



भारतीय रिज़र्व बैंक
RESERVE BANK OF INDIA
www.rbi.org.in

DBR.No.DEA Fund Cell.3044 / 30.01.002/2017-18

September 27, 2017

The Managing Director & CEO/
Chief Executive Officers
All Scheduled Commercial Banks (including RRBs) and LABs /
Urban Co-operative Banks / State Co-operative Banks /
District Central Co-operative Banks

Dear Sir/Madam,

The Depositor Education and Awareness Fund Scheme, 2014 – Section 26A of the Banking Regulation Act, 1949- Operational Guidelines

Please refer to our circular DBOD.No.DEA Fund Cell.BC.114 /30.01.002/2013-14 dated May 27, 2014 regarding operating procedure to be followed by banks for crediting the Fund and submission of returns duly audited by the auditors. Subsequently vide circular DBR.No.DEA Fund Cell.BC.105/30.01.002/2014-15 dated June 18, 2015, we had advised about clubbing of Form I and Form II as 'Form I & II'.

2. With a view to further streamlining the operations of the Depositor Education and Awareness Fund (hereinafter referred to as the Fund), the Reserve Bank has developed a separate dedicated module named "DEA Fund Services" under its E-Kuber portal. The said module envisages, *inter alia*, proper system based validation and controls on funds transfer and claim reimbursement procedures with regard to the Fund.

3. The key features of the procedure for transferring funds and claiming reimbursement under the revised module are detailed in Annex 1.

4. A user manual, designed by the Reserve Bank in this regard, covering step by step procedure for providing necessary guidance to banks on the use of DEA Fund module under E-kuber portal is given in Annex 2.

बैंकिंग विनियमन विभाग, केंद्रीय कार्यालय, 12वीं और 13वीं मंज़िल, केंद्रीय कार्यालय भवन, शहीद भगत सिंह मार्ग, मुंबई 400001

टेलीफोन /Tel No: 022- 22700773 फैक्स/Fax No: 022- 22700773

Department of Banking Regulation, Central Office, 12th & 13th Floor, Central Office Bhavan, Shahid Bhagat Singh Marg, Mumbai -

400001 email: dbodeafcell@rbi.org.in

tel No:022- 22700773 Fax No: 022- 22700773

5. Banks are advised to make all efforts to ensure correctness of the amount transferred to and subsequently claimed from the Fund. Persistent errors in transfer of funds and filing refund claims will be viewed seriously and may invite penal action from RBI.
6. All other instructions on the subject issued vide RBI circulars DBOD.No.DEA Fund Cell.BC.114 /30.01.002/2013-14 dated May 27, 2014 and DBR.No.DEA Fund Cell.BC. 105/30.01.002/2014-15 dated June 18, 2015 remain unchanged.

Yours faithfully

(Prakash Baliarsingh)
Chief General Manager

Encls: – as above

Key features

1. Procedure for crediting the Fund and submission of Form I¹ online

- 1.1 In order to access the new system, all member banks (sponsor banks) are required to first register themselves under the DEA Fund module on E-Kuber portal. For this purpose, sponsor banks were advised vide our letter DBR.DEA Fund Cell. No. 13322/30.01.002/2016-17 dated May 11, 2017 to forward us two e-mail ids to complete the registration process. Those sponsor banks that may not have completed the registration process are advised to do so immediately. Any communication from RBI will be sent to those e-mail ids only.
- 1.2 Banks which do not have access to E-Kuber portal (non-member banks) have also been separately advised vide our letter DBR.DEA Fund Cell No. 13323/30.01.002/2016-17 dated May 11, 2017 to forward two e-mail ids to their respective sponsor banks to enable them to complete the registration process. Those non-member banks that may not have completed the registration process with their sponsor banks are advised to do so immediately. Any communication from RBI will be sent to those e-mail ids only.
- 1.3 The window for transferring the unclaimed deposits to the Fund shall continue to be kept open during the last two working days of every month, as hitherto. Only one deposit will be allowed by a member/non member bank per month.
- 1.4 Non-member banks are advised to transfer the unclaimed deposits to their sponsor banks (through normal banking channel), sufficiently in advance of the due date of the transfer of such deposits to RBI by the sponsor bank through E-Kuber portal. On receipt of the amount transferred by sponsor banks to the Fund, an

¹ ‘Form I & II’ have been modified and merged into new ‘Form I’. The revised format of Form I is enclosed as Annex 3.

autogenerated acknowledgement receipt will be sent to the concerned banks on their registered e-mail ids.

- 1.5 At the end of every month, the system will auto generate **Form I** and send it to the registered e-mail ids of the banks, including those banks which have not transferred any deposits. Banks (or sponsor banks on behalf of their member banks), after verifying the correctness of Form I, are required to submit the same online through the Portal.
- 1.6 The auto generated Form I is confirmed only if the bank agrees with the balances in Form I by ticking “I Agree” and “Form has been duly audited by concurrent auditor” check-boxes on the portal screen. If bank does not agree then it must fill the rectification form duly audited by concurrent auditor and send it to RBI, in original, by post.
- 1.7 The bank should immediately bring to the notice of the Reserve Bank any discrepancy observed by them in Form I with regard to the details of transfers made, including non-receipt of confirmation messages.

2. Procedure for claim refund – Form II

- 2.1 The banks should submit refund claims to RBI through E-Kuber portal by filling up **Form II²**.
- 2.2 After submission of the claim, a printout of the auto generated Form II, duly signed by the authorized officials and certified by the concurrent auditors of the bank, shall be sent by the concerned bank to RBI, in original, by post.
- 2.3 The refund claim will be examined by RBI and, if found in order, the amount claimed will be credited to the account of member bank maintained with RBI. The claim authorization / rejection receipt will be sent to concerned bank on their registered e-mail ids.

² Since there was considerable overlap between Form III and Form IV, both have been merged into a new form, “Form II”. The revised format of Form II is enclosed as Annex 4.

2.4 Non-member banks will submit their claim details to their sponsor banks which, in turn, will submit the same on the E-Kuber portal on behalf of the concerned non-member banks.

2.5 The refund claims of banks will be settled by RBI only after receipt of true copy of the Resolution of the Board of Directors (in Hindi or English only) authorizing two officials designated as authorized signatories who would operate the account jointly, specimen signature card in prescribed formats and all previous **Form I** of the concerned bank.

2.6 The banks shall lodge only one refund claim in a month through the portal. Banks shall not include the outstanding refund claims in the following month's refund claim. In other words, under no circumstances, shall a refund claim for an unclaimed deposit paid by the bank appear in more than one refund claim.

2.7 The refund claims made by the banks will be processed by the Reserve Bank purely based on the information provided by the banks in **Form II**. Therefore, the onus of making correct refund claims from the Fund in all respects will lie solely on the banks concerned. In case, any discrepancy at any time is observed by RBI or auditors in any refund claim made by a bank, appropriate action under the relevant provisions of the Banking Regulation Act, 1949 would be taken against the bank concerned.

2.8 While banks would not be required to provide the customer-wise details in case of refund claims in **Form II** banks would, however, be required to maintain the customer-wise details of claims at their end, duly certified by their concurrent auditors, which Reserve Bank may seek at a later stage/during supervisory review process.

3. Submission of reconciliation certificate – Form III

3.1 Banks shall also submit a reconciliation certificate **Form III** (Annex 5) signed by two senior officers, other than those involved in transfer and refund claims for unclaimed deposits, and the concurrent auditors of the bank, certifying agreement of the balances of the banks as shown in their general ledger with the amount reflected in the DEA Fund account of RBI at the end of June and December every

year. This certificate must reach RBI, in original, not later than July 31 and January 31 respectively. The banks for which reconciliation certificate is not received by due dates, further settlement of claims will be withheld until receipt of the reconciliation certificates.

3.2 Submission of Yearly return on the position of unclaimed deposits (**Form V**) of the banks has been discontinued.

4. The revised returns shall be submitted by banks from the date of issuance of this letter. However, the banks shall ensure that all returns as stipulated earlier and as applicable to the period prior to the issuance of this letter have been submitted to the Reserve Bank.

