

# **Energy-dispersive X-ray spectroscopy**

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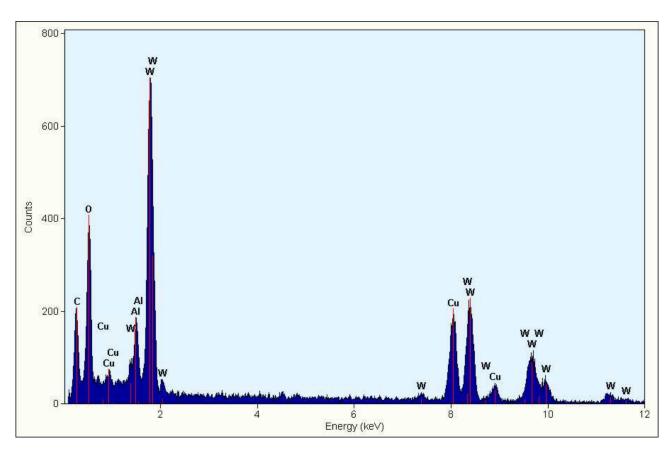
Energy-dispersive X-ray spectroscopy (EDX/EDS/EDAX) is a popular experimental technique used to characterize the elemental composition of a sample.

EDX typically involves bombarding a sample with high-energy electrons, exactly the kind that are used for scanning electron microscopy (SEM) and transmission electron microscopy (TEM). SEM/TEM instruments are often sold with EDX instruments already built in and EDX instruments are often sold as SEM/TEM accessories.

Atoms contain negatively-charged electrons bound to a positively-charged nucleus at well-defined energy levels. When an atom in a sample is exposed to a beam of high-energy electrons, some of these bound electrons will be ejected from the atom and leave their energy level or "shell", leaving an "electron hole". This hole can then be filled by another electron dropping from a less tightly-bound shell, which releases the excess energy required to drop to a lower energy level in the form of an X-ray.

The energy levels electrons occupy are well-defined for each element, meaning that each element will emit X-rays at a series of well-defined characteristic energies. An EDX detector will read the energies of emitted X-rays and construct a spectrum, which can then be analyzed quantitively to determine the elemental composition of a sample.

A typical EDX spectrum will show X-ray energy on the x axis (typically expressed in electron volts, eV) and counts or counts per second (cps) on the y axis (with each "count" representing a single detected X-ray emission).



An example EDX spectrum for an aluminum tungsten oxide on a carbon foil supported on a copper grid. Adapted from material prepared by Dr. Frank Krumeich.

Because the characteristic X-ray emission energies of any element are predictable and well-defined, the height and position of peaks they will produce on an EDX spectrum are also predictable and well-defined. For example:

- Carbon will only ever produce a single peak at  $\sim$  277 eV.
- Oxygen will only ever produce a single peak at  $\sim$  525 eV.
- Silicon will only ever produce two characteristic X-rays: one at  $\sim$  1.74 keV and another at  $\sim$  1.84 keV. These peaks are too close to be distinguished by EDX detectors so only appear as one peak at  $\sim$  1.75 keV.

Heavier elements tend to produce more peaks at higher energies.

The characteristic X-ray energies that will be produced by each element can be found in various lookup tables, like this one from Lawrence Berkeley National Laboratory. However, the most convenient and comprehensive resource for determining expected peaks is the software NIST DTSA-II. DTSA-II also allows the user to simulate spectra and quantify elemental abundances from real spectra.

#### Background signal/continuum/bremsstrahlung and the Duane-Hunt limit

Aside from the peaks produced by the characteristic X-rays of elements in a sample, an EDX spectrum will also feature a background signal (also called a "continuum") caused by bremsstrahlung (German for "braking radiation"). These are X-rays emitted by the incident electrons being redirected by the atomic nuclei in the sample.

This background signal will take on a broad, hill-like distribution that tapers off at higher energies when using a low-energy electron beam (such as on an SEM instrument with an accelerating voltage on the order of 10 kV). When using a higher-energy electron beam (such as on a TEM instrument with an accelerating voltage on the order of 100 kV), this distribution will be much more spread out. Over the range of energies typically shown in EDX spectra (usually around 0 to 20 keV), the bremsstrahlung signal on a TEM EDX spectra will appear as low, constant-intensity background noise.

