



## Chapter 5 Advanced Encryption Standard

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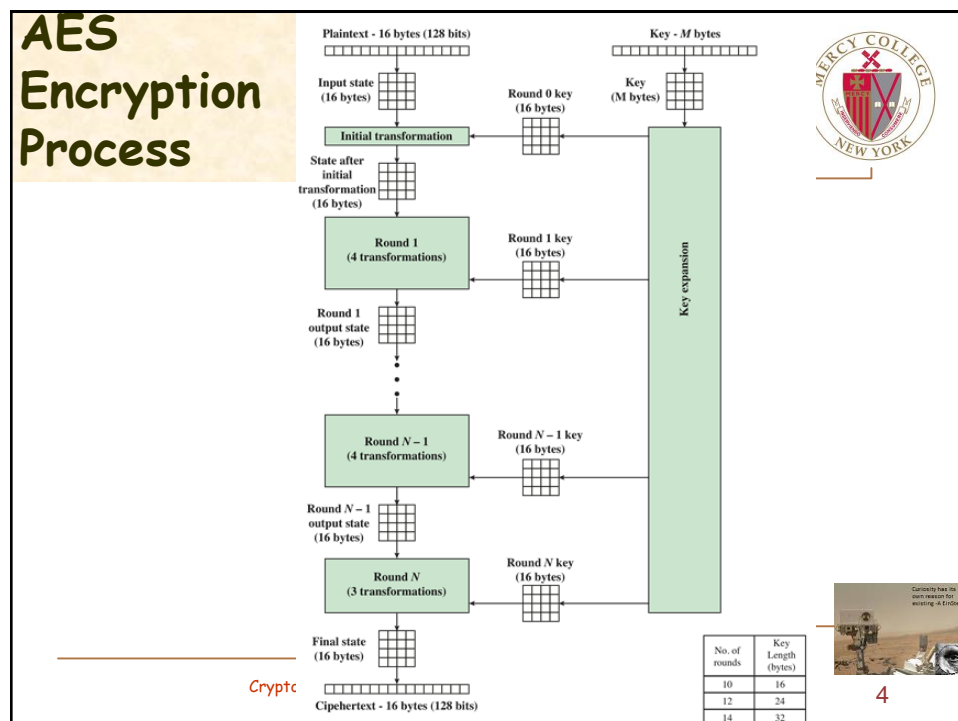
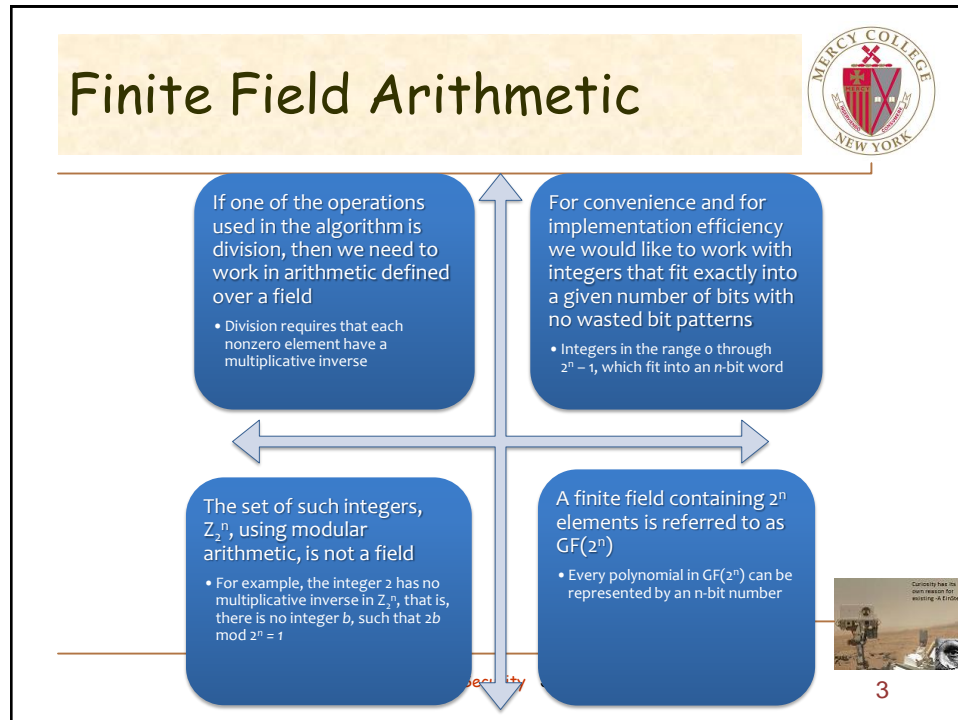
## Finite Field Arithmetic

- In the Advanced Encryption Standard (AES) all operations are performed on 8-bit bytes
- The arithmetic operations of addition, multiplication, and division are performed over the finite field  $GF(2^8)$
- A field is a set in which we can do addition, subtraction, multiplication, and division without leaving the set
- Division is defined with the following rule:
  - $a / b = a (b^{-1})$
- An example of a finite field (one with a finite number of elements) is the set  $Z_p$  consisting of all the integers  $\{0, 1, \dots, p-1\}$ , where  $p$  is a prime number and in which arithmetic is carried out modulo  $p$

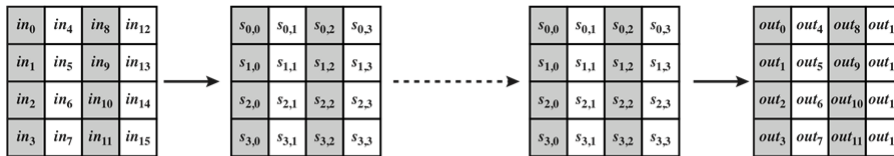
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# AES Data Structures