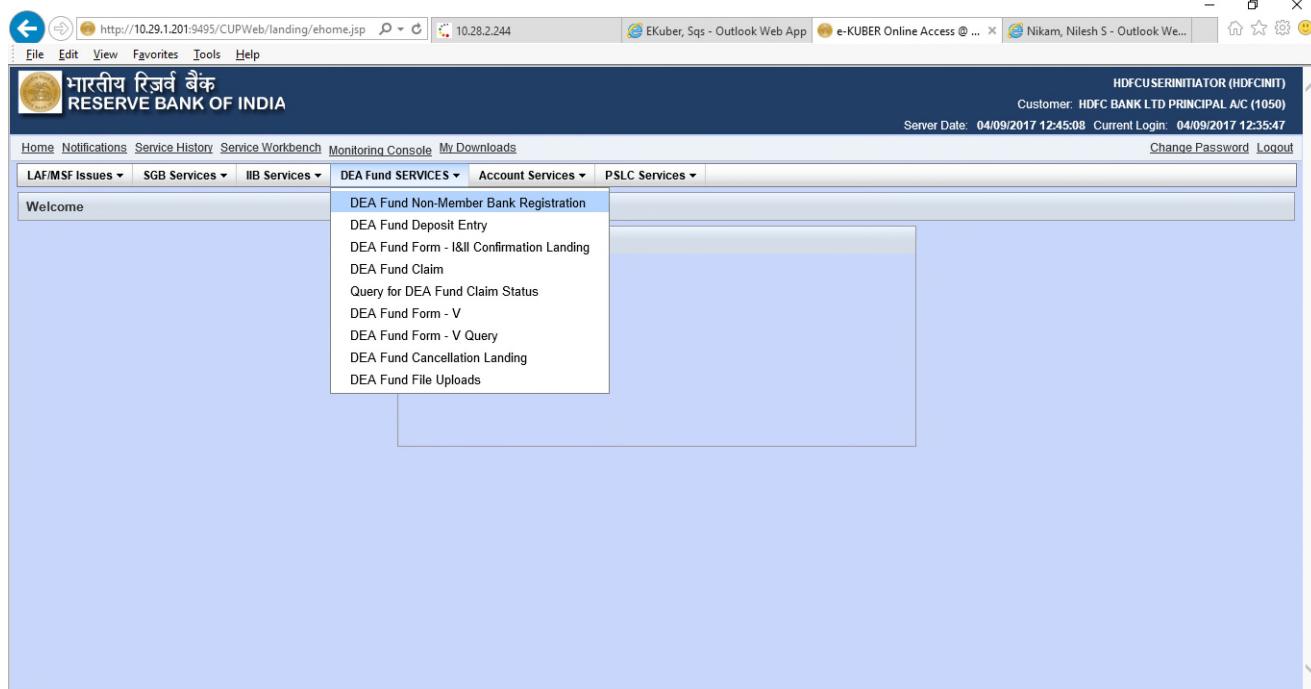
**Depositor Education and Awareness Fund Cell
Department of Banking Regulation
Reserve Bank of India, Mumbai**

DEA Fund FLOW THROUGH PORTAL-USER GUIDE FOR BANKS

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1. Non-member bank registration

- Member banks (i.e. banks having current account with RBI) will be able to login to E-Kuber portal through “maker”.
- Go to “DEA Fund Services” then click “DEA Fund Non-member bank registration”



- Following window will appear

A screenshot of the 'DEA Fund Non-Member Bank Registration (DEAFCD)' form. The form is divided into three steps: Step 1 (Provide Payment Details), Step 2 (Verify & Confirm), and Step 3 (Execution Status). Step 1 is active, showing a 'Customer' field with '1050 HDFC BANK LTD PRINCIPAL A/C' selected. Below it is a 'DEA Fund Code' field with a dropdown menu open, showing a list of bank codes and names. The list includes:

Deaf Code	Bank Name
0001	STATE BANK OF INDIA
0002	STATE BANK OF BIKANER & JAIPUR
0003	STATE BANK OF HYDERABAD
0004	STATE BANK OF MYSORE
0005	STATE BANK OF PATIALA
0006	STATE BANK OF TRAVANCORE
0007	ALLAHABAD BANK
0008	ANDHRA BANK
0009	BANK OF BARODA

At the bottom of the dropdown menu, there are navigation buttons for pages 1 to 2151 and a note stating 'Records from 1 to 9 of 2151'.

- Under “DEA Fund code”, enter the particular bank’s DEA Fund code (or search DEA Fund code from the Lookup i.e. magnifying glass)

- Enter e-mail ids of the non-member banks (more than two e-mail ids may be separated by comma) as given below:

DEA Fund Non-Member Bank Registration (DEAFCD)

Step 1 Provide Payment Details
1 of 3 Input the payment details

Step 2 Verify & Confirm
2 of 3 View entered information and confirm the details.
If you wish to make any changes click edit

Step 3 Execution Status
3 of 3 Note the service reference number generated after execution of the initiated payment

Customer * 1050 HDFC BANK LTD PRINCIPAL A/C

DEA Fund Code * 0251 Hisar District Central Co-op Bank Ltd., Hisar

Member Name Hisar District Central Co-op Bank Ltd., H

Existing Email ID

Email Id * nsnikam@rbi.org.in
dbodeafcell@rbi.org.in

Next Reset

- Click on “next” button

DEA Fund Non-Member Bank Registration (DEAFCD)

Step 1 Provide Payment Details
1 of 3 Input the payment details

Step 2 Verify & Confirm
2 of 3 View entered information and confirm the details.
If you wish to make any changes click edit

Step 3 Execution Status
3 of 3 Note the service reference number generated after execution of the initiated payment

Customer * 1050 HDFC BANK LTD PRINCIPAL A/C

DEA Fund Code * 0251

Member Name Hisar District Central Co-op Bank Ltd.

Existing Email ID

Email Id * nsnikam@rbi.org.in
dbodeafcell@rbi.org.in

Edit Confirm

- Edit e-mail ids, if required else click on “confirm”
- After clicking on “confirm” button, service reference number will be generated as given below

RESERVE BANK OF INDIA

HDFCUSERINITIATOR (HDFCINIT)
Customer: HDFC BANK LTD PRINCIPAL A/C (1050)
Server Date: 04/09/2017 12:50:44 Current Login: 04/09/2017 12:35:47
Change Password Logout

Home Notifications Service History Service Workbench My Downloads

LAF/MSF Issues SGB Services IIB Services DEA Fund SERVICES Account Services PSLC Services

Service request has been forwarded successfully for processing

DEA Fund Non-Member Bank Registration (DEAFCD)

Step 1 of 3 Provide Payment Details Step 2 of 3 Verify & Confirm Step 3 of 3 Execution Status

Execution Status: Successful
Service Reference Number: 2017090400793083
Iteration Serial: 1

Initiate new request

- Go to “Service History” (Top bar) -> to check status of registration by selecting the “Service” in drop down

RESERVE BANK OF INDIA

HDFCUSERINITIATOR (HDFCINIT)
Customer: HDFC BANK LTD PRINCIPAL A/C (1050)
Server Date: 04/09/2017 12:51:05 Current Login: 04/09/2017 12:35:47
Change Password Logout

Home Notifications Service History Service Workbench My Downloads

LAF/MSF Issues SGB Services IIB Services DEA Fund SERVICES Account Services PSLC Services

Service History

Service: DEA Fund Non-Member Bank Registration Service Reference Number:

Start Date: End Date: Minimum Amount: Maximum Amount:

Submit Reset View

Select	Service Reference Number	Account Number	Transaction Amount	Request Date	Current Stage	Current Status
<input checked="" type="radio"/>	2017090400793083		0	27/07/2017	Authorization	Pending Authorization
<input type="radio"/>	2017072100793065		0	21/07/2017	Completion	Executed Successfully
<input type="radio"/>	2017072100793064		0	21/07/2017	Completion	Executed Successfully
<input type="radio"/>	2017053000792979		0	12/04/2017	Completion	Executed Successfully
<input type="radio"/>	2017053000792978		0	12/04/2017	Completion	Executed Successfully
<input type="radio"/>	2017053000792976		0	12/04/2017	Completion	Executed Successfully
<input type="radio"/>	2017052900792974		0	12/04/2017	Completion	Executed Successfully
<input type="radio"/>	2017052600792967		0	12/04/2017	Completion	Executed Successfully
<input type="radio"/>	2017052500792966		0	12/04/2017	Error	Expired

Records from 1 to 9 of 101

- Now Checker (authoriser from the member bank) will login to the portal and will be required to authorize the registration of the Non-member bank as under:
- Go to “Service Workbench” (top bar) -> Select “DEA Fund Non-Member Bank Registration” from the drop down under “Service” and then click on “View”.

http://10.29.1.201:9495/CUPWeb/landing/eserviceworl... 10.28.2.244 EKuber, SqS - Outlook Web App e-KUBER Online Access @ ... Nikam, Nilesh S - Outlook We...

Service Workbench View

DEA Fund Non-Member Bank Registration (DEAFCD)

Service Reference Number *	2017090400793083	Iteration Serial *	
<input type="button" value="Retrieve Details"/>		<input type="button" value="Reset"/>	
Customer *	1050	HDFC BANK LTD PRINCIPAL A/C	
DEA Fund Code *	0251		
Member Name	Hisar District Central Co-op Bank Ltd.		
Existing Email ID			
Email Id *	msnikam@rbi.org.in	dboddeafcell@rbi.org.in	

Workflow Information

Current Stage	User Action	Current Status	Initiated By	Start Time	End Time
Authorization		Pending Authorization	HDFCINIT (HDFCUSERNINITIATOR)	04/09/2017 12:50:42	

Stage Sl	Stage	Start Time	End Time	Action	Transition Stage
1	Initiation	04/09/2017 12:50:42	04/09/2017 12:50:44	Approve	Authorization
1	HDFCINIT (HDFCUSERNINITIATOR)	04/09/2017 12:50:42	04/09/2017 12:50:44	Approve	

Stage Sl	Stage	Start Time	End Time	Action	Transition Stage
2	Authorization	04/09/2017 12:50:45			

- If the details are correct close the view window.
- Click on “Approve” and then “Process”.

http://10.29.1.201:9495/CUPWeb/landing/eserviceworl... 10.28.2.244 EKuber, SqS - Outlook Web App e-KUBER Online Access @ ... Nikam, Nilesh S - Outlook We...

