

# Toward a higher realizability topos (Notes)

Steve Awodey

October 6, 2024

Here are some conventions:

- For a small category  $\mathbb{C}$  let  $\widehat{\mathbb{C}} = [\mathbb{C}^{\text{op}}, \mathbf{Set}]$  be the category of presheaves, and

$$y : \mathbb{C} \hookrightarrow \widehat{\mathbb{C}}$$

the Yoneda embedding.

•

## 1 PCAs

We review the basic definitions of partial combinatory algebras and applicative morphisms, which can be found in [?, ?]. For a partial function  $f : X \rightharpoonup Y$  the notation  $fx \downarrow$  means that  $f$  is defined for the argument  $x \in X$ . For a partial binary operation  $x \cdot y$ , the expression  $x \cdot y \doteq u \cdot v$  means that if one side is defined then so is the other and they are equal.

**Definition 1.** A *partial combinatory algebra (PCA)*  $(A, \cdot, K, S)$  is a set  $A$  together with a partial binary operation

$$\cdot : A \times A \rightharpoonup A$$

called *application*, and distinguished elements  $K, S \in A$ , such that for all  $x, y, z \in A$ ,

$$Kxy \doteq x, \quad Sxy \downarrow, \quad Sxyz \doteq (xz)(yz),$$

where we write  $xy$  instead of  $x \cdot y$ , and associate application to the left. We only consider non-trivial PCAs, satisfying  $K \neq S$ .

*Example 2.* The *first Kleene Algebra*  $\mathbb{N}$  is the set of natural numbers  $\mathbb{N}$  equipped with *Kleene application*  $n \cdot m = \{n\}m$  which applies the  $n$ -th partial recursive function  $\{n\}$  to  $m$ . The existence of  $\mathbf{K}$  and  $\mathbf{S}$  is a consequence of the s-m-n theorem [?].

## 2 Assemblies

**Definition 3.** An *assembly*  $(X, \alpha)$  over a PCA  $\mathbb{A}$  is a set  $X$  together with a map  $\alpha : X \rightarrow \mathcal{P}A$  such that  $\alpha(x) \neq \emptyset$  for all  $x \in X$ . One says that the elements  $a \in \alpha(x) \subseteq A$  *realize* the element  $x$ . Thus every element in the carrier  $X$  of the assembly is realized by (at least one)  $a \in A$ .

The assembly is called *partitioned* if the subsets  $\alpha(x) \subseteq A$  are all singletons, so that each  $x \in X$  has exactly one realizer. In that case, we may regard  $\alpha$  as a map  $\alpha : X \rightarrow A$ .

A *morphism of assemblies*  $f : (X, \alpha) \rightarrow (Y, \beta)$  is a function  $f : X \rightarrow Y$  for which there is an element  $\phi \in A$  such that, for all  $x \in X$  and all  $a \in \alpha(x)$ ,

$$\phi \cdot a \in \beta(f(x)).$$

One says that “ $\phi$  tracks  $f$ ”.

For *partitioned* assemblies, this condition reduces to

$$\phi \cdot \alpha(x) \doteq \beta(f(x)),$$

which means that (the left action of)  $\phi$  fits into a commutative diagram as follows.

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ \alpha \downarrow & & \downarrow \beta \\ A & \xrightarrow{\phi \cdot (-)} & A \end{array}$$

**Proposition 4.** *The category  $\mathbf{PAsm}$  of partitioned assemblies has all finite limits. The category  $\mathbf{Asm}$  of assemblies is regular and locally cartesian closed.*

**Proposition 5.** *For any partial combinatory algebra  $\mathbb{A}$ ,*

1. *the category of assemblies is the regular completion of the category of partitioned assemblies,*

$$\mathbf{Asm} = \mathbf{PAsm}_{\text{reg/lex}},$$

2. *the realizability topos  $\mathbf{RT}(\mathbb{A})$  is the exact completion of the lex category  $\mathbf{PAsm}(\mathbb{A})$ , which can therefore be constructed as the exact completion of the regular category  $\mathbf{Asm}(\mathbb{A})$ ,*

$$\mathbf{RT}(\mathbb{A}) = \mathbf{Asm}(\mathbb{A})_{\text{ex/reg}} = \mathbf{PAsm}_{\text{ex/lex}}(\mathbb{A}).$$

*The effective topos  $\mathcal{E}ff$  is the realizability topos of the first Kleene Algebra,*

$$\mathcal{E}ff = \mathbf{RT}(\mathbb{N}).$$