

Multilevel Lattices based on Spatially-Coupled LDPC Codes with Applications

Avinash Vem, Yu-Chih Huang, Krishna R. Narayanan, Henry D. Pfister

Department of Electrical and Computer Engineering

Texas A&M University

{avinash.iitm42@tamu.edu, jerry.yc.huang@gmail.com, krn@ece.tamu.edu, hpfister@tamu.edu}

Abstract—We propose a class of lattices constructed using Construction D where the underlying linear codes are nested binary spatially-coupled low density parity check codes (SC-LDPC) codes with uniform left and right degrees. By leveraging recent results on the optimality of spatially-coupled codes for binary input memoryless channels and Forney *et al.*'s earlier results on the optimality of construction D, we show that the proposed lattices achieve the Poltyrev limit under multistage belief propagation decoding. Lattice codes constructed from these lattices are shown to provide excellent performance for the three user symmetric interference channel. They can also be naturally used in applications such as integer-forcing and compute-and-forward.

I. INTRODUCTION

Lattice codes obtained from nested lattices have been shown to be optimal coding solutions to several problems in information theory [1]. In most of these cases, the underlying lattices are constructed using Construction A and it has been shown that such lattices are simultaneously good for shaping (Roger's good) and for channel coding (Poltyrev good) [1]. There are two important drawbacks in using optimal lattices constructed using Construction A. On the theoretical side, the use of non-binary codes makes it difficult to prove the optimality of these lattices and lattice codes under practical decoding algorithms such as belief propagation (BP) decoding and so far, we are not aware of any results showing the optimality of Construction A lattices under BP decoding. On the practical side, optimal lattices constructed from Construction A typically require the underlying linear codes to work over large fields and hence, result in formidable decoding complexity, even with BP decoding.

In this paper, we propose a class of lattices constructed using Construction D [2] where the underlying linear codes are nested binary spatially-coupled low density parity check codes (SC-LDPC) codes with uniform left and right degrees. By using the result that regular SC-LDPC codes can universally achieve capacity under BP decoding for the class of binary memoryless symmetric (BMS) channels [3], [4] and by leveraging Forney *et al.*'s result [5], we show that the proposed lattices allow us to achieve the Poltyrev limit under multistage BP decoding. We refer to the proposed lattices as SC-LDPC lattices. Density evolution thresholds show that the proposed SC-LDPC lattices can approach the Poltyrev limit to within 0.1 dB under multistage BP decoding. Very recently, binary polar codes have been used in conjunction with Construction D to

obtain Poltyrev-good lattices in [6]. The focus of this paper is on the use of SC-LDPC codes. Both these approaches have merits and disadvantages, and a detailed comparison needs to be undertaken as part of future work. It should also be noted that in [7], construction D' lattices using LDPC codes have been proposed along with a joint message passing decoding algorithm.

We then construct lattice codes from this class of lattices using hypercube shaping and we apply them to the symmetric k -user interference channel [8]. We show that for channel gains which are within 0.39 dB from the very strong interference regime, the desired user can be decoded at signal-to-noise ratios within 1.53 dB from the Shannon limit. For practical check degrees, simulation results show a gap of about 2.45 dB from the Shannon limit. This class of lattice codes can also be applied to Integer-forcing or compute-and-forward in the multiple access stage [9].

Throughout the rest of the paper, vectors and matrices are written in lowercase boldface and uppercase boldface, respectively.

II. BACKGROUND

A. Lattices and Poltyrev Limit

Consider a lattice Λ with a fundamental volume $V(\Lambda)$. Let us assume that some $\lambda \in \Lambda$ is transmitted through an additive white Gaussian noise (AWGN) channel of variance σ^2 and denote the noise vector by \mathbf{z} . Let us denote the probability of decoding error, conditioned on codeword $\lambda \in \Lambda$ being transmitted as $P(\lambda, \sigma^2)$ which is defined as

$$P(\lambda, \sigma^2) := \Pr(d(\lambda, \lambda + \mathbf{z}) \geq d(\lambda', \lambda' + \mathbf{z})) \text{ for some } \lambda' \in \Lambda,$$