**रिज़र्व बैंक
RESERVE BANK OF INDIA**

Roopa Subramanian (HDFCR1466)
Customer: HDFC BANK LTD PRINCIPAL A/C (1050)
Server Date: 04/09/2017 12:53:35 Current Login: 04/09/2017 12:52:56
Change Password Logout

Home Notifications Service History Service Workbench My Downloads

LAF/MSF Issues SGB Services IIB Services DEA Fund SERVICES Account Services PSLC Services

Service Workbench

Workbench Result View

Service Reference Number	2017090400793083
Action Taken	Approve
Notes	Approved

Records from 1 to 1 of 1

Service request has been forwarded successfully for processing

- Go to service history -> to check status as “Executed successfully”

The screenshot shows the RBI Service History page. At the top, there are navigation links like Home, Notifications, Service History, Service Workbench, My Downloads, and a user info bar for Roopa Subramaniam (HDFCR1466). Below the header, there's a search bar for 'Service Reference Number' and date filters for 'Start Date' and 'End Date'. A table lists 10 entries of 'DEA Fund Non-Member Bank Registration' with columns for Select, Service Reference Number, Account Number, Transaction Amount, Request Date, Current Stage, and Current Status. The status 'Executed Successfully' is highlighted with a red border around the entire row. At the bottom, there's a pagination control showing records from 1 to 9 of 101.

1.1 Email Alert - Non Member Bank registration

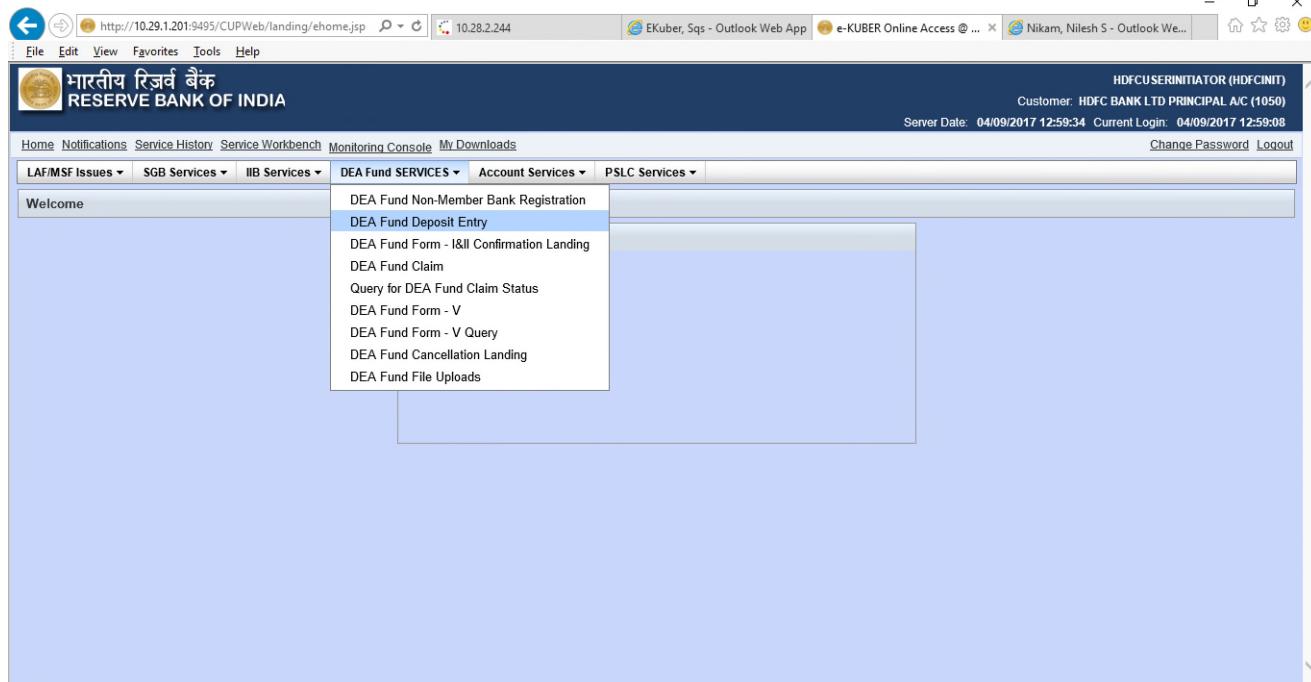
- An auto-generated email will be sent to the bank on their registered e-mail ids

The screenshot shows an Outlook Web App inbox. On the left, there's a sidebar with various folder icons like Favorites, Inbox, Sent Items, Drafts, EKuber, SqS, etc. The main pane displays an email from 'EKUBER HELPDESK <ekuberhelpdesk@rbi.org.in>' with the subject 'Non Member Bank 2216 has been Registered'. The email body contains a message to 'padmanaban.k@intellectdesign.com' and 'Cc: EKuber Portal, EKuber, SqS, Tripathi, Debadatta'. It states that the bank has successfully registered for the DEAF scheme under member bank 0042 (HDFC Bank Ltd). The message is marked as an auto-generated mail. At the bottom, there are cautionary notes about the Reserve Bank of India never sending personal information or offers.

2. DEA Fund Deposit

- Member banks can go to “DEA Fund deposit entry” window in DEA Fund Services on the portal to enter the details of deposits being transferred to DEA Fund Cell in that particular month

(Member banks can do deposit for Non-member banks using the latter's DEA Fund code)



- Enter the DEA Fund code of the bank
- Enter the total amount and total accounts to be transferred for the particular bank in that month.
- Bifurcate these accounts and amount by selecting the deposit category i.e. IB, NIB and OTH (enter in Capital letters only in the box as shown below) and then clicking on ‘ADD’ button.

DEA Fund Deposit Entry (DEAFTRFNEW)

Step 1 of 3	Provide Payment Details	Step 2 of 3	Verify & Confirm	Step 3 of 3	Execution Status																				
Initiate	Repayment Mode	Repayment Mode	View entered information and confirm the details. If you wish to make any changes click edit.	Repayment Mode	Note the service reference number generated after execution of the initiated payment.																				
Funding Account *	105309132105001001(HDFC BANK LTD PRINCIPAL A/C) ▾	Office Code	4 Mumbai Regional Office	Bank Name	Hisar District Central Co-op Bank Ltd., H																				
Available Balance	INR 1,21,80,28,55,808.21	Total Amount	10000	Total Accounts	10																				
Trans action Date *	27/07/2017	Deposit Category	<input type="text"/>	Deposit Category Description	<input type="text"/>																				
Successful execution of the transaction is subject to availability of funds on release of the transaction																									
Bank DEAFund code *	0251	Number Of Accounts	<input type="text"/>	Amount	<input type="text"/>																				
Bank Name *	Hisar District Central Co-op Bank Ltd., H																								
Total Amount	10000																								
Total Accounts	10																								
Account Details																									
Deposit Category	<input type="checkbox"/>	Deposit Category Description	<input type="text"/>																						
Number Of Accounts	<input type="text"/>	Amount	<input type="text"/>																						
<input type="button" value="Add"/> <input type="button" value="Edit"/> <input type="button" value="Delete"/> <table border="1"> <thead> <tr> <th>Select</th> <th>Deposit Category</th> <th>Deposit Category Description</th> <th>Number Of Accounts</th> <th>Amount</th> </tr> </thead> <tbody> <tr> <td><input type="checkbox"/></td> <td>IB</td> <td>Interest Bearing</td> <td>5</td> <td>5000</td> </tr> <tr> <td><input type="checkbox"/></td> <td>NIB</td> <td>Non Interest Bearing</td> <td>3</td> <td>3000</td> </tr> <tr> <td><input type="checkbox"/></td> <td>OTH</td> <td>Others</td> <td>2</td> <td>2000</td> </tr> </tbody> </table>						Select	Deposit Category	Deposit Category Description	Number Of Accounts	Amount	<input type="checkbox"/>	IB	Interest Bearing	5	5000	<input type="checkbox"/>	NIB	Non Interest Bearing	3	3000	<input type="checkbox"/>	OTH	Others	2	2000
Select	Deposit Category	Deposit Category Description	Number Of Accounts	Amount																					
<input type="checkbox"/>	IB	Interest Bearing	5	5000																					
<input type="checkbox"/>	NIB	Non Interest Bearing	3	3000																					
<input type="checkbox"/>	OTH	Others	2	2000																					
Total Grid Accounts	10																								
Total Grid Amount	10,000.00																								
Reason *	<input type="text"/> <input type="text"/> <input type="text"/>																								
<input type="button" value="Next"/>	<input type="button" value="Reset"/>																								

