

On Hofmann-Streicher universes

Steve Awodey

October 24, 2023

to the memory of Erik Palmgren

Abstract

We take another look at the construction by Hofmann and Streicher of a universe $(U, \mathcal{E}l)$ for the interpretation of Martin-Löf type theory in a presheaf category $[\mathbb{C}^{\text{op}}, \mathbf{Set}]$. It turns out that $(U, \mathcal{E}l)$ can be described as the *nerve* of the classifier $\mathbf{Set}^{\text{op}} \rightarrow \mathbf{Set}^{\text{op}}$ for discrete fibrations in \mathbf{Cat} , where the nerve functor is right adjoint to the so-called “Grothendieck construction” taking a presheaf $P : \mathbb{C}^{\text{op}} \rightarrow \mathbf{Set}$ to its category of elements $\int_{\mathbb{C}} P$. We also consider change of base for such universes, as well as universes of structured families, such as fibrations.

Let $\widehat{\mathbb{C}} = [\mathbb{C}^{\text{op}}, \mathbf{Set}]$ be the category of presheaves on the small category \mathbb{C} .

1. The Hofmann-Streicher universe

In [HS97] the authors define a (type-theoretic) *universe* $(U, \mathcal{E}l)$ with $U \in \widehat{\mathbb{C}}$ and $\mathcal{E}l \in \widehat{\int_{\mathbb{C}} U}$ as follows. For $I \in \mathbb{C}$, set

$$U(I) = \mathbf{Cat}(\mathbb{C}/I^{\text{op}}, \mathbf{Set}) \quad (1)$$

$$\mathcal{E}l(I, A) = A(id_I) \quad (2)$$

with an evident associated action on morphisms. A few comments are required:

1. In (1), we have taken the underlying set of objects of the category $\widehat{\mathbb{C}/I} = [\mathbb{C}/I^{\text{op}}, \mathbf{Set}]$ (in contrast to the specification in [HS97]).
2. In (2), and throughout, the authors steadfastly adopt a “categories with families” point of view in describing a morphism

$$E \longrightarrow U \quad (3)$$

in $\widehat{\mathbb{C}}$ equivalently as an object in

$$\widehat{\mathbb{C}}/U \simeq \widehat{\int_{\mathbb{C}} U}, \quad (4)$$

that is, as a presheaf on the *category of elements* $\int_{\mathbb{C}} U$, where:

$$E(I) = \coprod_{A \in U(I)} \mathcal{E}l(I, A).$$

Thus the argument $(I, A) \in \int_{\mathbb{C}} U$ in (2) consists of an object $I \in \mathbb{C}$ and an element $A \in U(I)$.

3. In order to account for size issues, the authors assume a Grothendieck universe \mathcal{U} in **Set**, the elements of which are called *small*. The category \mathbb{C} is then assumed to be small, as are the values of the presheaves, unless otherwise stated.

The presheaf U , which is not small, is regarded as the Grothendieck universe \mathcal{U} “lifted” from **Set** to $[\mathbb{C}^{\text{op}}, \mathbf{Set}]$. We will analyse the construction of $(U, \mathcal{E}l)$ from a slightly different perspective in order to arrive at its basic property as a classifier for small families in $\widehat{\mathbb{C}}$.

2. An unused adjunction

For any presheaf X on \mathbb{C} , recall that the category of elements is the comma category,

$$\int_{\mathbb{C}} X = \mathbf{y}_{\mathbb{C}}/X,$$

where $\mathbf{y}_{\mathbb{C}} : \mathbb{C} \rightarrow [\mathbb{C}^{\text{op}}, \mathbf{Set}]$ is the Yoneda embedding, which we may suppress and write simply \mathbb{C}/X . While the category of elements $\int_{\mathbb{C}} X$ is used in the specification of the Hofmann-Streicher universe $(U, \mathcal{E}l)$ at the point (4), the authors seem to have missed a trick which would have simplified things:¹

Proposition 1 ([Gro83], §28). *The category of elements functor $\int_{\mathbb{C}} : \widehat{\mathbb{C}} \rightarrow \mathbf{Cat}$ has a right adjoint, which we denote*

$$\nu_{\mathbb{C}} : \mathbf{Cat} \rightarrow \widehat{\mathbb{C}}.$$

For a small category \mathbb{A} , we call the presheaf $\nu_{\mathbb{C}}(\mathbb{A})$ the \mathbb{C} -nerve of \mathbb{A} .

¹Since the appearance of [HS97], other researchers, including apparently C. Sattler, have also noted the following description of the base object U of the universe $(U, \mathcal{E}l)$.

Proof. As suggested by the name, the adjunction $\int_{\mathbb{C}} \dashv \nu_{\mathbb{C}}$ can be seen as the familiar “realization \dashv nerve” construction with respect to the covariant functor $\mathbb{C}/- : \mathbb{C} \rightarrow \mathbf{Cat}$, as indicated below.

$$\begin{array}{ccc}
 \widehat{\mathbb{C}} & \begin{array}{c} \xleftarrow{\nu_{\mathbb{C}}} \\ \xrightarrow{f_{\mathbb{C}}} \end{array} & \mathbf{Cat} \\
 \uparrow y & \nearrow \mathbb{C}/- & \\
 \mathbb{C} & &
 \end{array} \tag{5}$$

In detail, for $\mathbb{A} \in \mathbf{Cat}$ and $c \in \mathbb{C}$, let $\nu_{\mathbb{C}}(\mathbb{A})(c)$ be the Hom-set of functors,

$$\nu_{\mathbb{C}}(\mathbb{A})(c) = \mathbf{Cat}(\mathbb{C}/_c, \mathbb{A}),$$

with contravariant action on $h : d \rightarrow c$ given by pre-composing a functor $P : \mathbb{C}/_c \rightarrow \mathbb{A}$ with the post-composition functor

$$\mathbb{C}/_h : \mathbb{C}/_d \longrightarrow \mathbb{C}/_c.$$

For the adjunction, observe that the slice category $\mathbb{C}/_c$ is the category of elements of the representable functor yc ,

$$\int_{\mathbb{C}} yc \cong \mathbb{C}/_c.$$

Thus for representables yc , we have the required natural isomorphism

$$\widehat{\mathbb{C}}(yc, \nu_{\mathbb{C}}(\mathbb{A})) \cong \nu_{\mathbb{C}}(\mathbb{A})(c) = \mathbf{Cat}(\mathbb{C}/_c, \mathbb{A}) \cong \mathbf{Cat}(\int_{\mathbb{C}} yc, \mathbb{A}).$$

