

## Mathematical Framing of Error Accumulation in MZI Meshes

We treat a mesh of Mach–Zehnder interferometers (MZIs) as a composition of noisy  $2 \times 2$  unitary operators. Suppose each ideal MZI applies the transformation

$$U_i(\theta_i, \phi_i) = \begin{pmatrix} \cos \theta_i & i \sin \theta_i \\ i \sin \theta_i & \cos \theta_i \end{pmatrix} \begin{pmatrix} e^{i\phi_i} & 0 \\ 0 & 1 \end{pmatrix}.$$

When assembled in a universal mesh, the full circuit implements a target transformation  $U_{\text{target}} \in U(N)$

via 
$$U_{\text{mesh}} = \prod_{i=1}^L (U_i + \Delta U_i),$$
 where  $\Delta U_i$  represents perturbations arising from fabrication disorder, thermal noise, or control error (e.g.,  $\delta\theta_i \sim \mathcal{N}(0, \sigma^2)$ ).

**Key Mathematical Challenge** We must understand how these perturbations accumulate in the operator norm or spectral distance,  $\|U_{\text{mesh}} - U_{\text{target}}\|$ , and under what conditions the overall mesh becomes

ill-conditioned, for example when the condition number  $\kappa(U_{\text{mesh}}) = \frac{\sigma_{\max}}{\sigma_{\min}} \gg 1$ .

## Random Matrix Theory Perspective

If phase-shifter noise is independent and zero-mean, each perturbation can be modeled as a small random Hermitian matrix,

$\Delta U_i \approx \epsilon H_i$ ,  $H_i = H_i^\dagger$ ,  $\epsilon \ll 1$ . The full mesh can then be written as

$$U_{\text{mesh}} \approx \prod_{i=1}^L (U_i + \epsilon H_i).$$

Using first-order perturbation theory,

$$U_{\text{mesh}} \approx U_{\text{target}} + \epsilon \sum_{i=1}^L J_i H_i,$$

where  $J_i$  are Jacobian-like sensitivity operators that encode how local errors propagate through the mesh. Under suitable assumptions on the statistics of  $H_i$ , the ensemble of perturbed circuits can be modeled as a non-Hermitian random matrix.

**Metrics of Interest** This framework allows analysis of:

$$\mathbb{E}[\|U_{\text{mesh}} - U_{\text{target}}\|^2] \sim L\epsilon^2. \text{ Fidelity loss}$$

$$\mathbb{E}\left[1 - \left|\langle \psi | U_{\text{mesh}}^\dagger U_{\text{target}} | \psi \rangle\right|^2\right] \sim L\epsilon^2.$$

**Condition number statistics** using tools from random matrix theory, such as the Marchenko--Pastur law for singular value distributions. **Implications for Scalable Photonics (DARPA PICASSO)** This analysis enables predictive scaling laws,

$\text{Error} \propto L\epsilon$ , allowing performance bounds to be estimated prior to fabrication. It also supports design optimization, where mesh topologies or reparameterizations  $\{U_i\}$  are chosen to minimize spectral sensitivity, and provides analytic guidance for AI-driven layout generation, surrogate modeling, and calibration without requiring full electromagnetic simulation at each design iteration.

## How Experimental Data Helps in Scalable Photonic Meshes

### 1. Empirical Calibration of Component Errors

- Each MZI in a mesh introduces imperfections—e.g., phase bias, thermal drift, or fabrication asymmetry.
- Experimental testbeds provide per-device error profiles, which can be incorporated into simulations or training pipelines for more realistic modeling.
- This enables post-fabrication tuning and error-aware reconfiguration, aligning real hardware with theoretical performance.

### 2. Surrogate Model Training

- High-fidelity simulations (FDTD, FEM) are expensive. Experimental data provides ground truth to:
  - Train data-driven surrogates (e.g., neural network models of MZI behavior).
  - Quantify nonlinear responses, crosstalk, and temperature dependence that are hard to model from first principles.
- These surrogates allow real-time prediction and control across large graphs of unitary operations.

### 3. Uncertainty Estimation and Bayesian Updates

- In large meshes, local noise can propagate in unpredictable ways.
- Experimental measurements let us:
  - Estimate posterior distributions over unitary parameters.
  - Apply Bayesian filtering to localize noise sources (e.g., variational inference over phase noise across rows/columns).
- This helps in selective error correction rather than global brute-force tuning.

### 4. Mesh-Wide Consistency Checks

- When using structured meshes (e.g., Haar or butterfly-like architectures), global measurement data helps:
  - Detect unitarity violations.
  - Enforce row/column orthogonality constraints during runtime.
- Experimental data supports low-rank recovery or compressed sensing techniques for debugging.

### 5. Design-for-Measurement

- Data from early test-chips helps shape future meshes:
  - By selecting robust MZI topologies less sensitive to phase noise.
  - By embedding diagnostic ports or loopback paths that enhance observability.

