

A Machine Learning Approach in Predictive Maintenance in the IoT Enabled Industry 4.0

¹Sathiyakeerthi Madasamy,
Solution Architect, Pilvi Systems Inc, Lewisville, TX 75057, USA,
sathiya@pilvisystems.com

³Rakesh Kumar Yadav,
Department of Computer Science and Engineering,
SRM Institute of Science and Technology, Delhi NCR Campus,
Modinagar, Ghaziabad, Uttar Pradesh-201204, India,
rkycese@gmail.com

²B.Prabhu Shankar,
Department of Computer Science and Engineering,
Faculty of Engineering and Technology,
Alliance University, Karnataka – 562106, India,
prabu2000@gmail.com

⁴Jayalakshmi K P,
Department of Electronics and Communication Engineering,
St Joseph Engineering College, Mangaluru, Karnataka, India,
jayalakshmi@sjec.ac.in

Abstract— Predictive maintenance using machine learning is a powerful technique for industries seeking to enhance their operations with minimize downtime. In an IoT-enabled Industry 4.0 environment, this approach can be taken to a new level by leveraging the vast amounts of data generated by connected devices. To implement a machine learning methodology to projecting conservation in an Industry 4.0 environment, several key steps need to be taken. First, data from IoT devices across the industrial ecosystem should be collected and centralized in a data lake or similar storage system. This data should include information on equipment health, sensor readings, and other relevant metrics. Next, the data should be preprocessed and transformed to ensure its quality and consistency. This may involve cleaning, normalization, and feature engineering to create relevant variables for use in machine learning models. Once the data has been preprocessed, a range of machine learning models can be trained on it to predict equipment failures or other maintenance issues. This may involve ongoing tuning and optimization of model hyperparameters or retraining the models on new data as it becomes available. Finally, the predictions generated by the machine learning models should be integrated into a broader maintenance management system to enable timely action. This may include triggering maintenance requests, generating work orders, or even automating maintenance tasks through the use of robots or other industrial automation technologies. By implementing a machine learning method to projecting preservation in an IoT-enabled Industry 4.0 environment, industries can optimize their operations, minimize downtime, and improve overall equipment effectiveness.

Keywords—Predictive maintenance, artificial intelligence, maintenance task, machine learning, Internet of Things.

I. INTRODUCTION

The big data analytics, artificial intelligence, robotics, and other advanced technologies are being integrated to build smart, linked factories and supply chains as portion of the fourth industrial revolution, or Industry 4.0 [1]. By enabling machines, gadgets, and systems to communicate and work together in real time, the concept of

Industry 4.0 seeks to build more adaptable, effective, and autonomous manufacturing systems that will allow firms to react swiftly to shifting consumer needs. Industry 4.0 also involves the use of cyber-physical systems, which are interconnected physical and virtual systems that monitor and control the production process [2].

The integration of these advanced technologies enables businesses to improve productivity, reduce waste and downtime, and create more personalized products and services [3]. It also has the potential to create new business models and revenue streams, improve supply chain management, and enhance overall customer satisfaction [4]. Industry 4.0 is an essential technological transformation that is expected to revolutionize the manufacturing industry. The significance of Industry 4.0 lies in its potential to transform the manufacturing industry, enabling businesses to become more efficient, productive, and innovative [5].

One of the key benefits of Industry 4.0 is increased efficiency. Advanced technologies such as machine learning and big data analytics can help businesses optimize their production processes, reduce waste, and improve overall efficiency. This can lead to significant cost savings and increased profitability for businesses [6]. Another advantage of Industry 4.0 is enhanced productivity. Automation and robotics can help businesses streamline their operations and increase their output, without compromising on quality. This can help businesses meet increasing demand while keeping production costs under control [7]. In addition to increased efficiency and productivity, Industry 4.0 can also lead to improved product quality. Advanced technologies such as AI and big data analytics can help businesses identify and correct quality issues in real time, leading to better products and happier customers. Industry 4.0 can also help businesses become more flexible and responsive to changing market demands. With the help of advanced technologies, businesses can customize their products to meet individual customer needs, respond quickly to changing market demands, and stay ahead of the competition [8]. Overall, Industry 4.0 represents

a significant opportunity for businesses to transform their operations and drive growth. By leveraging advanced technologies, businesses can increase efficiency, productivity, and innovation, while also reducing costs and improving customer satisfaction.

