## MA571 Homework 9

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**Problem 1.** Let X be a Hausdorff space and let A be a compact subset of X. Prove from the definitions that A is closed.

*Proof.* This is Theorem 26.3 from Munkers §26, p. 165; we shall paraphrase it.

We show that X-A is open. To that end we will show that, given a point  $x_0 \in X-A$ , there is neighborhood U of  $x_0$  disjoint from A. For each point  $a \in A$ , by the Hausdorff property of X, choose disjoint neighborhoods  $U_a$  and  $V_a$  of  $x_0$  and a, respectively. Then the collection  $\{V_a \mid a \in A\}$  forms an open cover of A so, by Lemma 26.1, only finitely many of the  $V_a$ 's cover A, say  $V_{a_1}, ..., V_{a_n}$ . Define  $U := U_{a_1} \cap \cdots \cap U_{a_n}$ . We claim that U is a neighborhood of  $x_0$  disjoint from A. First, it is clear that U is a neighborhood of  $x_0$  since each  $U_a$  contains  $x_0$  and U is an intersection of finitely many of these. Second, note that if  $z \in U \cap A$  then  $z \in U_{a_i}$  for all i and  $z \in V_{a_j}$  for some  $j \in \{1, ..., n\}$ , but  $U_{a_j} \cap V_{a_j} = \emptyset$ . Therefore,  $U \cap A = \emptyset$ . By Lemma C, it follows that X - A is open.

**Problem 2.** Let X be a Hausdorff space and let A and B be disjoint compact subsets of X. Prove that there are open sets U and V such that U and V are disjoint,  $A \subset U$  and  $B \subset V$ .

*Proof.* This is Ex. 5 from Munkres §26, p. 171.

Suppose A and B are disjoint compact subspaces of X. Since X is Hausdorff, by Theorem 26.4, for every  $x \in B$  there exists disjoint open sets  $U_x$  and  $V_x$  where  $U_x \supset A$  and  $V_x$  is a neighborhood of x. Then the collection  $\{V_x \mid x \in B\}$  is an open cover of B so by Lemma 26.1, only finitely many of the  $V_x$ 's cover B, say  $V_{x_1}, ..., V_{x_n}$ . Define  $U := U_{x_1} \cap \cdots \cap U_{x_n}$  and  $V := V_{x_1} \cup \cdots \cup V_{x_n}$ . We claim that U and V are disjoint neighborhood containing A and B, respectively. It is clear that U and V are open since U is a finite intersection of open sets and V is a union of open sets and that they contain A and B, respectively, since each of the  $U_x$ 's contain A and  $V_{x_1}, ..., V_{x_n}$  is an open cover of V. Lastly, V and V are disjoint since intersection distributes over union, i.e., we have

$$U \cap V = \left(\bigcap_{i=1}^{n} U_{x_i}\right) \cap \left(\bigcup_{j=1}^{n} V_{x_j}\right) = \bigcup \left(\bigcap_{i=1}^{n} U_{x_i} \cap V_{x_j}\right) = \emptyset$$

since  $U_{x_i} \cap V_{x_i} = \emptyset$  so  $\left(\bigcap_{i=1}^n U_{x_i}\right) \cap V_{x_i} = \emptyset$ .

**Problem 3.** Prove the Tube Lemma: Let X and Y be topological spaces with Y compact, let  $x_0 \in X$ , and let N be an open set of  $X \times Y$  containing  $x_0 \times Y$ , then there is an open set W of X containing  $x_0$  with  $W \times Y \subset N$ .

*Proof.* This is Lemma 26.8 from Munkres §26, p. 168, but is proved in *Step 1* in the process of showing Theorem 26.7; we paraphrase the proof here.

Let  $x_0 \in X$ , and let N be an open set of  $X \times Y$  containing  $x_0 \times Y$ . Cover  $x_0 \times Y$  by basic open sets  $U \times V$  lying in N. Note that  $x_0 \times Y$  is compact, since it is an imbedding of Y given by the map  $y \mapsto (x_0, y)$  from Y into  $X \times Y$  therefore, by Lemma 26.1, only finitely many of the  $U \times V$ 's, say  $U_1 \times V_1, ..., U_n \times V_n$ , cover  $x_0 \times Y$ . Define  $W := U_1 \cap \cdots \cap U_n$ . We claim that W is a neighborhood of  $x_0$  such that  $W \times Y \subset N$ . First, it is clear that W is a neighborhood of  $x_0$  since it is the finite intersection of open sets and each  $U_i \times V_i$  intersects  $x_0 \times Y$  hence contains a point of the form  $(x_0, y)$  so  $U_i = \pi_1(U_i \times V_i)$  contains  $x_0$ . Lastly,  $W \times Y \subset N$  since  $W \times Y \subset \bigcup_{i=1}^n U_i \times V_i$ .