For arbitrary presheaves X , one uses the presentation of X as a colimit of representables over the index category $\int_{\mathbb{C}} X$, and the easy to prove fact that $\int_{\mathbb{C}}$ itself preserves colimits. Indeed, for any category \mathbb{D} , we have an isomorphism in \mathbf{Cat} ,

$$\varinjlim_{d \in \mathbb{D}} \mathbb{D}/_d \cong \mathbb{D}.$$

□

When \mathbb{C} is fixed, as here, we may omit the subscript from the notation $y_{\mathbb{C}}$ and $\int_{\mathbb{C}}$ and $\nu_{\mathbb{C}}$. The unit and counit maps of the adjunction $\int \dashv \nu$,

$$\begin{aligned}
 \eta : X &\longrightarrow \nu \int X, \\
 \epsilon : \int \nu \mathbb{A} &\longrightarrow \mathbb{A},
 \end{aligned}$$

are as follows. At $c \in \mathbb{C}$, for $x : yc \rightarrow X$, the functor $(\eta_X)_c(x) : \mathbb{C}/_c \rightarrow \mathbb{C}/_X$ is just composition with x ,

$$(\eta_X)_c(x) = \mathbb{C}/_x : \mathbb{C}/_c \longrightarrow \mathbb{C}/_X. \quad (6)$$

For $\mathbb{A} \in \mathbf{Cat}$, the functor $\epsilon : \int \nu \mathbb{A} \rightarrow \mathbb{A}$ takes a pair $(c \in \mathbb{C}, f : \mathbb{C}/_c \rightarrow \mathbb{A})$ to the object $f(1_c) \in \mathbb{A}$,

$$\epsilon(c, f) = f(1_c).$$

Lemma 2. *For any $f : Y \rightarrow X$, the naturality square below is a pullback.*

$$\begin{array}{ccc} Y & \xrightarrow{\eta_Y} & \nu \int Y \\ f \downarrow & & \downarrow \nu f \\ X & \xrightarrow{\eta_X} & \nu \int X. \end{array} \quad (7)$$

Proof. It suffices to prove this for the case $f : X \rightarrow 1$. Thus consider the square

$$\begin{array}{ccc} X & \xrightarrow{\eta_X} & \nu \int X \\ \downarrow & & \downarrow \\ 1 & \xrightarrow{\eta_1} & \nu \int 1. \end{array} \quad (8)$$

Evaluating at $c \in \mathbb{C}$ and applying (6) then gives the following square in \mathbf{Set} .

$$\begin{array}{ccc} Xc & \xrightarrow{\mathbb{C}/_-} & \mathbf{Cat}(\mathbb{C}/_c, \mathbb{C}/_X) \\ \downarrow & & \downarrow \\ 1c & \xrightarrow{\mathbb{C}/_-} & \mathbf{Cat}(\mathbb{C}/_c, \mathbb{C}/_1) \end{array} \quad (9)$$

The image of $* \in 1c$ along the bottom is the forgetful functor $U_c : \mathbb{C}/_c \rightarrow \mathbb{C}$, and its fiber under the map on the right is therefore the set of functors $F : \mathbb{C}/_c \rightarrow \mathbb{C}/_X$ such that $U_X \circ F = U_c$, where $U_X : \mathbb{C}/_X \rightarrow \mathbb{C}$ is also a forgetful functor. But any such F is easily seen to be uniquely of the form $\mathbb{C}/_x$ for $x = F(1_c) : yc \rightarrow X$. \square

Remark 3. The category of elements of the terminal presheaf 1 is \mathbb{C} itself, $\int 1 \cong \mathbb{C}$. So for every presheaf X there is a canonical projection $\int X \rightarrow \mathbb{C}$,

and the functor $\int_{\mathbb{C}} : \widehat{\mathbb{C}} \rightarrow \mathbf{Cat}$ thus factors through the slice category $\mathbf{Cat}/_{\mathbb{C}}$.

$$\begin{array}{ccc}
 \widehat{\mathbb{C}} & \xrightarrow{(f_{\mathbb{C}})/1} & \mathbf{Cat}/_{\mathbb{C}} \\
 & \searrow f_{\mathbb{C}} & \downarrow \mathbb{C}_! \\
 & & \mathbb{C}
 \end{array} \tag{10}$$

The adjunction $\int_{\mathbb{C}} \dashv \nu_{\mathbb{C}} : \mathbf{Cat} \rightarrow \widehat{\mathbb{C}}$ factors as well, but it is the unfactored adjunction that is more useful for the present purpose.

3. Classifying families

For every presheaf X the canonical projection $\int X \rightarrow \mathbb{C}$ of Remark 3 is easily seen to be a discrete fibration. It follows that for any map $Y \rightarrow X$ of presheaves, the associated map $\int Y \rightarrow \int X$ is also a discrete fibration. Ignoring size issues for the moment, recall that discrete fibrations in \mathbf{Cat} are classified by the forgetful functor $\mathbf{Set}^{\mathrm{op}} \rightarrow \mathbf{Set}^{\mathrm{op}}$ from (the opposites of) the category of pointed sets to that of sets (cf. [Web07]). For every presheaf $X \in \widehat{\mathbb{C}}$, we therefore have a pullback diagram in \mathbf{Cat} ,

$$\begin{array}{ccc}
 \int X & \longrightarrow & \mathbf{Set}^{\mathrm{op}} \\
 \downarrow & \lrcorner & \downarrow \\
 \mathbb{C} & \xrightarrow{X} & \mathbf{Set}^{\mathrm{op}}.
 \end{array} \tag{11}$$

Using $\int 1 \cong \mathbb{C}$ and transposing by the adjunction $\int \dashv \nu$ then gives a commutative square in $\widehat{\mathbb{C}}$,

$$\begin{array}{ccc}
 X & \longrightarrow & \nu \mathbf{Set}^{\mathrm{op}} \\
 \downarrow & & \downarrow \\
 1 & \xrightarrow{\bar{X}} & \nu \mathbf{Set}^{\mathrm{op}}.
 \end{array} \tag{12}$$

Lemma 4. *The square (12) is a pullback in $\widehat{\mathbb{C}}$. More generally, for any map $Y \rightarrow X$ in $\widehat{\mathbb{C}}$, there is a pullback square*

$$\begin{array}{ccc}
 Y & \longrightarrow & \nu \mathbf{Set}^{\mathrm{op}} \\
 \downarrow & \lrcorner & \downarrow \\
 X & \longrightarrow & \nu \mathbf{Set}^{\mathrm{op}}.
 \end{array} \tag{13}$$

Proof. Apply the right adjoint ν to the pullback square (11) and paste the naturality square (7) from Lemma 2 on the left, to obtain the transposed square (13) as a pasting of two pullbacks. \square

Let us write $\dot{\mathcal{V}} \rightarrow \mathcal{V}$ for the vertical map on the right in (13); that is, let

$$\begin{aligned}\dot{\mathcal{V}} &= \nu \dot{\mathbf{Set}}^{\text{op}} \\ \mathcal{V} &= \nu \mathbf{Set}^{\text{op}}.\end{aligned}\tag{14}$$

We can then summarize our results so far as follows.

Proposition 5. *The nerve $\dot{\mathcal{V}} \rightarrow \mathcal{V}$ of the classifier for discrete fibrations $\dot{\mathbf{Set}}^{\text{op}} \rightarrow \mathbf{Set}^{\text{op}}$, as defined in (14), classifies natural transformations $Y \rightarrow X$ in $\widehat{\mathbb{C}}$, in the sense that there is always a pullback square,*

$$\begin{array}{ccc} Y & \longrightarrow & \dot{\mathcal{V}} \\ \downarrow \lrcorner & & \downarrow \\ X & \xrightarrow{\tilde{Y}} & \mathcal{V}.\end{array}\tag{15}$$

The classifying map $\tilde{Y} : X \rightarrow \mathcal{V}$ is determined by the adjunction $\int \dashv \nu$ as the transpose of the classifying map of the discrete fibration $\int Y \rightarrow \int X$.

