



# Optimal codon randomization via mathematical programming



Yuval Nov\*, Danny Segev

Department of Statistics, University of Haifa, Israel

## HIGHLIGHTS

- To induce a uniform distribution over the 20 amino acids, 4 conventional oligos are required.
- When spiked oligos are allowed, the number drops to 3.
- Integer Programming can be used to compute the required number for any target distribution.

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## ABSTRACT

Codon randomization via degenerate oligonucleotides is a widely used approach for generating protein libraries. We use integer programming methodology to model and solve the problem of computing the minimal mixture of oligonucleotides required to induce an arbitrary target probability over the 20 standard amino acids. We consider both randomization via conventional degenerate oligonucleotides, which incorporate at each position of the randomized codon certain nucleotides in equal probabilities, and randomization via spiked oligonucleotides, which admit arbitrary nucleotide distribution at each of the codon's positions. Existing methods for computing such mixtures rely on various heuristics.

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## 1. Introduction

In protein engineering, oligonucleotides carrying biased codons at certain predetermined positions are routinely used to generate protein libraries. Most often, all 20 standard amino acids are encoded, typically via NNK or NNS codons (using the standard IUPAC codes for degenerate codons: N=A/C/G/T, K=G/T, S=C/G; Cornish-Bowden, 1985). This type of codon bias induces a more uniform distribution across the 20 standard amino acids, compared to the distribution induced by the non-biased NNN codon, and lowers the probability of a premature stop codon; both features improve the library's coverage of protein sequence space (Patrick and Firth, 2005). In other cases, only some of the 20 amino acids are allowed to be encoded, according to various physico-chemical consideration; such restricted amino-acid alphabets significantly reduce the complexity of the resulting libraries, and thus make them easier to explore in the laboratory (Hokanson et al., 2011; Tanaka et al., 2010; Reetz et al., 2008; Gilbreth et al., 2008; Fellouse et al., 2004).

Two techniques provide additional flexibility in shaping codon bias beyond conventional codon degeneracy. The first is the use of so-called "spiked" or "doped" oligonucleotides, whereby during DNA synthesis, non-equimolar proportions of the four bases are used at some – or all – of the codon's three nucleotide positions (Neylon, 2004). In the second technique, several degenerate oligonucleotides are mixed together at certain predetermined molar ratios (see below).

The mathematical study of codon bias and its effect on the resulting amino-acid diversity dates back at least two decades. Arkin and Youvan (1992) used an exhaustive search over discretized doping space to optimize the equiprobability of a target amino-acid subset, based on a "group probability" score. Tomandl et al. (1997) combined search via a genetic algorithm with local optimization, to identify doping mixtures that reverse translate a target amino-acid subset. Jensen et al. (1998) used a simulated annealing search guided by three scoring functions, to optimize the design of spiked oligonucleotides. Other related works include those of Siderovski and Mak (1993), Ophir and Gershoni (1995), Wolf and Kim (1999), Mena and Daugherty (2005), and Firth and Patrick (2008).

Recently, Tang et al. (2012) showed that a mixture of four conventional degenerate oligonucleotides – NDT, VMA, ATG, and TGG, at 12:6:1:1 molar ratio – can generate a perfectly uniform distribution across all 20 amino acids, while reducing to zero the

\* Corresponding author. Tel.: +972 4 8240203.

E-mail addresses: [yuval@stat.haifa.ac.il](mailto:yuval@stat.haifa.ac.il) (Y. Nov), [segevd@stat.haifa.ac.il](mailto:segevd@stat.haifa.ac.il) (D. Segev).

probability of a premature stop codon. To determine the appropriate mixtures for other target amino-acid subsets, they provided a software tool termed “DC-analyzer,” which uses an exhaustive search approach combined with a greedy speed-up procedure, to search the space of relevant mixtures. Kille et al. (2013) showed that the number of degenerate oligonucleotides can be reduced to three – NDT, VHG, and TGG, at 12:9:1 molar ratio – at the expense of a slight deviation from perfect uniformity.

Notwithstanding the sizable literature on the topic, the computational methods used in the aforementioned works are either heuristic, or rely on a discretization of a continuous search space. Thus, to the best of our knowledge, no fully rigorous mathematical approach has been presented yet to determine the minimal number of degenerate oligonucleotides (either conventional or spiked) to be mixed, so as to induce an arbitrary given distribution across the 20 amino acids. The goal of this work is to bridge this gap, and to offer a rigorous mathematical methodology to do so, as well as to address a number of related problems.