- Click on “next” button
- Click on “Edit”, if required, else “confirm” button

LAF/MSF Issues ▾ SGB Services ▾ IIB Services ▾ DEA Fund SERVICES ▾ Account Services ▾ PSLC Services ▾

DEA Fund Deposit Entry (DEAFTRFNEW)

Step 1 Provide Payment Details Input the payment details	Step 2 Verify & Confirm View entered information and confirm the details. Step 3 If you wish to make any changes click edit	Step 3 of 3 Execution Status Note the service reference number generated after execution of the initiated payment																				
Initiation Mode																						
Funding Account * <input type="text" value="105309132105001000 (HDFC BANK LTD PRINCIPAL A/C)"/>																						
Office Code <input type="text" value="4 Mumbai Regional Office"/>																						
Available Balance <input type="text" value="INR 1,21,80,28,55,806.21"/>																						
Trans action Date * <input type="text" value="27/07/2017"/>																						
Successful execution of the transaction is subject to availability of funds on release of the transaction																						
Bank DEAFund code * <input type="text" value="0251"/>																						
Bank Name * <input type="text" value="Hesar District Central Co-op Bank Ltd."/>																						
Total Amount <input type="text" value="10000"/>																						
Total Accounts <input type="text" value="10"/>																						
Account Details																						
<table border="1"> <thead> <tr> <th>Select</th> <th>Deposit Category</th> <th>Deposit Category Description</th> <th>Number Of Accounts</th> <th>Amount</th> </tr> </thead> <tbody> <tr> <td><input type="checkbox"/></td> <td>IB</td> <td>Interest Bearing</td> <td>5</td> <td>5000</td> </tr> <tr> <td><input type="checkbox"/></td> <td>NIB</td> <td>Non Interest Bearing</td> <td>3</td> <td>3000</td> </tr> <tr> <td><input type="checkbox"/></td> <td>OTH</td> <td>Others</td> <td>2</td> <td>2000</td> </tr> </tbody> </table>			Select	Deposit Category	Deposit Category Description	Number Of Accounts	Amount	<input type="checkbox"/>	IB	Interest Bearing	5	5000	<input type="checkbox"/>	NIB	Non Interest Bearing	3	3000	<input type="checkbox"/>	OTH	Others	2	2000
Select	Deposit Category	Deposit Category Description	Number Of Accounts	Amount																		
<input type="checkbox"/>	IB	Interest Bearing	5	5000																		
<input type="checkbox"/>	NIB	Non Interest Bearing	3	3000																		
<input type="checkbox"/>	OTH	Others	2	2000																		
Total Grid Accounts <input type="text" value="10"/>																						
Total Grid Amout <input type="text" value="10,000.00"/>																						
Reason * <input type="text" value="Demo"/>																						
<input type="button" value="Edit"/>	<input type="button" value="Confirm"/>																					

- On clicking the “Confirm” button, service reference number will be generated.

भारतीय रिजर्व बैंक
RESERVE BANK OF INDIA

HDFCUSERNINITIATOR (HDFCINIT)
Customer: HDFC BANK LTD PRINCIPAL A/C (1050)
Server Date: 04/09/2017 13:05:57 Current Login: 04/09/2017 12:59:08
Change Password Logout

Home Notifications Service History Service Workbench My Downloads

LAF/MSF Issues ▾ SGB Services ▾ IIB Services ▾ DEA Fund SERVICES ▾ Account Services ▾ PSLC Services ▾

Service request has been forwarded successfully for processing

DEA Fund Deposit Entry (DEAFTRFNEW)

Step 1 Provide Payment Details Input the payment details	Step 2 Verify & Confirm View entered information and confirm the details. Step 3 If you wish to make any changes click edit	Step 3 of 3 Execution Status Note the service reference number generated after execution of the initiated payment
Execution Status <input type="text" value="Successful"/>		
Service Reference Number <input type="text" value="2017090400793084"/>		
Iteration Serial <input type="text" value="1"/>		
<input type="button" value="Initiate new request"/>		

- “Checker” (from the member bank) will be required to authorize the deposit entry made by the “maker”.
- Go to “Service Workbench” -> Select “DEA Fund Deposit Entry” from the drop down under “Service” and then click on “View” and close the View window. If the details entered are correct, then click on “Approve” and then “Process”.

http://10.29.1.201:9495/CUPWeb/landing/eserviceworl... 10.28.2.244 EKuber, SqS - Outlook Web App e-KUBER Online Access @ ... Nikam, Nilesh S - Outlook We... File Edit View Favorites Tools Help Roopa Subramaniam (HDFCR1466) Customer: HDFC BANK LTD PRINCIPAL A/C (1050) Server Date: 04/09/2017 13:07:34 Current Login: 04/09/2017 13:07:18 Change Password Logout

भारतीय रिजर्व बैंक
RESERVE BANK OF INDIA

Home Notifications Service History Service Workbench My Downloads

LAF/MSF Issues SGB Services IIB Services DEA Fund SERVICES Account Services PSLC Services

Service Workbench

Service * DEA Fund Deposit Entry Service Reference Number

Service Stage ~Any Stage~

Start Date End Date

Minimum Amount Maximum Amount

Submit Reset View Approve Reject Mark for Repair

Select	Service Reference Number	Account Number	Transaction Amount	Request Date	Current Stage	Current Status
<input checked="" type="radio"/>	2017090400793084	32105001001	10,000.00	27/07/2017	Authorization	Pending Authorization

Records from 1 to 1 of 1

http://10.29.1.201:9495/CUPWeb/landing/eserviceworl... 10.28.2.244 EKuber, SqS - Outlook Web App e-KUBER Online Access @ ... Nikam, Nilesh S - Outlook We... File Edit View Favorites Tools Help

Service Workbench View

DEA Fund Deposit Entry (DEAFTRFNEW)

Service Reference Number * 2017090400793084 Iteration Serial *

Retrieve Details Reset

Funding Account 32105001001/1053091[HDFC BANK LTD PRINCIPAL A/C]

Office Code 4 Mumbai Regional Office

Available Balance INR 121,802,855,806.21

Transaction Date * 27/07/2017

Successful execution of the transaction is subject to availability of funds on release of the transaction

Bank DEA Fund code 0251

Bank Name Hisar District Central Co-op Bank Ltd.

Total Amount 10,000.00

Total Accounts 10

Account Details

Select	Deposit Category	Deposit Category Description	Number Of Accounts	Amount
<input checked="" type="checkbox"/>	IB	Interest Bearing	5	5000
<input checked="" type="checkbox"/>	NIB	Non Interest Bearing	3	3000
<input checked="" type="checkbox"/>	OTH	Others	2	2000

http://10.29.1.201:9495/CUPWeb/landing/eserviceworl... 10.28.2.244 EKuber, SqS - Outlook Web App e-KUBER Online Access @ ... Nikam, Nilesh S - Outlook We... File Edit View Favorites Tools Help Roopa Subramaniam (HDFCR1466) Customer: HDFC BANK LTD PRINCIPAL A/C (1050) Server Date: 04/09/2017 13:07:34 Current Login: 04/09/2017 13:07:18 Change Password Logout

RESERVE BANK OF INDIA

Home Notifications Service History Service Workbench My Downloads LAF/MSF Issues SGB Services IIB Services DEA Fund SERVICES Account Services PSLC Services

Service Workbench Workbench Result View

Service Reference Number: 2017090400793084 Action Taken: Approve Notes: Approved

Service request has been forwarded successfully for processing

Records from 1 to 1 of 1

- Go to service history -> to check status of authorization

http://10.29.1.201:9495/CUPWeb/landing/eservicehist... 10.28.2.244 EKuber, SqS - Outlook Web App e-KUBER Online Access @ ... Nikam, Nilesh S - Outlook We... File Edit View Favorites Tools Help Roopa Subramaniam (HDFCR1466) Customer: HDFC BANK LTD PRINCIPAL A/C (1050) Server Date: 04/09/2017 13:10:11 Current Login: 04/09/2017 13:07:18 Change Password Logout

RESERVE BANK OF INDIA

Home Notifications Service History Service Workbench My Downloads LAF/MSF Issues SGB Services IIB Services DEA Fund SERVICES Account Services PSLC Services

Service History

Service: DEA Fund Deposit Entry Service Reference Number: End Date: Start Date: Maximum Amount: Minimum Amount:

Select	Service Reference Number	Account Number	Transaction Amount	Request Date	Current Stage	Current Status
<input checked="" type="radio"/>	2017090400793084	32105001001	10,000.00	27/07/2017	Completion	Executed Successfully
<input type="radio"/>	2017072100793066	32105001001	10,000.00	21/07/2017	Error	Execution Failure
<input type="radio"/>	2017062900793049	32105001001	11,39,241.15	23/06/2017	Error	Expired
<input type="radio"/>	2017062900793048	32105001001	11,39,241.15	23/06/2017	Error	Expired
<input type="radio"/>	2017053100792985	32105001001	3,01,81,733.26	12/04/2017	Error	Expired
<input type="radio"/>	2017053100792984	32105001001	23,559.06	12/04/2017	Completion	Executed Successfully
<input type="radio"/>	2017053100792983	32105001001	23,559.06	12/04/2017	Error	Expired
<input type="radio"/>	2017053100792982	32105001001	23,559.06	12/04/2017	Completion	Executed Successfully
<input type="radio"/>	2017053100792981	32105001001	23,559.06	12/04/2017	Completion	Executed Successfully

Records from 1 to 9 of 99

- Click on "View" option to see the details entered

Service Reference Number * 2017090400793084 Iteration Serial *

Funding Account 32105001001/1053091[HDFC BANK LTD PRINCIPAL A/C]

Office Code 4 Mumbai Regional Office

Available Balance INR 121,802,855,806.21

Transaction Date * 27/07/2017

Successful execution of the transaction is subject to availability of funds on release of the transaction

Bank DEA Fund code 0251

Bank Name Hisar District Central Co-op Bank Ltd.

