

# The fundamental group of $S^1$

**Please let me know about any misprints you notice.**

Let  $x_0$  denote the point  $(1, 0)$  in  $S^1$ . Our goal is to prove:

**Theorem A.** There is an isomorphism

$$W : \pi_1(S^1, x_0) \xrightarrow{\cong} \mathbb{Z}.$$

which takes the class of the path  $f_n(s) = (\cos 2\pi ns, \sin 2\pi ns)$  to  $n$ .

As a tool, we will use the map

$$p : \mathbb{R} \rightarrow S^1$$

defined by

$$p(u) = (\cos 2\pi u, \sin 2\pi u).$$

We need to know three things about the map  $p$ :

**Proposition B.** For every path  $f : I \rightarrow S^1$  with  $f(0) = x_0$  there is a path  $\phi : I \rightarrow \mathbb{R}$  with  $f = p \circ \phi$  and  $\phi(0) = 0$ .

**Proposition C.** Let  $A$  be a connected space and let  $a \in A$ . If two continuous functions  $\alpha, \beta : A \rightarrow \mathbb{R}$  have the property that  $\alpha(a) = \beta(a)$  and  $p \circ \alpha = p \circ \beta$  then  $\alpha = \beta$ .

In particular, for a given  $f$ , any two paths  $I \rightarrow \mathbb{R}$  with the two properties given in Proposition B must be equal. We can therefore write  $\tilde{f}$  for the unique path given by Proposition B.

**Proposition D.** For every continuous  $H : I \times I \rightarrow S^1$  there is a continuous  $\Phi : I \times I \rightarrow \mathbb{R}$  with  $H = p \circ \Phi$  and  $\Phi(0, 0) = 0$ .

( $\Phi$  is uniquely determined by the two properties in Proposition D, but we won't need this.)

Proofs of B and D will be given below; I will ask you to prove C on the homework.

Now we can begin the process of defining  $W : \pi_1(S^1, x_0) \xrightarrow{\cong} \mathbb{Z}$ . First note that  $p^{-1}(x_0) = \mathbb{Z}$  (by trigonometry). Given a loop  $f : I \rightarrow S^1$  with  $f(0) = f(1) = x_0$ , Proposition B gives a path  $\tilde{f} : I \rightarrow \mathbb{R}$  with  $p \circ \tilde{f} = f$  and  $\tilde{f}(0) = 0$ . Then  $\tilde{f}(1)$  is in  $p^{-1}(x_0) = \mathbb{Z}$ . Define

$$w(f) = \tilde{f}(1).$$

**Lemma E.** If  $f$  and  $g$  are loops at  $(1, 0)$  with  $f \simeq_p g$  then  $w(f) = w(g)$ .

**Proof.** Let  $H$  be a path-homotopy from  $f$  to  $g$ . Let  $\Phi$  be the map given by Proposition D.

Step 1. The path  $I \rightarrow \mathbb{R}$  which takes  $s$  to  $\Phi(s, 0)$  has the two properties in Proposition B, so it's the path  $\tilde{f}$ . In particular,  $\Phi(1, 0) = \tilde{f}(1)$ .

Step 2. The path which takes  $t$  to  $\Phi(0, t)$  is the constant path  $e_0$  in  $\mathbb{R}$ . (This follows easily from Proposition C.) In particular,  $\Phi(0, 1) = 0$ .

Step 3. Step 2 shows that the path  $I \rightarrow \mathbb{R}$  which takes  $s$  to  $\Phi(s, 1)$  has the two properties which uniquely determine  $\tilde{g}$ , so it is  $\tilde{g}$ . In particular,  $\Phi(1, 1) = \tilde{g}(1)$ .

Step 4. Let  $u = \tilde{f}(1)$ . The path which takes  $t$  to  $\Phi(1, t)$  is the constant path  $e_u$  in  $\mathbb{R}$ . (This follows easily from Proposition C.) In particular,  $\Phi(1, 1) = \tilde{f}(1)$ .

Step 5.  $\tilde{g}(1) = \tilde{f}(1)$ . (This is immediate from Steps 3 and 4.) □

Finally, we can define  $W : \pi_1(S^1, x_0) \xrightarrow{\cong} \mathbb{Z}$  by  $W([f]) = w(f)$ . This is well-defined by Lemma E.

