# CS 31007 Autumn 2020 COMPUTER ORGANIZATION AND ARCHITECTURE

#### **Instructors**

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Lecture 02

# Indian Institute of Technology Kharagpur Computer Science and Engineering

### Agenda

- Model for computation and Turing Machine
- von Neumann Architecture
- ❖ Basic Features of Instruction Set Architecture (ISA)
- Die yield
- **CPU Performance Equation**
- \* Amdahl's Law; Gustavson-Barsis Law
- \* RISC versus CISC

### **Three Challenges**

- 1. How to design efficient hardware (logic)?
- 2. What is the simplest, yet all powerful computer (computability)?
- 3. How should the basic computer architecture be conceived?

# Pioneers who answered these three questions



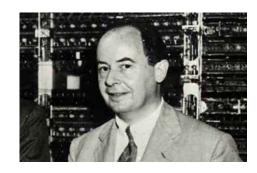
Claude E. Shannon (1916-2001)

Logic design (basis of computer organization; hardware cost/ circuit delay/power optimization)



Alan Turing (1912-1954)

Theory of computability (basis for the fundamental requirement in computation)



John von Neumann (1903-1957)

Blueprint for basic computer architecture

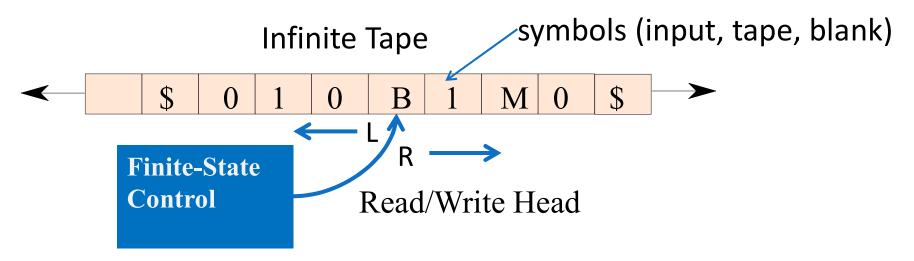
#### Turing Machine



- ❖ Alan Turing, who gave the fundamental abstraction of a computing machine, was an excellent long distance runner
- \* Pioneer of theoretical computer science and artificial intelligence
- ❖ His father served Indian Civil Service and worked in Odisha

### What is the simplest yet all powerful computer?

Alan Turing (1936): Conceived a machine that introduces a model for computation (Turing Machine)



The tape head can only move left or right *Actions:* (present state, current symbol) → (new state, write symbol, move one cell left/right);

-- The machine halts when an "accept"/"reject" state is reached

### Example

- Start with a blank tape and create a pattern 0b1b0b1b . . .
- Define symbols: b (blank), 0, 1

Present state	Symbol on tape	Operation	Next state
S0 (begin)	blank	Write 0 and move right	S1
S1	blank	Move right	S2
S2	blank	Write 1 and move right	S3
S3	blank	Move right	S0

http://en.wikipedia.org/wiki/Turing machine examples

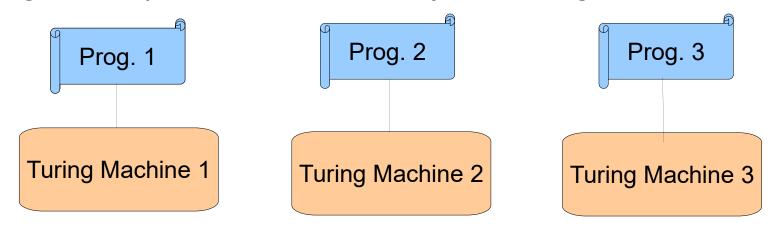
### Turing Machine

- Very simple mechanism:
  - -- memory, control, read/write, shift, accept/reject
- A. M. Turing (1936), On Computable Numbers with an Application to the Entscheidungsproblem, *Proc. Royal Math. Soc.*, Ser. 2, Vol. 42, pp. 230-265, 1936.
- Extremely powerful

Church-Turing Conjecture (1936): A function on natural numbers can be calculated by an effective method *if and only if* it is computable by a Turing machine Any procedure that is computable by paper-and-pencil methods (algorithm) can be solved by a Turing machine

### **Universal Turing Machine**

Church-Turing Conjecture (1936): Any procedure that is computable by paper-and-pencil method (algorithm) can be solved by a Turing machine.

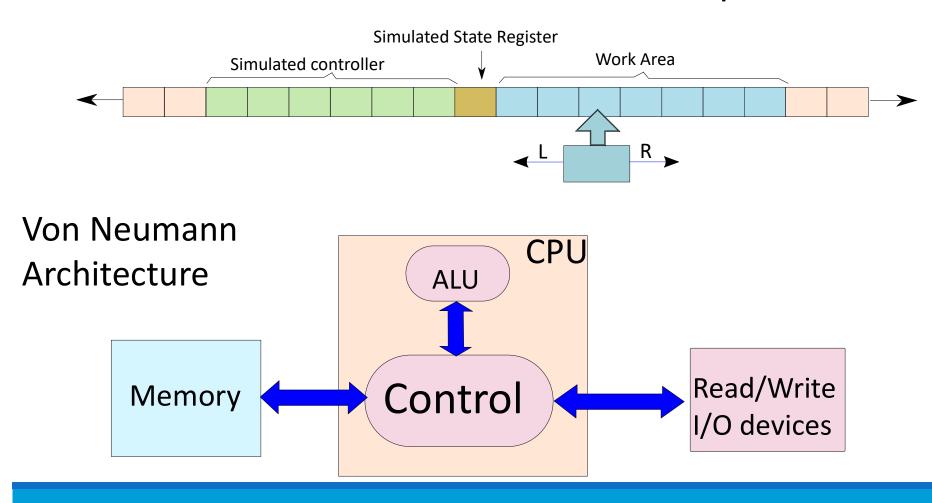


#### More general question:

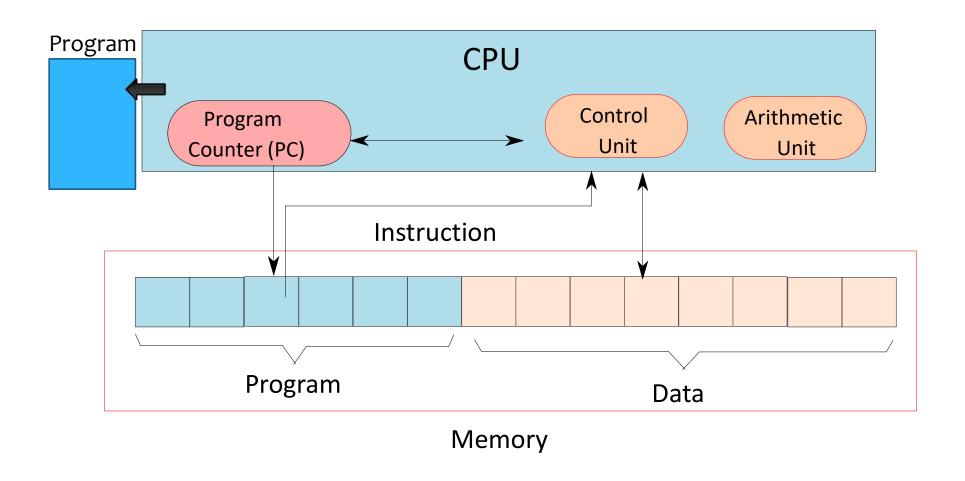
Can we design a Universal Turing machine (UTM) that can simulate any Turing machine?

### Universal Turing Machine

#### Controller and states are simulated on tape



# Computer mimicing Turing machine



# Turing Undecidability?

Halting Problem: Can we write a program Q, which given any arbitrary program P as input, will decide whether on not P terminates or falls into an infinite loop on input data?

