# Scheduling Problem for OFDMA Uplink in IEEE 802.11ax Networks

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Abstract—In the modern world to have a high-speed Internet connection is already more a necessity than a luxury. But in modern realities, a wireless connection does not work well in dense networks. So new generation of Wi-Fi devices is coming due to development of the standard IEEE 802.11ax, which should be publicly released in a couple of years. This new standard has the challenging goal of improving some performance indicators such as throughput per user, spectral efficiency, etc. It takes the best of Wi-Fi and adds technology from 4G, hence combination of both technologies will be key in designing the best performing IEEE 802.11ax solutions to scheduling problem in Wi-Fi networks. In this paper we investigate this problem, study peculiarities of this technology union in Wi-Fi and offer a new type of schedulers. Also we show how it is possible to adapt traditional schedulers to IEEE 802.11ax networks.

Index Terms—Wi-Fi, IEEE 802.11ax, High Effiency WLAN, OFDMA, Scheduling

## I. Introduction

#### нужно подвести к проблеме

Wireless networks have become an indispensable thing in the modern world. Nowadays, Wireless Local Area Network (WLAN) is believed to be the most popular technology for information transmission. It is not surprising. People can go online literally anywhere — in a restaurant, cafe, shopping center, park, public transport, airport, at work and, of course, at home. The main thing is just to be within the range of the access point. Such popularity of this technology led to the problem of congestion of Wi-Fi networks, when one network interrupts the signal of another. To cope with this and many other circumstances, IEEE 802 LAN/MAN Standard committee is developing a new amelioration for Wi-Fi standard: IEEE 802.11ax (further in the paper referred to as 11ax).

This amendment provides various ways to improve the efficiency of Wi-Fi, some of them are borrowed from 4G cellular technology. Nevertheless, one of the most significant enhancements of 11ax is the usage of Orthogonal Frequency-Division Multiple Access (OFDMA). It allows the Wi-Fi Access Point to service several stations simultaneously, to better cope with fading and, in case of uplink transmission, to improve the spectral power density.

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The rest of the paper is organized as follows. Section II briefly describes the basic OFDMA features in 11ax networks. Section III provides an overview on related papers. Network scenario is shown in Section IV. In Section V, we cover scheduling problem in 11ax networks and describe main principles of 11ax schedulers. In Section VI, we illustrate, how known schedulers can be adapt for 11ax networks. In Section VII, we demonstrate and discuss numerical results. Section VIII concludes the paper.

#### II. OFDMA FEATURES IN 11AX

Data transmission in Wi-Fi with OFDMA has a number of peculiarities which make it different from data transmission in 4G, although it also uses OFDMA.

# A. Channelization in 802.11ax

The available channel can be split into sets of OFDMA subcarriers called resource units (RUs). The 11ax defines RUs that consist of 26, 52, 106, 242, 484, 996 and  $2\times996$  OFDM tones. The set of available RUs depends on the channel width, e.g., in a 40 MHz channel the STAs can use RUs with up to 484 tones. A wide RUs can be split into narrower RUs: 52-tone, 106-tone RU and 484-tone RUs can be split in two approximately twice-narrower RUs from available set, while 242-tone and 996-tone RUs are split into three RBs (see Fig. 1). Note, that these the divisions of the RUs could be made independently from others.

The scheduler can allocate only one RU to a station, but it can vary the RU size for each station according to the aforementioned limitations. In other words we have 37 26-tone resource units and certain groups of 2, 4, 9, 18 or all 37 of them can be united in order to obtain larger RUs. According to the standard, such a limitation appears because of the need to have service tones next to each allocated RU of any size. Note, that position of these divided RBs is declared in standard and can not be arbitrary. For example, as shown at Fig. 1 two first RUs are united in one 52-tone RU, we cannot unite the second and the third ones.

The size of an RU determines the set of modulationcoding schemes (MCSs) that can be used for transmission in the RU. For example, the standard prohibits usage of the novel 1024-QAM in small RUs. At the same time, for each RU width, the standard defines the minimal receiver sensitivity to signal transmitted with a specific MCS, and

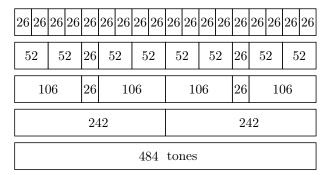


Figure 1: RU locations in 40 MHz channel

Table I: Data rate of different RU at each MCS in Mbps

	MCS	26-tone	52-tone	106-tone	242-tone	484-tone	996-tone
1	BPSK, 1/2	0.8	1.7	3.5	8.1	16.3	34
2	QPSK, 1/2	1.7	3.3	7.1	16.3	32.5	68.1
3	QPSK, 3/4	2.5	5	10.6	24.4	48.8	102.1
4	16-QAM, 1/2	3.3	6.7	14.2	32.5	65	136.1
5	16-QAM, 3/4	5	10	21.3	48.8	97.5	204.2
6	64-QAM, 2/3	6.7	13.3	28.3	65	130	272.2
7	64-QAM, 3/4	7.5	15	31.9	73.1	146.3	306.3
8	64-QAM, 5/6	8.3	16.7	35.4	81.3	162.5	340.3
9	256-QAM, 3/4	10	20	42.5	97.5	195	408.3
10	256-QAM, 5/6	11.1	22.2	47.2	108.3	216.7	453.7
11	1024-QAM, 3/4		_	_	121.9	243.8	510.4
12	1024-QAM, $5/6$	_	_	_	135.4	270.8	576.1

the faster the MCS, the higher is the sensitivity threshold. As the result, a STA cannot use high-speed MCSs if it has poor channel conditions.

