

**F. No.12/5/2019-Coord.
Government of India
Ministry of Finance
Department of Financial Services
(Coord. Section)**

3rd Floor, Jeevan Deep Building,
Parliament Street, New Delhi,
Dated: 21st December, 2023

OFFICE MEMORANDUM

**Sub.: Rectification on Self-appraisal report of Transparency Audit for year 2022-23
audited by Auditor of AJNIFM- reg.**

The undersigned is directed to refer audited report of Transparency Audit, audited by Auditor of Arun Jaitley National Institute of Financial Management (AJNIFM), (Copy enclosed).

2. The following points should be rectified at the earliest:

Sr. No	Details of disclosure	Marks	Auditor Category	Auditor Marks	Auditor Remarks/URL	Related Section/Division
1.9.2	System of compensation as provided in its regulations	3.85	Not met	0	DFS may provide system of compensation as provided in its regulations	Cash
1.12.3	Training of CPIO/APIO	1.92	Not Met	0	DFS may take interest in sending CPIOs/APIO for training	Establishment
2.1.1	Total Budget for the public authority	10	Not Met	0	Budget allocation and details for the department may be indicated	DA
2.6.1	CAG and PAC paras and the action taken reports (ATRs) after these have been laid on the table of both houses of the parliament.	50	Fully Met	50	The link does not provide access	DA Section may coordinate with NIC Cell to provide access for the link
4.5.2	Details of application received under RTI and information provided	3.57	Not met	0	The details of applications received under RTI and information provided may be given.	NIC Cell, with a request to create a path link on Department's website so that all the Sections of the Department may upload their respective
4.6.1	Details of application received and disposed	14.29	Not met	0	DFS may provide the relevant details	

4.6.2	Details of appeals received and order issued	14.29	Not met	0	Not Met	RTI details & information provided thereof and Appeal received & disposed Off through the path link.
5.1.4	Consultancy committee of key stake holders for advice on suo-moto disclosure- (a) Date from which constituted, (b) Name & Designation of the officers.	20	Fully met	20	Link may be given and the same information may be displayed on the RTI page.	Estt Section, with a request to get the same information be displayed on the RTI page of the website
5.1.5	Committee of PIOs/FAAs with rich experience in RTI to identify frequently sought information under RTI- (a) Dates from which constituted, (b) Name & Designation of the Officers	20	Fully met	0	The information may be provided on the RTI page	

3. Apart from above, upon reviewing the revamped website of Department of Financial Services, it may be noted that the Vision/Mission, STQC Certification, and the RTI Tab (though present, it has been shifted from the Main Tab to below in the Important Links section) are currently unavailable. Therefore, CS&FT/NIC Cell are requested to add the mentioned items to the new website.

4. All concerned Sections/ NIC cell, are requested to take appropriate action on the above-mentioned points.

Encl: a/a

K. Shailendra
(Kumar Shailendra)
Under Secretary to the Government of India
Tel. No. 23748767

To

All Concerned Directors/Deputy Secretaries DFS

Copy for information to:

All Concerned JSs/EAs/ DDG, DFS



अरुण जेटली राष्ट्रीय वित्तीय प्रबन्धन संस्थान

(वित्त मंत्रालय, भारत सरकार की एक स्वायत्तशासी संस्था)



24.

मनुष्यवती भूमिरथः

ARUN JAITLEY NATIONAL INSTITUTE OF FINANCIAL MANAGEMENT

(An Autonomous Institute of Ministry of Finance, Government of India)

B-TPA/RTI/Preisc/MEA/April-2019 | 935

19.09.2023

To

Smt. Jasmine James
(Deputy Secretary to the Government of India)
Ministry of Finance,
Department of Financial Services
Room no 29B, third floor, Jeevan Deep Building,
Sansad Marg, New Delhi-110001

Crn
Sub: Third Party Audit of Suo Moto Disclosure under Section 4 of the RTI Act 2005 – request thereof.

Madam,

Please refer to the Third Party Audit of Suo Moto Disclosure under Section 4 of the RTI Act 2005. AJNIFM has submitted the audited report of your esteem organisation to CIC.

Please find attached the invoice for the payment of the Audit work. Kindly expedite the payment at the earliest as per bank details given in Invoice.

Copy of audited report is attached for your perusal please.