Our main vehicle for carrying out this plan is mathematical programming, and in particular, integer programming (Wolsey and Nemhauser, 1988; Schrijver, 1998; Bertsimas and Weismantel, 2005). While many researchers have used mathematical programming in their study of protein engineering (e.g., Saraf et al., 2005, 2006; Bellows and Floudas, 2010; Parker et al., 2011; Chen et al., 2013), we are not aware of any previous study that used this mathematical tool to model and solve the specific above mentioned problems related to codon randomization.

## 2. Methods

### 2.1. Notation and terminology

Let  $\mathcal{A} = \{\text{Ala, Arg, ..., Val, Stp}\}$  be the set of the 20 standard amino acids and the stop codon, with  $p_a$  being the target probability of amino acid  $a \in \mathcal{A}$ , and let  $\mathcal{B} = \{A, C, G, T\}$  be the set of the four bases comprising the DNA alphabet

We use the term *conventional degenerate oligonucleotide* to denote an oligonucleotide such as NNS or NNK, i.e., one whose degeneracy arises from allowing only a subset of the four bases at some (or all) of the codon's three positions, and where the allowed bases appear in *equal probabilities*. Technically, an oligonucleotide such as ACG is not degenerate, but we still refer to it as a conventional degenerate oligonucleotide. We denote by  $\mathcal{D}$  the set of all conventional degenerate oligonucleotides; since there are 15 non-empty subsets of  $\mathcal{B}$ , we have  $|\mathcal{D}| = 15^3 = 3375$ . In contrast to conventional degenerate oligonucleotides, oligonucleotides in which bases are allowed to appear in non-equal probabilities (e.g., 50% A, 25% C, and 25% G at some position) will be referred to as *spiked oligonucleotides*.

For a degenerate oligonucleotide  $d \in \mathcal{D}$  and an amino acid  $a \in \mathcal{A}$ , we let  $\pi_{d,a}$  denote the fraction of the codons belonging to  $d$  that encode  $a$ . For example, the degenerate oligonucleotide AGV (where  $V = A/C/G$ ) includes the non-degenerate codons AGA, AGG (both encoding Arg), and AGC (encoding Ser), and hence  $\pi_{AGV, \text{Arg}} = 2/3$ ,  $\pi_{AGV, \text{Ser}} = 1/3$ , and  $\pi_{AGV, a} = 0$  for any other amino acid  $a$ . Finally, for  $a \in \mathcal{A}$ , we let  $\mathcal{C}(a)$  be the set of codons encoding  $a$ . For example,  $\mathcal{C}(\text{Asp}) = \{\text{GAT, GAC}\}$ .

### 2.2. Minimizing the number of conventional degenerate oligonucleotides

In this subsection we formulate a mixed integer linear program to answer the following question: When mixing several conventional degenerate oligonucleotides, what is the minimal number of conventional degenerate oligonucleotides required to induce a

given set of target probabilities  $\{p_a, a \in \mathcal{A}\}$  over the 20 amino acids and the stop signal, and what are the corresponding fractions of the degenerate oligonucleotides involved in the mixture?

Let  $\lambda_d$  be the decision variable denoting the fraction of degenerate oligonucleotide  $d \in \mathcal{D}$  in the mixture, and let  $x_d$  be a binary decision variable indicating whether degenerate oligonucleotide  $d$  is included in the mixture ( $x_d = 1$ ) or not ( $x_d = 0$ ). The mathematical program required to answer the above question is the following:

$$\min \sum_{d \in \mathcal{D}} x_d \quad (\text{P1})$$

$$\text{s.t. (1) } \sum_{d \in \mathcal{D}} \pi_{d,a} \lambda_d = p_a \quad \forall a \in \mathcal{A}$$

$$(2) \quad \lambda_d \leq x_d \quad \forall d \in \mathcal{D}$$

$$(3) \quad \sum_{d \in \mathcal{D}} \lambda_d = 1$$

$$(4) \quad \lambda_d \in [0, 1] \quad \forall d \in \mathcal{D}$$

$$(5) \quad x_d \in \{0, 1\} \quad \forall d \in \mathcal{D}$$

From the very definition of the decision variables  $x_d$ , the sum  $\sum_{d \in \mathcal{D}} x_d$  is the number of degenerate oligonucleotides involved in the mixture, and therefore this sum is the objective function to be minimized. Constraint (1) guarantees that the resulting fraction of each amino acid  $a$  will equal the target fraction  $p_a$ . Constraint (2) guarantees that if the fraction  $\lambda_d$  of degenerate oligonucleotide  $d$  in the mixture is positive, this will be reflected by adding  $d$  to the mixture, i.e., setting  $x_d = 1$ . There is no need to add a constraint to force  $x_d = 0$  when  $\lambda_d = 0$ , as any solution with  $x_d = 1$  and  $\lambda_d = 0$  cannot be optimal: the value of the objective function in such a case can be reduced by one by setting  $x_d = 0$ , without violating any of the constraints. Constraints (3) and (4) guarantee that the mixing proportions  $\lambda_d, d \in \mathcal{D}$ , constitute a proper probability distribution, and constraint (5) guarantees that the decision variables  $x_d$  are binary.

### 2.3. Minimizing the number of spiked oligonucleotides

Suppose now that one is allowed to use not only conventional degenerate oligonucleotides, but also arbitrarily spiked ones. In this subsection we formulate a nonlinear mathematical program that will allow us to determine the minimal number of spiked oligonucleotides required to induce a given set of target probabilities  $\{p_a, a \in \mathcal{A}\}$  over the 20 amino acids, as well as the corresponding fractions of the spiked oligonucleotides involved in the mixture, and the spiking probabilities in each. Since conventional degenerate oligonucleotides are a special case of spiked oligonucleotides, the objective value (minimal number of oligonucleotides) of problem (P1) provides an upper bound to the objective value of this problem.

A spiked oligonucleotide may be represented via a triplet  $(\beta_1, \beta_2, \beta_3)$ , where each  $\beta_i = (\beta_{iA}, \beta_{iC}, \beta_{iG}, \beta_{iT})$  is a probability distribution over the four DNA bases at position  $i$  in the codon to be randomized. Note that while there is a finite number of conventional degenerate oligonucleotides (namely, 3375), there are infinitely many spiked oligonucleotides, as each of the three  $\beta_i$  assumes values in the continuum of the 3-dimensional simplex. However, it is easy to establish an upper bound  $M$  on the number of spiked oligonucleotides involved in the optimal mixture:  $M = 20$  is a trivial such bound, as clearly, 20 non-degenerate oligonucleotides are enough to encode all 20 amino acids, let alone a subset thereof. We exploit this bound in the formulation below.

Let  $\beta_{i,b}^d$  be the decision variable indicating the probability of base  $b$  in position  $i$  of spiked oligonucleotide  $d$ . The other decision variables,  $\lambda_d$  and  $x_d$ , are similar in role to their counterparts in

problem (P<sub>1</sub>). The mathematical program is the following:

$$\begin{aligned} \min \quad & \sum_{d=1}^M x_d \quad (P2) \\ \text{s.t.} \quad & (1) \quad \sum_{d=1}^M \lambda_d \sum_{b_1, b_2, b_3 \in \mathcal{C}(a)} \beta_{1,b_1}^d \beta_{2,b_2}^d \beta_{3,b_3}^d = p_a \quad \forall a \in \mathcal{A} \\ & (2) \quad \lambda_d \leq x_d \quad \forall 1 \leq d \leq M \\ & (3) \quad \sum_{b \in \mathcal{B}} \beta_{i,b}^d = 1 \quad \forall 1 \leq d \leq M, 1 \leq i \leq 3 \\ & (4) \quad \beta_{i,b}^d \in [0, 1] \quad \forall 1 \leq d \leq M, 1 \leq i \leq 3, b \in \mathcal{B} \\ & (5) \quad \sum_{d=1}^M \lambda_d = 1 \\ & (6) \quad \lambda_d \in [0, 1] \quad \forall 1 \leq d \leq M \\ & (7) \quad x_d \in \{0, 1\} \quad \forall 1 \leq d \leq M. \end{aligned}$$