II. ROLE OF AI IN INDUSTRY 4.0

The fourth industrial revolution, known as Industry 4.0, which incorporates technologies like the Internet of Things (IoT), cloud computing, and big data, is heavily reliant on artificial intelligence (AI). The digital transformation of industries is being driven by AI, which is assisting companies and organisation with operational optimisation, cost containment, and performance improvement. One of the primary applications of AI in Industry 4.0 is the processing and analysis of vast amounts of data generated by IoT devices [9]. AI-powered algorithms can make sense of this data and provide valuable insights into various aspects of business operations, from inventory management to supply chain optimization. With the help of AI, businesses can make informed decisions and take proactive measures to mitigate risks and improve their bottom line.

Another crucial role of AI in Industry 4.0 is the development of autonomous systems and smart machines. These systems and machines can work independently without human intervention, making manufacturing and other operations more efficient and cost-effective [10]. Robots equipped with AI, for instance, can do monotonous and repetitive jobs in a factory, freeing up human workers to concentrate on more challenging and imaginative activities. Another essential component of Industry 4.0, predictive maintenance, also heavily depends on AI. AI algorithms can forecast when equipment is likely to fail by analysing data from sensors and other sources. This enables firms to plan maintenance before the equipment fails. By minimising unplanned downtime and preventing costly repairs, this strategy can save time and money [11].

AI-powered virtual assistants and chatbots are also becoming increasingly popular in customer service and support. These systems can interact with customers in natural language and provide prompt and accurate responses to queries and concerns, improving customer satisfaction and loyalty [12]. Overall, AI is a critical enabler of Industry 4.0, and its applications are expanding rapidly. With the power of AI, businesses can gain a competitive edge in the digital age by leveraging data, automating processes, and enhancing customer experiences [13].

III. IMPORTANCE OF IOT IN INDUSTRY 4.0

The Internet of Things (IoT) has become an integral part of Industry 4.0, the fourth industrial revolution that is transforming the manufacturing industry through the integration of advanced technologies. IoT devices and sensors enable manufacturers to collect vast amounts of data in real time, providing insights into every aspect of the

production process. IoT allows for the creation of smart factories, where machines and equipment are connected and communicate with each other, as well as with humans, creating a seamless production process [14]. This connectivity enables manufacturers to optimize their operations, increase efficiency, and reduce costs by automating processes, monitoring equipment performance, and predicting maintenance needs. The use of IoT in Industry 4.0 also enhances product quality and customer satisfaction by allowing manufacturers to create customized products that meet the exact needs of their customers [15]. This personalization is possible because IoT devices provide manufacturers with real-time data on product usage and performance, allowing them to make improvements and adjustments quickly. The impact of potential failures can be reduced through involving robust redundancy and backup system. Regular monitoring and analyzing of data help to detect the early anomalies.

Moreover, IoT can also help manufacturers reduce their environmental impact. By tracking energy consumption, water usage, and waste production, manufacturers can identify areas for improvement and implement changes that reduce their environmental footprint. In conclusion, the importance of IoT in Industry 4.0 cannot be overstated [16]. The integration of IoT devices and sensors into manufacturing processes provides manufacturers with real-time data that enables them to optimize their operations, reduce costs, enhance product quality and customer satisfaction, and reduce their environmental impact.

IV. PROPOSED SYSTEM

The proposed system for the machine learning method in prognostic preservation in the IoT-enabled Industry 4.0 is a system designed to enhance the reliability of industrial machinery and equipment by predicting potential failures before they occur. The system is based on the principles of machine learning and utilizes the data generated by IoT devices to develop predictive models. The system works by collecting and analyzing data from various sensors installed on industrial equipment. This data is then used to train machine learning models that can predict when a machine is likely to fail. By identifying potential failures before they occur, maintenance can be scheduled at a more convenient time, reducing downtime and improving overall productivity. The system also incorporates real-time monitoring capabilities, enabling maintenance personnel to receive alerts when a machine is at risk of failure. This allows for quick action to be taken to prevent downtime and minimize the impact of any potential failures. Overall, the proposed system for the machine learning method in projecting upkeep in the IoT-enabled Industry 4.0 is a powerful tool that can help organizations optimize their operations and reduce maintenance costs by proactively identifying potential failures before they occur.



Fig 1: Proposed system

Figure 1 represents the various perspective of the proposed system.