where $d(\mathbf{x}, \mathbf{y})$ is the Euclidean distance between \mathbf{x} and \mathbf{y} . For an infinite lattice Λ , $P(\lambda, \sigma^2)$ is independent of λ and hence the average probability of decoding error for the lattice $P(\Lambda, \sigma^2)$ is the same as $P(\lambda, \sigma^2)$ for any λ . The volume-to-noise ratio (VNR), $\alpha^2(\Lambda, \sigma^2)$, of an n -dimensional lattice Λ is given by $\alpha^2(\Lambda, \sigma^2) = \frac{V(\Lambda)^{2/n}}{2\pi e \sigma^2}$. Poltyrev in [10] showed that for any VNR > 1 there exists a sequence of lattices for which $P(\Lambda, \sigma^2) \rightarrow 0$ as $n \rightarrow \infty$. We shall call such a sequence of lattices as being Poltyrev-good.

B. Construction D and its Goodness

In this section we briefly describe Construction D lattices [2] [11] and then recall Forney *et al.*'s result on the existence

of Poltyrev-good lattices based on this construction [5].

For any lattice Λ , a sub-lattice $\Lambda' \subset \Lambda$ induces a coset decomposition (Λ/Λ') of Λ . i.e., it partitions Λ into equivalence groups modulo Λ' . We call this a lattice partition. Construction D and D' are multistage constructions of lattices that are based on such a partition chain and a sequence of nested linear codes $\{\mathcal{C}_l, 1 \leq l \leq r\}$ where each code \mathcal{C}_l is of length n over \mathbb{F}_q , where $\mathbb{F}_q \cong \Lambda_{l-1}/\Lambda_l$. For a detailed description we refer to [11]. In our work we use a one-dimensional lattice partition chain $\Lambda_0/\Lambda_1/\dots/\Lambda_r$, where $\Lambda_i = 2^i\mathbb{Z}$. Then $\Lambda_{i-1}/\Lambda_i \cong \mathbb{F}_2$ for all i . Let \mathcal{C}_i be a (n, k_i) binary code spanned by the set of linearly independent binary n -tuples $\{\mathbf{g}_1, \mathbf{g}_2, \dots, \mathbf{g}_{k_i}\}$ where $k_1 \leq k_2 \leq \dots \leq k_r$. Using nested binary linear codes $\{\mathcal{C}_j, 1 \leq j \leq r\}$, a multistage Construction-D type lattice is defined as follows:

$$\Lambda = \left\{ 2^r \mathbb{Z}^n + \sum_{1 \leq j \leq r} \sum_{1 \leq i \leq k_j} \alpha_{ji} 2^{j-1} \mathbf{g}_i | \alpha_{ji} \in \{0, 1\} \right\} \quad (1)$$

where “+” denotes addition in \mathbb{R}^n . The VNR of a Construction D lattice described above is given by

$$\alpha^2(\Lambda, \sigma^2) = \frac{2^{2(r - \sum_{i=1}^r k_i/n)}}{2\pi e \sigma^2}. \quad (2)$$

Let $\lambda \in \Lambda$, where Λ is as defined in (1), be transmitted through an AWGN channel and $\mathbf{y} = \lambda + \mathbf{z}$ is received where $\mathbf{z} \sim \mathcal{N}(0, \sigma^2 \cdot \mathbf{I})$. Let $\hat{\mathbf{y}}_0 = \mathbf{y}$. The multistage decoder for decoding λ uses the following steps.

- **Step 1:** At stage j , $1 \leq j \leq r$, $\hat{\mathbf{y}}_{j-1} \bmod 2$ is decoded to a codeword $\hat{\mathbf{x}}_j \in \mathcal{C}_j$ and the corresponding information bits $\{\hat{\alpha}_{j1}, \hat{\alpha}_{j2} \dots \hat{\alpha}_{jk_j}\} \in \{0, 1\}^{k_j}$ that generate $\hat{\mathbf{x}}_j$, are computed.
- **Step 2:** Compute $\hat{\mathbf{y}}_j = \frac{1}{2} \cdot (\hat{\mathbf{y}}_{j-1} - \sum_{1 \leq i \leq k_j} \hat{\alpha}_{ji} \mathbf{g}_i)$. Repeat **Step 2**.
- **Step 3:** At $(r+1)^{\text{th}}$ stage of decoding, $\hat{\mathbf{y}}_r$ is decoded to the closest $\mathbf{q} \in \mathbb{Z}^n$.
- **Output:** The decoded lattice point $\hat{\lambda} \in \Lambda$ is given by

$$\hat{\lambda} = 2^r \mathbf{q} + \sum_{1 \leq j \leq r} \sum_{1 \leq i \leq k_j} \hat{\alpha}_{ji} 2^{j-1} \mathbf{g}_i. \quad (3)$$

