

DBS.CO.OSMOS.BC.4/33.01.001/2000-2001 name=Reference>

Also Refer

DBS.CO.OSMOS.BC.4/33.01.001/2000-2001

October 18, 2000

Chairmen/Chief Executive Officers,
All Scheduled Commercial Banks
(excl. RRBs)

Dear Sir,

Supervisory Reporting System - Off-site Monitoring and Surveillance

The supervisory reporting system comprising 7 DSB returns in case of Indian banks and 5 DSB returns in case of foreign banks were first introduced with Deputy Governor, Shri S. P. Talwar's D.O. letter No. DOS.PS.SRS.Bks.01/15.02.800/94-95 dated February 28, 1995. Subsequently through subsequent circulars some new returns were introduced and some existing returns were modified as detailed in Annexure I. The details of the returns introduced, the periodicity and currently effective due dates are given in Annexure II.

As part of ongoing technological upgradation, the present OSMOS database at RBI has now been upgraded to an RDBMS environment with a built-in data-warehousing component. In response to the demand from many banks to pass on a validation package to them, a module for banks has now been developed which will enable banks to enter the returns data, validate and generate files for RBI as also store the data for future reference at their end.

A workshop on the new software developed for data capturing and validation was conducted at Banker's Training College, Mumbai between August 7, 2000 and August 17, 2000 to familiarize banks with the new software wherein it was indicated that the software package alongwith help documents and guidance note would be forwarded in due course.

We now forward herewith a CD (Compact Disc) containing the new software package alongwith the Help documents, Guidance note for preparing the returns and the installation procedure. The minimum hardware configuration required by banks to support this package has already been communicated to banks in July this year. All the returns for the quarter ended September 2000 and subsequently are to be submitted using the new package. For the quarter ended September 2000, the returns in the existing formats should first be submitted within the prescribed time frame. From the quarter ending December 2000, only the returns prepared using the new software package need to be submitted. It may be ensured that the contents of the circular are brought to the attention of all the departments compiling and forwarding the returns. The software can be loaded on more than one computer as per the requirements of the individual banks. However, the returns need to be consolidated and validated at a single point before they are finally dispatched to RBI.

Initially it is envisaged that all the returns will be sent by floppy diskettes as is the present practice. However, those banks which have INFINET network connectivity may forward the softcopy of the returns through the INFINET network to OSMOS@rbi.org.in and the hard copies of the returns separately.

Acknowledgement for this circular and its enclosures may be sent to Shri Aditya Narain, Deputy General Manager, Department of Banking Supervision, OSMOS Division, Reserve Bank of India, World Trade Centre I, III Floor, Cuffe Parade, Mumbai 400 005.

Queries pertaining to the software package and returns may be addressed to OSMOS Division at the above address or to e-mail address OSMOS@rbi.org.in.

This circular has been prepared to bring all the extant instructions relating to submission of DSB returns (both first and second tranche), Bank profile, Balance sheet analysis and DSB (overseas) returns under one circular to facilitate the banks in compiling and submitting the above returns and supersedes any previous instruction contained in the circulars given in Annexure I.

Yours faithfully,

Sd/-

(M. R. Srinivasan)
Chief General Manager

Annexure - I**Details of circulars issued by OSMOS Division**

Sl. No.	Circular No.	Date	Details
1.	<u>DOS.PS.SRS.Bks.01/15.02.800/94-95</u>	February 28, 1995	Introduction of first tranche of DSB Returns
2.	<u>OSM.SRS.Bks.72/15.02.800/95-96</u>	November 23, 1995	Formal introduction of first tranche of DSB returns
3.	<u>OSM.SRS.Bks.208/15.02.800/96-97</u>	March 21, 1997	Forwarding Guidance Note for

