

## Learning objectives

By the end of this worksheet, you will:

- Understand and apply definitions about sets, strings, and common mathematical functions.
- Simplify summation and product expressions.

1. **Set complement.** Let  $A$  and  $U$  be sets, and assume that  $A \subseteq U$ . The **complement of  $A$  in  $U$** , denoted  $A^c$ , is defined to be set of elements that are in  $U$  but not  $A$ .  $A^c$  depends on the choice of both  $U$  and  $A$ !

- (a) Let  $U$  be the set of natural numbers between 1 and 6, inclusive. Let  $A = \{2, 5\}$ . What is  $A^c$ ?

**Solution**

$$A^c = \{1, 3, 4, 6\}.$$

- (b) Given an arbitrary  $A$  and  $U$ , write an expression for  $A^c$  in terms of  $A$ ,  $U$ , and the set difference operator  $\setminus$ .

**Solution**

$$A^c = U \setminus A.$$

- (c) Let  $U = \mathbb{R}$ ,  $A = \{x \mid x \in U \text{ and } 0 < x \leq 2\}$ , and  $B = \{x \mid x \in U \text{ and } 1 \leq x < 4\}$ . Find each of the following:  $A^c \cap B^c$ ,  $A^c \cup B^c$ ,  $(A \cap B)^c$  and  $(A \cup B)^c$ . Drawing number lines may be helpful. What relationships do you notice between these sets?

**Solution**

$$A^c \cap B^c = \{x \mid x \in U \text{ and } x \leq 0 \text{ or } x \geq 4\}$$

$$A^c \cup B^c = \{x \mid x \in U \text{ and } x < 1 \text{ or } x > 2\}$$

$$(A \cap B)^c = \{x \mid x \in U \text{ and } x < 1 \text{ or } x > 2\}$$

$$(A \cup B)^c = \{x \mid x \in U \text{ and } x \leq 0 \text{ or } x \geq 4\}$$

There are two equalities we observe:  $A^c \cap B^c = (A \cup B)^c$  and  $A^c \cup B^c = (A \cap B)^c$  (de Morgan's laws for sets).

2. **Set partitions.** Let  $A$  be a set. A (finite or infinite) collection of nonempty sets  $\{A_1, A_2, A_3, \dots\}$  is called a **partition** of  $A$  when (1)  $A$  is the union of all of the  $A_i$ ,<sup>1</sup> and (2) the sets  $A_1, A_2, A_3, \dots$  do not have any element in common.<sup>2</sup>

- (a) Recall that  $\mathbb{Z}^+$  is the set of all positive integers. Let

$$T_0 = \{n \mid n \in \mathbb{Z}^+ \text{ and } n = 3k, \text{ for some integer } k\},$$

$$T_1 = \{n \mid n \in \mathbb{Z}^+ \text{ and } n = 3k + 1, \text{ for some integer } k\},$$

$$T_2 = \{n \mid n \in \mathbb{Z}^+ \text{ and } n = 3k + 2, \text{ for some integer } k\},$$

$$T_3 = \{n \mid n \in \mathbb{Z}^+ \text{ and } n = 6k, \text{ for some integer } k\}.$$

Write the smallest three elements of  $T_0$ , of  $T_1$ , of  $T_2$ , and of  $T_3$ .

<sup>1</sup>We say the  $A_i$  are **exhaustive**.

<sup>2</sup>We say the  $A_i$  are **mutually disjoint** (or **pairwise disjoint** or **non-overlapping**) when no two distinct sets  $A_i$  and  $A_j$  have any element in common.

**Solution**
$$T_0 = \{3, 6, 9, \dots\}, T_1 = \{1, 4, 7, \dots\}, T_2 = \{2, 5, 8, \dots\}, T_3 = \{6, 12, 18, \dots\}.$$

- (b) Write down a partition of  $\mathbb{Z}^+$  using  $T_0$ ,  $T_1$ ,  $T_2$ , and/or  $T_3$ . Why can't you use all four sets?

**Solution**

The set  $\{T_0, T_1, T_2\}$  is a partition of  $\mathbb{Z}^+$ , since, when any positive integer is divided by 3, the possible integer remainders are 0, 1, and 2. The sets  $T_0$ ,  $T_1$ ,  $T_2$  list the numbers whose remainder when divided by 3 are 0, 1, or 2, respectively.

$T_3 \subseteq T_0$ , so we can't use both  $T_0$  and  $T_3$  in our partition (they have elements in common).

3. **Strings.** An **alphabet**  $A$  is a set of symbols like  $\{0, 1\}$  or  $\{a, b, c\}$ . We define a **string over alphabet**  $A$  as an ordered sequence of elements from  $A$ ; the **length** of a finite string is its number of elements.

