# MA571 Problem Set 4

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September 20, 2015

### Problem 4.1 (Munkres §20, Ex. 4(a))

Consider the product, uniform, and box topologies on  $\mathbf{R}^{\omega}$ .

(a) In which topologies are the following functions from  $\mathbf{R}$  to  $\mathbf{R}^{\omega}$  continuous?

$$\begin{split} f(t) &= (t, 2t, 3t, \ldots) \\ g(t) &= (t, t, t, \ldots) \\ h(t) &= (t, \frac{1}{2}t, \frac{1}{3}t, \ldots). \end{split}$$

*Proof.* The maps f, g and h are, evidently, continuous by Theorem 19.6 and the following lemmas (they may be useful in the future so we prove them here):

**Lemma 8** (Munkres §18, Ex. 1). Let  $(X, d_X)$  and  $(Y, d_Y)$  be metric spaces. Suppose  $f: X \to Y$  is continuous in  $\varepsilon$ - $\delta$  sense. Then f is continuous in the open set sense.

Proof. Suppose f is continuous in the  $\varepsilon$ - $\delta$  sense, that is, for every  $\varepsilon > 0$  there exists  $\delta > 0$  such that  $d_X(x_0,x) < \delta$  implies  $d_Y(f(x_0),f(x)) < \varepsilon$ . Now, let U be an open set in  $\mathbf R$  and let  $x_0 \in f^{-1}(U)$ . Since U is open, there exists a real number  $\varepsilon > 0$  such that  $B_{d_Y}(f(x_0),\varepsilon) \subset U$ . Since f is  $\varepsilon$ - $\delta$  continuous, there exists  $\delta > 0$  such that  $x \in B_{d_X}(x_0,\delta)$  implies  $f(x) \in B_{d_Y}(f(x_0),\varepsilon)$  so  $B_{d_X}(x_0,\delta) \subset f^{-1}(U)$  (this is because if  $x \in B_{d_X}(x_0,\delta)$ , then  $f(x) \in B_{d_Y}(f(x_0),\varepsilon) \subset U$  so  $f(x) \in U$  and in particular  $x \in f^{-1}(U)$ ). Since  $x_0$  was arbitrary, we conclude that  $f^{-1}(U)$  is open.

**Lemma 9.** Suppose  $f, g: \mathbf{R} \to \mathbf{R}$  are continuous. Then the following hold

- (i) The sum (f+g)(x) = f(x) + g(x) is continuous.
- (ii) The product fg(x) = f(x)g(x) is continuous.

*Proof.* By Lemma 8, it suffices to show that f+g and fg are continuous in the  $\varepsilon$ - $\delta$  sense: Let  $x_0 \in \mathbf{R}$  and let  $\varepsilon > 0$  be given.

(i) Since f and g are continuous in the  $\varepsilon$ - $\delta$  sense there exists  $\delta_1>0$  and  $\delta_2>0$  such that  $|x_0-x|<\delta_1$  implies  $|f(x_0)-f(x)|<\varepsilon/2$  and  $|x_0-x|<\delta_2$  implies  $|g(x_0)-g(x)|<\varepsilon/2$  respectively. Take  $\delta=\min\{\delta_1,\delta_2\}$ . Then, by the triangle inequality (cf. Munkres §20 the definition of a metric in p. 119) we have

$$\begin{split} |(f+g)(x_0) - (f+g)(x)| &= |f(x_0) + g(x_0) - f(x) - g(x)| \\ &= |f(x_0) - f(x) + g(x_0) - g(x)| \\ &\leq |f(x_0) - f(x)| + |g(x_0) - g(x)| \\ &\leq \varepsilon \end{split}$$