The objective function is identical to the one in problem (P<sub>1</sub>), as the objective has not changed: minimizing the number of oligonucleotides in the mixture. Constraint (1) guarantees that the resulting fraction of each amino acid  $a$  will equal the target fraction  $p_a$ . To see this, note that by the independence of randomization across the codon's three positions, the product  $\beta_{1,b_1}^d \beta_{2,b_2}^d \beta_{3,b_3}^d$  is the probability that the codon  $b_1 b_2 b_3$  will be formed from spiked oligonucleotide  $d$ ; summing these probabilities over all codons encoding amino acid  $a$ , gives the probability of getting amino acid  $a$  from spiked oligonucleotide  $d$ . Constraint (2) is identical to constraint (2) in problem (P<sub>1</sub>), and guarantees that  $x_d = 1$  whenever  $\lambda_d > 0$ . Constraints (3) and (4) guarantee that for each position  $i$  in each spiked oligonucleotide  $d$ , the 4-vector  $(\beta_{i,A}^d, \beta_{i,C}^d, \beta_{i,G}^d, \beta_{i,T}^d)$  is a proper distribution. Constraints (5) and (6) guarantee that the  $\lambda_d$  constitute a proper probability distribution, and finally, constraint (7) guarantees that the decision variables  $x_d$  are binary.

Note that the resulting problem is neither linear nor convex: each of the summands in the left-hand side of constraint (1) is a product of four decision variables (one  $\lambda$  and three  $\beta$ 's).

#### 2.4. Allowing small deviations

Consider again the problem described in Section 2.2, but this time suppose that instead of having to match exactly the target probabilities, a small additive deviation of magnitude at most  $\epsilon_a$  is allowed for each amino acid  $a$ . Biological experiments are notoriously noisy, so slight deviations from the theoretical desired probabilities can be tolerated if the number of oligonucleotides in the mixture is reduced.

The only required modification is to replace constraint (1) in problem (P<sub>1</sub>) with

$$\left| \sum_{d \in \mathcal{D}} \pi_{d,a} \lambda_d - p_a \right| \leq \epsilon_a, \quad \forall a \in \mathcal{A}. \quad (1)$$

However, this constraint is not linear. A well known trick to linearize such a constraint is based on the observation that  $|x| \leq c$  if and only if both  $x \leq c$  and  $-x \leq c$ . Thus, the non-linear constraint in Eq. (1) can be replaced by two linear constraints, as in the following program:

$$\begin{aligned} \min \quad & \sum_{d \in \mathcal{D}} x_d \quad (P3) \\ \text{s.t.} \quad & (1a) \quad \sum_{d \in \mathcal{D}} \pi_{d,a} \lambda_d - p_a \leq \epsilon_a \quad \forall a \in \mathcal{A} \\ & (1b) \quad p_a - \sum_{d \in \mathcal{D}} \pi_{d,a} \lambda_d \leq \epsilon_a \quad \forall a \in \mathcal{A} \\ & (2) \quad \lambda_d \leq x_d \quad \forall d \in \mathcal{D} \\ & (3) \quad \sum_{d \in \mathcal{D}} \lambda_d = 1 \\ & (4) \quad \lambda_d \in [0, 1] \quad \forall d \in \mathcal{D} \\ & (5) \quad x_d \in \{0, 1\} \quad \forall d \in \mathcal{D}. \end{aligned}$$

#### 2.5. Minimizing deviations

A dual problem, in a sense, to the one discussed in the previous subsection, is the following: what is the minimal deviation from the target probabilities that can be attained when using a given number  $K$  of conventional degenerate oligonucleotides?

To better define this problem, one needs a measure for the magnitude of the *joint* deviation from the target probabilities  $p_a$ , across all amino acids  $a$ . We consider three such measures, which are all norms of the deviation vector  $\Delta = (\Delta_a, a \in \mathcal{A})$ , where  $\Delta_a = |\sum_{d \in \mathcal{D}} \pi_{d,a} \lambda_d - p_a|$ . Each such norm may be viewed as a penalty function over the deviations, which is to be minimized.

For brevity of the presentation, we provide the full program only for the  $L_2$  (Euclidean) norm, defined by  $\|\Delta\|_2 = (\sum_{a \in \mathcal{A}} \Delta_a^2)^{1/2}$ . This norm is closely related to the fitness function used by Tomandl et al. (1997) and to the  $s$  score function of Jensen et al. (1998). When using this norm, the following quadratic integer program is to be solved:

$$\begin{aligned} \min \quad & \sum_{a \in \mathcal{A}} \Delta_a^2 \quad (P4) \\ \text{s.t.} \quad & (1a) \quad \sum_{d \in \mathcal{D}} \pi_{d,a} \lambda_d - p_a \leq \Delta_a \quad \forall a \in \mathcal{A} \\ & (1b) \quad p_a - \sum_{d \in \mathcal{D}} \pi_{d,a} \lambda_d \leq \Delta_a \quad \forall a \in \mathcal{A} \\ & (2) \quad \lambda_d \leq x_d \quad \forall d \in \mathcal{D} \\ & (3) \quad \sum_{d \in \mathcal{D}} \lambda_d = 1 \\ & (4) \quad \sum_{d \in \mathcal{D}} x_d \leq K \\ & (5) \quad \lambda_d \in [0, 1] \quad \forall d \in \mathcal{D} \\ & (6) \quad x_d \in \{0, 1\} \quad \forall d \in \mathcal{D}. \end{aligned}$$