Halting problem is Turing undecidable

### Gödel's Incompleteness Theorem



Kurt Gödel 1906-1978

In 1931, Gödel demonstrated that within any given branch of mathematics, there would always be some propositions that cannot be proven either true or false using the rules and axioms

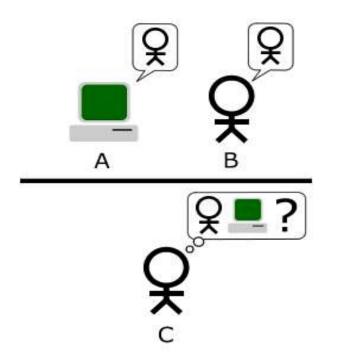
# Father of AI/Machine Learning?

"What we want is a machine that can learn from experience"

- Alan Turing

## **Turing Test**

- Can a computer think? (Turing, 1950)
- A. P. Saygin, I. Cicekli and V. Akman, "Turing Test: 50 Years Later," Minds and Machines, vol. 10, no. 4, pp. 463-518, 2000.



Can a person *C* discriminate between a machine *A* and human *B* by asking questions and getting written answers?

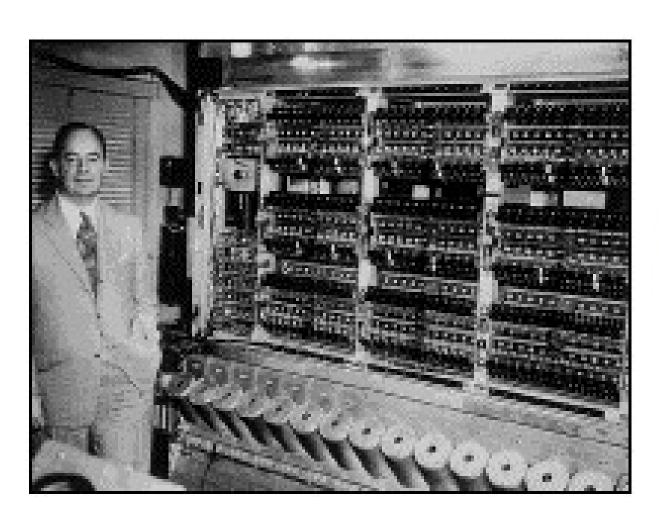
http://www.engadget.com/2011/01/13/ibms-watson-supercomputer-destroys-all-humans-in-jeopardy-pract/

# **Turing Test**

- In 2014, The 65 year-old Turing Test was passed for the very first time by computer program Eugene Goostman during Turing Test held at the Royal Society in London
- 'Eugene' simulates a 13 year old boy and was developed in Saint Petersburg, Russia. The development team includes Vladimir Veselov and Eugene Demchenko
- A program wins the Turing Test if it is mistaken for a human more than 30% of the time.

http://www.reading.ac.uk/news-and-events/releases/PR583836.aspx

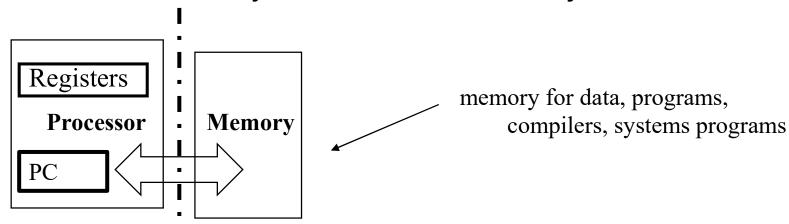
# John Von Neumann





#### von Neumann Architecture (1945): Princeton Architecture

- Stored program concept
- ° Serves as the basis for almost all modern computers
- Instructions and data are just bits
- ° Programs (sequence of machine instructions) are stored in memory to be read or written just like data

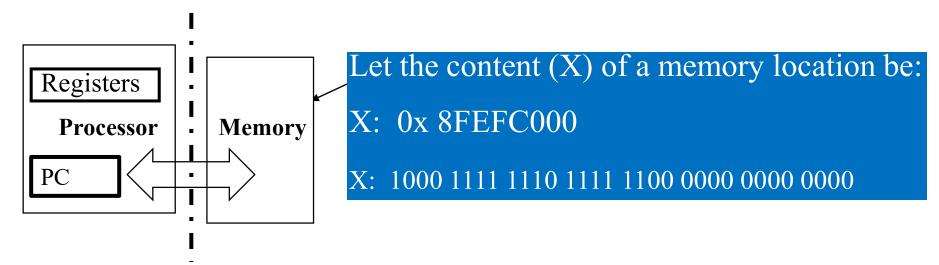


#### ° Fetch & Execute Cycle

- Program Counter (PC) points to the present Instruction to be fetched
- Bits in the register "control" the subsequent actions
- Fetch the "next" instruction and continue

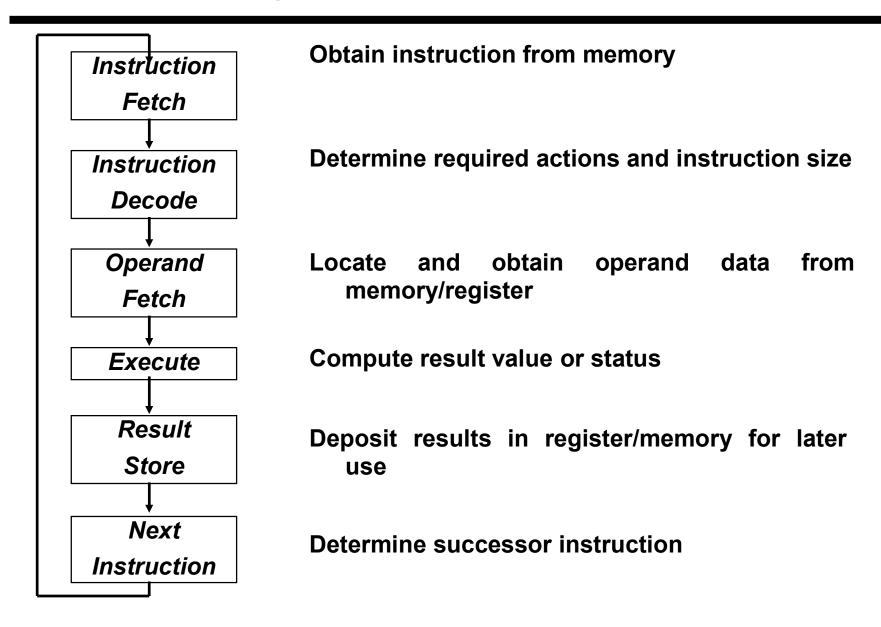
#### Binary String Stored in Computer: Who am I?

- ° X = 1,880,113,152 (if X is a 2's complement binary number)
- ° X = 2,414,854,144 (if X is an unsigned binary number)
- °  $X = -1.873 \times 2^{-96}$  (if X is a floating-point number)
- ° X Iw \$t7, -16384 (\$ra) (if X is a MIPS instruction)



• Need execution cycles to interpret the binary strings properly – whether it is instruction or data, and if data, what type?