(a) Input, state array, and output



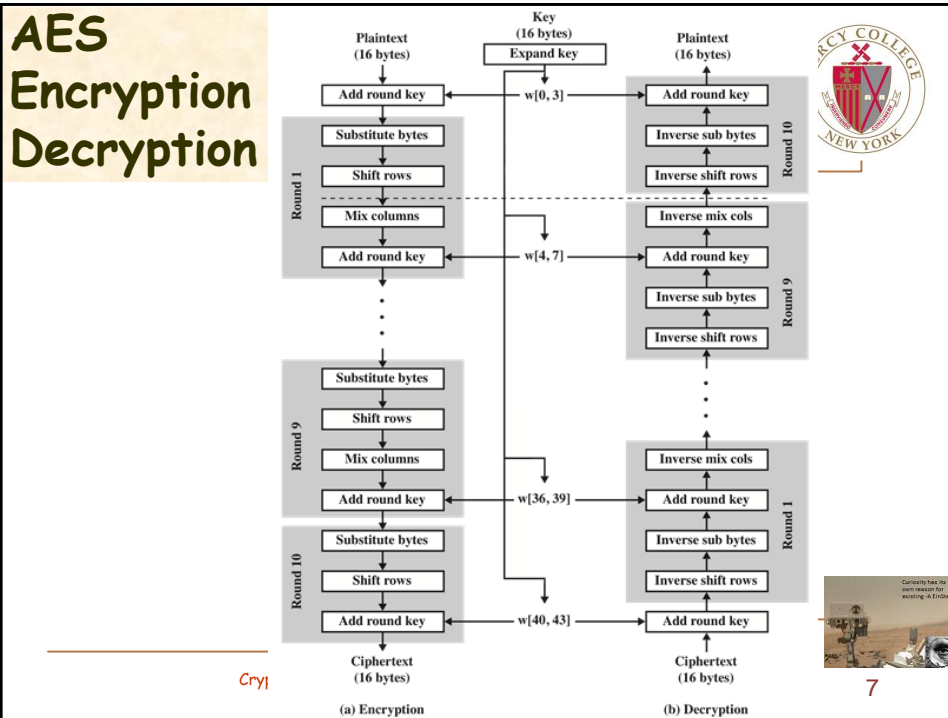
(b) Key and expanded key

# AES Parameters



<b>Key Size (words/bytes/bits)</b>	4/16/128	6/24/192	8/32/256
<b>Plaintext Block Size (words/bytes/bits)</b>	4/16/128	4/16/128	4/16/128
<b>Number of Rounds</b>	10	12	14
<b>Round Key Size (words/bytes/bits)</b>	4/16/128	4/16/128	4/16/128
<b>Expanded Key Size (words/bytes)</b>	44/176	52/208	60/240





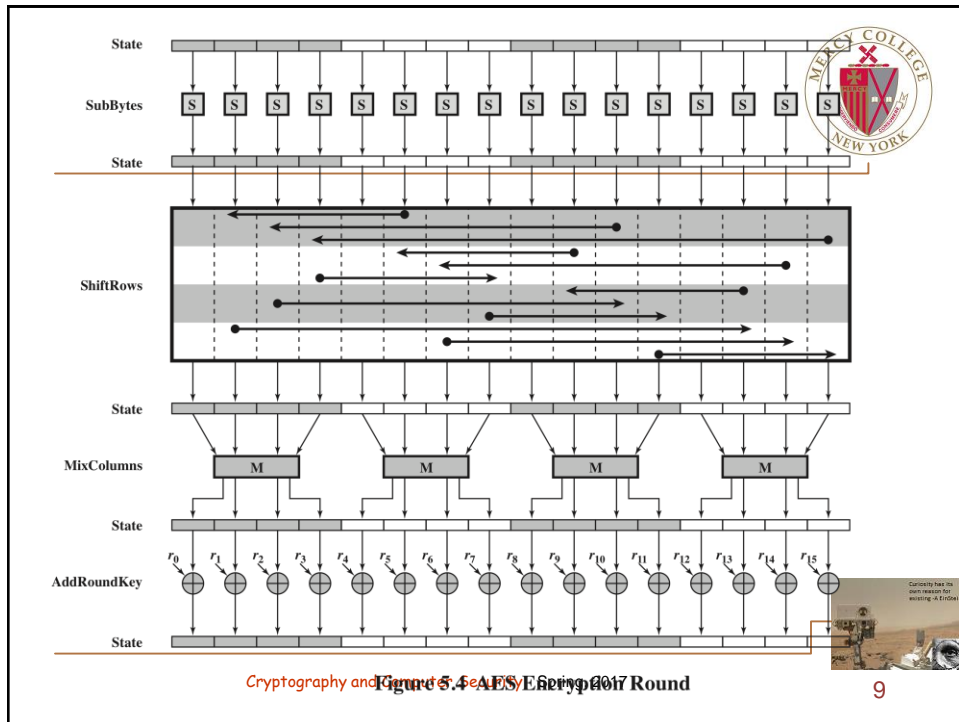
## Detailed Structure

- Processes the entire data block as a single matrix during each round using substitutions and permutation
- The key that is provided as input is expanded into an array of forty-four 32-bit words,  $w[i]$