For example, 011 is a string over  $\{0, 1\}$  of length three, and *abbacc* is a string over  $\{a, b, c\}$  of length seven.

- (a) Write down all strings over the alphabet  $\{0, 1\}$  of length three (you should have eight in total).

**Solution**

$\{000, 001, 010, 011, 100, 101, 110, 111\}$

- (b) Let  $S_1$  be the set of all strings over  $\{a, b, c\}$  that have length two, and  $S_2$  be the set of all strings over  $\{a, b, c\}$  that start and end with the same letter. Find  $S_1 \cap S_2$  and  $S_1 \setminus S_2$ .

**Solution**

$$S_1 \cap S_2 = \{aa, bb, cc\}.$$

$$S_1 \setminus S_2 = \{ab, ac, ba, bc, ca, cb\}.$$

Note that  $S_2$  is actually an infinite set, but both  $S_1 \cap S_2$  and  $S_1 \setminus S_2$  are finite.

- (c) What is the relationship between  $S_1$ ,  $S_1 \cap S_2$ , and  $S_1 \setminus S_2$ ?

**Solution**

Hint: look at  $(S_1 \cap S_2) \cup (S_1 \setminus S_2)$ .

4. **The floor and ceiling functions.** Let  $x \in \mathbb{R}$ . We define the **floor of**  $x$ , denoted  $\lfloor x \rfloor$ , to be the largest integer that is less than or equal to  $x$ . Similarly, we define the **ceiling of**  $x$ , denoted  $\lceil x \rceil$ , to be the smallest integer that is greater than or equal to  $x$ .

- (a) Compute  $\lfloor x \rfloor$  and  $\lceil x \rceil$  for each of the following values of  $x$ :  $x = \frac{25}{4}$ ,  $x = 0.999$ , and  $x = -2.01$ .

**Solution**

$$\left\lfloor \frac{25}{4} \right\rfloor = \lfloor 6.25 \rfloor = 6, \quad \left\lceil \frac{25}{4} \right\rceil = \lceil 6.25 \rceil = 7, \quad \lfloor 0.999 \rfloor = 0, \quad \lceil 0.999 \rceil = 1, \quad \lfloor -2.01 \rfloor = -3, \quad \lceil -2.01 \rceil = -2.$$

- (b) What is the domain and codomain of the floor and ceiling functions?

**Solution**

The domain is  $\mathbb{R}$  and the codomain is  $\mathbb{Z}$ .

- (c) Consider the following statement: For all real numbers  $x$  and  $y$ ,  $\lfloor x + y \rfloor = \lfloor x \rfloor + \lfloor y \rfloor$ . Is this statement True or False? Why?

**Solution**

The statement is False, since, for example,  $\left\lfloor \frac{1}{2} + \frac{2}{3} \right\rfloor = \left\lfloor \frac{7}{6} \right\rfloor = 1$ , while  $\left\lfloor \frac{1}{2} \right\rfloor + \left\lfloor \frac{2}{3} \right\rfloor = 0 + 0 = 0$ .

5. **Sum and product notation.** Recall that the notation  $\sum_{i=j}^k f(i)$  gives us a short form for  $f(j) + f(j+1) + \cdots + f(k-1) + f(k)$ , and that  $\prod_{i=j}^k f(i)$  gives us a short form for  $f(j) \times f(j+1) \times \cdots \times f(k-1) \times f(k)$ .

(a) Expand the following expressions into their long sum/product form. Do *not* evaluate the resulting expressions.

**Solution**

$$\begin{aligned} \sum_{k=1}^3 (k+1) &= (1+1) + (2+1) + (3+1) & \sum_{m=0}^1 \frac{1}{2^m} &= \frac{1}{2^0} + \frac{1}{2^1} \\ \sum_{k=-1}^2 (k^2+3) &= (1+3) + (0+3) + (1+3) + (4+3) & \sum_{j=0}^4 (-1)^j \frac{j}{j+1} &= 0 - \frac{1}{2} + \frac{2}{3} - \frac{3}{4} + \frac{4}{5} \\ \sum_{k=1}^5 (2k) &= 2 + 4 + 6 + 8 + 10 & \prod_{i=2}^4 \frac{i(i+2)}{(i-1)(i+1)} &= \frac{2 \cdot 4}{1 \cdot 3} \cdot \frac{3 \cdot 5}{2 \cdot 4} \cdot \frac{4 \cdot 6}{3 \cdot 5} \end{aligned}$$

(b) Rewrite each of the following expressions by using sum or product notation.