(ii) Since f and g are continuous in the  $\varepsilon$ - $\delta$  sense, by the triangle inequality we have

$$\begin{split} |fg(x_0) - fg(x)| &= |f(x_0)g(x_0) - f(x)g(x)| \\ &= |f(x_0)g(x_0) - f(x_0)g(x) + f(x_0)g(x) - f(x)g(x)| \\ &= |f(x_0)g(x_0) - f(x_0)g(x)| + |f(x_0)g(x) - f(x)g(x)| \\ &= |f(x_0)||g(x_0) - g(x)| + |f(x_0) - f(x)||g(x)|. \end{split}$$

To bound this expression, consider the following: Let  $\delta_1>0$  such that  $|f(x_0)-f(x)|<\varepsilon/2$ . Since g is continuous, choose  $\delta_2>0$  such that  $|g(x_0)-g(x)|<1$ . Then  $g(x)< g(x_0)+1$  for all  $x\in (x_0-\delta,x_0+\delta)$ . Finally, if choose  $\delta_3>0$  such that  $|g(x_0)-g(x)|<\varepsilon/2f(x_0)$ . Then  $\delta=\min\{\delta_1,\delta_2,\delta_3\}$  gives a bound to the expression

$$|f(x_0)||g(x_0) - g(x)| + |f(x_0) - f(x)||g(x)| < \varepsilon.$$

Note that if  $f(x_0) = 0$ , we discard  $\delta_3$  and we obtain a stricter bound on our estimates. In any case, fg is continuous.

Corollary. Polynomials from R to R are continuous.

Proof of Corollary. It is immediate from Lemma 9(i,ii) and Theorem 18.2(a,b) from Munkres. Here is a sketch: By Theorem 18.2(a) constant functions are continuous, therefore  $x \mapsto a_0$  for  $a_0 \in \mathbf{R}$  is continuous. By Theorem 18.2(b), the map  $x \mapsto x$  is continuous so by Lemma 9(ii),  $x \mapsto x^2$  is continuous. By induction on  $n, x \mapsto x^n$  is continuous. Similarly, we have that  $x \mapsto a_n x^n$  is continuous. Thus, by Lemma 9(i), the map

$$x \mapsto a_n x^n + \dots + a_1 x + a_0$$

is continuous.

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Now, for the box topology, consider our favorite neighborhood of  ${\bf 0}$  (as seen in Munkres §19, p. 117) given by

$$U = \prod_{n \in \mathbf{Z}} \left( -\frac{1}{n}, \frac{1}{n} \right).$$

The set U is clearly open since it is a basis element, by Theorem 19.2. However, the preimage

$$h^{-1}(U)=\bigcap_{n\in\mathbf{Z}_+}\!\left(-\frac{1}{n},\frac{1}{n}\right)=\{0\}$$

is not open in  $\mathbf{R}$  so h is not open in  $\mathbf{R}^{\omega}$  with the box topology.

Finally, we will show that h is continuous in the  $\varepsilon$ - $\delta$  sense: Given  $\varepsilon > 0$  and  $x_0 \in \mathbf{R}$ , let  $\delta = \varepsilon$ , then for any  $x \in (x_0 - \varepsilon, x_0 + \varepsilon)$  we have

$$d_{\bar{\rho}}(h(x_0), h(x)) = |x_0 - x| < \varepsilon.$$

Thus, since h is continuous in the  $\varepsilon$ - $\delta$  sense, by Lemma 8, we have that h is continuous in the open set sense.

### Problem 4.2 (Munkres §20, Ex. 4(b))

Consider the product, uniform, and box topologies on  $\mathbf{R}^{\omega}$ .