Thanks & Regards,



(Jaya Bhalla)
Programme Director (Consultancy)
Phone: 0129-2465207

Self appraisal report for Year (2022-23) 23

Auditor Agency: Dr Jaya Bhalla

Ministry Name: Ministry of Finance

Department Name: Department of Financial Services

Public Authority Name: Department of Financial Services

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1	Organisation and Function							
1.1	Particulars of its organisation, functions and duties[Section 4(1)(b)(ii)]	Fully Met	1.28	1.28	https://financiaFully Met	1.28	Fully Met	http://financialser
1.1.1	Name and address of the Organization							
1.1.2	Head of the organization	Fully Met	1.28	1.28	https://financiaFully Met	1.28	Fully Met	http://financialser
1.1.3	Vision, Mission and Key objectives	Fully Met	1.28	1.28	https://financiaFully Met	1.28	Fully Met	http://financialser
1.1.4	Function and duties	Fully Met	1.28	1.28	https://financiaFully Met	1.28	Fully Met	http://financialser

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1.1.5	Organization Chart				lservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new			
1.1.6	Any other details-the genesis, inception, formation of the department and the HoDs from time to time as well as the committees/ Commissions constituted from time to time have been dealt	Fully Met	1.28	1.28	https://financiaFully Met lservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	1.28	Fully Met http://financialserservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	
1.2	Power and duties of its officers and employees[Section 4(1) (b)(ii)]				https://financiaFully Met lservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	1.28	Fully Met http://financialserservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	
1.2.1	Powers and duties of officers (administrative, financial and judicial)	Fully Met	1.54	1.54	https://financiaFully Met lservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	1.54	Fully Met http://financialserservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	
1.2.2	Power and duties of other employees	Fully Met	1.54	1.54	https://financiaFully Met lservices.gov.in/rti/suo-moto-disclosure-un	1.54	Fully Met http://financialserservices.gov.in/rti/suo-moto-dis	

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1.2.3	Rules/ orders under which powers and duty are derived and	Fully Met	1.54	1.54	https://financiaFully Met	rti- act2005new https://services.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	1.54	Fully Met http://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new
1.2.4	Exercised	Fully Met	1.54	1.54	https://financiaFully Met	rti- act2005new https://services.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	1.54	Fully Met http://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new
1.2.5	Work allocation	Fully Met	1.54	1.54	https://financiaFully Met	rti- act2005new https://services.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	1.54	Fully Met http://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new
1.3	Procedure followed in decision making process [Section 4(1)(b)(iii)]	Process of decision making Identify key decision making points	Fully Met	1.54	1.54	https://financiaFully Met	rti- act2005new https://services.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met http://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1.3.2	Final decision making authority	Fully Met	1.54	1.54	https://financiaFully Met	1.54	Fully Met	http://financialser
					lservices.gov.in/rti/suo-moto- disclosure-un		s://financialser	vices.gov.in/rti/
					der-section-4- rti-		/suo-moto-dis	closure-under-
					act2005new		act2005new	section-4-rti-
1.3.3	Related provisions, acts, rules etc.	Fully Met	1.54	1.54	https://financiaFully Met	1.54	Fully Met	http://financialser
					lservices.gov.in/rti/suo-moto- disclosure-un		s://financialser	vices.gov.in/rti/
					der-section-4- rti-		/suo-moto-dis	closure-under-
					act2005new		act2005new	section-4-rti-
1.3.4	Time limit for taking a decisions, if any	Fully Met	1.54	1.54	https://financiaFully Met	1.54	Fully Met	http://financialser
					lservices.gov.in/rti/suo-moto- disclosure-un		s://financialser	vices.gov.in/rti/
					der-section-4- rti-		/suo-moto-dis	closure-under-
					act2005new		act2005new	section-4-rti-
1.3.5	Channel of supervision and accountability	Fully Met	1.54	1.54	https://financiaFully Met	1.54	Fully Met	http://financialser
					lservices.gov.in/rti/suo-moto- disclosure-un		s://financialser	vices.gov.in/rti/
					der-section-4- rti-		/suo-moto-dis	closure-under-
					act2005new		act2005new	section-4-rti-
1.4	Norms for discharge of functions[Section 4(1)(b)(iv)]	Fully Met	1.54	1.54	https://financiaFully Met	1.54	Fully Met	http://financialser
1.4.1	Nature of functions/ services offered	Fully Met	1.54	1.54	https://financiaFully Met	1.54	Fully Met	http://financialser
					lservices.gov.in/rti/suo-moto-		s://financialser	vices.gov.in/rti/

