

Throughput Maximization and IR-HARQ Optimization for URLLC Traffic in 5G System

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Supporting New Applications:



Mission-critical control

smart grid (5ms for tx/grid backbone, 50ms for grid backhaul)

Industrial Automation

real time control (20 μ s – 10 ms), time-critical sensing (10ms), over-the-air: 0.25~1 ms



Media & Entertainment

4K Video, live streaming in crowded areas (20ms), collaborative gaming (20ms)

AR/VR

MTP 15~20 ms // over-the-air: 1~5 ms

Tactile Internet

1ms e2e latency



HF Trading & eCommerce

1ms delay advantage = 100M\$/year

A page load slowdown of 1 sec could cost 1.6B\$ in sales each year (Amazon)



Automotive industry

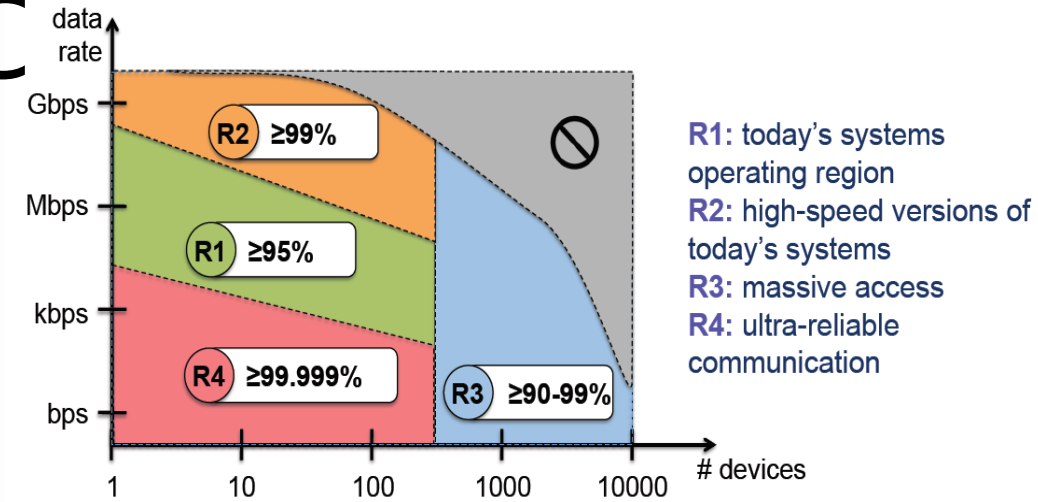
autonomous/cooperative driving, V2X (3-10ms), V2N for remote vehicle operation (10-30ms E2E)



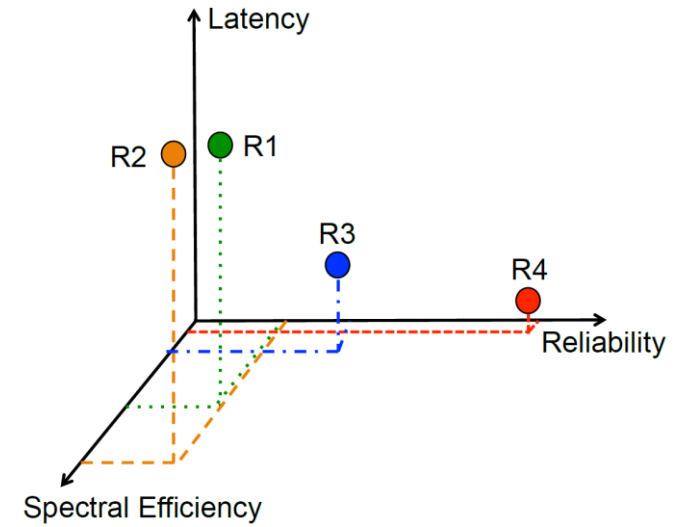
Healthcare

video/AR for remote surgery (100ms), real-time command/control (10-100ms)