(b) In which topologies do the following sequences converge?

$$\begin{array}{lll} \mathbf{w}_1 = (1,1,1,1,\ldots), & \mathbf{x}_1 = (1,1,1,1,\ldots), \\ \mathbf{w}_2 = (0,2,2,2,\ldots), & \mathbf{x}_2 = (0,\frac{1}{2},\frac{1}{2},\frac{1}{2},\ldots), \\ \mathbf{w}_3 = (0,0,3,3,\ldots), & \mathbf{x}_3 = (0,0,\frac{1}{3},\frac{1}{3},\ldots), \\ & \vdots & & \vdots \\ \mathbf{y}_1 = (1,0,0,0,\ldots) & \mathbf{z}_1 = (1,1,0,0,\ldots), \\ \mathbf{y}_2 = (\frac{1}{2},\frac{1}{2},0,0,\ldots) & \mathbf{z}_2 = (\frac{1}{2},\frac{1}{2},0,0,\ldots), \\ \mathbf{y}_3 = (\frac{1}{3},\frac{1}{3},\frac{1}{3},0,\ldots), & \vdots & \vdots \\ & \vdots & & \vdots & \\ \end{array}$$

*Proof.* By Lemma D (from Prof. McClure's notes) if  $\{\mathbf{x}_n\}$ ,  $\{\mathbf{y}_n\}$  and  $\{\mathbf{z}_n\}$  converge in the box topology, they converge to  $\mathbf{0}$  since they converge to  $\mathbf{0}$  in the product topology (and this can be readily seen by applying Problem 3.5 [Munkres §19, Ex. 6]).

However, for the sequences  $\{\mathbf{x}_n\}$  and  $\{\mathbf{y}_n\}$  we see that the neighborhood of  $\mathbf{0}$  given by

$$U = \prod_{n \in \mathbf{Z}_\perp} \left( -\frac{1}{n}, \frac{1}{n} \right)$$

does not contain any term of either sequence since for any  $k \in \mathbf{Z}_+$ , the term

$$\mathbf{x}_k = (0,0,...,1/k,1/k,...) \notin (-1,1) \times \cdots \times (-1/k,1/k) \times (-1/(k-1),1/(k-1)) \times \cdots.$$

Similarly, we can see that  $\mathbf{y}_k$  will not be in U for any k so the sequence  $\{\mathbf{x}_n\}$  and  $\{\mathbf{y}_n\}$  will not converge in the box topology.

Although  $\{\mathbf{x}_n\}$  and  $\{\mathbf{y}_n\}$  do not converge in the box topology we claim that the sequence  $\{\mathbf{z}_n\}$  does converge. To see this it is enough to consider basic open neighborhoods of  $\mathbf{0}$ . Let  $U = \prod (a_n, b_n)$  be a basis element containing  $\mathbf{0}$ . Then we must show that for N sufficiently big,  $\mathbf{x}_n \in U$  for all  $n \geq N$ . Let  $b = \min\{b_1, b_2\}$ . Since b > 0, by the Archimedean property (Munkres Theorem 4.2), there exists  $N \in \mathbf{Z}_+$  such that 1/N < b. Thus,  $\mathbf{z}_n \in U$  for all  $n \geq N$  so  $\mathbf{z}_n \to \mathbf{0}$  in the box topology.

### Problem 4.3 (Munkres §20, Ex. 6(b))

Let  $\bar{\rho}$  be the uniform metric on  $\mathbf{R}^{\omega}$ . Given  $\mathbf{x}=(x_1,x_2,x_3,\ldots)\in\mathbf{R}^{\omega}$  and given  $0<\varepsilon<1$ , let

$$U(\mathbf{x},\varepsilon) = (x_1 - \varepsilon, x_1 + \varepsilon) \times \dots \times (x_n - \varepsilon, x_n + \varepsilon) \times \dots.$$

(b) Show that  $U(\mathbf{x}, \varepsilon)$  is not even open in the uniform topology.