Total Amount 10,000.00

Total Accounts 10

Account Details

Select	Deposit Category	Deposit Category Description	Number Of Accounts	Amount
<input checked="" type="checkbox"/>	IB	Interest Bearing	5	5000
<input checked="" type="checkbox"/>	NIB	Non Interest Bearing	3	3000
<input checked="" type="checkbox"/>	OTH	Others	2	2000

- Batch number can be seen at bottom of screen.

Transactions List:

15	HDFCUKU304 (Sujit Narayana Kurup)	04/09/2017 13:08:58
16	HDFCM3032 (Mukesh Pise)	04/09/2017 13:05:58
17	HDFCN0387 (Nilesh Baliliar)	04/09/2017 13:05:58
18	HDFCR8238 (Raviprakash Singh)	04/09/2017 13:05:58
19	HDFCS4680 (Sandeep Sakaram Nawali)	04/09/2017 13:05:58
20	HDFCS1048 (Sukhendu Rao)	04/09/2017 13:05:58
21	HDFCS8642 (Sachin Bawkar)	04/09/2017 13:05:58
22	HDFCA3078 (Amit Radharaman Agrawal)	04/09/2017 13:05:58
23	HDFCM2074 (Manish Lotankar)	04/09/2017 13:05:58
24	HDFCR5210 (Rajesh Badbe)	04/09/2017 13:05:58

Detailed Log for Transaction 3:

Stage Sl	Stage	Start Time	End Time	Action	Transition Stage
3	Execution	04/09/2017 13:08:51	04/09/2017 13:08:58	Approve	Completion
Task Sl	User	Start Time	End Time	Action	Notes
1	CBS (CBS)	04/09/2017 13:09:51	04/09/2017 13:09:58	Approve	
Notification Sl	Event	Notification Timestamp	Notification Status	Error Code	Additional Information
1	Message Delivery to CBS	04/09/2017 13:09:58	Successful		Transfer Processed Batch Number :-41 01-SEP-17 B Reference 0251-0042-00IB-01092017-001 0251-0042-00IB-01092017-001 0251-0042-00IB-01092017-001
2	Message Processing at CBS	04/09/2017 13:09:58	Successful		
Stage Sl	Stage	Start Time	End Time	Action	Transition Stage
4	Completion	04/09/2017 13:09:59			

2.1 My downloads - Deposit Receipt

- Banks would be able to see the deposit receipt for a particular month by going under "My Downloads" and then selecting the "DEA Fund deposit receipt" under "Report code".
- Click on lookup (Magnifying glass) and type DEA Fund in textbox and then click on "Go".

Roopa Subramaniam (HDFCR1466)
Customer: HDFC BANK LTD PRINCIPAL A/C (1050)
Server Date: 04/09/2017 13:13:43 Current Login: 04/09/2017 13:07:18
Change Password Logout

Home Notifications Service History Service Workbench My Downloads

LAF/MSF Issues SGB Services IIB Services DEA Fund SERVICES Account Services PSLC Services

Available Downloads

Start Date * 27/07/2017 End Date * 27/07/2017

Report Code *

View Reset

Description	Report Code	Description
RDEAFAMNDRPT	RDEAFAMNDRPT	DEAF Amendment Receipt
RDEACANCRCPT	RDEACANCRCPT	DEAF Cancellation Receipt
RDEAFFORM	RDEAFFORM	DEAF Form - I
RDEAFFORM3	RDEAFFORM3	DEAF Form - III
RDEAFMERGERCPT	RDEAFMERGERCPT	DEAF Merger Receipt Report
RDEAFRECTRCPT	RDEAFRECTRCPT	DEAF Rectification Receipt Report
RDEAFTRCP	RDEAFTRCP	DEAF Deposit Receipt

Records from 1 to 7 of 7

- Select “DEA Fund deposit receipt” from the displayed list. Then click on “View” and select the particular entry. Click on the required report name to download the receipt

Roopa Subramaniam (HDFCR1466)
Customer: HDFC BANK LTD PRINCIPAL A/C (1050)
Server Date: 04/09/2017 13:13:43 Current Login: 04/09/2017 13:07:18
Change Password Logout

Home Notifications Service History Service Workbench My Downloads

LAF/MSF Issues SGB Services IIB Services DEA Fund SERVICES Account Services PSLC Services

Available Downloads

Start Date * 27/07/2017 End Date * 27/07/2017

Report Code * RDEAFTRCP DEAF Deposit Receipt

View Reset

Sl	Report Name	Generated Date	Report Subject
1	DEAF Deposit Recpt	04/09/2017 13:10:10	Deposit Receipt For 0251(Hisar District Central Co-op Bank Ltd., Hisar)-
2	DEAF Deposit Receipt	14/08/2017 16:36:05	Deposit Receipt For 2249(Test Bank Itd)-
3	DEAF Deposit Receipt	14/08/2017 13:31:55	Deposit Receipt For 0096(MADHYANCHAL GRAMIN BANK)-

Records from 1 to 3 of 3

0409201713100550703i X +

file:///C:/Users/ADMIN/AppData/Local/Microsoft/Windows/INetCache/IE/L3RP3ZN0/04092017131005507036-1.pdf

 Reserve Bank of India

Print Date 04-Sep-2017
Print Time 13:10:28
User Id. 41/eKuber

Deposit Transfer Receipt For The Period September 2017

DEA Fund Code 0251 - Hisar District Central Co-op Bank Ltd., Hisar
Remitted Thru 0042 - HDFC BANK LTD.

Deposit Reference Number	Date Of Deposit	Deposit Type	No.of Accounts	Amount Deposited
0251-0042-00IB-01092017-001	01-09-2017	IB	5	5,000.00
0251-0042-0NIB-01092017-001	01-09-2017	NIB	3	3,000.00
0251-0042-0OTH-01092017-001	01-09-2017	OTH	2	2,000.00
			10	10,000.00

*****End of Report*****

2.2 Deposit receipt – Email notification

- Banks will also receive the auto generated deposit receipt, for the transfers made in a particular month, on their registered email ids

Deposit Receipt For 0251(Hisar District Central Co-op Bank Ltd, Hisar)- Internet Explorer

Deposit Receipt For 0251(Hisar District Central Co-op Bank Ltd, Hisar)-

X DELETE ← REPLY ← REPLY ALL → FORWARD ...

 EKUBER HELPDESK <ekuberhelpdesk@rbi.org.in>
Mon 04-Sep-17 1:10 PM

To: Nikam, Nilesh S;
Cc: DBO DEAF, CELL;

1 attachment

 04092017131 ~ pdf

This is auto generated mail

Caution: The Reserve Bank of India never sends mails, SMSs or makes calls asking for personal information such as your bank account details, passwords, etc. It never keeps or offers funds to anyone. Please do not respond in any manner to such offers, however official or attractive they may look.

Notice: This email and any files transmitted with it are confidential and intended solely for the use of the individual or entity to whom they are addressed. If you are not the intended recipient, any dissemination, use, review, distribution, printing or copying of the information contained in this e-mail message and/or attachments to it are strictly prohibited. If you have received this email by error, please notify us by return e-mail or telephone and immediately and permanently delete the message and any attachments. The recipient should check this email and any attachments for the presence of viruses. The Reserve Bank of India accepts no liability for any damage caused by any virus transmitted by this email.

3. Form I Generation & Confirmation

Form I via e-mail

- Form I will get auto generated every month-end and will be sent to the respective banks on their registered email ids.

The screenshot shows the Outlook Web App interface. On the left, the inbox contains 46 items, mostly from 'EKUBER HELPDESK'. One specific email is highlighted, showing the subject 'Form1 For DEAF Code 2175-09/2016' and a PDF attachment named '35032017193.pdf'. The body of the email includes a note: 'This is auto generated mail'. Below the email, there is a cautionary message from the Reserve Bank of India about the confidentiality of the email and attachments. The status bar at the bottom right indicates the date as 16-03-2017 and the time as 17:54.