V. IMPLEMENTATION OF THE PROPOSED SYSTEM

Predictive maintenance using machine learning and genetic algorithms in the IoT-enabled Industry 4.0 involves the following steps as shown in figure 2:

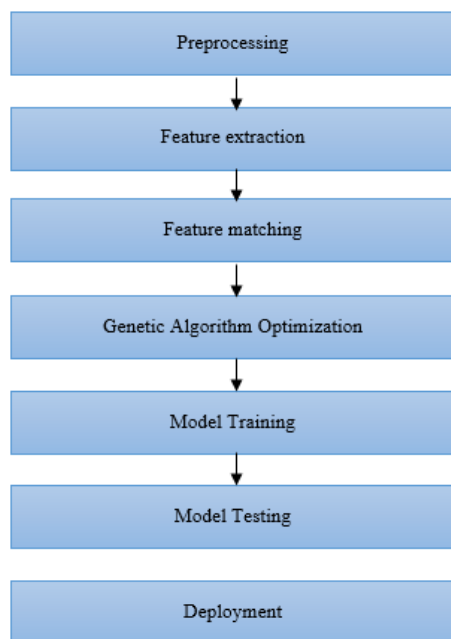


Fig 2: Stages in the proposed system

Data Assortment: The primary stage is to gather the information from various sensors on the machines in the IoT network. This data can include temperature, pressure, vibration, current, and other sensor measurements. Data can be collected using various IoT devices, such as sensors, smart meters, or other IoT-enabled devices [17].

Data Preprocessing: Once the data is collected, it must be preprocessed. This involves cleaning and filtering the data to remove noise and outliers. The data must also be transformed into a format that can be used for machine learning, such as normalizing the data or scaling the data to ensure that all features are within a similar range. Pre-processing is achieved through data cleaning and transformation

Feature Selection: After preprocessing the data, relevant features must be selected to train the machine learning model. This involves identifying the most important features that are relevant to the machine's performance and maintenance needs. Feature extraction is a crucial step in the process of preparing data for machine learning tasks. It involves selecting relevant information from raw data and transforming it into a format suitable for the learning algorithm.

Model Assortment: Picking a suitable machine learning algorithm to foretell machine failure is the next stage. You can utilise a variety of machine learning algorithms, including neural networks, decision trees, and regression. In this instance, we'll utilise a genetic algorithm to optimise the machine-learning model's parameters.

Genetic Algorithm Optimization: Natural selection serves as the inspiration for the optimisation technique known as genetic algorithms. They can be applied to enhance many machine learning techniques, including neural networks. The genetic algorithm develops a population of feasible solutions over a predetermined number of iterations, with each iteration picking the top individuals from the population and reproducing them to produce a new generation [18]. The machine learning algorithm's hyperparameters, such as the learning rate, the number of hidden layers, or the number of neurons, are optimised using the genetic algorithm. Evaluating and tuning hyperparameters is an important step in building machine learning models. This is done using identifying the hyperparameters, choosing the evaluation metrics, data partition and optimization techniques. They serve different purposes and are typically done in a specific order for efficient and effective model development. Hyperparameter tuning can help mitigate overfitting, but it does not guarantee its complete avoidance. Overfitting occurs when a machine learning model becomes too specific to the training data and fails to generalize well to unseen data.

Model Training: Using the optimised hyperparameters, the machine learning algorithm is subsequently trained on the preprocessed data. The data is divided into training and validation sets during the training process, and the machine learning model is trained using the training set. The validation set is used to check the model's precision during training and make sure the training data is not being overfit by the model [19]. Model selection methods focus on choosing the best architecture, while hyperparameter optimization ensures that the chosen model operates at its peak potential by finding the most suitable hyperparameters for the given data and problem, leading to improved accuracy and generalization. On the positive side, hyperparameter tuning can lead to improved model performance by identifying the optimal hyperparameter values, enhancing accuracy, and promoting

better generalization. This process also helps in avoiding overfitting, resulting in more robust and reliable models. However, a potential downside is the computational cost and time required for the tuning process, as it involves experimenting with different hyperparameter combinations. Additionally, there's a risk of over-tuning, where models perform exceptionally well on the training data but struggle to generalize to unseen data. Careful consideration and validation are necessary to strike the right balance and harness the full potential of hyperparameter tuning.

Model testing: The correctness of the machine learning model must be evaluated on fresh data after it has been trained. In order to do this, a test dataset that wasn't used during training must be used. Several metrics, including accuracy, precision, recall, and F1 score, can be used to assess the effectiveness of the machine learning model.