A wider RU does not necessarily mean that the STA transmits at greater speed. A STA uses the same transmission power both for wide and narrow RUs, which results in greater signal-to-noise ratio (SNR) values for narrow RUs. As the result, in a narrow RU it can use higher MCSs which can yield greater summary transmission rate. Data rates of MCSs used in the 11ax are indicated in Table I.

Another problem is to make it possible to use OFDMA all users' stations should transmit with the same synchronized MCS. There is one extension in this rule: when we use 1024-QAM in big RUs we still can transmit data in smaller ones with 256-QAM. Stations should use the same power.

Such constraints complicate scheduling problem in  $11\mathrm{ax}$  networks.

# B. Data Transmission Sequence

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To organize data transmission process in UL with OFDMA, the AP transmits Trigger Frames, in which it includes the scheduling information (see Fig. 2). Specifically, for each allocated RU it adds a User Info element that indicates the RU, the MCS that should be used in that RU and the AID of the designated STA. If the AID is 0, then the RU is allocated for random access, which can be used by STAs to request channel resources from the AP.

# III. RELATED WORKS

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Despite the fact that 11ax is expected to be finished by 2019, it has been already studied a lot in the literature.

# IV. NETWORK SCENARIO AND PROBLEM STATEMENT

The considered Wi-Fi network scenario is shown in Fig 3. A group of N stations (STA) is associated to the Access point (AP). From time to time, STAs generate finite data flows to be delivered to the AP.

We study UL scheduling problem in deterministic access, so let stations transmit their BSR in EDCA transmissions period, so there is no need for AP to allocate resources for RA during trigger-interval. Also we have several defined schedulers for deterministic access (which will be discussed later on). Each time unit scheduler allocates resources for associated users in a certain way.

Users' stations are transmitting data in uplink using OFDMA. It means that stations should transmit their data with synchronized MCS and that AP should choose this MCS and allocate RUs satisfying the OFMA features described above.

Consider 40 MHz channel, so we have RUs location shown at Fig. 1. Also correspondence of RUs and given rates is demonstrated at table. I.

Problem statement: to adapt known schedulers which work in traditional Wi-Fi to 11ax networks.

#### V. Scheduling in 11ax

# почти все

The problem of resource allocation in Wi-Fi networks is important. Usually, it is formulated as the following optimization problem. Consider a network which was described in section IV. Each time unit, the AP runs defined scheduler which allocates M RUs to some STAs in order to maximize some network-wide utility function U where M is a total number of RUs in chosen set of RUs. For example, if scheduler choses following RU set: 242, 106, 26, 52, 26, 26-tones RUs to stations respectively then M=6. Note that utility function intuitively should be U=U(RUset, allocation, mcs) here because as it was shown in section II that several performance indicators can vary greatly depending on the allocation and MCS.

As justified in {Scheduling Algorithms for Multicarrier Wireless Data Systems Matthew Andrews and Lisa Zhang}, in multi resource unit systems like OFDMA, we can represent the utility function U(t) in the following objective form

$$U(t) = \sum_{i} \sum_{j} x_{i}^{j} \lambda_{i}^{j}(t)$$

where  $x_i^j$  is an indicator which equals 1 if STA i is assigned to RU j, and 0, otherwise and  $\lambda_i^j$  is the scheduler metric value which client station i has in RU j at time slot t.

For shortness, X is the two dimensional matrix of  $\left\{x_i^j\right\}$  representing RU assignment to the STAs and c is

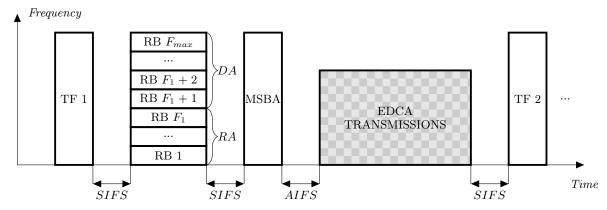


Figure 2: Frame handshake for UL OFDMA transmission (NEED TO CHECK).