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To see this let  $(x, y) \in W \times Y$  and consider the point  $(x_0, y) \in x_0 \times Y$ . Since  $(x_0, y)$  is in  $U_i \times V_i$  for some i, we have  $y \in V_i$ . But  $x \in U_j$  for every j since  $x \in W$ . Thus  $(x, y) \in U_i \times V_i$  as desired. It follows that, W is a neighborhood of  $x_0$  with  $W \times Y \subset N$  as desired.

**Problem 4.** Show that if Y is compact, then the projection map  $X \times X \to X$  is a closed map.

Proof. We shall proceed by the tube lemma, i.e, Theorem 26.8. Let C be a closed subset of  $X \times Y$  then  $N = (X \times Y) - C$  is open. Choose  $x_0 \in X - \pi_1(C)$ . Then  $x_0 \times Y$  is contained in N so by the tube lemma, there exists a neighborhood W of  $x_0$  such that  $W \times Y \subset N$ . In particular,  $W \subset X - \pi_1(C)$  otherwise if  $x \in W \cap \pi_1(C)$  then  $x \times Y \subset N$  and  $(x, y) \in C$  for some  $y \in Y$ , but  $N \cap C = \emptyset$ . It follows by Lemma C that  $X - \pi_1(C)$  is open so  $\pi_1(C)$  is closed. Since C was chosen arbitrarily we see that  $\pi_1$  is a closed map.

**Problem 5.** Let X be a compact space and suppose we are given a nested sequence of subsets  $C_1 \supset C_2 \supset \cdots$  with all  $C_i$  closed. Let U be an open set containing  $\bigcap C_i$ . Prove that there is an  $i_0$  with  $C_{i_0} \subset U$ .

*Proof.* Consider the family of open sets  $U_i := X - C_i$ . Since U is open X - U is closed so by Theorem 26.2 is compact. We claim that  $U_i$  forms an open cover of X - U. To see note that by De Morgan's laws

$$\bigcup_{i \in \mathbb{N}} U_i = \bigcup_{i \in \mathbb{N}} X - C_i = X - \bigcap_{i \in \mathbb{N}} C_i \supset X - U$$

since  $\bigcap_{i\in\mathbb{N}} C_i \subset U$ . Therefore by Lemma 26.1 only finitely many of the  $U_i$ 's cover X-U, say  $U_{i_1},...,U_{i_n}$ . Thus, we have that  $X-U\subset\bigcup_{i=1}^n U_i$  so  $U\supset\bigcap_{j=1}^n C_{i_j}=C_{i_n}$  as desired.

**Problem 6.** Let X be a compact space, and suppose there is a finite family of continuous functions  $f_i \colon X \to \mathbb{R}, \ i = 1, ..., n$  with the following property: given  $x \neq y$  in X there is an i such that  $f_i(x) \neq f_i(y)$ . Prove that X is homeomorphic to a subspace of  $\mathbb{R}^n$ .

Proof. Consider the map  $f: X \to \mathbb{R}^n$  defined by  $f := (f_1, ..., f_n)$ . This map is continuous by Theorem 18.4 since each component  $f_i$  is continuous. We claim that  $X \approx f(X)$ . To prove this it suffices to show that f is injective so that its restriction to f(X) will be surjective and lastly invoke Theorem 26.6. Suppose f(x) = f(y) but  $x \neq y$ . Then  $f_i(x) \neq f_i(y)$  for some i, but this implies that  $f(x) \neq f(y)$ . This is a contradiction therefore, x = y. It follows that f is a bijection from a compact space X into  $f(X) \subset \mathbb{R}^n$  so by Theorem 26.6, we have  $X \approx f(X)$ .