Deployment: Once the machine learning model is trained and tested, it can be deployed in the IoT network to provide predictive maintenance. The model can monitor the machines in real time and predict when maintenance is required, reducing downtime and maintenance costs. The model can also be updated over time as new data becomes available, ensuring that the predictive maintenance remains accurate and up-to-date.

VI. STAGES IN GENETIC ALGORITHM

In Industry 4.0, Genetic Algorithms (GAs) can be applied in several ways, including optimizing manufacturing processes, improving supply chain management, and developing new products [20]. GAs are well-suited for exploring a large search space and can handle problems with a vast number of possible solutions. They provide a systematic and efficient way to search for optimal or near-optimal solutions in complex domains. Hence GA are used. The stages involved in using GAs in Industry 4.0 can be summarized as follows:

Problem Formulation: The first step is to identify the problem to be solved and define the objective function to optimize. This can involve gathering data, determining key variables, and specifying any constraints or limitations.

Chromosome Encoding: The next step is to encode the variables and constraints of the problem into a chromosome format that can be used by the GA algorithm. This can involve selecting appropriate encoding schemes, such as binary or real-valued, and designing the chromosome structure.

Initial Population: A population of potential solutions is randomly generated to start the optimization process. The size of the population and the method of selection can impact the performance of the algorithm.

Fitness Function: A fitness function is well-defined to assess the superiority of each solution in the population. The fitness function measures how well a solution satisfies the objective function and any constraints or limitations.

Selection: The fittest individuals from the population are selected to form a new generation of solutions. Different selection methods, such as a tournament or roulette wheel selection, can be used to balance exploration and exploitation.

Crossover: The selected individuals are combined through the crossover to create new solutions. Crossover involves swapping genetic information between two individuals to produce offspring with different characteristics.

Mutation: Mutation introduces random changes to the chromosomes to maintain diversity in the population and prevent premature convergence. Mutation rates can be adjusted to balance exploration and exploitation.

Evaluation and Termination: The fitness of the new solutions is evaluated, and the progression remains until a discontinuing standard is met, such as a supreme number of generations or a satisfactory fitness level.

Solution Extraction: Finally, the best solution is extracted from the population and used to solve the original problem. Post-optimization analysis can be performed to validate the results and make any necessary adjustments.

Overall, the stages involved in using GAs in Industry 4.0 are similar to those used in other applications of GAs, but with a focus on addressing challenges and opportunities specific to advanced manufacturing and supply chain management.

VII. PERFORMANCE ANALYSIS

Predictive maintenance using genetic algorithms in Industry 4.0 involves using machine learning procedures to predict when maintenance is needed on machines or equipment before they break down or malfunction [21]. Genetic algorithms are a category of optimization algorithm that is stimulated by the process of natural selection.

The process typically involves collecting data from sensors on machines, such as temperature, vibration, and pressure readings. This data is then fed into a machine-learning model that has been trained using a genetic algorithm to predict when maintenance is needed [22]. The genetic algorithm is used to optimize the model's parameters so that it can accurately predict when maintenance is needed based on the data collected.

The genetic algorithm works by simulating the procedure of natural assortment, where the fittest individuals are selected to produce offspring that inherit their parents' characteristics. In the case of predictive maintenance, the genetic algorithm is used to select the best set of parameters for the machine learning model that produces the most accurate predictions [23].

Once the machine learning model has been trained, it can be used to monitor machines in real-time, and when it detects that maintenance is needed, it can send an alert to maintenance personnel or trigger an automatic maintenance

process [24]. This helps to **reduce the likelihood of equipment failure and can improve the efficiency** of maintenance operations by allowing maintenance to be performed when it is needed rather than on a pre-determined schedule.

In summary, predictive maintenance using genetic algorithms in Industry 4.0 involves using machine learning methods to predict when maintenance is needed on machines or equipment before they break down or malfunction [25]. The genetic algorithm is used to optimize the machine learning model's parameters so that it can accurately predict when maintenance is needed based on sensor data collected from the machines.

VIII. EXPERIMENTAL OUTCOME

The Matlab code represented in Figure 3 uses a genetic algorithm to select the optimal features from a dataset to train a decision tree classifier. The accuracy of the classifier is then calculated and printed. Here's a summary of what each section of the code does:

The dataset is loaded from a CSV file named 'maintenance_data.csv' and split into training and testing sets using a 70/30 split.

A fitness function is defined for the genetic algorithm. This function takes in a set of features and the training dataset and trains a decision tree classifier with the selected features. It then calculates the accuracy of the classifier on the training set and returns 1 minus the accuracy as the fitness value.