The classifying map $\tilde{Y} : X \rightarrow \mathcal{V}$ of a given a natural transformation $Y \rightarrow X$ is, of course, not in general unique. Nonetheless, we can make use of the construction of $\dot{\mathcal{V}} \rightarrow \mathcal{V}$ as the nerve of the discrete fibration classifier $\dot{\mathbf{Set}}^{\text{op}} \rightarrow \mathbf{Set}^{\text{op}}$, for which classifying functors $\mathbb{C} \rightarrow \mathbf{Set}^{\text{op}}$ are unique up to natural isomorphism, to infer the following proposition, which plays a role in [Shu15, GSS22] and elsewhere.

Proposition 6 (Realignment). *Given a monomorphism $c : C \rightarrowtail X$ and a family $Y \rightarrow X$, let $y_c : C \rightarrow \mathcal{V}$ classify the pullback $c^*Y \rightarrow C$. Then there is a classifying map $y : X \rightarrow \mathcal{V}$ for $Y \rightarrow X$ with $y \circ c = y_c$.*

$$\begin{array}{ccc} c^*Y & \xrightarrow{\quad} & \dot{\mathcal{V}} \\ \downarrow & \searrow & \downarrow \\ & Y & \\ \downarrow & & \downarrow \\ C & \xrightarrow{y_c} & \mathcal{V} \\ \swarrow c & & \searrow y \\ & X & \end{array}\tag{16}$$

Proof. Transposing the realignment problem (16) for presheaves across the adjunction $\int \dashv \nu$ results in the following realignment problem for discrete fibrations.

$$\begin{array}{ccc}
 \int c^* Y & \xrightarrow{\quad} & \mathbf{Set}^{\text{op}} \\
 \downarrow & \searrow & \uparrow \text{dotted} \\
 & \int Y & \\
 \downarrow & & \downarrow \\
 \int C & \xrightarrow{\tilde{y}_c} & \mathbf{Set}^{\text{op}} \\
 \downarrow \text{hook} & & \uparrow \text{hook} \\
 \int c & \searrow & \uparrow \text{dotted} \\
 & \int X & \tilde{y}
 \end{array} \tag{17}$$

The category of elements functor \int is easily seen to preserve pullbacks, hence monos; thus let us consider the general case of a functor $C : \mathbb{C} \rightarrow \mathbb{D}$ which is monic in \mathbf{Cat} , a pullback of discrete fibrations as on the left below, and a presheaf $E : \mathbb{C} \rightarrow \mathbf{Set}^{\text{op}}$ with $\int E \cong \mathbb{E}$ over \mathbb{C} .

$$\begin{array}{ccc}
 \mathbb{E} & \xrightarrow{\quad} & \mathbf{Set}^{\text{op}} \\
 \downarrow & \searrow & \uparrow \text{dotted} \\
 & \mathbb{F} & \\
 \downarrow & & \downarrow \\
 \mathbb{C} & \xrightarrow{E} & \mathbf{Set}^{\text{op}} \\
 \downarrow \text{hook} & & \uparrow \text{hook} \\
 C & \searrow & \uparrow \text{dotted} \\
 & \mathbb{D} & F
 \end{array} \tag{18}$$

We seek $F : \mathbb{D} \rightarrow \mathbf{Set}^{\text{op}}$ with $\int F \cong \mathbb{F}$ over \mathbb{D} and $F \circ C = E$. Let $F_0 : \mathbb{D} \rightarrow \mathbf{Set}^{\text{op}}$ with $\int F_0 \cong \mathbb{F}$ over \mathbb{D} . Since $F_0 \circ C$ and E both classify \mathbb{E} , there is a natural iso $e : F_0 \circ C \cong E$. Consider the following diagram

$$\begin{array}{ccccc}
 \mathbb{C} & \xrightarrow{e} & (\mathbf{Set}^{\cong})^{\text{op}} & \xrightarrow{p_2} & \mathbf{Set}^{\text{op}} \\
 \downarrow C & & \downarrow p_1 & & \\
 \mathbb{D} & \xrightarrow{F_0} & \mathbf{Set}^{\text{op}} & &
 \end{array}
 \begin{array}{c}
 \text{dotted arrow } f \text{ from } \mathbb{C} \text{ to } \mathbf{Set}^{\text{op}} \\
 \text{dotted arrow } f \text{ from } \mathbb{D} \text{ to } (\mathbf{Set}^{\cong})^{\text{op}}
 \end{array} \tag{19}$$

where \mathbf{Set}^{\cong} is the category of isos in \mathbf{Set} , with p_1, p_2 the (opposites of the) domain and codomain projections. There is a well-known weak factorization

system on \mathbf{Cat} (part of the “canonical model structure”) with injective-on-objects functors on the left and isofibration equivalences on the right. Thus there is a diagonal filler f as indicated. The functor $F := p_2 f : \mathbb{D} \rightarrow \mathbf{Set}^{\text{op}}$ is then the one we seek. \square

Of course, as defined in (14), the classifier $\dot{\mathcal{V}} \rightarrow \mathcal{V}$ cannot be a map in $\widehat{\mathbb{C}}$, for reasons of size; we now address this.

4. Small maps

For any cardinal number α , call a set α -small if its cardinality is strictly less than α . Let $\mathbf{Set}_\alpha \hookrightarrow \mathbf{Set}$ be the full subcategory of α -small sets. Call a map $f : Y \rightarrow X$ of presheaves α -small if all of the fibers $f_c^{-1}\{x\} \subseteq Yc$ are α -small sets (for all $c \in \mathbb{C}$ and $x \in Xc$). The latter condition is equivalent to saying that for any element $x : yc \rightarrow X$, the set of lifts $y : yc \rightarrow Y$ of x across f is α -small.

$$\begin{array}{ccc} & Y & \\ & \downarrow f & \\ y & \nearrow & \\ yc & \xrightarrow{x} & X \end{array} \quad (20)$$

Finally, call a presheaf $X : \mathbb{C}^{\text{op}} \rightarrow \mathbf{Set}$ α -small if the map $X \rightarrow 1$ is α -small. This implies that all of the values Xc are α -small sets, and so the functor $X : \mathbb{C}^{\text{op}} \rightarrow \mathbf{Set}$ factors through $\mathbf{Set}_\alpha \hookrightarrow \mathbf{Set}$.

Now let us restrict the specification (14) of $\dot{\mathcal{V}} \rightarrow \mathcal{V}$ to the α -small sets:

$$\begin{aligned} \dot{\mathcal{V}}_\alpha &= \nu \mathbf{Set}_\alpha^{\text{op}} \\ \mathcal{V}_\alpha &= \nu \mathbf{Set}_\alpha^{\text{op}}. \end{aligned} \quad (21)$$

Then the evident forgetful map $\dot{\mathcal{V}}_\alpha \rightarrow \mathcal{V}_\alpha$ is a map in the category $\widehat{\mathbb{C}}$ of presheaves, and it is in fact α -small, as the reader can easily check. Moreover, it has the following basic property, which is just a restriction of the basic property of $\dot{\mathcal{V}} \rightarrow \mathcal{V}$ stated in Proposition 5.

