s))$ for $T_f$ the runtime
		of $f$ and $s$ the size of elements
append(x)	Add $x$ to the tail of the list	amortised $\Theta(1)$ ; raw $\mathcal{O}(n)$
$insert(i \in \{0, \dots, n-1\}, x)$	Insert $x$ immediately be-	amortised $\Theta(1)$ ; raw $\mathcal{O}(n)$
	for element $i$	

We assume that the internal array is resized according to a *constant multiple* scheme; i.e. we have a fixed number  $r \in \mathbb{Z}_{>1}$  such that each resize has capacity  $\leftarrow r \cdot n$ .

**Algorithms** this data structure(s) may utilise:

- Sort:
  - Comparison sort:
    - \* Selection sort (algo 1)
    - \* Insertion sort (algo 2)
    - \* Merge sort (algo 3)
    - \* Quick sort (algo 4)
  - Non-comparison sort:
    - \* Bucket sort (algo 5)
    - \* Lexicographic sort (algo 6)
      - · Radix sort (algo 7)
      - · Binary radix sort (algo 8)

Apparently PositionalList exists, too. Is it important? idk.

### 2.2 Stacks and Queues

#### **ADT 2.2.1** (Stack)

A dynamic-size FILO data structure storing n elements.

- ullet size: n
- top: pointer to the top of the stack (maybe the index of the top element, in an array-based implementation)

The runtime complexity in the following table depends on the implementation. I've listed the complexity for an 'ideal' implementation below.

Method	Function	Runtime complexity (worst)
Memory usage	store	$\Theta(n)$
$\mathtt{build}(X)$	Create new data structure to store $X$	depends on implementation
<pre>push(x)</pre>	Push $x$ onto the stack	$\Theta(1)$ (perhaps amortised from raw $\mathcal{O}(n)$ , depending on implementation)
pop()	Return and remove the element at the top	$\Theta(1)$
peek() aka top()	Return the element at the top	$\Theta(1)$
<pre>isEmpty()</pre>	Return true iff $n \neq 0$	$\Theta(1)$

Implementation strategies:

- LinkedList
- ExtensibleList (amortised  $\Theta(1)$ -time push() operation, with raw  $\mathcal{O}(n)$  complexity)
- (static-size stack) Array

Algorithms this data structure(s) may utilise: (none yet)

### ${f ADT}$ 2.2.2 (Queue)

A dynamic-size FIFO data structure storing n elements.

- $\bullet \ \mathtt{size} \colon n$
- front: pointer to the front of the queue (maybe an index in an array)
- back: pointer to the back of the queue (maybe an index in an array)

The runtime complexity in the following table depends on the implementation. I've listed the complexity for an 'ideal' implementation below.

Method	Function	Runtime complexity (worst)
Memory usage	store	$\Theta(n)$
$\mathtt{build}(X)$	Create new data structure	depends on implementation
	to store $X$	
enqueue(x)	Enqueue $x$ onto the back	$\Theta(1)$ (perhaps amortised from
	of the queue	raw $\mathcal{O}(n)$ , depending on imple-
		mentation)
dequeue()	Return and remove the el-	$\Theta(1)$
	ement at the front	
first()	Return the element at the	$\Theta(1)$
	front	
<pre>isEmpty()</pre>	Return true iff $n \neq 0$	$\Theta(1)$

Implementation strategies:

- LinkedList
- ExtensibleList (amortised  $\Theta(1)$ -time enqueue() operation, with raw  $\mathcal{O}(n)$  complexity)
- (static-size queue) Array (circular arrangement)

Algorithms this data structure(s) may utilise: (none yet)

### 2.3 Trees

#### **ADT 2.3.1** (Tree)

A dynamic-size hierarchical structure of n nodes (with arbitrarily many children). The tree stores:

- size  $\in \mathbb{Z}_{>0}$ : n
- height  $\in \mathbb{Z}_{\geq 0}$ : height of the tree
- root  $\in$  Node: pointer to the root of the tree (maybe an index in an array)

The nodes (of type Node) store:

- parent ∈ Node: pointer to the parent of this Node
- data: data stored at this node
- $children \in Set < Node >: set of children$

The runtime complexity in the following table depends on the implementation. I've listed the complexity for an 'ideal' implementation below. Here, n is the number of nodes, and h is the height.