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1.4.2	Norms/ standards for functions/ service delivery	Fully Met	1.54	1.54	https://financiaFully Met	rti-act2005new	Fully Met http	/suo-moto-disclosure-under-section-4-rti-act2005new
1.4.3	Process by which these services can be accessed	Fully Met	1.54	1.54	https://financiaFully Met	rti-act2005new	Fully Met http	/suo-moto-disclosure-under-section-4-rti-act2005new
1.4.4	Time-limit for achieving the targets	Fully Met	1.54	1.54	https://financiaFully Met	rti-act2005new	Fully Met http	/suo-moto-disclosure-under-section-4-rti-act2005new
1.4.5	Process of redress of grievances	Fully Met	1.54	1.54	https://financiaFully Met	rti-act2005new	Fully Met http	/suo-moto-disclosure-under-section-4-rti-act2005new

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1.5	Rules, regulations, instructions manual and records for discharging functions[Section 4(1)(b)(vi)]							
1.5.1	Title and nature of the record/ manual /instruction.	Fully Met	1.92	1.92	https://financiaFully Met	1.92	Fully Met http s://financialser vices.gov.in/rti /suo-moto-disclosure-un der-section-4- rti-act2005new	
1.5.2	List of Rules, regulations, instructions manuals and records.	Fully Met	1.92	1.92	https://financiaFully Met	1.92	Fully Met http s://financialser vices.gov.in/rti /suo-moto-disclosure-under- section-4-rti-act2005new	
1.5.3	Acts/ Rules manuals etc.	Fully Met	1.92	1.92	https://financiaFully Met	1.92	Fully Met http s://financialser vices.gov.in/rti /suo-moto-disclosure-under-section-4- rti-act2005new	
1.5.4	Transfer policy and transfer orders	Fully Met	1.92	1.92	https://financiaFully Met	1.92	Fully Met http s://financialser vices.gov.in/rti /suo-moto-disclosure-un der-section-4- rti-act2005new	
1.6	Categories of documents held by the authority under its control[Section 4(1)(b) (vii)]							
1.6.1	Categories of documents	Fully Met	3.85	3.85	https://financiaFully Met	3.85	Fully Met http s://financialser vices.gov.in/rti /suo-moto-disclosure-un	

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/U.R.L
1.6.2	Custodian of documents/categories	der-section-4-rti-						
1.7.1	Name of Boards, Council, Committee etc.	Fully Met	3.85	3.85	https://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met	3.85	Fully Met http://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new
1.7	Boards, Councils, Committees and other Bodies constituted as part of the Public Authority [Section 4(1)(b)(viii)]							
1.7.1	Not Applicable0	0	empty	Not Applicable0	NA DFS constitutes many boards, committees and council. The may provide information.	NA	0	
1.7.2	Composition							
1.7.3	Dates from which constituted	Not Applicable0	0	empty	Not Applicable0	NA	0	
1.7.4	Term/ Tenure	Not Applicable0	0	empty	Not Applicable0	NA	0	
1.7.5	Powers and functions	Not Applicable0	0	empty	Not Applicable0	NA	0	
1.7.6	Whether their meetings are open to the public?	Not Applicable0	0	empty	Not Applicable0	NA	0	
1.7.7	Whether the minutes of the meetings are open to the public?	Not Applicable0	0	empty	Not Applicable0	NA	0	
1.7.8	Place where the minutes if open to the public are available?	Not Applicable0	0	empty	Not Applicable0	NA	0	
1.8	Directory of officers and employees[Section 4(1) (b) (ix)]							
1.8.1	Name and designation	Fully Met	3.85	3.85	https://financialservices.gov.in/rti/suo-moto-	Fully Met http://financialservices.gov.in/rti/suo-moto-	3.85	Fully Met http://financialservices.gov.in/rti/suo-moto-

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1.8.2	Telephone , fax and email ID	Fully Met	3.85	3.85	https://financiaFully Met	rti-act2005new	3.85	/suo-moto-disclosure-under-section-4-rti-act2005new
1.9	Monthly Remuneration received by officers & employees including system of compensation[Section 4(1) (b) (x)]	Fully Met	3.85	3.85	https://financiaFully Met	rti-act2005new	3.85	/suo-moto-disclosure-under-section-4-rti-act2005new
1.9.1	List of employees with Gross monthly remuneration	Fully Met	3.85	3.85	https://financiaFully Met	rti-act2005new	3.85	/suo-moto-disclosure-under-section-4-rti-act2005new
1.9.2	System of compensation as provided in its regulations	Not Applicable0	0	empty	Not Met	0	0	Not Met DFS may provide system of compensation as provided in its regulations
1.10	Name, designation and other particulars of public information officers[Section 4(1) (b) (xvi)]	Fully Met	3.85	3.85	https://financiaFully Met	rti-right-to-information	3.85	Fully Met http://financialser
1.10.1	Name and designation of the public information officer (PIO), Assistant Public Information officer (APIO) & Appellate Authority	Fully Met	3.85	3.85	https://financiaFully Met	rti-right-to-information	3.85	vices.gov.in/rti/right-to-information
1.10.2	Address, telephone numbers and email ID of each designated official.	Fully Met	3.85	3.85	https://financiaFully Met	rti-right-to-information	3.85	Fully Met http://financialser