**Problem 7.** Let X be a compact metric space and let  $\mathcal{U}$  be a covering of X by open sets. Prove that there is an  $\varepsilon > 0$  such that, for each set  $S \subset X$  with diameter  $< \varepsilon$ , there is a  $U \in \mathcal{U}$  with  $S \subset U$ . (This fact is known as the "Lebesgue number lemma.")

*Proof.* This is Lemma 27.5 from Munkres §27, p. 175; we will paraphrase the proof.

Let  $\mathcal{U}$  be an open cover of X. If  $X \in \mathcal{U}$ , then any positive number is a Lebesgue number for  $\mathcal{U}$ . Suppose  $X \notin \mathcal{U}$ . Choose a finite subcollection  $U_1, ..., U_n$  of  $\mathcal{U}$  that covers X. For each i, set  $C_i := X - U_i$  and define the map  $f \colon X \to \mathbb{R}$  via  $f(x) := \frac{1}{n} \sum_{i=1}^n d(x, C_i)$ . We show that f(x) > 0 for all x. Given  $x \in X$ , choose i so that  $x \in U_i$ . Then choose  $\varepsilon$  so that the  $\varepsilon$ -neighborhood of x lies in  $U_i$ . Then  $d(x, C_i) \ge \varepsilon$ , so that  $f(x) \ge \varepsilon/n$ .

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Since f is continuous, it has a minimum value  $\delta$ ; we show that  $\delta$  is our required Lebesgue number. Let B be a subset of X of diameter less that  $\delta$ . Choose a point  $x_0$  of B; then B lies in a  $\delta$ -neighborhood of  $x_0$ . Now  $\delta \leq f(x_0) \leq d(x_0, C_m)$ , where  $d(x_0, C_m)$  is the largest of the numbers  $d(x_0, C_i)$ . Then the  $\delta$ -neighborhood of  $x_0$  is contained in the element  $U_m = X - C_m$  of the covering

**Problem 8.** Let  $S^1$  denote the circle  $\{x^2 + y^2 = 1\}$  in  $\mathbb{R}^2$ . Define an equivalence relation on  $S^1$  by

$$(x,y) \sim (x',y') \iff (x,y) = (x',y') \text{ or } (x,y) = (-x',-y')$$

(you do not have to prove that this is an equivalence relation). Prove that the quotient space  $S^1/\sim$  is homeomorphic to  $S^1$ .

One way to do this is by using complex numbers.

*Proof.* Since Dr. McClure said that we can assume anything from complex analysis (and we don't need much) to begin with we shall assume that  $S^1 \subset \mathbb{C}$ . Now, the situation is as follows we want to find a map  $f: S^1 \to S^1$  which preserves  $\sim$  that makes the following diagram commute

$$S^{1} \downarrow q \qquad f$$

$$S^{1}/\sim \xrightarrow{\bar{f}} S^{1}.$$

Define  $f(z) := z^2$ . We claim that f is continuous and preserves  $\sim$ . First, it is clear that f(x+iy) = f(x+iy) and if x'+iy' = -x-iy then

$$f(x+iy) = (x+iy)^{2}$$
$$= (-x-iy)^{2}$$
$$= f(-x-iy)$$
$$= f(x'+iy')$$

so f preserves  $\sim$ . Since  $z^2$  is multiplication on  $\mathbb C$  by Theorem 21.5 f is continuous (or at least the argument can be extended to make this operation continuous). Thus, by Theorem Q.3 the induced map on the quotient  $\bar{f}: S^1/\sim \to S^1$  is continuous. By Theorem 26.6 it suffices to show that  $\bar{f}$  is bijective. It is clear that  $\bar{f}$  is surjective since f is surjective; that is, take an element  $x+iy\in S^1$  then by elementary properties of the complex numbers we have

$$f(\|x+iy\|e^{i\pi\theta/2}) = x+iy$$

where  $\theta = \arg(x + iy)$ . To see that this map is injective simply note that if f(x + iy) = f(x' + iy') then

$$x^{2} - y^{2} - ((x')^{2} + (y')^{2}) = i2(x'y' - xy)$$

if and only if x'=x and y'=y or x'=-x and y'=-y so  $\bar{f}$  is injective. It follows that  $\bar{f}$  is a homeomorphism so  $S^1/\sim \approx S^1$ .