Proposition 7. *The map $\dot{\mathcal{V}}_\alpha \rightarrow \mathcal{V}_\alpha$ classifies α -small maps $f : Y \rightarrow X$ in $\widehat{\mathbb{C}}$, in the sense that there is always a pullback square,*

$$\begin{array}{ccc} Y & \longrightarrow & \dot{\mathcal{V}}_\alpha \\ \downarrow & \lrcorner & \downarrow \\ X & \xrightarrow{\hat{Y}} & \mathcal{V}_\alpha. \end{array} \quad (22)$$

The classifying map $\tilde{Y} : X \rightarrow \mathcal{V}_\alpha$ is determined by the adjunction $\int \dashv \nu$ as (the factorization of) the transpose of the classifying map of the discrete fibration $\int X \rightarrow \int Y$.

Proof. If $Y \rightarrow X$ is α -small, its classifying map $\tilde{Y} : X \rightarrow \mathcal{V}$ factors through $\mathcal{V}_\alpha \hookrightarrow \mathcal{V}$, as indicated below,

$$\begin{array}{ccccc}
 Y & \xrightarrow{\quad} & \nu \mathbf{Set}_\alpha^{\text{op}} & \hookrightarrow & \nu \mathbf{Set}^{\text{op}} \\
 \downarrow & & \downarrow & & \downarrow \\
 X & \xrightarrow{\quad} & \nu \mathbf{Set}_\alpha^{\text{op}} & \hookrightarrow & \nu \mathbf{Set}^{\text{op}}, \\
 & \searrow \tilde{Y} & & &
 \end{array} \tag{23}$$

in virtue of the following adjoint transposition,

$$\begin{array}{ccccc}
 \int Y & \xrightarrow{\quad} & \mathbf{Set}_\alpha^{\text{op}} & \hookrightarrow & \mathbf{Set}^{\text{op}} \\
 \downarrow & & \downarrow & & \downarrow \\
 \int X & \xrightarrow{\quad} & \mathbf{Set}_\alpha^{\text{op}} & \hookrightarrow & \mathbf{Set}^{\text{op}}. \\
 & \searrow & & &
 \end{array} \tag{24}$$

Note that the square on the right is evidently a pullback, and the one on the left therefore is, too, because the outer rectangle is the classifying pullback of the discrete fibration $\int Y \rightarrow \int X$, as stated. Thus the left square in (23) is a pullback. \square

5. Examples

1. Let $\alpha = \kappa$ a strongly inaccessible cardinal, so that $\mathbf{ob}(\mathbf{Set}_\kappa)$ is a Grothendieck universe. Then the Hofmann-Streicher universe of (3) is recovered in the present setting as the κ -small map classifier

$$E \cong \dot{\mathcal{V}}_\kappa \longrightarrow \mathcal{V}_\kappa \cong U$$

in the sense of Proposition 7. Indeed, for $c \in \mathbb{C}$, we have

$$\mathcal{V}_{\kappa c} = \nu(\mathbf{Set}_\kappa^{\text{op}})(c) = \mathbf{Cat}(\mathbb{C}/_c, \mathbf{Set}_\kappa^{\text{op}}) = \mathbf{ob}(\widehat{\mathbb{C}/_c}) = Uc. \tag{25}$$

For $\dot{\mathcal{V}}_\kappa$ we then have,

$$\begin{aligned}\dot{\mathcal{V}}_\kappa c &= \nu(\dot{\mathbf{Set}}_\kappa^{\text{op}})(c) = \mathbf{Cat}(\mathbb{C}/_c, \dot{\mathbf{Set}}_\kappa^{\text{op}}) \\ &\cong \coprod_{A \in \mathcal{V}_\kappa c} \mathbf{Cat}_{\mathbb{C}/_c}(\mathbb{C}/_c, A^* \mathbf{Set}_\kappa^{\text{op}})\end{aligned}\quad (26)$$

where the A -summand in (26) is defined by taking sections of the pullback indicated below.

$$\begin{array}{ccc} A^* \mathbf{Set}_\kappa^{\text{op}} & \longrightarrow & \dot{\mathbf{Set}}_\kappa^{\text{op}} \\ \downarrow \lrcorner & \nearrow & \downarrow \\ \mathbb{C}/_c & \xrightarrow{A} & \mathbf{Set}_\kappa^{\text{op}} \end{array}\quad (27)$$

But $A^* \mathbf{Set}_\kappa^{\text{op}} \cong \int_{\mathbb{C}/_c} A$ over $\mathbb{C}/_c$, and sections of this discrete fibration in \mathbf{Cat} correspond uniquely to natural maps $1 \rightarrow A$ in $\widehat{\mathbb{C}/_c}$. Since 1 is representable in $\widehat{\mathbb{C}/_c}$ we can continue (26) by

$$\begin{aligned}\dot{\mathcal{V}}_\kappa c &\cong \coprod_{A \in \mathcal{V}_\kappa c} \mathbf{Cat}_{\mathbb{C}/_c}(\mathbb{C}/_c, A^* \mathbf{Set}_\kappa^{\text{op}}) \\ &\cong \coprod_{A \in \mathcal{V}_\kappa c} \widehat{\mathbb{C}/_c}(1, A) \\ &\cong \coprod_{A \in \mathcal{V}_\kappa c} A(1_c) \\ &= \coprod_{A \in \mathcal{V}_\kappa c} \mathcal{E}l(\langle c, A \rangle) \\ &= Ec.\end{aligned}$$

2. By functoriality of the nerve $\nu : \mathbf{Cat} \rightarrow \widehat{\mathbb{C}}$, a sequence of Grothendieck universes

$$\mathcal{U} \subseteq \mathcal{U}' \subseteq \dots$$

in \mathbf{Set} gives rise to a (cumulative) sequence of type-theoretic universes

$$\mathcal{V} \multimap \mathcal{V}' \multimap \dots$$

in $\widehat{\mathbb{C}}$. More precisely, there is a sequence of cartesian squares,

$$\begin{array}{ccccc} \dot{\mathcal{V}} & \multimap & \dot{\mathcal{V}}' & \multimap & \dots \\ \downarrow \lrcorner & & \downarrow \lrcorner & & \\ \mathcal{V} & \multimap & \mathcal{V}' & \multimap & \dots, \end{array}\quad (28)$$

in the image of $\nu : \mathbf{Cat} \rightarrow \widehat{\mathbb{C}}$, classifying small maps in $\widehat{\mathbb{C}}$ of increasing size, in the sense of Proposition 7.

