

## Sorting 2

- Any comparison based sorting requires  $\Omega(N \log N)$  comparisons

### A General Lower Bound For Sorting

- The root represents the set of all possible orderings: when the sorting algorithm is given a array to sort, any possible ordering is possible!
  - Each node performs one comparison, which partitions its set of orderings into two sets based on the comparison results. The two children are in each of its set, respectively.
  - The algorithm needs to perform enough comparison to get a set that contains one ordering (the sorting result).
  - Each comparison based sorting algorithm can be represented by a binary decision tree.**
  - The worst case is the largest set of comparisons possible needed.
    - This is the height of the decision tree
  - Different algorithms differ in items selected for comparison at each node.
  - The most efficient algorithm is the one with the smallest height.
  - We know the number of leaves in the tree
    - The number of possible sorted orders of  $N$  items, let us denote it as  $X$
  - To get the minimum tree height of the decision tree, let us decide the number of leaves on the tree
    - Number of leaves = number of orderings  $N$  numbers
    - $N! = N * (N - 1) * (N - 2) * \dots * 2 * 1$
  - For a binary tree to have  $N!$  leaves, the tree is at least...**
    - $\log(N!) = \log(N) + \log(N - 1) + \dots + 1$
    - $\geq \log(N) + \log(N - 1) + \dots + \log(\frac{N}{2})$
    - $\geq \log(\frac{N}{2}) + \log(\frac{N}{2}) + \dots + \log(\frac{N}{2})$
    - $\geq \frac{N}{2} * \log(\frac{N}{2}) = \Omega(N \log N)$
  - No Comparison Based Sorting can be better than  $N(\log N)$**
  - Heapsort, Mergesort, and Quicksort -  $N(\log N)$
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### Heap Sort

- Build binary minheap of  $N$  elements
  - $O(N)$
- The perform  $N$  deletemin operations
  - $\log(N)$  time per deletemin
- $N(\log N)$**
- Requires another array to store results
- To eliminate this requirement
  - using heap to store sorted elements
  - using maxheap instead

```
void heapify(int arr[], int N, int i)
{
    // Initialize largest as root
    int largest = i;

    // left = 2*i + 1
```

C++

```

    int l = 2 * i + 1;

    // right = 2*i + 2
    int r = 2 * i + 2;

    // If left child is larger than root
    if (l < N && arr[l] > arr[largest])
        largest = l;

    // If right child is larger than largest
    // so far
    if (r < N && arr[r] > arr[largest])
        largest = r;

    // If largest is not root
    if (largest != i) {
        swap(arr[i], arr[largest]);

        // Recursively heapify the affected
        // sub-tree
        heapify(arr, N, largest);
    }
}

// Main function to do heap sort
void heapSort(int arr[], int N)
{
    // Build heap (rearrange array)
    for (int i = N / 2 - 1; i ≥ 0; i--)
        heapify(arr, N, i);

    // One by one extract an element
    // from heap
    for (int i = N - 1; i > 0; i--) {

        // Move current root to end
        swap(arr[0], arr[i]);

        // call max heapify on the reduced heap
        heapify(arr, i, 0);
    }
}

// A utility function to print array of size n
void printArray(int arr[], int N)
{
    for (int i = 0; i < N; ++i)
        cout << arr[i] << " ";
    cout << "\n";
}

```

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## Merge Sort

- Divide N values to be sorted into two halves

- Recursively sort each half using merge sort
  - Base case  $N = 1$ , no sorting required
- Merge two halves into one list
- Keep a counter for each list starting at the start of each list
- Compare the two values indexed by the counters, output the smaller value and increment the counter
- when one list is processed output all items in the other list
  - 4, 1, 9, 4
  - 2, 5, 6, 8
  - Compare 4 and 2 and output 2

## Complexity

- $T(N)$  complexity when size  $N$
  - Merge  $O(N)$
  - Complexity  $O(N \log N)$
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## Quick Sort

- Fastest sorting algorithm in practice
  - *Caveat*: not always stable
  - Can do it as a stable sort
- Average Complexity:  $O(N \log(N))$
- Worst Complexity:  $O(N^2)$ 
  - Rare
- Can vary in space complexity

[Sorting 3](#) For More....

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