

ASWANTH J

SUBJECT MATTER EXPERT

IFRS/US-GAAP/BCBS/ESG



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Ambitious finance professional with “big 4” experience dealing with IFRS & US GAAP reporting adheres to SEC & ESMA regulatory compliances. Expertise in analyzing various SEC Forms such as 10K, 10Q, 8-K & 20F under SEC regulatory compliances. Exposure to analyzing clients' risk management frameworks and support in regulatory compliance based on Basel Norms and BCBS 239 requirements. Performed risk assessments to mitigate regulatory compliance risks and ensure alignment with industry standards and legal requirements. Provide advisory services to clients on risk management practices, focusing on credit risk, liquidity risk, BASEL regulations, market risk & operational risk. Experience in preparing ESG reports following GRI, ESRS and BRSR standards.



CORE COMPETENCIES



Financial Reporting



BASEL Regulations II/III/IV



SEC Reporting (10K, 10Q, 20F)



IFRS & US GAAP



ESG Reporting (ESRS/GRI/BRSR)



Financial Planning & Analysis



Regulatory Compliances



Risk Management



Training & Team Handling



BUSINESS SKILLS



MS Office (Word, Excel, PowerPoint)



Power BI & Tableau



SAP S/4 HANA FICO (End User)



EDUCATION

- ❖ Master of Business Administration (Finance & Marketing) from Bengaluru University, Bangalore in 2018
- ❖ Bachelor of Business Administration from Bharathiyar University, Coimbatore in 2015
- ❖ Higher Secondary Education (Computer Science) from Kerala University in 2012
- ❖ High School Education (State Syllabus) from Kerala University in 2010



CURRENT WORK EXPERIENCE

From February 2024: Data Tracks, Chennai as Subject Matter Expert

- ❖ Final level reviewing of primary financial statements and footnotes under consideration of US GAAP and IFRS standards.
- ❖ Monitored updates to SEC & ESMA regulations and adjusted filing processes accordingly, keeping in line with the latest compliances.
- ❖ Developed ESEF-compliant iXBRL reports for ESMA filings based on regulatory standards.
- ❖ Preparing SEC filings and call reports such as FFIEC031, FR2644 and FR Y-9C were aligned with their regulatory expectations.
- ❖ Evaluated the accuracy and completeness of risk-related disclosures, ensuring adherence to BASEL regulations and BCBS 239 standards.
- ❖ Prepared sustainability digital reports based on GRI, CSRD and SEBI regulations, ensuring comprehensive and compliant disclosures of ESG.
- ❖ Research on ESG impacts and risks, aligning corporate strategy with stakeholder expectations and sustainability goals.



PREVIOUS EXPERIENCE

November 2022 – February 2024: Ernst and Young (E&Y), Noida as Senior Lead

- ❖ Conducted ESEF audits and SEC filings reviews for the entities listed in the European Union (EU) and Security Exchange Commission (SEC), ensuring regulatory compliance with US GAAP and IFRS accounting standards.
- ❖ Support EY internal team members for financial statement preparation by providing IFRS standards updates and regulators' latest amendments.
- ❖ Primary point of contact on one's supervisory engagements- handling onshore conversations.
- ❖ Preparing and analyzing sustainable reports, ensuring compliance with ESRS standards.
- ❖ Conducted assessments to identify gaps in clients' ESG reporting.
- ❖ Delivered training and guidance to teams on ESG reporting standards and best practices.



PREVIOUS EXPERIENCE

December 2021 - November 2022: Toppan Merrill, Coimbatore, as Financial Reporting Analyst

- ❖ Analysis and reviewing of financial statements and footnotes under consideration of FASB and IASB regulations.
- ❖ Working on SEC Forms 10K, 10Q, 20F, S-1, S-4, 6-K, 8K and 40F based on US GAAP and IFRS accounting standards.
- ❖ Analyze client's risk-related disclosures such as credit risk, market risk, liquidity risk and operational risk based on BCBS and BASEL regulations.
- ❖ Presenting insights to clients about risk assessments through conducting Root Cause Analysis (RCA).
- ❖ Preparing Service Recovery Report (SRR) which includes action plan and preventive measures.
- ❖ Handles team with a size of 8 members, workflow management, and client query addressing on a real-time basis.
- ❖ Conducted training for new hires by creating training modules based on regulatory requirements.



PREVIOUS EXPERIENCE

July 2020 – December 2021: Aptara Corp as Financial Analyst

- ❖ Worked on various SEC Form types such as 10K, 10Q, 20F, and 40F and performed SEC submission tests before filling.
- ❖ Prepared and analyzed financial statements and disclosures under US GAAP & IFRS for SEC registrants.
- ❖ Successfully prepared and submitted call reports including FFIEC031, FR2644, and FR Y-9C, aligning with regulatory expectations and deadlines.
- ❖ Performed Quality Analysis (QC) of the files delivered to ensure that the client's desired requirements were met.
- ❖ Proactive in identifying process gaps and having discussions with managers to come up with suitable solutions for the problem.
- ❖ Performed final review process for clearing errors after the offshore production for filling in SEC.



PREVIOUS EXPERIENCE

November 2017 – July 2020: RR Donnelley as Financial Associate

- ❖ Worked in US-GAAP and IFRS taxonomies to generate iXBRL reports with the guidance of FASB & IASB.
- ❖ Deep understanding of different US GAAP Taxonomies, balance sheets, income statements, cash flow statements, financial instruments, etc.
- ❖ Provide high quality, real-time support on iXBRL document (Interactive Data) creation (10K, 10Q, 20F, 40F, 8K) review and filling of Financial Reports/Statements in support of client projects from different industries like Commercial and Industrial, Banking and Savings Institutions, Brokers and Dealers, Insurance and Real Estate.
- ❖ Performed Quality Analysis (QC) of the files delivered to ensure that the client's desired requirements were met.



PROJECTS

- ❖ Financial health of indoor plants and ratio analysis used for profitability in Tremendous Trees Pvt. Ltd, Bengaluru (Mar 2017 to Apr 2017)
- ❖ An analytical study on profitability-efficiency Capex Cashews, Kollam (August 2016 – September 2016)



AWARDS & CERTIFICATIONS

- ❖ Spot award from EY GDS
 - Spot award for bringing new innovative ideas
- ❖ Aptara Rewards & Recognition
 - Performance award for best performer of peak fast turnaround (July 2021 – September 2021)
 - Award & Recognition for Best Performer (2021)
- ❖ RRD Rewards & Recognition
 - Performance award for maintaining 100% quality (Jan 2018 - June 2018)



DECLARATION

I do hereby declare that the above particulars of information and facts stated are true, correct, and complete to the best of my knowledge and belief.

Date: 22-09-2024

Aswanth J

Place: Thiruvananthapuram