# **Correlation Energy**

Avinash Rustagi<sup>1,\*</sup>

<sup>1</sup>Department of Physics, North Carolina State University, Raleigh, NC 27695 (Dated: March 2, 2018)

### I. HAMILTONIAN WITH VARIABLE COUPLING CONSTANT

$$H(\lambda) = H_0 + \lambda H_1$$
  
 $H(1) = H$   $H(0) = H_0$  (1)

Suppose we know the eigenvalues and eigenfunctions of  $H(\lambda)$ , then

$$H(\lambda)|\psi_0(\lambda)\rangle = E(\lambda)|\psi_0(\lambda)\rangle$$
where  $\langle \psi_0(\lambda)|\psi_0(\lambda)\rangle = 1$  (2)

Therefore

$$E(\lambda) = \langle \psi_0(\lambda) | H(\lambda) | \psi_0(\lambda) \rangle$$

$$\Rightarrow \frac{dE(\lambda)}{d\lambda} = \langle \psi_0(\lambda) | H_1 | \psi_0(\lambda) \rangle + E(\lambda) \frac{d}{d\lambda} \langle \psi_0(\lambda) | \psi_0(\lambda) \rangle$$

$$\Rightarrow \frac{dE(\lambda)}{d\lambda} = \langle \psi_0(\lambda) | H_1 | \psi_0(\lambda) \rangle$$
(3)

Therefore upon integration

$$E - E_0 = \int_0^1 d\lambda \, \langle \psi_0(\lambda) | H_1 | \psi_0(\lambda) \rangle$$

$$= \int_0^1 \frac{d\lambda}{\lambda} \, \langle \psi_0(\lambda) | \lambda H_1 | \psi_0(\lambda) \rangle$$
(4)

## II. CORRELATION ENERGY

The energy due to Coulomb interaction is

$$\langle V \rangle = \frac{1}{2} \sum_{k,k',q} V(q) \langle c_{k+q}^{\dagger} c_{k'-q}^{\dagger} c_{k'} c_k \rangle \tag{5}$$

Thus the total energy of the system is

$$E = E_{\rm kin} + E_{\rm exc} + E_{\rm corr} \tag{6}$$

where  $E_{\rm corr} = \langle V \rangle - E_{\rm exc}$ . Define

$$iD(q,\omega) = \sum_{k,k'} \langle c_{k+q}^{\dagger} c_{k'-q}^{\dagger} c_{k'} c_k \rangle$$

$$iD_0(q,\omega) = \sum_{k,k'} \langle c_{k+q}^{\dagger} c_{k'-q}^{\dagger} c_{k'} c_k \rangle_0$$
(7)

where  $\langle ... \rangle$  is the average with respect to the interacting ground state while  $\langle ... \rangle_0$  is the average with respect to the non-interacting ground state. Therefore

$$E_{\text{corr}} = \frac{1}{2} \sum_{q} \int_{-\infty}^{\infty} \frac{\hbar d\omega}{2\pi} V(q) \left[ iD(q,\omega) - iD_0(q,\omega) \right]$$
 (8)

Assuming that the Coulomb interaction term can be tuned by a coupling constant  $\lambda$ ,

$$E_{\text{corr}} = \frac{i}{2} \int_0^1 \frac{d\lambda}{\lambda} \sum_q \int_{-\infty}^{\infty} \frac{\hbar d\omega}{2\pi} \lambda V(q) \left[ D_{\lambda}(q,\omega) - D_0(q,\omega) \right]$$
 (9)

The perturbative expansion of the average  $\langle ... \rangle$  is done

$$V(q)D(q,\omega) = U(q,\omega)D^{*}(q,\omega)$$

$$= [V + VD^{*}V + ...]D^{*}(q,\omega)$$

$$= \frac{V(q)D^{*}(q,\omega)}{1 - V(q)D^{*}(q,\omega)}$$
(10)

where  $D^*(q,\omega)$  is the proper polarization. [If only the polarization bubble is included as proper polarization, then we get the contribution of all the ring diagrams]. The dielectric constant is then defined as

$$\varepsilon(q,\omega) = 1 - V(q)D^*(q,\omega) \Rightarrow V(q)D(q,\omega) = \frac{1}{\varepsilon(q,\omega)} - 1$$
(11)

Define

$$\lambda V(q)D_0(q,\omega) = \Pi_{0,\lambda}(q,\omega)$$

$$\lambda V(q)D_{\lambda}(q,\omega) = \frac{1}{\varepsilon_{\lambda}(q,\omega)} - 1$$
(12)