Method	Function	Runtime complexity (worst)
Memory usage (all)	store	$\Theta(n)$
$\mathtt{build}(X)$	Create new data structure to	depends on implementation
	store $X$	
Tree.size()	Return n	$\Theta(1)$
<pre>Tree.isEmpty()</pre>	Return true iff $n = 0$	$\Theta(1)$
<pre>Tree.root()</pre>	Return root	$\Theta(1)$
<pre>Tree.iterator()</pre>	Return an iterator for this tree	depends on implementation
<pre>Tree.positions()</pre>	Not in Joel's headcanon	depends on implementation
Node.parent()	Return this.parent	$\Theta(1)$
Node.children()	Return this.children	$\Theta(1)$
Node.numChildren()	Return this.children.size()	$\Theta(1)$
<pre>Node.isInternal()</pre>	Return true iff this node is in-	$\Theta(1)$
	ternal; i.e. it has children	
<pre>Node.isExternal()</pre>	Return true iff this node is ex-	$\Theta(1)$
	ternal; i.e. it is a leaf	
Node.isRoot()	Return true iff this node	$\Theta(1)$
	is the root of a tree; i.e.	
	${ t this.parent} = { t null}$	

In addition, a concrete data type implementing Tree may support the following methods.

Method	Function	Runtime complex-
		ity (worst)
Tree.replace( $x \in Node$ , $y \in Node$ )	Replace $x$ with $y$	$\Theta(1)$
${\tt Tree.addRoot}(x \in {\tt Node})$	Set the root of this Tree	$\Theta(1)$
	to $x$ , and the old root to	
	one of $x$ 's children	
$\texttt{Tree.remove}(x \in \texttt{Node})$	Remove $x$ from this tree	$\Theta(1)$

A Tree is k-ary iff each node has at most  $k \in \mathbb{Z}_{>0}$  children.

Algorithms this data structure(s) may utilise: (none yet

#### ADT 2.3.2 (BinTree extends Tree)

A 2-ary tree. The tree stores the same as in Tree. The nodes store:

- parent  $\in$  Node: pointer to the parent of this Node
- data: data stored at this node
- ullet left  $\in$  Node: left  $\operatorname{child}$
- $right \in Node$ : right child

The runtime complexity in the following table depends on the implementation. I've listed the complexity for an 'ideal' implementation below. These methods are *in addition* to those supported by Tree.

Method	Function	Runtime complexity (worst)
Inherit from Tree	Methods inherited	inherited
Node.left()	Return this.left	$\Theta(1)$
Node.right()	Return this.right	$\Theta(1)$

**Definition** (full level): Level l of a binary tree is full iff it contains  $2^l$  non-null nodes. **Definition** (complete tree):  $T \in \texttt{BinTree}$  is complete iff every level except the bottom level is

**Definition** (complete tree):  $T \in \text{BinTree}$  is complete iff every level except the bottom level is full, and all leaves are as leftmost as possible.

**Definition** (proper tree, full tree):  $T \in \texttt{BinTree}$  is proper (aka full) iff every level of T is full. **Algorithms** this data structure(s) may utilise: (none yet)

# 3 Algorithms

### 3.1 Sort

#### **Definition 3.1.1** (Stable sort)

Let  $\mathcal{A}$  be an algorithm which sorts objects (k, v) by their keys k. We say that  $\mathcal{A}$  is *stable* iff for each fixed key k, the order in which the values v appear in the sorted output of  $\mathcal{A}$  is the same as the order they appeared in the unsorted input to  $\mathcal{A}$ .

### 3.1.1 Comparison sort

All comparison sorts are stable sorts.

For a comparison of comparison sorts, see table 9.

Algo	In-place?	Worst runtime	Avg. runtime	Best runtime
Selection	yep	$\Theta\left(n^2\right)$	same	same
Insertion	yep	$\Theta\left(n^2\right)$	same	same
Merge	nope	$\Theta\left(n\log(n)\right)$	same	same
Quick	depends on im-	$\mathcal{O}\left(n^2\right)$	$\Theta\left(n\log(n)\right)$	same
	plementation			