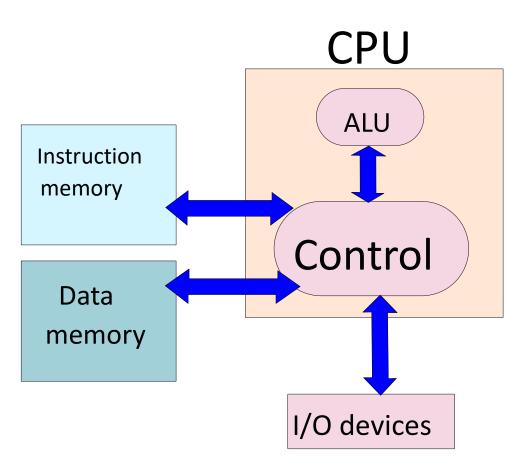
#### **Execution Cycle**



#### **Features of von Neumann Architecture**

- Same physical memory to save instructions and data
- Instruction fetch and data transfer cannot be done concurrently; they need two clock cycles
- Simple architecture
- Harvard Architecture: Separate instruction and data memory

#### Harvard Architecture

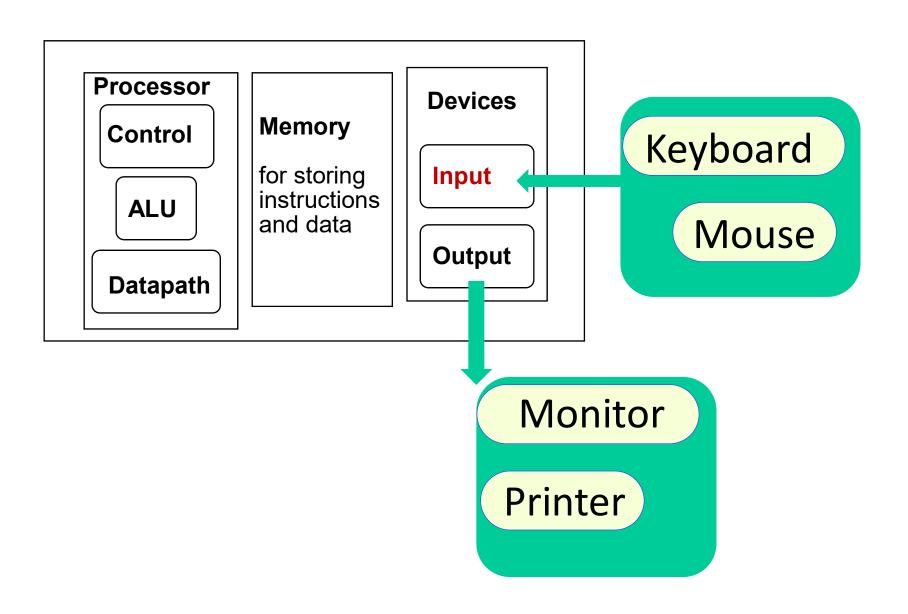


- ➤ Based on Harvard Mark I relay-based computer model ➤ Separate signal pathways
- for instruction and data; can be accessed concurrently

#### Von Neumann Bottleneck

- Von Neumann architecture uses the same memory for instructions (program) and data.
- The time spent in memory accesses can limit the performance. This phenomenon is referred to as *von Neumann bottleneck*.
- To avoid the bottleneck, later architectures allow frequently used operands to reside in on-chip registers

## Full Picture



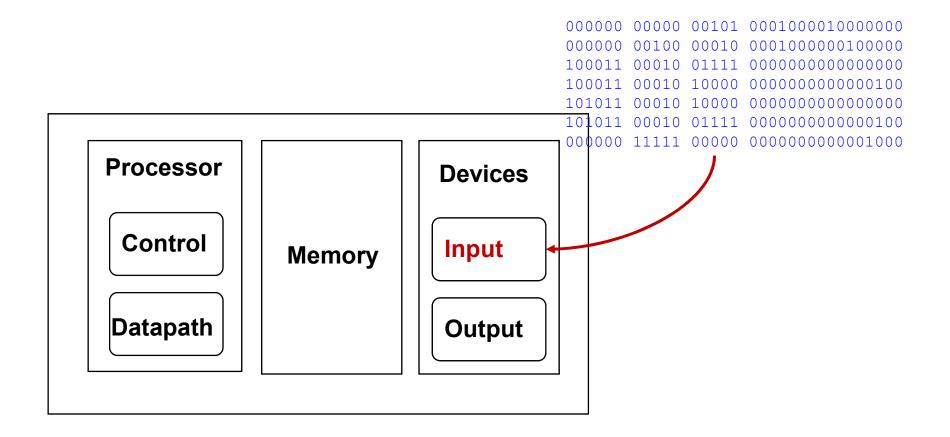
### What You Will Learn

- How programs are translated into the machine language
  - And how the hardware executes them
- The hardware/software interface
- What determines program performance
  - And how it can be improved
- How hardware designers improve performance
- What is parallel processing

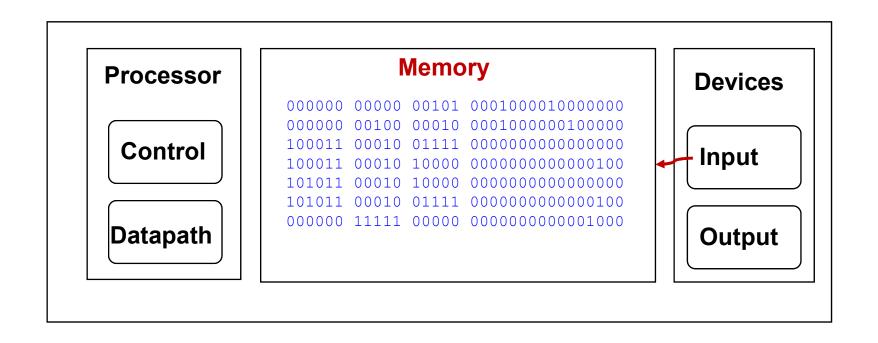
# **Understanding Performance**

- Algorithm
  - Determines number of operations executed
- Programming language, compiler, architecture
  - Determine number of machine instructions executed per operation
- Processor and memory system
  - Determine how fast instructions are executed
- I/O system (including OS)
  - Determines how fast I/O operations are executed

# **Load Input Binary**

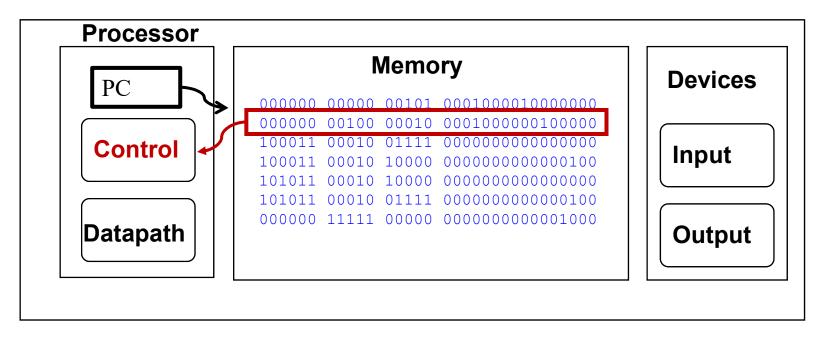


# **Code Stored in Memory**



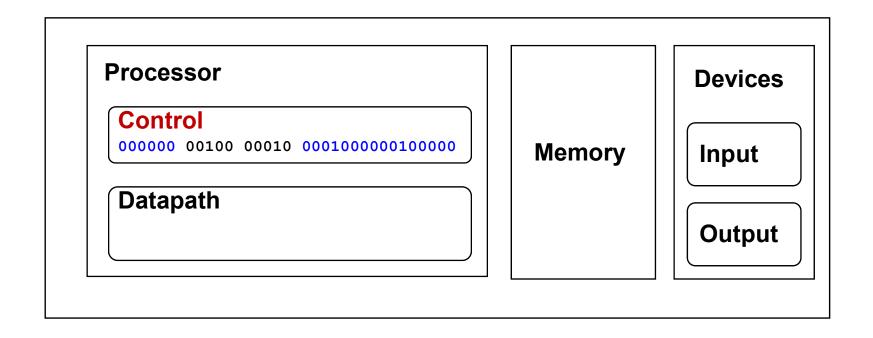
### **Processor Fetches an Instruction**

Processor fetches an instruction from memory pointed by Program Counter PC



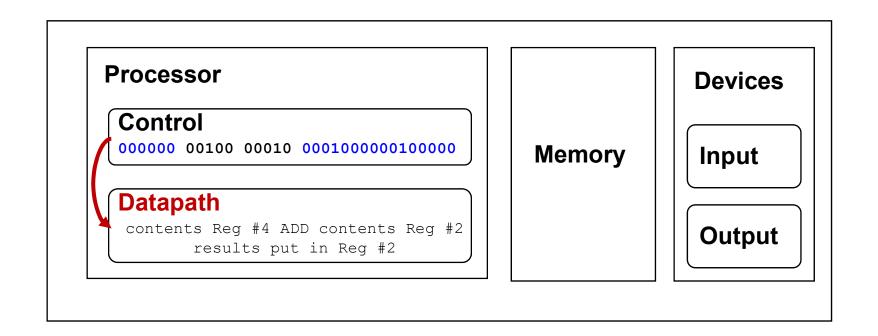
Where does it fetch from?