What is a Haar-distributed unitary matrix?

A Haar distribution is the "uniform" distribution over all unitary matrices of a given size (say,  $N \times N$ ). If you randomly draw a matrix  $U$  from the Haar distribution, it is as random as possible under the constraint that  $U^\dagger U = I$  (i.e., it's unitary).

Think of it like this:

- In the same way that the uniform distribution gives you an unbiased draw from a sphere,
- Haar-distribution gives you an unbiased draw from the space of all unitary transformations.

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 Why does this matter for photonic meshes?

A large-scale programmable photonic mesh (like a network of tunable Mach-Zehnder Interferometers or MZIs) is typically configured to implement some target unitary transformation

$U_{\text{target}}$ .

Now, here's the crux:

As the number of components grows, the probability that manufacturing errors, thermal drift, or tuning inaccuracies cause catastrophic loss of performance increases.

But here's what makes Haar-distributed unitaries helpful:

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 Intuition 1: Randomness = Error Delocalization

- Haar unitary matrices spread information uniformly across all input/output modes.
- This delocalizes errors: even if a few MZIs are faulty, their influence gets "averaged out" over the whole system.

Analogy: Think of error in one MZI as a ripple in a pond. In a structured system (say, a Fourier transform), that ripple might constructively interfere and blow up. But in a Haar-random mesh, ripples destructively interfere, leading to self-cancellation.

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 Intuition 2: Natural Regularizer for Optimization

- When optimizing or calibrating a large mesh, starting with Haar-distributed initializations provides well-conditioned gradients.
- This helps avoid local minima or instabilities during training or tuning.

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 Intuition 3: Benchmarking and Universality

- Haar unitaries form the gold standard for testing universality of the photonic mesh.
- If your mesh can implement Haar-random unitaries accurately and repeatably, it suggests your system can do any unitary accurately.


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 Intuition 4: Fault Tolerance Through Randomization

In some regimes, researchers have shown that:

- Random meshes are more robust than structured ones like FFT or DFT circuits.
- Errors in structured circuits often accumulate, while in Haar-distributed circuits, they decorrelate.

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 Why hasn't this been achieved yet in photonics?

Because to realize a Haar-random unitary, you need:

- Dense reconfigurability: every MZI and phase shifter must be independently tunable.
- Precise calibration and error tracking, which becomes extremely hard at  $>100$  components.
- Thermal crosstalk, power drift, and finite resolution all break ideal randomness.

That's why AI-based or uncertainty-aware error localization is critical — and part of what your proposal tackles!

## Operator-Theoretic Framing of Large-Scale Photonic Meshes

Operator theory provides an abstract framework for analyzing large-scale photonic systems, especially reconfigurable interferometric meshes built from Mach-Zehnder interferometers (MZIs), by treating them as compositions of linear operators.

Photonic mesh as a composition of local operators. Each MZI is modeled as a unitary operator parameterized by tunable phase shifts,

$U_i(\theta, \phi) \in U(2)$ . A mesh composed of  $N$  such elements implements a global transformation given by the ordered product

$$U = U_N \cdot U_{N-1} \cdots U_2 \cdot U_1 \in U(M).$$

Here,  $U$  acts on an  $M$ -dimensional input space determined by the mesh topology.

### Errors as operator perturbations

Fabrication defects, thermal drift, and phase noise perturb each local operator according to

$$U_i \rightarrow U_i + \delta U_i.$$

The resulting global operator becomes

$$U = \tilde{U} + \delta U.$$

To first order, the total perturbation can be written as

$$\delta U \approx \sum_j U_N \cdots \delta U_j \cdots U_1.$$

This formulation makes it possible to localize how individual component errors propagate through the full interferometric circuit.

### Operator conditioning and sensitivity

The condition number of the mesh transformation,

$$\kappa(U),$$

quantifies how small local perturbations  $\delta U_j$  are amplified into global deviations  $\delta U$ . This enables identification of sensitive paths that amplify error as well as robust paths with low perturbation gain.

### Error correction via operator recomposition

Rather than tuning all MZIs, the operator view enables decomposing  $\delta U$  into dominant local contributions, prioritizing correction based on operator sensitivity, and reconfiguring routing to favor more stable suboperators.

Capabilities enabled by operator theory

Operator theory enables a global--local decomposition of uncertainty, expressing overall mesh fidelity as a function of a sparse subset of unreliable MZIs rather than treating all elements equally.

Adjoint methods and operator derivatives enable analytic control gradients. In particular, Fr\'echet derivatives allow computation of sensitivities,

$$\frac{\partial U}{\partial \theta_j}.$$

Finally, by bounding uncertainty in operator norm,

$$\|\delta U\| \leq \varepsilon,$$

the framework enables certifiable self-correction, providing formal guarantees on fidelity recovery and convergence beyond heuristic tuning.