Table 9: Comparison of comparison sorts

#### **Theorem 3.1.2** (Runtime of comparison sorts)

Let A be a comparison sort algorithm with input size n. Then, A runs in  $\Omega(n \log(n))$  time.

```
Algorithm 1: Selection Sort
1 /* This method is a stable sort.
                                                                                                      */
2 /* Runtime complexity: \Theta(n^2)
                                                                                                      */
\textbf{3 method selectionSort}(A \in \textit{GeneralLinearStructure}, \, n \in \mathbb{Z}_{\geq 0}) \, \rightarrow \textit{void}
       Input
                 : A 	ext{ of length} \leq n
       Requires: A is totally ordered by \leq
                  : In-place sorts A
       Does
       if n > 1 then
4
           \max Index \leftarrow 0;
 5
 6
           for i \leftarrow 1 to n-1 do
              if A[i] > A[\max Index] then
 7
                 \max Index \leftarrow 0;
 8
           // Swap max with last
10
           swap(A[maxIndex], A[n-1]);
           // Sort the rest
11
           selectionSort(A, n-1);
12
```

```
Algorithm 2: Insertion Sort
1 /* This method is a stable sort.
2 /* Runtime complexity: \Theta(n^2)
                                                                                                          */
\textbf{3 method insertionSort}(A \in \textit{GeneralLinearStructure}, \ n \in \mathbb{Z}_{\geq 0}) \rightarrow \textit{void}
                : A of length \leq n
       Requires: A is totally ordered by \leq
       Does
                  : In-place sorts A
       for i \leftarrow 1 to n-1 do
 4
           valueToInsert \leftarrow A[i];
 5
           j \leftarrow i - 1;
 6
           // Find where to insert valueToInsert
 7
           while j \geq 0 and A[j] > \text{valueToInsert do}
 8
               // Shift inputs upwards
 9
               A[j+1] \leftarrow A[j];
10
               j \leftarrow j - 1;
11
12
           // j is the index of the first value \leq valueToInsert
           A[j+1] \leftarrow \text{valueToInsert};
13
```

```
Algorithm 3: Merge Sort
 1 /* This method is a stable sort.
 2 /* Runtime complexity: \Theta(n^2)
                                                                                                         */
 \textbf{3 method mergeSort} (A \in \textit{GeneralLinearStructure}, \ l, r \in \mathbb{Z}_{\geq 0}) \ \rightarrow \textit{void}
       Input
                 : A 	ext{ of length} > r
       Does
                   : Destructively sort A[\{l,\ldots,r\}]
       if l < r then
           m \leftarrow \left| \frac{l+r}{2} \right|;
 5
           mergeSort(A, l, m);
                                                                                               // Divide
 6
                                                                                               // Divide
           mergeSort(A, m+1, r);
 7
                                                                                              // Conquer
           merge(A, l, m, r);
 9 method merge (A \in GeneralLinearStructure, l, m, r \in \mathbb{Z}_{\geq 0}) \rightarrow void
       Input : Structure A, left index l, middle index m, right index r
       Requires: A has length > r + 1 and 0 \le l \le m \le r
                   : Replace A by the sorted union of A[\{l, \ldots, m-1\}] and A[\{m, \ldots, r-1\}]
10
       Llength \leftarrow m - l + 1;
       Rlength \leftarrow r - m;
11
       L \leftarrow A[\{l, \ldots, m-1\}];
12
       R \leftarrow A[\{m,\ldots,r-1\}];
13
       Aind \leftarrow l;
14
       Lind \leftarrow 0;
15
       Rind \leftarrow 0;
16
       // Merge
17
       while Lind < Llength and Rind < Rlength do
18
           if L[Lind] \leq R[Rind] then
19
               A[Aind++] \leftarrow L[Lind++];
20
           else
21
               A[Aind++] \leftarrow R[Rind++];
22
       // Copy leftovers. At most one of L,R is non-empty
23
       while Lind < Llength do
24
25
           A[Aind++] \leftarrow L[Lind++];
       while Rind < Rlength do
26
           A[Aind++] \leftarrow R[Rind++];
27
```

```
Algorithm 4: Quick Sort
 1 /* Worst-case runtime complexity: \mathcal{O}(n^2)
 2 /* Average-case, best-case runtime complexity: \Theta(n \log(n))
 3 method quickSort(A \in \textit{GeneralLinearStructure}, n \in \mathbb{Z}_{>0})

ightarrow GeneralLinearStructure
                  : Structure A of length \leq n
       Returns: Destructively sorted copy of A
       if n = 1 then
 4
           return A:
 5
       // Else...
 6
       // Divide
 7
       p \leftarrow \text{pivot index chosen from } \{0, \dots, n-1\};
                                                                          // often randomly chosen
 8
       (L, E, G, l, e, g) \leftarrow \mathtt{partition}(A, p);
 9
       // Recurse
10
       L \leftarrow \mathtt{quickSort}(L, l);
11
       G \leftarrow \mathtt{quickSort}(G, g);
12
       // Conquer
13
       return L.appendAll(E).appendAll(G);
                                                                 // appendAll() does the obvious
15 method partition (A \in GeneralLinearStructure, p \in \mathbb{Z}_{\geq 0})

ightarrow GeneralLinearStructure^3	imes \mathbb{Z}^3_{>0}
       Input : Structure A of length > p,
                    p index in A of pivot A[p]
       Returns: (L, E, G, l, e, g) where:
           • L contains all things a \in A with a < A[p]
           • E contains all things a \in A with a = A[p]
           • G contains all things a \in A with a > A[p]
           • l, e, g are the lengths of L, E, G respectively
           • the order in A is maintained in L, E, G
       L, E, G, \leftarrow empty sequences of capacity length(A);
16
       l, e, g \leftarrow 0;
17
       pivot \leftarrow A.\text{remove}(p);
18
       while A is not empty do
19
20
           element \leftarrow A.\text{remove}(A.\text{first}());
           \mathbf{if} \ \mathrm{element} < \mathrm{pivot} \ \mathbf{then}
21
               L.add(element);
22
               l \leftarrow l + 1;
23
           else if element = pivot then
24
                E.add(element);
25
               e \leftarrow e + 1;
26
27
           else
               G.add(element);
28
               g \leftarrow g + 1;
29
       return (L, E, G, l, e, g);
30
```