At the j^{th} stage of decoding, conditioned on successful decoding in previous stages, the input to the decoder has the form

$$\begin{aligned} \hat{\mathbf{y}}_{j-1} \bmod 2 &= \frac{1}{2^{j-1}} \left(\mathbf{y} - \sum_{1 \leq p < j} \sum_{1 \leq i \leq k_p} 2^{p-1} \alpha_{pi} \mathbf{g}_i \right) \bmod 2 \\ &= \left(\sum_{i=1}^{k_j} \alpha_{ji} \mathbf{g}_i \right) \bmod 2 + \left(2^{-(j-1)} \mathbf{z} \right) \bmod 2 \\ &= \mathbf{x}_j + 2^{-(j-1)} \mathbf{z} \bmod 2, \end{aligned} \quad (4)$$

where $\mathbf{x}_j \in \mathcal{C}_j$. We call the channel defined in (4) as an additive mod-2 Gaussian noise (AMGN) channel [5] and denote the capacity for this channel as $C(\mathbb{Z}/2\mathbb{Z}, 2^{-2(j-1)}\sigma^2)$ which is shown to be equal to $C(2^{j-1}\mathbb{Z}/2^j\mathbb{Z}, \sigma^2)$ [5].

Theorem 1 (Forney *et al.* [5]). For an AWGN channel with noise variance per dimension σ^2 , there exists a sequence of Construction D lattices Λ based on a chain of two-way one-dimensional lattice partitions and r nested random binary linear codes $\mathcal{C}_1 \subseteq \mathcal{C}_2 \dots \subseteq \mathcal{C}_r$ that is Poltyrev-good.

Remark 2. Note that in [5], it was shown that if for each level $j \in \{1, \dots, r\}$, the linear code \mathcal{C}_j at that level achieves the capacity $C(2^{j-1}\mathbb{Z}/2^j\mathbb{Z}, \sigma^2)$ then the Construction D lattice thus constructed is Poltyrev-good.

III. PROPOSED SC-LDPC LATTICES

Construction of lattices based on Construction D using a SC-LDPC code at each level requires the SC-LDPC codes to be nested. In this section, we first construct such a sequence of nested linear codes based on ensembles of SC-LDPC codes such that each of the code ensembles in the nested construction is capacity achieving. For ease of exposition, we restrict our description to $r = 2$.

A. Construction

Given the rates of \mathcal{C}_1 and \mathcal{C}_2 as r_1 and r_2 , respectively, with $r_1 < r_2$, we construct the nested SC-LDPC code ensemble parameterized by (L, w) where L is the number of independent LDPC systems coupled and w is the coupling width whose design rates tend to r_1 and r_2 , respectively, in the limit of $w, L \rightarrow \infty$. Choose $d_c \in \mathbb{Z}$ large enough such that there exists $d_v^1, d_v^2 \geq 3 \in \mathbb{Z}$ and

$$1 - \frac{d_v^1}{d_c} > r_1 - \epsilon \text{ and } 1 - \frac{d_v^2}{d_c} > r_2 - \epsilon.$$

Our approach is to first construct a rate r_1 regular LDPC code ensemble and then obtain the rate r_2 ensemble by removing a fraction of the parity checks and the edges incident on these checks in a way that both the ensembles are regular SC-LDPC code ensembles which are universally capacity achieving. The ensemble described in [12] is not directly amenable to this approach of deriving the higher rate code, since removing a fraction of the checks from this ensemble does not result in a regular SC-LDPC code ensemble. Therefore, our approach is to use the following multi-edge type construction.