**Solution**

$$\begin{aligned} 3 + 6 + 12 + 24 + 48 + 96 &= \sum_{i=0}^5 3 \cdot 2^i & \frac{1}{3} + \frac{4}{9} + \frac{9}{27} + \frac{16}{81} + \frac{25}{243} + \frac{36}{729} &= \sum_{j=1}^6 \frac{j^2}{3^j} \\ 0 + 1 - 2 + 3 - 4 + 5 &= \sum_{j=0}^5 (-1)^{j+1} \cdot j & \left(\frac{1}{1+1}\right) \times \left(\frac{2}{2+1}\right) \times \left(\frac{3}{3+1}\right) \times \cdots \times \left(\frac{k}{k+1}\right) &= \prod_{j=1}^k \left(\frac{j}{j+1}\right) \\ \left(\frac{1 \cdot 2}{3 \cdot 4}\right) \times \left(\frac{2 \cdot 3}{4 \cdot 5}\right) \times \left(\frac{3 \cdot 4}{5 \cdot 6}\right) & & & \\ &= \prod_{j=1}^3 \frac{j \cdot (j+1)}{(j+2) \cdot (j+3)} \end{aligned}$$

6. **Sum and product laws.** It is possible to prove properties that help us manipulate sums and products. Let  $m, n \in \mathbb{Z}$ , and let  $a_m, a_{m+1}, a_{m+2}, \dots$  and  $b_m, b_{m+1}, b_{m+2}, \dots$  be sequences of real numbers, and let  $c \in \mathbb{R}$ . Then the following equations hold:<sup>3</sup>

$$\sum_{i=m}^n (a_i + b_i) = \left( \sum_{i=m}^n a_i \right) + \left( \sum_{i=m}^n b_i \right) \quad (\text{separating sums})$$

$$\prod_{i=m}^n (a_i \cdot b_i) = \left( \prod_{i=m}^n a_i \right) \cdot \left( \prod_{i=m}^n b_i \right) \quad (\text{separating products})$$

$$\sum_{i=m}^n c \cdot a_i = c \cdot \left( \sum_{i=m}^n a_i \right) \quad (\text{pulling out constant})$$

$$\sum_{i=m}^n a_i = \sum_{i'=0}^{n-m} a_{i'+m} \quad (\text{changing index})$$

$$\prod_{i=m}^n a_i = \prod_{i'=0}^{n-m} a_{i'+m} \quad (\text{changing index})$$

Using these laws, rewrite each of the following as a single sum or product, but do not evaluate your final sum/product.<sup>4</sup>

$$3 \cdot \sum_{i=1}^n (2i - 3) + \sum_{i=1}^n (4 - 5i)$$

**Solution**

$$\begin{aligned} 3 \cdot \sum_{i=1}^n (2i - 3) + \sum_{i=1}^n (4 - 5i) &= \sum_{i=1}^n (6i - 9) + \sum_{i=1}^n (4 - 5i) \\ &= \sum_{i=1}^n ((6i - 9) + (4 - 5i)) \\ &= \sum_{i=1}^n (i - 5) \end{aligned}$$

$$\left( \prod_{i=1}^n \frac{i}{i+1} \right) \left( \prod_{i=1}^n \frac{i+1}{i+2} \right)$$

**Solution**

$$\begin{aligned} \left( \prod_{i=1}^n \frac{i}{i+1} \right) \left( \prod_{i=1}^n \frac{i+1}{i+2} \right) &= \prod_{i=1}^n \left( \frac{i}{i+1} \cdot \frac{i+1}{i+2} \right) \\ &= \prod_{i=1}^n \frac{i}{i+2} \end{aligned}$$

$$\sum_{i=10}^{15} 2i + \sum_{i=101}^{106} (i - 1) \quad (\text{change the indexes to match})$$

<sup>3</sup>Because of how we've defined the *empty sum* and *empty product*, these equations hold even when  $n < m$ !

<sup>4</sup>We'll cover some formulas for evaluating common sums and products throughout this course.

**Solution**

$$\begin{aligned}\sum_{i=10}^{15} 2i + \sum_{i=101}^{106} (i-1) &= \sum_{i=0}^5 2(i+10) + \sum_{i=0}^5 (i+101-1) \\ &= \sum_{i=0}^5 2(i+10) + \sum_{i=0}^5 (i+101-1) \\ &= \sum_{i=0}^5 (2(i+10) + (i+101-1)) \\ &= \sum_{i=0}^5 (3i+120)\end{aligned}$$

Note: it is also possible to change the first summation to go from  $i = 101$  to  $106$ , or the second summation to go from  $i = 10$  to  $15$ .