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1.11	No. Of employees against whom Disciplinary action has been proposed/ taken(Section 4(2))							
1.11.1	No. of employees against whom disciplinary action has been (i) Pending for Minor penalty or major penalty proceedings	Fully Met	3.85	3.85	https://financiaFully Met lservices.gov.in/rti n/rti/suo-moto- disclosure-un der-section-4- rti- act2005new	3.85	Fully Met http s://financialser vices.gov.in/rti /suo-moto-dis closure-under- section-4-rti- act2005new	Fully Met http s://financialser vices.gov.in/rti /suo-moto-dis closure-under- section-4-rti- act2005new
1.11.2	(ii) Finalised for Minor penalty or major penalty proceedings	Fully Met	3.85	3.85	https://financiaFully Met lservices.gov.in/rti n/rti/suo-moto- disclosure-un der-section-4- rti- act2005new	3.85	Fully Met http s://financialser vices.gov.in/rti /suo-moto-dis closure-under- section-4-rti- act2005new	Fully Met http s://financialser vices.gov.in/rti /suo-moto-dis closure-under- section-4-rti- act2005new
1.12	Programmes to advance understanding of RTI(Section 26)							
1.12.1	Educational programmes	Fully Met	1.92	1.92	https://financiaFully Met lservices.gov.in/rti n/rti/suo-moto- disclosure-un der-section-4- rti- act2005new	1.92	Fully Met http s://financialser vices.gov.in/rti /suo-moto-dis closure-under- section-4-rti- act2005new	Fully Met http s://financialser vices.gov.in/rti /suo-moto-dis closure-under- section-4-rti- act2005new
1.12.2	Efforts to encourage public authority to participate in these programmes	Fully Met	1.92	1.92	https://financiaFully Met lservices.gov.in/rti n/rti/suo-moto- disclosure-un der-section-4- rti- act2005new	1.92	Fully Met http s://financialser vices.gov.in/rti /suo-moto-dis closure-under- section-4-rti- act2005new	Fully Met http s://financialser vices.gov.in/rti /suo-moto-dis closure-under- section-4-rti- act2005new

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1.12.3	Training of CPIO/APIO	Not Applicable	0	0	empty	act2005new	0	act2005new
1.12.4	Update & publish guidelines on RTI by the Public Authorities concerned	Fully Met	1.92	1.92	https://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met	1.92	Not Met DFS may take interest in sending CPIOs/APIO for training
1.13	Transfer policy and transfer orders[F No. 1/6/2011- IR dt. 15.4.2013]	Fully Met	7.69	7.69	https://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met	7.69	Fully Met http://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new
1.13.1	Transfer Policy And Transfer Orders[F No. 1/6/2011- IR Dt. 15.4.2013]	Fully Met	7.69	7.69	https://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met	7.69	Fully Met http://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new
2	Budget and Programme	Total	87	87	92	87	87	
2.1	Budget allocated to each agency including all plans, proposed expenditure and reports on disbursements made etc.[Section 4(1)(b)(xi)]	Total Budget for the public authority	Not Applicable	0	empty	Not Met	0	Not Met Budget allocation and details for the department may be