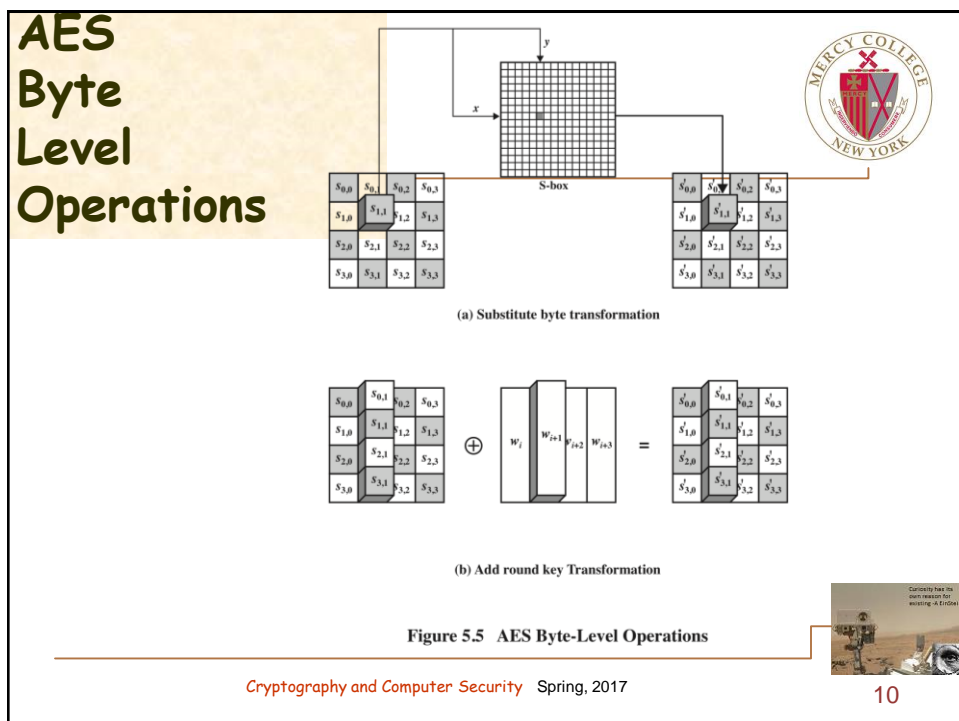
Four different stages are used:

- Substitute bytes – uses an S-box to perform a byte-by-byte substitution of the block
- ShiftRows – a simple permutation
- MixColumns – a substitution that makes use of arithmetic over  $GF(2^8)$
- AddRoundKey – a simple bitwise XOR of the current block with a portion of the expanded key

- The cipher begins and ends with an AddRoundKey stage
- Can view the cipher as alternating operations of XOR encryption (AddRoundKey) of a block, followed by scrambling of the block (the other three stages), followed by XOR encryption, and so on
- Each stage is easily reversible
- The decryption algorithm makes use of the expanded key in reverse order, however the decryption algorithm is not identical to the encryption algorithm
- State is the same for both encryption and decryption
- Final round of both encryption and decryption consists of only three stages



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(a) S-box

		y															
		0	1	2	3	4	5	6	7	8	9	A	B	C	D	E	F
x	0	63	7C	77	7B	F2	6B	6F	C5	30	01	67	2B	FE	D7	AB	76
	1	CA	82	C9	7D	FA	59	47	F0	AD	D4	A2	AF	9C	A4	72	C0
	2	B7	FD	93	26	36	3F	F7	CC	34	A5	E5	F1	71	D8	31	15
	3	04	C7	23	C3	18	96	05	9A	07	12	80	E2	EB	27	B2	75
	4	09	83	2C	1A	1B	6E	5A	A0	52	3B	D6	B3	29	E3	2F	84
	5	53	D1	00	ED	20	FC	B1	5B	6A	CB	BE	39	4A	4C	58	CF
	6	D0	EF	AA	FB	43	4D	33	85	45	F9	02	7F	50	3C	9F	A8
	7	51	A3	40	8F	92	9D	38	F5	BC	B6	DA	21	10	FF	F3	D2
	8	CD	0C	13	EC	5F	97	44	17	C4	A7	7E	3D	64	5D	19	73
	9	60	81	4F	DC	22	2A	90	88	46	EE	B8	14	DE	5E	0B	DB
	A	E0	32	3A	0A	49	06	24	5C	C2	D3	AC	62	91	95	E4	79
	B	E7	C8	37	6D	8D	D5	4E	A9	6C	56	F4	EA	65	7A	AE	08
	C	BA	78	25	2E	1C	A6	B4	C6	E8	DD	74	1F	4B	BD	8B	8A
	D	70	3E	B5	66	48	03	F6	0E	61	35	57	B9	86	C1	1D	9E
	E	E1	F8	98	11	69	D9	8E	94	9B	1E	87	E9	CE	55	28	DF
	F	8C	A1	89	0D	BF	E6	42	68	41	99	2D	0F	B0	54	BB	16