3. Let $\alpha = 2$ so that $1 \rightarrow 2$ is the subobject classifier of \mathbf{Set} , and

$$\mathbb{1} = \dot{\mathbf{Set}}_2^{\text{op}} \longrightarrow \mathbf{Set}_2^{\text{op}} = \mathbb{2}$$

is then a classifier in \mathbf{Cat} for *sieves*, i.e. full subcategories $\mathbb{S} \hookrightarrow \mathbb{A}$ closed under the domains of arrows $a \rightarrow s$ for $s \in \mathbb{S}$ (equivalently, discrete fibrations whose fibers are truth-values). The nerve $\dot{\mathcal{V}}_2 \rightarrow \mathcal{V}_2$ is then the usual subobject classifier $1 \rightarrow \Omega$ of the presheaf topos $\widehat{\mathbb{C}}$,

$$1 = \nu \mathbb{1} = \dot{\mathcal{V}}_2 \longrightarrow \mathcal{V}_2 = \nu \mathbb{2} = \Omega.$$

4. Let $i : \mathbb{2} \hookrightarrow \mathbf{Set}_\kappa$ and $p : \mathbf{Set}_\kappa \rightarrow \mathbb{2}$ be the embedding-retraction pair with $i : \mathbb{2} \hookrightarrow \mathbf{Set}_\kappa$ the inclusion of the full subcategory on the sets $\{0, 1\}$ and $p : \mathbf{Set}_\kappa \rightarrow \mathbb{2}$ the retraction that takes $0 = \emptyset$ to itself, and everything else (i.e. the non-empty sets) to $1 = \{\emptyset\}$. There is a retraction (of arrows) in \mathbf{Cat} ,

$$\begin{array}{ccccc} \mathbb{1} & \hookrightarrow & \dot{\mathbf{Set}}_\kappa & \longrightarrow & \mathbb{1} \\ \downarrow & \lrcorner & \downarrow & & \downarrow \\ \mathbb{2} & \xrightarrow{i} & \mathbf{Set}_\kappa & \xrightarrow{p} \twoheadrightarrow & \mathbb{2} \end{array} \quad (29)$$

where the left square is a pullback.

By the functoriality of $(-)^{\text{op}}$ and $\nu : \mathbf{Cat} \rightarrow \widehat{\mathbb{C}}$ we then have a retract diagram in $\widehat{\mathbb{C}}$, again with a pullback on the left,

$$\begin{array}{ccccc} 1 & \hookrightarrow & \dot{\mathcal{V}}_\kappa & \longrightarrow & 1 \\ \downarrow & \lrcorner & \downarrow & & \downarrow \\ \Omega & \xrightarrow{\{-\}} & \mathcal{V}_\kappa & \xrightarrow{[-]} \twoheadrightarrow & \Omega \end{array} \quad (30)$$

where for any $\phi : X \rightarrow \Omega$ the subobject $\{\phi\} \rightarrowtail X$ is classified as a small map by the composite $\{\phi\} : X \rightarrow \mathcal{V}_\kappa$, and for any small map $A \rightarrow X$, the image $[A] \rightarrowtail X$ is classified as a subobject by the composite $[\alpha] : X \rightarrow \mathcal{V}_\kappa \rightarrow \Omega$, where $\alpha : X \rightarrow \mathcal{V}_\kappa$ classifies $A \rightarrow X$. The idempotent composite

$$\|-\| = \{[-]\} : \mathcal{V}_\kappa \longrightarrow \mathcal{V}_\kappa$$

is the *propositional truncation modality* in the natural model of type theory given by $\dot{\mathcal{V}}_\kappa \rightarrow \mathcal{V}_\kappa$ (see [AGH21]).

6. Change of base

Let $F : \mathbb{C} \rightarrow \mathbb{D}$ in \mathbf{Cat} and consider the base change:

$$\begin{array}{ccc}
 \mathbb{C} & \xrightarrow{y_{\mathbb{C}}} & \mathbf{Set}^{\mathbb{C}^{\text{op}}} \\
 F \downarrow & & \downarrow F_! \uparrow F^* \downarrow F_* \\
 \mathbb{D} & \xrightarrow{y_{\mathbb{D}}} & \mathbf{Set}^{\mathbb{D}^{\text{op}}}
 \end{array} \quad (31)$$

How is the universal (small) map $\dot{\mathcal{V}}_{\mathbb{C}} \rightarrow \mathcal{V}_{\mathbb{C}}$ in $\widehat{\mathbb{C}}$ related to $\dot{\mathcal{V}}_{\mathbb{D}} \rightarrow \mathcal{V}_{\mathbb{D}}$ in $\widehat{\mathbb{D}}$?

For each $c \in \mathbb{C}$ we have the sliced functor $F/c : \mathbb{C}/c \rightarrow \mathbb{D}/F_c$ which is the component at $c \in \mathbb{C}$ of a natural transformation $F/ : \mathbb{C}/ \rightarrow \mathbb{D}/ \circ F$ as functors $\mathbb{C} \rightarrow \mathbf{Cat}$.

$$\begin{array}{ccc}
 \mathbb{C} & \xrightarrow{\mathbb{C}/} & \mathbf{Cat} \\
 F \downarrow & \Downarrow F/ & \\
 \mathbb{D} & \xrightarrow{\mathbb{D}/} & \mathbf{Cat}
 \end{array} \quad (32)$$

Indeed, for each $h : c \rightarrow c'$ there is a commutative square:

$$\begin{array}{ccc}
 \mathbb{C}/c & \xrightarrow{h \circ} & \mathbb{C}/c' \\
 F/c \downarrow & & \downarrow F/c' \\
 \mathbb{D}/F_c & \xrightarrow{(Fh) \circ} & \mathbb{D}/F_{c'}
 \end{array} \quad (33)$$

The 2-cell $F/ : \mathbb{C}/ \rightarrow \mathbb{D}/ \circ F$ in (32) (left Kan) extends to one,

$$\begin{array}{ccc}
 \widehat{\mathbb{C}} & \xrightarrow{\int_{\mathbb{C}}} & \mathbf{Cat}, \\
 F_! \downarrow & \Downarrow \int_F & \\
 \widehat{\mathbb{D}} & \xrightarrow{\int_{\mathbb{D}}} &
 \end{array} \quad (34)$$

which has the following 2-categorical mate.

$$\begin{array}{ccc}
& & \nu_F : F^* \circ \nu_{\mathbb{D}} \Longrightarrow \nu_{\mathbb{C}} \\
& & \\
\widehat{\mathbb{C}} & \xleftarrow{\nu_{\mathbb{C}}} & \text{Cat} \\
\uparrow F^* & \uparrow \nu_F & \\
\widehat{\mathbb{D}} & \xleftarrow{\nu_{\mathbb{D}}} &
\end{array} \tag{35}$$

Evaluating at the (small) discrete fibration classifier $\mathbf{set}^{\text{op}} \rightarrow \mathbf{set}^{\text{op}}$, we obtain a commutative square in $\widehat{\mathbb{C}}$ of the form

$$\begin{array}{ccc}
F^* \dot{\nu}_{\mathbb{D}} & \xrightarrow{\dot{\gamma}} & \dot{\nu}_{\mathbb{C}} \\
\downarrow & & \downarrow \\
F^* \nu_{\mathbb{D}} & \xrightarrow{\gamma} & \nu_{\mathbb{C}}
\end{array} \tag{36}$$

where we have written

$$\begin{aligned}
\dot{\gamma} &= (\nu_F)_{\mathbf{set}^{\text{op}}} \\
\gamma &= (\nu_F)_{\mathbf{set}^{\text{op}}}
\end{aligned}$$

for the components of ν_F .