### 3.1.2 Non-comparison sort

```
Algorithm 5: Bucket Sort
 1 /* This method is a stable sort.
 2 /* Runtime complexity \mathcal{O}\left(n+N\right)
                                                                                                               */
 \textbf{3 method bucketSort}(A \in \textit{GeneralLinearStructure}, \ n \in \mathbb{Z}_{\geq 0}, \ N \in \mathbb{Z}_{> 0}) \ \rightarrow \textit{void}
                    : Structure A of n key-value pairs (k, v) \in A. The keys k are elements
        Input
                      k \in \{0, \dots, N-1\}.
                    : Destructively sort A by keys
        Buckets \leftarrow new Array<List<\mathbb{Z}_{>0}>> of length N; // initially [\varnothing, \ldots, \varnothing]
 4
        // Sort into buckets
 5
        for pair in A do
 6
            A.\text{remove(pair)};
 7
            Buckets[pair.getKey()].append(pair);
 8
        // Pour buckets into \boldsymbol{A}
 9
        for i \leftarrow 0 to N-1 do
10
            for pair in Bucket[i] do
11
                Bucket[i].remove(pair);
12
                A.append(pair);
13
```

### Algorithm 6: Lexicographic Sort

```
1 /* Runtime complexity O(d·T(n)) for d the number of components in each
    tuple, T the runtime function of stableSort() and n the length of A.
    */
2 method lexicographicSort(A ∈ GeneralLinearStructure, d ∈ Z>0) → void
    Input : Structure A of d-tuples
    Requires: The data type D<sub>i</sub> of the i-th component is totally ordered by ≤, for each
    i ∈ {1,...,d}
    Does : Destructively sort A according to lexicographic order
3 for i ← d downto 1 do
4 | stableSort(A, i-th component); // keys are the i-th component
```

```
Algorithm 7: Radix Sort

1 /* Specialisation of lexicographicSort() which uses bucketSort() and applies only to non-negative integers.

2 /* Runtime complexity \mathcal{O}(d \cdot (n+N))

3 method radixSort(A \in GeneralLinearStructure, d \in \mathbb{Z}_{>0}, n \in \mathbb{Z}_{>0}, N \in \mathbb{Z}_{>0})

\rightarrow void

Input: Structure A of d-tuples in \{0, \dots, N-1\}^d of length n

Does: Destructively sort A

4 for i \leftarrow d downto 1 do

5 bucketSort(A, n, N, i-th component); // keys are the i-th component
```

```
Algorithm 8: Binary Radix Sort

1 /* Specialisation of radixSort() which works in binary.

2 /* Runtime complexity \mathcal{O}(b \cdot n) */

3 method binaryRadixSort(A \in GeneralLinearStructure, n \in \mathbb{Z}_{\geq 0}, b \in \mathbb{Z}_{> 0}) \rightarrow void

Input: Structure A of length n storing b-bit non-negative integers

Does: Destructively sort A

4 for i \leftarrow 0 to b-1 do

5 | bucketSort(A, n, 2, i-th bit); // keys are the i-th bit
```