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL indicated.
2.1.2	Budget for each agency and plan & programmes	Not Applicable0	0	empty		Not Applicable0	NA	NA
2.1.3	Proposed expenditures	Not Applicable0	0	empty		Not Applicable0	NA	NA
2.1.4	Revised budget for each agency, if any	Not Applicable0	0	empty		Not Applicable0	NA	NA
2.1.5	Report on disbursements made and place where the related reports are available	Not Applicable0	0	empty		Not Applicable0	NA	NA
2.2	Foreign and domestic tours(F.No. 1/8/2012- IR dt. 11.9.2012)							
2.2.1	Budget	Not Applicable0	0	empty		Not Applicable0	NA	NA
2.2.2	Foreign and domestic Tours by ministries and officials of the rank of Joint Secretary to the Government and above, as well as the heads of the Department,- (a) Places visited, (b) The period of visit, (c) The number of members in the official delegation, (d) Expenditure on the visit	Fully Met	16.67	16.67	https://financiaFully Met	Not Applicable0	NA	NA
2.2.3	Information related to procurements- (a) Notice/tender enquires, and corrigenda if any thereon, (b) Details of the bids awarded comprising the names of the suppliers of goods/ services being procured, (c) The works contracts concluded – in any such combination of the above-and, (d) The rate/ rates and the total amount at which such procurement or works contract is to be executed.	Fully Met	16.67	16.67	https://financiaFully Met	Fully Met	NA	NA
2.3	Manner of execution of subsidy programme [Section 4(i)(b)(xiii)]							
2.3.1	Name of the programme of activity	Fully Met	6.25	6.25	https://financiaFully Met	Fully Met	NA	NA
2.3.2	Objective of the programme	Fully Met	6.25	6.25	https://financiaFully Met	Fully Met	NA	NA

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
2.3.3	Procedure to avail benefits	Fully Met	6.25	6.25	https://financiaFully Met lservices.gov.i n/financial- inclusion	Fully Met	6.25	Fully Met http s://financialser vices.gov.in/fin ancial- inclusion
2.3.4	Duration of the programme/ scheme	Fully Met	6.25	6.25	https://financiaFully Met lservices.gov.i n/financial- inclusion	Fully Met	6.25	Fully Met http s://financialser vices.gov.in/fin ancial- inclusion
2.3.5	Physical and financial targets of the programme	Fully Met	6.25	6.25	https://financiaFully Met lservices.gov.i n/financial- inclusion	Fully Met	6.25	Fully Met http s://financialser vices.gov.in/fin ancial- inclusion
2.3.6	Nature/ scale of subsidy /amount allotted	Fully Met	6.25	6.25	https://financiaFully Met lservices.gov.i n/financial- inclusion	Fully Met	6.25	Fully Met http s://financialser vices.gov.in/fin ancial- inclusion
2.3.7	Eligibility criteria for grant of subsidy	Fully Met	6.25	6.25	https://financiaFully Met lservices.gov.i n/financial- inclusion	Fully Met	6.25	Fully Met http s://financialser vices.gov.in/fin ancial- inclusion
2.3.8	Details of beneficiaries of subsidy programme (number, profile etc)	Fully Met	6.25	6.25	https://financiaFully Met lservices.gov.i n/financial- inclusion	Fully Met	6.25	Fully Met http s://financialser vices.gov.in/fin ancial- inclusion

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
2.4	Discretionary and non-discretionary grants [F. No. 1/6/2011-IR dt. 15.04.2013]							
2.4.1	Discretionary and non-discretionary grants/ allocations to State Govt./ NGOs/other institutions	Not Applicable0	0	empty	Not Applicable0	Not Applicable0	NA	NA
2.4.2	Annual accounts of all legal entities who are provided grants by public authorities	Not Applicable0	0	empty	Not Applicable0	Not Applicable0	NA	NA
2.5	Particulars of recipients of concessions, permits or authorizations granted by the public authority[Section 4(1) (b) (xiii)]							
2.5.1	Concessions, permits or authorizations granted by public authorities	Not Applicable0	0	empty	Not Applicable0	Not Applicable0	NA	NA
2.5.2	For each concessions, permit or authorization granted - (a) Eligibility criteria, (b) Procedure for getting the concession/ grant and/ or permits of authorizations, (c) Name and address of the recipients given concessions/ permits or authorizations, (d) Date of award of concessions/ permits of authorizations	Not Applicable0	0	empty	Not Applicable0	Not Applicable0	NA	NA
2.6	CAG & PAC paras [F No. 1/6/2011- IR dt. 15.4.2013]							
2.6.1	CAG and PAC paras and the action taken reports Fully Met (ATRs) after these have been laid on the table of both houses of the parliament.	50	50.00	https://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met	50.00	Fully Met	Though the link doesn't provide access
3	Publicity Band Public interface							
3.1	Particulars for any arrangement for consultation with or representation by the members of the public in relation to the formulation of policy or implementation thereof [Section 4(1)(b)(vii)] [F No 1/6/2011-IR dt. 15.04.2013]							
3.1.1	Relevant Acts, Rules, Forms and other documents which are normally accessed by citizens	Fully Met	12.5	12.50 https://financialservices.gov.in/acts-and-subs-ordinate-legislation	Fully Met	12.50	Fully Met http://financialservices.gov.in/acts-and-subordinate-legislation	
Total		133	133	143	133			

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
3.1.2	Arrangements for consultation with or representation by - (a) Members of the public in policy formulation/ policy implementation, (b) Day & time allotted for visitors,(c) Contact details of Information & Facilitation Counter (IFC) to provide publications frequently sought by RTI applicants	Fully Met	12.5	12.50	https://financialservices.gov.in/acts-and-subordinate-legislation	Fully Met	12.50	Fully Met http://financialservices.gov.in/acts-and-subordinate-legislation
3.1.3	Public- private partnerships (PPP)- Details of Special Purpose Vehicle (SPV), if any	Not Applicable0	0	empty	Not Applicable0	Not Applicable0	NA	
3.1.4	Public- private partnerships (PPP)- Detailed project reports (DPRs)	Fully Met	2.78	2.78	NA	Fully Met	2.78	Fully Met Link to access
3.1.5	Public- private partnerships (PPP)- Concession agreements.	Not Applicable0	0	empty	Not Applicable0	Not Applicable0	NA	
3.1.6	Public- private partnerships (PPP)- Operation and maintenance manuals	Not Applicable0	0	empty	Not Applicable0	Not Applicable0	NA	
3.1.7	Public- private partnerships (PPP) - Other documents generated as part of the implementation of the PPP	Not Applicable0	0	empty	Not Applicable0	Not Applicable0	NA	
3.1.8	Public- private partnerships (PPP) - Information relating to fees, tolls, or the other kinds of revenues that may be collected under authorisation from the government	Not Applicable0	0	empty	Not Applicable0	Not Applicable0	NA	
3.1.9	Public- private partnerships (PPP) -Information relating to outputs and outcomes	Not Applicable0	0	empty	Not Applicable0	Not Applicable0	NA	
3.1.10	Public- private partnerships (PPP) - The process of the selection of the private sector party (concessionaire etc.)	Fully Met	2.78	2.78	NA	Fully Met	2.78	Fully Met
3.1.11	Public- private partnerships (PPP) - All payment made under the PPP project	Not Applicable0	0	empty	Not Applicable0	Not Applicable0	NA	

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
3.2	Are the details of policies / decisions, which affect public, informed to them[Section 4(1) (c)]							
3.2.1	Publish all relevant facts while formulating important policies or announcing decisions which affect public to make the process more interactive - Policy decisions/ legislations taken in the previous one year	Fully Met	16.67	16.67	https://financialservices.gov.in/acts-and-subordinate-legislation	Fully Met	16.67	Fully Met https://financialservices.gov.in/acts-and-subordinate-legislation
3.2.2	Publish all relevant facts while formulating important policies or announcing decisions which affect public to make the process more interactive - Outline the Public consultation process	Fully Met	16.67	16.67	https://financialservices.gov.in/acts-and-subordinate-legislation	Fully Met	16.67	https://financialservices.gov.in/acts-and-subordinate-legislation
3.2.3	Publish all relevant facts while formulating important policies or announcing decisions which affect public to make the process more interactive- Outline the arrangement for consultation before formulation of policy	Fully Met	16.67	16.67	https://financialservices.gov.in/acts-and-subordinate-legislation	Fully Met	16.67	https://financialservices.gov.in/acts-and-subordinate-legislation
3.3	Dissemination of information widely and in such form and manner which is easily accessible to the public [Section 4(3)]							
3.3.1	Use of the most effective means of communication - Internet (website)	Fully Met	50	50.00	https://financialservices.gov.in/	Fully Met	50.00	https://financialservices.gov.in/
3.4	Form of accessibility of information manual/ handbook[Section 4(1)(b)]							
3.4.1	Information manual/handbook available in Electronic format	Fully Met	25	25.00	https://financialservices.gov.in/rti/rti-suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met	25.00	https://financialservices.gov.in/rti/rti-suo-moto-disclosure-under-section-4-rti-act2005new
3.4.2	Information manual/handbook available in Printed	Fully Met	25	25.00	https://financialservices.gov.in/rti/rti-suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met	25.00	https://financialservices.gov.in/rti/rti-suo-moto-disclosure-under-section-4-rti-act2005new