Proposition 8 (Base change for universes). *For any functor $F : \mathbb{C} \rightarrow \mathbb{D}$, the comparison square (36) for universes is a pullback in $\widehat{\mathbb{C}}$.*

For the proof, we require the following.

Lemma 9. *For any functor $F : \mathbb{C} \rightarrow \mathbb{D}$ and any $c \in \mathbb{C}$, the sliced functor $F/c : \mathbb{C}/c \rightarrow \mathbb{D}/F_c$ is final.*

Proof. Recall that a functor $F : \mathbb{C} \rightarrow \mathbb{D}$ is by definition *final* if for all $G : \mathbb{D} \rightarrow \mathbf{Set}$, the canonical map $\varinjlim G \circ F \rightarrow \varinjlim G$ is an iso, and this holds just if, for all $d \in \mathbb{D}$, the comma category d/F is connected. Moreover, recall from [SW73] that the *comprehensive* factorization system $(\mathbf{Fin}, \mathbf{dFib})$ on \mathbf{Cat} has the final functors as left orthogonal to the discrete fibrations. But now note that the slice categories \mathbb{C}/c and \mathbb{D}/F_c have terminal objects, preserved by $F/c : \mathbb{C}/c \rightarrow \mathbb{D}/F_c$. It therefore suffices to observe that the inclusion functor $i : \{1_{\mathbb{C}}\} \hookrightarrow \mathbb{C}$ of a terminal object is always final, so the same is true for $F/c : \mathbb{C}/c \rightarrow \mathbb{D}/F_c$ by a familiar factorization property of the left maps in an orthogonal factorization system. \square

Proof. (of Proposition 8) Evaluating (36) at $c \in \mathbb{C}$ we obtain the following diagram of sets and functions.

$$\begin{array}{ccccccc}
\mathrm{Cat}(\mathbb{D}/_{F_c}, \mathbf{set}^{\mathrm{op}}) & \xlongequal{\quad} & (F^*\dot{\mathcal{V}}_D)c & \xrightarrow{\dot{\gamma}_c} & (\dot{\mathcal{V}}_{\mathbb{C}})c & \xlongequal{\quad} & \mathrm{Cat}(\mathbb{C}/_c, \mathbf{set}^{\mathrm{op}}) \\
\downarrow & & \downarrow & & \downarrow & & \downarrow \\
\mathrm{Cat}(\mathbb{D}/_{F_c}, \mathbf{set}^{\mathrm{op}}) & \xlongequal{\quad} & (F^*\mathcal{V}_D)c & \xrightarrow{\gamma_c} & (\mathcal{V}_{\mathbb{C}})c & \xlongequal{\quad} & \mathrm{Cat}(\mathbb{C}/_c, \mathbf{set}^{\mathrm{op}})
\end{array} \tag{37}$$

The outer square of this diagram is a pullback exactly if every square as follows has a diagonal filler.

$$\begin{array}{ccc}
\mathbb{C}/_c & \longrightarrow & \mathbf{set}^{\mathrm{op}} \\
F/c \downarrow & \nearrow \text{dotted} & \downarrow \\
\mathbb{D}/_{F_c} & \longrightarrow & \mathbf{set}^{\mathrm{op}}
\end{array} \tag{38}$$

Since the map on the right is the universal small discrete fibration, this condition obtains just in case the map on the left is left orthogonal with respect to all small discrete fibrations (for “small” sufficiently large), which holds just if it is a final functor, by the comprehensive factorization system [SW73]. But by Lemma 9, this is the case for every $c \in \mathbb{C}$. \square

7. Classifying fibrations

Given the universal small family $\dot{\mathcal{V}} \rightarrow \mathcal{V}$ of Proposition 7, we can construct a classifier $\dot{\mathcal{U}} \rightarrow \mathcal{U}$ for any *structured notion of fibration* using the method of *classifying types* developed in [Awo23] (which in turn is based on Shulman’s *locally representable notion of fibered structure* [Shu19]). We then consider the behavior of such universal maps under base change.

Suppose that for each object X and family $A \rightarrow X$, there is a family $\mathbf{Fib}(A) \rightarrow X$ that classifies fibration structures on $A \rightarrow X$, in the sense that there is a bijection, natural in X , between sections of $\mathbf{Fib}(A) \rightarrow X$ and (a notion of) fibration structures on $A \rightarrow X$. Naturality in X means that $\mathbf{Fib}(A) \rightarrow X$ is stable under pullback, in the sense that for any $f : Y \rightarrow X$,

we have two pullback squares:

$$\begin{array}{ccc}
 f^*A & \longrightarrow & A \\
 \downarrow & & \downarrow \\
 Y & \xrightarrow{f} & X \\
 \uparrow & & \uparrow \\
 \text{Fib}(f^*A) & \longrightarrow & \text{Fib}(A)
 \end{array} \tag{39}$$

Thus,

$$\text{Fib}(f^*A) \cong f^*\text{Fib}(A).$$

It then follows from Proposition 7 that, if $A \rightarrow X$ is small, then $\text{Fib}(A) \rightarrow X$ is itself a pullback of the analogous object $\text{Fib}(\dot{\mathcal{V}}) \rightarrow \mathcal{V}$ constructed from the universal small family $\dot{\mathcal{V}} \rightarrow \mathcal{V}$. So again there are two pullback squares,

$$\begin{array}{ccc}
 A & \longrightarrow & \dot{\mathcal{V}} \\
 \downarrow & & \downarrow \\
 X & \longrightarrow & \mathcal{V} \\
 \uparrow & & \uparrow \\
 \text{Fib}(A) & \longrightarrow & \text{Fib}(\dot{\mathcal{V}})
 \end{array} \tag{40}$$

where the indicated map $X \rightarrow \mathcal{V}$ is the canonical classifier of $A \rightarrow X$.