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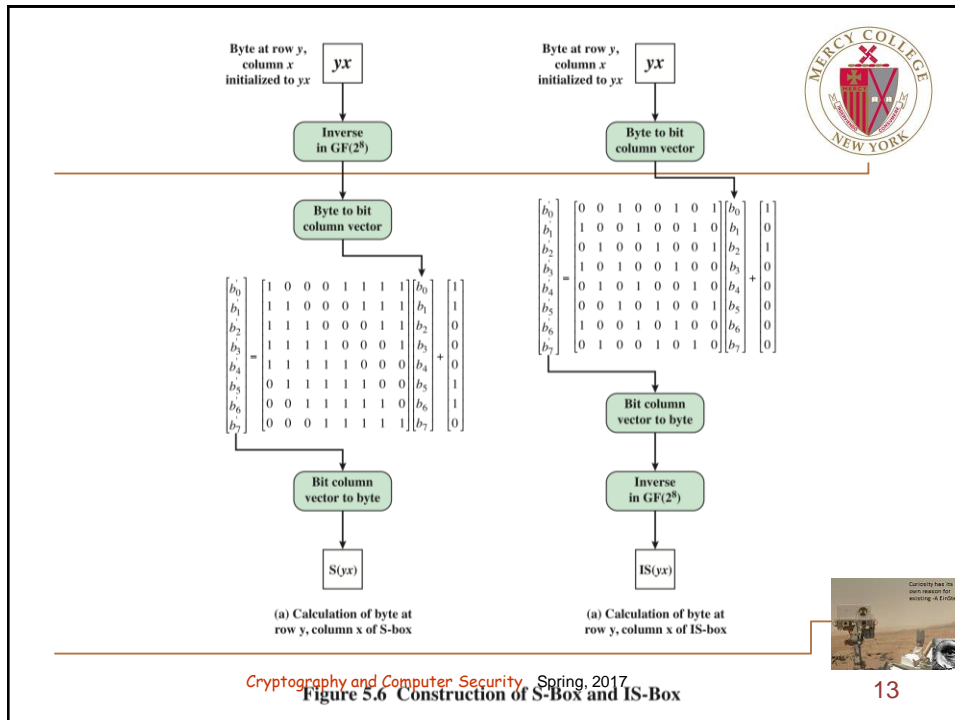


(b) Inverse S-box

		y															
		0	1	2	3	4	5	6	7	8	9	A	B	C	D	E	F
x	0	52	09	6A	D5	30	36	A5	38	BF	40	A3	9E	81	F3	D7	FB
	1	7C	E3	39	82	9B	2F	FF	87	34	8E	43	44	C4	DE	E9	CB
	2	54	7B	94	32	A6	C2	23	3D	EE	4C	95	0B	42	FA	C3	4E
	3	08	2E	A1	66	28	D9	24	B2	76	5B	A2	49	6D	8B	D1	25
	4	72	F8	F6	64	86	68	98	16	D4	A4	5C	CC	5D	65	B6	92
	5	6C	70	48	50	FD	ED	B9	DA	5E	15	46	57	A7	8D	9D	84
	6	90	D8	AB	00	8C	BC	D3	0A	F7	E4	58	05	B8	B3	45	06
	7	D0	2C	1E	8F	CA	3F	0F	02	C1	AF	BD	03	01	13	8A	6B
	8	3A	91	11	41	4F	67	DC	EA	97	F2	CF	CE	F0	B4	E6	73
	9	96	AC	74	22	E7	AD	35	85	E2	F9	37	E8	1C	75	DF	6E
	A	47	F1	1A	71	1D	29	C5	89	6F	B7	62	0E	AA	18	BE	1B
	B	FC	56	3E	4B	C6	D2	79	20	9A	DB	C0	FE	78	CD	5A	F4
	C	1F	DD	A8	33	88	07	C7	31	B1	12	10	59	27	80	EC	5F
	D	60	51	7F	A9	19	B5	4A	0D	2D	E5	7A	9F	93	C9	9C	EF
	E	A0	E0	3B	4D	AE	2A	F5	B0	C8	EB	BB	3C	83	53	99	61
	F	17	2B	04	7E	BA	77	D6	26	E1	69	14	63	55	21	0C	7D

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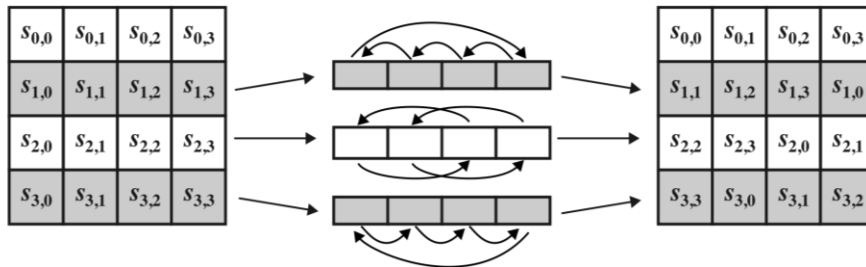
## S-Box Rationale

- The S-box is designed to be resistant to known cryptanalytic attacks
- The Rijndael developers sought a design that has a low correlation between input bits and output bits and the property that the output is not a linear mathematical function of the input
- The nonlinearity is due to the use of the multiplicative inverse

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# Shift Row Transformation



(a) Shift row transformation

Figure 5.7 AES Row and Column Operations

(Figure can be found on page 144 in textbook)

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# Shift Row Rationale



- More substantial than it may first appear
- The State, as well as the cipher input and output, is treated as an array of four 4-byte columns
- On encryption, the first 4 bytes of the plaintext are copied to the first column of State, and so on
- The round key is applied to State column by column
  - Thus, a row shift moves an individual byte from one column to another, which is a linear distance of a multiple of 4 bytes
- Transformation ensures that the 4 bytes of one column are spread out to four different columns

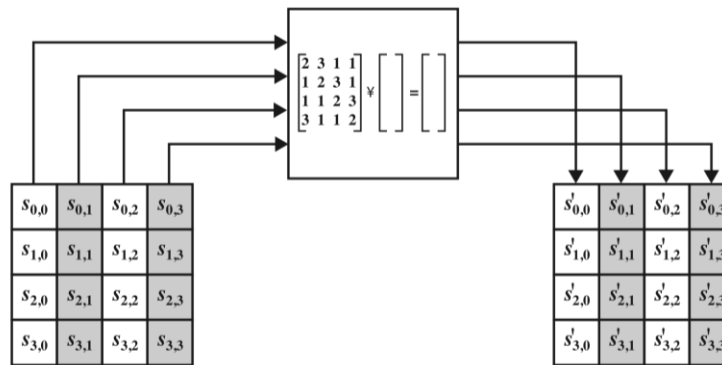
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# MixColumn Transformation