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
3.5.1	format				Iservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new			
3.5.2	Whether information manual/ handbook available free of cost or not [Section 4(1)(b)] List of materials available Free of cost	Fully Met	25	25.00	https://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	25.00	Fully Met	
3.5.2	List of materials available At a reasonable cost of Not Applicable0 the medium		0	0	empty	Not Applicable0	NA	
Total		206	206	206		206	206	
4	E-Governance							
4.1	Language in which Information Manual/Handbook Available [F No. 1/6/2011-IR dt. 15.4.2013]							
4.1.1	English	Fully Met	14.29	14.29	https://financialservices.gov.in/	14.29	Fully Met https://financialservices.gov.in/	
4.1.2	Vernacular/ Local Language	Fully Met	14.29	14.29	https://financialservices.gov.in/	14.29	Fully Met https://financialservices.gov.in/	
4.2	When was the information Manual/Handbook last updated? [F No. 1/6/2011-IR dt 15.4.2013]							
4.2.1	Last date of Annual updation	Fully Met	28.57	28.57	https://financialservices.gov.in/annual-report	28.57	Fully Met https://financialservices.gov.in/annual-report	
4.3	Information available in electronic form[Section 4(1)(b)(xiv)]							
4.3.1	Details of information available in electronic form	Fully Met	9.52	9.52	https://financialservices.gov.in/annual-report	9.52	Fully Met All	

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
4.3.2	Name/ title of the document/record/ other information	lservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	9.52	9.52	https://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met	9.52	Fully Met http://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new
4.3.3	Location where available	https://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	9.52	9.52	https://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met	9.52	Fully Met http://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new
4.4	Particulars of facilities available to citizen for obtaining information[Section 4(1)(b)(xv)]	Fully Met	7.14	7.14	https://financialservices.gov.in/sites/default/files/Appointm ent%20of%20CPIOs%20%26%20Appellat e%20Authoriti es.pdf	Fully Met	7.14	https://financialservices.gov.in/sites/default/files/Appointment%20of%20CPIOs%20%26%20Appellate%20Authorities.pdf
4.4.2	Details of information made available	Fully Met	7.14	7.14	https://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met	7.14	Fully Met http://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
4.4.3	Working hours of the facility	Fully Met	7.14	7.14	https://financiaFully Met https://financialser n/lservices.gov.in/	Fully Met http://financialser vices.gov.in/si tes/default/file s/Appointment %20of%20CP IOs%20%26% 20Appellate% 20Authorities. pdf	Fully Met http://financialser vices.gov.in/si tes/default/file s/Appointment %20of%20CP IOs%20%26% 20Appellate% 20Authorities. pdf	s://financialser vices.gov.in/si tes/default/file s/Appointment %20of%20CP IOs%20%26% 20Appellate% 20Authorities. pdf
4.4.4	Contact person & contact details (Phone, fax email)	Fully Met	7.14	7.14	https://financiaFully Met https://financialser n/rti/right-to- information	Fully Met http://financialser vices.gov.in/si tes/default/file s/Appointment %20of%20CP IOs%20%26% 20Appellate% 20Authorities. pdf	Fully Met http://financialser vices.gov.in/si tes/default/file s/Appointment %20of%20CP IOs%20%26% 20Appellate% 20Authorities. pdf	s://financialser vices.gov.in/si tes/default/file s/Appointment %20of%20CP IOs%20%26% 20Appellate% 20Authorities. pdf
4.5	Such other information as may be prescribed under Section 4(l) (b)(xvii)	Fully Met	3.57	3.57	https://financiaFully Met https://financialser	Fully Met http://financialser	Fully Met http://financialser	s://financialser
4.5.1	Grievance redressal mechanism							

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
4.5.2	Details of applications received under RTI and information provided	Not Met	3.57	0	empty	Not Met	0	Not Met The details of applications received under RTI and information provided may be given
4.5.3	List of completed schemes/ projects/ Programmes	Fully Met	3.57	3.57	https://financiaFully Met lservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	3.57	Fully Met http://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	http://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new
4.5.4	List of schemes/ projects/ programme underway	Fully Met	3.57	3.57	https://financiaFully Met lservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	3.57	Fully Met http://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	http://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new
4.5.5	Details of all contracts entered into including name of the contractor, amount of contract and period of completion of contract	Fully Met	3.57	3.57	https://eprocurFully Met e.gov.in/cppp/searchbyorg/Department%20of%20Finan	3.57	Fully Met https://eprocurFully Met e.gov.in/cppp/searchbyorg/Department%20of%20Finan	https://eprocurFully Met e.gov.in/cppp/searchbyorg/Department%20of%20Finan