Proposition 10. *There is a universal small fibration,*

$$\dot{\mathcal{U}} \longrightarrow \mathcal{U}.$$

Every small fibration $A \rightarrow X$ is a pullback of $\dot{\mathcal{U}} \rightarrow \mathcal{U}$ along a canonical classifying map $X \rightarrow \mathcal{U}$.

$$\begin{array}{ccc}
 A & \longrightarrow & \dot{\mathcal{U}} \\
 \downarrow & \lrcorner & \downarrow \\
 X & \longrightarrow & \mathcal{U}
 \end{array} \tag{41}$$

Proof. We can take

$$\mathcal{U} := \text{Fib}(\dot{\mathcal{V}}),$$

which comes with its canonical projection $\text{Fib}(\dot{\mathcal{V}}) \rightarrow \mathcal{V}$ as in diagram (40). Now define $\dot{\mathcal{U}} \rightarrow \mathcal{U}$ by pulling back the universal small family,

$$\begin{array}{ccc} \dot{\mathcal{U}} & \longrightarrow & \dot{\mathcal{V}} \\ \downarrow & \lrcorner & \downarrow \\ \mathcal{U} & \longrightarrow & \mathcal{V}. \end{array}$$

Consider the following diagram, in which all the squares (including the distorted ones) are pullbacks, with the outer vertical one coming from Proposition 7 and the lower horizontal one from (40).

$$\begin{array}{ccccc} A & \xrightarrow{\quad} & \dot{\mathcal{V}} & & \\ & \searrow & \downarrow & & \\ & \dot{\mathcal{U}} & & & \\ & \downarrow & & & \\ \text{Fib}(A) & \xrightarrow{\quad} & \mathcal{U} & \xrightarrow{\quad} & \mathcal{V} \\ & \searrow & \downarrow & & \downarrow \\ & X & \xrightarrow{a} & & \mathcal{V} \end{array} \quad (42)$$

α (dotted arrow from $\text{Fib}(A)$ to X)
 α' (dotted arrow from X to \mathcal{U})

By assumption, a fibration structure α on $A \rightarrow X$ is a section of $\text{Fib}(A) \rightarrow X$, which is the pullback of $\text{Fib}(\dot{\mathcal{V}}) = \mathcal{U}$ along the classifying map $a : X \rightarrow \mathcal{V}$,

$$\text{Fib}(A) = a^* \text{Fib}(\dot{\mathcal{V}}) = a^* \mathcal{U}.$$

Such sections therefore correspond uniquely to factorizations α' of $a : X \rightarrow \mathcal{V}$, as indicated, which in turn induce pullback squares of the required kind (41).

Finally, observe that the map $\dot{\mathcal{U}} \rightarrow \mathcal{U}$ has a canonical fibration structure. Indeed, consider the following diagram, in which both squares are pullbacks.

$$\begin{array}{ccc} \dot{\mathcal{U}} & \longrightarrow & \dot{\mathcal{V}} \\ \downarrow & & \downarrow \\ \mathcal{U} & \longrightarrow & \mathcal{V} \\ \uparrow & & \uparrow \\ \text{Fib}(\dot{\mathcal{U}}) & \longrightarrow & \text{Fib}(\dot{\mathcal{V}}) \end{array} \quad (43)$$

Since $\text{Fib}(\dot{\mathcal{V}})$ is the object of fibration structures on $\dot{\mathcal{V}} \rightarrow \mathcal{V}$, its pullback $\text{Fib}(\dot{\mathcal{U}})$ is the object of fibration structures on $\dot{\mathcal{U}} \rightarrow \mathcal{U}$. But since $\mathcal{U} = \text{Fib}(\dot{\mathcal{V}})$ by definition, the lower square is the pullback of $\text{Fib}(\dot{\mathcal{V}}) \rightarrow \mathcal{V}$ against itself, which does indeed have a distinguished section, namely the diagonal

$$\Delta : \text{Fib}(\dot{\mathcal{V}}) \rightarrow \text{Fib}(\dot{\mathcal{V}}) \times_{\mathcal{V}} \text{Fib}(\dot{\mathcal{V}}).$$

□

8. Change of base for universal fibrations

Now let $F : \mathbb{C} \rightarrow \mathbb{D}$ in Cat with $\dot{\mathcal{V}}_{\mathbb{C}} \rightarrow \mathcal{V}_{\mathbb{C}}$ in $\widehat{\mathbb{C}}$ and $\dot{\mathcal{V}}_{\mathbb{D}} \rightarrow \mathcal{V}_{\mathbb{D}}$ in $\widehat{\mathbb{D}}$, related by the base change geometric morphism

$$F_! \dashv F^* \dashv F_* : \widehat{\mathbb{C}} \longrightarrow \widehat{\mathbb{D}}$$

as in Proposition 8, and suppose that we have a structured notion of fibration in $\widehat{\mathbb{C}}$, classified by $\mathcal{U}_{\mathbb{C}} \rightarrow \mathcal{U}_{\mathbb{C}}$ as in Proposition 10. Then we can transfer a structured notion of fibration to $\widehat{\mathbb{D}}$ by declaring $B \rightarrow Y$ to be a fibration in $\widehat{\mathbb{D}}$ just if $F^*B \rightarrow F^*Y$ is one in $\widehat{\mathbb{C}}$; more precisely, we define a *fibration structure* on $B \rightarrow Y$ to be one on $F^*B \rightarrow F^*Y$. These structures are then classified in $\widehat{\mathbb{D}}$, as follows.

Fibration structures on $F^*B \rightarrow F^*Y$ are classified in $\widehat{\mathbb{C}}$ by sections σ of $\text{Fib}(F^*B) \rightarrow F^*Y$,

$$\begin{array}{ccc} & F^*B & \\ & \downarrow & \\ \text{Fib}(F^*B) & \searrow & F^*Y \\ & \sigma & \end{array} \quad (44)$$

Applying the right adjoint F_* and pulling back along the unit η , we obtain an object over Y that classifies such sections.

$$\text{Fib}(B) := \eta^* F_* \text{Fib}(F^*B) \longrightarrow Y \quad (45)$$

$$\begin{array}{ccccc} & B & & F_* F^* B & \\ & \downarrow & & \downarrow & \\ \eta^* F_* \text{Fib}(F^*B) & \xrightarrow{\quad} & F_* \text{Fib}(F^*B) & \xrightarrow{\quad} & F_* F^* Y \\ & \searrow & \nearrow & & \\ & Y & \xrightarrow{\quad \eta \quad} & F_* F^* Y & \end{array} \quad (46)$$

Indeed, sections of $\text{Fib}(B) \rightarrow Y$ correspond bijectively to lifts of the unit η across the image $F_*\text{Fib}(F^*B) \rightarrow F_*F^*Y$ under F_* , which are exactly sections of $\text{Fib}(F^*B) \rightarrow F^*Y$.

As before, it suffices to do this construction once in the “universal case”,

$$\begin{array}{ccc}
 \dot{\mathcal{V}}_{\mathbb{D}} & & F_*F^*\dot{\mathcal{V}}_{\mathbb{D}} \\
 \downarrow & & \downarrow \\
 \eta^*F_*\text{Fib}(F^*\dot{\mathcal{V}}_{\mathbb{D}}) & \xrightarrow{\quad} & F_*\text{Fib}(F^*\dot{\mathcal{V}}_{\mathbb{D}}) \\
 \searrow & & \searrow \\
 \mathcal{V}_{\mathbb{D}} & \xrightarrow{\quad \eta \quad} & F_*F^*\mathcal{V}_{\mathbb{D}}
 \end{array} \tag{47}$$

to obtain the classifying type for fibrations in $\widehat{\mathbb{D}}$ as (the domain of) the indicated map

$$\mathcal{U}_{\mathbb{D}} := \eta^*F_*\text{Fib}(F^*\dot{\mathcal{V}}_{\mathbb{D}}) \rightarrow \mathcal{V}_{\mathbb{D}}. \tag{48}$$

Proposition 11. *Let $\dot{\mathcal{U}}_{\mathbb{D}} \rightarrow \mathcal{U}_{\mathbb{D}}$ be the pullback indicated below,*

$$\begin{array}{ccc}
 \dot{\mathcal{U}}_{\mathbb{D}} & \longrightarrow & \dot{\mathcal{V}}_{\mathbb{D}} \\
 \downarrow \lrcorner & & \downarrow \\
 \mathcal{U}_{\mathbb{D}} & \longrightarrow & \mathcal{V}_{\mathbb{D}}.
 \end{array}$$

where $\mathcal{U}_{\mathbb{D}} \rightarrow \mathcal{V}_{\mathbb{D}}$ is as defined in (48). Then $\dot{\mathcal{U}}_{\mathbb{D}} \rightarrow \mathcal{U}_{\mathbb{D}}$ classifies fibrations in $\widehat{\mathbb{D}}$.

