

Subrecipient vs. Contractor Determination Form

(To be filled out and submitted by the UCI Principal Investigator)

In accordance with §200.330 of the Uniform Guidance, UCI must determine, on a case by case basis, whether the entity receiving federal funds is a subrecipient or a contractor. Please have UCI's Principal Investigator complete and submit this form to Sponsored Projects via Kualii Coeus for proposals which include direct costs for a potential subaward or subcontract to a third party (not required for subawards/subcontractors to another UC campus). Please direct any questions to your assigned contract and grant officer.

Subrecipient / Contractor Name: Click here to enter text.

Project Title: Advancing predictive physical modeling through focused development of model systems to drive new modeling innovations

Prime Sponsor (i.e. Name of Prime Agency): NIH

UCI PI: Mobley

Check all that apply

Subrecipient:

- ☒ Performance represents an intellectually significant portion of the overall programmatic effort and is measured against the objectives of the program.
- ☒ There is an identified principal investigator for the subrecipient who has responsibility for making programmatic decisions.
- ☒ Work could result in the development of intellectual property.
- ☒ Is expected to author or co-author publications on the results of its work.
- ☐ Will need animal and/or human subject approval for its work.
- ☐ Provides cost sharing or matching funds.
- ☒ Is responsible for adhering to applicable program requirements specified in the prime award.
- ☒ Will use the funds to carry out a program for a public purpose, as opposed to providing goods or services for the benefit of UCI.

Contractor:

- ☐ Provides the goods or services purchased with the funds within its normal business operations.
- ☐ Provides similar goods or services to many different purchasers.
- ☐ Performs a series of repetitive tests or activities requiring little or no discretionary judgment.
- ☐ Normally operates in a competitive environment.
- ☐ Provides goods or services that are ancillary to the operation of the program.
- ☐ Is not subject to the compliance requirements of the program as a result of the agreement with UCI (although similar requirements may apply for other reasons).

All of the characteristics listed above might not be present in all cases. Therefore, judgment must be used in classifying the agreement as either a subaward or a contract. In determining whether the agreement is a subaward or a contract, the substance of the relationship is more important than the form or name of the agreement.

Based on my analysis of the above, the organization is a:

Subrecipient: ☒ Contractor: ☐



UCI Principal Investigator Signature

9/13/16

Date

David Mobley

UCI Principal Investigator Name (Please Print)

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Subrecipient / Contractor Name: University of Maryland, College Park

Project Title: Advancing predictive physical modeling through focused development of model systems to drive new modeling innovations

Prime Sponsor (i.e. Name of Prime Agency): NIH

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Subrecipient / Contractor Name: Tulane University

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Prime Sponsor (i.e. Name of Prime Agency): NIH

UCI PI: Mobley

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