### **Control Decodes the Instruction**



Control decodes the instruction to determine what to execute

## **Datapath Executes the Instruction**



Datapath executes the instruction as directed by control

# **What Happens Next?**



Control

Datapath

#### **Memory**

 000000
 00000
 00101
 0001000010000000

 000000
 00100
 00010000000000000

 100011
 00010
 01111
 00000000000000000

 100011
 00010
 10000
 00000000000000000

 101011
 00010
 01111
 0000000000000000

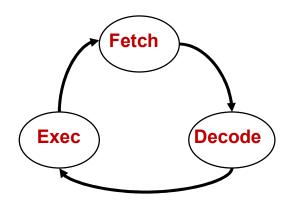
 101011
 00010
 01111
 00000000000000000

 000000
 11111
 00000
 0000000000000000000

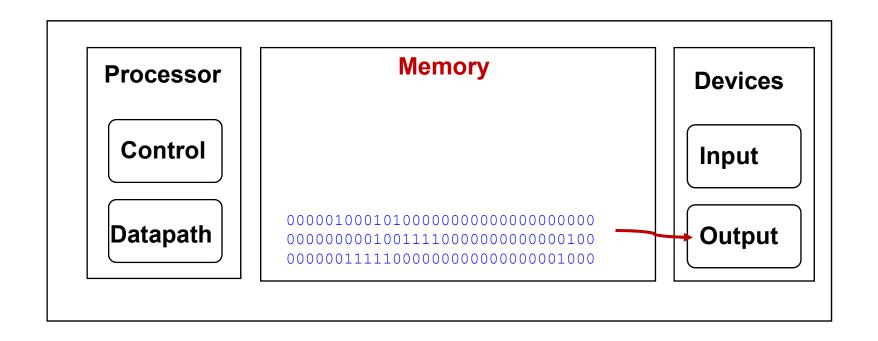
#### **Devices**

Input

**Output** 

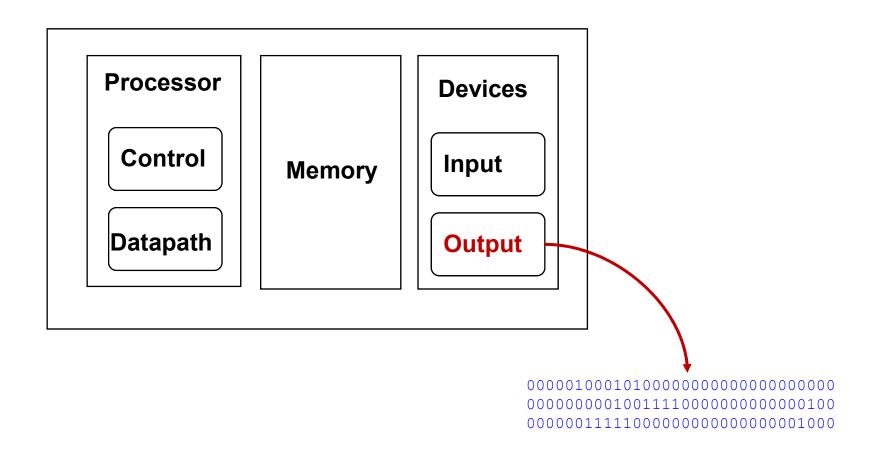


# **Output Data Stored in Memory**



On program completion, results reside in memory

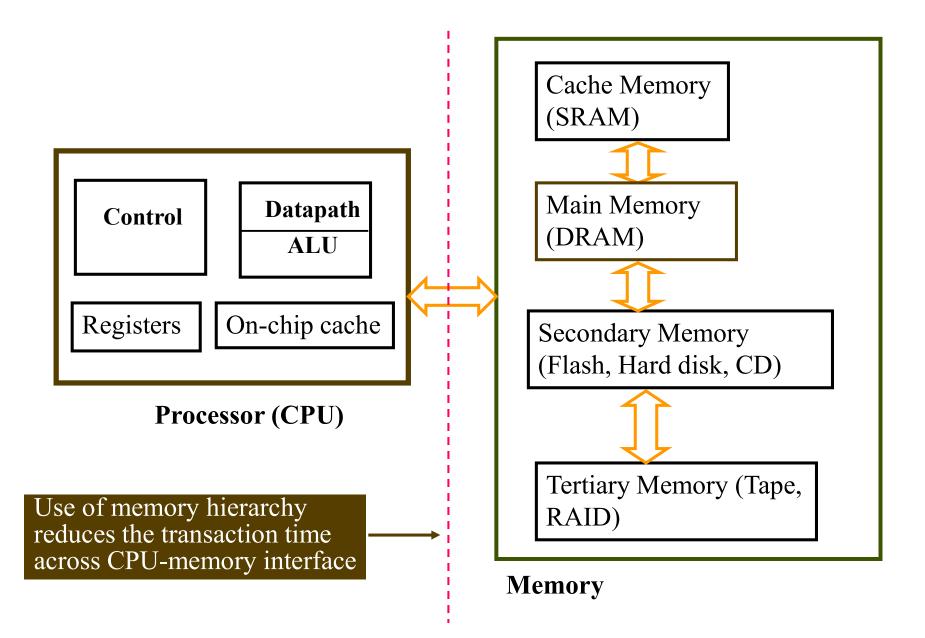
# **Output Device Outputs Data**



#### von Neumann Bottleneck

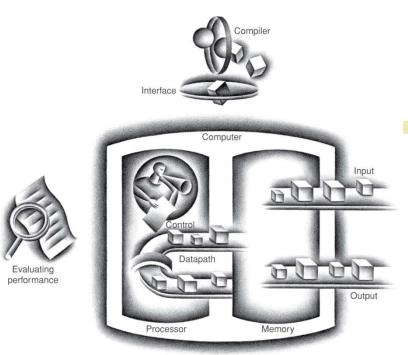
- von Neumann architecture uses the same memory for instructions (program) and data
- The time spent in memory accesses can limit the performance. This phenomenon is referred to as von Neumann bottleneck.
- To avoid the bottleneck, later architectures restrict operands to registers (temporary storage in processor).