To minimize an  $L_1$  norm of the deviations, defined via  $\|\Delta\|_1 = \sum_{a \in \mathcal{A}} |\Delta_a|$ , all that needs to be changed is to replace the objective function to  $\sum_{a \in \mathcal{A}} \Delta_a$ . The  $L_1$  norm is equivalent to the SAE (sum of absolute errors) criterion used by Tomandl et al. (1997).

A modification of a different nature is required to accommodate an  $L_\infty$  ("sup") norm, defined in this case via  $\|\Delta\|_\infty = \max_{a \in \mathcal{A}} |\Delta_a|$ . The change is based on the observation that for a real-valued vector  $(x_1, \dots, x_n)$ , we have  $\|x\|_\infty \leq c$  if and only if  $|x_i| \leq c$  for each  $i = 1, \dots, n$ . To adapt the program (P<sub>4</sub>) to an  $L_\infty$  norm, we therefore introduce an additional decision variable,  $\Delta_{\max}$ , add the constraint  $\Delta_a \leq \Delta_{\max} \forall a \in \mathcal{A}$ , and change the objective function to that of minimizing  $\Delta_{\max}$ .

All norms can be generalized to be weighted, in a similar fashion to the scoring functions used by Tomandl et al. (1997) and Jensen et al. (1998). To do so, a set of positive parameters  $w_a$ ,  $a \in \mathcal{A}$ , needs to be added to the model, and the objective function in the  $L_2$  case, for example, needs to be changed to  $\min \sum_{a \in \mathcal{A}} w_a \Delta_a^2$ .

#### 2.6. Computational notes

In general, optimization problems involving integrality constraints are NP-hard, and thus intractable. However, the specific instances presented above are small enough (in terms of the number of decision variables and the number of constraints) so that all but one of them can be solved by commercial solvers in a fraction of a second. The only exception is problem (P<sub>4</sub>) with the  $L_2$  norm, which required several hours of computation time on a standard PC.

All problems were modeled using AMPL (Fourer et al., 2003) and solved by the CPLEX solver (<http://www-01.ibm.com/software/integration/optimization/cplex-optimizer>), except for problem (P<sub>2</sub>) which was solved by the KNITRO solver (<http://www.ziena.com/knitro.html>).

### 3. Results

Table 1 shows the optimal mixtures of conventional oligonucleotides required to induce a target probability distribution over select subsets of amino acids (problem (P<sub>1</sub>)). In the first four cases, the target distribution is uniform, whereas the fifth case is a non-uniform distribution used by Gilbreth et al. (2008). It can be seen that the minimal number of conventional degenerate oligonucleotides required to induce a uniform distribution over all 20 amino acids is four, confirming that the mixture computed by Tang et al. (2012) is optimal. The optimal solution is not unique, hence the difference between Tang et al.'s solution and the solution in Table 1.

In some cases, the number of oligonucleotides to be mixed could be reduced further if spiked oligonucleotides are allowed (problem (P<sub>2</sub>)). Table 2 shows the spiking probabilities and the mixture proportions for two such cases.

**Table 1**  
Optimal mixtures of conventional degenerate oligonucleotides required to induce a uniform distribution across subsets of amino acids (first four cases) and a non-uniform target distribution (last case).