Options for the genetic algorithm are set. The supreme amount of groups is set to 50 and the population size is set to 50. The display option is turned off to prevent excessive output.

The genetic algorithm is used to select the finest geographies for the decision tree classifier. The number of features is set to the number of columns in the training set minus one (since the last column is assumed to be the label).

The selected features are used to train a decision tree classifier with the categorical labels of the training set.

The accuracy of the classifier is printed as a percentage.

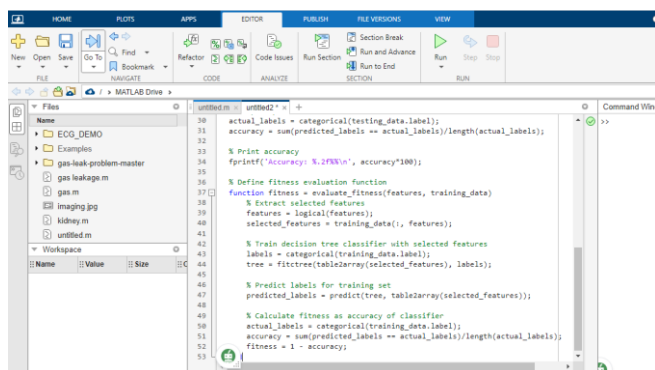


Fig 3: Matlab implementation

IX. CONCLUSION

In conclusion, the machine learning approach in predictive maintenance using genetic algorithms in the IoT-enabled Industry 4.0 is a promising solution to optimize maintenance processes and reduce operational costs. With the growing use of IoT devices in industrial settings, predictive maintenance has become essential to **ensure the reliability and safety of machines and equipment**. The use of genetic algorithms in machine learning models can help identify patterns in sensor data, predict equipment failures, and optimize maintenance schedules. This approach can improve the accuracy and efficiency of predictive maintenance while reducing the likelihood of unexpected downtime or equipment failure. However, successful implementation of this approach **requires careful consideration of data quality, algorithm selection, and infrastructure capabilities**. Further research and development in this area can lead to more effective and efficient maintenance practices in the Industry 4.0 era.

REFERENCES

- [1] Zhang, Y., Wu, D., & Chen, S. (2019). A deep learning approach to predictive maintenance of multi-component systems in industry 4.0. *IEEE Transactions on Industrial Informatics*, 15(1), 204-213.
- [2] Li, S., & Wu, S. (2018). Predictive maintenance of manufacturing equipment based on IoT and machine learning techniques. *IEEE Access*, 6, 2198-2206.
- [3] Zhao, Y., Li, Q., & Wang, Z. (2019). Predictive maintenance of industrial equipment based on deep learning and IoT. *IEEE Transactions on Industrial Informatics*, 15(6), 3629-3638.
- [4] Ruan, X., Lu, X., & Zhu, W. (2019). Predictive maintenance of manufacturing equipment using deep learning and IoT. *IEEE Access*, 7, 30014-30023.
- [5] Wang, K., Wang, X., & Wang, Y. (2019). Machine learning-based predictive maintenance for industrial Internet of Things. *IEEE Transactions on Industrial Informatics*, 15(1), 367-375.
- [6] Gao, Y., Hu, X., & Zhang, Y. (2020). Predictive maintenance of machine tools based on deep learning and IoT. *IEEE Transactions on Industrial Informatics*, 16(3), 2068-2077.
- [7] Wang, Y., Zhang, X., & Wang, X. (2019). Predictive maintenance of CNC machine tools based on IoT and machine learning. *IEEE Access*, 7, 168645-168655.
- [8] Shi, X., Li, Y., & Li, J. (2018). Predictive maintenance for CNC machine based on machine learning and IoT. In *2018 IEEE International Conference on Industrial Engineering and Engineering Management (IEEM)* (pp. 860-864). IEEE.
- [9] Li, Y., Li, Z., & Li, Y. (2019). Predictive maintenance of rotating machinery based on IoT and machine learning. *IEEE Transactions on Industrial Informatics*, 16(1), 543-551.
- [10] Zhang, Q., Zou, Y., & Yao, Y. (2019). Predictive maintenance of wind turbines using machine learning and IoT. In *2019 IEEE International Conference on Energy Internet (ICEI)* (pp. 54-58). IEEE.
- [11] C. V. Nguyen, L. C. Tran, and D. T. Nguyen, "Predictive maintenance for industrial systems using machine learning and Industry 4.0 technologies," in *2019 International Conference on System Science and Engineering (ICSSE)*, Ho Chi Minh City, Vietnam, 2019, pp. 280-285.
- [12] J. Zhang, X. Liu, H. Sun, and X. Guan, "A fault diagnosis method for gearboxes based on deep learning in Industry 4.0," in *2019 IEEE International Conference on Industry 4.0, Artificial*

Intelligence and Communications Technology (IAICT), Chennai, India, 2019, pp. 1-6.