#### Complete View of Computer Architecture



# Components of a Computer

#### **The BIG Picture**



- Same components for all kinds of computer
  - Desktop, server, embedded
- Input/output includes
  - User-interface devices
    - Display, keyboard, mouse
  - Storage devices
    - Hard disk, CD/DVD, flash
  - Network adapters
    - For communicating with other computers

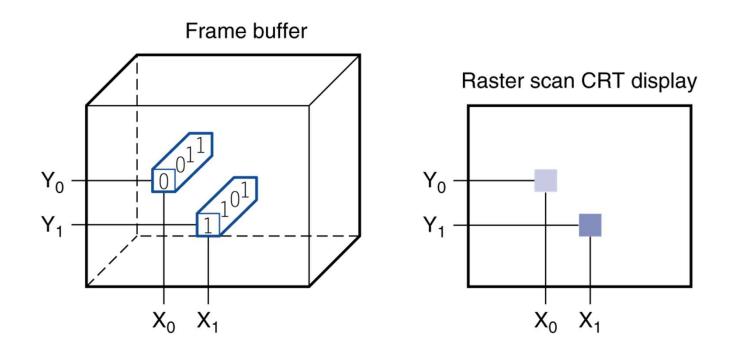
#### **Touchscreen**

- PostPC device
- Supersedes keyboard and mouse
- Resistive and Capacitive types
  - Most tablets, smart phones use capacitive
  - Capacitive allows multiple touches simultaneously

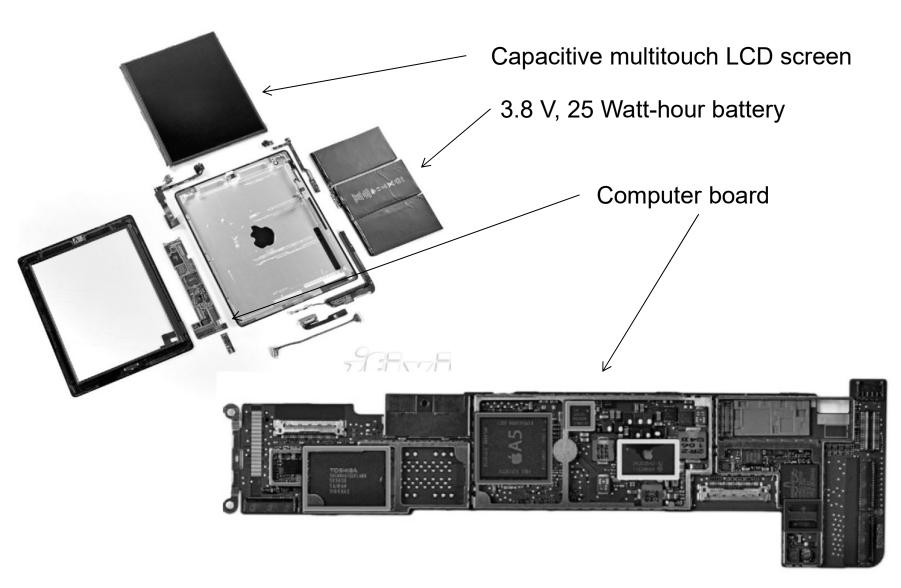


# Through the Looking Glass

- LCD screen: picture elements (pixels)
  - Mirrors content of frame buffer memory



# **Opening the Box**



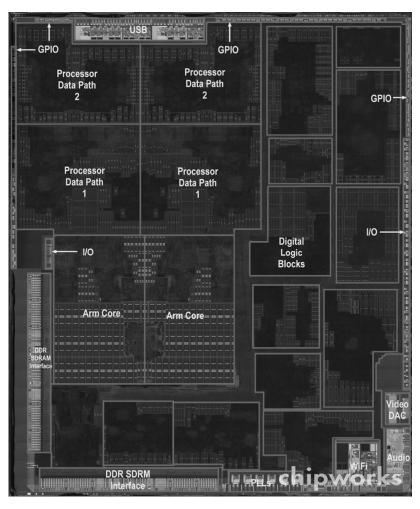
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# Inside the Processor (CPU)

- Datapath: performs operations on data
- Control: sequences datapath, memory, ...
- Cache memory
  - Small fast SRAM memory for immediate access to data

#### **Inside the Processor**

Apple A5



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#### A Safe Place for Data

- Volatile main memory
  - Loses instructions and data when power off
- Non-volatile secondary memory
  - Magnetic disk
  - Flash memory, SSD
  - Optical disk (CDROM, DVD)









#### **Networks**

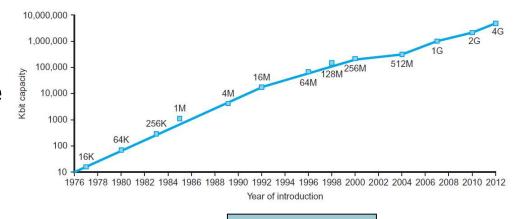
- Communication, resource sharing, nonlocal access
- Local area network (LAN): Ethernet
- Wide area network (WAN): the Internet
- Wireless network: WiFi, Bluetooth





# **Technology Trends**

- Electronics technology continues to evolve
  - Increased capacity and performance
  - Reduced cost

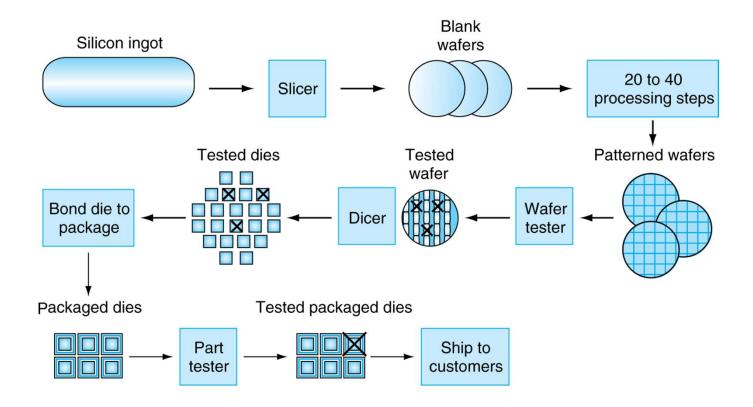


**DRAM** capacity

# **Semiconductor Technology**

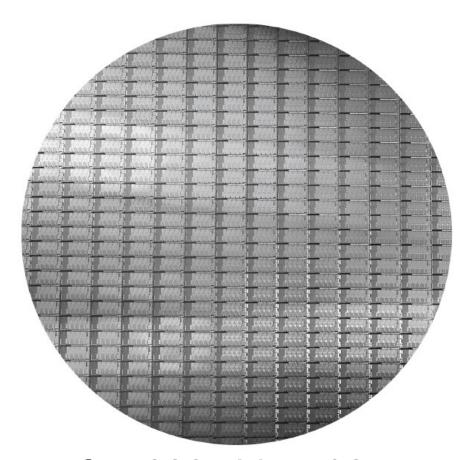
- Silicon: semiconductor
- Add materials to transform properties:
  - Conductors
  - Insulators
  - Switch

# **Manufacturing ICs**



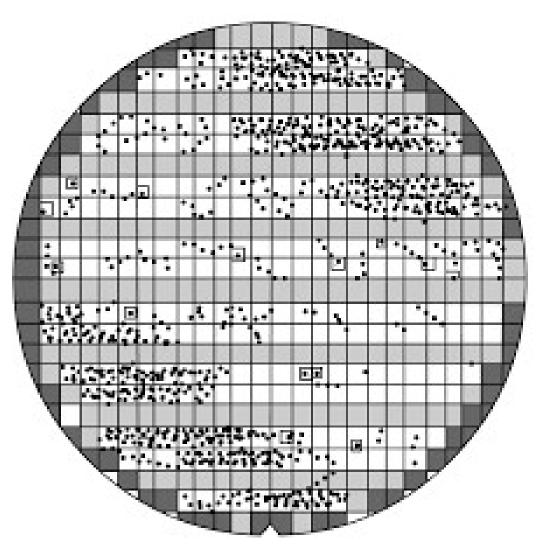
Yield: proportion of working dies per wafer

#### **Intel Core i7 Wafer**



- 300mm wafer, 280 chips, 32nm technology
- Each chip is 20.7 x 10.5 mm

#### Defects in IC's and Yield





# **Integrated Circuit Cost**

```
Cost per die = \frac{\text{Cost per wafer}}{\text{Dies per wafer} \times \text{Yield}}
```

chip-cost ~ (die-area)<sup>4</sup>

Dies per wafer ≈ Wafer area/Die area

 $[1 + {(Defects per unit area of chip \times chip area)/\alpha}]^{\alpha}$ 

This is an empirical estimation of yield;  $\alpha$  is a parameter that roughly captures the complexity of the manufacturing process