3.1 Form I generation (download) from Portal

- Member banks would also be able to see Form I every month-end for their bank on the portal under "My Downloads":
Go to "My Downloads" and select the "DEA Fund Form I" under "Report code". Click on lookup (Magnifying glass) and type "DEA Fund" in textbox then click on "Go". Select "DEA Fund Form I" from the displayed list. Then click on "View" and select the particular entry.
- Click on the required report name to download the Form I

The screenshot shows the 'My Downloads' section of the RBI portal. The search criteria are set to 'Start Date' 27/07/2016 and 'End Date' 27/07/2017, with the report code set to 'RDEAFFORM'. The results table displays 10 entries, each with a report name, generated date, and subject. The first few entries are:

SL	Report Name	Generated Date	Report Subject
1	DEAF Form - I&II	14/08/2017 15:37:51	Form1 For DEAF Code 0655-06/2017
2	DEAF Form - I&II	14/08/2017 15:37:31	Form1 For DEAF Code 2226-06/2017
3	DEAF Form - I&II	14/08/2017 15:37:31	Form1 For DEAF Code 2234-06/2017
4	DEAF Form - I&II	14/08/2017 15:36:41	Form1 For DEAF Code 2231-06/2017
5	DEAF Form - I&II	14/08/2017 15:35:40	Form1 For DEAF Code 2228-06/2017
6	DEAF Form - I&II	14/08/2017 15:35:30	Form1 For DEAF Code 0743-06/2017
7	DEAF Form - I&II	14/08/2017 15:35:30	Form1 For DEAF Code 2222-06/2017
8	DEAF Form - I&II	14/08/2017 15:35:25	Form1 For DEAF Code 2229-06/2017
9	DEAF Form - I&II	14/08/2017 15:35:24	Form1 For DEAF Code 0410-06/2017

Screen shot of Form I is as under:

Form I
Monthly Return of unclaimed deposits/credits/ accounts/ in India which have not been operated upon/remaining unclaimed for 10 years or more as on the date of the return and transferred to the DEA Fund Account. (To be submitted online to the Reserve Bank of India by 15th of the succeeding month)

Name of the Bank	THE IDAR NAGRIK SAHKARI BANK LTD.								
Bank DEA Fund Code allotted by RBI	0655								
If remitted through Sponsor Bank	0042								
Name of the Sponsor Bank	HDFC BANK LTD.								
Month/Year	06 / 2017								
Date of Transfer to the Fund	(Amount in Rupees)								
Sr.No	Particulars	Interest Bearing Deposits		Non-Interest Bearing Deposits		Other Credits		Total	
		No of Accounts	Amount	No of Accounts	Amount	No of Accounts	Amount	No of Accounts	Total Amount
1	Opening balance of accounts transferred to the Fund at the beginning of the month	3079	19,70,127.00	448	3,02,394.00	0	0.00	3527	22,72,521.00
2	Accounts if any wrongly reported in past and remitted in this month								
3	Accounts transferred to the DEA Fund during the month. (Including if any accounts inadvertently omitted in previous month)								
4	Clauses paid and remitted received from the Fund during the month (only the principal amount to be mentioned).								
5	Net amount transferred to the Fund during the month (2 +3 - 4)								
6	Total amount with the Fund at the end of the month (1+5)	3079	19,70,127.00	448	3,02,394.00	0	0.00	3527	22,72,521.00

3.2 DEA Fund Form I confirmation

- Member banks can download Form I and verify the details
- Member banks can confirm Form I through portal as per steps mentioned below:
 - Go to “DEA Fund Services” -> DEA Fund Form I Confirmation landing
 - Select the DEA Fund code and click on submit and Select the month for which Form I needs to be confirmed
 - Select DEA Fund Form I confirmation under “Select Service” dropdown as shown in box

http://10.29.1.201:9495/CUPWeb/payments/enquiries/qdei

UTIBUSERINITIATOR (UTIBINIT)
Customer: AXIS BANK LIMITED (222)
Server Date: 13/04/2017 16:58:10 Current Login: 13/04/2017 16:56:34
Change Password Logout

Home Notifications Service History Service Workbench My Downloads

Constituent Services File Upload Primary Auctions/OMO Issues LAF/MSF Issues Security Services DEAF SERVICES SGB Services IIB Services Underwriter Issues Account Services

Payment Services PSLC Services Payment Form I Confirmation Landing (DEAFTCLND)

Select Service—
DEAF FORM 1 Confirmation

DEAF Code 0015 DENA BANK DENA BANK

Submit Reset

DEAF Deposit Details

Select	Deposit Month And Year	Interest Bearing		Non-Interest Bearing		Others	
		No.of Accounts	Amount Deposited	No.of Accounts	Amount Deposited	No.of Accounts	Amount Deposited
<input checked="" type="radio"/>	DEC-2016	5037	3,02,91,596.13	41	21,53,782.68	44	19,46,493.33

Records from 1 to 1 of 1

- Click on ‘Download’ to verify Form I details, if correct.
- Tick mark “I agree” and “Form has been duly audited by concurrent auditor” options.

- Click on “Next” and then click on “Confirm”

DEAF Code: 0015

Transfer Month & Year: DEC-2016

	Amount	Number Of Accounts
Interest Bearing *	3,02,91,596.13	5037
Non Interest Bearing *	21,53,782.68	41
Others *	19,46,493.33	44

I Agree *:

Form has been duly audited by concurrent auditor *:

Notes:

Form 1 confirmation from portal

Buttons: Next, Reset

- After confirmation, a reference number will be generated

Execution Status: Successful

Service Reference Number: 2017041300792731

Iteration Serial: 1

DEAF FORM 1 Confirmation (DEAFTRFAC)
Requests have been awarded successfully for processing

- Checker (from the member bank) will be required to authorize the Form I confirmation.
- Go to “Service Workbench” -> select “DEA Fund Form I confirmation” from the drop down under “Service” and then click on “View”. If the details are correct, then click on “Approve” and then “Process”.

Reserve Bank of India Service Workbench

Service: DEAF FORM 1 Confirmation

Select	Service Reference Number	Account Number	Transaction Amount	Request Date	Current Stage	Current Status
<input checked="" type="radio"/>	2017041300792731		3,43,91,872.14	02/01/2017	Authorization	Pending Authorization

Records from 1 to 1 of 1

Reserve Bank of India Service Workbench

Action Taken: Approve

Notes: Approved form 1 confirmation from portal

Service request has been forwarded successfully for processing

4. DEA Fund Refund Claim through Portal:

(Member bank can raise refund claim request through portal. Bank will be able to raise claim in the portal only if the latest Board resolution and all previous correct Form I (erstwhile Form I&II) for the particular bank have been received by the DEA Fund Cell. System will not allow to raise the claim beyond the outstanding balance under each deposit head i.e. IB, NIB & OTH.)

- Go to “DEA Fund claim” in ‘DEA Fund Services’ on the portal
- Enter the DEA Fund Code.
- Enter appropriate deposit category (IB/NIB/OTH – in capital letters). Then enter the Principal claim amount (and interest, in case of IB) and number of accounts and click on ‘Add’.

Select	Deposit Category	Claim No.of A/c	Principal Claim	Interest Claim	Total Claim Amount
C	IB	100	10,000.00	100.00	10,100.00
C	NIB	10	1,000.00		1,000.00
C	OTH	10	1,000.00		1,000.00

- Click on “Next” and then “Confirm”, a reference number will be generated

The screenshot shows the 'DEAF Claim (DEAFCR1466)' section of the e-Kuber interface. At the top, it says 'HDFCUSERINITIATOR (HDFCINIT)' and 'Customer: HDFC BANK LTD PRINCIPAL A/C (1050)'. Below that, 'Server Date: 13/04/2017 15:59:42 Current Login: 13/04/2017 15:50:24' and 'Change Password Logout' are visible. The main area shows three steps: Step 1 of 3 (Provide Payment Details), Step 2 of 3 (Verify & Confirm), and Step 3 of 3 (Execution Status). Step 3 is highlighted. It shows 'Execution Status: Successful', 'Service Reference Number: 2017041300792730', and 'Iteration Serial: 1'. There is also a button 'Initiate new request'.

- Checker** (from the member bank) will be required to authorize the DEA Fund Claim.
- Go to “Service Workbench” -> Select “DEA Fund Claim” from the drop down under “Service” and then click on “View”. If the details entered are correct, then close the view and click on “Approve” and then “Process”.