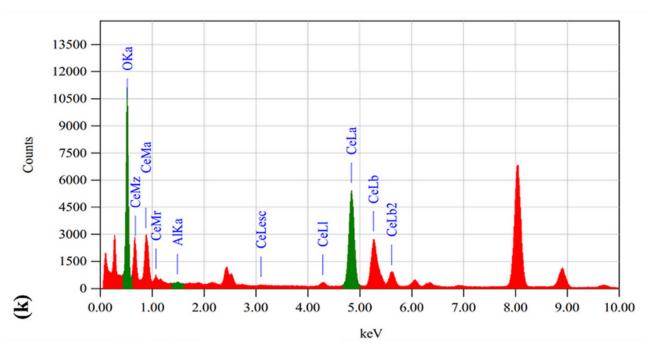
An EDX spectrum will only ever have a nonzero signal up to the energy of the incident electron beam. For example, if EDX is performed with an electron beam with an accelerating voltage of 10 kV, no elemental peaks or bremsstrahlung will be observed at energies higher than 10 keV. This is known as the Duane-Hunt limit.

#### Elements not detectable by EDX

Hydrogen (atomic number 1) and helium (atomic number 2) do not produce characteristic X-rays and are thus not detectable by EDX. Lithium (atomic number 3) and beryllium (atomic number 4) produce characteristic X-rays at such a low energies ( $\sim$  54 and  $\sim$  108 keV, respectively) that most EDX detectors will fail to capture them.

#### **Escape peaks**

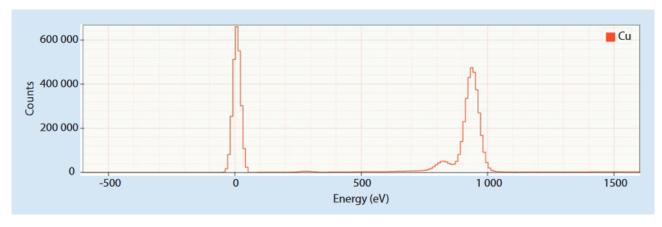
Some elements will produce silicon "escape" peaks. These peaks occur with silicon-based detectors (used in the vast majority of EDX instruments) and will appear exactly one Si  $K\alpha$  emission energy ( $\sim$  1.7 keV) below prominent peaks produced by an element. Some models of EDX instrument will remove escape peaks from the spectrum automatically.



An example EDX spectrum for a material containing cerium. A faint cerium escape peak is labeled at  $\sim 3.1$  keV. Adapted from Figure 3K of Shah et al. (2023).

## Zero strobe peak

Many EDX detectors will insert an artificial peak centered at 0 eV. This peak, called the "zero strobe", "zero strobe peak" or "strobe peak", is used for instrument calibration is often removed before further analysis. If it is left included, it can give the appearance of detection of X-rays with negative energies. The appearance of a zero strobe peak in a published EDX spectrum is usually no cause for concern.



An example EDX spectrum for elemental copper showing a zero strobe peak centered around 0 eV. Adapted from Figure 17.7 of Scanning Electron Microscopy and X-ray Microanalysis.

#### Sample preparation

The optimal samples for EDX and the most suitable for quantitative analysis will have a flat, smooth, polished surface. Spectra from fibers, particles and rough surfaces can be collected, but only with a significant reduction in accuracy.

If a sample is not conductive, a negative charge from the incident electron beam can build up on the sample surface, reducing the effective beam energy. This harms both EDX analysis and SEM visualization. To counteract this, samples are often coated with a conductive material. These materials will often appear as additional peaks in EDX spectra. Coatings are most commonly composed of carbon, gold, platinum, palladium, silver, iridium or chromium.