We place Md_c variable nodes at positions $[1, L]$, $L \in \mathbb{N}$ and Md_v^1 check nodes at positions $[1, L + w - 1]$, where $w \in \mathbb{N}$ is the coupling width. At each position divide the Md_v^1 check nodes into d_v^1 groups where each group contains M check nodes. At any position we refer to all check nodes belonging to k^{th} group as of type \mathcal{T}_k i.e., at each position there are d_v^1 types of check nodes with M check nodes of each type. Similarly, for each variable node, we classify the d_v^1 edges into types where k^{th} edge is referred to as type \mathcal{E}_k and hence, at each position there are Md_c edges of each type. For all $i \in \{1, 2, \dots, L\}$ and $k \in \{1, 2, \dots, d_v^1\}$, connections for Md_c edges of type \mathcal{E}_k of the variable nodes at position i are chosen uniformly and independently from all type \mathcal{T}_k check nodes in the range $\{i, \dots, i + w - 1\}$. This results in a Tanner graph in which every variable node has exactly one edge connected to

type \mathcal{T}_k check node for all $k \in \{1, 2, \dots, d_v^1\}$. We call such graph as a *check-uniform connected graph*.

From such a Tanner graph, removal of all check nodes of a particular type, say \mathcal{T}_1 , results in a variable node degree of $d_v^1 - 1$. One can see that removal of all check nodes of types $\mathcal{T}_1, \mathcal{T}_2, \dots, \mathcal{T}_{d_v^1 - d_v^2}$ from the original Tanner graph results in a (d_v^2, d_c) SC-LDPC graph that is a sub-graph of the original graph. We call the proposed construction as *check-uniform SC-LDPC (CU-SC-LDPC)* ensemble of codes. Thus one can obtain a sequence of nested regular SC-LDPC codes for any non-increasing sequence $d_v^1 \geq d_v^2 \geq \dots \geq d_v^r$. We refer to such a nested ensemble as $(d_v^1, \dots, d_v^r, d_c)$ CU-SC-LDPC ensemble.

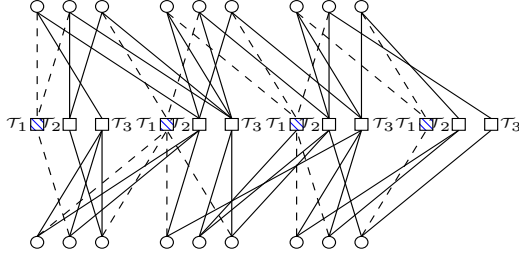


Fig. 1. Example of a $(3, 6)$ CU-SP-LDPC code for $L = 3, w = 2, M = 1$. Removal of all the type \mathcal{T}_1 check nodes i.e the shaded ones, results in a $(2, 6)$ CU-SC-LDPC code.

It can be seen that for a sequence of nested binary linear codes $\mathcal{C}_1 \subseteq \mathcal{C}_2, \dots, \subseteq \mathcal{C}_r$ there exists nested generator matrices for these codes and hence, we can use Construction D described in (1) with the proposed CU-SC-LDPC codes. We refer to a lattice thus constructed as a nested SC-LDPC lattice.

B. Poltyrev-Goodness of the Proposed Lattices

We now show the existence of a sequence of SC-LDPC lattices which is Poltyrev-good under BP decoding. In the following lemmas, we show that the proposed CU-SC-LDPC codes achieve the AMGN channel capacity. We then follow the argument by Forney *et al.* described in Remark 2 to show the result.

Lemma 3. For a BMS channel with associated L-density \mathbf{x}_{BMS} , the density evolution (DE) equation for a (d_v, d_c, w, L) CU-SC-LDPC ensemble is given by

$$\mathbf{x}_i^{(l)} = \mathbf{x}_{\text{BMS}} \circledast \left(\frac{1}{w} \sum_{j=0}^{w-1} \left(\frac{1}{w} \sum_{k=0}^{w-1} \mathbf{x}_{i+j-k}^{(l-1)} \right)^{\boxtimes d_c - 1} \right)^{\boxtimes d_v - 1}, \quad (5)$$

where $\mathbf{x}_i^{(l)}$ is the average L-density of a variable node at position i in iteration l .