- Nonlinear relation to area and defect rate
  - Wafer cost and area are fixed
  - Defect rate determined by manufacturing process
  - Die area determined by architecture and circuit design

## Summary: Elements of a Computer

- \* Memory (array of bytes) contains
  - \* The program, which is a sequence of instructions
  - \* The program data → variables and constants
- \* The program counter (PC) points to an instruction in a program
  - \* After executing an instruction, it points to the next instruction by default
  - \* A branch instruction makes the PC point to another instruction (not in sequence)
- CPU (Central Processing Unit) contains
  - Program counter, instruction execution units, arithmetic logic unit (ALU)

# Instruction Set Architecture (ISA)

 The set of machine-level instructions in a particular CPU implementation is called *Instruction Set*

- Goals of Instruction Set:
  - ◆Software must be able to compute anything in a reasonable number of steps using the instructions in the instruction set
- Different CPUs implement different sets of instructions

# Features of Instruction Set Archiecture (ISA)

Collection of Assembly-level or Machine-level (M/L) instructions, which are executable by the hardware

An example of M/L instruction: addi \$t0, \$s1, 5

ор	s1	t0	Immediate	
6 bits	5 bits	5 bits	16 bits	
001000	10001	01000	00000000000101	

Total length of the instruction – 16 bits; opcode: 6 bits; t0, t0, t0, t0

Add 5 to the content of \$s1 and save the result in \$t0

# Features of Instruction Set Archiecture (ISA)

**Instruction format:** length (how many bits – fixed or variable?), format, fields, opcodes, register specifications, how many operands/memory addresses specified?

Size of the logical address space?

**Number of instructions?** – determines #bits in op-code.

**Instruction types?** – arithmetic (integer/floating point), logical, data transfer, branch, procedure calls, bit-shifting

**Addressing modes:** immediate, direct, register, displacement, scaled, indirect; addressing granularity (word-level, byte-level?)

**Others:** Orthogonality, Completeness, Alignment (Big-Endian/Little-Endian)

ISA governs both hardware implementation (below) and compiler design (up)

#### Performance Issues

- -- CPU-Performance Equation
- -- Amdahl's Law

#### What Affects Performance?

- Algorithm
  - Determines number of operations executed
- Programming language, compiler, architecture
  - Determine number of machine instructions executed per operation →depends on ISA
- Processor and memory system
  - Determine how fast instructions are executed
- I/O system (including OS)
  - Determines how fast I/O operations are executed



### Response Time and Throughput

- Response time
  - How long it takes to do a task
- Throughput
  - Total work done per unit time
    - e.g., tasks/transactions/... per hour
- How are response time and throughput affected by
  - Replacing the processor with a faster version?
  - Adding more processors?
- We'll study their estimation



• Response time: time between submission of a job (program P) and its completion (depends on overall system load)

#### This includes

- --- I/O
- --- Operating system time for managing programs, compile time, etc.
- --- **CPU-time** that includes time for executing the machine code for P, memory access time, procedure calls, and system time spent on P.
- Performance is proportional to the *inverse* of the CPU time.

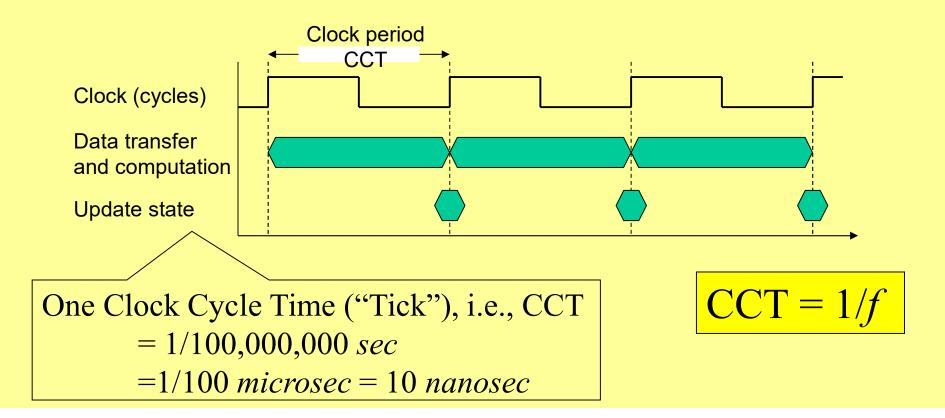
# What determines the execution time of a machine/assembly-level program P when run on a machine M?

- P consists of a number of machine-level instructions (IC instruction count);
- Each machine instruction requires several clock cycles to complete (CPI average number of clock cycles per instruction);
- Each clock cycle has certain time period
   (CCT clock cycle time)

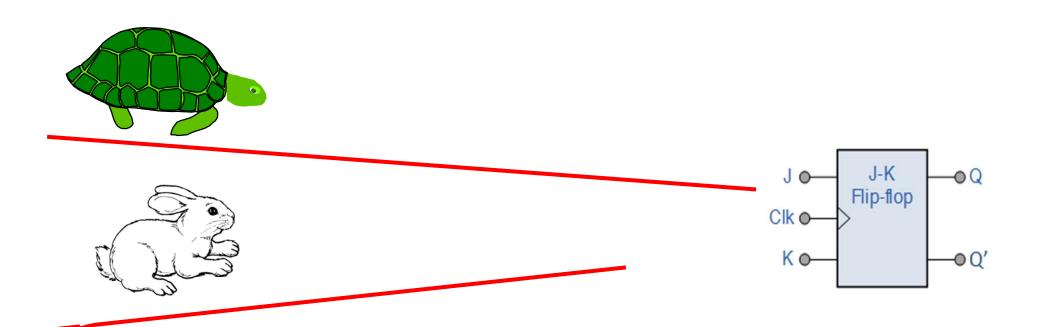
Thus, CPU-time =  $IC \times CPI \times CCT$ 

# CPU Clocking

- CPUs are driven by constant-rate system clocks:
  - 100 MHz clock frequency (f) means the system clock ticks 100 million times every second:



#### **Clock Timing**



Clock period should be large enough to accommodate delays along critical paths in the circuit (longest ones); but not too large – system slows down unnecessarily

#### **CPU Time**

 $\label{eq:cpu} \begin{aligned} & \text{CPU Time} = \text{CPU Clock Cycles} \times \text{Clock Cycle Time} \\ & = \frac{\text{CPU Clock Cycles}}{\text{Clock Rate}} \end{aligned}$ 

- Performance improved by
  - Reducing number of clock cycles
  - Increasing clock rate
  - Hardware designer must often trade off clock rate against cycle count



# **CPU Time Example**

- Computer A: 2GHz clock, 10s CPU time
- Designing Computer B
  - Aim for 6s CPU time
  - Can do faster clock, but causes 1.2 × clock cycles
- How fast must Computer B clock be?

$$\begin{aligned} \text{Clock Rate}_{\text{B}} &= \frac{\text{Clock Cycles}_{\text{B}}}{\text{CPU Time}_{\text{B}}} = \frac{1.2 \times \text{Clock Cycles}_{\text{A}}}{6\text{s}} \\ \text{Clock Cycles}_{\text{A}} &= \text{CPU Time}_{\text{A}} \times \text{Clock Rate}_{\text{A}} \\ &= 10\text{s} \times 2\text{GHz} = 20 \times 10^9 \\ \text{Clock Rate}_{\text{B}} &= \frac{1.2 \times 20 \times 10^9}{6\text{s}} = \frac{24 \times 10^9}{6\text{s}} = 4\text{GHz} \end{aligned}$$