Thus, the correlation energy can be expressed as

$$E_{\text{corr}} = \frac{i}{2} \int_{0}^{1} \frac{d\lambda}{\lambda} \sum_{q} \int_{-\infty}^{\infty} \frac{\hbar d\omega}{2\pi} \lambda V(q) \left[ D_{\lambda}(q,\omega) - D_{0}(q,\omega) \right]$$
$$= i \int_{0}^{1} \frac{d\lambda}{\lambda} \sum_{q} \int_{0}^{\infty} \frac{\hbar d\omega}{2\pi} \left[ \frac{1}{\varepsilon_{\lambda}(q,\omega)} - 1 - \Pi_{0,\lambda}(q,\omega) \right]$$
(13)

Since energy is real, and in general  $\varepsilon$  and  $\Pi$  are complex. We can pick the appropriate components such the the correlation energy is real.

$$E_{\rm corr} = -\int_0^1 \frac{d\lambda}{\lambda} \sum_q \int_0^\infty \frac{\hbar d\omega}{2\pi} \left[ \operatorname{Im} \left( \frac{1}{\varepsilon_\lambda(q,\omega)} \right) - \operatorname{Im} \left( \Pi_{0,\lambda}(q,\omega) \right) \right]$$
 (14)

#### III. ELECTRON ONE-COMPONENT SYSTEM

$$E_{\text{corr}} = -\int_0^1 \frac{d\lambda}{\lambda} \sum_q \int_0^\infty \frac{\hbar d\omega}{2\pi} \left[ \text{Im} \left( \frac{1}{\varepsilon_\lambda(q,\omega)} \right) - \text{Im} \left( \Pi_{0,\lambda}(q,\omega) \right) \right]$$
 (15)

where the dielectric constant is the sum of the electron polarization  $\varepsilon = 1 - \Pi_{e,\lambda}^H$  and  $\Pi_{0,\lambda} = \Pi_{e,\lambda}$ . The superscript 'H' in the dielectric constant corresponds to the Hubbard correction.

$$\Pi_{e,\lambda}^{H} = \frac{\Pi_{e,\lambda}}{1 + f\Pi_{e,\lambda}} \tag{16}$$

For the case of electrons,

$$\Pi_{e,\lambda} = A_{\lambda} + i\Sigma_{\lambda} 
\Pi_{e,\lambda}^{H} = \frac{\Pi_{e,\lambda}}{1 + f\Pi_{e,\lambda}} 
\frac{1}{\varepsilon_{\lambda}} = \frac{1 + f\Pi_{e,\lambda}}{1 - (1 - f)\Pi_{e,\lambda}} = \frac{1 + fA_{\lambda} + if\Sigma_{\lambda}}{1 - A_{\lambda}' - i\Sigma_{\lambda}'}$$
(17)

where  $A'_{\lambda} = (1 - f)A_{\lambda}$  and  $\Sigma'_{\lambda} = (1 - f)\Sigma_{\lambda}$ . The term  $\Pi_{0,\lambda} = \Pi_{e,\lambda} = A_{\lambda} - i\Sigma_{\lambda}$ .

$$\operatorname{Im}\left[\frac{1}{\varepsilon_{\lambda}}\right] = \frac{\Sigma_{\lambda}}{(1 - A_{\lambda}')^{2} + (\Sigma_{\lambda}')^{2}}$$

$$\operatorname{Im}\left[\Pi_{0,\lambda}\right] = \Sigma_{\lambda}$$
(18)

Since  $A_{\lambda} = \lambda A$  and  $\Sigma_{\lambda} = \lambda \Sigma$ ,

$$E_{\text{corr}} = -\int_{0}^{1} \frac{d\lambda}{\lambda} \sum_{q} \int_{0}^{\infty} \frac{\hbar d\omega}{2\pi} \left[ \frac{\lambda \Sigma}{(1 - \lambda A')^{2} + \lambda^{2} (\Sigma')^{2}} - \lambda \Sigma \right]$$

$$= \sum_{q} \int_{0}^{\infty} \frac{d\omega}{2\pi} \left[ \frac{\Sigma}{\Sigma'} \tan^{-1} \left( \frac{-\Sigma'}{1 - A'} \right) + \Sigma \right]$$
(19)

Thus the correlation energy is

$$E_{\text{corr}} = \sum_{q} \int_{0}^{\infty} \frac{\hbar d\omega}{2\pi} \left[ \frac{1}{1-f} \tan^{-1} \left( \frac{-(1-f)\Sigma}{1-(1-f)A} \right) + \Sigma \right]$$
 (20)

The Hubbard correction factor f is

$$f(q) = \begin{cases} \frac{1}{2} \frac{q^2}{q^2 + k_F^2} & \text{3D} \\ \frac{1}{2} \frac{q}{q + k_F} & \text{2D} \end{cases}$$

The correlation energy per electron is

$$\varepsilon_{\text{corr}} = \frac{1}{N} \sum_{q} \int_{0}^{\infty} \frac{\hbar d\omega}{2\pi} \left[ \frac{1}{1-f} \tan^{-1} \left( \frac{-(1-f)\Sigma}{1-(1-f)A} \right) + \Sigma \right]$$
 (21)

where N is the number of electrons.