The screenshot shows the 'Service Workbench' section of the e-Kuber interface. At the top, it shows 'Roopa Subramanian (HDFCER1466)', 'Customer: HDFC BANK LTD PRINCIPAL A/C (1050)', 'Server Date: 13/04/2017 16:04:30 Current Login: 13/04/2017 16:04:09', and 'Change Password Logout'. The main area has search filters for 'Service' (DEAF Claim), 'Service Stage' (Any Stage), 'Start Date', 'End Date', 'Minimum Amount', and 'Maximum Amount'. Buttons for 'Submit', 'Reset', 'View', 'Approve', 'Reject', and 'Mark for Repair' are present. A table lists a single claim: 'Service Reference Number: 2017041300792730', 'Account Number' (empty), 'Transaction Amount: 12000', 'Request Date: 02/01/2017', 'Current Stage: Authorization', and 'Current Status: Pending Authorization'. At the bottom, it says 'Records from 1 to 1 of 1'.

Roopa Subramanian (HDFCR1466)
Customer: HDFC BANK LTD PRINCIPAL A/C (1050)
Server Date: 13/04/2017 16:04:30 Current Login: 13/04/2017 16:04:09

Change Password Logout

Home Notifications Service History Service Workbench My Downloads (18)

Constituent Services File Upload Primary Auctions/OMO Issues LAF/MSF Issues Security Services DEAF SERVICES SGB Services IIB Services Underwriter Issues Account Services

Payment Services PSLC Services

Service Stage Start Date Minimum Amount

Service * DEAF Claim Service Reference Number

Action Taken Approve Approved claim request though portal

Notes

Service request has been forwarded successfully for processing

Reject Mark for Repair

Select Se 2017

Submit Reset Close

- Go to service history -> to check the status of authorization

Roopa Subramanian (HDFCR1466)
Customer: HDFC BANK LTD PRINCIPAL A/C (1050)
Server Date: 13/04/2017 16:05:56 Current Login: 13/04/2017 16:04:09

Change Password Logout

Home Notifications Service History Service Workbench My Downloads (18)

Constituent Services File Upload Primary Auctions/OMO Issues LAF/MSF Issues Security Services DEAF SERVICES SGB Services IIB Services Underwriter Issues Account Services

Payment Services PSLC Services

Service History

Service * DEAF Claim Service Reference Number

Start Date End Date

Minimum Amount Maximum Amount

Submit Reset View

Select	Service Reference Number	Account Number	Transaction Amount	Request Date	Current Stage	Current Status
C	2017041300792730		12000	02/01/2017	Completion	Executed Successfully
C	2017040600792637		202113	02/01/2017	Completion	Executed Successfully
C	2017040600792629		1000	02/01/2017	Completion	Executed Successfully
C	2017031600792332		10000	03/10/2016	Completion	Executed Successfully
C	2017031500792321		100000	26/09/2016	Completion	Executed Successfully
C	2017031400792314		45622	05/09/2016	Execution	Pending Execution
C	2017031400792313		2200	05/09/2016	Completion	Executed Successfully
C	2017031400792300		111.11	05/09/2016	Completion	Executed Successfully
C	2017030600792209		1000	05/09/2016	Error	Expired

Records from 1 to 9 of 11

4.2 My Downloads – Generation of Form II

- Go to “My Downloads” and select the “DEA Fund Form II” under “Report code”. Click on lookup (Magnifying glass) and type DEA Fund in textbox and then click on “Go”. Select DEA Fund Form II (Erstwhile Form-III) from the list displayed. Then click on “View” and select the particular entry.

Start Date * 03/01/2017 End Date * 03/01/2017

Report Code * DEAF

Report Code	Description
RDEAFAMNDRcpt	DEAF Amendment Receipt
RDEAFACANCRcpt	DEAF Cancellation Receipt
RDEAFCLMARRcpt	Claim Authorization / Rejection Receipt
RDEAFORM	DEAF form 1
RDEAFFORM3	DEAF form 3
RDEAFRECTRCPT	DEAF Replication Receipt Report
RDEAFTRCP	DEAF Dep...

Records from 1 to 7 of 7

Start Date * 03/01/2017 End Date * 03/01/2017

Report Code * RDEAFFORM3

Sl	Report Name	Generated Date	Report Subject
1	DEAF form 3	05/04/2017 12:36:30	Form3 For DEAF Code 0079-02-01-2017
2	DEAF form 3	03/04/2017 16:13:25	Form3 For DEAF Code 0030-02-01-2017
3	DEAF form 3	31/03/2017 11:33:00	Form3 For DEAF Code 0030-02-01-2017
4	DEAF form 3	31/03/2017 11:16:35	Form3 For DEAF Code 2174-15-12-2016
5	DEAF form 3	31/03/2017 10:15:55	Form3 For DEAF Code 2172-15-12-2016
6	DEAF form 3	29/03/2017 19:51:55	Form3 For DEAF Code 0040-15-12-2016
7	DEAF form 3	29/03/2017 19:40:35	Form3 For DEAF Code 2153-15-12-2016
8	DEAF form 3	29/03/2017 19:40:35	Form3 For DEAF Code 2187-15-12-2016
9	DEAF form 3	29/03/2017 19:40:35	Form3 For DEAF Code 2187-15-12-2016
10	DEAF form 3	29/03/2017 19:40:35	Form3 For DEAF Code 2187-15-12-2016

Records from 1 to 10 of 29

13042017100558506284-1.pdf - Adobe Reader

File Edit View Window Help

Open Tools Fill & Sign Comment

Print Date: 13-Apr-2017
Print Time: 16:06:00
User Id: 41@ekuber

Reserve Bank of India

FORM II
Monthly return claiming refund from DEAF
(Original hardcopy to be submitted by 15th of the succeeding month to which the claim pertains)

1. Name of the Bank: LAKSHMI VILAS BANK LTD

2. Bank DEAF Code allotted by RBI: 0035

3. Current A/c maintained with RBI @: 32105001001

4. Details of claims made during the month: JAN 2017

Interest Bearing Deposits				Non-Interest Bearing Deposits		Other Credits		Grand Total	
No of Accounts	Principal Amount	Interest Amount	Total Amount	No of Accounts	Amount	No of Accounts	Amount	No of Accounts	Amount
(1)	(2)	(3)	(4=2+3)	(5)	(6)	(7)	(8)	(9=1+5+7)	(10=4+6+8)
100	10,000.00	100.00	10,100.00	10	1,000.00	10	1,000.00	120	12,100.00

@ Please mention the A/c Number of your Current A/c or the Current A/c of your sponsor bank, maintained with RBI, through which you would desire to receive the above refund claim.

Note: No individual customer/depositor wise details should be furnished. In case of any claim for refund of part amount by the depositor whose unclaimed amount/inoperative deposit had been transferred to the Fund the bank shall claim the entire amount transferred to the Fund in respect of such depositor along with interest payable, if any, from the Fund.

13-04-2017

4.3 Form II - Email Notification

- An email notification will be sent to all banks on their registered email ids, once the Form II is generated.

https://mail.rbi.org.in/owa/#path=/mail

10.29.1.201 EKuber, SqS - Outlook Web ...

Outlook Web App

+ New mail Search mail and people

INBOX ITEMS BY DATE

All Unread To me Flagged

EKuber Portal DEAF Claim Authorisation/Rejects 16:21

Dear Team, FYI Thanks & Regards, Portal...

EKuber Portal Form3 For DEAF Code 0035-02-01-2017 16:20

Dear Team, FYI Thanks & Regards, Portal...

Pattnayak, N C RE: PFX file 14:36

Thanks Shubham. From: Ekuber, QPX Sup...

Ekuber, Release Coordinat FW: FW: CBS UAT 9763: Defect Trac 11:38

Hi Team Pls share the screenshots for DE...

YESTERDAY

EKuber Portal Re: Attn: Nagesh & Kasturi / DEAF E Wed 18:18

Dear Sir, There is blank line after 2 records...

padmanaban.k@intellectc ! Attn: Nagesh & Kasturi / DEAF Dept. Wed 18:12

Ht, Done 1 deposit file upload with 2 rec...

Ekuber, QPX Support RE: QPX dashboard as on 11-04-20... Wed 18:00

Dear sir, Please find the updated Dashbo...

Tuesday

EKuber CBS UAT 9763: Defect Tracker for BI Wed 15:06

Dear Team, Kindly let us know the status...

padmanaban.k@intellectc ! RE: DEAF UAT status as on 11-04-20... Wed 12:16

Dear SQS Team, Below Defect Id's can be...

This is auto generated mail

Caution: The Reserve Bank of India never sends mails, SMSs or makes calls asking for personal information such as your bank account details, passwords, etc. It never keeps or offers funds to anyone. Please do not respond in any manner to such offers, however official or attractive they may look.

