B. Roker - Held NMS Stocks and Options Order Routing Public Report

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1st Quarter, 2020

&P 500 S	Stocks												
Immary Non-Directed as % of All O		Market Order Non-Directe	d Orders Or	Marketable Limit ders as % of Non- Directed Orders	Orders		Other Orders as % of Non-Directed Orders						
nues	•				•								
	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received fo Market Orders(USD)	Net Payment Paid/ r Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Pai Received for Oth Orders(cents pe hundred shares
larch 2													
on-S&P summary	500 Sto	Market Orde		Marketable Limit			Other Orders as % of						
on-S&P (500 Sto		d Orders Or	Marketable Limit ders as % of Non- Directed Orders	Orders		Other Orders as % of Non-Directed Orders						
on-S&P summary	500 Sto	Market Orde	d Orders Or	ders as % of Non-	Orders	as % of Non-		Net Payment Paid/	Net Payment Paid/	Net Payment Paid/	Net Payment Paid/	Net Payment	Net Payment Pa

Options

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
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Material Aspects: