

## Mathematical Framing of Error Accumulation in MZI Meshes

We treat a mesh of Mach-Zehnder interferometers (MZIs) as a composition of noisy  $2 \times 2$  unitary operators. Suppose each ideal MZI applies the transformation

$$U_i(\theta_i, \phi_i) = \begin{pmatrix} \cos \theta_i & i \sin \theta_i \\ i \sin \theta_i & \cos \theta_i \end{pmatrix} \begin{pmatrix} e^{i\phi_i} & 0 \\ 0 & 1 \end{pmatrix}.$$

When assembled in a universal mesh, the full circuit implements a target transformation  $U_{\text{target}} \in U(N)$

$$U_{\text{mesh}} = \prod_{i=1}^L (U_i + \Delta U_i),$$

via where  $\Delta U_i$  represents perturbations arising from fabrication disorder, thermal noise, or control error (e.g.,  $\delta\theta_i \sim \mathcal{N}(0, \sigma^2)$ ).

**Key Mathematical Challenge** We must understand how these perturbations accumulate in the operator norm or spectral distance,  $\|U_{\text{mesh}} - U_{\text{target}}\|$ , and under what conditions the overall mesh becomes

$$\kappa(U_{\text{mesh}}) = \frac{\sigma_{\max}}{\sigma_{\min}} \gg 1.$$

ill-conditioned, for example when the condition number

## Random Matrix Theory Perspective

If phase-shifter noise is independent and zero-mean, each perturbation can be modeled as a small random Hermitian matrix,

$$\Delta U_i \approx \epsilon H_i, \quad H_i = H_i^\dagger, \quad \epsilon \ll 1. \text{ The full mesh can then be written as}$$

$$U_{\text{mesh}} \approx \prod_{i=1}^L (U_i + \epsilon H_i).$$

Using first-order perturbation theory,

$$U_{\text{mesh}} \approx U_{\text{target}} + \epsilon \sum_{i=1}^L J_i H_i,$$

where  $J_i$  are Jacobian-like sensitivity operators that encode how local errors propagate through the mesh. Under suitable assumptions on the statistics of  $H_i$ , the ensemble of perturbed circuits can be modeled as a non-Hermitian random matrix.

**Metrics of Interest** This framework allows analysis of:

$$\mathbb{E}[\|U_{\text{mesh}} - U_{\text{target}}\|^2] \sim L\epsilon^2. \text{ Fidelity loss}$$

$$\mathbb{E}\left[1 - \left|\langle \psi | U_{\text{mesh}}^\dagger U_{\text{target}} | \psi \rangle\right|^2\right] \sim L\epsilon^2.$$

**Condition number statistics** using tools from random matrix theory, such as the Marchenko–Pastur law for singular value distributions. **Implications for Scalable Photonics (DARPA PICASSO)** This analysis enables predictive scaling laws,

Error  $\propto L\epsilon$ , allowing performance bounds to be estimated prior to fabrication. It also supports design optimization, where mesh topologies or reparameterizations  $\{U_i\}$  are chosen to minimize spectral sensitivity, and provides analytic guidance for AI-driven layout generation, surrogate modeling, and calibration without requiring full electromagnetic simulation at each design iteration.

## How Experimental Data Helps in Scalable Photonic Meshes

### 1. Empirical Calibration of Component Errors

- Each MZI in a mesh introduces imperfections—e.g., phase bias, thermal drift, or fabrication asymmetry.
- Experimental testbeds provide per-device error profiles, which can be incorporated into simulations or training pipelines for more realistic modeling.
- This enables post-fabrication tuning and error-aware reconfiguration, aligning real hardware with theoretical performance.

### 2. Surrogate Model Training

- High-fidelity simulations (FDTD, FEM) are expensive. Experimental data provides ground truth to:
  - Train data-driven surrogates (e.g., neural network models of MZI behavior).
  - Quantify nonlinear responses, crosstalk, and temperature dependence that are hard to model from first principles.
- These surrogates allow real-time prediction and control across large graphs of unitary operations.

### 3. Uncertainty Estimation and Bayesian Updates

- In large meshes, local noise can propagate in unpredictable ways.
- Experimental measurements let us:
  - Estimate posterior distributions over unitary parameters.
  - Apply Bayesian filtering to localize noise sources (e.g., variational inference over phase noise across rows/columns).
- This helps in selective error correction rather than global brute-force tuning.

### 4. Mesh-Wide Consistency Checks

- When using structured meshes (e.g., Haar or butterfly-like architectures), global measurement data helps:
  - Detect unitarity violations.
  - Enforce row/column orthogonality constraints during runtime.
- Experimental data supports low-rank recovery or compressed sensing techniques for debugging.

### 5. Design-for-Measurement

- Data from early test-chips helps shape future meshes:
  - By selecting robust MZI topologies less sensitive to phase noise.
  - By embedding diagnostic ports or loopback paths that enhance observability.

