#### CPSC 490 Project Proposal

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# 1 Background

#### 1.1 Graph Neural Networks

Recently, deep learning techniques have achieved strong performance on several artificial intelligence tasks, from natural language generation to image and speech recognition. Graph data emerges in many contexts (social networks, molecules, knowledge graphs) but creates unique challenges for traditional ML methods. Graph Neural Networks (GNNs) are a type of neural network that operates directly on the graph structure to perform node and graph classification, link prediction, and more [1].

Several GNN architectures have been developed to perform multiple tasks on varied synthetic and real-world datasets. A key similarity across many methods is message passing, where features of neighboring nodes are aggregated into the central node [2]. Convolutional GNNs generalize the convolution operation from grid data to graph data, using either spectral and spatial methods. Some of the most impactful and effective methods are the Graph Convolutional Network (GCN) [3], GraphSAGE [4], Graph Attention Network (GAT) [5], and Graph Isomorphism Network (GIN) [6]. These and other methods are often evaluated on citation (CiteSeer, CORA, PubMed) [7], bioinformatics (MUTAG, PROTEINS, NCII) [8, 9], and social network datasets (REDDIT, IMDB) [10].

### 1.2 GNN Explainability

In order to facilitate real-world applications, machine learning models must be made explainable, either during initial computation or post hoc. Explainability is important in increasing trust in model output and increasing transparency regarding fairness and safety. Explanations can also help end-users identify model shortcomings and incorporate their own domain-knowledge for improved performance. Demystifying black-box models is crucial to applying artificial intelligence to critical roles including healthcare, robotics, and finance.

Just as graph structured data poses challenges to traditional deep learning methods, new explainability methods are required to interpret GNN outputs. Figure 1 helps categorize several promising methods. Most recent work has gone into instance-level explanation, which provides input-dependent predictions for each input graph. Gradient and decomposition based approaches require access to the prediction model backwards computation while perturbation and surrogate models only require the prediction model input and output [11].

Among permutation instance-level explanations, GNNExplainer [12], PGExplainer [13] and SubgraphX [14] are some of the most popular approaches. GNNExplainer and PGExplainer initializes edge and node feature masks that define a smaller explanation subgraph. The masks are optimized to maximize the mutual information between the explanation and

original graph. Meanwhile SubgraphX uses Monte Carlo Tree Search to explore potential subgraphs and Shapley values to select the optimal explanation. GraphLIME is a surrogate method that extends the LIME algorithm to graph structured data [15].

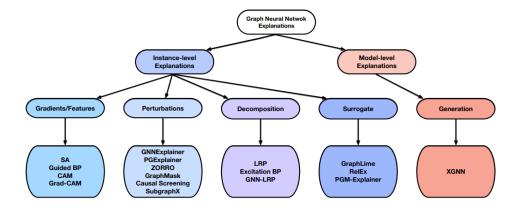


Figure 1: Taxonomy of GNN Explanation Methods, adapted from Yuan et. al [11]

GNN explanation models must balance several goals including fidelity, sparsity, stability, and accuracy [11]. Several metrics have been proposed for each goal individually [16, 17], but many models utilize their own metrics. Recently, the Deconfounded Subgraph Evaluation (DSE) metric attempts to correct the out-of-distribution problem and GraphFramEx attempts to combine fidelity measurements to classify node classification explanations as necessary and/or sufficient [18, 19]. The combination of a graph dataset, GNN prediction model, explanation model, and metrics define an explainability experiment.

### 1.3 Heterogeneous and Hyper Graphs

Heterogeneous graphs contain multiple types of nodes and/or edges. Meanwhile, hypergraphs are a generalization of graphs where edges can connect more than two nodes. While multiple datasets (IMDB, citation networks, e-commerce, ModelNet40 [20]) have been formulated as heterogeneous or hypergraphs and GNNs (HAN [21], HGNN [22], Hyper-Conv/Att [23], RGCN [24]) exist for both, explainability methods have not been applied to these structures.

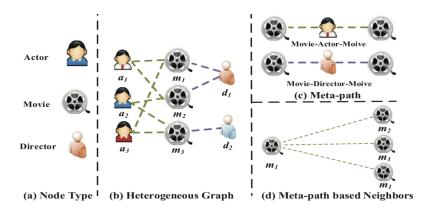


Figure 2: Heterogeneous IMDB Graph Illustration, adapted from Wang et. al [21]

## 2 Proposed Project

Although GNNs have been applied to a variety of graph datasets and tasks, explanation methods have lagged behind. The proposed project is to investigate applying existing GNN evaluation methods to new contexts including link prediction tasks, heterogeneous graphs, and hypergraphs. While Yuan et. al tabulated standardized results on explanations for graph and node classification tasks, a comprehensive analysis for link prediction does not yet exist [11]. In addition to link prediction, heterogeneous and hypergraph explanations are underexplored.

- Link Prediction: Graph datasets and GNNs have often been applied to link prediction tasks. Meanwhile, GNNExplainer, PGExplainer, and SubgraphX all describe how to apply their algorithms to link prediction but omit quantitative results. Although GraphLIME does not mention link prediction, it can be extended in a similar manner as the first three models. Depending on performance, simple optimizations can be explored to best apply these methods to link prediction. Fidelity, sparsity, stability, and accuracy can all be applied to link prediction.
- Heterogeneous Graphs and Hypergraphs: Unlike link prediction, GNN explainability methods and metrics will need to be more substantially updated to handle the new graph structure. Node classification, link prediction, and graph classification are all tasks that can be explored on heterogeneous graphs and hypergraphs.

I plan on quickly implementing a single explainability model (ex. GNNExplainer) for node and graph classification, and then focus on brainstorming potential ways to adapt/improve the model on these new tasks. Knowledge of the other methods will provide inspiration for modifications and can be implemented for comparison after getting good results with the first explanation model. Additional work can be done to formulate new types of explanations beyond subgraphs that are more understandable and applicable to these domains.

### 3 Timeline

Target deliverables <u>underlined</u>.

- 1. Weeks 5-6: Download graph datasets. Train GCN and GNNExplainer in PyG. Replicate basic results for node/graph classification.
- 2. Weeks 7-8: Modify GNNExplainer for link prediction. Modify dataset, prediction model, explanation metrics. Explore optimizations to improve explanations.
- 3. Weeks 9-10: Begin exploring heterogeneous graph and/or hypergraph modifications. Implement additional explanation metrics and/or explanation models.
- 4. Weeks 11-12: Adapt GNNExplainer for heterogeneous graphs and/or hypergraphs. Evaluate and optimize scalability of explanations on large graph datasets.
- 5. Weeks 13-14: Compile results, write final report. If time permits, evaluate additional explanation models alongside GNNExplainer.

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