On the homework I will ask you to show the following, which completes the proof of Theorem A:

**Proposition F.** (i)  $W$  takes the class of the path  $f_n(s) = (\cos 2\pi ns, \sin 2\pi ns)$  to  $n$  (and therefore  $W$  is onto).

(ii)  $W$  is 1-1.

(iii)  $W$  is a homomorphism.

## Proof of Proposition B

We need a fact about the map  $p$ , which I will ask you to prove on the homework:

**Lemma G.** For each  $a \in \mathbb{R}$ , the map

$$p_a : (a, a + 1) \rightarrow S^1 - \{p(a)\}$$

given by  $p_a(u) = p(u)$  is a homeomorphism.

For each  $a \in \mathbb{R}$ , let  $U_a \subset S^1$  denote the open set  $S^1 - \{p(a)\}$ .

Now let  $f$  be a path in  $S^1$ . The sets  $f^{-1}(U_a)$  are an open cover of  $I$ , so by the Lebesgue Lemma (Lemma 27.5 in Munkres) there is a  $\delta > 0$  such that every set  $S \subset I$  with diameter  $< \delta$  is contained in some  $f^{-1}(U_a)$ . Now fix an  $n$  with  $\frac{1}{n} < \delta$ ; then each interval  $[\frac{i-1}{n}, \frac{i}{n}]$  is contained in some  $f^{-1}(U_a)$ .

We claim by (finite!) induction on  $i$  that for each  $i$  with  $0 \leq i \leq n$  there is a continuous  $g_i : [0, \frac{i}{n}] \rightarrow \mathbb{R}$  with  $p \circ g_i = f|_{[0, \frac{i}{n}]}$  and  $g_i(0) = 0$  (then we can let  $\tilde{f}$  be  $g_n$ ).

To start the induction, let  $g_0 : [0, 0] \rightarrow \mathbb{R}$  take 0 to 0.

Now suppose  $g_i$  exists for some  $i < n$ . Choose an  $a$  with  $[\frac{i}{n}, \frac{i+1}{n}] \subset f^{-1}(U_a)$ . Then  $p_a^{-1}(f(\frac{i}{n}))$  and  $g_i(\frac{i}{n})$  are both in  $p^{-1}(f(\frac{i}{n}))$ , so by trigonometry they must differ by an element of  $\mathbb{Z}$ ; that is, there is a  $k \in \mathbb{Z}$  with

$$(*) \quad g_i(\frac{i}{n}) = p_a^{-1}(f(\frac{i}{n})) + k.$$

Now define

$$g_{i+1}(s) = \begin{cases} g_i(s) & \text{if } s \leq \frac{i}{n}, \\ p_a^{-1}(f(s)) + k & \text{if } s \geq \frac{i}{n}, \end{cases}$$

which is well-defined by (\*) and hence continuous by the Pasting Lemma. Then  $g_{i+1}(0) = 0$  and (using trigonometry)  $p \circ g_{i+1} = f|_{[0, \frac{i+1}{n}]}$ . □

## Proof of Proposition D

The proof uses ideas similar to the proof of Proposition B.

Let  $H : I \times I \rightarrow S^1$  be continuous. The sets  $H^{-1}(U_a)$  are an open cover of  $I \times I$ , so by the Lebesgue Lemma there is a  $\delta > 0$  such that every set  $S \subset I \times I$  with diameter  $< \delta$  is contained in some  $H^{-1}(U_a)$ . Now fix an  $n$  with  $\frac{1}{n} < \delta$ ; then each set  $[\frac{i}{n}, \frac{i+1}{n}] \times [\frac{j}{n}, \frac{j+1}{n}]$  with  $0 \leq i < n$  and  $0 \leq j < n$  is contained in some  $H^{-1}(U_a)$ .

We claim by (finite) induction on  $j$  that for each  $j$  with  $0 \leq j \leq n$  there is a continuous  $K_j : I \times [0, \frac{j}{n}] \rightarrow \mathbb{R}$  with  $p \circ K_j = H|_{I \times [0, \frac{j}{n}]}$  and  $K_j(0, 0) = 0$  (then we can let  $\Phi$  be  $K_n$ ).

