# Validation of the CMS Hadron Calorimeter Phase I Data Acquisition System

Andrew Whitbeck, Ken Call, Jim Hirschauer September 17, 2014

#### Abstract

An overview of upgrades to the CMS Hadron Calorimeter (HCal) data acquisition system will be presented. Design changes to both the frontend and backend electronics will be descussed. Performance of pro duction backend electronics and prototype frontend electronics will be presented. Results from integration tests from both bench top and beam test experiments will be presented.

### 1 Introduction

CMS is a great undertaking and the Hadronic Calorimeter (HCal) plays a crucial role in the physics program of CMS. blah blah blah ...

This note is organized as follows. Section ?? will discuss the current CMS hadronic calorimeter and the design components that will be upgraded as well as the motivations for these upgrades. Sections ?? and ?? will describe the upgraded readout electronics, comparing and contrasting them to the existing electronics. Section ?? will present results from bench top and beam integration tests which verify the functionality of different key desing components. Finally, Section ?? will summarize the successes of the project and work yet to be done.

# 2 Overview of Upgrades

The CMS Hadronic Calorimeter consists of four major subdetectors, the barrel region ( $|\eta| < x.xx$ ), the endcap region ( $x.xx|\eta| < 3.0$ ), the forward region ( $3.0 < |\eta| < 5.0$ ), and the outer region. During the last portion of LHC run I, these subdetectors used three separate technologies.

The barrel and endcap regions consisted of brass absorber and organic plastic scintillators as the active material. The photodetectors collecting sampled light were hybrid photodiodes (HPD). Except in regions were towers overlapped, all longitudinal segments were optically summed before being read out.

In the forward calorimeter (HF), the abosber material is steel and the active material is a set of long and short quartz fibers running parrellel to the beam line. The long and short segment allow for both hadronic and electromagnetic components of showers to be distinguished. The quartz fibers are optically coupled to photomultiplier tubes (PMT).

Existing readout electronics consist of frontend electronic which reside either on the detector (HB, HE, HO) or in electronics racks just next to the detector (HF). The design principles for the readout is the same for all subdetectors although due to physical constraints, the implementation is slightly different. The frontend electronics consist of a custom Application Specific Integrated Circuit (ASIC) which digitizes analog signals from the photodetectors. This ASIC is known as the Charge (Q) Integrator and Encoder (QIE) is an ADC which can integrate at 40 Mhz which a dynamic range that spans XXX orders of magnitude of integrated charge while minimizing the quantization error ??. Digitized signals from the QIE are then formatted by a second ASIC and routed to the backend electronics.

In the backend racks, data is recieved by the HCal Trigger and Readout board. This is a VME style board buffers data and communicates with the global calorimeter trigger. Trigger primitives are computed using a set of lookup tables to convert charge into energy. Once a bunch crossing is accepted by the level-1 trigger system, the data is finally sent to the Data Concentrator Card which builds events and forwards these to the central DAQ system for full reconstruction.

#### 2.1 Design Motivations for the Phase I Upgrade

In the barrel endcap region where HPDs are utilized, the effect of the strong magnetic field has been found to have a dramatic effect on the long term performance of the HPDs. Figure ?? shows the dispersion of HPD gain as a function of time as a result of prolonged exposure to magnetic fields. The HPDs have has been found to discharge causing large anomalous signal to be readout. These effect were more prominently notice in the HO region where the magnetic field is large due to its proximity with the steel return yolk. As a result, Silicon Photomultipliers (SiPM) have been install and operated in HO since, ???. Replacing the HPDs in the barrel and endcap subdetectors will not only remedy the performance loss, but will also allow for better resistance to radiation exposure due to the higher gain of the SiPMs and increased longitudinal due to the reduced size of SiPMs. However, this will dramatically increase the number of channels which are readout.

In the forward region muons or shower punch through are know to produce high rates of anomalous signals which arrive out of time from shower signals. These signals arise from high energy particles moving through the borosilicate glass covering the photocathode of the PMT and producing Cerenkov light. Due to the bunch structure of the LHC run I, 50 ns spacing, CMS was able to tune the charge integration window in order to move almost all of these anomalous signals into the previous bunch crossing. Otherwise, these signal would present a major challenge for both triggering and reconstruction. Aside from being out of time, these signal are also distinct from signals from particle showers in how the photons are distributed on the surface of the photocathode. Thus, making use of the mutlianode PMTs, anomalous signals can be rejected based on how assymetric the signals on different pairs of anodes are. This, however, requires that the adapter boards within the PMT boxes which electrically sum the four anodes will need to be adapted such that two pairs of anodes are electrically summed. This further requires that the number of channels readout in the HF subdetector double.

Component	HF	НВ	HE
QIE version	10	11	11
QIEs	24	12	12
QIE cards per RBX/crate	12	16	16

Table 1: Tabulation of different components which will be used in each of the different HCal subsystems.

# 2.2 Installation Schedule

Upgrades of the PMT in HF have already been successfully completed during the ongoing long shutdown. Cabling for the dual anode readout has been laid out. Backend electronics are currenly being produced and tested at CERN with installation to be completed by the end of LS1. Adapter boards and frontend electronics will be installed during the year end technical stop (YETS) between the years 2015 and 2016. Prototype frontend electronics have been produced and preproduction boards are scheduled to be produced by the end of the year 2014. This schedule ensures that the backend electronics will already have been commissioned before the frontend electronics are installed relieving the work load during the start of collisions in the year 2016.