(b) Mix column transformation

Figure 5.7 AES Row and Column Operations  
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(Figure can be found on page 144 in textbook)

# Mix Columns Rationale



- Coefficients of a matrix based on a linear code with maximal distance between code words ensures a good mixing among the bytes of each column
- The mix column transformation combined with the shift row transformation ensures that after a few rounds all output bits depend on all input bits



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## AddRoundKey Transformation



- The 128 bits of State are bitwise XORed with the 128 bits of the round key
- Operation is viewed as a columnwise operation between the 4 bytes of a State column and one word of the round key
  - Can also be viewed as a byte-level operation

### Rationale:

Is as simple as possible and affects every bit of State

The complexity of the round key expansion plus the complexity of the other stages of AES ensure security

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## Inputs for Single AES Round

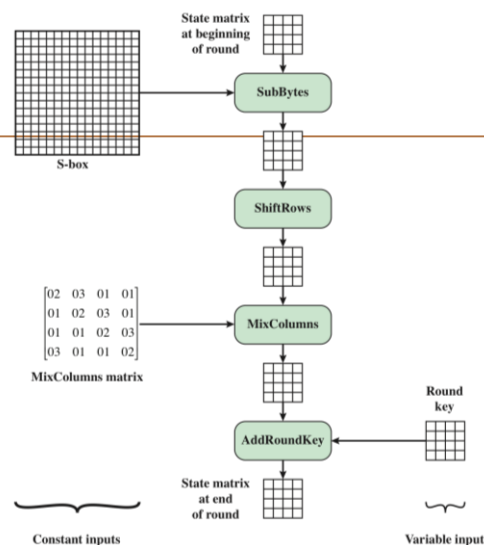


Figure 5.8 Inputs for Single AES Round

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# AES Key Expansion

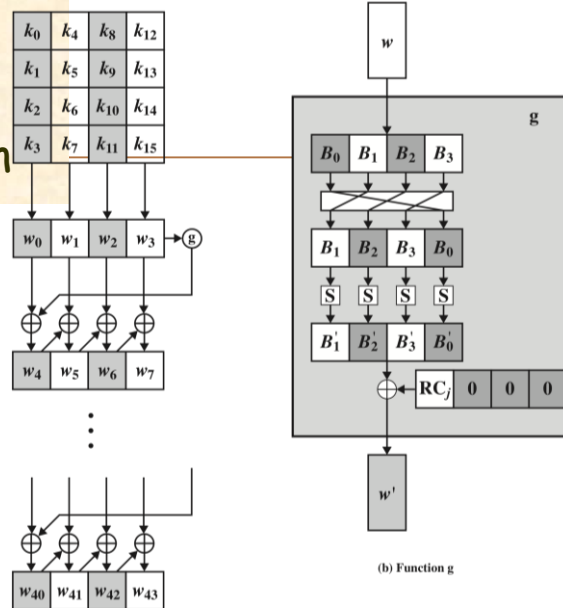


- Takes as input a four-word (16 byte) key and produces a linear array of 44 words (176) bytes
  - This is sufficient to provide a four-word round key for the initial AddRoundKey stage and each of the 10 rounds of the cipher
- Key is copied into the first four words of the expanded key
  - The remainder of the expanded key is filled in four words at a time
- Each added word  $w[i]$  depends on the immediately preceding word,  $w[i - 1]$ , and the word four positions back,  $w[i - 4]$ 
  - In three out of four cases a simple XOR is used
  - For a word whose position in the  $w$  array is a multiple of 4, a more complex function is used

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## AES Key Expansion



(a) Overall algorithm  
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Figure 5.9 AES Key Expansion

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# Key Expansion Rationale



- The Rijndael developers designed the expansion key algorithm to be resistant to known cryptanalytic attacks
- Inclusion of a round-dependent round constant eliminates the symmetry between the ways in which round keys are generated in different rounds

The specific criteria that were used are:

- Knowledge of a part of the cipher key or round key does not enable calculation of many other round-key bits
- An invertible transformation
- Speed on a wide range of processors
- Usage of round constants to eliminate symmetries
- Diffusion of cipher key differences into the round keys
- Enough nonlinearity to prohibit the full determination of round key differences from cipher key differences only
- Simplicity of description

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## AES Example Key Expansion