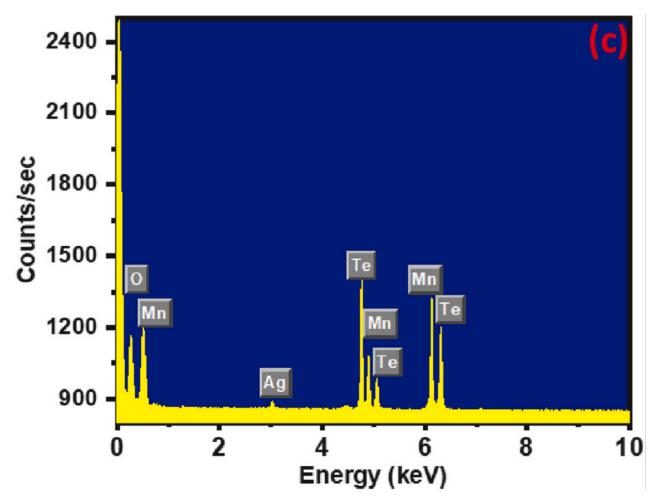
## **Mounting grids**

Samples are sometimes mounted to a metallic grid and/or a thin foil to facilitate handling (this is more common for EDX conducted with a TEM instrument). The materials used in these grids will often appear in EDX spectra. Grids and foils are most commonly composed of gold, copper, carbon or aluminum.

#### **Example 1: Problematic EDX spectrum**

Hussain et al. (2024) claim to use EDX to characterize the elemental composition of an AgMnTe composite. However, the EDX spectrum they provide in Figure 2C has several issues:

- 1. Tellurium has no peaks between 6 keV and 7 keV.
- 2. Manganese has no peak at  $\sim$  6.2 keV.
- 3. Manganese has no peak at  $\sim$  5 keV.
- 4. The background signal is unusually tall and flat, which is not consistent with EDX performed on an SEM instrument.

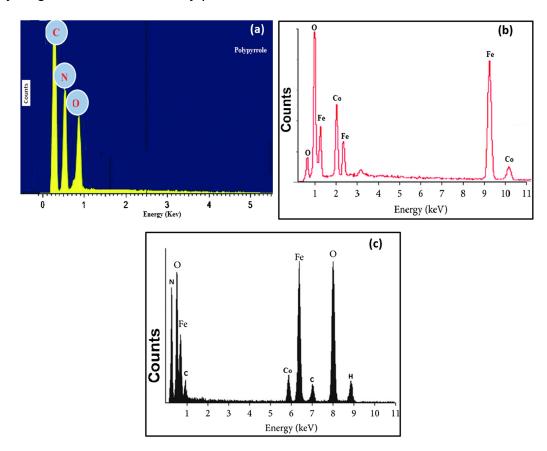


A problematic EDX spectrum with several nonsensical peak labels. Adapted from Figure 2C of Hussain et al. (2024).

#### **Example 2: Problematic EDX spectrum**

Alwadai et al. (2022) claim to use EDX to characterize the elemental composition of polypyrrole, cobalt ferrite, and a poylpyrrole/cobal ferrite composite. However, the spectra they provide have numerous issues:

- 1. Oxygen's sole peak should be at 524.9 eV, but appears in 3A at  $\sim$  0.9 keV.
- 2. Oxygen has two peaks in 3B. Oxygen has one peak.
- 3. Iron has no peaks between 1 and 4 keV. 3B shows two peaks for iron in this range.
- 4. Iron has no peaks > 8 keV, unlike what is shown in 3B.
- 5. Cobalt has no peak at  $\sim$  2 keV and no peak at  $\sim$  10 keV, unlike what is shown in 3B.
- 6. In increasing order, the lowest energy peaks in 3C should be carbon, nitrogen, oxygen, iron. The order shown in 3C is nitrogen, oxygen, iron, carbon.
- 7. Cobalt has no peaks at  $\sim$  5.9 keV. The nearest expected peak is an escape peak at  $\sim$  5.2 keV.
- 8. Carbon does not have a peak at  $\sim$  7 keV.
- 9. Oxygen does not have a peak at  $\sim$  8 keV.
- 10. Hydrogen does not have any peaks, let alone one at  $\sim$  9 keV.



Problematic EDX spectra with numerous issues. Adapted from Figure 3 of Alwadai et al. (2022).

### **Additional resources**

- · Lecture notes by Dr. Chris Boothroyd
- Scanning Electron Microscopy and X-ray Microanalysis
- "Sample Preparation for Electron Probe Microanalysis Pushing the Limits"
- "Performing elemental microanalysis with high accuracy and high precision by scanning electron microscopy/silicon drift detector energy-dispersive X-ray spectrometry (SEM/SDD-EDS)"
- NIST DTSA-II

Thanks to Dr. Nicholas Ritchie for providing feedback on this guide.