Proof: In the proposed CU-SC-LDPC ensemble, from the perspective of a variable node there are d_v types of edges $\mathcal{E}_1, \mathcal{E}_2, \dots, \mathcal{E}_{d_v}$. We denote edges of type \mathcal{E}_k that originate from a variable node at position i as (i, \mathcal{T}_k) and the L-density of the message emitted by variable nodes along such edge

types as $\mathbf{x}_{ik}^{(l)}$ where l denotes the iteration. But from the perspective of a check node of any type at position i , an edge is randomly connected to one of the variable nodes located at positions $\{i, i-1, \dots, i-w+1\}$. Hence all the edges connected to check nodes at a certain position are statistically identical and more importantly all check nodes at certain position are statistically identical. The average L-density of the message emitted by a check node at position i in iteration l , denoted by $\mathbf{y}_i^{(l)}$, is given by

$$\mathbf{y}_i^{(l)} = \left(\frac{1}{w} \sum_{j=0}^{w-1} \left(\frac{1}{d_v} \sum_{k=0}^{d_v} \mathbf{x}_{(i-j)k}^{(l-1)} \right) \right)^{\boxtimes d_c - 1} \quad (6)$$

And a variable node update is given by

$$\begin{aligned} \mathbf{x}_{ik}^{(l)} &= \mathbf{x}_{\text{BMS}} \circledast \left(\frac{1}{w} \sum_{j=0}^{w-1} \mathbf{y}_{i+j}^{(l)} \right)^{\boxtimes d_v - 1} \\ \mathbf{x}_i^{(l)} &= \frac{1}{d_v} \sum_{k=0}^{d_v} \mathbf{x}_{ik}^{(l)} \end{aligned} \quad (7)$$

where $\mathbf{x}_i^{(l)}$ is the average L-density of the log-likelihood ratio of variable nodes at position i . Combining (6) and (7) and observing that the initialization is $\mathbf{x}_i^{(1)} = \mathbf{x}_{i1}^{(1)} = \mathbf{x}_{i2}^{(1)} = \dots = \mathbf{x}_{id_v}^{(1)} = \mathbf{x}_{\text{BMS}}$ completes the proof. ■

Note that the DE equations for the proposed CU-SC-LDPC ensemble in (5) are identical to that of SC-LDPC ensemble proposed in [12], [3].

Lemma 4. For any $\epsilon > 0$, there exists a sequence of (d_v, d_c) CU-SC-LDPC codes parameterized by (L, w) such that the rate $R > C_{\text{AMGN}} - \epsilon$ as $L \rightarrow \infty$, for which the bit error probability under BP decoding $\rightarrow 0$ as $M \rightarrow \infty$, where C_{AMGN} is the Shannon capacity of the AMGN channel.

Proof: It has been proved in [3], [4] that over any BMS channel, under BP decoding, any system that satisfies the equation (5) achieves capacity as $d_c, w, L \rightarrow \infty$ (with $\frac{d_v}{d_c}$ fixed). Hence, using Lemma 3, it suffices to show that the AMGN channel described in (4) is indeed a BMS channel. This can be seen from the proof in [6]. ■

Theorem 5. For any $\epsilon > 0$, there exists a sequence of SC-LDPC lattices with $1 < \alpha^2(\Lambda, \sigma^2) < 1 + \epsilon$ for which the average probability of error approaches zero under multistage BP decoding as $w, L, M \rightarrow \infty$.

Proof: Combining Remark 2 and Lemma 4 completes the proof. ■

C. Design and Simulation Results

In this subsection, we give a design example of SC-LDPC lattices that approach the Poltyrev limit.

As we use multistage decoding, the average probability of decoding error $P(\Lambda, \sigma^2)$ can be union bounded by the sum of block error probabilities at individual levels. Assuming CU-SC-LDPC code at each level is operating below the BP threshold, the average probability of decoding error of the lattice is

TABLE I
DE THRESHOLDS AND GAP FROM POLTYREV LIMITS (WITHOUT RATE
LOSS FROM TERMINATION) FOR SC-LDPC LATTICE ENSEMBLES FOR
VARIOUS DEGREE PROFILES.

(d_c, d_v^1, d_v^2)	(L,w)	$P(\mathbb{Z}_4, \sigma^2)$	σ_{\max}	VNR	VNR _{rate-loss}
(60, 26, 3)	(72, 12)	5×10^{-10}	0.3200	0.482dB	0.927dB
(60, 27, 3)	(64, 9)	5×10^{-10}	0.3203	0.57dB	0.951dB
(30,14,3)	(32,4)	5×10^{-10}	0.3184	1.02dB	1.347dB
(60, 42, 3)	(80, 16)	1×10^{-6}	0.4020	0.106dB	0.952dB

dominated by the performance of the last (uncoded) level. Let us denote the block error probability for the $(r+1)^{\text{th}}$ level by $P(\mathbb{Z}_{2^r}^n, \sigma^2)$. Under minimum distance decoding, $P(\mathbb{Z}_{2^r}^n, \sigma^2)$ is given by

$$P(\mathbb{Z}_{2^r}^n, \sigma^2) \stackrel{(a)}{\approx} nP(\mathbb{Z}_{2^r}, \sigma^2) = n \left(2Q \left(\frac{0.5}{\sigma_{r+1}} \right) \right) \quad (8)$$

where the approximation in (a) is for large n and $\sigma_{r+1} \triangleq \sigma/2^r$ is the effective noise observed at the last level.