New Operation Regimes - URLLC

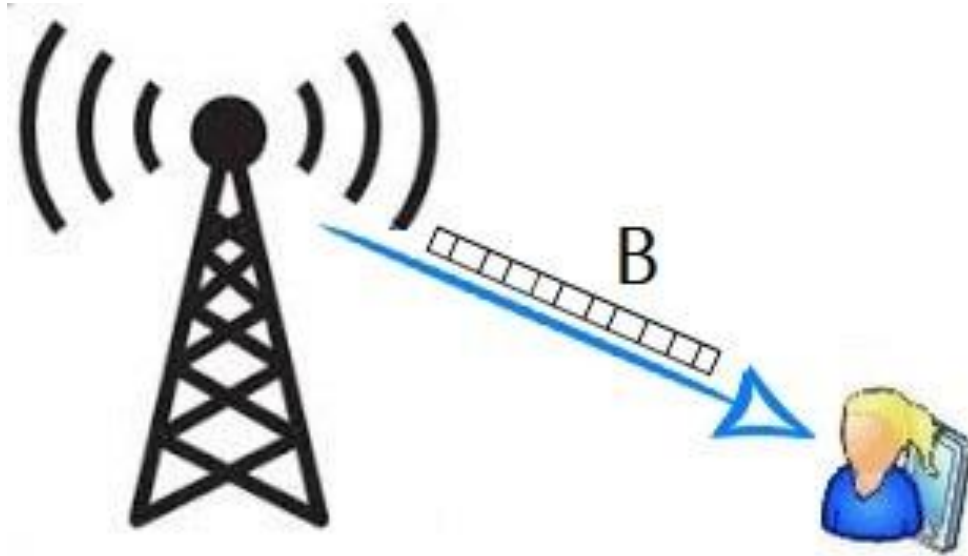


From F. Boccardi et al. "Five Disruptive Technology Directions for 5G"



Use case	Latency (ms)	Reliability (PEP)	Data size (bytes)	Communication range (m)
Industrial automation	0.25 – 10	$10^{-6} - 10^{-9}$	10 – 300	10 – 100
Smart grids	5 – 50	10^{-6}	80 – 1000	Few m to km
Intelligent transport systems	5 – 100	$10^{-3} - 10^{-5}$	500 – 1k	200 – 5000
Telemedicine	1 – 10 (haptics) 20 – 100 (video, audio)	10^{-5}	200 – 4k	< 200 km

System model



- Point to Point communication
- Fixed number “B” of information Bits
- Messaged transmitted using “N” channel uses and “P” power
- Within time coherence interval

Error Probability: ε


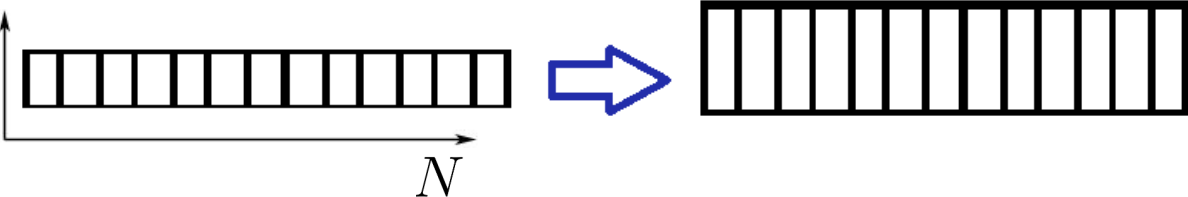
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- If block-length finite: $\varepsilon > 0$. Two ways of improving:

- Increase N : 
- Increase P : 

Error Probability: ε

$$\varepsilon \approx Q \left(\frac{N \ln(1 + P) - B \ln 2 + \frac{\ln N}{2}}{\sqrt{N(1 - \frac{1}{(1+P)^2})}} \right)$$

[1] Y. Polyanskiy, “Channel coding: Non-asymptotic fundamental limits”, Ph.D. dissertation, Princeton University, Nov. 2010.

[2] M. Hayashi, “Information spectrum approach to second-order coding rate in channel coding,” IEEE Trans. on Inf. Theory, vol. 55, no. 11, pp. 4947–4966, Nov. 2009