Key Words	Auxiliary Function
w0 = 0f 15 71 c9 w1 = 47 d9 e8 59 w2 = 0c b7 ad d6 w3 = af 7f 67 98	RotWord(w3) = 7f 67 98 af = x1 SubWord(x1) = d2 85 46 79 = y1 Rcon(1) = 01 00 00 00 y1 ⊕ Rcon(1) = d3 85 46 79 = z1
w4 = w0 ⊕ z1 = dc 90 37 b0 w5 = w4 ⊕ w1 = 9b 49 df e9 w6 = w5 ⊕ w2 = 97 fe 72 3f w7 = w6 ⊕ w3 = 38 81 15 a7	RotWord(w7) = 81 15 a7 38 = x2 SubWord(x2) = 0c 59 5c 07 = y2 Rcon(2) = 02 00 00 00 y2 ⊕ Rcon(2) = 0e 59 5c 07 = z2
w8 = w4 ⊕ z2 = d2 c9 6b b7 w9 = w8 ⊕ w5 = 49 80 b4 5e w10 = w9 ⊕ w6 = de 7e c6 61 w11 = w10 ⊕ w7 = e6 ff d3 c6	RotWord(w11) = ff d3 c6 e6 = x3 SubWord(x3) = 16 66 b4 8e = y3 Rcon(3) = 04 00 00 00 y3 ⊕ Rcon(3) = 12 66 b4 8e = z3
w12 = w8 ⊕ z3 = c0 af df 39 w13 = w12 ⊕ w9 = 89 2f 6b 67 w14 = w13 ⊕ w10 = 57 51 ad 06 w15 = w14 ⊕ w11 = b1 ae 7e c0	RotWord(w15) = ae 7e c0 b1 = x4 SubWord(x4) = e4 f3 ba c8 = y4 Rcon(4) = 08 00 00 00 y4 ⊕ Rcon(4) = ec f3 ba c8 = z4
w16 = w12 ⊕ z4 = 2c 5c 65 f1 w17 = w16 ⊕ w13 = a5 73 0e 96 w18 = w17 ⊕ w14 = f2 22 a3 90 w19 = w18 ⊕ w15 = 43 8c dd 50	RotWord(w19) = 8c dd 50 43 = x5 SubWord(x5) = 64 c1 53 1a = y5 Rcon(5) = 10 00 00 00 y5 ⊕ Rcon(5) = 74 c1 53 1a = z5
w20 = w16 ⊕ z5 = 58 9d 36 eb w21 = w20 ⊕ w17 = fd ee 38 7d w22 = w21 ⊕ w18 = 0f cc 9b ed w23 = w22 ⊕ w19 = 4c 40 46 bd	RotWord(w23) = 40 46 bd 4c = x6 SubWord(x6) = 09 5a 7a 29 = y6 Rcon(6) = 20 00 00 00 y6 ⊕ Rcon(6) = 29 5a 7a 29 = z6
w24 = w20 ⊕ z6 = 71 c7 4c c2 w25 = w24 ⊕ w21 = 8c 29 74 bf w26 = w25 ⊕ w22 = 83 e5 ef 52 w27 = w26 ⊕ w23 = cf a5 a9 ef	RotWord(w27) = a5 a9 ef cf = x7 SubWord(x7) = 06 d3 df 8a = y7 Rcon(7) = 40 00 00 00 y7 ⊕ Rcon(7) = 46 d3 df 8a = z7
w28 = w24 ⊕ z7 = 37 14 93 48 w29 = w28 ⊕ w25 = bb 3d e7 f7 w30 = w29 ⊕ w26 = 38 d8 08 a5 w31 = w30 ⊕ w27 = f7 7d a1 4a	RotWord(w31) = 7d a1 4a f7 = x8 SubWord(x8) = ff 32 d6 68 = y8 Rcon(8) = 80 00 00 00 y8 ⊕ Rcon(8) = 7f 32 d6 68 = z8
w32 = w28 ⊕ z8 = 48 26 45 20 w33 = w32 ⊕ w29 = f3 1b a2 d7 w34 = w33 ⊕ w30 = cb c3 aa 72 w35 = w34 ⊕ w31 = 3c be 0b 38	RotWord(w35) = be 0b 38 3c = x9 SubWord(x9) = ae 2b 07 eb = y9 Rcon(9) = 1b 00 00 00 y9 ⊕ Rcon(9) = b5 2b 07 eb = z9
w36 = w32 ⊕ z9 = fd 0d 42 cb w37 = w36 ⊕ w33 = 0e 16 e0 1c w38 = w37 ⊕ w34 = c5 d5 4a 6e w39 = w38 ⊕ w35 = f9 6b 41 56	RotWord(w39) = 6b 41 56 f9 = x10 SubWord(x10) = 7f 83 b1 99 = y10 Rcon(10) = 36 00 00 00 y10 ⊕ Rcon(10) = 49 83 b1 99 = z10
w40 = w36 ⊕ z10 = b4 8e f3 52 w41 = w40 ⊕ w37 = ba 98 13 4e w42 = w41 ⊕ w38 = 7f 4d 59 20 w43 = w42 ⊕ w39 = 86 26 18 76	

(Table is located on page 15 in textbook)

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## AES Example

Start of round	After SubBytes	After ShiftRows	After MixColumns	Round Key
01 89 fe 76				0f 47 0c af
23 ab dc 54				15 d9 b7 7f
45 cd ba 32				71 e8 ad 67
67 ef 98 10				c9 59 d6 98
0e ce f2 d9	ab 8b 89 35	ab 8b 89 35	b9 94 57 75	dc 9b 97 38
36 72 6b 2b	05 40 7f f1	40 7f f1 05	e4 8e 16 51	90 49 fe 81
34 25 17 55	18 3f f0 fc	f0 fc 18 3f	47 20 9a 3f	37 df 72 15
ae b6 4e 88	e4 4e 2f c4	c4 e4 4e 2f	c5 d6 f5 3b	b0 95 3f a7
65 0f c0 4d	4d 76 ba e3	4d 76 ba e3	8e 22 db 12	d2 49 de e6
74 c7 e8 d0	92 c6 9b 70	c6 9b 70 92	b2 f2 dc 92	c9 80 7e ff
70 ff e8 2a	51 16 9b e5	9b e5 51 16	df 80 f7 c1	6b b4 c6 d3
75 3f ca 9c	9d 75 74 de	de 9d 75 74	2d c5 1e 52	b7 5e 61 c6
5c 6b 05 f4	4a 7f 6b bf	4a 7f 6b bf	b1 c1 0b cc	c0 89 57 b1
7b 72 a2 6d	21 40 3a 3c	40 3a 3c 21	ba f3 8b 07	af 2f 51 ae
b4 34 31 12	8d 18 c7 c9	c7 c9 8d 18	f9 1f 6a c3	df 6b ad 7e
9a 9b 7f 94	b8 14 d2 22	22 b8 14 d2	1d 19 24 5c	39 67 06 e0
71 48 5c 7d	a3 52 4a ff	a3 52 4a ff	d4 11 fe 0f	2c a5 f2 43
15 dc da a9	59 86 57 d3	86 57 d3 59	3b 44 06 73	5c 73 22 8c
26 74 c7 bd	f7 92 c6 7a	c6 7a f7 92	cb ab 62 37	65 0e a3 dd
24 7e 22 9c	36 f3 93 de	de 36 f3 93	19 b7 07 ec	f1 96 90 50
f8 b4 0c 4c	41 8d fe 29	41 8d fe 29	2a 47 c4 48	58 fd 0f 4c
67 37 24 ff	85 9a 36 16	9a 36 16 85	83 e8 18 ba	9d ee cc 40
ae a5 c1 ea	e4 06 78 87	78 87 e4 06	84 18 27 73	36 38 9b 46
e8 21 97 bc	9b fd 88 65	65 9b fd 88	eb 10 0a f3	7d ed bd
72 ba cb 04	40 f4 1f f2	40 f4 1f f2	7b 05 42 a4	71 8c 83 cf
1e 06 d4 fa	72 6f 48 2d	6f 48 2d 72	1e d0 20 40	c7 29 e5 a5
b2 20 bc 65	37 b7 65 4d	65 4d 37 b7	94 83 18 52	4c 74 ef a9
00 6d e7 4e	63 3c 94 2f	2f 63 3c 94	94 c4 43 fb	c2 bf 52 ef
0a 89 c1 85	67 a7 78 97	67 a7 78 97	ec 1a c0 80	37 bb 38 f7
d9 f9 c5 e5	35 99 ae d9	99 ae 35 99	0c 50 53 f7	14 3d d8 7d
d8 f7 f7 fb	61 68 68 0f	68 0f 61 68	3b d7 00 ef	93 e7 08 a1
56 7b 11 14	b1 21 82 fa	fa b1 21 82	b7 22 72 e0	48 f7 a5 4a
db a1 f8 77	b9 32 41 f5	b9 32 41 f5	b1 1a 44 17	48 f3 cb 3c
18 6d 8b ba	ad 3c 3d f4	3c 3d f4 ad	3d 2f ec b6	26 1b c3 be
a8 30 08 4e	c2 04 30 2f	30 2f c2 04	0a 6b 2f 42	45 a2 aa 0b
ff d5 d7 aa	16 03 0e ac	ac 16 03 0e	9f 68 f3 b1	20 d7 72 38
f9 e9 85 2b	99 1e 73 f1	99 1e 73 f1	31 30 3a c2	fd 0e c5 f9
1b 34 2f 08	af 18 15 30	18 15 30 af	ac 71 8c c4	0d 16 d5 6b
4f c9 85 49	84 dd 97 3b	97 3b 84 dd	46 65 48 eb	42 e0 4a 41
bf bf 81 89	08 08 0c a7	a7 08 08 0c	6a 1c 31 62	cb 1c 6e 56
cc 3e ff 3b	4b b2 16 e2	4b b2 16 e2	4b 86 8a 36	b4 ba 7f 86
a1 67 59 af	32 85 cb 79	85 cb 79 32	b1 cb 27 5a	8e 98 4d 26
04 85 02 aa	f2 97 77 ac	77 ac f2 97	fb f2 f2 af	f3 13 59 18
a1 00 5f 34	32 63 cf 18	18 32 63 cf	cc 5a 5b cf	52 ae 20 76
ff 08 69 64				
0b 53 34 14				
84 bf ab 8f				
4a 7c 43 b9				

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## Avalanche Effect

in AES:

Change in Plaintext

Round	Number of Bits that Differ
	0123456789abcdef fedcba9876543210 0023456789abcdef fedcba9876543210
0	0e3634aece7225b6f26b174ed92b5588 0f3634aece7225b6f26b174ed92b5588
1	657470750fc7ff3fc0e8e8ca4dd02a9c c4a9ad090fc7ff3fc0e8e8ca4dd02a9c
2	5c7bb49a6b72349b05a2317ff46d1294 fe2ae569f7ee8bb8c1f5a2bb37ef53d5
3	7115262448dc747e5cdac7227da9bd9c ec093dfb7c45343d689017507d485e62
4	f867aee8b437a5210c24c1974cffeaabc 43efdb697244df808e8d9364ee0ae6f5
5	721eb200ba06206dcdb4bce704fa654e 7b28a5d5ed643287e006c099bb375302
6	0ad9d85689f9f77bc1c5f71185e5fb14 3bc2d8b6798d8ac4fe36a1d891ac181a
7	db18a8ffa16d30d5f88b08d777ba4eaa 9fb8b5452023c70280e5c4bb9e555a4b
8	f91b4fbfe934c9bf8f2f85812b084989 20264e1126b219aef7feb3f9b2d6de40
9	cca104a13e678500ff59025f3bafaa34 b56a0341b2290ba7dfdcbddcd8578205
10	ff0b844a0853bf7c6934ab4364148fb9 612b89398d0600cde116227ce72433f0



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**Avalanche Effect in AES: Change in Key**