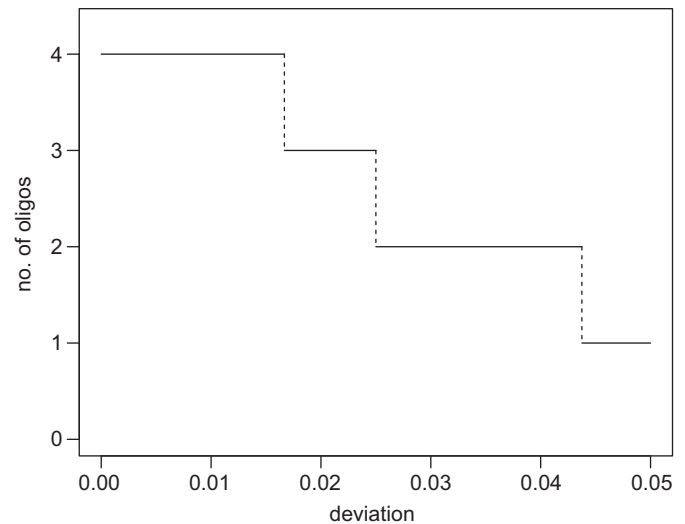
| Subset   | Degeneracy | Proportions |
|--|------------|-------------|
| All amino acids  | HAT        | 3/20        |
|  | VMR        | 6/20        |
|  | WKK        | 8/20        |
|  | GDY        | 3/20        |
| Aliphatic<br>(Ala, Ile, Leu, Pro, Val)   | SYN        | 4/5         |
|  | ATA        | 1/5         |
| Aromatic<br>(Phe, Tyr, Trp, His)   | YAY        | 2/4         |
|  | TGG        | 1/4         |
|  | TTT        | 1/4         |
| Polar<br>(Arg, Lys, Asp, Glu, Asn, Gln)  | RAM        | 2/3         |
|  | CRA        | 1/3         |
| Gilbreth et al. (2008)<br>(40% Tyr, 20% Ser, 10% Gly, 5% Arg, Leu, His, Asp, Asn, Ala) | VRY        | 3/10        |
|  | GST        | 1/10        |
|  | TMC        | 2/10        |
|  | TYG        | 1/10        |
|  | TAY        | 3/10        |

**Table 2**  
Optimal mixtures of spiked oligonucleotides required to induce two target distributions.

| Subset          | Proportion                              | Position | Spiking probabilities |        |        |        |
|-----------------|---|----------|-----------------------|--------|--------|--------|
|                 |   |          | A                     | C      | G      | T      |
| All amino acids | 1/20                                    | 1        | 1/3                   | 1/3    | 1/3    |        |
|                 |   | 2        | 2/3                   | 1/3    |        |        |
|                 |   | 3        | 2/4                   | 1/4    |        | 1/4    |
|                 | 10/20                                   | 1        | 2/5                   |        | 1/5    | 2/5    |
|                 |   | 2        |                       |        | 1/2    | 1/2    |
|                 |   | 3        |                       | 1/4    | 2/4    | 1/4    |
|                 | 1/20                                    | 1        |                       |        |        | 1      |
|                 |   | 2        | 1                     |        |        |        |
|                 |   | 3        |                       |        |        | 1      |
|                 | Gilbreth et al. (2008)<br>(See Table 1) | 1        | 0.0909                | 0.0909 | 0.0909 | 0.7273 |
|                 |   | 2        | 1                     |        |        |        |
|                 |   | 3        |                       | 1      |        |        |
|                 | 0.3426                                  | 1        | 0.6                   | 0.1079 | 0.2921 |        |
|                 |   | 2        |                       |        | 1      |        |
|                 |   | 3        | 0.0271                | 0.9728 |        |        |
|                 | 0.0575                                  | 1        |                       | 1      |        |        |
|                 |   | 2        |                       |        | 0.1301 | 0.8699 |
|                 |   | 3        |                       | 1      |        |        |
|                 | 0.05                                    | 1        |                       |        | 1      |        |
|                 |   | 2        |                       | 1      |        |        |
|                 |   | 3        | 1                     |        |        |        |

Fig. 1 shows the minimal number of conventional degenerate oligonucleotides required to approximate a uniform distribution over the 20 amino acids, up to a deviation of  $\epsilon_a = \epsilon$  for each amino acid, as a function of  $\epsilon$ . Each point in the graph, therefore, corresponds to a solution of problem (P<sub>3</sub>), for a different value of  $\epsilon$ .

Table 3 shows the minimal norm of the deviations from a uniform distribution across the 20 amino acids, when using  $K = 1, 2, 3$  conventional degenerate oligonucleotides, for the  $L_1$  norm function. Tables 4 and 5 are similar for the  $L_2$  and  $L_\infty$  norm functions, respectively. For  $K \geq 4$ , all three norms are zero, as four conventional degenerate oligonucleotides are enough to induce a perfectly uniform distribution. Also shown are the optimal randomization schemes, and the resulting induced probabilities. All values in the table were computed from solutions of problem



**Fig. 1.** Minimal number of conventional degenerate oligonucleotides in the mixture, as a function of  $\epsilon$ , the common allowed deviation from a uniform distribution across the 20 amino acids.