What is a Haar-distributed unitary matrix?

A Haar distribution is the "uniform" distribution over all unitary matrices of a given size (say,  $N \times N$ ). If you randomly draw a matrix  $U$  from the Haar distribution, it is as random as possible under the constraint that  $U^\dagger U = I$  (i.e., it's unitary).

Think of it like this:

- In the same way that the uniform distribution gives you an unbiased draw from a sphere,
- Haar-distribution gives you an unbiased draw from the space of all unitary transformations.

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### Why does this matter for photonic meshes?

A large-scale programmable photonic mesh (like a network of tunable Mach-Zehnder Interferometers or MZIs) is typically configured to implement some target unitary transformation  $U_{\text{target}}$ .

Now, here's the crux:

As the number of components grows, the probability that manufacturing errors, thermal drift, or tuning inaccuracies cause catastrophic loss of performance increases.

But here's what makes Haar-distributed unitaries helpful:

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#### Intuition 1: Randomness = Error Delocalization

- Haar unitary matrices spread information uniformly across all input/output modes.
- This delocalizes errors: even if a few MZIs are faulty, their influence gets "averaged out" over the whole system.

Analogy: Think of error in one MZI as a ripple in a pond. In a structured system (say, a Fourier transform), that ripple might constructively interfere and blow up. But in a Haar-random mesh, ripples destructively interfere, leading to self-cancellation.

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#### Intuition 2: Natural Regularizer for Optimization

- When optimizing or calibrating a large mesh, starting with Haar-distributed initializations provides well-conditioned gradients.
- This helps avoid local minima or instabilities during training or tuning.

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#### Intuition 3: Benchmarking and Universality

- Haar unitaries form the gold standard for testing universality of the photonic mesh.
- If your mesh can implement Haar-random unitaries accurately and repeatably, it suggests your system can do any unitary accurately.

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#### Intuition 4: Fault Tolerance Through Randomization

In some regimes, researchers have shown that:

- Random meshes are more robust than structured ones like FFT or DFT circuits.
- Errors in structured circuits often accumulate, while in Haar-distributed circuits, they decorrelate.

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### Why hasn't this been achieved yet in photonics?

Because to realize a Haar-random unitary, you need:

- Dense reconfigurability: every MZI and phase shifter must be independently tunable.
- Precise calibration and error tracking, which becomes extremely hard at >100 components.
- Thermal crosstalk, power drift, and finite resolution all break ideal randomness.

That's why AI-based or uncertainty-aware error localization is critical — and part of what your proposal tackles!

## Operator-Theoretic Framing of Large-Scale Photonic Meshes

Operator theory provides an abstract framework for analyzing large-scale photonic systems, especially reconfigurable interferometric meshes built from Mach-Zehnder interferometers (MZIs), by treating them as compositions of linear operators.

Photonic mesh as a composition of local operators. Each MZI is modeled as a unitary operator parameterized by tunable phase shifts,

$U_i(\theta, \phi) \in U(2)$ . A mesh composed of  $N$  such elements implements a global transformation given by the ordered product

$$U = U_N \cdot U_{N-1} \cdots U_2 \cdot U_1 \in U(M).$$

Here,  $U$  acts on an  $M$ -dimensional input space determined by the mesh topology.

Errors as operator perturbations

Fabrication defects, thermal drift, and phase noise perturb each local operator according to

$$U_i \rightarrow U_i + \delta U_i.$$

The resulting global operator becomes

$$U = \tilde{U} + \delta U.$$

To first order, the total perturbation can be written as

$$\delta U \approx \sum_j U_N \cdots \delta U_j \cdots U_1.$$

This formulation makes it possible to localize how individual component errors propagate through the full interferometric circuit.

Operator conditioning and sensitivity

The condition number of the mesh transformation,

$$\kappa(U),$$

quantifies how small local perturbations  $\delta U_j$  are amplified into global deviations  $\delta U$ . This enables identification of sensitive paths that amplify error as well as robust paths with low perturbation gain.

Error correction via operator recomposition

Rather than tuning all MZIs, the operator view enables decomposing  $\delta U$  into dominant local contributions, prioritizing correction based on operator sensitivity, and reconfiguring routing to favor more stable suboperators.

## Capabilities enabled by operator theory

Operator theory enables a global--local decomposition of uncertainty, expressing overall mesh fidelity as a function of a sparse subset of unreliable MZIs rather than treating all elements equally.

Adjoint methods and operator derivatives enable analytic control gradients. In particular, Fr\'echet derivatives allow computation of sensitivities,

$$\frac{\partial U \partial \theta_j}{[?]}.$$

Finally, by bounding uncertainty in operator norm,

$$\|\delta U\| \leq \varepsilon,$$

the framework enables certifiable self-correction, providing formal guarantees on fidelity recovery and convergence beyond heuristic tuning.