Round		Number of Bits that Differ
	0123456789abcdef fedcba9876543210 0123456789abcdef fedcba9876543210	0
0	0e3634aece7225b6f26b174ed92b5588 0f3634aece7225b6f26b174ed92b5588	1
1	657470750fc7ff3fc0e8e8ca4dd02a9c c5a9ad090ec7ff3fc1e8e8ca4cd02a9c	22
2	5c7bb49a6b72349b05a2317ff46d1294 90905fa9563356d15f3760f3b8259985	58
3	7115262448dc747e5cdac7227da9bd9c 18aeb7aa794b3b66629448d575c7cebf	67
4	f867aee8b437a5210c24c1974cffeabc f81015f993c978a876ae017cb49e7eec	63
5	721eb200ba06206dcbd4bce704fa654e 5955c91b4e769f3cb4a94768e98d5267	81
6	0ad9d85689f9f77bc1c5f71185e5fb14 dc60a24d137662181e45b8d3726b2920	70
7	db18a8ffa16d30d5f88b08d777ba4eaa fe8343b8f88bef66cab7e977d005a03c	74
8	f91b4fbfe934c9bf8f2f85812b084989 da7dad581d1725c5b72fa0f9d9d1366a	67
9	cca104a13e678500ff59025f3bafaa34 0ccb4c66bbfd912f4b511d72996345e0	59
10	ff0b844a0853bf7c6934ab4364148fb9 fc8923ee501a7d207ab670686839996b	53

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## Equivalent Inverse Cipher

- AES decryption cipher is not identical to the encryption cipher
  - The sequence of transformations differs although the form of the key schedules is the same
  - Has the disadvantage that two separate software or firmware modules are needed for applications that require both encryption and decryption

Two separate changes are needed to bring the decryption structure in line with the encryption structure

The first two stages of the decryption round need to be interchanged

The second two stages of the decryption round need to be interchanged

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## Interchanging InvShiftRows and InvSubBytes



- InvShiftRows affects the sequence of bytes in State but does not alter byte contents and does not depend on byte contents to perform its transformation
- InvSubBytes affects the contents of bytes in State but does not alter byte sequence and does not depend on byte sequence to perform its transformation

Thus, these two operations commute and can be interchanged



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## Interchanging AddRoundKey and InvMixColumns



The transformations AddRoundKey and InvMixColumns do not alter the sequence of bytes in State

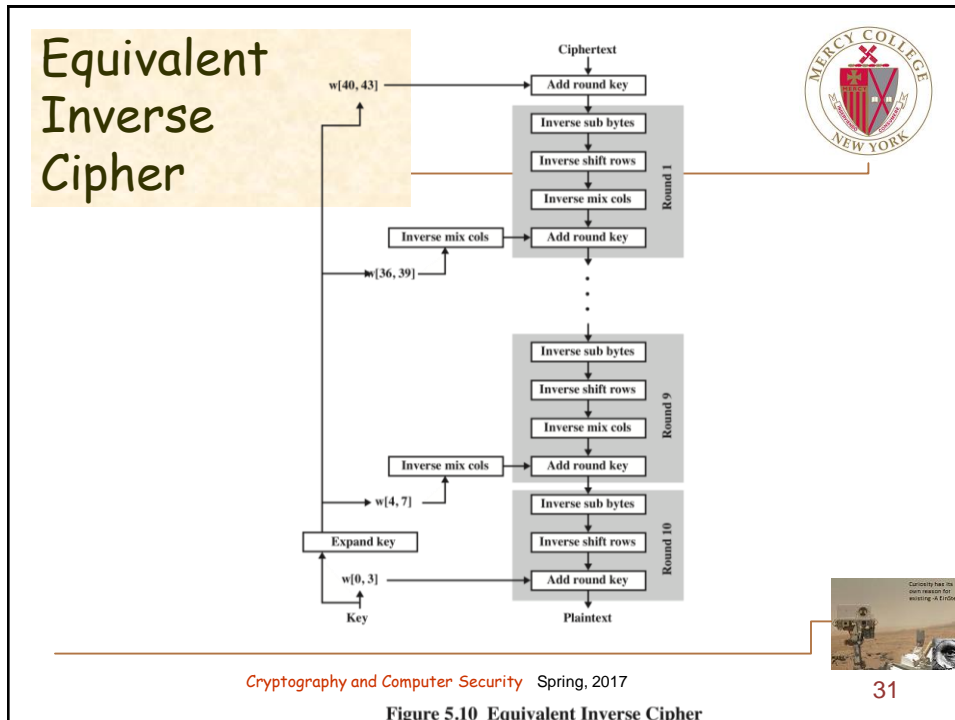
If we view the key as a sequence of words, then both AddRoundKey and InvMixColumns operate on State one column at a time

These two operations are linear with respect to the column input

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# Implementation Aspects

- AES can be implemented very efficiently on an 8-bit processor
- AddRoundKey is a bitwise XOR operation
- ShiftRows is a simple byte-shifting operation
- SubBytes operates at the byte level and only requires a table of 256 bytes
- MixColumns requires matrix multiplication in the field  $GF(2^8)$ , which means that all operations are carried out on bytes

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## Implementation Aspects



- Can efficiently implement on a 32-bit processor
  - Redefine steps to use 32-bit words
  - Can precompute 4 tables of 256-words
  - Then each column in each round can be computed using 4 table lookups + 4 XORs
  - At a cost of 4Kb to store tables
- Designers believe this very efficient implementation was a key factor in its selection as the AES cipher



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## Summary



- Finite field arithmetic
- AES structure
  - General structure
  - Detailed structure
- AES key expansion
  - Key expansion algorithm
  - Rationale
- AES transformation functions
  - Substitute bytes
  - ShiftRows
  - MixColumns
  - AddRoundKey
- AES implementation
  - Equivalent inverse cipher
  - Implementation aspects



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