Let the number of levels required be $r+1$, with r levels using nested CU-SC-LDPC codes and the last level be the $\mathbb{Z}_{2^r}^n$ uncoded lattice. The effective noise seen by i^{th} level is denoted as $\sigma_i \triangleq \sigma/2^{i-1}$. For $n = 2 \times 10^5$, $r = 2$ and target block error probability of 10^{-4} , the target bit error probability in the uncoded level $P(\mathbb{Z}, \sigma_{r+1}^2)$ is 5×10^{-10} . This corresponds to a $\sigma_{r+1} = 0.0804$. For this standard deviation, the equivalent capacities of the mod- Λ channel at each level are given by $C(\mathbb{Z}/2\mathbb{Z}, \sigma_r) = 0.9923$, $C(\mathbb{Z}/2\mathbb{Z}, \sigma_{r-1}) = 0.5726$ and $C(\mathbb{Z}/2\mathbb{Z}, \sigma_{r-2}) = 0.0242$. Observe that the capacity for level $r-2$ is almost zero which renders coding for this level unnecessary although this results in a very small increase in VNR (due to rate the loss) of 0.145dB ($= 20 \log_{10} 2^{0.0242}$). We use $r = 2$ (i.e., 3 levels) and (14, 3, 30) CU-SC-LDPC ensemble with $L = 32, w = 4$ is used for the first two levels and the uncoded \mathbb{Z}_4^n lattice is used in the last level. Due to the symmetry in the lattice, the all-zero lattice point is assumed to be transmitted. Instead of plotting the symbol error rate, we focus on determining the thresholds of the resulting lattice under BP decoding. We estimate the BP threshold from simulations by determining the maximum noise variance σ_{\max}^2 for which no codeword errors are observed, at each coded level, in simulation of 10 consecutive codewords each of length 2×10^5 . The VNR threshold is then calculated for the given rates and σ_{\max} . The VNR estimated from the BP threshold is 1.14dB (1.46dB with rate loss due to termination) whereas the estimate from the DE threshold for this ensemble is given by 1.02dB. We observe that the BP thresholds are very close to DE thresholds. For a few other SC-LDPC ensembles, Table. I shows the noise threshold computed using DE and the corresponding VNR. Note that the Poltyrev limit is zero dB. The gap to the Poltyrev limit is primarily due to the fact that there is a mismatch between the rates that are obtainable for the proposed ensemble with a fixed d_c and the capacity of the equivalent channel.

This gap can be substantially decreased if the target bit error probability of 10^{-6} is used instead of a block error

probability of 10^{-4} . In this case, the capacities of the channels at each level are 0.9507, 0.3223 and 0.0024. The pair of nested codes from the (42,3,60) CU-SC-LDPC ensemble have rates 0.95 and 0.3 and match very closely the channel capacities (negligible rate loss). This reduces the gap to 0.106 dB and this is reported in the last row in the table.

IV. APPLICATION - INTERFERENCE CHANNELS

We consider the 3 user Gaussian IC consisting of 3 transmitters, 3 receivers, and 3 independent messages originally considered in [8], where message W_j originates at transmitter j and is intended for receiver j , $\forall j \in \mathcal{J} \triangleq \{1, 2, 3\}$. The output observed at the receiver j is given by

$$\mathbf{y}_j = \mathbf{x}_j + \sum_{k=1, k \neq j}^3 h_{jk} \mathbf{x}_k + \mathbf{z}_j, \quad \forall j \in \mathcal{J} \quad (9)$$

where \mathbf{x}_j is the transmitted signal at j^{th} transmitter, h_{jk} are the channel parameters for the cross links, and $\mathbf{z}_j \sim \mathcal{N}(\mathbf{0}, \sigma^2 \cdot \mathbf{I})$ is the AWGN noise. If the channel parameters for all the cross links are equal to β , we refer to such model as a symmetric IC. The channel input signals are subjected to the power constraint $\frac{1}{n} \sum_{i=1}^n E[\|\mathbf{x}_j\|^2] \leq P$.