Proof of (b). It is sufficient to find a point  $\mathbf{x}_0 \in U(\mathbf{x}, \varepsilon)$  such that  $B_{\bar{\rho}}(\mathbf{x}_0, \delta) \not\subset U(\mathbf{x}, \varepsilon)$  for any  $\delta > 0$ . Let  $\mathbf{x}_0$  be the point

$$\mathbf{x}_0 = \prod_{n \in \mathbf{Z}_+} \Bigl( x_n + \Bigl( \frac{n-1}{n} \Bigr) \varepsilon \Bigr).$$

Now consider the open ball  $B_{\bar{\rho}}(\mathbf{x}_0, \delta)$  for  $\delta > 0$ . Now, pick a point  $\mathbf{y} \in B_{\bar{\rho}}(\mathbf{x}_0, \delta)$  given by

$$\mathbf{y} = \prod_{n \in \mathbf{Z}} \left( x_n + \left( \frac{n-1}{n} \right) \varepsilon + \frac{\delta}{2} \right).$$

Clearly  $\mathbf{y} \in B_{\bar{\rho}}(\mathbf{x}_0, \delta)$  since

$$\bar{\rho}(\mathbf{x}_0,\mathbf{y}) = \sup_{n \in \mathbf{Z}_+} \{ \min\{|x_n - y_n|, 1\}\} = \min\{\delta/2, 1\} \leq \delta/2.$$

However, by the Archimedean property, there exists  $k \in \mathbb{Z}_+$  such that  $\delta/2 > 1/k$  so  $n \ge k$  implies

$$y_n = x_n + \left(\frac{n-1}{n}\right)\varepsilon + \frac{\delta}{2} > x_n + \varepsilon$$

so  $\mathbf{y}$  is in  $B_{\bar{\rho}}(\mathbf{x}_0, \delta)$  but not in  $U(\mathbf{x}, \varepsilon)$ . Since  $\delta$  was arbitrary, we conclude that  $U(\mathbf{x}, \varepsilon)$  is not open.

CARLOS SALINAS PROBLEM 4.4(A)

### Problem 4.4 (A)

Prove Theorem Q.2 from the notes on Quotient Spaces.

*Proof.* Recall the statement of the theorem:

**Theorem** (Theorem Q.2). A function  $f: X/\sim \to Y$  is continuous if and only if the composite

$$X \stackrel{q}{\longrightarrow} X/\sim \stackrel{f}{\longrightarrow} Y$$

is continuous.

The direction  $\implies$  follows from Theorem 18.2(c) in Munkres.

 $\iff$  Suppose that the composite

$$X \stackrel{q}{\longrightarrow} X/\sim \stackrel{f}{\longrightarrow} Y$$

is continuous. Then for every open set  $U \subset Y$ , the preimage  $(f \circ q)^{-1}(U)$  is open in X. But the preimage

$$(f \circ q)^1(U) = q^{-1}(f^{-1}(U))$$

and since q is a quotient map by definition (cf. Munkres §22, p. 137)  $f^{-1}(U)$  is open in  $X/\sim$  if and only if  $q^{-1}(f^{-1}(U))$  is open in X. Thus, the map  $f: X/\sim \to Y$  is continuous.

CARLOS SALINAS PROBLEM 4.5(B)

### Problem 4.5 (B)

Prove Proposition Q.5 from the notes on Quotient Spaces.

*Proof.* Recall the definition and the proposition:

**Definition.** Let X and Y be topological spaces. A map  $p: X \to Y$  is a Munkres quotient map if  $\bar{p}: X/\sim_p \to Y$  is a homeomorphism.

**Proposition** (Proposition Q.5). A map  $p: X \to Y$  satisfies Definition Q.4 if and only if it satisfies the definition at the top of page 137 in Munkres.

and Munkres's definition:

**Definition** (Munkres §22, p. 137). Let X and Y be topological spaces; let  $p: X \to Y$  be a surjective map. The map p is said to be a *quotient map* provided a subset U of Y is open in Y if and only if  $p^{-1}(U)$  is open in X.