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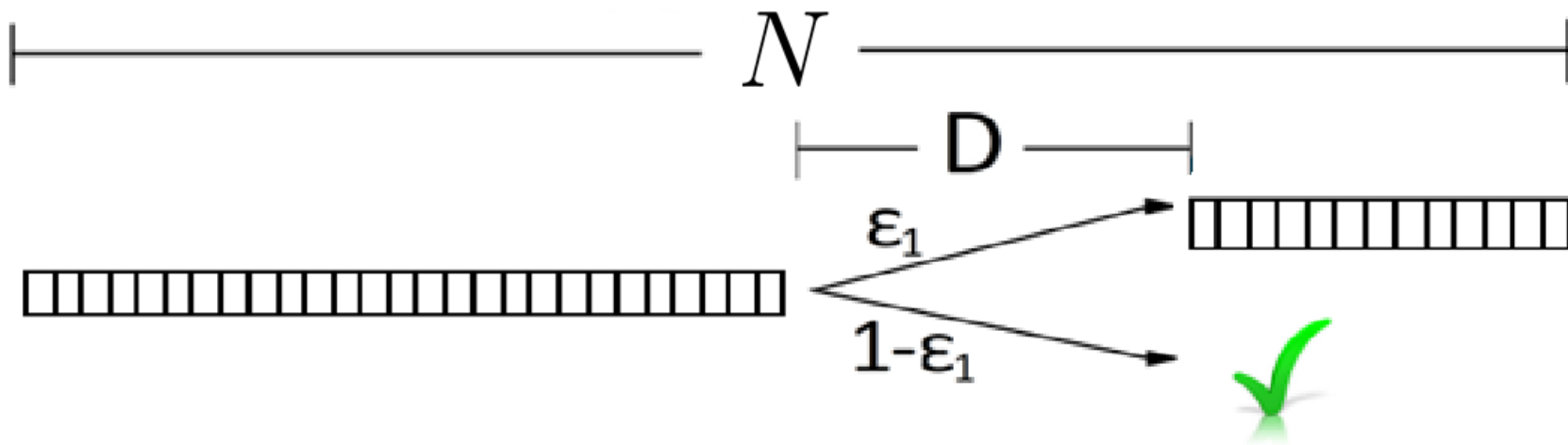
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- Incremental Redundancy Hybrid Automatic Repeat Request (IR-HARQ). For $M = 2$ rounds:



Error Probability ε_m for IR-HARQ at m round

$$\varepsilon_m \approx Q \left(\frac{\sum_{i=1}^m n_i \ln(1 + P_i) - B \ln 2}{\sqrt{\sum_{i=1}^m \frac{n_i P_i (P_i + 2)}{(P_i + 1)^2}}} \right)$$

Optimization Problem, URLLC,
Fixing: B information bits, M IR-HARQ rounds, $D = 0$

$$s.t. \quad \varepsilon_M \leq \varepsilon_{\text{rel}} \quad (R)$$

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Fixing: B information bits, M IR-HARQ rounds, $D = 0$

$$\min_{n_1, P_1, \dots, n_M, P_M} \frac{B(1 - \varepsilon_M)}{\sum_{m=1}^M n_m \varepsilon_{m-1}}$$

$$s.t. \quad \varepsilon_M \leq \varepsilon_{\text{rel}} \quad (R)$$

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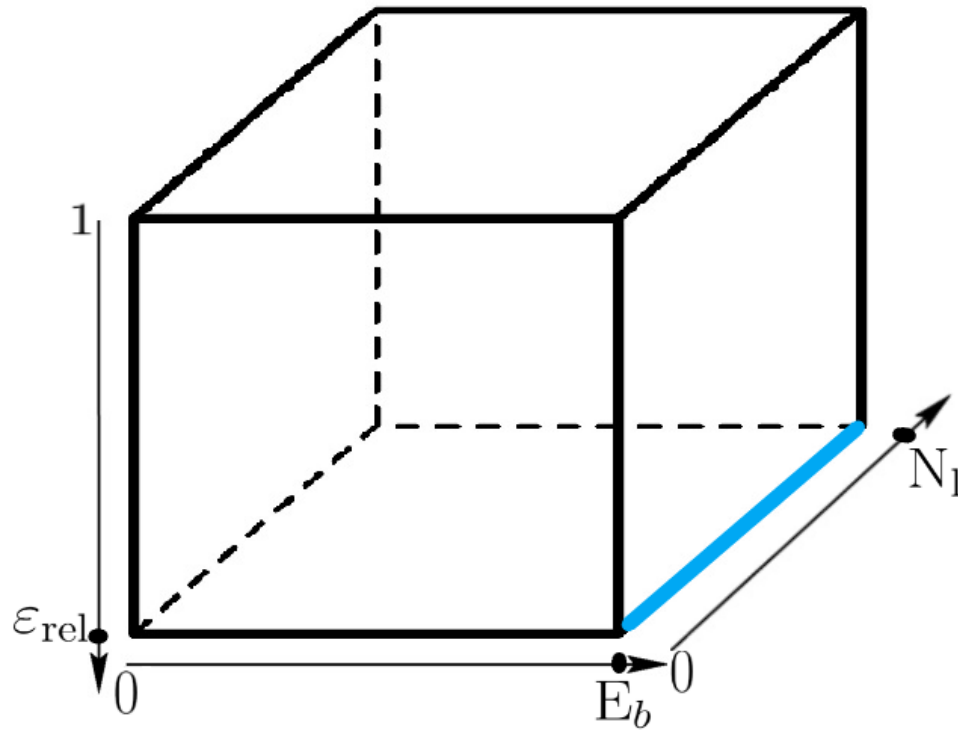
Towards a Solution:

$$\begin{aligned} \max_{n_1, P_1, \dots, n_M, P_M} \quad & \sum_{m=1}^M n_m \varepsilon_{m-1} \\ \text{s.t.} \quad & \varepsilon_M = \varepsilon_{\text{rel}} \quad (R) \\ & \sum_{m=1}^M n_m \leq N_1 \quad (L) \\ & \sum_{m=1}^M n_m P_m \varepsilon_{m-1} = E_b \quad (E) \end{aligned}$$