#### **Instruction Count and CPI**

Clock Cycles = Instruction Count × Cycles per Instruction

CPU Time = Instruction Count × CPI × Clock Cycle Time

= Instruction Count × CPI Clock Rate

- Instruction Count for a program
  - Determined by program, ISA and compiler
- Average cycles per instruction
  - Determined by CPU hardware
  - If different instructions have different CPI
    - Average CPI affected by instruction mix



## **CPI Example**

- Computer A: Cycle Time = 250ps, CPI = 2.0
- Computer B: Cycle Time = 500ps, CPI = 1.2
- Same ISA
- Which is faster, and by how much?

$$\begin{aligned} \text{CPU Time}_{A} &= \text{Instruction Count} \times \text{CPI}_{A} \times \text{Cycle Time}_{A} \\ &= \text{I} \times 2.0 \times 250 \text{ps} = \text{I} \times 500 \text{ps} & \text{A is faster....} \end{aligned}$$
 
$$\begin{aligned} \text{CPU Time}_{B} &= \text{Instruction Count} \times \text{CPI}_{B} \times \text{Cycle Time}_{B} \\ &= \text{I} \times 1.2 \times 500 \text{ps} = \text{I} \times 600 \text{ps} \end{aligned}$$
 
$$\begin{aligned} &= \text{CPU Time}_{B} \\ &= \text{CPU Time}_{A} \end{aligned}$$
 
$$\begin{aligned} &= \frac{\text{I} \times 600 \text{ps}}{\text{I} \times 500 \text{ps}} = 1.2 \end{aligned}$$
 ...by this much

#### **CPI in More Detail**

 If different instruction classes take different numbers of cycles

Clock Cycles = 
$$\sum_{i=1}^{n} (CPI_i \times Instruction Count_i)$$

Weighted average CPI

$$CPI = \frac{Clock \ Cycles}{Instruction \ Count} = \sum_{i=1}^{n} \left( CPI_i \times \frac{Instruction \ Count_i}{Instruction \ Count} \right)$$

Relative frequency

# **CPI Example**

 Alternative compiled code sequences using instructions in classes A, B, C

Class	А	В	С
CPI for class	1	2	3
IC in sequence 1	2	1	2
IC in sequence 2	4	1	1

- Sequence 1: IC = 5
  - Clock Cycles= 2×1 + 1×2 + 2×3= 10
  - Avg. CPI = 10/5 = 2.0

- Sequence 2: IC = 6
  - Clock Cycles= 4×1 + 1×2 + 1×3= 9
  - Avg. CPI = 9/6 = 1.5

# **Performance Summary**

The BIG Picture: CPU Performance Equation

**CPU-time = IC** 
$$\times$$
 **CPI**  $\times$  **CCT**

- Performance depends on
  - Algorithm: affects IC, possibly CPI
  - Programming language: affects IC, CPI
  - Compiler: affects IC, CPI
  - Instruction set architecture: affects IC, CPI, CCT
  - CPI is also affected by memory hierarchy, pipelining; CCT is affected by logic design, technology

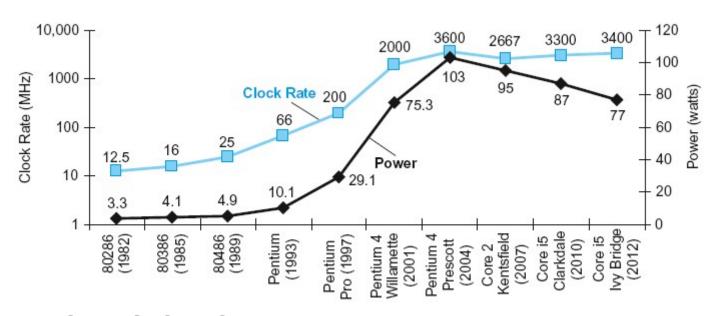
#### MIPS as Performance Measure

**MIPS** = Millions of Instructions per Second

= Instruction Count (IC) of a program P

Execution time of P in seconds × 10<sup>6</sup>

#### **Power Trends**



In CMOS IC technology

Power = Capacitive load × Voltage<sup>2</sup> × Frequency

×30

×1000

# Reducing Power

- Suppose a new CPU has
  - 85% of capacitive load of old CPU
  - 15% voltage and 15% frequency reduction

$$\frac{P_{\text{new}}}{P_{\text{old}}} = \frac{C_{\text{old}} \times 0.85 \times (V_{\text{old}} \times 0.85)^2 \times F_{\text{old}} \times 0.85}{C_{\text{old}} \times V_{\text{old}}^2 \times F_{\text{old}}} = 0.85^4 = 0.52$$

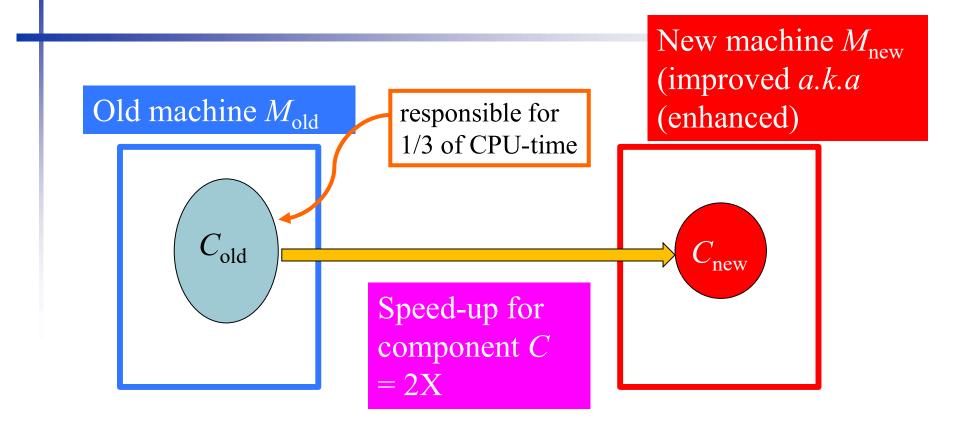
- The power wall
  - We can't reduce voltage further
  - We can't remove more heat
- How else can we improve performance?



How to enhance performance of a machine by "Enhancement"?

→ Amdahl's Law

### **Example: Improving performance in steps**

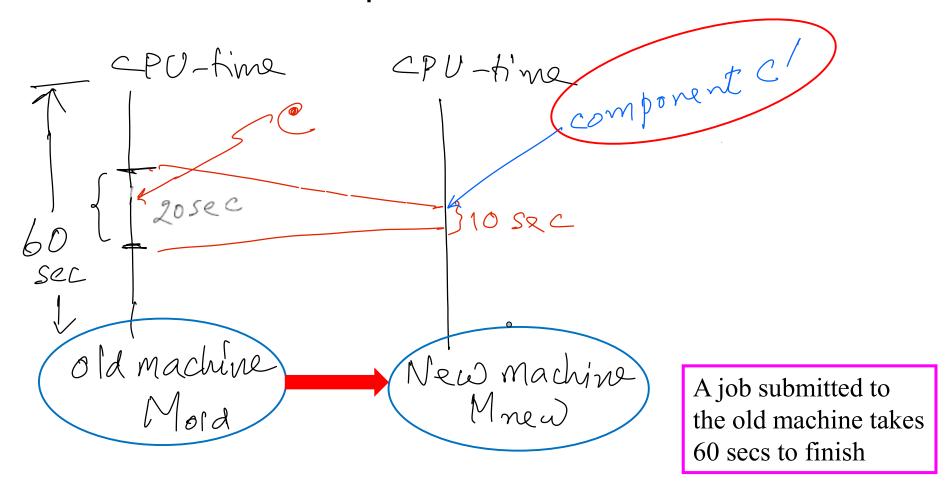


Question: What is the overall speed-up of  $M_{new}$  w.r.t.  $M_{old}$ ?