Sridharan *et al.* in [8] introduce a scheme where each user uses a lattice code and each receiver first decodes the total interference (aligned due to lattice structure) observed and then decodes the desired message. For this case, based on lattice decoding, they showed that interference can be decoded first if

$$\beta^2(\sigma) \geq \beta^{*2}(\sigma) \triangleq \frac{(P + \sigma^2)^2}{P\sigma^2}. \quad (10)$$

in which case, each user can achieve a rate of $\frac{1}{2} \log(1 + \frac{P}{\sigma^2})$.

A. Applying the Proposed Lattices

Encouraged by the Poltyrev-limit achieving property of the proposed lattice ensembles under BP decoding, we use SC-LDPC lattice codes for the symmetric Gaussian IC in the very strong interference region. We will consider the case of using $r = 2$ levels in the multistage code for ease of exposition, but the idea naturally extends to more levels. Let Λ be the SC-LDPC lattice defined in (1) with $r = 2$. We define the SC-LDPC lattice code \mathcal{C}_{SCL} based on Λ using hypercube shaping:

$$\mathcal{C}_{SCL} = \{\lambda \bmod \mathbb{Z}_4^n : \lambda \in \Lambda\} \quad (11)$$

where n is the dimension of Λ . Let codeword $\mathbf{c}_j \in \mathcal{C}_{SCL}$ at transmitter j be

$$= \sum_{i=1}^{k_1} \alpha_{1i}^{(j)} \mathbf{g}_i + 2 \sum_{i=1}^{k_2} \alpha_{2i}^{(j)} \mathbf{g}_i - 4\mathbf{k}_j, \alpha_{1i}^{(j)}, \alpha_{2i}^{(j)} \in \{0, 1\} \quad (12)$$

where “+” denotes addition in \mathbb{R}^n and $\mathbf{k}_j \in \mathbb{Z}^n$. Each codeword $\mathbf{c}_j \in \mathcal{C}_{SCL} \subset \{0, 1, 2, 3\}^n$ is modulated to $\tilde{\mathbf{x}}_j \triangleq \mathbf{c}_j - 1.5^n$ such that $\tilde{\mathbf{x}}_j \in \mathcal{A} \triangleq \{-1.5, -0.5, +0.5, +1.5\}^n$. At transmitter j , a dither vector \mathbf{d}_j uniformly distributed among

$\mathcal{B} \triangleq [-2, 2)$ is added to obtain the transmitted signal \mathbf{x}_j given by

$$\mathbf{x}_j = \tilde{\mathbf{x}}_j + \mathbf{d}_j \mod \mathbb{Z}_4^n, \quad (13)$$

where the mod operation is over \mathcal{B} instead of $[0, 4)$. The dither vector achieves the purpose of randomizing the interference and helps in treating the undesired components of the received signal as additive uncorrelated noise. It can be seen that \mathbf{x}_j is uniformly distributed over \mathcal{B} and the average power of the transmitted signal at each transmitter is 1.33.

B. Decoding

Let us consider the case of symmetric Gaussian IC i.e $h_{12} = h_{13} = \beta$ and without loss of generality consider receiver 1. The input to the multistage decoder at receiver 1 is given by

$$\begin{aligned} \tilde{\mathbf{y}}_1 &\triangleq \frac{\mathbf{y}_1}{\beta} - \mathbf{d}_2 - \mathbf{d}_3 + 1.5^n + 1.5^n \\ &= \mathbf{c}_2 + \mathbf{c}_3 + \frac{1}{\beta} (\mathbf{x}_1 + \mathbf{z}_1). \end{aligned}$$