The barrel and endcap frontend electronics require that the detector be opened in order to install. As a results, these components are not scheduled to be installed until the extended YETS of the year 2017. As such, prototype frontend electronics are not scheduled to be built before 2015. However, since the design is largely the same as for the HF subdetector, most of the design validation can be completed long before then using the HF components.

# 3 Frontend Electronics

In each of the individual subdetectors, the frontend electronics are grouped into sets of n QIE cards which house different numbers of QIEs each. For the barrel and endcap, each group resides in a readout box (RBX), which is an aluminum housing containing cooling mechanics and a custom backplane for power and signal distribution. In HF, each group will reside in a eurostyle crate with a custom backplane. In each of the RBXs and HF crates, there will also exist an ngCCM and a calibration card. Table ?? shows how many QIE cards and channels will be readout per RBX and HF crate.

# 3.1 Charge Integrator and Encoder ASIC

The new QIE ASIC will include larger dynamic range, a TDC, and programmable monitoring capabilities. Separate versions will be used for HF and HB/HE subsystems, see Table ?? for details. The TDC has a 6-bit encoding which is readout over 3 double data rate (DDR) pins. The ADC has an 8-bit encoding and is read out over 4 DDRs. Each QIE has the same set of range selector and integrator duplicated four times to provide deadtimeless integration. Each of these subcircuits has a Cap-ID accosiated with it which is readout with a single DDR. Certain functionality of the

QIE is programmable, such as the input pedestal DAC, through a programmable 64 bit shift register.

# 3.2 QIE Card

The QIE card will contain the upgraded QIE ASICs. Each QIE card will digitize and format data from 12 (24) channels for the HB/HE (HF) subsystem. The data is captured by a commercial Microsemi Igloo2 FPGA. Once captured, the TDC discriminant can be used to compute falling edge TDC. The ADC and rising edge TDC information for 6 separate channels is formatted into a 12 byte data frame and the 12 falling edge TDC measurements are formed into a separate 12 byte frame. These frames are sent to 3 separate serializers which are located on the Igloo2. Serialized data is then sent, via a Versatile Tranceiver (VTTx) ??, to a  $\mu$ HTR at 5.8 Gbps. Each VTTx module can drive 2 fibers. In total, there are 2 (3) VTTx modules on each QIE card.

Power distribution on the QIE card is handle using a set of custom DC/DC converters... something, is this really necessary.

# 3.3 Next-Generation Clock, Control, and Monitoring Card

The ngCCM card will manage the clock signal, slow and fast control signals, and monitoring functionality. One ngCCM will manage an entire RBX (crate) in the HB/HE (HF) subsystems. This single card will forward the clock to each QIE card within the RBX (crate) as well as  $I^2C$  signal and reset signals. As such, all control and monitoring is facilitated by the ngCCM.

#### 4 Backend Electronics

The backend electronics for the HCal systems will reside in the service cavern. All backend electronics will reside in commercial Vadatek  $\mu$ TCA crates. These crates will be power by... A crate will consiste of 12  $\mu$ HTRs, an AMC13, and a  $\mu$ TCA Control Hub (MCH). Except for the latter, these devices were custom designed for data acquisition in particle physics.

#### 4.1 $\mu$ HTR

The  $\mu$ HTR two main purposes, receiving and buffering data before forwarding data to the AMC13 and communicating trigger primitives to the global calorimeter trigger system.

Data is received from the frontend via a fiber bundle into an Avago AFBR-820BEPZ PPOD optical receiver. These optical receivers are capable of accepting 12 fibers, a single QIE card pack or a pair of HF QIE cards (???) and are capable of operating up to 10 Gbps

Electrical signals from the PPOD recievers are describlized using a Xilinx Virtex 6-series FPGA. The data is then synchronized and trigger primitives are computed for the level-1 trigger system. The data is then pipelined until a level-1 accept signal is received.

An SDRAM buffer is also included to allow of latency in the back FPGA. This buffer is 64 MB which is sufficient for a 100 ms latency at the fully DAQ rate, x.xx hz.

IPBus communication with both the front and back FPGA is enabled via the GbE line. This allows for register control.

Figure ?? shows the block diagram for the  $\mu$ HTR.

#### 4.2 AMC13

# 5 Setup

- 5.1 LED tests
- 5.2 Internal charge injection tests

# 6 Results

A suite of test have been carried out to validate the design and performance of the HF frontend electronics. These tests have been carried out using both a bench top setup and particle beam tests using a mock HF calorimeter.

#### 6.1 Slow control communication

The QIE ASICS and IGLOO2 FPGA are intended to be configured and monitored via I<sup>2</sup>C buses. The bridge FPGA acts as a mux for this communication and routes the signals to the appropriate location. Users can select between either of the 2 IGLOO2 FPGAs or between any of the 4 chains of 6 QIEs. details on the list of registers that can be written to and read from as well as the details on the communication protocols can be found in Ref. [?].

To test this functionality and robustness of the I<sup>2</sup>C communication with both the IGLOO2 registers and the QIE shift registers, both systematic and random values were written to register and read back over an extended period of time. ... Show results of this test.

#### 6.2 QIE shift registers

In order to verify that the shift registers for the QIEs are being properly written to and read from by the QIEs, a number of test which result in predictable QIE behavior were carried out.

Fixed range mode test. QIE pedestal DAC. Anymore?

- 6.3 TDC validation
- 6.4 ADC validation
- 6.5 QIE cross talk
- 6.6 QIE clock phase
- 6.7 JTAG programming over backplane
- 6.8 Double pulses
- $6.9 \quad \mu {\rm HTR~optical~link~BERT}$