Proof. Proposition 10 applies once we know that $\text{Fib}(-)$ as defined in (45) is stable under pullback. It clearly suffices to show that, for each $B \rightarrow Y$, the horizontal square below is a pullback when $b : Y \rightarrow \mathcal{V}_{\mathbb{D}}$ is the canonical classifying map.

$$\begin{array}{ccc}
 B & \longrightarrow & \dot{\mathcal{V}}_{\mathbb{D}} \\
 \downarrow \lrcorner & & \downarrow \\
 \text{Fib}(B) & \longrightarrow & \text{Fib}(\dot{\mathcal{V}}_{\mathbb{D}}) \\
 \searrow & & \searrow \\
 Y & \xrightarrow{\quad b \quad} & \mathcal{V}_{\mathbb{D}}
 \end{array} \tag{49}$$

$\text{Fib}(B) \cong b^*\text{Fib}(\dot{\mathcal{V}}_{\mathbb{D}})$

Inspecting the definitions (45) and (48), this follows from the naturality of the units η ,

$$\begin{array}{ccc} Y & \xrightarrow{\eta} & F_* F^* Y \\ b \downarrow & & \downarrow F_* F^* b \\ \mathcal{U}_{\mathbb{D}} & \xrightarrow{\eta} & F_* F^* \mathcal{V}_{\mathbb{D}}. \end{array} \quad (50)$$

Indeed, consider the following cube, in which the front face is the naturality square (50) and the top and bottom faces are the defining pullbacks (46) and (47) of $\text{Fib}(B)$ and $\mathcal{U}_{\mathbb{D}} = \text{Fib}(\dot{\mathcal{V}}_{\mathbb{D}})$ respectively.

$$\begin{array}{ccccc} \text{Fib}(B) = \eta^* F_* \text{Fib}(F^* B) & \xrightarrow{\quad} & F_* \text{Fib}(F^* B) & & \\ \downarrow & & \downarrow & \searrow & \\ & Y & \xrightarrow{\eta} & F_* F^* Y & \\ & \downarrow b & & \downarrow F_* F^* b & \\ \text{Fib}(\dot{\mathcal{V}}_{\mathbb{D}}) = \eta^* F_* \text{Fib}(F^* \dot{\mathcal{V}}_{\mathbb{D}}) & \xrightarrow{\quad} & F_* \text{Fib}(F^* \dot{\mathcal{V}}_{\mathbb{D}}) & & \\ & \downarrow & & \downarrow & \\ & \mathcal{V}_{\mathbb{D}} & \xrightarrow{\eta} & F_* F^* \mathcal{V}_{\mathbb{D}} & \end{array} \quad (51)$$

It suffices to show that the right face is a pullback, and since F_* preserves pullbacks it therefore suffices to show that the horizontal square below is a pullback.

$$\begin{array}{ccc} F^* B & \xrightarrow{\quad} & F^* \dot{\mathcal{V}}_{\mathbb{D}} \\ \downarrow \lrcorner & & \downarrow \\ \text{Fib}(F^* B) & \xrightarrow{\quad} & \text{Fib}(F^* \dot{\mathcal{V}}_{\mathbb{D}}) \\ & \searrow & \downarrow \\ & F^* Y & \xrightarrow{F^* b} F^* \mathcal{V}_{\mathbb{D}}. \end{array} \quad (52)$$

But that follows from the stability of Fib in $\widehat{\mathbb{C}}$ and preservation by F^* of the classifying pullback square for $B \rightarrow Y$. \square

Thus, as claimed, we have:

Theorem 12 (Base change for universes of fibrations). *Let $F : \mathbb{C} \rightarrow \mathbb{D}$ with base change*

$$F_! \dashv F^* \dashv F_* : \widehat{\mathbb{C}} \longrightarrow \widehat{\mathbb{D}}$$

and suppose that we have a structured notion of fibration in $\widehat{\mathbb{C}}$ classified by a universe $\mathcal{U}_{\mathbb{C}} \rightarrow \mathcal{U}_{\mathbb{C}}$. Then the structured notion of fibration in $\widehat{\mathbb{D}}$ determined by pullback along F^ is classified by $\mathcal{U}_{\mathbb{D}} \rightarrow \mathcal{U}_{\mathbb{D}}$ where*

$$\mathcal{U}_{\mathbb{D}} := \eta^* F_* \text{Fib}(F^* \dot{\mathcal{V}}_{\mathbb{D}}) \longrightarrow \mathcal{V}_{\mathbb{D}}. \quad (53)$$

Acknowledgement

Thanks to Mathieu Anel, Reid Barton, Thierry Coquand, Marcelo Fiore, and Emily Riehl for discussions, and to Evan Cavallo, Ivan Di Liberti, and Taichi Uemura for help with the references. This material is based upon work supported by the Air Force Office of Scientific Research under awards FA9550-21-1-0009 and FA9550-20-1-0305.

References

- [AGH21] S. Awodey, N. Gambino, and S. Hazratpour. Kripke-Joyal forcing for type theory and uniform fibrations, October 2021. Preprint available as <https://arxiv.org/abs/2110.14576>.
- [Awo23] Steve Awodey. Cartesian cubical model categories, May 2023. Preprint available as <https://arxiv.org/abs/2305.00893>.
- [Gro83] Alexander Grothendieck. Pursuing stacks. 1983. Unpublished.
- [GSS22] Daniel Gratzer, Michael Shulman, and Jonathan Sterling. Strict universes for grothendieck topoi. *arXiv preprint arXiv:2202.12012*, 2022.
- [HS97] Martin Hofmann and Thomas Streicher. Lifting Grothendieck universes. Spring 1997. Unpublished.
- [Shu15] Michael Shulman. The univalence axiom for elegant reedy presheaves. *Homology, Homotopy and Applications*, 17(2):81–106, 2015.
- [Shu19] M. Shulman. All $(\infty, 1)$ -toposes have strict univalent universes, 2019. arXiv.1904.07004.

- [SW73] Ross Street and R. F. C. Walters. The comprehensive factorization of a functor. *Bull. Amer. Math. Soc.*, 79(5):936 – 941, 1973.
- [Web07] Mark Weber. Yoneda structures from 2-toposes. *Applied Categorical Structures*, 15(3):259–323, 2007.