**Table 3**  
Optimal mixtures of  $K=1, 2$ , and 3 conventional degenerate oligonucleotides, required to minimize the  $L_1$  deviation from a uniform distribution across the 20 amino acids.

| K<br>oligos | 1<br>NNB 1 | 2<br>NDY 3/5<br>VHG 2/5 | 3<br>NNY 16/20<br>VAA 3/20<br>TGG 1/20 |
|-------------|------------|-------------------------|--|
| $L_1$ norm  | 0.4083     | 0.1778                  | 0.1000                                 |
| Ala         | 0.0625     | 0.0444                  | 0.05                                   |
| Cys         | 0.0417     | 0.0500                  | 0.05                                   |
| Asp         | 0.0417     | 0.0500                  | 0.05                                   |
| Glu         | 0.0208     | 0.0444                  | 0.05                                   |
| Phe         | 0.0417     | 0.0500                  | 0.05                                   |
| Gly         | 0.0625     | 0.0500                  | 0.05                                   |
| His         | 0.0417     | 0.0500                  | 0.05                                   |
| Ile         | 0.0417     | 0.0500                  | 0.05                                   |
| Lys         | 0.0208     | 0.0444                  | 0.05                                   |
| Leu         | 0.0833     | 0.0944                  | 0.05                                   |
| Met         | 0.0208     | 0.0444                  | 0.00                                   |
| Asn         | 0.0417     | 0.0500                  | 0.05                                   |
| Pro         | 0.0625     | 0.0444                  | 0.05                                   |
| Gln         | 0.0208     | 0.0444                  | 0.05                                   |
| Arg         | 0.0833     | 0.0500                  | 0.05                                   |
| Ser         | 0.1042     | 0.0500                  | 0.10                                   |
| Thr         | 0.0625     | 0.0444                  | 0.05                                   |
| Val         | 0.0625     | 0.0944                  | 0.05                                   |
| Trp         | 0.0208     | 0.0000                  | 0.05                                   |
| Tyr         | 0.0417     | 0.0500                  | 0.05                                   |
| Stp         | 0.0208     | 0.0000                  | 0.00                                   |



**Table 4**  
Similar to Table 3, for  $L_2$  norm.

| K          | 1      | 2                          | 3   |
|------------|--------|----------------------------|---|
| Oligos     | NNB 1  | NDB 0.68365<br>VMD 0.31635 | DDT 0.42953<br>VMD 0.36171<br>WKG 0.20876<br>0.0468 |
| $L_2$ norm | 0.1054 | 0.0680                     |   |
| Ala        | 0.0625 | 0.0527                     | 0.0603  |
| Cys        | 0.0417 | 0.0380                     | 0.0477  |
| Asp        | 0.0417 | 0.0556                     | 0.0678  |
| Glu        | 0.0208 | 0.0541                     | 0.0402  |
| Phe        | 0.0417 | 0.0380                     | 0.0477  |
| Gly        | 0.0625 | 0.0570                     | 0.0477  |
| His        | 0.0417 | 0.0556                     | 0.0201  |
| Ile        | 0.0417 | 0.0380                     | 0.0477  |
| Lys        | 0.0208 | 0.0541                     | 0.0402  |
| Leu        | 0.0833 | 0.0760                     | 0.0522  |
| Met        | 0.0208 | 0.0190                     | 0.0522  |
| Asn        | 0.0417 | 0.0556                     | 0.0678  |
| Pro        | 0.0625 | 0.0527                     | 0.0603  |
| Gln        | 0.0208 | 0.0541                     | 0.0402  |
| Arg        | 0.0833 | 0.0760                     | 0.0522  |
| Ser        | 0.1042 | 0.0380                     | 0.0477  |
| Thr        | 0.0625 | 0.0527                     | 0.0603  |
| Val        | 0.0625 | 0.0570                     | 0.0477  |
| Trp        | 0.0208 | 0.0190                     | 0.0522  |
| Tyr        | 0.0417 | 0.0380                     | 0.0477  |
| Stp        | 0.0208 | 0.0190                     | 0.0000  |