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
4.5.6	Annual Report	Fully Met	3.57	3.57	https://financiaFully Met lservices.gov.in/annual-report	Fully Met	3.57	Fully Met http://financialservices.gov.in/annual-report
4.5.7	Frequently Asked Question (FAQs)	Fully Met	3.57	3.57	https://financiaFully Met lservices.gov.in/sites/default/files/FAQ%20of%20the%20Department%20by%20the%20RTI%20committee.pdf	Fully Met	3.57	Fully Met http://financialservices.gov.in/sites/default/files/FAQ%20of%20the%20Department%20by%20the%20RTI%20committee.pdf
4.5.8	Any other information such as - (a) Citizen's Charter, (b) Result Framework Document (RFD), (c) Six monthly reports on the , (d) Performance against the benchmarks set in the Citizen's Charter	Fully Met	3.57	3.57	https://financiaFully Met lservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met	3.57	Fully Met Citizen charter may be more detailed and needs to be expanded comprising expectations from clients, benchmarks to measure performance etc.
4.6	Receipt & Disposal of RTI applications & appeals [F.No 1/6/2011-IR dt. 15.04.2013]	Not Met	14.29	0	empty	Not Met	0	Not Met DFS
4.6.1	Details of applications received and disposed							

Sr. No	Details of disclosure	Category	Marks	Obtained Marks	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
4.6.2	Details of appeals received and orders issued	Not Met	14.29	0	empty	Not Met	0	Not Met
4.7	Replies to questions asked in the parliament[Section 4(1)(d)(2)]	Fully Met	28.57	28.57	https://financialservices.gov.in/right-to-information	Fully Met	28.57	Fully Met http://financialservices.gov.in/right-to-information
4.7.1	Details of questions asked and replies given	Fully Met	28.57	28.57	https://financialservices.gov.in/right-to-information	Fully Met	28.57	Fully Met http://financialservices.gov.in/right-to-information
Total		200	168	200	168			
5	Information as may be prescribed							
5.1	Such other information as may be prescribed [F.No. 1/2/2016-IR dt. 17.8.2016, F No. 1/6/2011-IR dt. 15.4.2013]							
5.1.1	Name & details of - (a) Current CPIOs & FAAs, (b) Earlier CPIO & FAAs from 1.1.2015	Fully Met	20	20.00	https://financialservices.gov.in/right-to-information	Fully Met	20.00	https://financialservices.gov.in/right-to-information
5.1.2	Details of third party audit of voluntary disclosure - (a) Dates of audit carried out , (b) Report of the audit carried out	Fully Met	20	20.00	https://financialservices.gov.in/right-to-information	Fully Met	20.00	https://financialservices.gov.in/right-to-information
5.1.3	Appointment of Nodal Officers not below the rank of Joint Secretary/ Additional HoD - (a) Date of appointment , (b) Name & Designation of the officers	Fully Met	20	20.00	https://financialservices.gov.in/right-to-information	Fully Met	20.00	https://financialservices.gov.in/right-to-information
5.1.4	Consultancy committee of key stake holders for advice on suo-motu disclosure - (a) Dates from which constituted, (b) Name & Designation of the	Fully Met	20	20.00	Committee Formed as on 29.03.2023,	Fully Met	20.00	Fully Met Link may be given and the same

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
5.1.5	Committee of PIOs/FAAs with rich experience in RTI to identify frequently sought information under RTI - (a) Dates from which constituted, (b) Name & Designation of the Officers	Dr. Sanjay Kumar, Director	20	20.00	Committee Formed as on 29.03.2023, Dr. Sanjay Kumar, Director along with 2 members	Fully Met	20.00	Fully Met The information may be displayed on the RTI page
Total			100	100		100	100	
6	Information Disclosed on own Initiative							
6.1	Item / information disclosed so that public have minimum resort to use of RTI Act to obtain information	Fully Met	25	25.00	https://financialservices.gov.in/rti/suo-moto-disclosure-under-section-4-rti-act2005new	Fully Met	25.00	Fully Met
6.2	Guidelines for Indian Government Websites (GIGW) is followed (released in February, 2009 and included in the Central Secretariat Manual of Office Procedures (CSMOP) by Department of Administrative Reforms and Public Grievances, Ministry of Personnel, Public Grievances and Rights of Weakness)							
6.2.1	Whether STQC certification obtained and its validity	Fully Met	12.5	12.50	Obtained and Fully Met validity is 10.02.2025	Fully Met	12.50	Fully Met
6.2.2	Does the website show the certificate on the Website?	Fully Met	12.5	12.50	https://financialservices.gov.in/sites/default/files/STQC-D	Fully Met	12.50	Fully Met https://financialservices.gov.in/sites/default/files/default/file

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/IURL
		FS%20certificate.pdf						
Total			50	50			50	s/STQC-DFS %20certificate.pdf
Grand Total			775	743			743	

Wednesday
21/05/2023