- Nominator almost constant as B is fixed and $\varepsilon_{\text{rel}} \leq 10^{-5}$.
- Achieving lower than required error pr. leads only to waste of resources.
- Increasing the energy improves latency and throughput.

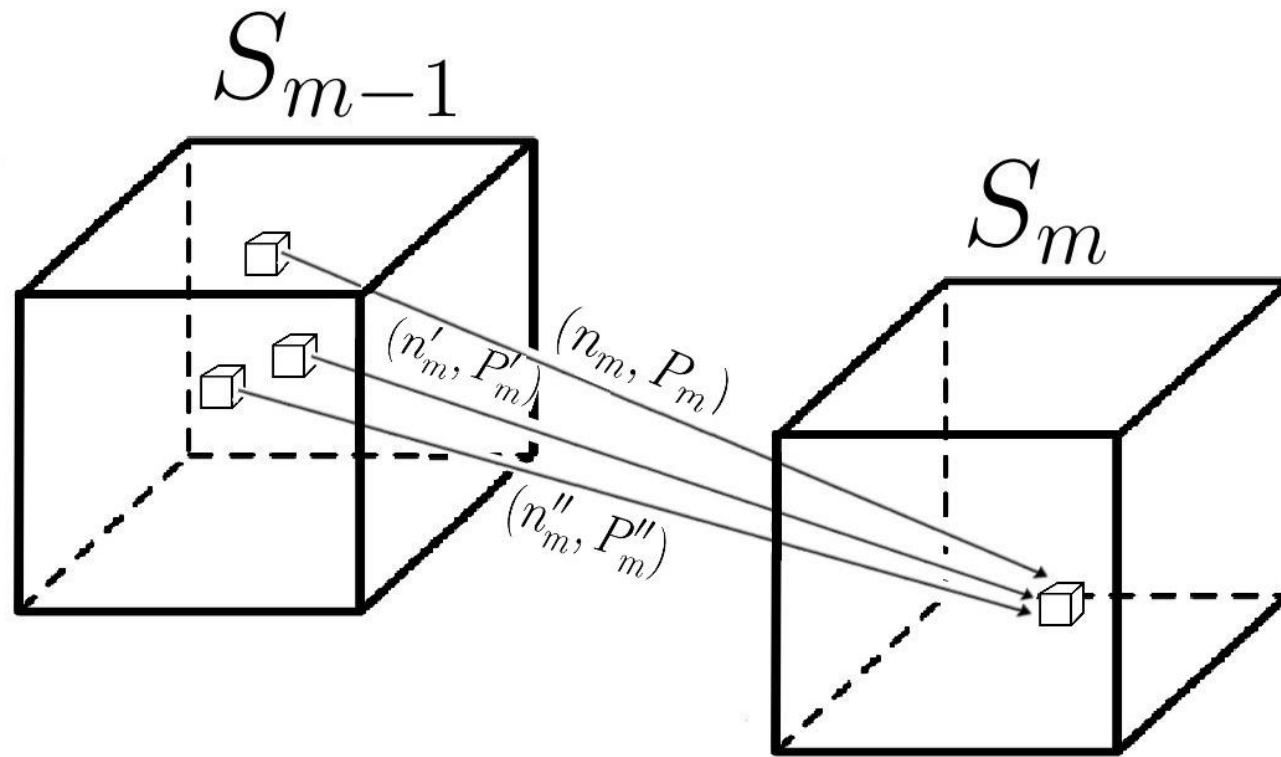
Let state: $S_m = (\varepsilon_m, \sum_{i=1}^m n_i, \sum_{i=1}^m n_i P_i \varepsilon_{i-1})$

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- Every state $m < M$ belongs inside the cube.
- The last state S_M lies in the blue line