The key here is that $\mathbf{c}_2, \mathbf{c}_3 \in \mathcal{C}_{SCL} \subset \Lambda$ and hence $\mathbf{c}_2 + \mathbf{c}_3 \in \Lambda$ is given by

$$\begin{aligned} \mathbf{c}_2 + \mathbf{c}_3 &= \sum_{i=1}^{k_1} (\alpha_{1i}^{(2)} + \alpha_{1i}^{(3)}) \mathbf{g}_i + 2 \sum_{i=1}^{k_2} (\alpha_{2i}^{(2)} + \alpha_{2i}^{(3)}) \mathbf{g}_i + 4\mathbf{k}_{23} \\ &= \sum_{i=1}^{k_1} (\alpha_{1i}^{(2)} \oplus \alpha_{1i}^{(3)}) \mathbf{g}_i + 2 \sum_{i=1}^{k_2} (c_{1i} \oplus \alpha_{2i}^{(2)} \oplus \alpha_{2i}^{(3)}) \mathbf{g}_i + 4\mathbf{k}_{23} \end{aligned}$$

where $c_{1i} = 0.5 (\alpha_{1i}^{(2)} + \alpha_{1i}^{(3)} - \alpha_{1i}^{(2)} \oplus \alpha_{1i}^{(3)})$, $c_{2i} = 0.5 (c_{1i} + \alpha_{2i}^{(2)} + \alpha_{2i}^{(3)} - c_{1i} \oplus \alpha_{2i}^{(2)} \oplus \alpha_{2i}^{(3)})$ are carryovers from first and second levels respectively and $\mathbf{k}_{23} = \mathbf{k}_2 + \mathbf{k}_3 + \sum_{i=1}^{k_2} c_{2i} \mathbf{g}_i \in \mathbb{Z}^n$. Since $c_{1i}, c_{2i} \in \{0, 1\}$, using the multistage decoder described in Section III, one can directly decode the lattice point $\mathbf{x}_2 + \mathbf{x}_3$ (interference), subtract it and decode the desired signal. The decoding scheme above extends to the case when one channel gain is an integer multiple of the other, but this is not shown here.

C. Simulation Results for Symmetric IC

We first present simulation results for successful decoding of the interference. For the $(18, 3, 30)$ ensemble with $r = 2$, we fixed $\sigma = 0.3728$ and increased β until we were able to successfully decode the interference. The value of β^2 for which the interference could be decoded was within 0.396 dB from the limit in (10) showing that proposed scheme is able to operate very close to the theoretical limits. We now present results on decoding the desired user. For these results, we assume that the interference has been successfully decoded. In Fig. 2, we plot the achievable rate as a function of P/σ^2 for the desired user for $r = 4$ with an optimal construction-D lattice and compare it to the corresponding Shannon limit. The DE thresholds with the proposed SC-LDPC codes is also shown in the plot and it can be seen that the DE thresholds are very close to the achievable rates. It can be seen that the achievable rate with the lattice code has a gap of roughly 1.53 dB from the

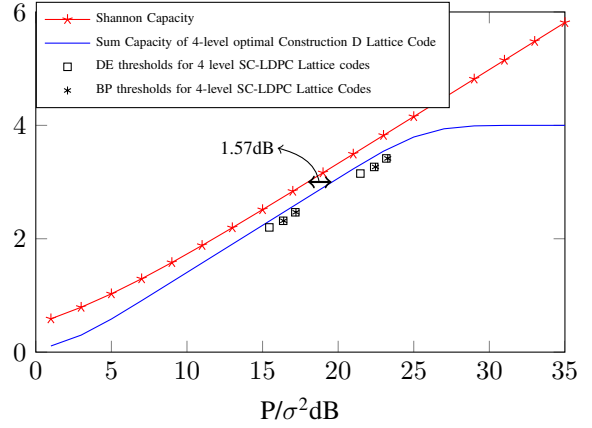


Fig. 2. Achievable rates for the desired user assuming the interference has been decoded.

Shannon limit at high rates, which is the loss due to hypercube shaping. The SNR required to successfully decode 10 consecutive codewords from simulations are also shown. Simulation results are shown for four cases - (i) $r = 4$, 3.41 bits/channel use, $(26, 3, 3, 3, 60)$ CU-SC-LDPC ensemble, (ii) $r = 4$, 3.26 bits/channel use, $(35, 3, 3, 3, 60)$ CU-SC-LDPC ensemble, (iii) $r = 3$, 2.46 bits/channel use, $(26, 3, 3, 60)$ CU-SC-LDPC ensemble, (iv) $r = 3$, 2.21 bits/channel use, $(35, 3, 3, 60)$ CU-SC-LDPC ensemble. In all these cases, $(L, w) = (72, 12)$ was used and we ignored the rate loss due to termination.

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