## IV. ELECTRON-HOLE TWO-COMPONENT SYSTEM

$$E_{\text{corr}} = -\int_0^1 \frac{d\lambda}{\lambda} \sum_q \int_0^\infty \frac{\hbar d\omega}{2\pi} \left[ \text{Im} \left( \frac{1}{\varepsilon_\lambda(q,\omega)} \right) - \text{Im} \left( \Pi_{0,\lambda}(q,\omega) \right) \right]$$
 (22)

where the dielectric constant is the sum of the electron and hole polarization  $\varepsilon = 1 - \left(\Pi_{e,\lambda}^H + \Pi_{h,\lambda}^H\right)$  and  $\Pi_{0,\lambda} = \Pi_{e,\lambda} + \Pi_{h,\lambda}$ . The superscript 'H' in the dielectric constant corresponds to the Hubbard correction.

$$\Pi_{e/h,\lambda}^{H} = \frac{\Pi_{e/h,\lambda}}{1 + f\Pi_{e/h,\lambda}} \tag{23}$$

If the electrons and holes have the same mass,

$$\Pi_{e,\lambda} = \Pi_{h,\lambda} = \Pi_{\lambda} = A_{\lambda} + i\Sigma_{\lambda}$$

$$\Pi_{e,\lambda}^{H} + \Pi_{h,\lambda}^{H} = \frac{2\Pi_{\lambda}}{1 + f\Pi_{\lambda}}$$

$$\frac{1}{\varepsilon_{\lambda}} = \frac{1 + f\Pi_{\lambda}}{1 - (2 - f)\Pi_{\lambda}} = \frac{1 + fA_{\lambda} + if\Sigma_{\lambda}}{1 - A_{\lambda}' - i\Sigma_{\lambda}'}$$
(24)

where  $A'_{\lambda} = (2-f)A_{\lambda}$  and  $\Sigma'_{\lambda} = (2-f)\Sigma_{\lambda}$ . The term  $\Pi_{0,\lambda} = \Pi_{e,\lambda} + \Pi_{h,\lambda} = 2A_{\lambda} - i2\Sigma_{\lambda}$ .

$$\operatorname{Im}\left[\frac{1}{\varepsilon_{\lambda}}\right] = \frac{2\Sigma_{\lambda}}{(1 - A_{\lambda}')^{2} + (\Sigma_{\lambda}')^{2}}$$
$$\operatorname{Im}\left[\Pi_{0,\lambda}\right] = 2\Sigma_{\lambda}$$
(25)

Since  $A_{\lambda} = \lambda A$  and  $\Sigma_{\lambda} = \lambda \Sigma$ ,

$$E_{\text{corr}} = -\int_{0}^{1} \frac{d\lambda}{\lambda} \sum_{q} \int_{0}^{\infty} \frac{\hbar d\omega}{2\pi} \left[ \frac{2\lambda\Sigma}{(1 - \lambda A')^{2} + \lambda^{2}(\Sigma')^{2}} - \lambda 2\Sigma \right]$$

$$= \sum_{q} \int_{0}^{\infty} \frac{\hbar d\omega}{2\pi} \left[ \frac{2\Sigma}{\Sigma'} \tan^{-1} \left( \frac{-\Sigma'}{1 - A'} \right) + 2\Sigma \right]$$
(26)

From this we can generalize to a situation where the electron and hole masses are different by making the following substitutions

$$2\Sigma = \Sigma_e + \Sigma_h 
\Sigma' = (2 - f)\Sigma = (1 - f/2)(\Sigma_e + \Sigma_h) 
A' = (2 - f)A = (1 - f/2)(A_e + A_h)$$
(27)

Thus the correlation energy is

$$E_{\text{corr}} = \sum_{q} \int_{0}^{\infty} \frac{\hbar d\omega}{2\pi} \left[ \frac{1}{1 - f/2} \tan^{-1} \left( \frac{-(1 - f/2)(\Sigma_e + \Sigma_h)}{1 - (1 - f/2)(A_e + A_h)} \right) + (\Sigma_e + \Sigma_h) \right]$$
(28)

The Hubbard correction factor f is

$$f(q) = \begin{cases} \frac{1}{2} \frac{q^2}{q^2 + k_F^2} & \text{3D} \\ \frac{1}{2} \frac{q}{q + k_F} & \text{2D} \end{cases}$$

The correlation energy per electron-hole pair is

$$\varepsilon_{\text{corr}} = \frac{1}{N} \sum_{q} \int_{0}^{\infty} \frac{\hbar d\omega}{2\pi} \left[ \frac{1}{1 - f/2} \tan^{-1} \left( \frac{-(1 - f/2)(\Sigma_e + \Sigma_h)}{1 - (1 - f/2)(A_e + A_h)} \right) + (\Sigma_e + \Sigma_h) \right]$$
(29)

where N is the number of electron-hole pairs.