 $\implies$  Now, suppose that  $\bar{p}\colon X/\sim_p\to Y$  is a homeomorphism. Then  $\bar{p}$  is continuous with a continuous inverse  $\bar{p}^{-1}\colon Y\to X/\sim_p$ . Let  $q\colon X\to X/\sim_p$  bet he map which takes x in X to its equivalence class [x] in  $X/\sim_p$ . Then by Problem 4.5(A), the composite

$$X \stackrel{q}{\longrightarrow} X/\!\!\sim_p \stackrel{\bar{p}}{\longrightarrow} Y$$

is continuous if and only if  $\bar{p}$  is continuous. Moreover, since  $\bar{p}$  is bijective, it is surjective and q is clearly surjective so the map  $p = \bar{p} \circ q$  is surjective. Let us prove this claim:

**Lemma 10.** Suppose  $f: X \to Y$  and  $g: Y \to Z$  are surjective maps. Then the composite map  $g \circ f: X \to Z$  is surjective.

*Proof.* Since  $g: Y \to Z$  is surjective, for every  $z \in Z$  there exists a  $y \in Y$  such that g(y) = z. Similarly, for every  $y' \in Y$  there exists a  $x' \in X$  such that f(x') = y', in particular there exists a  $x \in X$  such that f(x) = y. Thus,  $g(f(x)) = g \circ f(x) = z$ . Since z was arbitrary, we conclude that the composition of surjective maps is again surjective.

Now suppose U is open in Y. Then the preimage  $p^{-1}(U) = (\bar{p} \circ q)^{-1}(U) = q^{-1}(\bar{p}^{-1}(U))$   $\Leftarrow$  Now suppose that  $p \colon X \to Y$  is a Munkres quotient map. That is, the map  $p \colon X \to Y$  is surjective

CARLOS SALINAS PROBLEM 4.6(C)

### Problem 4.6 (C)

Prove Proposition Q.6 from the notes on Quotient Spaces.

*Proof.* Recall the statement of the proposition:

**Proposition** (Proposition Q.6). Let  $p: X \to Y$  be a Munkres quotient map. A function  $f: Y \to Z$  is continuous if and only if the composite

$$X \xrightarrow{p} Y \xrightarrow{f} Z$$

is continuous.

CARLOS SALINAS PROBLEM 4.7(D)

### Problem 4.7 (D)

(Do not use Problem E to do this problem). Let  $\sim$  be the equivalence relation on the interval [-1,1] defined by  $x \sim y$  if and only if x = y or x = -y with  $y \in (-1,1)$  (you do not have to prove that this is an equivalence relation). Prove that  $[-1,1]/\sim$  is not Hausdorff.

Proof.

CARLOS SALINAS PROBLEM 4.8(E)

## Problem 4.8 (E)

Let X be a topological space with an equivalence relation  $\sim$ . Suppose that the quotient space  $X/\sim$  is Hausdorff.

Prove that the set

$$S = \{ x \times y \in X \times X \mid x \sim y \}$$

is a closed subset of  $X \times X$ .

Proof.

CARLOS SALINAS PROBLEM 4.9(F)

### Problem 4.9 (F)

For problem F you need the following definition: if Y is a topological space and S is a subset of Y, we write Y/S for the quotient space  $Y/\sim$ , where  $\sim$  is defined by  $x \sim y$  if and only if x = y or  $\{x,y\} \subset S$ . (Intuitively, Y/S is obtained from Y by collapsing S to a point.)

Let X be a topological space. Let U be an open set in X, and let A be a subset of U. Give U the subspace topology. Let  $\iota \colon U/A \to X/A$  be the map which takes [x] to [x] (you do not have to prove that this is well-defined).

- (i) Prove that  $\iota$  is continuous.
- (ii) Prove that  $\iota$  is an open map.

### Proof. (i)

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CARLOS SALINAS PROBLEM 4.10(G)

### Problem 4.10 (G)

Let X be a topological space satisfying the first countability axiom (see the bottom of page 130 and the top of page 131). Let  $A \subset X$  and let  $x \in \overline{A}$ . Prove that there is a sequence in A which converges to x (see the top of page 131 for a hint).

Proof.