			Tranche I returns
4.	<u>OSMSO.BC.33.01.001/43/98-99</u>	December 16, 1998	Modifications in DSB Returns
5.	<u>OSMOS/Circ.2/33.01.001/15/98-99</u>	July 17, 1999	Introduction of second tranche returns
6.	<u>OSMOS.BC.7/33.01.001/99-2000</u>	September 15, 1999	Introduction of Sensitive sector return
7.	<u>OSMOS.BC.1/33.01.001/2000-01</u>	July 5, 2000	Consolidation of some returns, Introduction of report on Operations of Indian Subsidiaries and introduction to the new software package.
8.	<u>DOS.PS.OSS.Bks.BD.1/95</u>	February 28, 1995	Introduction of Bank Profile
9.	<u>DBOD.No.BP.BC.3/21.04.109/99</u>	February 8, 1999	Introduction of Balance Sheet Analysis
10.	<u>DBS.No.668/13.12.001/1999-2000</u>	December 17, 1999	Introduction of revised DSB-O returns

Annexure - II**Details of the returns introduced, the periodicity & due date**

Return Name	Details	Periodicity	Reporting day	Due Date
Tranche I				
DSB I	Report on Assets, Liabilities & Exposures (ALE)	Quarterly	Last working day	Last day of the following month for Public Sector Banks & Old Private Banks/Within 21 days from the report date for New Private Banks and Foreign Banks
DSB II	Report on Capital Adequacy (RCA)	-do-	-do-	-do-
DSB III	Report on Operating Results (ROR)	-do-	-do-	-do-
DSB IV	Report on Asset Quality (RAQ)	-do-	-do-	-do-
DSB V	Report on Large Credits (RLC)	-do-	-do-	-do-
DSB VI	Report on connected lendings (RCL) @	-do-	-do-	-do-
DSB VII	Report on Ownership and control(ROC) @	Half-yearly (September & March)	-do-	-do-
All the above returns are to be furnished at the end of March every year after audit of accounts.				
@ These returns are to be submitted by Indian banks only.				

Return Name	Details	Periodicity	Reporting day	Due Date
Tranche II				
DSB VIII	Report on Structural Liquidity (STL)	Quarterly	Last reporting Friday – In addition for the March quarter, return has to be submitted as on the last working day also	Last day of the following month.
DSB IX	Report on Interest Rate Sensitivity (Rupee) (IRS)	Quarterly	-do-	-do-
DSB X	Report on Maturity and Position (Forex)* (MAP)	Quarterly	-do-	-do-

DSB XI	Report on Interest Rate Sensitivity (Forex)* (SIR)	Quarterly	-do-	-do-
DSB XII	Report on the Operation of Indian Subsidiaries (RIS) #	Quarterly	-do-	-do-

* These returns are to be submitted invariably in respect of four major currencies, viz. US Dollar, Pound Sterling, Euro and Japanese Yen. Returns in respect of other currencies may be submitted provided the transactions in the currency concerned exceed 5% of the total foreign exchange turnover.

To be submitted by Indian banks with domestic subsidiaries.

Return Name	Details	Periodicity	Reporting day	Due Date
Other Returns				
BP	Bank Profile (RBP)	Annual (Audited)	Last working day of the financial year	Within 2 weeks from the date of signing of Balance Sheet
BS	Balance Sheet (BSA)	-do-	-do-	-do-

Return Name	Details	Periodicity	Reporting day	Due Date
DSB-O Returns (These returns are to be submitted by Indian banks with overseas branches)				
DSB-O-I	Report on Assests, Liabilities and Exposures (ALO)	Quarterly	Last working day of the quarter	With in 21 days from the report date
DSB-O-2	Report on structural Liquidity (SSL)	-do-	-do-	-do-
DSB-O-3	Report on Problem Credits (PCI)	-do-	-do-	-do-
DSB-O-4	Report on Large Exposures (RLE)	-do-	-do-	-do-
DSB-O-5	Report on Country Exposures (CEM)	-do-	-do-	-do-
DSB-O-6	Report on Profitability (ROP)	-do-	-do-	-do-
DSB-O-7	Report on Frauds (DOF)	-do-	-do-	-do-

Refer

DBS.No.OSMOS.BC.12/33.01.001/12/2002-03 dt 15-02-03
DBS.CO.No.5/OSMOS/33.01.001/2002-03 dt 12-10-02
DBS.CO.OSMOS.BC.2/33.01.001.2001-02 dt 27-09-01
DBS.No.BC.OSMOS.11/33.01.001/46/2000-01 dt 19-04-01
DBS.FBC.BC.6/13.12.001/2000-2001 dt 10-11-00