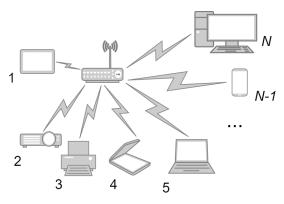


Figure 3: The network scenario

a number of used MCS (according to the table I). With all aforementioned instructions we can rewrite common optimization problem for fixed RU set and fixed MCS for all possible scheduler metrics:

$$\max U_{c,RUset}(t,X) = \max \sum_{i} \sum_{j} x_{i}^{j} \lambda_{i}^{j}(t); \qquad (1)$$

$$\text{subject to } \sum_{i} x_{i}^{j} \leq 1, \quad \forall j; \qquad (2)$$

$$\sum_{j} x_{i}^{j} \leq 1, \quad \forall i; \qquad (3)$$

$$\sum_{i} \sum_{j} x_{i}^{j} \leq m, \qquad (4)$$

subject to 
$$\sum_{i} x_i^j \le 1, \quad \forall j;$$
 (2)

$$\sum_{i} x_i^j \le 1, \quad \forall i; \qquad (3)$$

$$\sum_{i} \sum_{j} x_i^j \le m, \qquad (4)$$

where conditions (2)-(4) are related to the OFDMA constraints described before. Namely, (2) represents the fact that one station can not be assigned to more than one RU. Condition (3) works in opposite way: one RU can not be assigned for more than one station. The last condition (4) comes with a total number M of RUs in this fixed RU set.

This problem is known as the assignment problem, which can be resolved in polynomial time using dynamic programming algorithm named as the Hungarian algorithm, [?]. It also known as the Kuhn-Munkers algorithm or the Mankris algorithm. Its solution is the assignment

 $\hat{X}$ , which gives maximum value of  $U_{c,RUset}(t,X)$  for any possible allocation matrix X with fixed RU set and MCS. Briefly, the algorithm makes up the matrix  $\Lambda = \{\lambda_i^j\}$ and choses the best  $\lambda_i^j$  in each line in order to maximize objective function 1. Example is given on Fig. 4 where purple cells of this matrix describe solution  $\hat{X}$ .

With this algorithm the AP can allocate fixed RU set with fixed MCS, but it needs to determine them as well. The best RU set and best MCS can be considered one by one and then AP should make decision. The examination of RU set can be hastened by excluding configurations that are obviously worse than the known alternatives, and the examination of MCS should consider only supported mcs and can be hastened by excluding MCSs lower than the highest MCS supported by all stations. It means that we have borders for MCS:  $mcs_{min}^*$  and  $mcs_{max}^*$ 

# Algorithm 1 General 11ax scheduler algorithm

```
1: procedure Scheduler
       for RUset in \{RUset\} do
2:
           for mcs in [mcs^*_{min}; mcs^*_{max}] do
3:
               for stations in client do
 4:
                    \hat{X} = HungarianAlg(\Lambda(RUset, mcs))
5:
                   if U_{best} < U(\hat{X}) then
                       U_{best} = U(X),
7:
                        X_{best} = \hat{X},
8:
9:
                        MCS_{best} = mcs,
                        RUset_{best} = RUset.
10:
       return RUset_{best}, MCS_{best}, X_{best}
11:
```

#### VI. AX-ADAPTATIONS OF KNOWN SCHEDULERS

(The most widely used utility functions and corresponding schedulers are listed below.)

designations used below:

 $r_i$  - nominal data rate of station i in the whole frequency band.

# A. MR

The AP in legacy Wi-Fi use MR (Max Rate) scheduler in order to maximize throughput S = S(t) at current

Figure 4: Example on Hungarian algorithm

$x_i^j$	Client Stations, $i$										
j	2	2	3	6	7	1	3	6	2	6	
Units,	1	4	5	8	9	5	3	8	5	1	
Jnj	9	4	7	5	3	2	6	1	3	1	
	2	3	8	4	5	6	1	2	7	4	
Resource	7	4	9	3	7	1	2	8	5	8	
ose	9	7	4	7	8	2	2	4	3	6	
R	9	8	5	6	1	2	4	5	6	7	

moment t. This scheduler considers all client stations and assigns the whole frequency band to a station with the highest nominal data rate  $r_i$  in channel. However, with appearance of 11ax standard AP can consider channel division and maximize cumulative throughput  $S = \sum S_i(t)$ , where  $S_i(t)$  is throughput of station i at time instant t. We should simply make

$$\lambda_i^j(c) = r_i^j(c); \tag{5}$$

B. PF

PF (Proportional Fair) scheduler

$$\lambda_{i}^{j}(c) = \begin{cases} r_{i}^{j}(c) \cdot \frac{timeinsystem}{transmitteddata}, \\ 0, if \ transmitteddata = 0; \end{cases}$$
 (6)

C. Shortest Remaining Time First

SRTF (Shortest Remaining Time First) scheduler

$$\lambda_i^j(c) = (n-i)\frac{r_i^j(c)}{r_i};\tag{7}$$

VII. Numerical Results

VIII. CONCLUSION

In the paper, we have studied scheduling problem in 11ax networks, the standard of which is currently under development.

We can get gain due to division of channel.