**Problem 9.** Let X be a nonempty compact Hausdorff space and let  $f: X \to X$  be a continuous function. Suppose f is 1-1. Prove that there is a nonempty closed set A with f(A) = A. (The hypothesis that f is 1-1 is not actually needed, but it makes the proof a little easier.)

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Proof. We prove the more general case. First, we will show that the f is a closed map. Suppose C is a closed subset of X then, since X is compact, by Theorem 26.2 C is compact. Then since f is continuous f(C) is compact in X so f(C) is closed by Theorem 26.3. Thus, f is a closed map. Now consider the countable collection of nested closed subsets  $X \supset f(X) \supset f^2(X) \supset \cdots$ . Indeed,  $f^i(X) \supset f^{i+1}(X)$  since if  $x \in f^{i+1}(X)$  then there exists  $y \in X$  such that  $f^{i+1}(y) = x$ . Let z := f(y) then  $f^i(z) = f^{i+1}(y) = x \in f^i(X)$ . We claim that  $f(\bigcap_{i \in \mathbb{N}} f^i(X)) = \bigcap_{i \in \mathbb{N}} f^i(X)$  is the set we are looking for. First, since f is a closed map and each  $f^i(X)$  is closed (since X is compact Hausdorff) then the intersection  $A := \bigcap_{i \in \mathbb{N}} f^i(X)$  is closed. By the finite intersection property, Theorem 26.9, F is nonempty since X is nonempty and f is a function (for recall that a function from X to X is an element of the set  $X^X$  and if the codomain of such an element is empty then  $X^X = \emptyset$ , but that would imply  $X = \emptyset$ ) and for any finite subcollection  $\{f^i(X)\}_{i \in I}$  the intersection  $\bigcap_{i \in I} f^i(X) = f^m(X)$  where  $m = \max\{i \in I\}$ . Lastly, we show that f(A) = A. One containment is clear, namely  $f(A) \subset A$  for if  $x \in f(A)$  then x = f(y) for some  $y \in A$ , i.e.,  $y \in f^i(X)$  for all i so  $x \in A$ . To see the reverse take  $x \in A$  then  $x \in f^i(X)$  for all i. Thus,  $f^{-1}(x) \subset f^i(X)$  for all i so  $f^{-1}(x) \in A$ , i.e.,  $x \in f(A)$ .

**Problem 10.** Let  $\sim$  be the equivalence relation on  $\mathbb{R}^2$  defined by  $(x,y) \sim (x',y')$  if and only if there is a nonzero t with (x,y)=(tx',ty'). Prove that the quotient space  $\mathbb{R}^2/\sim$  is compact but not Hausdorff.

*Proof.* We will show that the map is not Hausdorff.

**Problem 11.** Let X be a locally compact Hausdorff space. Explain how to construct the one-point compactification of X and prove that the space you construct is really compact (you do not have to prove anything else for this problem.)

**Problem 12.** Show that if  $\prod_{n=1}^{\infty} X_n$  is locally compact (and each  $X_n$  is nonempty), then each  $X_n$  is locally compact and  $X_n$  is compact for all but finitely many n.

**Problem 13.** Let X be a locally compact Hausdorff space, let Y be any space, and let the function space  $\mathcal{C}(X,Y)$  have the compact-open topology. Prove that the map

$$e: X \times \mathcal{C}(X,Y) \to Y$$

define by the equation e(x, f) = f(x) is continuous.

**Problem 14.** Let I be the unit interval, and let Y be a path-connected space. Prove that any two maps from I to Y are homotopic.

**Problem 15.** Let X be a topological space and  $f: [0,1] \to X$  any continuous function. Define  $\bar{f}$  by  $\bar{f}(t) = f(1-t)$ . Prove that  $f * \bar{f}$  is path-homotopic to the constant path at f(0).

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Proof.

**Problem 16.** LEt X be a path-connected topological space and let  $x_0, x_1 \in X$ . Recall that any path  $\alpha$  from  $x_0$  to  $x_1$  gives an isomorphism  $\hat{\alpha}$  from  $\pi_1(X, x_0)$  to  $\pi_1(X, x_1)$  (you do not have to prove this.)

Suppose that for every pair of paths  $\alpha$  and  $\beta$  from  $x_0$  to  $x_1$  the isomorphisms  $\hat{\alpha}$  and  $\hat{\beta}$  are the same. Prove that  $\pi_1(X, x_0)$  is Abelian.

Proof.

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