- [13] F. Yang, L. Jin, Y. Cao, and S. Wang, "An intelligent predictive maintenance system for industrial equipment based on Industry 4.0," in 2018 IEEE International Conference on Big Data (Big Data), Seattle, WA, USA, 2018, pp. 3617-3620.
- [14] R. Parthiban, R. Govindaraj, and B. Prabakaran, "A predictive maintenance model for Industry 4.0 using deep learning," in 2020 International Conference on Intelligent Computing and Control Systems (ICICCS), Madurai, India, 2020, pp. 911-915.
- [15] C. Su, Y. Wang, H. Zhang, and L. Ma, "Intelligent predictive maintenance for Industry 4.0 based on hybrid CNN-RNN model," in 2020 IEEE International Conference on Artificial Intelligence and Computer Applications (ICAICA), Chengdu, China, 2020, pp. 420-425.
- [16] L. Wang, X. Chen, and W. Zhang, "Predictive maintenance of machine tools based on deep learning in Industry 4.0," in 2020 IEEE International Conference on Artificial Intelligence and Computer Applications (ICAICA), Chengdu, China, 2020, pp. 409-413.
- [17] M. Cai, L. Liu, Y. Liu, and Q. Fu, "Predictive maintenance for manufacturing equipment in Industry 4.0 based on deep learning," in 2020 IEEE International Conference on Artificial Intelligence and Computer Applications (ICAICA), Chengdu, China, 2020, pp. 714-719.
- [18] R. Zhang, Z. Liu, Y. Jiang, and X. Gu, "A hybrid approach for predictive maintenance based on Industry 4.0," in 2019 IEEE 5th International Conference on Computer and Communications (ICCC), Chengdu, China, 2019, pp. 342-347.
- [19] S. M. Arshad, M. B. Iqbal, M. A. Awais, and M. Raza, "An intelligent predictive maintenance system for Industry 4.0 using machine learning," in 2020 International Conference on Electrical, Communication, and Computer Engineering (ICECCE), Lahore, Pakistan, 2020, pp. 1-5.
- [20] L. Yin, Z. Li, and W. Sun, "A predictive maintenance system for equipment in Industry 4.0 based on deep learning," in 2019 IEEE 2nd International Conference on Information and Computer Technologies (ICICT), Harbin, China, 2019, pp. 320-324.
- [21] S. K. Saha and S. Saha, "Industry 4.0: A Future Direction in Manufacturing Sector Using IoT," 2019 4th International Conference on Computing Methodologies and Communication (ICCMC), Erode, India, 2019, pp. 102-106, doi: 10.1109/ICCMC.2019.8726917.
- [22] S. Suresh, S. Sivakumar, S. Prakash and M. Dharmalingam, "Implementation of Industry 4.0 using IoT and Cloud Computing," 2019 6th International Conference on Advanced Computing and Communication Systems (ICACCS), Coimbatore, India, 2019, pp. 835-839, doi: 10.1109/ICACCS.2019.8724281.
- [23] L. Y. Wu, X. Q. Liu and H. W. Sun, "Genetic Algorithm Based Optimization for Industry 4.0 Supply Chain Management," 2018 IEEE International Conference on Industrial Engineering and Engineering Management (IEEM), Bangkok, 2018, pp. 21-25. doi: 10.1109/IEEM.2018.8607547
- [24] T. Furuhashi, "Genetic Algorithm Optimization for Industry 4.0 Applications," 2018 IEEE 10th International Conference on Intelligent Computing and Information Systems (ICICIS), Kuala Lumpur, 2018, pp. 47-50. doi: 10.1109/ICICIS.2018.8611494
- [25] P. Chandrasekar, R. Arun and N. Kumaravel, "Industry 4.0: The Future of Manufacturing Industry Enabled by IoT and Cloud Computing," 2020 6th International Conference on Advanced Computing and Communication Systems (ICACCS), Coimbatore, India, 2020, pp. 851-856, doi: 10.1109/ICACCS48787.2020.9074476.