Dynamic Programming

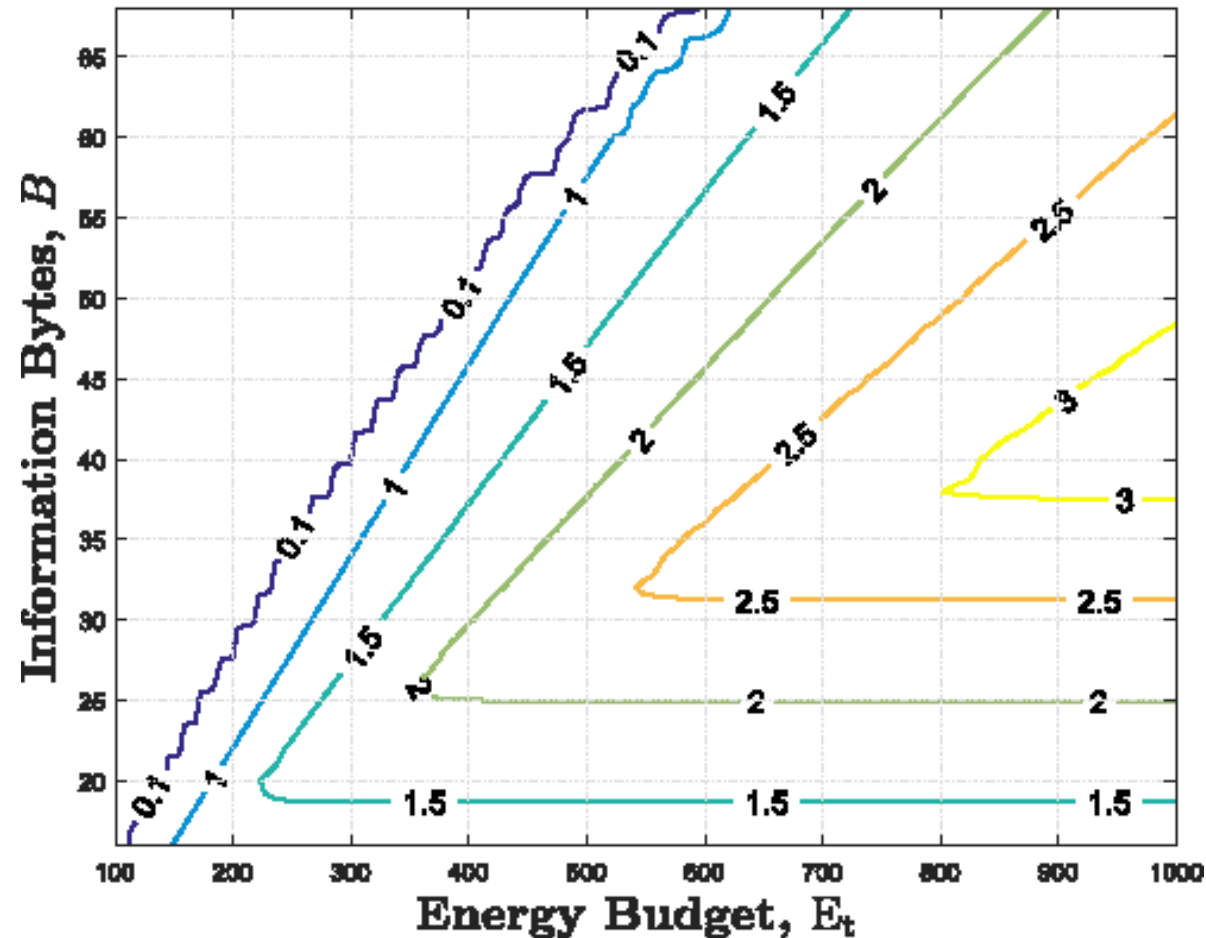


- To be optimal in S_m necessarily S_{m-1} has to be reached optimally.

Remarks:

- If the problem is solved for $(M, \varepsilon_{\text{rel}}, N_1, E_b)$ then any other parameter combination with $(M' \leq M, \varepsilon'_{\text{rel}} \geq \varepsilon_{\text{rel}}, N'_1 \leq N_1, E'_b \leq E_b)$ is solved also, assuming that every “cube” containing the states was stored.
- Unfortunately this doesn't hold with B and the dynamic programming solution has to be computed again.
- This method was applied in a previous publication for energy minimization in [3]A. Avranas, M. Kountouris, and P. Ciblat, “Energy-latency tradeoff in ultra-reliable low-latency communication with retransmissions,” *IEEE J. Sel. Areas Commun.*, Oct. 2018.

Throughput vs. energy and information bits for $M = 3$, $\varepsilon_{\text{rel}} = 10^{-5}$ and $N_1 = 600$



Thank you

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