**Table 5**  
Similar to Table 3, for  $L_\infty$  norm.

| K               | 1      | 2                  | 3   |
|-----------------|--------|--------------------|---|
| Oligos          | NNK 1  | NDK 3/5<br>VMV 2/5 | DKS 16/20<br>VMS 3/20<br>WDB 1/20<br>0.0167 |
| $L_\infty$ norm | 0.0438 | 0.0250             |   |
| Ala             | 0.0625 | 0.0667             | 0.0667                                      |
| Cys             | 0.0312 | 0.0250             | 0.0583                                      |
| Asp             | 0.0312 | 0.0472             | 0.0333                                      |
| Glu             | 0.0312 | 0.0694             | 0.0333                                      |
| Phe             | 0.0312 | 0.0250             | 0.0583                                      |
| Gly             | 0.0625 | 0.0500             | 0.0500                                      |
| His             | 0.0312 | 0.0472             | 0.0333                                      |
| Ile             | 0.0312 | 0.0250             | 0.0583                                      |
| Lys             | 0.0312 | 0.0694             | 0.0500                                      |
| Leu             | 0.0938 | 0.0750             | 0.0417                                      |
| Met             | 0.0312 | 0.0250             | 0.0417                                      |
| Asn             | 0.0312 | 0.0472             | 0.0667                                      |
| Pro             | 0.0625 | 0.0667             | 0.0667                                      |
| Gln             | 0.0312 | 0.0694             | 0.0333                                      |
| Arg             | 0.0938 | 0.0750             | 0.0417                                      |
| Ser             | 0.0938 | 0.0250             | 0.0583                                      |
| Thr             | 0.0625 | 0.0667             | 0.0667                                      |
| Val             | 0.0625 | 0.0500             | 0.0500                                      |
| Trp             | 0.0312 | 0.0250             | 0.0417                                      |
| Tyr             | 0.0312 | 0.0250             | 0.0333                                      |
| Stp             | 0.0312 | 0.0250             | 0.0167                                      |

( $P_4$ ) and its two variants for the  $L_1$  and  $L_\infty$  norms. Note that the three  $L_\infty$  norm values in Table 5 are exactly the jump points of the graph in Fig. 1, as expected.

#### 4. Discussion

The goal of this work is to model and analyze in a fully rigorous way certain problems associated with codon randomization, which were previously treated only via heuristic approaches. Our result regarding the optimal mixture of conventional degenerate oligonucleotides (when the target distribution is uniform across all

20 amino acids) proves that four is the minimal number of degenerate oligonucleotides required, so that the mixture reported by Tang et al. (2012) cannot be improved further in this sense.

When allowing spiked oligonucleotides, the number of required oligonucleotides is reduced further to three. Tomandl et al. (1997) used their GALO algorithm to discover a 3-oligonucleotide solution for this problem, but did not provide its details (spiking probabilities and mixture proportions, as shown in Table 2).

We used the spiking model to study only the problem of minimizing the number of spiked oligonucleotides required to induce exactly a target probability distribution (problem ( $P_2$ )). With modifications similar to those described in Sections 2.4 and 2.5, this model can also be applied toward approximating a target probability distribution (problem ( $P_3$ )), or minimizing deviations under a given number of spiked oligonucleotides (problem ( $P_4$ ) and its  $L_1$  and  $L_\infty$  variants). We note, however, that while the problem instances considered in the context of conventional degenerate oligonucleotides are tractable enough so that the solvers used compute the globally optimal solutions, the spiking model is inherently more difficult to optimize (recall that problem ( $P_2$ ) is neither linear nor convex), and hence the resulting solutions are guaranteed to be only locally optimal.

Tang et al. (2012) explicitly avoided in their algorithm degenerate codons that code for either of eight rare codons of *E. Coli* (CGA, CGG, AGA, AGG for Arginine; CTA for Leucine; ATA for Ile; GGA for Gly, and CCC for Proline). To incorporate such a constraint into our conventional degenerate oligonucleotide framework, all variables  $\lambda_d$  and  $x_d$  corresponding to degenerate oligonucleotides  $d$  that encode rare codons need to be removed from the program, which is equivalent to eliminating these codons in advance from the set  $\mathcal{D}$ . Stop codons can also be avoided in a similar manner, rather than through setting  $p_{\text{stp}} = 0$ , as done above. The resulting programs are substantially smaller, making them even easier to solve. To avoid rare codons in the spiked oligonucleotides model, one should add the constraint

$$\beta_{1,b_1}^d \beta_{2,b_2}^d \beta_{3,b_3}^d = 0, \quad \forall 1 \leq d \leq M, \quad b_1 b_2 b_3 \in F,$$

where  $F$  is the set of the codons to be avoided.

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