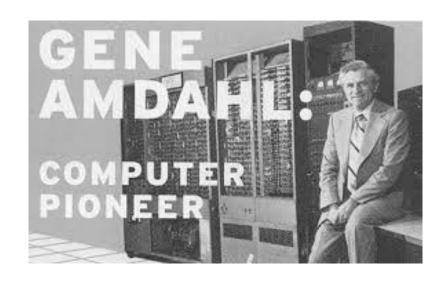
### Gradual enhancement of resources for speed-up

Let C be a component (e.g., adder) of an old machine, which is improved to C' in a new machine



#### Gradual enhancement of resources for speed-up

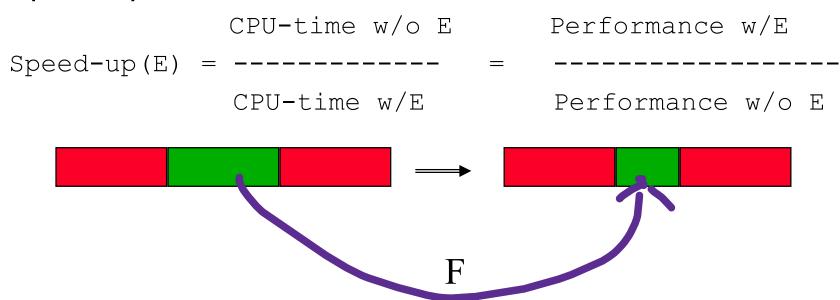
Question; what is the overall Speed-up? The general result concerning the overall speed-up, when a component of the old machine is enhanced is captured in "Amdahl's Law"



Gene Amdahl (1922-2015)

### **Amdahl's Law**

#### Speed-up due to enhancement E:



Suppose that enhancement E accelerates a fraction F of the task by a factor S, and the remainder of the task is unaffected

### **Amdahl's Law**

- Law of diminishing return: unaffected fraction will determine the limiting case!
- Improvement of larger fraction will yield higher overall speed-up → Make the common case faster

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### Example: Amdahl's Law

- Floating-point (FP) instructions are improved to run
   2X in a new machine
- 10% of actual instructions are FP

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   2X in a new machine
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CPU-time<sub>new</sub> = CPU-time<sub>old</sub> × 
$$(0.9 + 0.1/2) = 0.95 \times CPU$$
-time<sub>old</sub>

$$Speed-up_{overall} = \frac{1}{0.95} = 1.053$$

• For FP Speed-up 100X, Speed-up<sub>overall</sub> = 1.109

## Pitfall: Amdahl's Law

 Improving an aspect of a computer and expecting a proportional improvement in overall performance

$$T_{\text{improved}} = \frac{T_{\text{affected}}}{\text{improvement factor}} + T_{\text{unaffected}}$$

- Example: multiply accounts for 80s/100s
  - How much improvement in multiply performance to get 5× overall?

$$20 = \frac{80}{n} + 20$$
 • Can't be done!

Corollary: make the common case fast

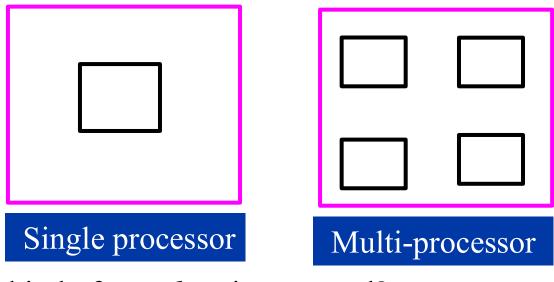
## Multiprocessors

- Multicore microprocessors
  - More than one processor per chip
  - Clock frequency limited
- Requires explicitly parallel programming
  - Compare with instruction level parallelism
    - Hardware executes multiple instructions at once
    - Hidden from the programmer
  - Hard to do
    - Programming for performance
    - Load balancing
    - Optimizing communication and synchronization
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### Parallelism: Adding multiple processors

Pessimistic view



1. 
$$X := A + B$$

2. 
$$Y := X * C$$

$$3. D := B + C$$

Statement 1 & 2 cannot be executed in parallel (serial); 1 and 3 can be executed in parallel;

What kind of *speed-up* is expected?

s: time needed by a single processor on serial parts of P;

p: time needed by a single processor on the parts of P that can be parallelized; s + p = 1

By **Amdahl's Law**, the speed-up in the multi-core processor  $= 1/(s + p/N) \Longrightarrow If s = 10\%$ , the maximum speed-up is 10X

# Parallelism: Adding multiple processors Amdahl's Law *versus* Gustavson-Barsis Law

By Amdahl's Law, the speed-up in the multi-core processor = 1/(s + p/N), i.e., it is limited by s, increasing N does not help.

In Amdahl's Law, the size of the job is assumed to be constant; however, multi-cores can solve a large job in the same time. This leads to Gustavson-Barsis Law:

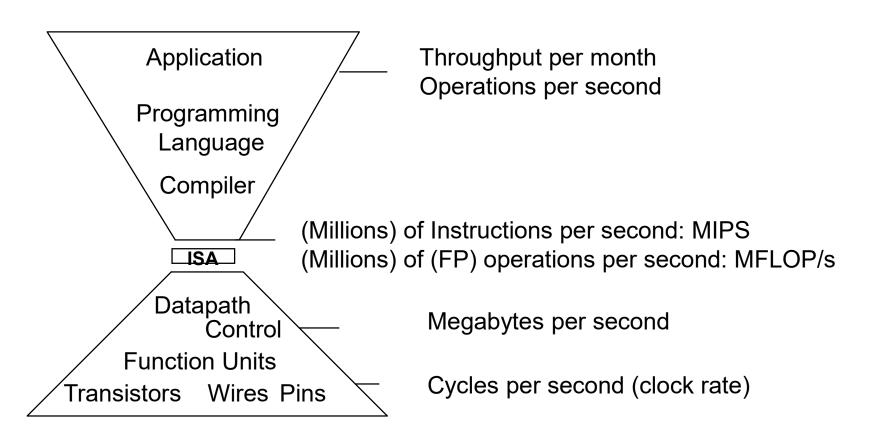
Let s and p represent serial and parallel time on N-core system; The single core processor would take  $s + (p \times N)$  to perform the same job P.

Hence, Speed-up = 
$$(s + (p \times N))/(s + p) = (s + (p \times N))$$

Thus, by Gustavson-Barsis Law, speed-up grows with N

Optimistic view

### **Metrics of Performance**



### ISA: RISC versus CISC

- RISC (Reduced Instruction Set Computing)
  - Keep the instruction set small and simple
  - Fixed instruction lengths
  - Load-store instruction sets
  - Limited addressing modes
  - Limited operations
  - CPI low

Advantage: makes the hardware simple and fast; decoding simple; pipelining easy

Performance is optimized focused on software

# RISC Example: MIPS, Sun SPARC, HP PA-RISC, IBM PowerPC, Alpha, RISC-V, ARM

- CISC Complex Instruction Set Computer
  - complex instructions
  - different lengths
  - can handle multiple operands
  - complex functionalities

Code size becomes smaller; pipelining becomes harder; compiler design is more involved

Examples of CISC processors are Intel x86 CPUs, System/360, VAX, AMD

# **Eight Great Ideas**

Amdahl's law

- Design for Moore's Law
- Use abstraction to simplify design
- Make the common case fast
- Performance via parallelism
- Performance via pipelining
- Performance via prediction
- Hierarchy of memories
- Dependability via redundancy













# **Concluding Remarks**

- Cost/performance is improving
  - Due to underlying technology development
- Hierarchical layers of abstraction
  - In both hardware and software
- Instruction set architecture
  - The hardware/software interface
- Execution time: the best performance measure
- Power is a limiting factor
  - Use parallelism to improve performance



### **Next Class**