To start the induction, define  $f(s) = H(s, 0)$ , and let  $K_0(s, 0) = \tilde{f}(s)$ , where  $\tilde{f}$  is the map given by Proposition B.

Now fix a  $j$  with  $0 \leq j < n$  and suppose  $K_j$  exists. For  $0 \leq i \leq n$  let  $S_i$  denote the set

$$(I \times [0, \frac{j}{n}]) \cup ([0, \frac{i}{n}] \times [\frac{j}{n}, \frac{j+1}{n}]).$$

We claim by a (second!) finite induction that for each  $i$  with  $0 \leq i \leq n$  there is a continuous

$$L_i : S_i \rightarrow \mathbb{R}$$

such that  $p \circ L_i = H|_{S_i}$  and  $L_i(0, 0) = 0$  (then we can let  $K_{j+1}$  be  $L_n$ ).

To start the (second) induction, choose an  $a$  with  $\{0\} \times [\frac{j}{n}, \frac{j+1}{n}] \subset H^{-1}(U_a)$ . Then  $p_a^{-1}(H(0, \frac{j}{n}))$  and  $K_j(0, \frac{j}{n})$  are both in  $p^{-1}(H(0, \frac{j}{n}))$ , so there is a  $k \in \mathbb{Z}$  with

$$(**) \quad K_j(0, \frac{j}{n}) = p_a^{-1}(H(0, \frac{j}{n})) + k.$$

Now define  $L_0 : S_0 \rightarrow \mathbb{R}$  by

$$L_0(s, t) = \begin{cases} K_j(s, t) & \text{if } t \leq \frac{j}{n}, \\ p_a^{-1}(H(0, t)) + k & \text{if } s = 0 \text{ and } t \in [\frac{j}{n}, \frac{j+1}{n}]. \end{cases}$$

This is well-defined by (\*\*) and hence continuous by the Pasting Lemma. Then  $L_0(0, 0) = 0$  and (using trigonometry)  $p \circ L_0 = H|_{S_0}$ .

Now suppose that  $L_i$  exists for some  $i < n$ . Choose an  $a$  with  $[\frac{i}{n}, \frac{i+1}{n}] \times [\frac{j}{n}, \frac{j+1}{n}] \subset H^{-1}(U_a)$ . Then  $p_a^{-1}(H(\frac{i}{n}, \frac{j}{n}))$  and  $L_i(\frac{i}{n}, \frac{j}{n})$  are both in  $p^{-1}(H(\frac{i}{n}, \frac{j}{n}))$ , so there is a  $k \in \mathbb{Z}$  with

$$(***) \quad L_i(\frac{i}{n}, \frac{j}{n}) = p_a^{-1}(H(\frac{i}{n}, \frac{j}{n})) + k.$$

Now define  $L_{i+1} : S_{i+1} \rightarrow \mathbb{R}$  by

$$L_{i+1}(s, t) = \begin{cases} L_i(s, t) & \text{if } s \leq \frac{i}{n} \text{ or } t \leq \frac{j}{n}, \\ p_a^{-1}(H(s, t)) + k & \text{if } (s, t) \in [\frac{i}{n}, \frac{i+1}{n}] \times [\frac{j}{n}, \frac{j+1}{n}]. \end{cases}$$

To see that  $L_{i+1}$  is well-defined we need to know that  $L_i = p_a^{-1} \circ H + k$  on the set

$$A = \{\frac{i}{n}\} \times [\frac{j}{n}, \frac{j+1}{n}] \cup [\frac{i}{n}, \frac{i+1}{n}] \times \{\frac{j}{n}\}.$$

But  $A$  is connected by Theorem 23.3, so the fact we need follows from (\*\*\*) and Proposition C (with  $a = (\frac{i}{n}, \frac{j}{n})$ ). Now  $L_{i+1}$  is continuous by the Pasting Lemma and we have  $L_{i+1}(0, 0) = 0$  and (using trigonometry)  $p \circ L_{i+1} = H|_{S_{i+1}}$ .  $\square$