4.4 Claim Authorization / Rejection Receipt

- Go to “My Downloads” and select the “Claim Authorization / Rejection receipt” under “Report code”. Click on lookup (Magnifying glass) and type DEA Fund in textbox and then click on “Go”. A list will be displayed. Select Claim Authorization / Rejection receipt from the displayed list. Then click on view and select the particular entry. (A receipt will be generated depending on whether the claim has been accepted or rejected by the DEA Fund Cell for that particular entry)

The screenshot shows a PDF document titled "Claim Authorization / Rejection Receipt for the period January - 2017" generated by the Reserve Bank of India. The document includes the RBI logo and details about the scheme (DEAF - DEAF), member code (0035 - LAKSHMI VILAS BANK LTD), and remittance through (0042 - HDFC BANK LTD). It features two tables: a summary table showing one record and a detailed table showing three records of claim actions. The detailed table includes columns for Claim Action Date, Claim Reference Number, Deposit Category, No. Of Accounts, Principal Claimed, Interest Claimed, Interest Authorized, and Total Settlement Amount. The report concludes with "*****End of Report*****".

Claim Request Date	Claim Status	Claim Action Date	Claim Approved Amount	DBR Comments
02-01-2017	Authorized	02-01-2017	12,100.00	Accepted

Claim Action Date	Claim Reference Number	Deposit Category	Claim Details				
			No. Of Accounts	Principal Claimed	Interest Claimed	Interest Authorized	Total Settlement Amount
02-01-2017	0035-0042-00IB-20170102-001	IB	100	10,000.00	100.00	100.00	10,100.00
02-01-2017	0035-0042-0NIB-20170102-001	NIB	10	1,000.00	0.00	0.00	1,000.00
02-01-2017	0035-0042-0OTH-20170102-001	OTH	10	1,000.00	0.00	0.00	1,000.00

4.5 Claim Authorization/Rejection Receipt – Email Notification

- An email notification will be sent to all banks for “Claim authorization/rejection receipt” on their registered e-mail ids.

The screenshot shows an email inbox in Outlook Web App. The subject of the selected email is "DEAF C1aim Authroisation/Rejection Receipt For member Code - 0035 for period - 01/2017". The email is from "EKuber, SqS" and was sent on "Thu 13-04-2017 16:21". The message body contains a PDF attachment named "330420171611.pdf". The message text includes "Dear Team, FYI Thanks & Regards, Portal..." and "Hi Team Pls share the screenshots for DE...". The message is marked as "1 attachment". The email is addressed to "EKuber, SqS" and "padmanaban.k@intellectdesign.com". The message is marked as "1 unread". The inbox also shows other messages from "EKuber Portal", "Pattnayak, N C", "EKuber, Release Coordina", "EKuber Portal", "Ekuber, QPX Support", "Ekuber", and "padmanaban.k@intellectc". The status bar at the bottom right shows the time as 16:22 and the date as 13-04-2017.

4.6 Query for DEA Fund claim status

- To track the status of claim request, member banks can go to “DEA Fund Services” and choose “Query for DEA Fund claim status” from the dropdown
- Select the bank’s DEA Fund Code and the date range for which the query pertains to and then select the entry and click on View DEA Fund status details

The screenshot shows the "e-KUBER Online Access" portal. The top navigation bar includes links for "Home", "Notifications", "Service History", "Service Workbench", "My Downloads (18)", "Change Password", and "Logout". The user is identified as "Roopa Subramaniam (HDFCR1466)" with a customer ID of "HDFC BANK LTD PRINCIPAL A/C (1050)". The server date is "13/04/2017 16:18:33" and the current login is "13/04/2017 16:04:09". The main menu has several dropdowns: "Constituent Services", "File Upload", "Primary Auctions/OMO Issues", "LAF/MSF Issues", "Security Services", "DEAF SERVICES", "SGB Services", "IIB Services", "Underwriter Issues", and "Account Services". Below the menu, there are filters for "Payment Services", "PSLC Services", and "Query for DEA Fund Status (DEAF STS)". The search form includes fields for "Bank DEAF code" (set to "0035"), "Bank Name" (set to "LAKSHMI VILAS BANK LTD"), "From Date" (set to "02/01/2017"), and "To Date" (set to "02/01/2017"). There are "Submit" and "Reset" buttons, and a link "View Deaf Status Details". The "Claim Status" section displays a table with one record:

Select	Claim Date	Claim Status	Claim Action Date	Claim Approved Amount	DBR Comments
<input checked="" type="radio"/>	02/01/2017	Authorized	13/04/2017	12,100.00	Accepted

The status bar at the bottom right shows the time as 16:18 and the date as 13-04-2017.

Screenshot of a Windows desktop showing a web browser window titled "DEAF Claim Status Details". The URL in the address bar is <http://10.29.1.201:9495/CUPWeb/payments/enquiries/qdei>. The browser title bar also shows "e-KUBER Online Access".

The main content area displays a table titled "Query for DEAF Claim Status (DEAFSTS)".

SI	Claim Action Date	Claim Reference Number	Deposit Category	Claimed No.of Accounts	Principal Claimed	Interest Claimed	Interest Authorised	
1	13/04/2017	0035-0042-00IB-20170102-001	IB	100	10,000.00	100.00	100.00	
2	13/04/2017	0035-0042-0NIB-20170102-001	NIB	10	1,000.00	0.00	0.00	
3	13/04/2017	0035-0042-0OTH-20170102-001	OTH	10	1,000.00	0.00	0.00	

The taskbar at the bottom of the screen shows several pinned icons, including File Explorer, Internet Explorer, Microsoft Word, Microsoft Excel, Microsoft PowerPoint, and Microsoft Access. The system tray indicates the date as 13-04-2017 and the time as 16:20.

Annex 3

Form I

Monthly Return of unclaimed deposits/credits/ accounts/ in India which have not been operated upon/remained unclaimed for 10 years or more as on the date of the return and transferred to the DEA Fund Account. **(To be submitted online to the Reserve Bank of India by 15th of the succeeding month)**

Name of the Bank _____

Bank DEA Fund Code allotted by RBI _____

If remitted through sponsor bank

Name of the Sponsor Bank _____

Month _____ **Year** _____

Date of Transfer to the Fund _____

(Amount in Rupees)

6	Total amount with the Fund at the end of the(month) 20.....(1+5)							
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Signature:

Name:

Designation of the Officer (With Stamp):

Telephone Number:

Place:

Date:

Certificate - Details given above are true as per the records of the bank and verified by me and found to be correct.

Signature:

Name of Concurrent Auditor (With Stamp):

Address:

Form II**Monthly return claiming refund from the DEA Fund**(Original hardcopy to be submitted by 15th of the succeeding month to which the claim pertains)**1. Name of the Bank:****2. Bank DEA Fund Code allotted by RBI----- 3. Current A/c maintained with RBI @ -----****4. Details of claims made during the month 20 (Amount in Rupees)**

Interest Bearing Deposits				Non-Interest Bearing Deposits		Other Credits		Grand Total	
No of Accounts	Principal Amount	Interest Amount	Total Amount	No of Accounts	Amount	No of Accounts	Amount	No of Accounts	Amount
(1)	(2)	(3)	(4=2+3)	(5)	(6)	(7)	(8)	(9=1+5+7)	(10=4+6+8)
Total	Total	Total	Total	Total	Total	Total	Total	Total	Total

@ Please mention the A/c Number of your Current A/c or the Current A/c of your sponsor bank, maintained with RBI, through which you would desire to receive the above refund claim.

Note- No individual customer/depositor wise details should be furnished. In the case of any claim for refund of part amount by the depositor whose unclaimed amount/inoperative deposit had been transferred to the Fund, the bank shall claim the entire amount transferred to the Fund in respect of such depositor along with interest payable, if any, from the Fund.**Certified that the above claims have not earlier been made or received from the DEA Fund.****Signature:****Name of the First Authorized Signatory:****Designation of Officer (With Stamp):****Signature:****Name of the Second Authorized Signatory:****Designation of Officer (With Stamp):****Place:** **Date:****Certificate -Details given above are true as per the records of the bank and verified by me and found to be correct.****Signature:****Name of Concurrent Auditor (With Stamp):****Address:****Place:** **Date:**

Form III

Certificate of reconciliation of balances for the Half Year ended June/December
(Please certify either A.1 or A.2 and strike out whichever is not applicable)

Name of the Bank _____

Bank DEA Fund Code allotted by RBI _____

A. Certificate by the officers of the bank

1. If the balances tally

We certify that balances of unclaimed deposits transferred by the bank to the DEA Fund under various heads as shown in the General Ledger of the bank, tally with the balances maintained with the DEA Fund Cell as reflected in Form I generated from the DEA Fund module of RBI, as on 30-06-..... or 31-12-.....

2 If the balances do not tally

We observe that while balance of unclaimed deposits as shown in the General Ledger of the bank as on 30-06-...../ 31-12-..... is Rs....., balance of unclaimed deposits as shown in the balances maintained with the DEA Fund Cell as reflected in Form I generated from the DEA Fund module of RBI, as on 30-06-..... / 31-12-..... is Rs

We have initiated steps to reconcile the difference and shall update RBI soon.

Signature

Name

Designation

Place

Date

Signature

Name

Designation

Place

Date

B. Certificate by Concurrent Auditor of the bank:

Details given above have been verified by me and found to be correct are true as per the records of the bank.

Signature:

Name of Concurrent Auditor (With Stamp):

Address:

Place:

Date: