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Cartesian Cubical Model Categories

38 Steve Awodey 
39 Pittsburgh, PA, USA

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In memoriam F. William Lawvere

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Preface

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These notes were begun in 2014 during a Thematic Trimester at the Institut 2
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Pittsburgh, PA, USA
August 2025

Steve Awodey 12

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Chapter 1

Introduction

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Recent years have seen renewed interest in the cubical approach to abstract homotopy theory. This contrasts with the more familiar and widespread simplicial approach, using which many sophisticated and powerful tools have been developed, such as simplicial model categories [31], quasi-categories [45], and higher toposes [56]. Of course, some early work like the original papers of D. Kan, [48, 49], employed cubical sets, and some researchers such as [21] and [43] have developed such methods further in a more modern style, but they are swimming against the tide.

The current interest in the cubical approach arises from connections with the formal system of *type theory* for the purpose of computerized proof checking, cf. [11]. Unlike previous cubical models of homotopy theory, however, the cubes being used for this purpose are generally assumed to be closed under finite products; we call such cube categories *Cartesian*. This is a natural enough assumption to make for cubes, but one that has somehow escaped serious consideration—but for two notable exceptions: in A. Grothendieck’s famous letter to D. Quillen, and the accompanying 600 page manuscript *Pursuing Stacks* [39], such cubical sets make an appearance as *test categories*, which model the homotopy category of spaces in a particular way. In fact, the Cartesian cubes studied here are *strict* test categories in the terminology of op.cit., meaning that the geometric realization functor preserves finite products [24]. The more familiar category of “monoidal” cubical sets used since Kan is also a strict test category provided one includes *connections* [57], but this is not necessarily Cartesian. The second source for Cartesian cubical sets is F.W. Lawvere, who proposed them as a model for homotopy theory in lectures, and in public and private correspondence, but never (to my knowledge) published anything on the subject. Among their advantages, he stressed the *tinyness* of the 1-cube, or “interval” I , which indeed plays a role in the current theory—although perhaps not exactly the one envisioned by him. The reader familiar with Synthetic Differential Geometry [51] will perhaps recognize the analogy between I and that theory’s tiny “object of infinitesimals” D , for which the tangent bundle of a smooth

space M is given synthetically by the exponential M^D , just as the path space of a space X in the present theory is the exponential X^I . Under this analogy, the current development aptly becomes “synthetic homotopy theory”. 32
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We can define the Cartesian cube category \square to be the Lawvere algebraic theory of bipointed objects, the opposite of which is therefore the category of finite, strictly bipointed sets $\mathbb{B} = \square^{\text{op}}$. Thus \square is the free finite product category with a bipointed object $[0] \rightrightarrows [1]$. Our homotopy theory will be based on the category of *Cartesian cubical sets*, which is the category of presheaves on \square , 35
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$$\mathbf{cSet} = \mathbf{Set}^{\square^{\text{op}}}$$

and thus consists of all *covariant* functors $\mathbb{B} \rightarrow \mathbf{Set}$. Among these, there is an evident distinguished one, namely that which “forgets the points”, and it is represented by the generating 1-cube $[1]$, 40
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$$I = \square(-, [1]) : \mathbb{B} \longrightarrow \mathbf{Set}.$$

In cubical sets, the bipointed object $1 \rightrightarrows I$ turns out to have the (non-algebraic) property that its two points have a trivial intersection. 43
44

$$\begin{array}{ccc} 0 & \longrightarrow & 1 \\ \downarrow & \perp & \downarrow \\ 1 & \longrightarrow & I \end{array}$$

We call such an object in a topos an *interval*, and in a sense to be made precise, this is the universal one. Other categories of Cartesian cubical sets have a canonical comparison to this one, relating their respective homotopy theories. 45
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For the purpose of homotopy theory, namely, this interval provides a good cylinder $X + X \rightarrow I \times X$ for every object X , as well as a good pathobject $X^I \rightarrow X \times X$ for every *fibrant* object X . The notion of fibrancy here is determined by the interval I in terms of *paths* $I \rightarrow X$, and is a generalization of the *path-lifting* condition from classical homotopy theory, suitably modified for this setting. We formulate it using the now-standard notion of a Quillen model structure: 48
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Definition 1.1 ([62]) A *Quillen model structure* on a (bicomplete) category \mathcal{E} consists of three classes of maps $C, \mathcal{W}, \mathcal{F}$ satisfying the conditions: 54
55

1. $(C, \mathcal{W} \cap \mathcal{F})$ and $(C \cap \mathcal{W}, \mathcal{F})$ are weak factorization systems, 56
2. \mathcal{W} has the 3-for-2 property: if any two sides of the triangle $e = f \circ g$ are in \mathcal{W} , so is the third. 57
58

For the interval $1 \rightrightarrows I$, we have the mono $\partial : 1 + 1 \rightarrow I$ as one of two basic cofibrations C giving rise to all the others, in a certain sense. The other basic one is the diagonal $\delta : I \rightarrow I \times I$, which is a special cofibration that, together with ∂ , 59
60
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determines both \mathcal{F} and \mathcal{W} just from the conditions (1) and (2) in the definition (which we have restated in a form due to [46]). Condition (1) has recently been termed a *premodel structure* by Barton [15], and its verification in our setting is fairly routine, occupying less than the first half of the paper. Condition (2) is where all the work is, and where our treatment is most likely to be of interest to the expert. We shall summarize those aspects below, but let us say now that the model structure is not the one determined by the method of [26], nor is it Reedy in the sense of [63], although the Cartesian cube category \square is “generalized Reedy” in the sense of [17].

Having identified \square as a strict test category, why not simply use standard tools to determine the test model structure on cSet , making it equivalent to the standard homotopy theory of spaces? Because *we are mainly interested in how the model structure relates to the interpretation of type theory*. Specifically, we wish to investigate the relationship between the ingredients of a Quillen model structure and certain standard constructions in type theory, in order to better understand the somewhat mysterious connection between the two.

The first models of homotopy type theory used the standard Kan-Quillen model structure on simplicial sets, cf. [12, 50]. Much subsequent work has also relied on classical methods, including M. Shulman’s *tour de force* result that every Grothendieck ∞ -topos admits a model of HoTT with a univalent universe [68]. This means that all of the results in the *Homotopy Type Theory* book [70] hold, not only in the standard model in “spaces,” i.e. simplicial sets, but also in any such higher topos. In particular, the univalence axiom of V. Voevodsky is actually *true* in all such models. There is, however, a mismatch between such models of the univalence axiom and the design and implementation of computer systems based on type theory. Taken as an axiom, univalence blocks the normalization algorithm which forms the basis of type theoretic computation. Voevodsky recognized this, and conjectured (roughly) that the system with the univalence axiom admitted an interpretation into the system without it, in a way that would restore effective computation.

A version of this “homotopy canonicity conjecture” was finally verified a decade later by T. Coquand and collaborators [19, 28]. One key insight that apparently led to their success was the “change of shape” from simplicial to cubical sets.¹ Some aspects of Coquand’s work were undoubtedly informed by homotopy theory, but much of it was driven by type-theoretic considerations: normalization, canonicity, constructivity, etc. Subsequent work on computational systems of univalent type theory (such as [5, 53, 60]) also used intuitions from basic homotopy theory (and some of the terminology), but without even attempting to verify the model category axioms. Of course, this research had a very different aim, namely the provision of a constructive system of type theory with univalence, which would facilitate its

¹ As suggested by Bezem and Coquand [18]. Whether this alone is essential is still a matter of debate; arguably, it was rather the algebraic aspect underlying the “uniform Kan filling” condition that made the break-through possible. Whether the cubical shape is essential to *that* will perhaps be determined by recent work on an algebraic simplicial approach by Gambino and Henry [33] and van den Berg and Faber [71].

implementation in a computer proof system. Once that was accomplished, there 101
 was no need to determine whether a Quillen model structure was also lurking in 102
 the background; it simply remained a mystery that the ingredients required for 103
 a computational system of univalent type theory seemed to align with the basic 104
 concepts of abstract homotopy theory. 105

It was C. Sattler who first recognized that a computational implementation of 106
 univalent type theory such as [28] contained everything required to determine a 107
 Quillen model structure, cf. [66]. An earlier result in this direction had been given 108
 by Gambino and Garner [32], who showed that the basic system of type theory with 109
 identity types not only interpreted into a weak factorization system (as had been 110
 shown by Awodey and Warren [12]), but that it actually *required* such a structure 111
 for its sound interpretation—essentially by constructing a weak factorization system 112
 from the system of type theory itself (P. LeFanu Lumsdaine subsequently used 113
 higher inductive types to construct a second weak factorization system within 114
 homotopy type theory in [55], making another step toward a full model structure). 115
 The relationship between the full system of univalent type theory and a full Quillen 116
 model structure is somewhat more subtle—and part of the present investigation— 117
 but the mystery of *why* the tools of model category theory seemed to work so well 118
 for constructing systems of univalent type theory is at least partially resolved by the 119
 insight that the type theory is apparently describing the same kind of structure as 120
 do certain model categories; namely, that of a *higher topos*. So while there was no 121
 reason to expect *a priori* that the work on computer proof systems would have any 122
 relevance to homotopy theory, the methods developed for those purposes have now 123
 acquired such relevance nonetheless. 124

These new methods include various species of cubical sets with different combinatorial 125
 and homotopical properties (see [24] for a survey), some still unknown, as 126
 well as various composition, filling, and uniformity conditions with as yet unclear 127
 relationships to homotopical algebra, cf. [5, 19, 25, 28, 60]. It is worth noting, for 128
 those not familiar with both, that translating between the language of type theory and 129
 that of model categories is by no means routine, nor is the converse anything like 130
 typesetting a commutative diagram in LaTeX. (Indeed, the limits of such translation 131
 are a matter of current investigation, with the question of how to handle in type 132
 theory the coherences arising in higher category theory at the very forefront of 133
 current research.) 134

The particular category of Cartesian cubes considered here has been studied by 135
 the author in lectures, notes, and papers since 2013, with various different box 136
 filling conditions (e.g. [6]). The condition explored in the present work, which we 137
 call *unbiased partial box filling*, was apparently first considered by Coquand [29], 138
 but was later abandoned in favor of a monoidal one in [19], and then modified to 139
 one depending on the presence of connections in [28]. The unbiased approach was 140
 resurrected and studied intensely in type theory by R. Harper and his students in 141
 [2–4, 23], culminating in [5]. These type theoretic constructions are analyzed here 142
 in terms of model categories for the first time, doing for the system of Cartesian 143
 cubical type theory roughly what [35][?] did for the system in [28] (although, in this 144
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case, the model category was developed in parallel with the type theory, rather than 145
after the fact). 146

Specifically, we ultimately show that the category of Cartesian cubical sets 147
admits a Quillen model structure (C, W, \mathcal{F}) with unbiased fibrations as the class 148
 \mathcal{F} and the cofibrations C axiomatized to allow for variations, including additional 149
structure on the basic cube category \square , and adjustments in the filling conditions. 150
For the purely Cartesian case, this model structure has been shown *not* to be 151
Quillen equivalent to spaces (see [13]), and so it is not the test model structure. 152
It is nonetheless significant as the model structure resulting from the natural 153
interpretation of type theory. Moreover, since our proofs are given in elementary 154
diagrammatic form, the results will also hold in other categories of Cartesian 155
cubical sets, including those with connections, reversals, etc. Indeed, part of our 156
motivation was to apply the results obtained here, *mutatis mutandis*, in two other 157
settings: realizability, and equivariant filling. The former (underway in [1]) imposes 158
a condition of constructivity, about which we will say a bit more shortly. The latter 159
(underway in [13]) is based on an unpublished result due to Sattler showing that 160
an additional equivariance condition on the unbiased fibrations suffices to turn this 161
model structure into the test model structure. 162

The possibility of an entirely constructive verification of the Quillen model 163
category axioms is a consequence of the aforementioned constructive interpretation 164
of univalent type theory labored over by Coquand and his collaborators, and it 165
has applications for the homotopy theory of presheaves and sheaves that stand to 166
be explored further (but cf. [30]). The important uniformity condition on the Kan 167
filling operations is closely related to E. Riehl’s *algebraic model structures*, cf. 168
[64], and gives rise to a notion of *structured fibration* that admits classification, 169
in the sense of classifying spaces, by means of what we here call *classifying types*. 170
These classifying types, which are derived both from type theory and the *notion of* 171
fibered structure introduced in [68], are used to construct universal objects of various 172
kinds: families, cofibrations, (trivial) fibrations, and ultimately a universal fibration 173
 $\dot{\mathcal{U}} \rightarrow \mathcal{U}$, which acts like an object classifier in higher topos theory, but with a stricter 174
universal property. Our work shows that having such classifying types can be useful, 175
e.g., when “changing the base” from one slice category \mathcal{E}/x to another \mathcal{E}/y along a 176
map $f : Y \rightarrow X$, or along a more general geometric morphism $f^* \dashv f_* : \mathcal{F} \rightarrow \mathcal{E}$. 177

Another application of the constructivity of the model structure is the computa- 178
tion of homotopy invariants from a constructive proof. This was merely a theoretical 179
possibility until quite recently, when a breakthrough by Ljungström [54] finally 180
allowed the computer system Cubical Agda of [73] (which is based on the results 181
just mentioned of Coquand et al.) to compute the value of a closed term $\beta : \mathbb{Z}$ from 182
a proof in homotopy type theory that $\pi_4(S^3) \cong \mathbb{Z}/\beta\mathbb{Z}$, which had been done by hand 183
10 years earlier at the IAS by Brunerie [22]. Realizability models of type theory 184
based on constructively proven model structures should also have applications in 185
computational homotopy theory. 186

One way to verify that our model structure is entirely constructive would be to 187
formalize the proofs below in a proof assistant such as Agda. While this could be 188
of interest for the practice of translating model category proofs into type theory, 189

in principle one would learn very little that is not already known, since the model structure given here already underlies a computational interpretation of type theory that has been fully formalized and verified (namely, that in [5]). Although our definitions and proofs do not parallel those in ibid. in the way that a proper formalization would, the associated interpretation of type theory will be plainly visible to the experts.

Let us now make this more explicit, as we outline the contents of the paper (references to the literature occur at the corresponding points of the main text). After defining the Cartesian cubical sets and establishing some basic facts about them in Chap. 1, Chap. 2 specifies the *cofibrations* axiomatically, as a class of monomorphisms classified by a universal one $t : 1 \rightarrow \Phi$. This permits using the associated polynomial endofunctor $P_t : \mathbf{cSet} \rightarrow \mathbf{cSet}$ (which is forced to be a monad by the axioms for cofibrations), to give an algebraic weak factorization system with the cofibrations as the left maps and the (retracts of) P_t -algebras as the maps on the right, which we define to be the *trivial fibrations*. Since the monad is fibered, the factorization system is stable under change of base, which we use to derive the familiar diagonal filling characterization of the trivial fibrations in algebraic form, and relate this to the uniform filling condition from type theory. The polynomial monad $P_t : \mathbf{cSet} \rightarrow \mathbf{cSet}$ is related to the type theoretic partiality- or lifting-monad, and generalizes the partial map classifier from the early days of topos theory. Indeed, since the results of this chapter generalize to an arbitrary elementary topos \mathcal{E} , we can develop them in that setting.

In Chap. 3, the *fibrations* are defined in terms of the trivial fibrations via the Joyal-Tierney calculus of pushout-products and pullback-homs. A “biased” version using the two endpoints $\delta_0, \delta_1 : 1 \rightrightarrows I$ is given first, before specifying the “unbiased” version in terms of the generic point $\delta : 1 \rightarrow I^* I$ in the slice category \mathbf{cSet}/I , namely the diagonal $I \rightarrow I \times I$. Specifically, a map $f : X \rightarrow Y$ in \mathbf{cSet} is defined to be an unbiased fibration if its pullback to \mathbf{cSet}/I has the right lifting property against all maps of the form $c \otimes_I \delta$ where $c : C \rightarrow Z$ is a cofibration over I and the pushout-product with δ is formed in \mathbf{cSet}/I .

$$\begin{array}{ccc} Z +_C (C \times I^* I) & \longrightarrow & I^* Y \\ c \otimes_I \delta \downarrow & \nearrow & \downarrow I^* f \\ Z \times I^* I & \longrightarrow & I^* X \end{array}$$

The two weak factorization systems of cofibrations and trivial fibrations, and trivial cofibrations and fibrations, are assembled formally into a Barton premodel structure in Chap. 4, where the *weak equivalences* are determined and related to *weak homotopy equivalences*: maps that induce isomorphisms in the homotopy category by precomposition. The 3-for-2 axiom is then reduced to a technical condition dubbed the *fibration extension property*, the proof of which is deferred. This concludes Part 1, and attention shifts to establishing the fibration extension property.

Part 2, consisting of Chaps. 5–8, is essentially a 60 page proof of a lemma. It seems entirely likely that a more direct proof could be given, dispatching the entire second part of the notes. Even in that event, however, the work done in Part 2 would remain worthwhile, for this is where an implicit construction of a model of (homotopy) type theory occurs: The Frobenius property in Chap. 5 establishes the interpretation of Π -types of fibrations along fibrations, and thus the right properness of the model structure, by an entirely new diagrammatic argument derived from one originally given in type theory. In Chap. 6 we construct the classifying types for fibration structure and use them to give a new construction of a universal fibration $\dot{\mathcal{U}} \rightarrow \mathcal{U}$. This is where the tinyess of the interval I plays an unexpected role, and a related axiom on the cofibrations is discovered. Chapters 7 and 8 make implicit use of the model of type theory emerging in the background, and contribute new diagrammatic proofs of two fundamental facts about it: in Chap. 7 an equivalence extension property is established which is closely related to the univalence of the universal fibration $\dot{\mathcal{U}} \rightarrow \mathcal{U}$, and in Chap. 8 that property is used to finally establish the fibration extension property, which is seen to be equivalent to the statement that the base object \mathcal{U} is fibrant. In sum, then, the missing 3-for-2 property of the premodel structure from Part 1 is proven in Part 2 by constructing a fibrant, univalent universe of fibrant objects.

The main novelties in the construction are, in summary: (i) the polynomial algebraic weak factorization system of cofibrations, determined by a cofibration classifier; (ii) the notion of an *unbiased* fibration, defined using a generic point in the slice category over the interval; (iii) a new construction of the Hofmann-Streicher universe in presheaves; (iv) the use of *classifying types* of fibration structures, along with the tinyess of the interval, to construct the universe if fibrations; (v) a new proof of the realignment property for fibrations, using the condition that the pathspace functor preserves cofibrations; and finally, (vi) the axiomatic form of the argument on the basis of the structure (Φ, I, \mathcal{V}) , which was first formulated in [9]. To be sure, some of these aspects have been used, in syntactic form, in the construction of models of type theory, but no other Quillen model category construction uses them all in this way. We also note that the purely diagrammatic proofs given for the Frobenius condition, the equivalence extension property, and the fibration extension property are entirely new (as is the proof in the appendix that $cSet$ classifies intervals).

One thing that we learn from the exercise is that one can get quite far in constructing a model of type theory in a *premodel* category, without assuming a fibrant universe, its univalence, or even the presence of a universe at all! Conversely, our results suggest that the presence of a fibrant, univalent universe in such homotopical semantics in a premodel structure is not just necessary for a full model of univalent type theory, but actually suffices for a full Quillen model structure. In this sense, a model of HoTT is equivalent to a Quillen model category of a certain kind—namely, one that presents a higher topos.

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Chapter 2

Cartesian Cubical Sets

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There are many different categories of cubes \square that can be taken as a site for homotopy theory, cf. [24, 37], and indeed several different ones have recently been explored in connection with cubical systems of (homotopy) type theory, including [5, 19, 25, 28, 60], to name only a few. The model structure developed here is intended to work with any of these, insofar as they are *Cartesian*, in the sense that the indexing cubes $[n] \in \square$ are closed under finite products $[m] \times [n] = [m+n]$. Rather than working axiomatically, though, we shall work in the initial such category, which we call *the Cartesian cube category* \square , defined as the free finite product category on a bipointed object $\delta_0, \delta_1 : 1 \rightrightarrows I$.

11

2.1 Cartesian Cubes

12

Definition 2.1 The objects $[n]$ of the *Cartesian cube category* \square , called n -cubes, are finite sets of the form

$$[n] = \{0, x_1, \dots, x_n, 1\},$$

where the x_1, \dots, x_n , are arbitrary but distinct elements, and 0, 1 are further distinct, distinguished elements. The arrows,

$$f : [m] \rightarrow [n],$$

are arbitrary bipointed maps $f' : [n] \rightarrow [m]$ (note the variance!). Thus $\mathbb{B} = \square^{\text{op}}$ is the category of finite, strictly bipointed sets.

As a Lawvere theory, the arrows $f : [m] \rightarrow [n]$ in \square may also be regarded as n -tuples of elements from the set $\{0, x_1, \dots, x_m, 1\}$. These can be generated under

composition from faces, degeneracies, permutations, and diagonals (see [61] for further details). 21
22

Definition 2.2 The category \mathbf{cSet} of *Cartesian cubical sets* is the category of presheaves on the Cartesian cube category \square . 23
24

$$\mathbf{cSet} = \mathbf{Set}^{\square^{\text{op}}}.$$

It is of course generated by the representable presheaves $y[n]$, to be written 25

$$I^n = y[n]$$

and called the *geometric n-cubes*. 26

Note that the representables I^n are also closed under finite products, $I^m \times I^n = I^{m+n}$. We write I for I^1 and 1 for I^0 , which is terminal. We will need the following basic fact about the cubes I^n in \mathbf{cSet} . 27
28
29

Proposition 2.3 (Lawvere) *The n-cubes I^n are tiny, in the sense that the endofunctor $X \mapsto X^{I^n}$ is a left adjoint.* 30
31

(See [52] on such “amazing right adjoints”.) 32

Proof It clearly suffices to prove the claim for $n = 1$. For any cubical set X , the exponential X^I is a “shift by one dimension”, 33
34

$$X^I(n) \cong \text{Hom}(I^n, X^I) \cong \text{Hom}(I^{n+1}, X) \cong X(n+1). \quad (2.1.1)$$

Thus X^I is given by precomposition with the “successor” functor $\square \rightarrow \square$ with $[n] \mapsto [n] \times [1] = [n+1]$. Precomposition always has a right adjoint, which in this case we shall write as: 35
36
37

$$(-)^I \dashv (-)_I.$$

We call X_I the *Ith-root* of X . □

The following is used to calculate the root X_I . A similar fact holds for the generic object in the object classifying topos $\mathbf{Set}[X] = \mathbf{Set}^{\text{Fin}}$ and related categories used in the theory of abstract higher-order syntax [58]. 38
39
40

Lemma 2.4 *For the representable functor $I = y[1]$ in \mathbf{cSet} , we have $I^I \cong I + 1$.* 41

Proof For any $[n] \in \square$ we have: 42

$$(I^I)(n) \cong I(n+1) \cong \text{Hom}(I^{(n+1)}, I) \cong \square([n+1], [1]) \cong \mathbb{B}([1], [n+1]) \cong n+3.$$

On the other hand,

44

$$(I + 1)(n) \cong I(n) + 1(n) \cong \text{Hom}(I^n, I) + 1 \cong \mathbb{B}([1], [n]) + 1 \cong (n + 2) + 1.$$

The isomorphism is natural in n . \square

Corollary 2.5 *For any cubical set X , the I th-root X_I may be calculated as follows.* 45

$$\begin{aligned} X_I(n) &\cong \text{Hom}(I^n, X_I) \\ &\cong \text{Hom}((I^I)^I, X) \\ &\cong \text{Hom}((I^I)^n, X) \\ &\cong \text{Hom}(I^n + \binom{n}{n-1} I^{n-1} + \cdots + \binom{n}{1} I + 1, X) \\ &\cong X_n \times X_{n-1}^{(\binom{n}{n-1})} \times \cdots \times X_1^{(\binom{n}{1})} \times X_0. \end{aligned}$$

The exponential X^I will be called the *pathobject* of X and plays a special role. 46
As seen in (2.1.1), it classifies “paths” in X : the “points” or 0-cubes $p \in (X^I)_0$ in 47
the pathobject correspond uniquely to 1-cubes $p \in X_1$, the “endpoints” of which 48
 $p_0, p_1 \in X_0$ are given by composing with the “evaluation” maps 49

$$\epsilon_0, \epsilon_1 : X^I \rightrightarrows X$$

at the points $\delta_0, \delta_1 : 1 \rightrightarrows I$, where $\epsilon = X^\delta$. Higher cubes $c : I^{n+1} \rightarrow X$ are similarly 50
paths between lower cubes $c_0, c_1 : I^n \rightarrow X^I \rightrightarrows X$. Note that, as a left adjoint, the 51
pathobject functor $X \mapsto X^I$ also preserves all colimits. 52

We shall need the following two facts concerning the interaction of cubes I^n , 53
pathobjects X^I , and the base change functors associated to a map $f : X \rightarrow Y$ in 54
 cSet , namely, 55

$$f_! \dashv f^* \dashv f_* : \text{cSet}/X \longrightarrow \text{cSet}/Y.$$

Lemma 2.6 *The pushforward functor along any map $f : X \rightarrow Y$ preserves 56
pathobjects; for any object $A \rightarrow X$ over X , the pathobject of the pushforward $f_* A$ 57
is (canonically isomorphic over Y to) the pushforward of the pathobject, 58*

$$(f_* A)^I \cong f_*(A^I).$$

Proof Over X , the pathobject A^I of $A \rightarrow X$ is $A^{X^* I}$, where I is the constant family
 $X^* I = X \times I \rightarrow X$. The claim is true for any constant family $X^* C$, with C in place
of I , as the reader can easily verify using the Beck-Chevalley condition. \square

Lemma 2.7 *The pulled-back interval $I^* I = I \times I \rightarrow I$ in cSet/I is also tiny.* 59

Proof Since the interval $I = y[1]$ is representable, the slice category $c\text{Set}_I$ is also 60
a category of presheaves, namely over the sliced cube category $\square/[1]$, 61

$$c\text{Set}_I = \text{Set}^{\square^{\text{op}}}/y[1] \cong \text{Set}^{(\square/[1])^{\text{op}}}.$$

However, since \square does not have all finite limits, the sliced index category does 62
not have all finite products, and so we cannot simply repeat the proof from 63
Proposition 2.3. But as in that proof, we do have a “successor” functor 64

$$s_{[1]} : \square/[1] \rightarrow \square/[1],$$

resulting from the “predecessor” natural transformation $s \Rightarrow 1_\square$ given by the 65
projection $I \times X \rightarrow X$. Evaluating s at each object $f : [n] \rightarrow [1]$ in $\square/[1]$, we obtain 66
a commutative diagram: 67

$$\begin{array}{ccc} s[n] & \xrightarrow{\cong} & [1] \times [n] & \xrightarrow{p_n} & [n] \\ sf \downarrow & & & & \downarrow f \\ s[1] & \xrightarrow{\cong} & [1] \times [1] & \xrightarrow{p_1} & [1] \end{array} \quad (2.1.2)$$

We can then set $s_{[1]}(f) = p_1 \circ sf = f \circ p_n$. As in the foregoing proof, we can then 68
calculate the values of the adjoints on presheaves, associated to $s_{[1]}$, 69

$$s_{[1]!} \dashv s_{[1]}^* : \widehat{\square/[1]} \longrightarrow \widehat{\square/[1]}$$

to be, successively,

$$s_{[1]!}(X) = I^* I \times X,$$

$$s_{[1]}^*(X) = X^{I^* I}.$$

The first equation follows from the observation that the diagram (2.1.2) is a pullback, 71
and so the object $s_{[1]}(f) : s[n] \rightarrow [1]$ of $\widehat{\square/[1]}$ given by the evident composite is just 72
 $I^* I \times f$, and the diagram itself represents the counit map $(I^* I \times f) \rightarrow f$ over I . The 73
second line then follows by adjointness, as does the fact that we have a further right 74
adjoint, namely, the $I^* I$ -th-root: 75

$$s_{[1]*}(X) =: X_{I^* I}.$$

□

Chapter 3

The Cofibration Weak Factorization System

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3

To build a model structure on the presheaf category of cubical sets, one can simply 4
take as the cofibrations *all* of the monomorphisms in \mathbf{cSet} , but for some purposes, 5
it is convenient to know what is actually required of the cofibrations (see Appendix 6
A for an example). As nothing in this chapter depends on the specifics of cubical 7
sets, the results are formulated for an arbitrary elementary topos \mathcal{E} . To begin, the 8
following axioms are assumed for cofibrations. 9

Definition 3.1 (Cartesian Cofibrations) A class C of *Cartesian cofibrations* in a 10
topos \mathcal{E} is a class of monomorphisms satisfying the following conditions: 11

- (C0) The unique map $0 \rightarrow X$ is always a cofibration. 12
- (C1) All isomorphisms are cofibrations. 13
- (C2) The composite of two cofibrations is a cofibration. 14
- (C3) Any pullback of a cofibration is a cofibration. 15

We also require the cofibrations to be classified by a subobject $\Phi \hookrightarrow \Omega$ of the 16
standard subobject classifier $\top : 1 \rightarrow \Omega$ of \mathcal{E} : 17

- (C4) There is a terminal object $t : 1 \rightarrow \Phi$ in the category of cofibrations and 18
cartesian squares. 19

Four further axioms for Cartesian cofibrations will be added later as they are needed: 20
(C5) and (C6) early in Sect. 4.1, (C7) later in Sect. 4.4, and a final one (C8) in 21
Sect. 7.4. The axioms are collected (and renumbered slightly) in Appendix A. 22

Cofibrations will be written 23

$$c : A \rightarrowtail B .$$

3.1 The Cofibrant Partial Map Classifier

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Consider the polynomial endofunctor $P_t : \mathcal{E} \rightarrow \mathcal{E}$ determined by the cofibration classifier $t : 1 \rightarrow \Phi$ (see [34]). We will write the value of this functor at an object X as

$$X^+ := \Phi_! t_*(X) = \sum_{\varphi: \Phi} X^{[\varphi]}. \quad (3.1.1)$$

The reader familiar with type theory will recognize the similarity to the “partiality” or “lifting” monad of [59]. When all monos are cofibrations, so that $\Phi = \Omega$, the object X^+ agrees with the partial map classifier \tilde{X} from topos theory, cf. [44]. We may therefore regard X^+ as the object of *cofibrant partial elements* of X , as we now explain.

Since $t : 1 \rightarrow \Phi$ is monic, $t^* t_* \cong 1$, so X^+ fits into the pullback square

$$\begin{array}{ccc} X & \longrightarrow & X^+ \\ \downarrow & \lrcorner & \downarrow t_* X \\ 1 & \xrightarrow{t} & \Phi. \end{array} \quad (3.1.2)$$

Let $\eta : X \rightarrow X^+$ be the indicated top horizontal map; we call this the *cofibrant partial map classifier* of X . By a *cofibrant partial map* (from an object Z) into X we mean a span $(c, x) : Z \leftarrow C \rightarrow X$ with a cofibration on the left. The object X^+ is a *classifying type* for such cofibrant partial maps, in the sense that it has the following universal property.

Proposition 3.2 *Let $\eta : X \rightarrow X^+$ be as defined in (3.1.2).*

1. *The map $\eta : X \rightarrow X^+$ is a cofibration.*
2. *For any object Z and any partial map $(c, x) : Z \leftarrow C \rightarrow X$, with $c : C \rightarrow Z$ a cofibration, there is a unique $\chi : Z \rightarrow X^+$ fitting into a pullback square as follows.*

$$\begin{array}{ccc} C & \xrightarrow{x} & X \\ c \downarrow & \lrcorner & \downarrow \eta \\ Z & \xrightarrow{\chi} & X^+ \end{array}$$

The map $\chi : Z \rightarrow X^+$ is said to classify the partial map

$$(c, x) : Z \leftarrow C \rightarrow X.$$

Proof The map $\eta : X \rightarrow X^+$ is a cofibration, since it is a pullback of the universal cofibration $t : 1 \rightarrow \Phi$. Observe that

$$(\eta, 1_X) : X^+ \hookrightarrow X \rightarrow X$$

is therefore a cofibrant partial map into X . The second statement is just the universal property of X^+ as a polynomial, which can be read off from the description (3.1.1) (see [7], prop. 7). \square

Proposition 3.3 *The pointed endofunctor $\eta_X : X \rightarrow X^+$ has a natural multiplication $\mu_X : X^{++} \rightarrow X^+$ making it a monad.*

Proof Since the cofibrations are closed under composition, the monad structure on X^+ follows as in [7], Lemma 5. Explicitly, μ_X is determined by Proposition 3.2 as the unique map making the following a pullback diagram.

$$\begin{array}{ccc} X & \xrightarrow{=} & X \\ \eta_X \downarrow & & \downarrow \eta \\ X^+ & & X^+ \\ \eta_{X^+} \downarrow & & \downarrow \\ X^{++} & \xrightarrow{\mu} & X^+ \end{array}$$

\square

3.2 Relative Partial Map Classifier

52

For any object $X \in \mathcal{E}$ the pullback functor

$$X^* : \mathcal{E} \rightarrow \mathcal{E}/X,$$

taking any A to the (say) first projection $X \times A \rightarrow X$, not only preserves the subobject classifier Ω , but also the cofibration classifier $\Phi \hookrightarrow \Omega$, where a map in \mathcal{E}/X is defined to be a cofibration just if it is one in \mathcal{E} (under the forgetful functor $\mathcal{E}/X \rightarrow \mathcal{E}$). Thus in \mathcal{E}/X we can define the (*relative*) cofibration classifier to be the map

$$X^* t : X^* 1 \longrightarrow X^* \Phi \quad \text{over } X,$$

58

which we may also write $t_X : 1_X \rightarrow \Phi_X$. Like $t : 1 \rightarrow \Phi$, this map determines a 59
polynomial endofunctor 60

$$(-)^{+X} : \mathcal{E}/X \longrightarrow \mathcal{E}/X ,$$

which commutes (up to natural isomorphism) with $(-)^+ : \mathcal{E} \rightarrow \mathcal{E}$ and $X^* : \mathcal{E} \rightarrow \mathcal{E}/X$ 61
in the expected way, namely: 62

$$\begin{array}{ccc} \mathcal{E}/X & \xrightarrow{+X} & \mathcal{E}/X \\ X^* \uparrow & & \uparrow X^* \\ \mathcal{E} & \xrightarrow{+} & \mathcal{E} \end{array} \quad (3.2.1)$$

The endofunctor $+_X$ is also pointed $\eta_Y : Y \rightarrow Y^+$ and has a natural monad 63
multiplication $\mu_Y : Y^{++} \rightarrow Y^+$, for any $Y \rightarrow X$, for the same reason that $+$ has 64
this structure. Summarizing, we may say: 65

Proposition 3.4 *The polynomial monad $(-)^+ : \mathcal{E} \rightarrow \mathcal{E}$ of cofibrant partial elements 66
is indexed (or fibered) over \mathcal{E} .* 67

Definition 3.5 A $+_+$ -algebra in \mathcal{E} is an algebra for the pointed endofunctor $(-)^+ : 68$
 $\mathcal{E} \rightarrow \mathcal{E}$. Explicitly, a $+_+$ -algebra is an object A together with a retraction $\alpha : A^+ \rightarrow A$ 69
of the unit $\eta_A : A \rightarrow A^+$. Algebras for the monad $(+, \eta, \mu)$ will be referred to 70
explicitly as $(+, \eta, \mu)$ -algebras, or $+_-$ -monad algebras. 71

A relative $+_-$ -algebra in \mathcal{E} is a map $A \rightarrow X$, together with an algebra structure 72
over the codomain X for the pointed endofunctor 73

$$(-)^{+X} : \mathcal{E}/X \longrightarrow \mathcal{E}/X .$$

3.3 The Cofibration Weak Factorization System

The following proposition generalizes one in [20].

Proposition 3.6 *There is an (algebraic) weak factorization system on \mathcal{E} with the 76
cofibrations as the left class, and as the right class the underlying maps of relative*

$+$ -algebras. Thus a right map is one $p : A \rightarrow X$ for which there is a retract $\alpha : A' \rightarrow A$ over X of the canonical map $\eta : A \rightarrow A'$,

$$\begin{array}{ccccc}
 & & = & & \\
 & \nearrow \eta & & \searrow \alpha & \\
 A & \longrightarrow & A' & \longrightarrow & A \\
 \searrow p & & \downarrow p^+ & & \swarrow p \\
 & & X. & &
 \end{array}$$

(Note that the domain of $p^+ : A' \rightarrow X$ is not A^+ , unless of course $X = 1$.)

Proof The factorization of a map $f : Y \rightarrow X$ is given by applying the relative $+$ -functor over the codomain,

$$\begin{array}{ccc}
 Y & \xrightarrow{\eta_f} & Y' \\
 & \searrow f & \downarrow f^+ \\
 & & X.
 \end{array}$$

We know by Proposition 3.2 that the unit η_f is always a cofibration, and since f^+ is the free monad algebra for the relative $+$ -monad, it is in particular a $+$ -algebra.

For the lifting condition, consider a cofibration $c : B \rightarrow C$, and a right map $p : A \rightarrow X$ with $+$ -algebra structure map $\alpha : A' \rightarrow A$ over X , and a commutative square as indicated below.

$$\begin{array}{ccccc}
 & & a & & \\
 & B & \xrightarrow{a} & A & \xleftarrow{\alpha} \\
 & \downarrow c & & \downarrow p & \searrow \eta \\
 C & \xrightarrow{x} & X & \xleftarrow{p^+} & A'
 \end{array}$$

Viewed in the slice category over X , we have

$$\begin{array}{ccccc}
 & & a & & \\
 & B & \xrightarrow{a} & A & \xleftarrow{\alpha} \\
 & \downarrow c & & \downarrow p & \searrow \eta \\
 C & \xrightarrow{d} & A^+ & \xleftarrow{\eta} & A'
 \end{array}$$

and we seek an extension d of a along c , as indicated. (Note that we are writing A^+ 88 for the map $p^+ : A' \rightarrow A$ regarded as an object over X , and similarly C for $x : C \rightarrow X$ 89 and B for $xc : B \rightarrow X$ and A for $p : A \rightarrow X$.) Since $(c, a) : B \hookrightarrow C \rightarrow A$ is a cofibrant 90 partial map into A , by the universal property of $\eta : A \rightarrow A^+$ (Proposition 3.2) there 91 is a unique classifying map $\chi : C \rightarrow A^+$ (over X) making a pullback square, 92

$$\begin{array}{ccc} B & \xrightarrow{a} & A \\ c \downarrow & & \downarrow \eta \\ C & \xrightarrow{\chi} & A^+ \end{array}$$

We can set $d := \alpha \circ \chi : C \rightarrow A$ to obtain the required diagonal filler, since $dc = 93$
 $\alpha \chi c = \alpha \eta a = a$, because the square commutes, and α is a retract of η . 94

The closure of the cofibrations under retracts follows from their classification
by a universal object $t : 1 \rightarrow \Phi$, and the closure of the right maps under retracts
follows from their being the algebras for a pointed endofunctor underlying a monad
(cf. [65]). Algebraicity of this weak factorization system follows from the fact that
 $(-)^\perp$ is a fibered monad. \square

Summarizing, we have an algebraic weak factorization system (C, C^\perp) on the 95
category \mathcal{E} , where: 96

$C =$ the cofibrations

$C^\perp =$ the maps underlying relative $+ -$ -algebras

We shall call this the *cofibration weak factorization system*. The right maps will be 97
called *trivial fibrations*, and the class of all such denoted 98

$$\text{TFib} := C^\perp.$$

The cofibration algebraic weak factorization system is a generalization of one 99
defined in [20] and mentioned in [35]. 100

3.4 Uniform Filling Structure

It will be useful to relate relative $+ -$ -algebra structure to the more familiar diagonal 102
filling condition of cofibrantly generated weak factorization systems, and specif- 103
ically the special ones occurring in [28] under the name *uniform filling structure* 104
(this notion is also closely related to that of an *algebraic weak factorization system*, 105
cf. [36, 64]). For the purpose of such comparison, we assume in the remainder of 106
this chapter that $\mathcal{E} = \text{Set}^{\mathbb{C}^\text{op}}$ is a topos of presheaves on a small index category 107

\mathbb{C} , and we write the representable functors in the form $\mathbf{l} = \mathbf{y}(i), \mathbf{J} = \mathbf{y}(j), \dots$ for ¹⁰⁸
 i, j, \dots in \mathbb{C} . ¹⁰⁹

Consider a generating subset of cofibrations consisting of those with representable codomain $c : C \rightarrow \mathbf{l}$, and call these the *basic cofibrations*. ¹¹⁰ ¹¹¹

$$\mathbf{BCof} = \{c : C \rightarrow \mathbf{l} \mid c \in \mathcal{C}, \mathbf{l} \text{ representable}\}. \quad (3.4.1)$$

Proposition 3.7 *For any object X in \mathcal{E} the following are equivalent:* ¹¹²

1. X admits a $+$ -algebra structure: a retraction $\alpha : X^+ \rightarrow X$ of the unit $\eta : X \rightarrow X^+$. ¹¹³
2. $X \rightarrow \mathbf{1}$ is a trivial fibration: it has the right lifting property with respect to all ¹¹⁴
cofibrations, ¹¹⁵

$$\mathcal{C} \pitchfork X.$$

3. X admits a uniform filling structure: for each basic cofibration $c : C \rightarrow \mathbf{l}$ and map ¹¹⁶
 $x : C \rightarrow X$ there is given an extension $j(c, x)$, ¹¹⁷

$$\begin{array}{ccc} C & \xrightarrow{x} & X, \\ \downarrow c & \nearrow j(c, x) & \\ \mathbf{l} & & \end{array} \quad (3.4.2)$$

and the choice is uniform in \mathbf{l} in the following sense. ¹¹⁸

Given any map of representables $u : \mathbf{J} \rightarrow \mathbf{l}$, the pullback $u^*c : u^*C \rightarrow \mathbf{J}$, which ¹¹⁹
is again a basic cofibration, fits into a commutative diagram of the form ¹²⁰

$$\begin{array}{ccccc} u^*C & \xrightarrow{c^*u} & C & \xrightarrow{x} & X, \\ \downarrow u^*c & \lrcorner & \downarrow c & \nearrow j(c, x) & \\ \mathbf{J} & \xrightarrow{u} & \mathbf{l} & & \end{array} \quad (3.4.3)$$

For the pair $(u^*c, x \circ c^*u)$ in (3.4.3), the chosen extension $j(u^*c, x \circ c^*u) : \mathbf{J} \rightarrow X$, is required to be equal to $j(c, x) \circ u$, ¹²¹ ¹²²

$$j(u^*c, x \circ c^*u) = j(c, x) \circ u. \quad (3.4.4)$$

Proof Let (X, α) be a $+$ -algebra and suppose given the span (c, x) as below, with c a cofibration. 123
124

$$\begin{array}{ccc} C & \xrightarrow{x} & X \\ c \downarrow & & \\ Z & & \end{array}$$

Let $\chi(c, x) : Z \rightarrow X^+$ be the classifying map of the cofibrant partial map $(c, x) : Z \leftarrow C \rightarrow X$, so that we have a pullback square as follows. 125
126

$$\begin{array}{ccc} C & \xrightarrow{x} & X \\ c \downarrow & \lrcorner & \downarrow \eta \\ Z & \xrightarrow{\chi(c, x)} & X^+ \end{array} \quad (3.4.5)$$

Then set 127

$$j = \alpha \circ \chi(c, x) : Z \rightarrow X \quad (3.4.6)$$

to get a filler, 128

$$\begin{array}{ccc} C & \xrightarrow{x} & X \\ c \downarrow & \nearrow j & \downarrow \eta \\ Z & \xrightarrow{\chi(c, x)} & X^+ \end{array} \quad (3.4.7)$$

since 129

$$j \circ c = \alpha \circ \chi(c, x) \circ c = \alpha \circ \eta \circ x = x.$$

Thus (1) implies (2). To see that it also implies (3), observe that in the case where $Z = I$ representable, and we specify, in (3.4.6), that 130
131

$$j(c, x) = \alpha \circ \chi(c, x) : I \rightarrow X, \quad (3.4.8)$$

the assignment is then natural in I . Indeed, given any $u : J \rightarrow I$, we have 132

$$j(c', xu') = \alpha \circ \chi(c', xu') = \alpha \circ \chi(c, x) \circ u = j(c, x)u, \quad (3.4.9)$$

by the uniqueness of the classifying maps. 133

It is clear that (2) implies (1), since if $C \pitchfork X$ then we can take as an algebra structure $\alpha : X^+ \rightarrow X$ any filler for the universal span 134
135

$$\begin{array}{ccc} X & \xrightarrow{=} & X \\ \eta \downarrow & \nearrow \alpha & \nwarrow \gamma \\ X^+ & & \end{array}$$

To see that (3) implies (1), suppose that X has a uniform filling structure j and 136
we want to define an algebra structure $\alpha : X^+ \rightarrow X$. By Yoneda, for every $y : I \rightarrow X^+$ 137
we need a map $\alpha(y) : I \rightarrow X$, naturally in I , in the sense that for any $u : J \rightarrow I$, we 138
have 139

$$\alpha(yu) = \alpha(y)u. \quad (3.4.10)$$

Moreover, to ensure that $\alpha\eta = 1_X$, for any $x : I \rightarrow X$ we must have $\alpha(\eta \circ x) = x$. So 140
take $y : I \rightarrow X^+$ and let 141

$$\alpha(y) = j(y^*\eta, y'),$$

as indicated on the right below. 142

$$\begin{array}{ccccc} u^*C & \xrightarrow{u'} & C & \xrightarrow{y'} & X \\ u^*y^*\eta \downarrow & \lrcorner & y^*\eta \downarrow & \lrcorner & \downarrow \eta \\ J & \xrightarrow{u} & I & \xrightarrow{y} & X^+ \\ & & & \nearrow j(y^*\eta, y') & \end{array} \quad (3.4.11)$$

Then for any $u : J \rightarrow I$, we indeed have 143

$$\alpha(yu) = j((yu)^*\eta, y'u') = j(y^*\eta, y') \circ u = \alpha(y)u,$$

by the uniformity of j . Finally, if $y = \eta \circ x$ for some $x : I \rightarrow X$ then 144

$$\alpha(\eta x) = j((\eta x)^*\eta, (\eta x)') = j(1_X, x) = x,$$

because the defining diagram for $\alpha(\eta x)$, i.e. the one on the right in (3.4.11), then factors as

$$\begin{array}{ccccc}
 & \text{I} & \xrightarrow{x} & X & \xrightarrow{=} X, \\
 & \downarrow & \lrcorner & \downarrow & \downarrow \eta \\
 = & & = & & \\
 & \text{I} & \xrightarrow{x} & X & \xrightarrow{\eta} X^+
 \end{array} \tag{3.4.12}$$

and the only possible extension $j(1_X, x)$ for the span $(1_{\text{I}}, x)$ is x itself. \square

Remark 3.8 Observe that the uniformity condition (3) can be extended to the class of all cofibrations, in the form:

4. X admits a (large) uniform filling structure: for each cofibration $c : C \rightarrow Z$ and map $x : C \rightarrow X$ there is given an extension $j(c, x)$,

$$\begin{array}{ccc}
 C & \xrightarrow{x} & X, \\
 c \downarrow & \nearrow j(c, x) & \\
 Z & &
 \end{array} \tag{3.4.13}$$

and the choice is uniform in Z in the following sense: Given any map $u : Y \rightarrow Z$, the pullback $u^*c : u^*C \rightarrow Y$, which is again a cofibration, fits into a commutative diagram of the form

$$\begin{array}{ccccc}
 u^*C & \xrightarrow{c^*u} & C & \xrightarrow{x} & X, \\
 u^*c \downarrow & & c \downarrow & \nearrow j(c, x) & \\
 Y & \xrightarrow{u} & Z & &
 \end{array} \tag{3.4.14}$$

For the pair $(u^*c, x \circ c^*u)$ in (3.4.14), the chosen extension $j(u^*c, x \circ c^*u) : Y \rightarrow X$, is required to be equal to $j(c, x) \circ u$,

$$j(u^*c, x \circ c^*u) = j(c, x) \circ u. \tag{3.4.15}$$

Indeed, the proof that (1) implies (2) and (3) works just as well to infer (4), which in turn implies (2) and (3) as special cases. The equivalence of (1) and (2) of Proposition 3.7 and condition (4) therefore holds for arbitrary (not necessarily presheaf) toposes.

The relative version of the foregoing is entirely analogous, since the $+$ -monad is fibered over \mathcal{E} in the sense of diagram (3.2.1). We therefore omit the entirely

analogous proof of the following (which can also be derived from Proposition 3.7 163 by relativizing to the slice \mathcal{E}/Y). 164

Proposition 3.9 *For any map $f : X \rightarrow Y$ in \mathcal{E} the following are equivalent:* 165

1. $f : X \rightarrow Y$ admits a relative $+$ -algebra structure over Y , i.e. there is a retraction $\alpha : X' \rightarrow X$ over Y of the unit $\eta : X \rightarrow X'$, where $f^+ : X' \rightarrow Y$ is the result of the relative $+$ -functor applied to f , as in Definition 3.5. 166
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2. $f : X \rightarrow Y$ is a trivial fibration, 169

$$C \pitchfork f.$$

3. $f : X \rightarrow Y$ admits a (small) uniform filling structure: for each basic cofibration $c : C \rightarrow I$ and maps $x : C \rightarrow X$ and $y : I \rightarrow Y$ making the square below commute, 170
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172 there is given a diagonal filler $j(c, x, y)$,

$$\begin{array}{ccc} C & \xrightarrow{x} & X \\ c \downarrow & \nearrow j(c, x, y) & \downarrow f \\ I & \xrightarrow{y} & Y, \end{array} \quad (3.4.16)$$

and the choice is uniform in I in the following sense: given any map $u : J \rightarrow I$, the 173 pullback $u^*c : u^*C \rightarrow J$ is again a basic cofibration and fits into a commutative 174 diagram of the form 175

$$\begin{array}{ccccc} u^*C & \xrightarrow{c^*u} & C & \xrightarrow{x} & X \\ u^*c \downarrow & \nearrow & c \downarrow & \nearrow j(c, x, y) & \downarrow f \\ J & \xrightarrow{u} & I & \xrightarrow{y} & Y. \end{array} \quad (3.4.17)$$

For the evident triple $(u^*c, x \circ c^*u, y \circ u)$ in (3.4.17) the chosen diagonal filler 176

$$j(u^*c, x \circ c^*u, y \circ u) : J \rightarrow X$$

is equal to $j(c, x, y) \circ u$, 177

$$j(u^*c, x \circ c^*u, y \circ u) = j(c, x, y) \circ u. \quad (3.4.18)$$

And again, a large version of (3) with arbitrary cofibrations $c : C \rightarrow Z$ is equivalent 178 to (1)–(3), so the relative version holds also for arbitrary (not necessarily presheaf) 179 toposes. 180

Finally, let us collect some basic facts about trivial fibrations that will be needed later: they have sections, they are closed under composition and retracts, and they are closed under pullback and pushforward along all maps. 181
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Corollary 3.10 184

1. Every trivial fibration $A \rightarrow X$ has a section $s : X \rightarrow A$. 185
2. If $a : A \rightarrow X$ is a trivial fibration and $b : B \rightarrow A$ is a trivial fibration, then $a \circ b : B \rightarrow X$ is a trivial fibration. 186
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3. If $a : A \rightarrow X$ is a trivial fibration and $a' : A' \rightarrow X'$ is a retract of a in the arrow category, then a' is a trivial fibration. 188
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4. For any map $f : X \rightarrow Y$ and any trivial fibration $B \rightarrow Y$, the pullback $f^* B \rightarrow X$ is a trivial fibration. 190
191
5. For any map $f : X \rightarrow Y$ and any trivial fibration $A \rightarrow X$, the pushforward $f_* A \rightarrow Y$ is a trivial fibration. 192
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Proof (1) holds because all objects are cofibrant by (C0). (5) is a consequence of (C3), stability of cofibrations under pullback, by a standard argument using the adjunction $f^* \dashv f_*$. The rest hold for the right maps in any weak factorization system. □

Remark 3.11 The structured notion of trivial fibration, vis. relative +-algebra, can also be shown algebraically (i.e. not using Proposition 3.9) to be closed under composition and retracts and preserved by pullback and pushforward. We consider the case of pushforward as an example. Thus consider the following situation with $A \rightarrow X$ a +-algebra with structure α , as indicated. 194
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$$\begin{array}{ccc}
 \begin{array}{c} A \\ \downarrow \eta_A \\ X \end{array} & \xleftarrow{\quad \alpha \quad} & \begin{array}{c} f_* A \\ \downarrow \eta_{f_* A} \\ (f_* A)^+ \end{array} \\
 & \searrow f & \swarrow \beta \\
 & Y &
 \end{array}, \tag{3.4.19}$$

A +-algebra structure for $f_* A \rightarrow Y$ would be a retract $\beta : (f_* A)^+ \rightarrow f_* A$ of $\eta_{f_* A} : f_* A \rightarrow (f_* A)^+$ over Y , which corresponds under $f^* \dashv f_*$ to a map $\tilde{\beta} : f^*((f_* A)^+) \rightarrow A$ over X with 199
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$$\tilde{\beta} \circ f^* \eta_{f_* A} = \epsilon_A$$

as indicated below. 202

$$\begin{array}{ccc}
 f^*f_*A & \xrightarrow{\quad} & f^*((f_*A)^+) \\
 & \searrow^{f^*\eta_{f_*A}} & \downarrow \tilde{\beta} \\
 & \epsilon_A & \nearrow \alpha \\
 & & A \xleftarrow{\eta_A} A^+.
 \end{array} \tag{3.4.20}$$

But since pullback f^* commutes with $+$, there is a canonical iso $c : f^*((f_*A)^+) \cong (f^*f_*A)^+$ with $c \circ f^*\eta_{f_*A} = \eta_{f^*f_*A}$. So we can set $\tilde{\beta} := \alpha \circ (\epsilon_A)^+ \circ c$. 203 204

$$\begin{array}{ccccc}
 & & \eta_{f^*f_*A} & & \\
 & f^*f_*A & \xrightarrow{\quad} & f^*((f_*A)^+) & \xrightarrow{\sim} (f^*f_*A)^+ \\
 & \searrow^{f^*\eta_{f_*A}} & & \downarrow \tilde{\beta} & \downarrow (\epsilon_A)^+ \\
 & \epsilon_A & \nearrow & A \xleftarrow{\eta_A} A^+ &
 \end{array} \tag{3.4.21}$$

Chapter 4

The Fibration Weak Factorization System

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Returning from the elementary case to the particular presheaf topos \mathbf{cSet} of cubical sets, we now specify a second weak factorization system, with a restricted class of “trivial” cofibrations on the left, and an expanded class of right maps, the *fibrations*. As explained in the introduction, we first recall from [35] what we shall call the “biased” notion of fibration, before generalizing the endpoints $\delta_0, \delta_1 : 1 \rightarrow I$ to arbitrary points $\delta : 1 \rightarrow I$ in the “unbiased” version appropriate to the more general Cartesian setting. The two versions are equivalent in the presence of *connections*

$$\vee, \wedge : I \times I \rightarrow I$$

on the cubes, which are used in [?] to determine a model structure with biased fibrations. In [14] it is shown that the biased fibrations of op.cit. agree with those specified in the “logical style” of [28, 60]. This is not the case, however, for the purely Cartesian case, without connections, which are *not* assumed in the category \square of Cartesian cubes. The unbiased approach will amount to adding further weak equivalences (fewer fibrations, therefore more *trivial* cofibrations). In this setting, the methods of [?] no longer apply; we must therefore find new proofs of several basic results, including notably the Frobenius condition.

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4.1 Partial Box Filling (Biased Version)

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The *generating biased trivial cofibrations* are all maps of the form

$$c \otimes \delta_\epsilon : D \rightarrow Z \times I, \quad (4.1.1)$$

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where:

1. $c : C \rightarrow Z$ is an arbitrary cofibration,
2. $\delta_\epsilon : 1 \rightarrow I$ is one of the two *endpoint inclusions*, for $\epsilon = 0, 1$.
3. $c \otimes \delta_\epsilon$ is the *pushout-product* indicated in the following diagram.

$$\begin{array}{ccc}
 C \times 1 & \xrightarrow{C \times \delta_\epsilon} & C \times I \\
 c \times 1 \downarrow & & \downarrow \\
 Z \times 1 & \longrightarrow & Z +_C (C \times I) \\
 & \searrow & \swarrow \\
 & Z \times \delta_\epsilon & \xrightarrow{c \otimes \delta_\epsilon} Z \times I
 \end{array} \tag{4.1.2}$$

4. $D = Z +_C (C \times I)$ is the indicated domain of the map $c \otimes \delta_\epsilon$.

In order to ensure that such maps are indeed cofibrations, we henceforth assume two further axioms in addition to (C1)–(C4) from Definition 3.1:

- (C5) The endpoint inclusions $\delta_\epsilon : 1 \rightarrow I$ are cofibrations, for $\epsilon = 0, 1$.
- (C6) The cofibrations are closed under joins $A \vee B \rightarrow C$ of subobjects $A, B \rightarrow C$ of any object C .

Remark 4.1 Note that since $\delta_0 : 1 \rightarrow I$ and $\delta_1 : 1 \rightarrow I$ are disjoint, by (C5) and stability under pullbacks we have that $0 \rightarrow 1$ is a cofibration, so by stability again $0 \rightarrow A$ is always a cofibration. Thus (C0) is no longer required. From (C6) it follows that cofibrations are closed under pushout-products $a \otimes b$ in the arrow category. It also then follows from (C5) that the boundary $\partial : 1 + 1 \rightarrow I$ is a cofibration.

4.2 Fibrations (Biased Version)

Now let

$$C \otimes \delta_\epsilon = \{c \otimes \delta_\epsilon : D \rightarrow Z \times I \mid c \in C, \epsilon = 0, 1\}$$

be the class of all generating biased trivial cofibrations. The *biased fibrations* are defined to be the right class of these maps,

$$(C \otimes \delta_\epsilon)^\pitchfork = \mathcal{F}.$$

Thus a map $f : Y \rightarrow X$ is a biased fibration just if for every commutative square of 42
the form 43

$$\begin{array}{ccc} Z +_C (C \times I) & \longrightarrow & Y \\ c \otimes \delta_\epsilon \downarrow & \nearrow j & \downarrow f \\ Z \times I & \longrightarrow & X \end{array} \quad (4.2.1)$$

with a generating biased trivial cofibration on the left, there is a diagonal filler j as 44
indicated. 45

To relate this notion of fibration to the cofibration weak factorization system, fix 46
any map $u : A \rightarrow B$, and recall (e.g. from [47, 65]) that the pushout-product with u 47
is a functor on the arrow category 48

$$(-) \otimes u : \mathbf{cSet}^2 \rightarrow \mathbf{cSet}^2.$$

This functor has a right adjoint, the *pullback-hom*, which for a map $f : Y \rightarrow X$ we 49
shall write as 50

$$(u \Rightarrow f) : Y^B \longrightarrow (X^B \times_{X^A} Y^A).$$

The pullback-hom is determined as indicated in the following diagram. 51

$$\begin{array}{ccccc} Y^B & \xrightarrow{\quad Y^u \quad} & & & \\ \text{---} \nearrow u \Rightarrow f & \text{---} \searrow & & & \\ & X^B \times_{X^A} Y^A & \longrightarrow & Y^A & \\ \text{---} \searrow f^B & \text{---} \downarrow & & \text{---} \downarrow f^A & \\ & X^B & \xrightarrow{\quad X^u \quad} & X^A & \end{array} \quad (4.2.2)$$

The $\otimes \dashv \Rightarrow$ adjunction on the arrow category has the following useful relation 52
to weak factorization systems (cf. [35, 47, 65]), where for any maps $a : A \rightarrow B$ and 53
 $f : Y \rightarrow X$ we write 54

$$a \pitchfork f$$

to mean that for every solid square of the form

$$\begin{array}{ccc} A & \xrightarrow{\quad} & Y \\ a \downarrow & \nearrow j & \downarrow f \\ B & \xrightarrow{\quad} & X \end{array} \quad (4.2.3)$$

there exists a diagonal filler j as indicated.

Lemma 4.2 *For any maps $a : A_0 \rightarrow A_1$, $b : B_0 \rightarrow B_1$, $c : C_0 \rightarrow C_1$ in \mathbf{cSet} ,*

$$(a \otimes b) \pitchfork c \quad \text{iff} \quad a \pitchfork (b \Rightarrow c).$$

The following is now a direct corollary.

Proposition 4.3 *An object X is fibrant if and only if both of the endpoint projections $X^I \rightarrow X$ from the pathspace are trivial fibrations. More generally, a map $f : Y \rightarrow X$ is a fibration just if both of the maps*

$$(\delta_\epsilon \Rightarrow f) : Y^I \rightarrow X^I \times_X Y$$

are trivial fibrations (for $\epsilon = 0, 1$).

4.3 Fibration Structure (Biased Version)

The $\otimes \dashv \Rightarrow$ adjunction determines the fibrations in terms of the trivial fibrations, which in turn can be determined by *uniform* lifting against a *small category* consisting of basic cofibrations and pullback squares between them, by Proposition 3.9. The fibrations are similarly determined by *uniform* lifting against the *small category* of basic, biased trivial cofibrations, consisting of all those $c \otimes \delta_\epsilon$ in $C \otimes \delta_\epsilon$ where $c : C \rightarrowtail I^n$ is a *basic* cofibration, i.e. one with representable codomain. Thus the set of *basic biased trivial cofibrations* is

$$\mathbf{BCof} \otimes \delta_\epsilon = \{c \otimes \delta_\epsilon : B \rightarrowtail I^{n+1} \mid c : C \rightarrowtail I^n, \epsilon = 0, 1, n \geq 0\}, \quad (4.3.1)$$

where the pushout-product $c \otimes \delta_\epsilon$ now takes the simpler form

$$\begin{array}{ccccc}
 C & \longrightarrow & C \times I & & \\
 \downarrow & & \downarrow & & \\
 I^n & \longrightarrow & I^n +_C (C \times I) & & \\
 & & \swarrow c \otimes \delta_\epsilon & \searrow & \\
 & & I^n \times I & &
 \end{array} \tag{4.3.2}$$

for a basic cofibration $c : C \rightarrow I^n$, an endpoint $\delta_\epsilon : 1 \rightarrow I$, and with domain $B = (I^n +_C (C \times I))$. These subobjects $B \hookrightarrow I^{n+1}$ can be seen geometrically as generalized open box inclusions.

For any map $f : Y \rightarrow X$ a *uniform, biased fibration structure* on f is a choice of diagonal fillers $j_\epsilon(c, x, y)$,

$$\begin{array}{ccc}
 I^n +_C (C \times I) & \xrightarrow{x} & X \\
 \downarrow c \otimes \delta_\epsilon & \nearrow j_\epsilon(c, x, y) & \downarrow f \\
 I^n \times I & \xrightarrow{y} & Y,
 \end{array} \tag{4.3.3}$$

for each basic biased trivial cofibration $c \otimes \delta_\epsilon : B = (I^n +_C (C \times I)) \rightarrow I^{n+1}$ and maps $x : B \rightarrow X$ and $y : I^{n+1} \rightarrow Y$, which is *uniform in I^n* in the following sense: Given any cubical map $u : I^m \rightarrow I^n$, the pullback $u^*c : u^*C \rightarrow I^m$ of $c : C \rightarrow I^n$ along u determines another basic biased trivial cofibration

$$u^*c \otimes \delta_\epsilon : B' = (I^m +_{u^*C} (u^*C \times I)) \rightarrow I^{m+1},$$

which fits into a commutative diagram of the form

$$\begin{array}{ccccc}
 I^m +_{u^*C} (u^*C \times I) & \xrightarrow{(u \times I)'} & I^n +_C (C \times I) & \xrightarrow{x} & X \\
 \downarrow u^*c \otimes \delta_\epsilon & & \downarrow c \otimes \delta_\epsilon & \nearrow j_\epsilon(c, x, y) & \downarrow f \\
 I^m \times I & \xrightarrow{u \times I} & I^n \times I & \xrightarrow{y} & Y,
 \end{array} \tag{4.3.4}$$

by applying the functor $(-) \otimes \delta_\epsilon$ to the pullback square relating u^*c to c . For the outer rectangle in (4.3.4) there is then a chosen diagonal filler

$$j_\epsilon(u^*c, x \circ (u \times I)', y \circ (u \times I)) : I^m \times I \rightarrow X,$$

and for this map we require that

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$$j_\epsilon(u^*c, x \circ (u \times I)', y \circ (u \times I)) = j_\epsilon(c, x, y) \circ (u \times I). \quad (4.3.5)$$

This can be seen to be a reformulation of the logical specification given in [28] (see [14]).

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Definition 4.4 A *uniform, biased fibration structure* on a map $f : Y \rightarrow X$ is a choice of fillers $j_\epsilon(c, x, y)$ as in (4.3.3) satisfying (4.3.5) for all maps $u : I^m \rightarrow I^n$.

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Finally, we have the analogue of Proposition 3.7 for fibrant objects. The analogous statement of Proposition 3.9 for fibrations is omitted, as is the entirely analogous proof.

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Corollary 4.5 For any object X in \mathbf{cSet} the following are equivalent:

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1. X is biased fibrant, in the sense that every map $D \rightarrow X$ from the domain of a generating biased trivial cofibration $D \rightarrow Z \times I$ extends to a total map $Z \times I \rightarrow X$,

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$$C \otimes \delta_\epsilon \pitchfork X.$$

2. The canonical maps $(\delta_\epsilon \Rightarrow X) : X^I \rightarrow X$ are trivial fibrations.
3. $X \rightarrow 1$ admits a uniform biased fibration structure. Explicitly, for each basic biased trivial cofibration $c \otimes \delta_\epsilon : B \rightarrow I^{n+1}$ and map $x : B \rightarrow X$, there is given an extension $j_\epsilon(c, x)$,

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$$\begin{array}{ccc} B & \xrightarrow{x} & X, \\ c \otimes \delta_\epsilon \downarrow & \nearrow j_\epsilon(c, x) & \nwarrow \text{?} \\ I^{n+1} & & \end{array} \quad (4.3.6)$$

and, moreover, the choice is uniform in I^n in the following sense: Given any cubical map $u : I^m \rightarrow I^n$, the pullback $u^*c \otimes \delta_\epsilon : B' \rightarrow I^m \times I$ fits into a commutative diagram of the form

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$$\begin{array}{ccccc} B' & \xrightarrow{(u \times I)'} & B & \xrightarrow{x} & X, \\ u^*c \otimes \delta_\epsilon \downarrow & \nearrow & c \otimes \delta_\epsilon \downarrow & \nearrow & \nwarrow \text{?} \\ I^m \times I & \xrightarrow{u \times I} & I^n \times I & & \\ & & & \nearrow j(c, x) & \end{array} \quad (4.3.7)$$

For the pair $(u^*c \otimes \delta_\epsilon, x \circ (u \times I)')$ in (4.3.7) the chosen extension

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$$j(u^*c \otimes \delta_\epsilon, x \circ (u \times I)') : I^m \times I \rightarrow X$$

is equal to $j(c, x) \circ (u \times I)$,

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$$j(u^*c \otimes \delta_\epsilon, x \circ (u \times I)') = j(c, x)(u \times I). \quad (4.3.8)$$

4.4 Partial Box Filling (Unbiased Version)

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Rather than building a weak factorization system based on the foregoing notion of biased fibration (as is done in [35]), we shall first eliminate the “bias” with respect to the endpoints $\delta_\epsilon : 1 \rightarrow I$, for $\epsilon = 0, 1$. This will have the effect of adding more trivial cofibrations, and thus more weak equivalences, to our model structure. Consider first the simple path-lifting condition for a map $f : Y \rightarrow X$, which is a special case of (4.2.1) with $c = ! : 0 \rightarrow 1$, so that $! \otimes \delta_\epsilon = \delta_\epsilon$.

$$\begin{array}{ccc} 1 & \longrightarrow & Y \\ \downarrow \delta_\epsilon & \nearrow & \downarrow f \\ I & \longrightarrow & X \end{array}$$

In topological spaces, for instance, rather than requiring lifts j_ϵ for each of the endpoints $\epsilon = 0, 1$ of the real interval $I = [0, 1]$, one could equivalently require there to be a lift j_i for each point $i : 1 \rightarrow I$. Such “unbiased path-lifting” can be formulated in \mathbf{cSet} by introducing a “generic point” $\delta : 1 \rightarrow I$ by passing to \mathbf{cSet}_I via the pullback functor $I^* : \mathbf{cSet} \rightarrow \mathbf{cSet}_I$ and then requiring path-lifting for I^*f with respect to $\delta : I \rightarrow I \times I$, regarded as a map $\delta : 1 \rightarrow I^*I$ in \mathbf{cSet}_I . We shall therefore define f to be an unbiased fibration just if I^*f is a δ -biased fibration for the generic point δ . The following specification implements that idea, while also adding cofibrant partiality, as in the biased case.

We begin by replacing axiom (C5) with the following, stronger assumption, which will be assumed henceforth.

(C7) The diagonal map $\delta : I \rightarrow I \times I$ of the interval I is a cofibration.

The unbiased notion of a fibration for \mathbf{cSet} is now as follows.

Definition 4.6 (Unbiased Fibration) Let $\delta : I \rightarrow I \times I$ be the diagonal map.

1. An object X is *unbiased fibrant* if the map

$$(\delta \Rightarrow X) = \langle \mathbf{eval}, p_2 \rangle : X^I \times I \rightarrow X \times I$$

is a trivial fibration.

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2. A map $f : Y \rightarrow X$ is an *unbiased fibration* if the map

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$$(\delta \Rightarrow f) = \langle f^I \times I, \langle \text{eval}, p_2 \rangle \rangle : Y^I \times I \rightarrow (X^I \times I) \times_{(X \times I)} (Y \times I)$$

is a trivial fibration.

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Let us (temporarily) write $\mathbb{I} = I^* I$ for the pulled-back interval in the slice category $\mathbf{cSet}_{/I}$, so that the generic point is written $\delta : 1 \rightarrow \mathbb{I}$. Condition (1) above (which of course is a special case of (2)) then says that evaluation at the generic point $\delta : 1 \rightarrow \mathbb{I}$, the map $(I^* X)^\delta : (I^* X)^\mathbb{I} \rightarrow I^* X$, constructed in the slice category $\mathbf{cSet}_{/I}$, is a trivial fibration. Condition (2) says that the pullback-hom of the generic point $\delta : 1 \rightarrow \mathbb{I}$ with $I^* f$, constructed in the slice category $\mathbf{cSet}_{/I}$, is a trivial fibration. Thus a map $f : Y \rightarrow X$ is an *unbiased fibration* just if its base change $I^* f$ is a δ -biased fibration in the slice category $\mathbf{cSet}_{/I}$. The latter condition can also be reformulated as follows.

Proposition 4.7 *A map $f : Y \rightarrow X$ is an unbiased fibration if and only if the canonical map u to the pullback, in the following diagram in \mathbf{cSet} , is a trivial fibration.*

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$$\begin{array}{ccc} Y^I \times I & \xrightarrow{\quad \text{eval} \quad} & Y \\ \downarrow u & \nearrow f^{I \times I} & \downarrow f \\ Y_{\text{eval}} & \xrightarrow{\quad} & Y \\ \downarrow & & \downarrow \\ X^I \times I & \xrightarrow{\quad \text{eval} \quad} & X. \end{array} \quad (4.4.1)$$

Proof We interpolate another pullback into the rectangle in (4.4.1) to obtain

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$$\begin{array}{ccccc} Y_{\text{eval}} & \longrightarrow & Y \times I & \longrightarrow & Y \\ \downarrow & & \downarrow & & \downarrow f \\ X^I \times I & \longrightarrow & X \times I & \longrightarrow & X \end{array} \quad (4.4.2)$$

with the evident maps. The left hand square is therefore a pullback, so we indeed have that

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$$Y_{\text{eval}} \cong (X^I \times I) \times_{(X \times I)} (Y \times I) \cong (X^I \times I) \times_X Y$$

and $u = (\delta \Rightarrow f)$.

□

As a special case, we have:

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Corollary 4.8 An object X is unbiased fibrant if and only if the canonical map u to the pullback, in the following diagram in \mathbf{cSet} , is a trivial fibration. 146
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$$\begin{array}{ccccc}
 X^I \times I & \xrightarrow{\quad \text{eval} \quad} & & & \\
 \downarrow u & \nearrow p_2 & & & \\
 I \times X & \xrightarrow{\quad} & X & \downarrow & \\
 \downarrow & & & & \\
 I & \xrightarrow{\quad} & 1. & &
 \end{array} \tag{4.4.3}$$

Now we can run the proof of Proposition 4.3 backwards in order to determine a class of generating trivial cofibrations for the unbiased case. Consider pairs of maps $c : C \rightarrow Z$ and $i : Z \rightarrow I$, where the former is a cofibration and the latter is regarded as an “I-indexing”, so that 148
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$$\begin{array}{ccc}
 C & \xrightarrow{c} & Z \\
 & \searrow & \downarrow i \\
 & & I
 \end{array}$$

is regarded as an “I-indexed family of cofibrations $c_i : C_i \rightarrow Z_i$ ”. We shall use the notation 152
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$$\langle i \rangle := \langle 1_Z, i \rangle : Z \rightarrow Z \times I, \tag{4.4.4}$$

for the graph of the indexing map $i : Z \rightarrow I$. Then write 154

$$c \otimes_i \delta := [\langle i \rangle, c \times I] : Z +_C (C \times I) \rightarrow Z \times I,$$

which is easily seen to be well-defined on the indicated pushout below. 155

$$\begin{array}{ccccc}
 C & \xrightarrow{(ic)} & C \times I & & \\
 \downarrow c & & \downarrow & & \\
 Z & \longrightarrow & Z +_C (C \times I) & \xrightarrow{c \times I} & \\
 & \searrow & \swarrow c \otimes_i \delta & & \\
 & & Z \times I. & &
 \end{array} \tag{4.4.5}$$

Remark 4.9 The specification (4.4.5) differs from the similar (4.1.2) by using the graph $\langle i \rangle : Z \rightarrow Z \times I$ for the inclusion of Z into the cylinder over Z , rather than one of the two “ends”, 156
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$$\langle 1_Z, \delta_\epsilon ! \rangle : Z \cong Z \times 1 \xrightarrow{Z \times \delta_\epsilon} Z \times I \quad (4.4.6)$$

arising from the endpoint inclusions $\delta_\epsilon : 1 \rightarrow I$, for $\epsilon = 0, 1$. As an arrow over I , the graph $\langle i \rangle : Z \rightarrow Z \times I$ also takes the form (4.4.6), namely 159
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$$\langle i \rangle = \langle 1_Z, \delta ! \rangle : Z \rightarrow Z \times I.$$

If we also regard $c : C \rightarrow Z$ as an arrow over I via $i : Z \rightarrow I$, and use the generic point $\delta : 1 \rightarrow I$ over I in place of $\delta_\epsilon : 1 \rightarrow I$, then (4.4.5) agrees with (4.1.2), up to those changes. Thus the indicated map $c \otimes_i \delta$ in (4.4.5) is the pushout-product constructed over I of the generic point δ , which is a cofibration by (C7), with the map c regarded as an I -indexed family of cofibrations via the indexing $i : Z \rightarrow I$. 161
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Observe that for any map $i : Z \rightarrow I$, the graph $\langle i \rangle = \langle 1_Z, i \rangle : Z \rightarrow Z \times I$ is a cofibration, since it is a pullback of the diagonal of I along $i \times I$. The subobject 166
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$$c \otimes_i \delta : Z \times I$$

constructed in (4.4.5) is therefore a cofibration, since it is the join in the lattice $\text{Sub}(Z \times I)$ of the cofibrant subobjects $\langle i \rangle : Z \times I$ and $C \times I \rightarrow Z \times I$, where the latter is the “cylinder over $C \rightarrow Z$ ”. 168
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Definition 4.10 The maps of the form $c \otimes_i \delta : Z +_C (C \times I) \rightarrow Z \times I$ now form the class of generating unbiased trivial cofibrations, 171
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$$C \otimes \delta = \{c \otimes_i \delta : D \rightarrow Z \times I \mid c : C \rightarrow Z, i : Z \rightarrow I\}. \quad (4.4.7)$$

We can then show that the unbiased fibrations are exactly the right class of these maps, 173
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$$(C \otimes \delta)^\pitchfork = \mathcal{F}.$$

Proposition 4.11 A map $f : Y \rightarrow X$ is an unbiased fibration iff for every pair of maps $c : C \rightarrow Z$ and $i : Z \rightarrow I$, where the former is a cofibration, every commutative square of the following form has a diagonal filler, as indicated in the following. 175
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$$\begin{array}{ccc} Z +_C (C \times I) & \longrightarrow & Y \\ c \otimes_i \delta \downarrow & \nearrow j & \downarrow f \\ Z \times I & \longrightarrow & X. \end{array} \quad (4.4.8)$$

Proof Suppose that for all $c : C \rightarrow Z$ and $i : Z \rightarrow I$, we have $(c \otimes_i \delta) \pitchfork f$ in \mathbf{cSet} . Pulling f back over I , this is equivalent to the condition $c \otimes \delta \pitchfork I^* f$ in \mathbf{cSet}_I , for all cofibrations $c : C \rightarrow Z$ over I , which is equivalent to $c \pitchfork (\delta \Rightarrow I^* f)$ in \mathbf{cSet}_I for all cofibrations $c : C \rightarrow Z$. But this in turn means that $\delta \Rightarrow I^* f$ is a trivial fibration, which by definition means that f is an unbiased fibration. \square

Remark 4.12 A warning is perhaps in order that the collection $C \otimes \delta$ of generating \mathbf{cSet} unbiased trivial cofibrations is a proper class; we shall consider the generating subset $\mathbf{BCof} \otimes \delta \subset C \otimes \delta$ in (4.5.2) below. 178
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Note also that the endpoints $\delta_\epsilon : 1 \rightarrow I$, in particular, are of the form $c \otimes_i \delta$ by taking $Z = 1$ and $i = \delta_\epsilon$ and $c = ! : 0 \rightarrow 1$, so that the case of biased filling is subsumed. Moreover, for any $i : Z \rightarrow I$ the graph $\langle i \rangle : Z \rightarrow Z \times I$ is itself of the form $0 \otimes_i \delta$ for the cofibration $0 \rightarrow Z$, so the graph of any “ I -indexing” map $i : Z \rightarrow I$ is also a trivial cofibration. 181
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The following sanity check will also be needed later. 186

Proposition 4.13 Let $f : F \rightarrow X$ be an unbiased fibration in \mathbf{cSet} . Then for the endpoints $\delta_0, \delta_1 : 1 \rightarrow I$, the associated pullback-homs, 187
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$$\delta_\epsilon \Rightarrow f : F^I \rightarrow X^I \times_X F \quad (\epsilon = 0, 1) \quad (4.4.9)$$

are also trivial fibrations. Thus unbiased fibrations are also δ_ϵ -biased fibrations, for $\epsilon = 0, 1$. 189
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Proof This follows from Remark 4.12 and the $\otimes \dashv \Rightarrow$ adjunction, but we give a different proof. Consider the case $X = 1$, the general one $f : F \rightarrow X$ being analogous. Thus let F be an unbiased fibrant object in \mathbf{cSet} . So by definition $(I^* F)^\delta : (I^* F)^{\mathbb{I}} \rightarrow I^* F$ in \mathbf{cSet}_I is a trivial fibration. Pulling back $\delta : 1 \rightarrow \mathbb{I}$ in \mathbf{cSet}_I along the base change $\delta_\epsilon : 1 \rightarrow I$ takes it to $\delta_\epsilon : 1 \rightarrow I$ in \mathbf{cSet} , by the universal property of the generic point $\delta : 1 \rightarrow \mathbb{I}$; that is $\delta_\epsilon^*(\delta) = \delta_\epsilon : 1 \rightarrow I$. So $(I^* F)^\delta : (I^* F)^{\mathbb{I}} \rightarrow I^* F$ is taken by δ_ϵ^* to 191
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$$\delta_\epsilon^*((I^* F)^\delta) = (\delta_\epsilon^* I^* F)^{\delta_\epsilon^*\delta} = F^{\delta_\epsilon} : F^I \rightarrow F,$$

as shown in the following. 198

$$\begin{array}{ccccc}
 F^I & \xrightarrow{\quad} & (I^* F)^{\mathbb{I}} & & \\
 \downarrow F^{\delta_\epsilon} & \lrcorner & \downarrow (I^* F)^\delta & & \\
 F & \xrightarrow{\quad} & I^* F & \xrightarrow{\quad} & F \\
 \downarrow & \lrcorner & \downarrow & \lrcorner & \downarrow \\
 1 & \xrightarrow{\quad} & I & \xrightarrow{\quad} & 1
 \end{array} \quad \delta_\epsilon \quad (4.4.10)$$

And pullback preserves trivial fibrations. □

4.5 Unbiased Fibration Structure

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As in the biased case, the fibrations can be determined by *uniform* right-lifting against a *small category* of unbiased trivial cofibrations, now consisting of all those $c \otimes_i \delta$ in $C \otimes \delta$ for which $c : C \rightarrow I^n$ is basic, i.e. has representable codomain. Call these maps the *basic unbiased trivial cofibrations*, and let

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$$\text{BCof} \otimes \delta = \{c \otimes_i \delta : B \rightarrow I^{n+1} \mid c : C \rightarrow I^n, i : I^n \rightarrow I, n \geq 0\}, \quad (4.5.1)$$

where the pushout-product $c \otimes_i \delta$ now has the form

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$$\begin{array}{ccc} C & \xrightarrow{\langle ic \rangle} & C \times I \\ c \downarrow & & \downarrow \\ I^n & \longrightarrow & I^n +_C (C \times I) \\ & \searrow & \swarrow \\ & \text{graph } c \otimes_i \delta & \\ & \nearrow & \searrow \\ & I^n \times I & \end{array} \quad (4.5.2)$$

for a basic cofibration $c : C \rightarrow I^n$ and an indexing map $i : I^n \rightarrow I$, and with domain $B = (I^n +_C (C \times I))$. These subobjects $B \rightarrow I^{n+1}$ can again be seen geometrically as “generalized open box inclusions”, but now the floor and lid of the open box are generalized to the graph of an arbitrary map $i : I^n \rightarrow I$.

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For any map $f : Y \rightarrow X$ a uniform, unbiased fibration structure on f is then a choice of diagonal fillers $j(c, i, x, y)$,

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$$\begin{array}{ccc} B & \xrightarrow{x} & X \\ c \otimes_i \delta \downarrow & \nearrow j(c, i, x, y) & \downarrow f \\ I^n \times I & \xrightarrow{y} & Y, \end{array} \quad (4.5.3)$$

for each basic trivial cofibration $c \otimes_i \delta : B \rightarrow I^{n+1}$, which is *uniform in I^n* in the following sense: Given any cubical map $u : I^m \rightarrow I^n$, the pullback $u^*c : u^*C \rightarrow I^m$ and the reindexing $iu : I^m \rightarrow I^n \rightarrow I$ determine another basic trivial cofibration $u^*c \otimes_{iu} \delta : B' = (I^m +_{u^*C} (u^*C \times I)) \rightarrow I^{m+1}$, which fits into a commutative

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diagram of the form

$$\begin{array}{ccccc}
 & & (u \times I)' & & \\
 & B' & \xrightarrow{(u \times I)'} & B & \xrightarrow{x} X \\
 u^* c \otimes_{iu} \delta \downarrow & & c \otimes_i \delta \downarrow & & f \downarrow \\
 I^m \times I & \xrightarrow{u \times I} & I^n \times I & \xrightarrow{y} & Y.
 \end{array} \quad (4.5.4)$$

For the outer rectangle in (4.5.4) there is a chosen diagonal filler

$$j(u^* c, iu, x(u \times I)', y(u \times I)) : I^m \times I \rightarrow X,$$

and for this map we require that

$$j(u^* c, iu, x(u \times I)', y(u \times I)) = j(c, i, x, y) \circ (u \times I). \quad (4.5.5)$$

Definition 4.14 A *uniform, unbiased fibration structure* on a map

$$f : Y \rightarrow X$$

is a choice of fillers $j(c, i, x, y)$ as in (4.5.3) satisfying (4.5.5) for all cubical maps $u : I^m \rightarrow I^n$.

In these terms, we have the following analogue of Corollary 4.5.

Proposition 4.15 For any object X in \mathbf{cSet} the following are equivalent:

- 1. X is an unbiased fibrant object in the sense of Definition 4.6: the canonical map $\delta \Rightarrow X : X^I \times I \rightarrow X \times I$ is a trivial fibration.
- 2. X has the right lifting property with respect to all generating unbiased trivial cofibrations,

$$(C \otimes \delta) \pitchfork X.$$

- 3. X has a uniform, unbiased fibration structure in the sense of Definition 4.14.

Proof The equivalence between (1) and (2) is Proposition 4.11. So assume (1). Then in \mathbf{cSet}/\mathbb{I} , the evaluation at $\delta : 1 \rightarrow \mathbb{I}$,

$$(I^* X)^\delta : (I^* X)^\mathbb{I} \longrightarrow X$$

is a trivial fibration. By Proposition 3.9 it therefore has a uniform filling structure with respect to all basic cofibrations $c : C \hookrightarrow I^n$ over I . Transposing by the $\otimes \dashv \Rightarrow$ adjunction and unwinding then gives exactly a uniform fibration structure on X . \square

A statement analogous to the foregoing also holds for maps $f : Y \rightarrow X$ in place of objects X . Indeed, as before, we have the following sharper formulation. 229
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Corollary 4.16 *Uniform, unbiased fibration structures on a map $f : Y \rightarrow X$ correspond uniquely to relative +algebra structures on the map $(\delta \Rightarrow f)$ (cf. Definition 4.6),* 231
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$$(\delta \Rightarrow f) : Y^I \times I \longrightarrow (X^I \times I) \times_{(X \times I)} (Y \times I).$$

4.6 Factorization

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Definition 4.17 Summarizing the foregoing definitions, we have the following classes of maps: 235
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- The generating unbiased trivial cofibrations were determined in (4.4.7) as the class 237
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$$C \otimes \delta = \{c \otimes_i \delta : D \rightarrow Z \times I \mid c : C \rightarrow Z, i : Z \rightarrow I\}, \quad (4.6.1)$$

where $D = (Z +_C (C \times I))$ and the pushout-product $c \otimes_i \delta$ has the form 239

$$\begin{array}{ccc} C & \xrightarrow{\langle ic \rangle} & C \times I \\ c \downarrow & & \downarrow \\ Z & \xrightarrow{\quad} & Z +_C (C \times I) \\ & \searrow & \swarrow \\ & \xrightarrow{\quad c \otimes_i \delta \quad} & Z \times I \end{array} \quad (4.6.2)$$

for any cofibration $c : C \rightarrow Z$ and indexing map $i : Z \rightarrow I$. 240

- The class \mathcal{F} of unbiased fibrations, which can be characterized as the right-lifting class of the generating unbiased trivial cofibrations, 241
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$$(C \otimes \delta)^{\dagger} = \mathcal{F}.$$

- The class of unbiased trivial cofibrations is then defined to be left-lifting class of the fibrations, 243
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$$\mathbf{T}\mathbf{Cof} = {}^{\dagger}\mathcal{F}.$$

It follows that the classes \mathbf{TCof} and \mathcal{F} are closed under retracts and are mutually weakly orthogonal, $\mathbf{TCof} \pitchfork \mathcal{F}$. Thus in order to have a weak factorization system $(\mathbf{TCof}, \mathcal{F})$ it just remains to show the following.

Lemma 4.18 *Every map $f : X \rightarrow Y$ in \mathbf{cSet} can be factored as $f = p \circ i$,*

$$\begin{array}{ccc} X & \xrightarrow{i} & X' \\ & \searrow f & \downarrow p \\ & Y & \end{array} \quad (4.6.3)$$

with $i : X \rightarrow X'$ an unbiased trivial cofibration and $p : X' \rightarrow Y$ an unbiased fibration.

Proof We can use a standard argument (the “algebraic small object argument”, cf. [36, 64]), which can be further simplified using the fact that the codomains of the basic trivial cofibrations $c \otimes_i \delta : B \rightarrow I^{n+1}$ from (4.5.1) are not just representable, but *tiny* in the sense of Proposition 2.3, and the domains are not merely “small”, but *finitely presented*. Note in particular that the collection $\mathbf{BCof} \otimes \delta$ is a set. The reader is referred to [6] for details in a similar case. \square

Remark 4.19 The proof in ibid. actually produces a stronger result than we need, namely an *algebraic* weak factorization system. This follows from the small generating category $\mathbf{BCof} \otimes \delta$ of basic unbiased trivial cofibrations (and pullback squares of the form on the left in (4.5.4)). The relationship between this stronger condition and the *classifying types* used in Chap. 7 is studied in [69], which also gives an even more “constructive” proof of the factorization Lemma 4.18, not requiring quotients, exactness, or impredicativity. With this modification, the present approach can also be used in a *quasi-topos*, as occurs in e.g. realizability and sheaves.

Proposition 4.20 *There is a weak factorization system on the category \mathbf{cSet} in which the right maps are the unbiased fibrations and the left maps are the unbiased trivial cofibrations, both as specified in Definition 4.17. This will be called the (unbiased) fibration weak factorization system.*

Hereafter, unless otherwise stated, all fibrations in \mathbf{cSet} are assumed to be unbiased.

AUTHOR QUERY

- AQ1.** Please provide appropriate citation instead of “[?]” in the sentences “The two versions are equivalent ...” and “In this setting, the methods...”.

Uncorrected Proof

Chapter 5

The Weak Equivalences

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Our approach to proving that the classes C and \mathcal{F} of cofibrations and fibrations, 3
as defined in Chaps. 3 and 4, determine a model structure on \mathbf{cSet} will be to first 4
identify a *premodel structure* in the sense of [15], and then turn to the question of 5
the 3-for-2 property for the resulting weak equivalences. 6

Definition 5.1 (Weak Equivalence) A map $f : X \rightarrow Y$ in \mathbf{cSet} is a *weak* 7
equivalence if it can be factored as $f = g \circ h$, 8

$$\begin{array}{ccc} X & \xrightarrow{h} & W \\ & \searrow f & \downarrow g \\ & & Y \end{array}$$

with $h \pitchfork \mathcal{F}$ and $C \pitchfork g$. Accordingly, let 9

$$\begin{aligned} \mathcal{W} &= \mathbf{TFib} \circ \mathbf{TCof} \\ &= \{f : X \rightarrow Y \mid f = g \circ h \text{ for some } g \in \mathbf{TFib} \text{ and } h \in \mathbf{TCof}\} \end{aligned}$$

be the class of weak equivalences. 10

Observe first that every trivial fibration $f \in \mathbf{TFib} = C^\pitchfork$ is indeed a fibration, 11
because the generating trivial cofibrations $c \otimes_i \delta$ are cofibrations. Moreover, every 12
trivial fibration $f : X \rightarrow Y$ is also a weak equivalence $f = f \circ 1_X$, since the identity 13
map 1_X is (trivially) a trivial cofibration $\mathbf{TCof} = {}^\pitchfork \mathcal{F}$. Thus we have 14

$$\mathbf{TFib} \subseteq (\mathcal{F} \cap \mathcal{W}).$$

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Similarly, because $\text{TFib} \subseteq \mathcal{F}$, we have $\text{TCof} \subseteq C$. Moreover, since identity maps¹⁶ are also trivial fibrations we have $\text{TCof} \subseteq \text{TFib} \circ \text{TCof} = \mathcal{W}$. Thus we also have¹⁷

$$\text{TCof} \subseteq (C \cap \mathcal{W}).$$

Lemma 5.2 $(C \cap \mathcal{W}) \subseteq \text{TCof}$.¹⁸

Proof Let $c : A \rightarrow B$ be a cofibration with a factorization¹⁹

$$c = tf \circ tc : A \rightarrow W \rightarrow B$$

where $tc \in \text{TCof}$ and $tf \in \text{TFib}$. Let $f : X \rightarrow Y$ be a fibration and consider a commutative diagram,²⁰²¹

$$\begin{array}{ccc} A & \xrightarrow{x} & X \\ c \downarrow & & \downarrow f \\ B & \xrightarrow{y} & Y. \end{array}$$

Inserting the factorization of c , from $tc \pitchfork f$ we obtain $j : W \rightarrow X$ as indicated, with²²²³ $j \circ tc = x$ and $f \circ j = y \circ tf$.

$$\begin{array}{ccccc} A & \xrightarrow{x} & X & & \\ \downarrow c & \searrow tc & \nearrow j & \downarrow f & \\ W & & & & \\ \downarrow tf & \nearrow i & & & \\ B & \xrightarrow{y} & Y. & & \end{array}$$

Moreover, since $c \pitchfork tf$ there is an $i : B \rightarrow W$ as indicated, with $i \circ c = tc$ and²⁴²⁵ $tf \circ i = 1_B$.

$$\begin{array}{ccccc} A & \xrightarrow{x} & X & & \\ \downarrow c & \searrow tc & \nearrow j & \downarrow f & \\ W & & & & \\ \downarrow tf & \nearrow i & & & \\ B & \xrightarrow{y} & Y. & & \end{array}$$

Let $k = j \circ i$. Then $k \circ c = j \circ i \circ c = j \circ tc = x$, and $f \circ k = f \circ j \circ i = y \circ tf \circ i = y$.

□

The proof of the following is exactly dual.

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Lemma 5.3 $(\mathcal{F} \cap \mathcal{W}) \subseteq \text{TFib}$.

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Proposition 5.4 *The three classes of maps $C, \mathcal{W}, \mathcal{F}$ in \mathbf{cSet} constitute a premodel structure in the sense of [15]. In particular, we have*

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$$\mathcal{F} \cap \mathcal{W} = \text{TFib},$$

$$C \cap \mathcal{W} = \text{TCof},$$

and therefore two interlocking weak factorization systems:

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$$(C, \mathcal{W} \cap \mathcal{F}), \quad (C \cap \mathcal{W}, \mathcal{F}).$$

It now “only” remains to show that the weak equivalences \mathcal{W} satisfy the 3-for-2 axiom of Definition 1.1 in order to verify that $(C, \mathcal{W}, \mathcal{F})$ is a model structure. Perhaps surprisingly, this will occupy the remainder of these lecture notes! We shall follow roughly the approach of [47]: the weak equivalences between fibrant objects are shown to be the usual *homotopy equivalences*, which evidently satisfy 3-for-2. So we reduce to this case using the fact that K^X is fibrant whenever K is. It suffices, namely, to show that the weak equivalences are those maps $w : X \rightarrow Y$ that induce homotopy equivalences $K^w : K^Y \simeq K^X$ for fibrant K . Such maps are termed *weak homotopy equivalences* (Definition 5.12), and our task will therefore be to show that a map is a weak equivalence if and only if it is a weak homotopy equivalence.

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5.1 Homotopy Equivalence

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The following definition is the standard one from homotopy theory, using the cubical interval $\delta_0, \delta_1 : I \rightrightarrows I$.

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Definition 5.5 (Homotopy) A *homotopy* $\vartheta : f \sim g$ between maps $f, g : X \rightrightarrows Y$ is a map,

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$$\vartheta : I \times X \longrightarrow Y,$$

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such that $\vartheta \circ \iota_0 = f$ and $\vartheta \circ \iota_1 = g$,

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$$\begin{array}{ccccc} X & \xrightarrow{\iota_0} & I \times X & \xleftarrow{\iota_1} & X, \\ & \searrow f & \downarrow \vartheta & \swarrow g & \\ & Y & & & \end{array} \quad (5.1.1)$$

where ι_0, ι_1 are the canonical inclusions into the ends of the cylinder,

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$$\iota_\epsilon : X \cong 1 \times X \xrightarrow{\delta_\epsilon \times X} I \times X, \quad \epsilon = 0, 1.$$

Note that each of the inclusions $\iota_\epsilon : X \rightarrow I \times X$ is a cofibration, as is their join 49
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 $X + X \rightarrow I \times X$, by Remark 4.1.

Proposition 5.6 *The relation of homotopy $f \sim g$ between maps $f, g : X \rightrightarrows Y$ is preserved by pre- and post-composition. If Y is fibrant, then $f \sim g$ is an equivalence relation.* 51
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Proof Inspecting (5.1.1), preservation of $f \sim g$ under post-composing with any 54
 $h : Y \rightarrow Z$ is obvious: we have $h \circ \vartheta : h \circ f \sim h \circ g$. Now observe that a homotopy 55
 $f \stackrel{\vartheta}{\sim} g : X \times I \rightarrow Y$ determines a (unique) path $\tilde{\vartheta} : I \rightarrow Y^X$ in the function space, with 56
endpoints $\vartheta_0 = \vartheta \circ \delta_0 = \tilde{f} : 1 \rightarrow Y^X$ and $\vartheta_1 = \vartheta \circ \delta_1 = \tilde{g}$. Precomposing maps 57
 $f, g : X \rightrightarrows Y$ with any $e : W \rightarrow X$ is induced by post-composing $\tilde{f}, \tilde{g} : 1 \rightarrow Y^X$ 58
with the map $Y^e : Y^X \rightarrow Y^W$, which then also takes the path $\tilde{\vartheta} : I \rightarrow Y^X$ to a path 59
 $\tilde{\varphi} = Y^e \circ \tilde{\vartheta} : I \rightarrow Y^W$ corresponding to a (unique) homotopy $\varphi : f \circ e \sim g \circ e$. 60

Now note that Y^X is fibrant if Y is fibrant, since the generating trivial cofibrations 61
 $c \times_i \delta$ are preserved by the functor $X \times (-)$. So we can use “box filling” in Y^X to 62
verify the claimed equivalence relation. 63

- Reflexivity $f \sim f$ is witnessed by the homotopy $\rho : I \rightarrow 1 \xrightarrow{f} Y^X$. 64
- For symmetry $f \sim g \Rightarrow g \sim f$ take $\vartheta : I \rightarrow Y^X$ with $\vartheta_0 = f$ and $\vartheta_1 = g$ and 65
we want to build $\vartheta' : I \rightarrow Y^X$ with $\vartheta'_0 = g$ and $\vartheta'_1 = f$. Take an open 2-box in 66
 Y^X of the following form. 67

$$\begin{array}{ccc} g & & f \\ \vartheta \uparrow & & \uparrow \rho \\ f & \xrightarrow{\quad} & f \end{array}$$

This box is a map $b : I+I+I \rightarrow Y^X$ with the indicated components, and it has 68
a filler $c : I \times I \rightarrow Y^X$, i.e. an extension along the canonical map $I+I+I \rightarrow I \times I$, 69
which is a trivial cofibration of the form $\partial I \otimes \delta_0$. Let $t : I \rightarrow I \times I$ be the top face 70

of the 2-cube (the bipointed map $\{0, x_1, x_2, 1\} \rightarrow \{0, x, 1\}$ that is constantly 1). 71
 We can set $\vartheta' = c \circ t : I \rightarrow Y^X$ to get a homotopy $\vartheta' : I \rightarrow Y^X$ with $\vartheta'_0 = g$ and 72
 $\vartheta'_1 = f$ as required. 73

- For transitivity, $f \xrightarrow{\vartheta} g, g \xrightarrow{\varphi} h \Rightarrow f \sim h$, an analogous construction will fill the 74
 open box: 75

$$\begin{array}{ccc} & f & h \\ & \uparrow \rho & \uparrow \varphi \\ f & \xrightarrow{\vartheta} & g \end{array}$$

□

We then have the usual definition of homotopy equivalence: 76

Definition 5.7 (Homotopy Equivalence) A *homotopy equivalence* is a map $f : 77 X \rightarrow Y$ together with a map $g : Y \rightarrow X$ and homotopies $\vartheta : 1_X \sim g \circ f$ and $\varphi : 78 1_Y \sim f \circ g$. We call g a *quasi-inverse* of f . 79

Since the quasi-inverses are also homotopy equivalences, these maps will satisfy 80
 the 3-for-2 condition just if they compose, which they do when restricted to fibrant 81
 objects: 82

Lemma 5.8 *The homotopy equivalences between fibrant objects satisfy 3-for-2.* 83

Proof Composing two homotopy equivalences $f_1 : X \rightarrow Y$ and $f_2 : Y \rightarrow Z$ results
 in a composable pair of homotopies $\vartheta_1 : 1_X \sim g_1 \circ f_1$ and $g_1 \vartheta_2 f_1 : g_1 \circ f_1 \sim$
 $g_1 \circ g_2 \circ f_2 \circ f_1$. Now use fibrancy of X to compose them pointwise. The case of
 the other composites at Z is similar. □

Lemma 5.9 *A fibration that is a weak equivalence is a homotopy equivalence.* 84

Proof Any trivial fibration $f : X \rightarrow Y$ has a section $s : Y \rightarrow X$ by Corollary 3.10. 85
 Consider the following lifting problem: 86

$$\begin{array}{ccc} X + X & \xrightarrow{[sf, 1]} & X \\ \downarrow [i_0, i_1] & & \downarrow f \\ I \times X & \xrightarrow{f \pi_2} & Y \end{array}$$

Since the map on the left is a cofibration, a diagonal filler provides a homotopy
 $\vartheta : sf \sim 1_X$. Thus f is a homotopy equivalence. □

For the further comparison of the weak equivalences with the homotopy equivalences we need the following. 87
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5.2 Weak Homotopy Equivalence

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Definition 5.10 (Connected Components) The functor

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$$\pi_0 : \mathbf{cSet} \rightarrow \mathbf{Set}$$

is defined on a cubical set X as the coequalizer

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$$X_1 \rightrightarrows X_0 \rightarrow \pi_0 X,$$

where the two parallel arrows are the maps $X_{\delta_0}, X_{\delta_1} : X_1 \rightrightarrows X_0$ for the endpoints $\delta_0, \delta_1 : 1 \rightrightarrows I$. If K is fibrant, then by the foregoing Proposition 5.6, for any X we have

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$$\pi_0(K^X) = \text{Hom}(X, K)/ \sim .$$

That is, $\pi_0(K^X)$ is the set $[X, K]$ of homotopy equivalence classes of maps $X \rightarrow K$.

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Remark 5.11 One can show that in fact $\pi_0 X = \varinjlim X_n$ where the colimit is taken over all objects $[n]$ in the index category $\square^{\text{op}} = \overrightarrow{\mathbb{B}}$, rather than just the “last” two $[1] \rightrightarrows [0]$. Since the category \mathbb{B} of finite strictly bipointed sets is sifted, the functor $\pi_0 : \mathbf{cSet} \rightarrow \mathbf{Set}$ preserves finite products.

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Definition 5.12 (Weak Homotopy Equivalence) A map $f : X \rightarrow Y$ is called a weak homotopy equivalence if for every fibrant object K , the canonical map $K^f : K^Y \rightarrow K^X$ is bijective on connected components,

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$$\pi_0(K^f) : \pi_0(K^Y) \cong \pi_0(K^X).$$

Lemma 5.13 Every homotopy equivalence is a weak homotopy equivalence.

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Proof Let $f : X \rightarrow Y$ be a homotopy equivalence. Then $K^f : K^Y \rightarrow K^X$ is also a homotopy equivalence for any K , since homotopy respects (pre- and) post-composition by all maps. If K is fibrant, then so is K^X and π_0 is well defined on homotopy classes of maps, by Proposition 5.6. It clearly takes homotopy equivalences to isomorphisms of sets, since it identifies homotopic maps. \square

Lemma 5.14 The weak homotopy equivalences also satisfy the 3-for-2 condition.

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Proof This follows by applying the \mathbf{Set} -valued functors $\pi_0(K^{(-)})$, for all fibrant objects K , and the corresponding fact about bijections of sets. \square

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In virtue of Lemma 5.14 it now suffices to show that a map is a weak equivalence if and only if it is a weak homotopy equivalence. The following characterization will be useful.

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Lemma 5.15 A map $f : X \rightarrow Y$ is a weak homotopy equivalence just if it satisfies the following two conditions.

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1. For every fibrant object K and every map $x : X \rightarrow K$ there is a map $y : Y \rightarrow K$ such that $y \circ f \sim x$, 110
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$$\begin{array}{ccc} X & \xrightarrow{x} & K \\ f \downarrow & \sim \nearrow \pi & \\ Y & & \end{array}$$

We say that x “extends along f up to homotopy”. 112

2. For every fibrant object K and maps $y, y' : Y \rightarrow K$ such that $yf \sim y'f$, there is a homotopy $y \sim y'$, 113
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$$\begin{array}{ccc} X & \longrightarrow & K^I \\ f \downarrow & \nearrow \pi & \downarrow \\ Y & \xrightarrow{\langle y, y' \rangle} & K \times K. \end{array}$$

Proof Condition (1) says exactly that the internal precomposition map $K^f : K^Y \rightarrow K^X$ is surjective under connected components π_0 , while (2) says just that it is injective under π_0 . □

Lemma 5.16 Any weak equivalence is a weak homotopy equivalence. 115

Proof By Lemmas 5.9 and 5.13, a trivial fibration is also a weak homotopy equivalence. So it suffices to consider the trivial cofibrations, since weak homotopy equivalences are closed under composition, by Lemma 5.14. Thus let $f : X \rightarrow Y$ be a trivial cofibration, and apply Lemma 5.15: condition (1) is immediate, and (2) follows because $K^I \rightarrow K \times K$ is a fibration when K is fibrant, since $\partial : 1 + 1 \rightarrow I$ is a cofibration (by Remark 4.1). □

Our goal is now to show the converse of Lemma 5.16(2), that a weak homotopy equivalence is a weak equivalence. We shall first restrict attention to maps $f : X \rightarrow K$ with a fibrant codomain K . By factoring such maps, we can split into the cases of a fibration and a cofibration. 116
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Lemma 5.17 If K is fibrant, then any fibration $f : X \rightarrow K$ that is a homotopy equivalence is a weak equivalence. 120
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Proof This is a standard argument, which we just sketch. It suffices to show that any diagram of the form 122
123

$$\begin{array}{ccc} C & \xrightarrow{x} & X \\ c \downarrow & & \downarrow f \\ K & \xrightarrow{=} & K, \end{array} \quad (5.2.1)$$

with $c : C \rightarrow X$ a cofibration, has a diagonal filler, for then f is a trivial fibration. 124
Since f is a homotopy equivalence, it has a quasi-inverse $s : K \rightarrow X$ with $\vartheta : fs \sim 1_K$, which we claim can be corrected to a section $s' : K \rightarrow X$. Indeed, consider 125
126

$$\begin{array}{ccc} K & \xrightarrow{s} & X \\ \iota_0 \downarrow & \nearrow \vartheta' & \downarrow f \\ K \times I & \longrightarrow & K \\ \uparrow \iota_1 & \nearrow \vartheta & = \\ K & & \end{array}$$

where ϑ' results from $\iota_0 \pitchfork f$. Let $s' = \vartheta' \iota_1$, so that $\vartheta' : s \sim s'$ and $fs' = 1_K$. 127

Thus we can assume that $s = s' : K \rightarrow X$ is a section, which fills the diagram 128
129 (5.2.1) up to a homotopy in the upper triangle.

$$\begin{array}{ccc} C & \xrightarrow{x} & X \\ c \downarrow & \nearrow s & \downarrow f \\ K & \xrightarrow{=} & K \end{array}$$

Now we can correct $s : K \rightarrow X$ to a homotopic $t : K \rightarrow X$ over f by using the homotopy $\varphi : sc \sim x$ to get a map $\varphi : C \rightarrow X^I$ over f . Since f is a fibration, the projections $p_0, p_1 : X^I \rightarrow X$ over f are trivial fibrations, and so there is a lift $\varphi' : K \rightarrow X^I$ for which $t := p_1 \varphi'$ has $tc = x$ and $ft = 1_K$, and so is a filler for (5.2.1). □

Lemma 5.18 *If K is fibrant, then any fibration $f : X \rightarrow K$ that is a weak homotopy equivalence is a weak equivalence.* 130
131

Proof Since K is fibrant, so is X , and since f is a weak homotopy equivalence, by Lemma 5.15(1) there is then a map $s : K \rightarrow X$ and a homotopy $\theta : sf \sim 1_X$. 132
133 Postcomposing with f gives a homotopy $f\vartheta : fsf \sim f$, forming the outer

commutative square in

$$\begin{array}{ccc} X & \xrightarrow{f\vartheta} & K^I \\ f \downarrow & \nearrow \varphi & \downarrow \\ K & \xrightarrow{\langle fs, 1_K \rangle} & K \times K. \end{array}$$

By Lemma 5.15(2) there is a diagonal filler $\varphi : fs \sim 1_K$, and so f is a homotopy equivalence. Now apply Lemma 5.17. \square

We now have the following.

Proposition 5.19 *If A and K are both fibrant, then for any cofibration $c : A \rightarrow K$ the following are equivalent.*

- 1. $c : A \rightarrow K$ is a weak equivalence. 138
- 2. $c : A \rightarrow K$ is a homotopy equivalence. 139
- 3. $c : A \rightarrow K$ is a weak homotopy equivalence. 140

Proof Suppose (1), so $c : A \rightarrow K$ is a trivial cofibration. Then since A is fibrant, it has a retraction $r : K \rightarrow A$. 141
142

$$\begin{array}{ccc} A & \xrightarrow{=} & A \\ c \downarrow & \nearrow r & \\ K & & \end{array}$$

Since K is fibrant, $K^I \rightarrow K \times K$ is a fibration. So the following has a diagonal filler, which is a homotopy $1_K \sim cr$. 143
144

$$\begin{array}{ccccc} A & \xrightarrow{c} & K & \xrightarrow{K^I} & K^I \\ c \downarrow & \nearrow \vartheta & \downarrow & & \downarrow \langle K^{d_0}, K^{d_1} \rangle \\ K & \xrightarrow{\langle 1_K, cr \rangle} & K \times K & & \end{array}$$

(2) \Rightarrow (3) is Lemma 5.13. 145

Suppose (3), that $c : A \rightarrow K$ is a weak homotopy equivalence. Factor $c = f \circ tc$ with a trivial cofibration $tc : A \rightarrow C$ followed by a fibration $f : C \rightarrow K$. By parts (1) and (2), $tc : A \rightarrow C$ is then a weak homotopy equivalence. By 3-for-2 for weak homotopy equivalences, Lemma 5.14, $f : C \rightarrow K$ is then also a weak homotopy equivalence. By Lemma 5.18, $f : C \rightarrow K$ is then a weak equivalence. \square

Proposition 5.20 For fibrations $f : X \rightarrow K$ with fibrant codomain K , all three concepts coincide: weak equivalences, weak homotopy equivalences, and homotopy equivalences. 146
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Proof Let K be fibrant and suppose that $f : X \rightarrow K$ is a weak homotopy equivalence. Then it is a weak equivalence by Lemma 5.18. By Lemma 5.16 any fibration weak equivalence is a homotopy equivalence, and by Lemma 5.13 any homotopy equivalence is a weak homotopy equivalence. \square

Corollary 5.21 For all maps $f : X \rightarrow Y$ between fibrant objects X and Y , all three concepts coincide: weak equivalence, weak homotopy equivalence, and homotopy equivalence. 149
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Proof Let X and Y be fibrant and factor $f = tf \circ tc$ with a trivial cofibration $tc : X \rightarrow F$ followed by a trivial fibration $tf : F \rightarrow Y$. Then by Proposition 5.19, $tc : X \rightarrow F$ is a homotopy equivalence, and by Proposition 5.20 so is $tf : F \rightarrow Y$, thus $f = tf \circ tc$ is a homotopy equivalence. Again by Lemma 5.13, any homotopy equivalence is a weak homotopy equivalence, and weak homotopy equivalence between fibrant objects is clearly a weak equivalence, by factoring and using the foregoing Propositions 5.19 and 5.20. \square

Lemma 5.22 If K is fibrant, then any cofibration $c : A \rightarrow K$ that is a weak homotopy equivalence is a weak equivalence. 152
153

Proof Let $c : A \rightarrow K$ be a cofibration weak homotopy equivalence and factor it into a trivial cofibration $i : A \rightarrow Z$ followed by a fibration $p : Z \rightarrow K$. By Lemma 5.15, any trivial cofibration is clearly a weak homotopy equivalence. So both c and i are weak homotopy equivalences, and therefore so is p by 3-for-2 for weak homotopy equivalences. Since K is fibrant, p is a trivial fibration by Lemma 5.18, and thus c is a weak equivalence. \square

It now follows that a weak homotopy equivalence $f : X \rightarrow K$ with a fibrant codomain is a weak equivalence. To eliminate the condition on the codomain we use the following lemma due to [27, 2.4.30]. 154
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156

Lemma 5.23 A cofibration $c : A \rightarrow B$ weak homotopy equivalence lifts against any fibration $f : Y \rightarrow K$ with fibrant codomain. 157
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Proof Let $c : A \rightarrow B$ be a cofibration weak homotopy equivalence and $f : Y \rightarrow K$ a fibration with fibrant codomain K , and consider a lifting problem 159
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$$\begin{array}{ccc} A & \xrightarrow{a} & Y \\ c \downarrow & & \downarrow f \\ B & \xrightarrow{b} & K. \end{array}$$

Let $\eta : B \rightarrow B'$ be a fibrant replacement of B , since K is fibrant, b extends along η ¹⁶¹ to give $b' : B' \rightarrow K$ as shown below.¹⁶²

$$\begin{array}{ccc} A & \xrightarrow{a} & Y \\ c \downarrow & & \downarrow f \\ B & \xrightarrow{} & K \\ \eta \downarrow & \nearrow b & \searrow b' \\ B' & & \end{array}$$

Since η is a trivial cofibration, it is a weak homotopy equivalence. So the composite ηc is also a weak homotopy equivalence. But since B' is fibrant, ηc is then a trivial cofibration by Lemma 5.22. Thus there is a lift $j : B' \rightarrow Y$, and therefore also one $k = j\eta : B \rightarrow Y$. \square

To complete the proof that a weak homotopy equivalence is a weak equivalence,¹⁶³ we shall make use of the following *fibration extension property*, the proof of which¹⁶⁴ is deferred to Chap. 9.¹⁶⁵

Definition 5.24 (Fibration Extension Property) For any fibration $f : Y \rightarrow X$ and any trivial cofibration $\eta : X \rightarrow X'$, there is a fibration $f' : Y' \rightarrow X'$ that pulls back to f along η , as shown below.¹⁶⁶

$$\begin{array}{ccc} Y & \xrightarrow{\quad} & Y' \\ f \downarrow & \lrcorner & \downarrow f' \\ X & \xrightarrow{\quad} & X' \\ & \eta & \end{array} \tag{5.2.2}$$

Lemma 5.25 Assuming the fibration extension property, a cofibration that lifts against every fibration $f : Y \rightarrow X$ with fibrant codomain is a weak equivalence.¹⁶⁷

Proof Let $c : A \rightarrow B$ be a cofibration and consider a lifting problem against an arbitrary fibration $f : Y \rightarrow X$,¹⁷¹

$$\begin{array}{ccc} A & \xrightarrow{a} & Y \\ c \downarrow & & \downarrow f \\ B & \xrightarrow{b} & X \\ & & \end{array} \tag{5.2.3}$$

Let $\eta : X \rightarrow X'$ be a fibrant replacement, so η is a trivial cofibration and X' is fibrant. By the fibration extension property of Definition 5.24, there is a fibration¹⁷³

$f' : Y' \rightarrow X'$ such that f is a pullback of f' along η . So we can extend diagram (5.2.3) to obtain the following, in which the righthand square is a pullback.

$$\begin{array}{ccccc} A & \xrightarrow{a} & Y & \xrightarrow{y} & Y' \\ c \downarrow & & \downarrow f & \lrcorner & \downarrow f' \\ B & \xrightarrow{b} & X & \xrightarrow{\eta} & X'. \end{array} \quad (5.2.4)$$

By assumption, there is a lift $j' : B \rightarrow Y'$ with $f'j' = \eta b$ and $j'c = yb$. Therefore, since f is a pullback, there is a map $j : B \rightarrow Y$ with $fj = b$ and $yj = j'$.

$$\begin{array}{ccccc} A & \xrightarrow{a} & Y & \xrightarrow{y} & Y' \\ c \downarrow & \nearrow j & \downarrow f & \lrcorner & \downarrow f' \\ B & \xrightarrow{b} & X & \xrightarrow{\eta} & X'. \end{array} \quad (5.2.5)$$

Thus $yjc = j'c = ya$. But as a trivial cofibration, η is monic, and as a pullback of η , y is also monic. So $jc = a$. \square

Corollary 5.26 Assuming the fibration extension property,

1. a cofibration $c : A \rightarrow B$ weak homotopy equivalence is a weak equivalence,
2. a fibration $f : Y \rightarrow X$ weak homotopy equivalence is a weak equivalence.

Proof (1) follows immediately by combining the previous Lemmas 5.23 and 5.25.

For (2), factor $f : Y \rightarrow X$ into a cofibration $i : Y \rightarrow Z$ followed by a trivial fibration $p : Z \rightarrow X$. Then f is itself a trivial fibration if $i \pitchfork f$, for then it is a retract of p . Since p is a trivial fibration, it is a weak homotopy equivalence by Lemma 5.16. Since f is also a weak homotopy equivalence, so is i by Lemma 5.14. Thus i is a trivial cofibration by (1). Since f is a fibration, $i \pitchfork f$ as required. \square

We have now shown:

Proposition 5.27 Assuming the fibration extension property, a map $f : X \rightarrow Y$ is a weak homotopy equivalence if and only if it is a weak equivalence. Under the same assumption, the weak equivalences \mathcal{W} then satisfy the 3-for-2 condition.

The results of this Chapter are summarized in the following.

Theorem 5.28 If the fibration weak factorization system of Definition 4.17 satisfies the fibration extension property of Definition 5.24, then the weak equivalences \mathcal{W} have the 3-for-2 property. Under the same assumption, the classes $(\mathcal{C}, \mathcal{W}, \mathcal{F})$ of Proposition 5.4 then form a Quillen model structure. The weak equivalences \mathcal{W} are the weak homotopy equivalences: those maps $f : X \rightarrow Y$ for which $K^f : K^Y \rightarrow K^X$ is bijective on connected components whenever K is fibrant.

The unconditional proof of the fibration extension property for the fibration weak ¹⁹⁴ factorization system will be given in Corollary 9.7 of Chap. 9. It uses the equivalence ¹⁹⁵ extension property (Chap. 8), which in turn employs a universal fibration (Chap. 7) ¹⁹⁶ and the Frobenius condition (Chap. 6). We turn first to the last of these prerequisites. ¹⁹⁷

Uncorrected Proof

Chapter 6

The Frobenius Condition

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In this chapter, we show that the (unbiased) fibration weak factorization system 3 on the category cSet from Chap. 4 satisfies what has been called the *Frobenius* 4 condition: the left maps are stable under pullback along the right maps (see [72]). 5 This will imply the *right properness* of our model structure: the weak equivalences 6 are preserved by pullback along fibrations. In the present setting, it then follows 7 that the entire model structure is stable under such a base change. The Frobenius 8 condition will be used in the proof of the equivalence extension property in Chap. 8. 9

A proof of Frobenius in the related setting of cubical sets *with connections* was 10 given in [35] using conventional, functorial methods. By contrast, the type theoretic 11 approach of [28] provides a proof that is much more direct, and can also be modified 12 to work without connections (as in [5]). That approach proves the dual fact that the 13 *pushforward* operation, which is right adjoint to pullback and always exists in a 14 topos, preserves fibrations when applied along a fibration. This corresponds to the 15 type-theoretic Π -formation rule, and the proof given in op.cit. is entirely in type 16 theory. It also employs a reduction of box filling (in all dimensions) to an apparently 17 weaker condition of *Kan composition* (in all dimensions), which merely “puts a 18 lid on” the open box, rather than filling it. This aspect of the type theoretic proof 19 can also be described functorially, but is not used in the proof given here, and will 20 therefore not be discussed further (see [53] for a description of Kan composition 21 with connections, and [8] for the same without connections). See [40] and especially 22 [16] for recent, improved proofs of the Frobenius condition for unbiased fibrations. 23

6.1 From Biased to Unbiased

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Our proof takes the approach that was used to determine the unbiased fibrations, 25
namely we first establish the result in the *biased but generic* setting, and then transfer 26
it to the unbiased setting by pulling back along the base change $\mathbf{cSet} \rightarrow \mathbf{cSet}/_I$. We 27
first give the second step as a conditional statement. 28

Proposition 6.1 Suppose the δ -biased fibrations in $\mathbf{cSet}/_I$ satisfy the Frobenius 29
condition. Then the unbiased fibrations in \mathbf{cSet} also satisfy the Frobenius condition. 30

Proof This follows almost immediately from the fact that the pullback functor 31
 $I^* : \mathbf{cSet} \rightarrow \mathbf{cSet}/_I$ preserves the locally cartesian closed structure, takes unbiased 32
fibrations to δ -biased ones, and reflects δ -biased fibrations to unbiased ones. In 33
detail, let unbiased fibrations $B \rightarrow A$ and $A \rightarrow X$ in \mathbf{cSet} be given, and we wish to 34
find $C \rightarrow X$ and $e : A \times_X C \rightarrow B$ over A , universal in the way recalled in the diagram 35
below. 36

$$\begin{array}{ccc}
A \times_X C & \dashrightarrow & C \\
\downarrow e & & \downarrow \\
B & \dashrightarrow & \\
\downarrow & & \downarrow \\
A & \dashrightarrow & X
\end{array} \tag{6.1.1}$$

Take the pushforward $C := A_* B \rightarrow X$, and its associated map $e : A \times_X C \rightarrow B$, 37
in the locally cartesian closed category \mathbf{cSet} . Since fibrations are stable under (all) 38
pullbacks, it then suffices to show that $C \rightarrow X$ is a fibration. 39

By definition, $C \rightarrow X$ is an unbiased fibration in \mathbf{cSet} just in case the base change 40
 $I^* C \rightarrow I^* X$ is a δ -biased fibration in the slice category $\mathbf{cSet}/_I$. Since the pullback 41
functor $I^* : \mathbf{cSet} \rightarrow \mathbf{cSet}/_I$ preserves all lcc structure, over $I^* X$ we have an iso, 42

$$I^* C = I^*(A_* B) \cong (I^* A)_* I^* B,$$

where the pushforward $(I^* A)_* I^* B$ is taken in the topos $\mathbf{cSet}/_I$. But $I^* B \rightarrow I^* A$ and 43
 $I^* A \rightarrow I^* X$ are δ -biased fibrations in $\mathbf{cSet}/_I$ because $B \rightarrow A$ and $A \rightarrow X$ were assumed 44
to be unbiased fibrations in \mathbf{cSet} . Since we are assuming the Frobenius condition 45
for δ -biased fibrations in $\mathbf{cSet}/_I$, the pushforward $I^* C \cong (I^* A)_* I^* B \rightarrow I^* X$ is also a
 δ -biased fibration, as required. \square

6.2 Frobenius for δ -Biased Fibrations

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The results proved in this chapter will be applied to the slice category $\mathbf{cSet}/_I$ and 44
the generic point $\delta : 1 \rightarrow I = I^* I$, and then used to infer the Frobenius condition for 45

unbiased fibrations in \mathbf{cSet} via Proposition 6.1. But since nothing depends on this particular case, we shall simply assume a pointed object $\delta : 1 \rightarrow I$ in an arbitrary topos \mathcal{E} , and prove the result for δ -biased fibrations in \mathcal{E} . (Indeed, in this chapter \mathcal{E} may even be just a locally cartesian closed category with a class of Cartesian cofibrations in the sense of Appendix A.)

Recall from Definition 4.6 that a map $f : A \rightarrow X$ is a δ -biased fibration just if the map $\delta \Rightarrow f$ admits a relative $+$ -algebra structure, and is therefore a trivial fibration. The definition of the pullback-hom $\delta \Rightarrow f$ is recalled below.

$$\begin{array}{ccccc}
 A^I & \xrightarrow{\quad A^\delta \quad} & & & \\
 \delta \Rightarrow f \swarrow & & & & \\
 & X^I \times_X A & \longrightarrow & A & \\
 f^I \searrow & \downarrow & & \downarrow f & \\
 & X^I & \xrightarrow{\quad X^\delta \quad} & X & \\
 & & \epsilon & &
 \end{array} \tag{6.2.1}$$

Let us write this condition schematically as follows:

$$\begin{array}{ccccc}
 A^I & \xrightarrow{\quad \cancel{A_\epsilon} \quad} & A_\epsilon & \longrightarrow & A \\
 & & \downarrow & & \downarrow f \\
 & & X^I & \xrightarrow{\quad \epsilon \quad} & X
 \end{array} \tag{6.2.2}$$

where $\epsilon = X^\delta$ and $A_\epsilon = X^I \times_X A$, and the struck-through arrow indicates that it admits a $+$ -algebra structure.

Lemma 6.2 *Let $A \rightarrow X$ be a δ -biased fibration and $t : Y \rightarrow X$ any map, then the pullback $t^* A \rightarrow Y$ is also a δ -biased fibration.*

Proof This is clear from the fact that the δ -biased fibrations can be made into the right class of a weak factorization system (by reasoning analogous to that for Proposition 4.20), but it will be useful to see how the structure indicated in (6.2.2) is itself stable under pullback. Indeed, consider the following commutative diagram, in which the front face of the cube is the pullback in question, and the right and left sides are the respective versions of the construction in (6.2.2).

$$\begin{array}{ccccc}
 (t^*A)^I & \xrightarrow{\quad} & A^I & & \\
 \downarrow & & \downarrow & & \\
 (t^*A)_\epsilon & \xrightarrow{\quad} & A_\epsilon & & \\
 \downarrow & \searrow & \downarrow & \searrow & \\
 t^*A & \xrightarrow{\quad} & A & & \\
 \downarrow & & \downarrow & & \\
 Y^I & \xrightarrow{\quad} & X^I & & \\
 \downarrow \epsilon & \searrow & \downarrow \epsilon & \searrow & \\
 Y & \xrightarrow{t} & X & &
 \end{array} \tag{6.2.3}$$

The rear square of solid arrows is the image of the front face under the pathobject functor and is therefore also a pullback. The base commutes by the naturality of the maps ϵ , as does a corresponding top square involving further such ϵ 's not shown. Note that these naturality squares need not be pullbacks, but the vertical squares on the sides are, by construction. It follows that there is a dotted arrow as shown, making the resulting lower rear square commute. That lower square is then also a pullback, since the other vertical faces of the resulting cube are pullbacks, and thus finally, the upper rear square is also a pullback.

Now if $A \rightarrow X$ is a δ -biased fibration, then $A^I \rightarrow A_\epsilon$ is a trivial fibration, and then so is its pullback $(t^*A)^I \rightarrow (t^*A)_\epsilon$ since relative $+$ -algebras are stable under pullback. Therefore the pullback $t^*A \rightarrow Y$ is also a δ -biased fibration. \square

Remark 6.3 In this way we can show algebraically that the pullback of a δ -biased fibration is again one by pulling back the structure that makes it so. In Sect. 7.3, the pullback stability of the fibration structure will be used in the construction of a universal fibration via a closely related argument.

Lemma 6.4 Let $\alpha : A \rightarrow X$ and $\beta : B \rightarrow A$ be δ -biased fibrations, then the composite $\alpha \circ \beta : B \rightarrow X$ is also a δ -biased fibration.

Proof Again for maps in the right class of a weak factorization system this is immediate. But let us see how the fibration structures also compose. We have the

following diagram for the fibration structures on $B \rightarrow A$ and $A \rightarrow X$ (with obvious 80
notation). 81

$$\begin{array}{ccccc}
 B^I & \xrightarrow{\quad + \quad} & B_{\epsilon_A} & \longrightarrow & B \\
 \downarrow & & \downarrow & & \downarrow \\
 A^I & \xrightarrow{\quad + \quad} & A_{\epsilon_X} & \longrightarrow & A \\
 \downarrow & & \downarrow & & \downarrow \\
 X^I & \xrightarrow{\quad \epsilon_X \quad} & X & &
 \end{array} \tag{6.2.4}$$

Pulling back $B \rightarrow A$ in two steps we therefore obtain the intermediate map 82
 $B_{\epsilon_X} \rightarrow A_{\epsilon_X}$ indicated in the following diagram. 83

$$\begin{array}{ccccc}
 B^I & \xrightarrow{\quad + \quad} & B_{\epsilon_A} & \longrightarrow & B \\
 \downarrow & & \downarrow & & \downarrow \\
 A^I & \xrightarrow{\quad + \quad} & B_{\epsilon_X} & \longrightarrow & A \\
 \downarrow & & \downarrow & & \downarrow \\
 X^I & \xrightarrow{\quad \epsilon_X \quad} & X & &
 \end{array} \tag{6.2.5}$$

Now use the fact that a trivial fibration structure (i.e. a $+$ -algebra structure) has a canonical pullback along any map, and that two such structures have a canonical composition (cf. Remark 3.11), to obtain a trivial fibration structure for the indicated composite map $B^I \rightarrow B_{\epsilon_X}$, which is then a fibration structure for the composite $B \rightarrow A \rightarrow X$. \square

Proposition 6.5 (δ -Biased Frobenius) *If $\alpha : A \rightarrow X$ and $\beta : B \rightarrow A$ are δ -biased fibrations, then the pushforward $\alpha_*\beta : \Pi_A B \rightarrow X$ is also a δ -biased fibration.* 84
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Proof Given δ -biased fibrations $\alpha : A \rightarrow X$ and $\beta : B \rightarrow A$, let $a : A^I \rightarrow A_\epsilon$ and 86
 $b : B^I \rightarrow a^*B_\epsilon$ be the associated trivial fibrations, so that we have the situation of 87
diagram (6.2.5), with all three squares pullbacks. 88

$$\begin{array}{ccccccc}
 B^I & \xrightarrow{b} & a^*B_\epsilon & \longrightarrow & B_\epsilon & \longrightarrow & B \\
 \beta^I \searrow & & \downarrow & & \downarrow & & \downarrow \beta \\
 & & A^I & \xrightarrow{a} & A_\epsilon & \longrightarrow & A \\
 \alpha^I \searrow & & \downarrow & & \downarrow & & \downarrow \alpha \\
 & & X^I & \xrightarrow{\epsilon} & X. & &
 \end{array} \tag{6.2.6}$$

Taking the pushforward of the righthand vertical column gives a map,

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$$\gamma := \alpha_*\beta : \Pi_A B \rightarrow X,$$

and placing it underneath, along with the corresponding construction from (6.2.2), we then have the following commutative diagram.

$$\begin{array}{ccccccc}
 B^I & \xrightarrow{b} & a^*B_\epsilon & \longrightarrow & B_\epsilon & \longrightarrow & B \\
 \beta^I \searrow & & \downarrow & & \downarrow & & \downarrow \beta \\
 & & A^I & \xrightarrow{a} & A_\epsilon & \longrightarrow & A \\
 \alpha^I \searrow & & \downarrow & & \downarrow & & \downarrow \alpha \\
 & & X^I & \xrightarrow{\epsilon} & X & & \\
 \gamma^I \nearrow & & \uparrow & & \uparrow & & \uparrow \gamma \\
 (\Pi_A B)^I & \xrightarrow{c} & (\Pi_A B)_\epsilon & \longrightarrow & \Pi_A B & &
 \end{array} \tag{6.2.7}$$

We wish to show that the indicated map $c : (\Pi_A B)^I \rightarrow (\Pi_A B)_\epsilon$ admits a +-algebra structure. This we will do by showing that it is a retract of a known +-algebra. Namely, we can apply the pushforward along the map $\alpha^I : A^I \rightarrow X^I$ to the +-algebra $b : B^I \rightarrow a^*B_\epsilon$ regarded as an arrow over A^I . We obtain an arrow over X^I of the form

$$\Pi_{A^I} b : \Pi_{A^I} B^I \longrightarrow \Pi_{A^I} a^*B_\epsilon \tag{6.2.8}$$

which is indeed a +-algebra, since these are preserved under pushing forward, by Remark 3.11.

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Next, observe that by the Beck-Chevalley condition for the indicated central pullback in (6.2.7), for the codomain of $c : (\Pi_A B)^I \rightarrow (\Pi_A B)_\epsilon$ we have an isomorphism

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$$(\Pi_A B)_\epsilon \cong \Pi_{A_\epsilon} B_\epsilon \quad \text{over } X^I.$$

And since $\Pi_{A^I} \cong \Pi_{A_\epsilon} \circ a_*$, for the codomain of our $+$ -algebra $\Pi_{A^I} b$ from (6.2.8) we also have

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$$\Pi_{A^I} a^* B_\epsilon \cong \Pi_{A_\epsilon} a_* a^* B_\epsilon.$$

Thus the image of the unit $\eta : B_\epsilon \rightarrow a_* a^* B_\epsilon$ under Π_{A_ϵ} provides a map $\sigma := \Pi_{A_\epsilon} \eta$ over X^I of the form:

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$$\begin{array}{ccc}
 & & X^I \\
 & \nearrow & \uparrow \\
 (\Pi_A B)^I & \xrightarrow[c]{\quad} & \Pi_{A_\epsilon} B_\epsilon \\
 & & \downarrow \sigma \\
 \Pi_{A^I} B^I & \xrightarrow[\Pi_{A^I} b]{\quad} & \Pi_{A_\epsilon} a_* a^* B_\epsilon
 \end{array} \tag{6.2.9}$$

Our goal is now to determine further arrows φ, ψ, τ as indicated below, exhibiting c as a retract of $\Pi_{A^I} b$ in the arrow category over X^I .

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$$\begin{array}{ccc}
 & & X^I \\
 & \nearrow & \uparrow \\
 (\Pi_A B)^I & \xrightarrow[c]{\quad} & \Pi_{A_\epsilon} B_\epsilon \\
 \varphi \downarrow \cdot & & \downarrow \sigma \\
 \Pi_{A^I} B^I & \xrightarrow[\Pi_{A^I} b]{\quad} & \Pi_{A_\epsilon} a_* a^* B_\epsilon \\
 \psi \downarrow \cdot & & \downarrow \tau \\
 (\Pi_A B)^I & \xrightarrow[c]{\quad} & \Pi_{A_\epsilon} B_\epsilon
 \end{array} \tag{6.2.10}$$

- For φ , we require a map

$$\varphi : (\Pi_A B)^I \rightarrow \Pi_{A^I} B^I \quad \text{over } X^I.$$

Consider the following diagram, which is based on (6.2.2).

108

$$\begin{array}{ccccccc}
B^I & \xrightarrow{\quad b \quad} & a^* B_\epsilon & \longrightarrow & B_\epsilon & \longrightarrow & B \\
\uparrow e^I & \searrow \beta^I & \downarrow & & \downarrow & & \downarrow \beta \\
& & A^I & \xrightarrow{\quad a \quad} & A_\epsilon & \longrightarrow & A \\
& \nearrow & \downarrow \alpha^I & & \downarrow & & \downarrow \alpha \\
(\Pi_A B \times_X A)^I & \longrightarrow & X^I & \longrightarrow & X & \leftarrow & \Pi_A B \\
& \searrow & \uparrow \epsilon & & & & \downarrow \Pi_A B \\
& & (\Pi_A B)^I & \xrightarrow{\varphi} & \Pi_{A^I} B^I & &
\end{array}
\tag{6.2.11}$$

The map e is the counit at $\beta : B \rightarrow A$ of the pullback-pushforward adjunction along $\alpha : A \rightarrow X$. The right-hand side of the diagram, including e and the associated pullback square, reappears (mirrored) on the left under the functor $(-)^I$, which preserves the pullback. Thus we can take φ to be the transpose of e^I under the pullback-pushforward adjunction along $\alpha^I : A^I \rightarrow X^I$,

$$\varphi := \tilde{e}^I.$$

An easy diagram chase involving the pullback-pushforward adjunction along $A_\epsilon \rightarrow X^I$ shows that the upper square in (6.2.10) then commutes.

- For τ : referring to the diagram (6.2.11), since $a : A^I \rightarrow A_\epsilon$ is a trivial fibration, it has a section $o : A_\epsilon \rightarrow A^I$ by Lemma 3.10. Pulling $a^* B_\epsilon \rightarrow A^I$ back along o results in an iso,

$$o^* a^* B_\epsilon \cong B_\epsilon \quad \text{over } A_\epsilon$$

and so by the adjunction $o^* \dashv o_*$ there is an associated map,

$$a^* B_\epsilon \rightarrow o_* B_\epsilon \quad \text{over } A^I$$

to which we can apply a_* to obtain a map,

$$t : a_* a^* B_\epsilon \rightarrow a_* o_* B_\epsilon \cong B_\epsilon \quad \text{over } A_\epsilon.$$

This map t is evidently a retraction of the unit $\eta : B_\epsilon \rightarrow a_* a^* B_\epsilon$ over A_ϵ . Applying the functor Π_{A_ϵ} therefore gives the desired retraction of σ , 121
122

$$\tau := \Pi_{A_\epsilon} t : \Pi_{A_\epsilon} a_* a^* B_\epsilon \rightarrow \Pi_{A_\epsilon} B_\epsilon .$$

- For ψ , we require a map 123

$$\psi : \Pi_{A^I} B^I \rightarrow (\Pi_A B)^I \quad \text{over } X^I .$$

Consider the following diagram resulting from combining (6.2.2) and (6.2.10), in which all solid arrows are those already introduced. The dotted arrow labelled p is the evident composite. 124
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$$\begin{array}{ccccc}
& & X^I & \xrightarrow{\epsilon} & X \\
& \nearrow & \uparrow & & \uparrow \\
(\Pi_A B)^I & \longrightarrow & \Pi_{A_\epsilon} B_\epsilon & \longrightarrow & \Pi_A B \\
\downarrow & & \downarrow & & \downarrow = \\
\Pi_{A^I} B^I & \longrightarrow & \Pi_{A_\epsilon} a_* a^* B_\epsilon & & \\
\downarrow p & & \downarrow & & \downarrow \\
(\Pi_A B)^I & \longrightarrow & \Pi_{A_\epsilon} B_\epsilon & \xrightarrow{\cdot} & \Pi_A B
\end{array} \tag{6.2.12}$$

The lower horizontal composite is the evaluation of the pathobject $(\Pi_A B)^I$ at the point $\delta : 1 \rightarrow I$, 127
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$$\epsilon_{\Pi_A B} = (\Pi_A B)^\delta : (\Pi_A B)^I \longrightarrow (\Pi_A B)^I \cong \Pi_A B .$$

This is constructed from the (cartesian closed) evaluation, 129

$$\mathbf{eval} : I \times (\Pi_A B)^I \longrightarrow \Pi_A B$$

which is the counit of $I \times (-) \dashv (-)^I$, as the composite shown below. 130

$$\begin{array}{ccc}
(\Pi_A B)^I & \xrightarrow{\epsilon_{\Pi_A B}} & \Pi_A B \\
\cong \downarrow & & \uparrow \mathbf{eval} \\
1 \times (\Pi_A B)^I & \xrightarrow{\delta \times (\Pi_A B)^I} & I \times (\Pi_A B)^I
\end{array} \tag{6.2.13}$$

Let us analyse this evaluation at δ further, in terms of the *locally cartesian closed* structure associated to the base changes along the section $\delta : 1 \rightarrow I$ and retraction $I \rightarrow 1$ in \mathcal{E} . Since $\text{id} \cong \delta^* I^* : \mathcal{E} \rightarrow \mathcal{E}/_I \rightarrow \mathcal{E}$, the map $\epsilon_{\Pi_A B}$ can be rewritten as follows. 131
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$$\begin{array}{ccc}
 (\Pi_A B)^I & \xrightarrow{\epsilon_{\Pi_A B}} & \Pi_A B \\
 \cong \downarrow & & \downarrow \cong \\
 \delta^* I^*((\Pi_A B)^I) & \xrightarrow{\delta^* I^* \epsilon_{\Pi_A B}} & \delta^* I^* \Pi_A B \\
 \cong \downarrow & & \downarrow = \\
 \delta^* I^* I_* I^* \Pi_A B & \xrightarrow{\delta^* \varepsilon} & \delta^* I^* \Pi_A B
 \end{array} \tag{6.2.14}$$

where the map $\delta^* \varepsilon$ across the bottom is the counit of the adjunction $I^* \dashv I_*$, taken at $I^* \Pi_A B$, and then pulled back along $\delta : 1 \rightarrow I$. Before taking the pullback, we therefore have the following iso over I between that counit ε_{I^*} and the image under I^* of the previously considered evaluation $\epsilon : (\Pi_A B)^I \rightarrow \Pi_A B$ from (6.2.13). 134
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$$\begin{array}{ccc}
 I^*((\Pi_A B)^I) & \xrightarrow{I^* \epsilon} & I^* \Pi_A B \\
 \cong \downarrow & & \downarrow = \\
 I^* I_* I^* \Pi_A B & \xrightarrow{\varepsilon_{I^*}} & I^* \Pi_A B
 \end{array} \tag{6.2.15}$$

Now let us apply I^* to (6.2.12) to get the map $I^* p$ in the diagram below, which therefore factors (up to (6.2.15)) through the counit ε_{I^*} as $\varepsilon_{I^*} \circ I^*(\widetilde{I^* p})$, where $\widetilde{I^* p}$ is the adjoint transpose of $I^* p$, as shown. 138
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$$\begin{array}{ccccc}
 I^* \Pi_A B^I & \xrightarrow{\quad} & I^* \Pi_{A_\epsilon} a_* a^* B_\epsilon & & \\
 \downarrow I^*(\widetilde{I^* p}) & \searrow \dots & \downarrow & \swarrow I^* p & \\
 I^* I_* I^* \Pi_A B & \xrightarrow{\quad} & I^* \Pi_{A_\epsilon} B_\epsilon & \xrightarrow{\quad} & I^* \Pi_A B \\
 & \searrow \varepsilon_{I^*} & & \nearrow &
 \end{array} \tag{6.2.16}$$

We can therefore set 141

$$\psi := \widetilde{I^* p},$$

and we obtain $\epsilon \circ \psi = p$, from which it follows that the square in (6.2.16) commutes by the definition of $\Pi_{A_\epsilon} B_\epsilon$ as a pullback. The same square without I^* then also commutes by applying the retraction δ^* . 142
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We have now defined all the maps indicated below, the squares involving φ and ψ commute, and the composite of σ and τ is the identity. 145
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$$\begin{array}{ccccc}
 & & X^I & \longrightarrow & X \\
 & & \uparrow & & \uparrow \\
 (\Pi_A B)^I & \xrightarrow{\quad} & \Pi_{A_\epsilon} B_\epsilon & \longrightarrow & \Pi_A B \\
 \downarrow \varphi & & \downarrow \sigma & & \downarrow = \\
 \Pi_{A^I} B^I & \longrightarrow & \Pi_{A_\epsilon} p_* p^* B_\epsilon & & \\
 \downarrow \psi & \nearrow \cdot\tau & \downarrow p & & \\
 (\Pi_A B)^I & \xrightarrow{\quad} & \Pi_{A_\epsilon} B_\epsilon & \xrightarrow{\quad} & \Pi_A B \\
 & & \curvearrowleft \epsilon & &
 \end{array} \tag{6.2.17}$$

To see that $\psi \circ \varphi = 1$, an easy chase through the diagram (6.2.17) shows that 147

$$\epsilon \circ \psi \circ \varphi = p \circ \varphi = \epsilon.$$

Thus by applying I^* and using (6.2.15) we have $\varepsilon_{I^*} \circ I^*(\psi \circ \varphi) = \varepsilon_{I^*}$, and so $\psi \circ \varphi = \widetilde{\varepsilon_{I^*}} = 1$. □

From Proposition 6.1 we then have: 148

Corollary 6.6 (Unbiased Frobenius) *The unbiased fibration weak factorization system on \mathbf{cSet} satisfies the Frobenius condition.* 149
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Corollary 6.7 *Unbiased fibrations are closed under pushforward along unbiased fibrations. Thus given unbiased fibrations $X \rightarrow Z$ and $Y \rightarrow Z$ over any base Z , the relative exponential $Y^X = X_* X^* Y \rightarrow Z$, formed in the slice over Z , is again an unbiased fibration.* 151
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Remark 6.8 We note in passing that the proof just given for the δ -biased case of Frobenius, Proposition 6.5, made no use of the fact that $\delta : 1 \rightarrow I$ is generic, nor even that we were working in the slice category over I . Indeed the same algebraic argument works for p -biased fibrations for any point $p : 1 \rightarrow I$ of any object I , in any (quasi-)topos \mathcal{E} . 155
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Chapter 7

A Universal Fibration

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We shall construct a *universal small fibration* $\dot{\mathcal{U}} \rightarrow \mathcal{U}$, which is a classifier for small fibrations in \mathbf{cSet} . It will be shown in Chap. 9 that the base object \mathcal{U} is fibrant, using the fact to be proved in Chap. 8 that the map $\dot{\mathcal{U}} \rightarrow \mathcal{U}$ itself is *univalent*, in a sense to be made precise.

Our construction of $\dot{\mathcal{U}} \rightarrow \mathcal{U}$ makes use, first of all, of a new description of the well-known Hofmann-Streicher universe in any category $\widehat{\mathbb{C}} = [\mathbb{C}^{\text{op}}, \mathbf{Set}]$ of presheaves on a small category \mathbb{C} , which was used in [42] to interpret dependent type theory. Some of the material in this chapter was published in preliminary form as [10].

7.1 Classifying Families

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Definition 7.1 ([42]) Let \mathbb{C} be a small category. A (type-theoretic) *universe* (U, El) consists of $U \in \widehat{\mathbb{C}}$ and $El \in \widehat{\int_{\mathbb{C}} U}$ with:

$$U(c) = \mathbf{Cat}(\mathbb{C}/_c^{\text{op}}, \mathbf{Set}) \quad (7.1.1)$$

$$El(c, A) = A(id_c) \quad (7.1.2)$$

with the evident associated action on morphisms.

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A few comments are required:

- In contrast to op.cit., in (7.1.1) we take the underlying set of objects of the functor category $\widehat{\mathbb{C}/_c} = [\mathbb{C}/_c^{\text{op}}, \mathbf{Set}]$.
- As in ibid., (7.1.2) adopts the “categories with families” point of view in describing an arrow $E \rightarrow U$ in $\widehat{\mathbb{C}}$ equivalently as a presheaf on the category of

elements $\int_{\mathbb{C}} U$, using

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$$\widehat{\mathbb{C}}/U \simeq \widehat{\int_{\mathbb{C}} U} \quad (7.1.3)$$

where

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$$E(c) = \coprod_{A \in U(c)} El(c, A).$$

The argument $(c, A) \in \int_{\mathbb{C}} U$ in (7.1.2) thus consists of an object $c \in \mathbb{C}$ and an element $A \in U(c)$.

- To account for size issues, the authors of ibid. assume a Grothendieck universe u in **Set**, the elements of which are called *small*. The category \mathbb{C} is assumed to be small, as are the values of the presheaves, unless otherwise stated.

The presheaf U , which is not small, is then regarded as the Grothendieck universe u “lifted” from **Set** to $[\mathbb{C}^{\text{op}}, \mathbf{Set}]$. We first analyse this specification of (U, El) from a different perspective, in order to establish its basic property as a classifier for small families in $\widehat{\mathbb{C}}$.

7.1.1 A Realization-Nerve Adjunction

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For a presheaf X on \mathbb{C} , recall that the category of elements is the comma category,

$$\int_{\mathbb{C}} X = y_{\mathbb{C}/X},$$

where $y_{\mathbb{C}} : \mathbb{C} \rightarrow \mathbf{Set}^{\mathbb{C}^{\text{op}}}$ is the Yoneda embedding, which we sometimes suppress and write simply \mathbb{C}/X for $y_{\mathbb{C}/X}$.

Proposition 7.2 ([39], §28) *The category of elements functor*

$$\int_{\mathbb{C}} : \widehat{\mathbb{C}} \rightarrow \mathbf{Cat}$$

has a right adjoint,

$$v_{\mathbb{C}} : \mathbf{Cat} \rightarrow \widehat{\mathbb{C}}.$$

For a small category \mathbb{A} , we shall call the presheaf $v_{\mathbb{C}}(\mathbb{A})$ the $(\mathbb{C}-)$ nerve of \mathbb{A} .

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Proof The adjunction $\int_{\mathbb{C}} \dashv v_{\mathbb{C}}$ is an instance of the usual “realization/nerve” adjunction, here with respect to the covariant slice category functor $\mathbb{C}/- : \mathbb{C} \rightarrow \mathbf{Cat}$, as indicated below.

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$$\begin{array}{ccc}
 \widehat{\mathbb{C}} & \begin{matrix} \xleftarrow{\nu_{\mathbb{C}}} \\ \xrightarrow{f_{\mathbb{C}}} \end{matrix} & \mathbf{Cat} \\
 \downarrow y & & \nearrow \mathbb{C}/_- \\
 \mathbb{C} & &
 \end{array} \tag{7.1.4}$$

In detail, for $\mathbb{A} \in \mathbf{Cat}$ and $c \in \mathbb{C}$, let $\nu_{\mathbb{C}}(\mathbb{A})(c)$ be the Hom-set of functors,

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$$\nu_{\mathbb{C}}(\mathbb{A})(c) = \mathbf{Cat}(\mathbb{C}/_c, \mathbb{A}),$$

with contravariant action on $h : d \rightarrow c$ given by pre-composing a functor $P : \mathbb{C}/_c \rightarrow \mathbb{A}$ with the post-composition functor

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$$\mathbb{C}/h : \mathbb{C}/d \rightarrow \mathbb{C}/c.$$

For the adjunction, observe that the slice category $\mathbb{C}/_c$ is the category of elements of the representable functor yc ,

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$$\int_{\mathbb{C}} yc \cong \mathbb{C}/_c.$$

Thus for representables yc , we have the required natural isomorphism

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$$\widehat{\mathbb{C}}(yc, \nu_{\mathbb{C}}(\mathbb{A})) \cong \nu_{\mathbb{C}}(\mathbb{A})(c) = \mathbf{Cat}(\mathbb{C}/_c, \mathbb{A}) \cong \mathbf{Cat}(\int_{\mathbb{C}} yc, \mathbb{A}).$$

For arbitrary presheaves X , one uses the presentation of X as a colimit of representables over the index category $\int_{\mathbb{C}} X$, and the easy to prove fact that $\int_{\mathbb{C}}$ itself preserves colimits. Indeed, for any category \mathbb{D} , we have an isomorphism in \mathbf{Cat} ,

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$$\varinjlim_{d \in \mathbb{D}} \mathbb{D}/_d \cong \mathbb{D}.$$

□

When \mathbb{C} is fixed, we may omit the subscript in the notation yc and $\int_{\mathbb{C}}$ and $\nu_{\mathbb{C}}$. The unit and counit maps of the adjunction $\int \dashv \nu$,

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$$\eta : X \rightarrow \nu \int X,$$

$$\epsilon : \int \nu \mathbb{A} \rightarrow \mathbb{A},$$

are then as follows. At $c \in \mathbb{C}$, for $x : yc \rightarrow X$, the functor $(\eta_X)_c(x) : \mathbb{C}/_c \rightarrow \mathbb{C}/X$ is just composition with x ,

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$$(\eta_X)_c(x) = \mathbb{C}/_x : \mathbb{C}/_c \rightarrow \mathbb{C}/X. \tag{7.1.5}$$

For $\mathbb{A} \in \mathbf{Cat}$, the functor $\epsilon : \int v\mathbb{A} \rightarrow \mathbb{A}$ takes a pair $(c \in \mathbb{C}, f : \mathbb{C}/c \rightarrow \mathbb{A})$ to the object $f(1_c) \in \mathbb{A}$,

$$\epsilon(c, f) = f(1_c).$$

Lemma 7.3 *For any $f : Y \rightarrow X$, the naturality square below is a pullback.*

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$$\begin{array}{ccc} Y & \xrightarrow{\eta_Y} & \int vY \\ f \downarrow & & \downarrow \int vf \\ X & \xrightarrow{\eta_X} & \int vX. \end{array} \quad (7.1.6)$$

Proof It suffices to prove this for the case $f : X \rightarrow 1$. Thus consider the square

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$$\begin{array}{ccc} X & \xrightarrow{\eta_X} & \int vX \\ \downarrow & & \downarrow \\ 1 & \xrightarrow{\eta_1} & \int v1. \end{array} \quad (7.1.7)$$

Evaluating at $c \in \mathbb{C}$ and applying (7.1.5) gives the following square in \mathbf{Set} .

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$$\begin{array}{ccc} Xc & \xrightarrow{\mathbb{C}/-} & \mathbf{Cat}(\mathbb{C}/c, \mathbb{C}/X) \\ \downarrow & & \downarrow \\ 1c & \xrightarrow{\mathbb{C}/-} & \mathbf{Cat}(\mathbb{C}/c, \mathbb{C}/1) \end{array} \quad (7.1.8)$$

The image of $*$ in $1c$ along the bottom is the forgetful functor $U_c : \mathbb{C}/c \rightarrow \mathbb{C}$, and its fiber under the map on the right is the set of functors $F : \mathbb{C}/c \rightarrow \mathbb{C}/X$ such that $U_X \circ F = U_c$, where $U_X : \mathbb{C}/X \rightarrow \mathbb{C}$ is also a forgetful functor. But any such F is uniquely of the form \mathbb{C}/x for $x = F(1_c) : \mathbb{C} \rightarrow X$. \square

7.1.2 A Universal Family

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For the terminal presheaf $1 \in \widehat{\mathbb{C}}$ we have an iso $\int 1 \cong \mathbb{C}$, so for every $X \in \widehat{\mathbb{C}}$ there is a canonical projection $\int X \rightarrow \mathbb{C}$, which is a discrete fibration. It follows that for any map $Y \rightarrow X$ of presheaves, the associated map $\int Y \rightarrow \int X$ is also a discrete fibration. Ignoring size issues temporarily, recall that discrete fibrations in \mathbf{Cat} are classified by the forgetful functor $\mathbf{Set}^{\text{op}} \rightarrow \mathbf{Set}^{\text{op}}$ from (the opposites of) the category of pointed sets to that of sets (cf. [74]). For every presheaf $X \in \widehat{\mathbb{C}}$, we therefore have

a pullback diagram in \mathbf{Cat} ,

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$$\begin{array}{ccc} \int X & \longrightarrow & \dot{\mathbf{Set}}^{\text{op}} \\ \downarrow & \lrcorner & \downarrow \\ \mathbb{C} & \xrightarrow[X]{} & \mathbf{Set}^{\text{op}}. \end{array} \quad (7.1.9)$$

Using $\mathbb{C} \cong \int 1$ and transposing by the adjunction $\int \dashv v$ then gives a commutative square in $\widehat{\mathbb{C}}$ of the form:

$$\begin{array}{ccc} X & \longrightarrow & v\dot{\mathbf{Set}}^{\text{op}} \\ \downarrow & & \downarrow \\ 1 & \xrightarrow[\tilde{X}]{} & v\dot{\mathbf{Set}}^{\text{op}}. \end{array} \quad (7.1.10)$$

Lemma 7.4 *The square (7.1.10) is a pullback in $\widehat{\mathbb{C}}$. More generally, for any map $Y \rightarrow X$ in $\widehat{\mathbb{C}}$, there is a canonical pullback square*

$$\begin{array}{ccc} Y & \longrightarrow & v\dot{\mathbf{Set}}^{\text{op}} \\ \downarrow & \lrcorner & \downarrow \\ X & \longrightarrow & v\dot{\mathbf{Set}}^{\text{op}}. \end{array} \quad (7.1.11)$$

Proof Apply the right adjoint v to the pullback square (7.1.9) and paste the naturality square (7.1.6) from Lemma 7.3 on the left, to obtain the transposed square (7.1.11) as a pasting of two pullbacks. \square

Let us write $\dot{\mathcal{V}} \rightarrow \mathcal{V}$ for the vertical map on the right in (7.1.11), setting

$$\dot{\mathcal{V}} := v\dot{\mathbf{Set}}^{\text{op}} \quad (7.1.12)$$

$$\mathcal{V} := v\mathbf{Set}^{\text{op}}.$$

We summarize our results so far as follows.

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Proposition 7.5 *The nerve $\dot{\mathcal{V}} \rightarrow \mathcal{V}$ of the classifier for discrete fibrations $\dot{\mathbf{Set}}^{\text{op}} \rightarrow \mathbf{Set}^{\text{op}}$, as defined in (7.1.12), classifies natural transformations $Y \rightarrow X$ in $\widehat{\mathbb{C}}$, in the sense that there is always a pullback square,*

$$\begin{array}{ccc} Y & \longrightarrow & \dot{\mathcal{V}} \\ \downarrow & \lrcorner & \downarrow \\ X & \xrightarrow[\tilde{Y}]{} & \mathcal{V}. \end{array} \quad (7.1.13)$$

The classifying map $\tilde{Y} : X \rightarrow \mathcal{V}$ is determined by the adjunction $\int \dashv v$ as the transpose of the classifying map of the discrete fibration $\int Y \rightarrow \int X$. 76
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Given a natural transformation $Y \rightarrow X$, the classifying map $\tilde{Y} : X \rightarrow \mathcal{V}$ is of course not in general unique. Nonetheless, we can use the construction of $\dot{\mathcal{V}} \rightarrow \mathcal{V}$ as the nerve of the discrete fibration classifier $\text{Set}^{\text{op}} \rightarrow \text{Set}^{\text{op}}$, for which classifying functors $\mathbb{C} \rightarrow \text{Set}^{\text{op}}$ are unique up to natural isomorphism, to infer the following proposition, which will be required below (cf. [38, 67]). Specifically it is used in the Realignment Lemma for fibrations 7.22, which is needed to prove the fibrancy of the universe, Proposition 9.4. 78
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Proposition 7.6 (Realignment for Families) *Given a monomorphism $c : C \rightarrow X$ and a family $Y \rightarrow X$, let $y_c : C \rightarrow \mathcal{V}$ classify the pullback $c^*Y \rightarrow C$. Then there is a classifying map $y : X \rightarrow \mathcal{V}$ for $Y \rightarrow X$ with $y \circ c = y_c$.* 85
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$$\begin{array}{ccc}
 c^*Y & \xrightarrow{\quad} & \dot{\mathcal{V}} \\
 \downarrow & \searrow & \downarrow \pi \\
 Y & \xrightarrow{\quad} & \mathcal{V} \\
 \downarrow y_c & \downarrow & \downarrow \pi \\
 C & \xrightarrow{c} & \mathcal{V} \\
 \downarrow & \swarrow & \downarrow \pi \\
 X & \xrightarrow{y} & \mathcal{V}
 \end{array} \tag{7.1.14}$$

Proof Transposing the realignment problem (7.1.14) for presheaves across the adjunction $\int \dashv v$ results in the following realignment problem for discrete fibrations. 88
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$$\begin{array}{ccc}
 \int c^*Y & \xrightarrow{\quad} & \text{Set}^{\text{op}} \\
 \downarrow & \searrow & \downarrow \pi \\
 \int Y & \xrightarrow{\quad} & \text{Set}^{\text{op}} \\
 \downarrow \tilde{y}_c & \downarrow & \downarrow \pi \\
 \int C & \xrightarrow{\tilde{c}} & \text{Set}^{\text{op}} \\
 \downarrow & \swarrow & \downarrow \pi \\
 \int X & \xrightarrow{\tilde{y}} & \text{Set}^{\text{op}}
 \end{array} \tag{7.1.15}$$

The category of elements functor \int is easily seen to preserve pullbacks, hence monos; thus let us consider the general case of a functor $C : \mathbb{C} \rightarrow \mathbb{D}$ which is monic in Cat , a pullback of discrete fibrations as on the left below, and a presheaf $E : \mathbb{C} \rightarrow \text{Set}^{\text{op}}$ with $\int E \cong \mathbb{B}$ over \mathbb{C} . 91
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$$\begin{array}{ccccc}
 & E & \longrightarrow & \mathbf{Set}^{\text{op}} & \\
 \downarrow & \searrow & & \nearrow & \downarrow \\
 & F & & & \\
 \downarrow & \downarrow & & & \downarrow \\
 \mathbb{C} & \xrightarrow{E} & \mathbf{Set}^{\text{op}} & & \\
 \downarrow & \swarrow & & \nearrow & \downarrow \\
 & D & & \nearrow & \\
 & C & \swarrow & & \\
 & & F & &
 \end{array} \tag{7.1.16}$$

We seek $F : \mathbb{D} \rightarrow \mathbf{Set}^{\text{op}}$ with $\int F \cong \mathbb{F}$ over \mathbb{D} and $F \circ C = E$. Let $F_0 : \mathbb{D} \rightarrow \mathbf{Set}^{\text{op}}$ with $\int F_0 \cong \mathbb{F}$ over \mathbb{D} , which exists since $\mathbb{F} \rightarrow \mathbb{D}$ is a discrete fibration. Since $F_0 \circ C$ and E both classify \mathbb{E} , there is a natural iso $e : F_0 \circ C \cong E$. Consider the following diagram

$$\begin{array}{ccccc}
 \mathbb{C} & \xrightarrow{e} & (\mathbf{Set}^{\cong})^{\text{op}} & \xrightarrow{p_2} & \mathbf{Set}^{\text{op}} \\
 \downarrow & & \downarrow p_1 & & \\
 \mathbb{D} & \xrightarrow{F_0} & \mathbf{Set}^{\text{op}} & & \\
 \downarrow & \nearrow f & & & \\
 & & & &
 \end{array} \tag{7.1.17}$$

where \mathbf{Set}^{\cong} is the category of isos in \mathbf{Set} , with p_1, p_2 the (opposites of the) domain and codomain projections. There is a well-known weak factorization system on \mathbf{Cat} (part of the “canonical model structure”) with injective-on-objects functors on the left and isofibration equivalences on the right. Thus there is a diagonal filler f as indicated. The functor $F := p_2 \circ f : \mathbb{D} \rightarrow \mathbf{Set}^{\text{op}}$ is then the one we seek. \square

7.1.3 Small Maps

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Of course, as defined in (7.1.12), the classifier $\dot{\mathcal{V}} \rightarrow \mathcal{V}$ cannot be a map in $\widehat{\mathbb{C}}$, for reasons of size; we now address this.

For any cardinal number α , call a set α -*small* if its cardinality is strictly less than α . Let $\mathbf{Set}_\alpha \hookrightarrow \mathbf{Set}$ be the full subcategory of α -small sets. Call a map $f : Y \rightarrow X$ of presheaves α -*small* if all of the fibers $f_c^{-1}\{x\} \subseteq Y_c$ are α -small sets (for all $c \in \mathbb{C}$ and $x \in Xc$). The latter condition is equivalent to saying that for any element $x : yc \rightarrow X$, the set of lifts $y : yc \rightarrow Y$ of x across f is α -small.

$$\begin{array}{ccc}
 & Y & \\
 & \nearrow y & \downarrow f \\
 yc & \xrightarrow{x} & X
 \end{array} \tag{7.1.18}$$

Finally, call a presheaf $X : \mathbb{C}^{\text{op}} \rightarrow \text{Set}$ α -small if the map $X \rightarrow 1$ is α -small. This implies that all of the values X_C are α -small sets, and so the functor $X : \square^{\text{op}} \rightarrow \text{Set}$ factors through $\text{Set}_\alpha \hookrightarrow \text{Set}$.

Now let us restrict the specification (7.1.12) of $\dot{\mathcal{V}} \rightarrow \mathcal{V}$ to the α -small sets:

$$\begin{aligned}\dot{\mathcal{V}}_\alpha &:= v\dot{\text{Set}}_\alpha^{\text{op}} \\ \mathcal{V}_\alpha &:= v\text{Set}_\alpha^{\text{op}}.\end{aligned}\tag{7.1.19}$$

Then the evident forgetful map $\dot{\mathcal{V}}_\alpha \rightarrow \mathcal{V}_\alpha$ is a map in the category $\widehat{\mathbb{C}}$ of presheaves, and it is easily seen to be α -small. Moreover, it has the following basic property, which is just a restriction of the basic property of $\dot{\mathcal{V}} \rightarrow \mathcal{V}$ stated in Proposition 7.5.

Proposition 7.7 *The map $\dot{\mathcal{V}}_\alpha \rightarrow \mathcal{V}_\alpha$ classifies α -small maps $f : Y \rightarrow X$ in the sense that there is always a pullback square,*

$$\begin{array}{ccc}Y & \longrightarrow & \dot{\mathcal{V}}_\alpha \\ \downarrow \dashv & & \downarrow \\ X & \xrightarrow{\tilde{Y}} & \mathcal{V}_\alpha.\end{array}\tag{7.1.20}$$

The classifying map $\tilde{Y} : X \rightarrow \mathcal{V}_\alpha$ is determined by the adjunction $\int \dashv v$ as (the factorization of) the transpose of the classifying map of the discrete fibration $\int X \rightarrow \int Y$.

Proof If $Y \rightarrow X$ is α -small, its classifying map $\tilde{Y} : X \rightarrow \mathcal{V}$ factors through $\mathcal{V}_\alpha \hookrightarrow \mathcal{V}$, as indicated below,

$$\begin{array}{ccccc}Y & \xrightarrow{\quad} & v\dot{\text{Set}}_\alpha^{\text{op}} & \xleftarrow{\quad} & v\dot{\text{Set}}^{\text{op}} \\ \downarrow & & \downarrow & & \downarrow \\ X & \xrightarrow{\quad} & v\text{Set}_\alpha^{\text{op}} & \xleftarrow{\quad} & v\text{Set}^{\text{op}}, \\ & & \searrow \tilde{Y} & & \end{array}\tag{7.1.21}$$

in virtue of the following adjoint transposition,

$$\begin{array}{ccccc}\int Y & \xrightarrow{\quad} & \dot{\text{Set}}_\alpha^{\text{op}} & \xleftarrow{\quad} & \dot{\text{Set}}^{\text{op}} \\ \downarrow & & \downarrow & & \downarrow \\ \int X & \xrightarrow{\quad} & \text{Set}_\alpha^{\text{op}} & \xleftarrow{\quad} & \text{Set}^{\text{op}}. \\ & & \searrow & & \end{array}\tag{7.1.22}$$

Note that the square on the right is evidently a pullback, and so the one on the left is, too, because the outer rectangle is the classifying pullback of the discrete fibration $\int Y \rightarrow \int X$, as stated. Thus the left square in (7.1.21) is also a pullback. \square

7.1.4 Examples of Universal Families $\dot{\mathcal{V}}_\alpha \rightarrow \mathcal{V}_\alpha$

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- Let $\alpha = \kappa$ a strongly inaccessible cardinal, so that $\text{ob}(\dot{\mathbf{Set}}_\kappa)$ is a Grothendieck universe. Then the Hofmann-Streicher universe of Definition 7.1 is recovered as the κ -small map classifier

$$E \cong \dot{\mathcal{V}}_\kappa \rightarrow \mathcal{V}_\kappa \cong U$$

in the sense of Proposition 7.7. Indeed, for $c \in \mathbb{C}$, we have

$$\mathcal{V}_\kappa c = v(\dot{\mathbf{Set}}_\kappa^{\text{op}})(c) = \text{Cat}(\mathbb{C}/_c, \dot{\mathbf{Set}}_\kappa^{\text{op}}) = \text{ob}(\widehat{\mathbb{C}/_c}) = Uc. \quad (7.1.23)$$

For $\dot{\mathcal{V}}_\kappa$ we then have,

$$\begin{aligned} \dot{\mathcal{V}}_\kappa c &= v(\dot{\mathbf{Set}}_\kappa^{\text{op}})(c) = \text{Cat}(\mathbb{C}/_c, \dot{\mathbf{Set}}_\kappa^{\text{op}}) \\ &\cong \coprod_{A \in \mathcal{V}_\kappa c} \text{Cat}_{\mathbb{C}/_c}(\mathbb{C}/_c, A^* \dot{\mathbf{Set}}_\kappa^{\text{op}}) \end{aligned} \quad (7.1.24)$$

where the A -summand in (7.1.24) is defined by taking sections of the pullback indicated below.

$$\begin{array}{ccc} A^* \dot{\mathbf{Set}}_\kappa^{\text{op}} & \longrightarrow & \dot{\mathbf{Set}}_\kappa^{\text{op}} \\ \dashdown \downarrow & \lrcorner & \downarrow \\ \mathbb{C}/_c & \xrightarrow{A} & \dot{\mathbf{Set}}_\kappa^{\text{op}} \end{array} \quad (7.1.25)$$

But $A^* \dot{\mathbf{Set}}_\kappa^{\text{op}} \cong \int_{\mathbb{C}/_c} A$ over $\mathbb{C}/_c$, and sections of this discrete fibration in $\text{Cat}_{\mathbb{C}/_c}$ correspond uniquely to natural maps $1 \rightarrow A$ in $\widehat{\mathbb{C}/_c}$. Since 1 is representable in $\widehat{\mathbb{C}/_c}$ we can continue (7.1.24) by

$$\begin{aligned} \dot{\mathcal{V}}_\kappa c &\cong \coprod_{A \in \mathcal{V}_\kappa c} \text{Cat}_{\mathbb{C}/_c}(\mathbb{C}/_c, A^* \dot{\mathbf{Set}}_\kappa^{\text{op}}) \\ &\cong \coprod_{A \in \mathcal{V}_\kappa c} \widehat{\mathbb{C}/_c}(1, A) \\ &\cong \coprod_{A \in \mathcal{V}_\kappa c} A(1_c) \\ &= \coprod_{A \in \mathcal{V}_\kappa c} \mathbf{El}((c, A)) \\ &= Ec. \end{aligned}$$

2. By functoriality of the nerve $\nu : \mathbf{Cat} \rightarrow \widehat{\mathbb{C}}$, a sequence of Grothendieck universes 133

$$\mathbf{Set}_\alpha \subseteq \mathbf{Set}_\beta \subseteq \dots$$

in \mathbf{Set} gives rise to a (cumulative) sequence of type-theoretic universes 134

$$\mathcal{V}_\alpha \rightarrowtail \mathcal{V}_\beta \rightarrowtail \dots$$

in $\widehat{\mathbb{C}}$. More precisely, there is a sequence of cartesian squares, 135

$$\begin{array}{ccc} \dot{\mathcal{V}}_\alpha & \longrightarrow & \dot{\mathcal{V}}_\beta & \longrightarrow & \dots \\ \downarrow \lrcorner & & \downarrow \lrcorner & & \\ \mathcal{V}_\alpha & \longrightarrow & \mathcal{V}_\beta & \longrightarrow & \dots, \end{array} \quad (7.1.26)$$

in the image of $\nu : \mathbf{Cat} \rightarrow \widehat{\mathbb{C}}$, classifying small maps in $\widehat{\mathbb{C}}$ of increasing size, in 136
the sense of Proposition 7.7. 137

3. Let $\alpha = 2$ so that $1 \rightarrow 2$ is the subobject classifier of \mathbf{Set} , and 138

$$1 = \dot{\mathbf{Set}}_2^{\text{op}} \rightarrow \mathbf{Set}_2^{\text{op}} = 2$$

is then a classifier in \mathbf{Cat} for *sieves*, i.e. full subcategories $\mathbb{S} \hookrightarrow \mathbb{A}$ closed under 139
the domains of arrows $a \rightarrow s$ for $s \in \mathbb{S}$. The nerve $\mathcal{V}_2 \rightarrow \mathcal{V}_2$ is then the usual 140
subobject classifier $1 \rightarrow \Omega$ of $\widehat{\mathbb{C}}$, 141

$$\begin{array}{ccccc} \dot{\mathcal{V}}_2 & \xlongequal{\quad} & \nu 1 & \xrightarrow{\sim} & 1 \\ \downarrow & & \downarrow & & \downarrow \\ \mathcal{V}_2 & \xlongequal{\quad} & \nu 2 & \xrightarrow{\sim} & \Omega \end{array} \quad (7.1.27)$$

4. For any $X \in \widehat{\mathbb{C}}$, we have an equivalence 142

$$\widehat{\mathbb{C}}/X \simeq \widehat{\int_{\mathbb{C}} X} \simeq \mathbf{dFib}/\int_{\mathbb{C}} X$$

where, generally, \mathbf{dFib}/\mathbb{D} is the category of discrete fibrations over a category 143
 \mathbb{D} . This equivalence commutes with composition along discrete fibrations, in the 144
sense that the forgetful functor 145

$$X_! : \widehat{\mathbb{C}}/X \rightarrow \widehat{\mathbb{C}}$$

given by composition along $X \rightarrow 1$ agrees (up to canonical isomorphism) with the 146
base change $(p_X)_! \dashv (p_X)^*$ of presheaves along the projection $p_X : \int_{\mathbb{C}} X \rightarrow \mathbb{C}$,

and with composition along the discrete fibration p_X , as indicated in:

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$$\begin{array}{ccccc} \widehat{\mathbb{C}}/X & \xrightarrow{\sim} & \widehat{f_{\mathbb{C}} X} & \xrightarrow{\sim} & \mathbf{dFib}/_{f_{\mathbb{C}} X} \\ X_! \downarrow & & (p_X)_! \downarrow & & \downarrow p_X \circ (-) \\ \widehat{\mathbb{C}} & \xrightarrow{\sim} & \widehat{\mathbb{C}} & \xrightarrow{\sim} & \mathbf{dFib}/\mathbb{C}. \end{array} \quad (7.1.28)$$

It follows that the pullback functor $X^* : \widehat{\mathbb{C}} \rightarrow \widehat{\mathbb{C}}/X$ commutes with the corresponding right adjoints (one of which is the nerve), and therefore preserves the respective universes,

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$$X^* \mathcal{V}_{\mathbb{C}} \cong (p_X)^* v_{\mathbb{C}}(\mathbf{Set}^{\text{op}}) \cong v_{f_{\mathbb{C}} X}(\mathbf{Set}^{\text{op}}) \cong \mathcal{V}_{f_{\mathbb{C}} X}.$$

Corollary 7.8 *Let $\dot{\mathcal{V}}_{\alpha} \rightarrow \mathcal{V}_{\alpha}$ classify α -small maps in $\widehat{\mathbb{C}}$, as in Proposition 7.7. Then for any $X \in \widehat{\mathbb{C}}$, the pullback $X^* \dot{\mathcal{V}}_{\alpha} \rightarrow X^* \mathcal{V}_{\alpha}$ classifies α -small maps in $\widehat{\mathbb{C}}/X$.*

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Remark 7.9 (Standing Assumption Regarding Size) We shall henceforth assume a countable sequence of Grothendieck universes $\mathbf{Set}_{\alpha} \subset \mathbf{Set}_{\beta} \subset \dots \mathbf{Set}_{\kappa} \subset \dots$, such that every set occurs in one, with their associated classifiers

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$$\mathcal{V}_{\alpha} \rightarrowtail \mathcal{V}_{\beta} \rightarrowtail \dots \mathcal{V}_{\kappa} \rightarrowtail \dots$$

in $\widehat{\mathbb{C}}$ for κ -small maps, as in (7.1.26). When constructing further classifiers for (trivial) fibrations from these, as in Propositions 7.11 and 7.17, we similarly assume that these are parametrized by the cardinals κ . Rather than constantly referring to this indexing, however, we shall simply call attention to the relative difference between “small” and “large” where the distinction is relevant. Note that according to this convention, *every map is small* with respect to some universe \mathcal{V}_{κ} .

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7.2 Classifying Trivial Fibrations

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Returning now to the particular presheaf category $\mathbf{cSet} = \mathbf{Set}^{\square^{\text{op}}}$ of cubical sets, recall from Chap. 3 that (uniform) trivial fibration structures on a map $A \rightarrow X$ correspond bijectively to relative $+$ -algebra structures over X (Definition 3.5). A relative $+$ -algebra structure on $A \rightarrow X$ is an algebra structure for the pointed polynomial endofunctor $+_X : \mathbf{cSet}/X \rightarrow \mathbf{cSet}/X$, where recall from (3.1.1),

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$$A^+ = \sum_{\varphi: \Phi} A^{[\varphi]} \quad \text{over } X.$$

A $+$ -algebra structure is then a retract $\alpha : A^+ \rightarrow A$ over X of the canonical map $\eta_A : A \rightarrow A^+$,¹⁶⁸¹⁶⁹

$$\begin{array}{ccccc}
 & & = & & \\
 & \swarrow & & \searrow & \\
 A & \xrightarrow{\eta_A} & A^+ & \xrightarrow{\alpha} & A \\
 & \downarrow & \downarrow & \downarrow & \\
 & & X. & &
 \end{array} \tag{7.2.1}$$

In more detail, let us write $A \rightarrow X$ as a family $(A_x)_{x \in X}$, so that $A = \sum_{x \in X} A_x \rightarrow X$.¹⁷⁰¹⁷¹¹⁷² Since the $+$ -functor acts fiberwise, the object A^+ in (7.2.1) is then the indexing projection

$$\sum_{x \in X} A_x^+ \rightarrow X.$$

Working in the slice \mathbf{cSet}/X , the (relative) exponentials (internal Hom's) $[A^+, A]$ ¹⁷³ and $[A, A]$ and the “precomposition by η_A ” map $[\eta_A, A]$,¹⁷⁴¹⁷⁵ fit into the following pullback diagram

$$\begin{array}{ccc}
 +\text{Alg}(A) & \longrightarrow & [A^+, A] \\
 \downarrow & \lrcorner & \downarrow [\eta_A, A] \\
 1 & \xrightarrow{\text{id}_A} & [A, A].
 \end{array} \tag{7.2.2}$$

The constructed object $+ \text{Alg}(A) \rightarrow X$ over X is then the *object of $+$ -algebra structures on $A \rightarrow X$* , in the sense that sections $X \rightarrow + \text{Alg}(A)$ correspond uniquely¹⁷⁶¹⁷⁷ to $+$ -algebra structures on $A \rightarrow X$. Moreover, $+ \text{Alg}(A) \rightarrow X$ is stable under pullback,¹⁷⁸¹⁷⁹ in the sense that for any $f : Y \rightarrow X$, we have two pullback squares,

$$\begin{array}{ccc}
 f^* A & \longrightarrow & A \\
 \downarrow & & \downarrow \\
 Y & \xrightarrow{f} & X \\
 \uparrow & & \uparrow \\
 +\text{Alg}(f^* A) & \longrightarrow & +\text{Alg}(A)
 \end{array} \tag{7.2.3}$$

because the $+$ -functor, exponentials and pullbacks occurring in the construction of $+ \text{Alg}(A) \rightarrow X$ are themselves all stable.¹⁸⁰¹⁸¹

Let us record what we have learned for future reference, and introduce some new terminology.¹⁸²¹⁸³

Proposition 7.10 *For any map $A \rightarrow X$, we have the following classifying type for trivial fibration structures,* 184
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$$\text{TFib}(A) := +\text{Alg}(A) \rightarrow X.$$

Sections of $\text{TFib}(A) \rightarrow X$ correspond bijectively to $+$ -algebra structures on $A \rightarrow X$. 186
Moreover, $\text{TFib}(A) \rightarrow X$ is stable under pullback, in the sense that for any $f : Y \rightarrow X$, we have an iso, 187
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$$\text{TFib}(f^* A) \cong f^* \text{TFib}(A). \quad (7.2.4)$$

It now follows from Proposition 7.7 that, if $A \rightarrow X$ is small, then $\text{TFib}(A) \rightarrow X$ 189
is itself a pullback of the analogous object $\text{TFib}(\dot{\mathcal{V}}) \rightarrow \mathcal{V}$ constructed from the 190
universal small family $\dot{\mathcal{V}} \rightarrow \mathcal{V}$ of Proposition 7.7, so there are two pullback squares: 191

$$\begin{array}{ccc} A & \longrightarrow & \dot{\mathcal{V}} \\ \downarrow & & \downarrow \\ X & \xrightarrow{\chi_A} & \mathcal{V} \\ \uparrow & & \uparrow \\ \text{TFib}(A) & \longrightarrow & \text{TFib}(\dot{\mathcal{V}}) \end{array} \quad (7.2.5)$$

Proposition 7.11 *There is a universal small trivial fibration* 192

$$\text{TFib} \rightarrow \text{TFib}.$$

Every small trivial fibration $A \rightarrow X$ is a pullback of $\text{TFib} \rightarrow \text{TFib}$ along a canonically determined classifying map $X \rightarrow \text{TFib}$. 193
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$$\begin{array}{ccc} A & \longrightarrow & \text{TFib} \\ \downarrow \lrcorner & & \downarrow \\ X & \longrightarrow & \text{TFib} \end{array} \quad (7.2.6)$$

Proof We can take

$$\text{TFib} := \text{TFib}(\dot{\mathcal{V}}),$$

which comes with its projection $\text{TFib}(\dot{\mathcal{V}}) \rightarrow \dot{\mathcal{V}}$ as in diagram (7.2.5). Then define ¹⁹⁷
 $p_t : \dot{\text{TFib}} \rightarrow \text{TFib}$ by pulling back the universal small family, ¹⁹⁸

$$\begin{array}{ccc} \dot{\text{TFib}} & \longrightarrow & \dot{\mathcal{V}} \\ p_t \downarrow & \lrcorner & \downarrow p \\ \text{TFib} & \longrightarrow & \mathcal{V}. \end{array}$$

Consider the following diagram, in which all the squares (including the distorted ones) are pullbacks, with the outer one coming from Proposition 7.7 and the lower one from (7.2.5). ¹⁹⁹
²⁰⁰
²⁰¹

$$\begin{array}{ccccc} A & \xrightarrow{q_A} & \dot{\mathcal{V}} & & \\ \downarrow & \nearrow \alpha & \downarrow p & \nearrow \chi_A & \\ \text{TFib}(A) & \xrightarrow{\quad} & \text{TFib} & \xrightarrow{\quad} & \mathcal{V} \\ \downarrow \alpha' & \nearrow \kappa & \downarrow p_t & \nearrow \chi'_A & \downarrow \\ X & \xrightarrow{\chi'_A} & \mathcal{V} & & \end{array} \quad (7.2.7)$$

A trivial fibration structure α on $A \rightarrow X$ is a section the object of $+$ -algebra structures ²⁰²
on A , occurring in the diagram as $\text{TFib}(A)$, the pullback of $\text{TFib}(\dot{\mathcal{V}})$ along the ²⁰³
classifying map $\chi_A : X \rightarrow \dot{\mathcal{V}}$ for the small family $A \rightarrow X$. Such sections correspond ²⁰⁴
uniquely to factorizations α' of χ_A as indicated, which in turn induce pullback ²⁰⁵
squares of the required kind (7.2.6). ²⁰⁶

Note that the map $p_t : \dot{\text{TFib}} \rightarrow \text{TFib}$ has a canonical trivial fibration structure. ²⁰⁷
Indeed, consider the following diagram, in which both squares are pullbacks. ²⁰⁸

$$\begin{array}{ccc} \dot{\text{TFib}} & \longrightarrow & \dot{\mathcal{V}} \\ p_t \downarrow & & \downarrow \\ \text{TFib} & \longrightarrow & \mathcal{V} \\ \uparrow & & \uparrow \\ \text{TFib}(\dot{\text{TFib}}) & \longrightarrow & \text{TFib}(\dot{\mathcal{V}}) \end{array} \quad (7.2.8)$$

$\text{TFib}(\dot{\mathcal{V}})$ is the object of trivial fibration structures on $\dot{\mathcal{V}} \rightarrow \mathcal{V}$, and its pullback ²⁰⁹
 $\text{TFib}(\dot{\text{TFib}})$ is therefore the object of trivial fibration structures on $p_t : \dot{\text{TFib}} \rightarrow \text{TFib}$. ²¹⁰
Thus we seek a section of $\text{TFib}(\dot{\text{TFib}}) \rightarrow \text{TFib}$. But recall that $\text{TFib} = \text{TFib}(\dot{\mathcal{V}})$ by ²¹¹

definition, so the lower pullback square is the pullback of $\text{TFib}(\dot{\mathcal{V}}) \rightarrow \mathcal{V}$ against itself, which does indeed have a distinguished section, namely the diagonal

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$$\Delta : \text{TFib}(\dot{\mathcal{V}}) \rightarrow \text{TFib}(\dot{\mathcal{V}}) \times_{\mathcal{V}} \text{TFib}(\dot{\mathcal{V}}).$$

□

Since the universal small trivial fibration $\text{TFib} \rightarrow \text{TFib}$ in cSet from Proposition 7.11 was constructed as $\text{TFib} = \text{TFib}(\dot{\mathcal{V}})$ for the universal small family $\dot{\mathcal{V}} \rightarrow \mathcal{V}$, which in turn is stable under pullback by Corollary 7.8, we also have:

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Corollary 7.12 *The base change of the universal small trivial fibration*

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$$\text{TFib} \rightarrow \text{TFib}$$

in cSet along $I^* : \text{cSet} \rightarrow \text{cSet}/_I$ is a universal small trivial fibration in $\text{cSet}/_I$.

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7.3 Classifying Fibrations

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In order to classify fibrations $A \rightarrow X$, we shall proceed as for trivial fibrations by constructing, for any map $A \rightarrow X$, an object $\text{Fib}(A) \rightarrow X$ of fibration structures which, moreover, is stable under pullback. We then apply the construction to the universal small family $\dot{\mathcal{V}} \rightarrow \mathcal{V}$ of Proposition 7.7 to obtain a universal small fibration. Here we will of course need to distinguish between biased and unbiased fibrations. In Lemma 7.13, we first construct a stable classifying type $\text{Fib}(A) \rightarrow X$ for δ -biased fibration structures on any map $A \rightarrow X$ in $\text{cSet}/_I$ where δ is the generic point. In Lemma 7.16 we then transfer the construction along the base change $I^* : \text{cSet} \rightarrow \text{cSet}/_I$ to obtain a classifier $\text{Fib}(A) \rightarrow X$ for unbiased fibration structures on any $A \rightarrow X$ in cSet .

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The construction of $\text{Fib}(A) \rightarrow X$ for biased fibration structures with respect to a point $\delta : 1 \rightarrow I$ is already a bit more involved than was that of $\text{TFib}(A) \rightarrow X$. In particular, it requires the codomain I of δ to be *tiny*, which is indeed the case for the generic point $\delta : 1 \rightarrow I^*I$ in $\text{cSet}/_I$ by Lemma 2.7.

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7.3.1 The Classifying Type of Biased Fibration Structures

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A classifying type $\text{Fib}(A) \rightarrow X$ of (uniform, δ -biased) fibration structures on a map $p : A \rightarrow X$, as defined in Sect. 4.1, can be constructed as follows.

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- First form the pullback-hom $\delta \Rightarrow p : A^I \rightarrow X^I \times_X A$ with the point $\delta : 1 \rightarrow I$, as indicated in the following diagram.

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$$\begin{array}{ccccc}
 A^I & \xrightarrow{\quad A^\delta \quad} & & & \\
 \downarrow \delta \Rightarrow p & \nearrow (p_A)^I & & & \\
 X^I \times_X A & \xrightarrow{\quad} & A & \xrightarrow{\quad} & \\
 \downarrow & & \downarrow p & & \\
 X^I & \xrightarrow{\quad X^\delta \quad} & X & \xrightarrow{\quad} &
 \end{array} \tag{7.3.1}$$

2. A fibration structure on $p : A \rightarrow X$ is then a relative $+$ -algebra structure on $\delta \Rightarrow p$ ²³⁹ in the slice category over its codomain $X^I \times_X A$. To construct a classifier for such structures, let us first relabel the objects and arrows in diagram (7.3.1) as follows:²⁴⁰

$$\epsilon := X^\delta : X^I \rightarrow X$$

$$A_\epsilon := X^I \times_X A$$

$$\epsilon_A := \delta \Rightarrow p$$

so that the working part of (7.3.1) becomes:

$$\begin{array}{ccccc}
 A^I & & & & \\
 \searrow \epsilon_A & & & & \\
 & A_\epsilon & \longrightarrow & A & \\
 & \downarrow p_\epsilon & \lrcorner & \downarrow p & \\
 & X^I & \xrightarrow{\quad \epsilon \quad} & X &
 \end{array} \tag{7.3.2}$$

3. Now a relative $+$ -algebra structure on ϵ_A (Definition 3.5) is a retract α over A_ϵ of the unit η , as indicated below, where D is simply the domain of the map $(\epsilon_A)^+$ ²⁴¹ resulting from applying the relative $+$ -functor in the slice category over A_ϵ to the object ϵ_A .²⁴²

$$\begin{array}{ccccc}
 A^I & \xrightarrow{\quad \eta \quad} & D & & \\
 \downarrow \epsilon_A & \swarrow \alpha & \downarrow (\epsilon_A)^+ & & \\
 & A_\epsilon & \longrightarrow & A & \\
 & \downarrow p_\epsilon & \lrcorner & \downarrow p & \\
 & X^I & \xrightarrow{\quad \epsilon \quad} & X &
 \end{array} \tag{7.3.3}$$

4. As in the construction (7.2.2), there is an object $\text{TFib}(\epsilon_A) = +\text{Alg}(\epsilon_A)$ over A_ϵ ²⁴³ of relative $+$ -algebra structures on ϵ_A , the sections of which correspond uniquely²⁴⁴

to relative +-algebra structures on ϵ_A (and thus to fibration structures on A). 249

$$\begin{array}{ccccc}
 & & \alpha & & \\
 & & \text{---} \nearrow & & \\
 A^I & \xrightarrow{\quad \eta \quad} & D & & \\
 \downarrow \epsilon_A & & \swarrow (\epsilon_A)^+ & & \\
 \text{TFib}(\epsilon_A) & \longrightarrow & A_\epsilon & \longrightarrow & A \\
 & & \downarrow p_\epsilon & \perp & \downarrow p \\
 & & X^I & \xrightarrow{\quad \epsilon \quad} & X
 \end{array} \tag{7.3.4}$$

5. Sections of $\text{TFib}(\epsilon_A) \rightarrow A_\epsilon$ then correspond to sections of its push-forward along p_ϵ , which we shall call F_A : 250
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$$F_A := (p_\epsilon)_* \text{TFib}(\epsilon_A).$$

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$$\begin{array}{ccccc}
 & & \alpha & & \\
 & & \text{---} \nearrow & & \\
 A^I & \xrightarrow{\quad \eta \quad} & D & & \\
 \downarrow \epsilon_A & & \swarrow (\epsilon_A)^+ & & \\
 \text{TFib}(\epsilon_A) & \longrightarrow & A_\epsilon & \longrightarrow & A \\
 & & \downarrow p_\epsilon & \perp & \downarrow p \\
 F_A & \longrightarrow & X^I & \xrightarrow{\quad \epsilon \quad} & X
 \end{array} \tag{7.3.5}$$

6. One might now try taking another pushforward of $F_A \rightarrow X^I$ along $\epsilon : X^I \rightarrow X$ to get the object $\text{Fib}(A) \rightarrow X$ that we seek, but unfortunately, this would not be stable under pullback along arbitrary maps $Y \rightarrow X$, because the evaluation $\epsilon = X^\delta : X^I \rightarrow X$ is not stable in that way. Instead we use the *root* functor, i.e. the right adjoint of the pathspace, $(-)^\dagger \dashv (-)_I$ from Proposition 2.3. 253
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Let $f : F_A \rightarrow X^I$ be the map $(p_e)_* \mathbf{TFib}(\epsilon_A)$ indicated in (7.3.5), and let $\eta : X \rightarrow (X^I)_I$ be the unit of the root adjunction at X . Then define $\mathbf{Fib}(A) \rightarrow X$ by 259

$$\mathbf{Fib}(A) := \eta^* f_I$$

as indicated in the following pullback diagram. 260

$$\begin{array}{ccc} \mathbf{Fib}(A) & \longrightarrow & (F_A)_I \\ \downarrow & \lrcorner & \downarrow f_I \\ X & \xrightarrow{\eta} & (X^I)_I \end{array} \quad (7.3.6)$$

By adjointness, sections of $\mathbf{Fib}(A) \rightarrow X$ then correspond bijectively to sections of $f : F_A \rightarrow X^I$. 261
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Lemma 7.13 *For any map $A \rightarrow X$ in $\mathbf{cSet}/_I$, the map $\mathbf{Fib}(A) \rightarrow X$ in (7.3.6) is a 263
classifying type for δ -biased fibration structures: sections of $\mathbf{Fib}(A) \rightarrow X$ correspond 264
bijectively to δ -biased fibration structures on $A \rightarrow X$, and the construction is stable 265
under pullback in the sense that for any $f : Y \rightarrow X$, we have two pullback squares, 266*

$$\begin{array}{ccc} f^* A & \longrightarrow & A \\ \downarrow & & \downarrow \\ Y & \xrightarrow{f} & X \\ \uparrow & & \uparrow \\ \mathbf{Fib}(f^* A) & \longrightarrow & \mathbf{Fib}(A) \end{array} \quad (7.3.7)$$

Proof It is clear from the construction that fibration structures on $A \rightarrow X$ correspond 267
bijectively to sections of $\mathbf{Fib}(A) \rightarrow X$. We show that $\mathbf{Fib}(A) \rightarrow X$ is also stable 268
under pullback. To that end, the relevant steps of the construction are recalled 269
schematically below. 270

$$\begin{array}{ccccccc} & & A^I & & & & \\ & & \downarrow \epsilon_A & & & & \\ & & \mathbf{TFib}(\epsilon_A) & \longrightarrow & A_\epsilon & \longrightarrow & A \\ & & p_\epsilon \downarrow & \lrcorner & \downarrow & & \\ F_A & \longrightarrow & X^I & \xrightarrow{\epsilon} & X & \longleftarrow & \mathbf{Fib}(A) \end{array} \quad (7.3.8)$$

Now consider the following diagram, in which the right hand side consists of the 271
data from (7.3.8), and the front, central square is a pullback. 272

$$\begin{array}{ccccc}
B^I & \xrightarrow{\quad} & A^I & & \\
\downarrow \epsilon_B & & \downarrow \epsilon_A & & \\
\text{TFib}(\epsilon_B) & \longrightarrow & B_\epsilon & \xrightarrow{\quad} & A_\epsilon \xleftarrow{\quad} \text{TFib}(\epsilon_A) \\
& & \downarrow & & \downarrow \\
F_B & \longrightarrow & Y^I & \xrightarrow{\quad} & X^I \xleftarrow{\quad} F_A \\
& & \downarrow & & \downarrow \\
\text{Fib}(B) & \longrightarrow & Y & \xrightarrow{f} & X \xleftarrow{\quad} \text{Fib}(A)
\end{array}
\tag{7.3.9}$$

As in the proof of Lemma 6.2, on the left side we repeat the construction with $B \rightarrow Y$ in place of $A \rightarrow X$. The left face of the indicated (distorted) cube is then also a pullback, whence the back (dotted) face is a pullback, since the two-story square in back is the image of the front pullback square under the right adjoint $(-)^I$. Finally, the top rectangle in the back is therefore also a pullback.

It follows that $\text{TFib}(\epsilon_B)$ is a pullback of $\text{TFib}(\epsilon_A)$ along the upper dotted arrow, as in Proposition 7.10, and so the pushforward F_B is a pullback of the corresponding F_A , along the lower dotted arrow (which is f^I), by the Beck-Chevalley condition for the dotted pullback square. Let us record this for later reference:

$$F_B \cong (f^I)^* F_A. \tag{7.3.10}$$

It remains to show that $\text{Fib}(B)$ is a pullback of $\text{Fib}(A)$ along $f : Y \rightarrow X$, and now it is good that we did not take these to be pushforwards of F_B and F_A , because the floor of the cube need not be a pullback, and so the Beck-Chavally condition would not apply. Instead, consider the following diagram.

$$\begin{array}{ccccc}
\text{Fib}(B) & \longrightarrow & \text{Fib}(A) & & \\
\downarrow & & \downarrow & & \downarrow \\
(F_B)_I & \xrightarrow{\quad} & (F_A)_I & & \\
\downarrow & & \downarrow & & \downarrow \\
(Y^I)_I & \xrightarrow{\quad} & (X^I)_I & & \\
\eta \swarrow & & \eta \searrow & & \\
Y & \xrightarrow{f} & X & &
\end{array}
\tag{7.3.11}$$

The sides of the cube are pullbacks by the construction of $\text{Fib}(A)$ and $\text{Fib}(B)$. The front face is the root of the pullback (7.3.10) and is thus also a pullback, since the

root is a right adjoint. The base commutes by naturality of the unit of the adjunction, and so the back face is also a pullback, as required. \square

Now let us apply the foregoing construction of $\text{Fib}(A)$ to the universal family $\dot{\mathcal{V}} \rightarrow \mathcal{V}$ to get $\text{Fib}(\dot{\mathcal{V}}) \rightarrow \mathcal{V}$, and define the universal small (δ -biased) fibration in cSet_I by setting $\text{Fib} := \text{Fib}(\dot{\mathcal{V}})$ and $\text{Fib} \rightarrow \text{Fib}$ by pulling back the universal family,

$$\begin{array}{ccc} \text{Fib} & \longrightarrow & \dot{\mathcal{V}} \\ \downarrow \lrcorner & & \downarrow p \\ \text{Fib} & \longrightarrow & \mathcal{V}. \end{array} \quad (7.3.12)$$

The proof of the following then proceeds just as that given for $\text{TFib} \rightarrow \text{TFib}$ in Proposition 7.11.

Proposition 7.14 *The map $\text{Fib} \rightarrow \text{Fib}$ constructed in (7.3.12) is a universal small δ -biased fibration in cSet_I : every small δ -biased fibration $A \rightarrow X$ in cSet_I is a pullback of $\text{Fib} \rightarrow \text{Fib}$ along a canonically determined classifying map $X \rightarrow \text{Fib}$.*

$$\begin{array}{ccc} A & \longrightarrow & \text{Fib} \\ \downarrow \lrcorner & & \downarrow \\ X & \longrightarrow & \text{Fib} \end{array} \quad (7.3.13)$$

Remark 7.15 Proposition 7.14 made no use of the fact that we were working in the slice category cSet_I with $\delta : 1 \rightarrow I$ the generic point. It holds equally for δ -biased fibrations with respect to any point $\delta : 1 \rightarrow I$ of a tiny object I . Thus e.g. it could be used (with obvious adjustment) to construct a classifier for the $\{\delta_0, \delta_1\}$ -biased fibrations of Sect. 4.1 in (Cartesian, Dedekind, or other varieties of) cubical sets cSet .

7.3.2 The Classifying Type of Unbiased Fibration Structures

In order to classify *unbiased* fibration structures on maps $A \rightarrow X$ in cSet , we first apply the pullback $I^* : \text{cSet} \rightarrow \text{cSet}_I$ and take the classifier $\text{Fib}(I^* A) \rightarrow I^* X$ for δ -biased fibration structures, then apply the pushforward $I_* : \text{cSet}_I \rightarrow \text{cSet}$ and pull the result $I_* \text{Fib}(I^* A) \rightarrow I_* I^* X$ back along the unit $X \rightarrow I_* I^* X$.

To show that this indeed classifies unbiased fibration structures on $A \rightarrow X$, let us first rename the classifying type from Lemma 7.13, which was constructed over I , to $\text{Fib}_i(I^* A) \rightarrow I^* X$, and then apply I_* to get the map,

$$\Pi_{i:1} \text{Fib}_i(I^* A) := I_*(\text{Fib}_i(I^* A)) \longrightarrow X^I$$

in \mathbf{cSet} . Then, as just said, we define the desired map $\mathbf{Fib}(A) \rightarrow X$ as the pullback along the unit $\rho : X \rightarrow X^I$ of $I^* \dashv I_*$ as indicated below. 308
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$$\begin{array}{ccc} \mathbf{Fib}(A) & \longrightarrow & \Pi_{i:I}\mathbf{Fib}_i(I^*A) \\ \downarrow & \lrcorner & \downarrow \\ X & \xrightarrow{\rho} & X^I \end{array} \quad (7.3.14)$$

It now follows immediately from the adjunction $I^* \dashv I_*$ that sections of $\mathbf{Fib}(A) \rightarrow X$ 310 correspond bijectively to sections of $\mathbf{Fib}_i(I^*A) \rightarrow I^*X$ over I , and thus to *unbiased* 311
fibration structures on $A \rightarrow X$. 312

Lemma 7.16 *For any map $A \rightarrow X$ in \mathbf{cSet} , the map $\mathbf{Fib}(A) \rightarrow X$ in (7.3.14) is a 313 classifying type for unbiased fibration structures: sections of $\mathbf{Fib}(A) \rightarrow X$ corre- 314
315 respond bijectively to unbiased fibration structures on $A \rightarrow X$, and the construction
is stable under pullback in the expected sense (as in Lemma 7.13). 316*

Proof It remains only to check the stability, but since both of the adjoints in $I^* \dashv I_* : \mathbf{cSet}/I \rightarrow \mathbf{cSet}$ preserve pullbacks, this follows easily from the fact that the classifying types \mathbf{Fib}_i are stable under pullback by Lemma 7.13. □

Finally, we can again take $\mathbf{Fib} := \mathbf{Fib}(\mathcal{V})$ to now obtain a universal small 317
unbiased fibration $\mathbf{Fib} \rightarrow \mathbf{Fib}$ in \mathbf{cSet} , as in (7.3.12), and the proof can conclude 318
just as in that for Proposition 7.11. 319

Proposition 7.17 *The map $\mathbf{Fib} \rightarrow \mathbf{Fib}$ just constructed is a universal small unbiased 320
fibration in \mathbf{cSet} : every small unbiased fibration $A \rightarrow X$ is a pullback of $\mathbf{Fib} \rightarrow \mathbf{Fib}$ 321
along a canonically determined classifying map $X \rightarrow \mathbf{Fib}$. 322*

$$\begin{array}{ccc} A & \longrightarrow & \mathbf{Fib} \\ \downarrow & \lrcorner & \downarrow \\ X & \longrightarrow & \mathbf{Fib} \end{array} \quad (7.3.15)$$

Remark 7.18 Recall from Proposition 7.8 that the universe in the slice category 323
 \mathbf{cSet}/I is the pullback of the universe \mathcal{V} from \mathbf{cSet} along the base change $I^* : 324$
 $\mathbf{cSet} \rightarrow \mathbf{cSet}/I$. Thus in the construction just given of the classifier $\mathbf{Fib} \rightarrow \mathbf{Fib}$ for

unbiased fibrations in \mathbf{cSet} we are first building the classifying type

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$$\mathbf{Fib}_i(I^*\dot{\mathcal{V}}) \rightarrow I^*\mathcal{V}$$

for δ -biased fibration structures on the universal family in $\mathbf{cSet}/_I$, and then taking a pushforward $I_* : \mathbf{cSet}/_I \rightarrow \mathbf{cSet}$ to obtain the (base of the) classifier for unbiased fibrations as the pullback along the unit:

$$\begin{array}{ccc} \mathbf{Fib}(\dot{\mathcal{V}}) & \longrightarrow & \Pi_{i:1}\mathbf{Fib}_i(I^*\dot{\mathcal{V}}) \\ \downarrow & \lrcorner & \downarrow \\ \mathcal{V} & \xrightarrow{\rho} & \mathcal{V}^I \end{array} \quad (7.3.16)$$

We remark for later reference that this classifying type $\mathbf{Fib} = \mathbf{Fib}(\dot{\mathcal{V}}) \rightarrow \mathcal{V}$ for unbiased fibration structures can therefore be constructed as the pushforward of the classifier $\mathbf{Fib}_i(I^*\dot{\mathcal{V}}) \rightarrow I^*\mathcal{V}$ for δ -biased fibration structures along the projection $q : I^*\mathcal{V} = I \times \mathcal{V} \rightarrow \mathcal{V}$ indicated below.

$$\begin{array}{ccccc} \mathbf{Fib}_i(I^*\dot{\mathcal{V}}) & & \mathbf{Fib}(\dot{\mathcal{V}}) & \longrightarrow & \Pi_{i:1}\mathbf{Fib}_i(\dot{\mathcal{V}}) \\ \downarrow & & \downarrow & \lrcorner & \downarrow \\ I^*\mathcal{V} & \xrightarrow{q} & \mathcal{V} & \xrightarrow{\rho} & \mathcal{V}^I \\ \downarrow & & \downarrow & & \\ I & \longrightarrow & I & & \end{array} \quad (7.3.17)$$

We record this fact as:

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Corollary 7.19 $\mathbf{Fib} = \Sigma_{\mathcal{V}} q_* \mathbf{Fib}_i(I^*\dot{\mathcal{V}})$.

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The reader may also find it illuminating to reconsider the construction of the universal small unbiased fibration in more type theoretic terms. It was defined to be $\mathbf{Fib} \rightarrow \mathbf{Fib} = \mathbf{Fib}(\dot{\mathcal{V}})$, for the universal family $\dot{\mathcal{V}} \rightarrow \mathcal{V}$, with \mathbf{Fib} the pullback of $\dot{\mathcal{V}} \rightarrow \mathcal{V}$ along the canonical projection $\mathbf{Fib}(\dot{\mathcal{V}}) \rightarrow \mathcal{V}$. Since, type theoretically, we have $\dot{\mathcal{V}} = \Sigma_{A:\mathcal{V}} A$, by the stability of the classifying type $\mathbf{Fib}(-)$ we can write $\mathbf{Fib} = \Sigma_{A:\mathcal{V}} \mathbf{Fib}(A)$ so that:

$$\mathbf{Fib} = \Sigma_{A:\mathcal{V}} \mathbf{Fib}(A) \times A \longrightarrow \Sigma_{A:\mathcal{V}} \mathbf{Fib}(A) = \mathbf{Fib}.$$

7.4 Realignment for Fibration Structure

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The realignment for families of Proposition 7.6 will need to be extended to (structured) fibrations. Our approach makes use of the notion of a *weak proposition*.

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Informally, a map $P \rightarrow X$ may be said to be a weak proposition if it is “conditionally contractible”, in the sense that it is contractible if it has a section (recall that a proposition may be defined internally as a type that is “contractible if inhabited”). More formally, we have the following.

Definition 7.20 A map $P \rightarrow X$ is said to be a *weak proposition* if the projection $P \times_X P \rightarrow P$ is a trivial fibration.

$$\begin{array}{ccc} P^2 & \longrightarrow & P \\ \downarrow \sim & \lrcorner & \downarrow \\ P & \longrightarrow & X. \end{array} \quad (7.4.1)$$

Note that if either projection is a trivial fibration, then both are.

As an object over the base, a weak proposition is thus one that “thinks it is contractible”. The key fact needed for realignment is the following.

Lemma 7.21 For any $A \rightarrow X$, the classifying type $\text{TFib}(A) \rightarrow X$ is a weak proposition. Moreover, the same is true for $\text{Fib}(A) \rightarrow X$ (both the biased and unbiased versions) if the cofibrations are closed under exponentiation by the interval I .

Proof Let $A \rightarrow X$ and consider the following diagram, in which we have written $A' = \text{TFib}(A) \times_X A$ and $\text{TFib}(A)^2 = \text{TFib}(A) \times_X \text{TFib}(A)$.

$$\begin{array}{ccccc} A' & \xrightarrow{\quad} & A & \xrightarrow{\quad} & \text{TFib}(A) \\ \downarrow & \lrcorner & \downarrow & & \downarrow \\ \text{TFib}(A) & \xrightarrow{\quad} & \text{TFib}(A)^2 & \xrightarrow{\quad} & \text{TFib}(A) \\ & \swarrow & \lrcorner & \searrow & \\ & & X & & \end{array} \quad (7.4.2)$$

Since TFib is stable under pullback (by Proposition 7.10), we have $\text{TFib}(A)^2 \cong \text{TFib}(A')$, and since $\text{TFib}(A)^2$ has a canonical section, $A' \rightarrow \text{TFib}(A)$ is therefore a trivial fibration. Inspecting the definition of $\text{TFib}(A) = +\text{Alg}(A)$ in (7.2.2), we see that if a map $A \rightarrow X$ is a trivial fibration, then so is $\text{TFib}(A) \rightarrow X$ (since $\eta : A \rightarrow A^+$ is always a cofibration). Thus $\text{TFib}(A)^2 \cong \text{TFib}(A') \rightarrow \text{TFib}(A)$ is also a trivial fibration.

For $\text{Fib}(A) \rightarrow X$, with reference to the construction (7.3.8) we use the foregoing to infer that $\text{TFib}(\epsilon_A) \rightarrow A_\epsilon$ is a weak proposition, and so therefore is its pushforward $F_A = (p_\epsilon)_* \text{TFib}(\epsilon_A) \rightarrow X^I$ along the projection $p_\epsilon : A_\epsilon = X^I \times_X A \rightarrow X^I$, since pushforward clearly preserves weak propositions. Applying the root $(-)^I$ preserves trivial fibrations, by the assumption that its left adjoint $(-)^I$ preserves cofibrations, and so, as a right adjoint, it also preserves weak propositions. Therefore $(F_A)_I \rightarrow (X^I)_I$ is a weak proposition, but then so is its pullback along the unit $X \rightarrow (X^I)_I$, which is $\text{Fib}_i(A) \rightarrow X$, the classifier for δ -biased fibration structures. The

same reasoning shows that $\text{Fib}(A) = \rho^* \Pi_{i:1} \text{Fib}_i(I^* A)$ (as in (7.3.14)) is also a weak proposition. \square

In light of Lemma 7.21 we shall henceforth assume the following, as a final axiom on cofibrations:

(C8) The pathobject functor preserves cofibrations: thus $c : A \rightarrow B$ implies that $c^I : A^I \rightarrow B^I$.

Now, by Propositions 7.14 and 7.17 we have universal small δ -biased and unbiased fibrations, the former in cSet/\mathbb{I} , the latter in cSet . The following remarks apply to both, which we refer to neutrally as $\dot{\mathcal{U}} \rightarrow \mathcal{U}$. The base object \mathcal{U} is (the domain of) the classifying type $\text{Fib}(\dot{\mathcal{V}}) \rightarrow \mathcal{V}$, where $\dot{\mathcal{V}} \rightarrow \mathcal{V}$ is the universal small family. Type theoretically, this object can be written as

$$\mathcal{U} = \Sigma_{E:\mathcal{V}} \text{Fib}(E),$$

which comes with the canonical projection

$$\mathcal{U} = \Sigma_{E:\mathcal{V}} \text{Fib}(E) \rightarrow \mathcal{V}.$$

In these terms, a fibration $E \rightarrow X$ is a pair $\langle E, e \rangle$, consisting of the underlying family $E \rightarrow X$, equipped with a fibration structure $e : \text{Fib}(E)$. Lemma 7.21 then allows us to establish the following, which was first isolated in [67] (as condition (2'), also see [38]). It holds for both biased and unbiased fibrations, and will be used in the sequel to “correct” the fibration structure on certain maps.

Lemma 7.22 (Realignment for Fibrations) *Given a fibration $F \rightarrow X$ and a cofibration $c : C \rightarrow X$, let $f_c : C \rightarrow \mathcal{U}$ classify the pullback $c^* F \rightarrow C$. Then there is a classifying map $f : X \rightarrow \mathcal{U}$ for F with $f \circ c = f_c$.*

$$(7.4.3)$$

Proof First, let $|f_c| : C \rightarrow \mathcal{V}$ be the composite of $f_c : C \rightarrow \mathcal{U}$ with the canonical projection $\mathcal{U} \rightarrow \mathcal{V}$, thus classifying the underlying family $c^* F \rightarrow C$. Next, let $f_0 : X \rightarrow \mathcal{V}$ classify the underlying family $F \rightarrow X$. We may assume that $f_0 \circ c = |f_c|$ by realignment for families, Proposition 7.6.

$$\begin{array}{ccccc}
 c^*F & \xrightarrow{\quad} & \dot{\mathcal{U}} & \xrightarrow{\quad} & \dot{\mathcal{V}} \\
 \downarrow & \searrow & \downarrow & \nearrow & \downarrow \\
 & F & & & \\
 \downarrow & f_c & \xrightarrow{\quad} & \mathcal{U} & \xrightarrow{\quad} \mathcal{V} \\
 C & \xrightarrow{\quad} & \downarrow & \nearrow & \downarrow \\
 \downarrow c & \searrow & & f_0 & \\
 X & \xrightarrow{\quad} & & &
 \end{array} \tag{7.4.4}$$

Since $F \rightarrow X$ is a fibration, there is a lift $f_1 : X \rightarrow \mathcal{U}$ of f_0 classifying the fibration structure. We thus have the following commutative diagram in the base of (7.4.4). 386 387

$$\begin{array}{ccccc}
 & & |f_c| & & \\
 & & \curvearrowright & & \\
 C & \xrightarrow{\quad} & \mathcal{U} & \xrightarrow{\quad} & \mathcal{V} \\
 \downarrow c & \nearrow f_c & \downarrow & \nearrow & \parallel \\
 X & \xrightarrow{\quad} & \mathcal{U} & \xrightarrow{\quad} & \mathcal{V} \\
 & & \curvearrowright & & f_0
 \end{array} \tag{7.4.5}$$

Now pull $\mathcal{U} \rightarrow \mathcal{V}$ back against itself and rearrange the previous data to give (the solid part of) the following, which also commutes. 388 389

$$\begin{array}{ccccc}
 & & f_c & & \\
 & & \curvearrowright & & \\
 C & \xrightarrow{\quad} & \mathcal{U} \times_{\mathcal{V}} \mathcal{U} & \xrightarrow{\quad} & \mathcal{U} \\
 \downarrow c & \nearrow \langle f_1 c, f_c \rangle & \downarrow \pi_1 & \nearrow & \downarrow \\
 X & \xrightarrow{\quad} & \mathcal{U} & \xrightarrow{\quad} & \mathcal{V} \\
 & & \curvearrowright & & f_0
 \end{array} \tag{7.4.6}$$

Since $\mathcal{U} = \text{Fib}(\dot{\mathcal{V}}) \rightarrow \mathcal{V}$ is a weak proposition by Lemma 7.21 and (C8), the projection $\pi_1 : \mathcal{U} \times_{\mathcal{V}} \mathcal{U} \rightarrow \mathcal{U}$ is a trivial fibration, so there is a diagonal filler $f_2 : X \rightarrow \mathcal{U} \times_{\mathcal{V}} \mathcal{U}$ as indicated. Taking $f := \pi_2 \circ f_2 : X \rightarrow \mathcal{U} \times_{\mathcal{V}} \mathcal{U} \rightarrow \mathcal{U}$ gives another classifying map for the fibration structure on $F \rightarrow X$, for which $f \circ c = f_c$ as required. □

Chapter 8

The Equivalence Extension Property

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We shall define the equivalence extension property in the category \mathbf{cSet} of cubical sets, which is closely related to the *univalence* of the universal fibration $\dot{\mathcal{U}} \rightarrow \mathcal{U}$ constructed in Sect. 7.3 (see [67]). It will be used in Chap. 9 to show that the base object \mathcal{U} is fibrant. The proof of the equivalence extension property given here is a reformulation of a type-theoretic argument due to Coquand, cf. [28], which in turn is a modification of the original argument of Voevodsky, which can be found in [50]. See [?] for another reformulation.

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8.1 The Sliced Premodel Structure

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We begin by recalling some basic facts and making some simple observations that are well-known in general model categories, but need to be checked again here, because we do not yet have a full model structure. The reader is reminded that the word “fibration” unqualified always refers to *unbiased* fibrations as in Definition 4.6. First, for any object $Z \in \mathbf{cSet}$, the slice category \mathbf{cSet}/Z inherits the premode structure of Proposition 5.4 from \mathbf{cSet} via the forgetful functor

$$Z_! : \mathbf{cSet}/Z \longrightarrow \mathbf{cSet}.$$

In more detail:

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Definition 8.1 A map $f : X \rightarrow Y$ over Z is a *(trivial) cofibration or (trivial) fibration* over Z just if it is one in \mathbf{cSet} after forgetting the Z -indexing via $Z_! : \mathbf{cSet}/Z \rightarrow \mathbf{cSet}$. This will be called the *(relative or) sliced premode structure* on \mathbf{cSet}/Z . Accordingly, a map $f : X \rightarrow Y$ over Z will be called a *weak equivalence* over Z just if it factors over Z as a trivial fibration over Z after a trivial cofibration over Z , which therefore holds just if it is a weak equivalence in \mathbf{cSet} after forgetting the Z -indexing.

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That the specification in Definition 8.1 actually does determine a premodel structure is a consequence of Proposition 5.4, and the well-known fact that (pre-)model structures are stable under slicing in this way, cf. [41]. In more detail:

Lemma 8.2 *A map $f : X \rightarrow Y$ over Z is a fibration (respectively, a trivial fibration) over Z if, and only if, it lifts on the right in the slice category \mathbf{cSet}/Z against all trivial cofibrations (respectively, cofibrations) over Z .*

Proof Let $X \xrightarrow{f} Y \xrightarrow{p_Y} Z$, regarded as a map in the slice category over Z , with $p_X = p_Y \circ f : X \rightarrow Z$. Then by definition f is a fibration in \mathbf{cSet}/Z just if $f : X \rightarrow Y$ is a fibration in the total category \mathbf{cSet} , which holds just if f lifts on the right against all trivial cofibrations $t : A \rightarrow B$ in \mathbf{cSet} . But every lifting problem of the form $t \pitchfork f$ in \mathbf{cSet} ,

$$\begin{array}{ccc} A & \xrightarrow{x} & X \\ t \downarrow & \nearrow \text{dotted} & \downarrow f \\ B & \xrightarrow{y} & Y \\ & & \downarrow p_Y \\ & & Z \end{array}$$

gives rise to a corresponding one over Z , just by composing everything with $p_Y : Y \rightarrow Z$. Moreover, the evident resulting map $A \xrightarrow{t} B \rightarrow Z$ is then a trivial cofibration over Z , and every such lifting problem for f over Z arises in this way. Finally, the diagonal fillers for the resulting lifting problem in \mathbf{cSet}/Z are exactly the diagonal fillers for the original one in \mathbf{cSet} . Thus the map f over Z is a fibration over Z just in case it lifts on the right over Z against all trivial cofibrations over Z , as claimed. The case of trivial fibrations and cofibrations is exactly analogous. \square

Lemma 8.3 *A map $f : X \rightarrow Y$ over Z is a cofibration (respectively, a trivial cofibration) over Z if, and only if, it lifts on the left in the slice category \mathbf{cSet}/Z against all trivial fibrations (respectively, fibrations) over Z .*

Proof Let $X \xrightarrow{f} Y \xrightarrow{p_Y} Z$, regarded as a map in the slice category over Z , with $p_X = p_Y \circ f : X \rightarrow Z$. Then by definition f is a cofibration in \mathbf{cSet}/Z just if $Z_! f : Z_! X \rightarrow Z_! Y$ is a cofibration in the total category \mathbf{cSet} , which holds just if $Z_! f$ lifts on the left against all trivial fibrations $t : E \rightarrow F$ in \mathbf{cSet} . But every lifting problem of the form $Z_! f \pitchfork t$ in \mathbf{cSet} ,

$$\begin{array}{ccc} X & \xrightarrow{x} & E \\ f \downarrow & \nearrow \text{dotted} & \downarrow t \\ Y & \xrightarrow{y} & F \\ p_Y \downarrow & & \\ & & Z \end{array}$$

gives rise to a corresponding one over Z of the form $f \pitchfork Z^*t$, by pulling t back along $Z \rightarrow I$. Moreover, since trivial fibrations are stable under pullback in \mathbf{cSet} , the pullback Z^*t is a trivial fibration, and so Z^*t is a trivial fibration over Z . Thus f is a cofibration in \mathbf{cSet}/Z if and only if $f \pitchfork Z^*t$ in \mathbf{cSet}/Z for all trivial fibrations $t : E \rightarrow F$ in \mathbf{cSet} .

Now observe that for any map $A \xrightarrow{g} B \xrightarrow{p_B} Z$ over Z , with $p_A = p_B \circ g$, the following unit square is a pullback, as indicated below,

$$\begin{array}{ccccc} A & \xrightarrow{\eta_A} & Z^*Z_!A & = & Z \times A \\ g \downarrow & \lrcorner & \downarrow Z^*Z_!g & & \downarrow Z \times g \\ B & \xrightarrow{\eta_B} & Z^*Z_!B & = & Z \times B \\ \downarrow & & \downarrow & & \downarrow \\ Z & = & Z & = & Z, \end{array} \quad (8.1.1)$$

because the graph $\eta_A = \langle p_A, 1_Z \rangle : A \rightarrow Z \times A$ is a pullback of $\Delta_Z = \langle 1_Z, 1_Z \rangle : Z \rightarrow Z \times Z$ along $1_Z \times p_A : Z \times A \rightarrow Z \times Z$, and similarly for η_B . Thus in particular, every trivial fibration $A \xrightarrow{g} B \xrightarrow{p_B} Z$ over Z is a pullback over Z of one of the form $Z^*g : Z^*A \rightarrow Z^*B$ for a trivial fibration $g : A \rightarrow B$ in \mathbf{cSet} . Therefore f is a cofibration in \mathbf{cSet}/Z if and only if $f \pitchfork g$ in \mathbf{cSet}/Z for all trivial fibrations $g : A \rightarrow B$ in \mathbf{cSet}/Z , as claimed. The case of trivial cofibrations and fibrations is exactly analogous. \square

Since factoring a map in the slice category is evidently given simply by factoring it after forgetting the indexing, we now have:

Proposition 8.4 *The specification in Definition 8.1 determines a premodel structure on \mathbf{cSet}/Z for any object $Z \in \mathbf{cSet}$.*

The reader is warned that when $Z = I$ there is a possibility of confusion with the δ -biased fibrations in \mathbf{cSet}/I , which do not in general agree with the I -sliced (unbiased) fibrations.

In order to verify the axioms (C1)–(C8) for cofibrations, let $Z^*1 \rightrightarrows Z^*I$ in \mathbf{cSet}/Z be the result of pulling the interval $1 \rightrightarrows I$ back along $Z \rightarrow I$, to obtain a bipointed object in \mathbf{cSet}/Z that we shall write as,

$$\delta_0, \delta_1 : 1_Z \rightrightarrows I_Z. \quad (8.1.2)$$

Observe that $1_Z + 1_Z \cong Z^*1 + Z^*1 \rightarrow Z^*I$ since the pullback functor $Z^* : \mathbf{cSet} \rightarrow \mathbf{cSet}/Z$ preserves (co)limits and cofibrations.

Proposition 8.5 *Taking $\delta_0, \delta_1 : 1_Z \rightrightarrows I_Z$ as an interval, the axioms (C1)–(C8) for cofibrations are satisfied in \mathbf{cSet}/Z*

Proof The (relative) cofibration classifier in \mathbf{cSet}/Z is the pullback $Z^*t : Z^*1 \rightarrow Z^*\Phi$, which we shall write as

$$t_Z : 1_Z \rightarrow \Phi_Z. \quad (8.1.3)$$

For axiom (C8), observe that for a map $c : A \rightarrow B$ in \mathbf{cSet}/Z , the exponential $c^{I_Z} : A^{I_Z} \rightarrow B^{I_Z}$ in \mathbf{cSet}/Z fits into a unit pullback square of the form (8.1.1),

$$\begin{array}{ccccc} A^{I_Z} & \xrightarrow{\eta_A} & Z^*Z_!(A^{I_Z}) & \xrightarrow{\cong} & Z^*(Z_!(A)^I) \\ c^{I_Z} \downarrow & \lrcorner & \downarrow Z^*Z_!(c^{I_Z}) & & \downarrow Z^*(Z_!(c)^I) \\ B^{I_Z} & \xrightarrow{\eta_B} & Z^*Z_!(B^{I_Z}) & \xrightarrow{\cong} & Z^*(Z_!(B)^I) \end{array} \quad (8.1.4)$$

So if c is a cofibration, so is c^{I_Z} . The other axioms are routine and left to the reader.

□

Lemma 8.6 For any cubical set Z , we have the following relative versions of the pushout-product and pullback-hom conditions involving the interval in the slice category \mathbf{cSet}/Z .

1. If $c : A \rightarrow B$ is a cofibration in \mathbf{cSet}/Z , then the pushout-product formed in \mathbf{cSet}/Z with $\delta_0 : 1_Z \rightarrow I_Z$, written

$$c \otimes_Z \delta_0 : B +_A (A \times_Z I_Z) \rightarrow B \times_Z I_Z,$$

is a trivial cofibration (and similarly for $\delta_1 : 1_Z \rightarrow I_Z$).

2. If $f : X \rightarrow Y$ is a fibration in \mathbf{cSet}/Z , then the pullback-hom formed in \mathbf{cSet}/Z with $\delta_0 : 1_Z \rightarrow I_Z$, written

$$\delta_0 \Rightarrow_Z f : X^{I_Z} \rightarrow Y^{I_Z} \times_Z X,$$

is a trivial fibration (and similarly for $\delta_1 : 1_Z \rightarrow I_Z$).

Proof For (1), the pushout-product $c \otimes_Z \delta_0 : D \rightarrow B \times_Z I_Z$ over Z is equal to the (non-relative) pushout-product $c \otimes \delta_0 : D \rightarrow B \times I$, because $Z^*\delta_0 : Z^*1 \rightarrow Z^*I$ is constant over Z , so

$$B \times_Z I_Z \cong B \times I,$$

and similarly for A (and pushouts in the slice are created by the forgetful functor $\mathbf{cSet}/Z \rightarrow \mathbf{cSet}$). Thus, briefly,

$$c \otimes_Z Z^*\delta_0 = c \otimes \delta_0,$$

which is indeed a trivial cofibration.

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(2) follows from (1) and Lemma 8.3, together with the usual adjunction between \otimes_Z and \Rightarrow_Z . \square

In order to apply the results on weak equivalences from Chap. 5 in arbitrary slice categories \mathbf{cSet}/Z we shall also require the notions of homotopy equivalence over Z and weak homotopy equivalence over Z . We first use the relative interval $\delta_0, \delta_1 : 1_Z \rightrightarrows I_Z$ (8.1.2) to define homotopy between maps over Z in the expected way, namely:

Definition 8.7 For any object Z and maps $f, g : X \rightrightarrows Y$ in \mathbf{cSet}/Z , a homotopy over Z , written

$$\vartheta : f \sim_Z g,$$

is a map over Z ,

$$\vartheta : I_Z \times_Z X \longrightarrow Y,$$

such that $\vartheta \circ \iota_0 = f$ and $\vartheta \circ \iota_1 = g$,

$$\begin{array}{ccccc} X & \xrightarrow{\iota_0} & I_Z \times_Z X & \xleftarrow{\iota_1} & X, \\ & \searrow f & \downarrow \vartheta & \swarrow g & \\ & & Y & & \end{array} \quad (8.1.5)$$

where, as usual, ι_0, ι_1 are the canonical inclusions into the ends of the cylinder,

$$\iota_\epsilon : X \cong 1_Z \times_Z X \xrightarrow{\delta_\epsilon \times_Z X} I_Z \times_Z X, \quad \epsilon = 0, 1.$$

Lemma 8.8 For any object Z and maps $f, g : X \rightrightarrows Y$ in \mathbf{cSet}/Z , a homotopy over Z determines a homotopy of the underlying maps by applying the functor $Z_! : \mathbf{cSet}/Z \rightarrow \mathbf{cSet}$ that forgets the Z -indexing,

$$\vartheta : f \sim_Z g \quad \mapsto \quad Z_! \vartheta : Z_! f \sim Z_! g.$$

Proof Consider the following diagram depicting a homotopy $\vartheta : f \sim_Z g$ over Z .

$$\begin{array}{ccccccc} & & & & g & & \\ & & & & \swarrow f & & \\ & & X & \xrightleftharpoons[\iota_1]{\iota_0} & I_Z \times_Z X & \xrightarrow{\vartheta} & Y \\ & & \downarrow & & \downarrow & & \downarrow \\ I & \longleftarrow & I \times Z & \longleftarrow & I_Z \times_Z X & \longrightarrow & Z \\ \downarrow & & \downarrow & & \downarrow & & \downarrow \\ 1 & \longleftarrow & Z & \longleftarrow & X & \longrightarrow & Z \end{array}$$

Since the lower left two squares are pullbacks, we have $I_Z \times_Z X \cong I \times X$. So applying $Z_!$ to ϑ results in a homotopy $Z_! \vartheta : Z_! f \sim Z_! g$.

Note that an arbitrary homotopy $\varphi : f \sim g$ will not result in one over Z , however, unless φ commutes with the indexing maps to Z . \square

Proposition 8.9 *For any object Z , the relation of homotopy over Z between maps $f, g : X \rightrightarrows Y$ over Z is preserved by pre- and post-composition. If $X \rightarrowtail Z$ and $Y \rightarrowtail Z$ are both fibrations, then the relation $f \sim_Z g$ of maps between them is an equivalence relation.*

The proof is essentially the same as the corresponding one for homotopy over 1, Proposition 5.6, with the exception that both X and Y are required to be fibrant objects over Z , so that the exponential Y^X , taken over Z , is also a fibration $Y^X \rightarrowtail Z$ (by Corollary 6.7).

Next we define a *connected components* functor on the full subcategory $\mathbf{Fib}_Z \hookrightarrow \mathbf{cSet}/Z$ of fibrations over Z ,

$$(\pi_0)_Z : \mathbf{Fib}_Z \rightarrow \mathbf{Set},$$

by taking the global sections of a fibration $F \rightarrowtail Z$, modulo the relation \sim_Z of homotopy over Z . In more detail, for $F \rightarrowtail Z$ in \mathbf{Fib}_Z let $(\pi_0)_Z(F)$ be the coequalizer,

$$\mathrm{Hom}_Z(I_Z, F) \rightrightarrows \mathrm{Hom}_Z(1_Z, F) \rightarrow (\pi_0)_Z(F), \quad (8.1.6)$$

where the two maps are given by precomposition with the interval $1_Z \rightrightarrows I_Z$ over Z , and the Hom-sets are those in \mathbf{cSet}/Z .

For fibrations $X \rightarrowtail Z$ and $F \rightarrowtail Z$ we then again have

$$(\pi_0)_Z(F^X) = \mathrm{Hom}_Z(X, F)/\sim_Z,$$

so $(\pi_0)_Z(F^X)$ is the set $[X, F]_Z$ of Z -homotopy equivalence classes of maps $X \rightarrow F$ over Z . For maps over a base object Z , we can then define the notions of homotopy equivalence over Z and, between fibrations, weak homotopy equivalence over Z as before (cf. Chap. 5):

Definition 8.10 Let Z be any object in \mathbf{cSet} , and let $X \rightarrowtail Z$ and $Y \rightarrowtail Z$, regarded as objects over Z .

1. A map $f : X \rightarrow Y$ over Z is a *homotopy equivalence over Z* if there is a map $g : Y \rightarrow X$ over Z and two homotopies over Z ,

$$\vartheta : g \circ f \sim_Z 1_X, \quad \varphi : f \circ g \sim_Z 1_Y.$$

2. For $X \rightarrowtail Z$ and $Y \rightarrowtail Z$ fibrations, a map $f : X \rightarrow Y$ over Z is a *weak homotopy equivalence over Z* if for every fibration $F \rightarrowtail Z$, the precomposition map over Z ,

$$F^f : F^Y \rightarrow F^X,$$

is bijective on connected components,

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$$(\pi_0)_Z(F^f) : (\pi_0)_Z(F^Y) \cong (\pi_0)_Z(F^X),$$

where the indicated exponentials are taken in the slice category over Z .

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The proof of the following is analogous to that of the corresponding facts for the case $Z = 1$ (Lemmas 5.8 and 5.14).

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Lemma 8.11 *For any object Z , the homotopy equivalences over Z between fibrations $F \rightarrow Z$ satisfy the 3-for-2 condition, as do the weak homotopy equivalences over Z .*

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Proposition 8.12 *For any object Z and fibrations $X \rightarrow Z$ and $Y \rightarrow Z$, the following conditions are equivalent for any map $f : X \rightarrow Y$ over Z .*

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1. $f : X \rightarrow Y$ is a weak equivalence over Z ,

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2. $f : X \rightarrow Y$ is a homotopy equivalence over Z ,

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3. $f : X \rightarrow Y$ is a weak homotopy equivalence over Z ,

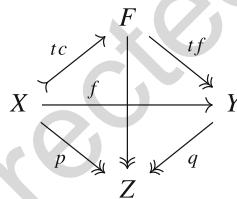
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Proof Let $f : X \rightarrow Y$ be a weak equivalence. Factor $f = tf \circ tc$ with a trivial cofibration $tc : X \rightarrow F$ followed by a trivial fibration $tf : F \rightarrow Y$, both of which are then also over Z .

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The proof of Proposition 5.19 ($1 \Rightarrow 2$) now applies over Z , *mutatis mutandis*, to show that $tc : X \rightarrow F$ is a homotopy equivalence over Z . Similarly, the proof of Lemma 5.9 also works over Z to show that $tf : F \rightarrow Y$ is a homotopy equivalence over Z . Thus $f = tf \circ tc$ is a homotopy equivalence over Z .

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Any homotopy equivalence over Z is clearly a weak homotopy equivalence over Z , by the same proof as for Lemma 5.13 (using the fact that $X \rightarrow Z$ and $Y \rightarrow Z$ in order to form the required exponentials).

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If $f : X \rightarrow Y$ is a weak homotopy equivalence, then factor it as $f = tf \circ c$ with a cofibration $c : X \rightarrow F$ followed by a trivial fibration $tf : F \rightarrow Y$, both of which are also over Z . We thus just need to show that $c : X \rightarrow F$ is a trivial cofibration. As in the first step, $tf : F \rightarrow Y$ is a homotopy equivalence over Z , whence a weak homotopy equivalence by the second step, and so by 3-for-2 for weak homotopy equivalences over Z , Lemma 8.11, $c : X \rightarrow F$ is also a weak homotopy equivalence over Z . Now, as in the proof of Proposition 5.19, factor $c = f \circ tc$ as a trivial cofibration $tc : X \rightarrow C$ followed by a fibration $f : C \rightarrow F$, both over Z . By steps 1 and 2, $tc : X \rightarrow C$ is then a weak homotopy equivalence over Z . By 3-for-2 for

weak homotopy equivalences, Lemma 8.11, $f : C \rightarrow F$ is also a weak homotopy equivalence over Z . It remains to show that the fibration $f : C \rightarrow F$ is a weak equivalence. This follows by repeating the reasoning for Lemma 5.18, and the results leading up to it, over Z . \square

Using Lemma 8.11 we now have the desired:

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Corollary 8.13 *For any object Z , the weak equivalences between fibrations into Z satisfy the 3-for-2 condition.*

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Remark 8.14 Our immediate goal has been to show Corollary 8.13, which will be used below to establish the equivalence extension property. The following two results, Lemma 8.15 and Proposition 8.16, will emphatically *not* be used in the sequel, but are included here simply to complete the study of the relative (pre)model structure. They both assume the fibration extension property, Corollary 9.7, which will not be proved until Chap. 9.

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Lemma 8.15 *Let $f : X \rightarrow Y$ be any map over Z .*

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1. If $f : X \rightarrow Y$ is homotopy equivalence over Z , then $Z_!f : Z_!X \rightarrow Z_!Y$ is a homotopy equivalence.

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2. If $X \rightarrow Z$ and $Y \rightarrow Z$ are fibrations and $f : X \rightarrow Y$ is weak homotopy equivalence over Z , then $Z_!f : Z_!X \rightarrow Z_!Y$ is a weak homotopy equivalence.

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Proof (1) is immediate from the fact that $Z_!$ preserves homotopies, Lemma 8.8.

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For (2), let $f : X \rightarrow Y$ be a weak homotopy equivalence over Z between fibrations $X \rightarrow Z$ and $Y \rightarrow Z$, and let K be any fibrant object in \mathbf{cSet} . Consider the internal precomposition map,

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$$K^{Z_!f} : K^{Z_!Y} \rightarrow K^{Z_!X},$$

which we would like to show is a bijection under $\pi_0 : \mathbf{cSet} \rightarrow \mathbf{Set}$. Since $K \rightarrow 1$ is a fibration, so is its pullback $Z^*K \rightarrow Z$. Therefore, since $f : X \rightarrow Y$ is weak homotopy equivalence over Z , the precomposition map over Z ,

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$$(Z^*K)^f : (Z^*K)^Y \rightarrow (Z^*K)^X,$$

is bijective on connected components,

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$$(\pi_0)_Z((Z^*K)^f) : (\pi_0)_Z((Z^*K)^Y) \cong (\pi_0)_Z((Z^*K)^X).$$

But now observe that in the coequalizer (8.1.6) that defines $(\pi_0)_Z((Z^*K)^X)$, we have

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$$\begin{aligned} \mathrm{Hom}_Z(1_Z, (Z^*K)^X) &\cong \mathrm{Hom}_Z(X, (Z^*K)) \\ &\cong \mathrm{Hom}(Z_!X, K) \cong \mathrm{Hom}(1, K^{Z_!X}), \end{aligned}$$

and similarly

$$\begin{aligned}\mathrm{Hom}_Z(\mathrm{I}_Z, (Z^*K)^X) &\cong \mathrm{Hom}_Z(Z^*\mathrm{I} \times X, Z^*K) \\ &\cong \mathrm{Hom}_Z(\mathrm{I} \times Z_!X, K) \cong \mathrm{Hom}(\mathrm{I}, K^{Z_!X}).\end{aligned}$$

Thus $(\pi_0)_Z((Z^*K)^X) \cong (\pi_0)(K^{Z_!X})$, and the same is true with Y in place of X . So $K^{Z_!f} : K^{Z_!Y} \rightarrow K^{Z_!X}$ is also bijective on connected components. \square

Proposition 8.16 *Let Z be any object in \mathbf{cSet} and $X \rightarrow Z$ and $Y \rightarrow Z$ fibrations.* 172
Assuming the fibration extension property, Corollary 9.7, the following conditions 173
are equivalent for any map $f : X \rightarrow Y$ over Z . 174

1. $f : X \rightarrow Y$ is a weak equivalence over Z . 175
2. $f : X \rightarrow Y$ is a homotopy equivalence over Z . 176
3. $f : X \rightarrow Y$ is a weak homotopy equivalence over Z . 177
4. $Z_!f : Z_!X \rightarrow Z_!Y$ is a weak equivalence. 178
5. $Z_!f : Z_!X \rightarrow Z_!Y$ is a homotopy equivalence in \mathbf{cSet} . 179
6. $Z_!f : Z_!X \rightarrow Z_!Y$ is a weak homotopy equivalence in \mathbf{cSet} . 180

Proof In Proposition 8.12 we showed the implications $1 \Leftrightarrow 2 \Leftrightarrow 3$. We also have $1 \Leftrightarrow 4$ by definition, and $4 \Rightarrow 6$ and $5 \Rightarrow 6$ by Lemmas 5.16 and 5.13. Moreover, by Lemma 8.15 we have $2 \Rightarrow 5$ and $3 \Rightarrow 6$. Thus all 6 conditions will be equivalent once we have $6 \Rightarrow 4$, which follows from Proposition 5.27 and the fibration extension property, Corollary 9.7. \square

8.2 Pathobject Factorizations

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For any map $f : X \rightarrow Y$ in \mathbf{cSet} , recall the *pathobject factorization* $f = t \circ s$ 182
indicated below. 183

$$\begin{array}{ccc}
X & \xrightarrow{f} & Y \\
\downarrow s & & \downarrow r \\
P_f & \xrightarrow{p_0^*f} & Y^I \\
\downarrow p_f & & \downarrow p_0 \\
X & \xrightarrow{f} & Y
\end{array}
= \quad
\begin{array}{ccccc}
& & f & & \\
& \swarrow & \nearrow & & \\
X & & Y & & Y \\
\downarrow s & & \downarrow r & & \searrow = \\
P_f & \xrightarrow{p_0^*f} & Y^I & \xrightarrow{p_1} & Y \\
\downarrow p_f & & \downarrow p_0 & & \downarrow t \\
X & \xrightarrow{f} & Y & &
\end{array} \tag{8.2.1}$$

Here p_0, p_1 are the evaluations $Y^{\delta_0}, Y^{\delta_1} : Y^I \rightarrow Y$ at the endpoints $\delta_0, \delta_1 : \mathrm{I} \rightarrow \mathrm{I}$, and let $r := Y^!$ for $! : \mathrm{I} \rightarrow \mathrm{I}$, so that $p_0r = p_1r = 1_Y$. Then let $p_f := f^*p_0 : P_f \rightarrow Y$, 184
let $t := p_1 \circ f \circ s$, 185

the pullback of p_0 along f , and $s := f^*r : X \rightarrow P_f$ (as a map over X). Finally, let 186
 $t := p_1 \circ p_0^*f : P_f \rightarrow Y$ be the indicated horizontal composite. 187

We then have the following facts: 188

1. The retraction $p_0 \circ r = 1_Y$ pulls back along f to a retraction $p_f \circ s = 1_X$. 189
2. If Y is a fibrant object, then $p_0, p_1 : Y^I \rightarrow Y$ are both trivial fibrations, by 190
 Proposition 4.13. 191
3. If X and Y are both fibrant then $t = p_1 \circ p_0^*f : P_f \rightarrow Y$ is a fibration. This can 192
 be seen by factoring the maps $p_0, p_1 : Y^I \rightrightarrows Y$ through the product projections 193
 as 194

$$\pi_0 \circ p, \pi_1 \circ p : Y^I \rightarrow Y \rightrightarrows Y$$

where $p = (p_0, p_1)$, and then interpolating the pullback $(f, 1_Y) : X \times Y \rightarrow Y \times Y$ 195
 into (8.2.1) as indicated below. 196

$$\begin{array}{ccccc}
 & X & \xrightarrow{f} & Y & \\
 \downarrow s & & \downarrow r & & \\
 P_f & \xrightarrow{p_0^*f} & Y^I & & \\
 t \swarrow & \downarrow f^*p & \downarrow p & \searrow p_1 & \\
 Y & \xleftarrow{\pi'_1} & X \times Y & \xrightarrow{(f, 1_Y)} & Y \times Y \xrightarrow{\pi_1} Y \\
 \downarrow \pi'_0 & & \downarrow \pi_0 & & \downarrow \pi_0 \\
 X & \xrightarrow{f} & Y & &
 \end{array} \tag{8.2.2}$$

The second factor $t = p_1 \circ p_0^*f : P_f \rightarrow Y$ now appears also as $\pi_1 \circ (f, 1_Y) \circ f^*p$, which is equal to the pullback $f^*p : P_f \rightarrow X \times Y$ followed by the second projection $\pi'_1 : X \times Y \rightarrow Y$ (which is not a pullback). But if Y is fibrant, then $p : Y^I \rightarrow Y \times Y$ is a fibration by the $\otimes \dashv \Rightarrow$ adjunction, since $p = \partial \Rightarrow Y$ (this is just as in Proposition 4.13, but with the cofibration $\partial : 1 + 1 \rightarrow I$ in place of the trivial cofibration $\delta_\epsilon : 1 \rightarrow I$). Therefore the pullback f^*p is also a fibration. And if X is fibrant, then the second projection $\pi'_1 : X \times Y \rightarrow Y$ is a fibration. Thus in this case, $t = \pi'_1 \circ f^*p : P_f \rightarrow Y$ is a fibration, as claimed. 204

Summarizing (1)–(3):

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Lemma 8.17 *For any map $f : X \rightarrow Y$ there is a factorization $f = t \circ s$,*

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$$\begin{array}{ccc} & p_f & \\ & \swarrow s & \downarrow t \\ X & \xrightarrow{P_f} & P_f \\ & \searrow f & \\ & & Y \end{array} \quad (8.2.3)$$

in which:

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1. The map s is a section of a map $p_f : P_f \rightarrow X$.
2. If Y is fibrant, then p_f is a trivial fibration.
3. If both X and Y are fibrant, then t is a fibration.

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Note that the retraction $p_f : P_f \rightarrow X$ of s is not over Y .

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Next, if $f : X \rightarrow Y$ is a map over any base object Z in \mathbf{cSet} , we can use the same factorization $f = t \circ s$ to get a factorization in the slice category over Z ,

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$$\begin{array}{ccccc} & & P_f & & \\ & \nearrow s & & \searrow t & \\ X & \xrightarrow{f} & Y & & \\ & \searrow p_X & \swarrow p_Y & & \\ & & Z & & \end{array} \quad (8.2.4)$$

with $p_Y \circ t : P_f \rightarrow Z$; however, the maps s, t will no longer have the properties stated in Lemma 8.17, because e.g. $p_0 : Y^1 \rightarrow Y$ need not be a trivial fibration, even when $p_Y : Y \rightarrow Z$ is a fibration, since the object Y need not be fibrant if the base Z is not fibrant.

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To remedy this, we can instead build a *fiberwise pathobject factorization* by using the relative pathobject $Y^{I_Z} \rightarrow Z$, where the indicated exponential is taken in the slice over Z , and the interval object I_Z occurring in the exponent is the relative one from (8.1.2), i.e. the result of pulling the interval I back from \mathbf{cSet} along $Z \rightarrow 1$. The pathspace factorization is then constructed as in (8.2.1), but now in the slice \mathbf{cSet}/Z , using the pulled back interval $I_Z \rightarrow Z$. Moreover, the resulting factorization $f = t \circ s : X \rightarrow P_f \rightarrow Y$ is then stable under pullback along any map $g : Z' \rightarrow Z$, in the sense that $g^*(Y^{I_Z}) \cong g^*(Y)^{I_{Z'}}$ and so $g^*P_f = P_{g^*f}$, where $g^*f : g^*X \rightarrow g^*Y$, and similarly for the factors g^*s and g^*t .

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In more detail, let us review the foregoing steps in the relative case, with reference to the following diagram.

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$$\begin{array}{c}
 \begin{array}{ccccc}
 & & f & & \\
 & X & \xrightarrow{\quad} & Y & \\
 s \downarrow & & & r \downarrow & = \\
 P_f & \xrightarrow{p_0^*f} & Y^{I_Z} & \xrightarrow{p_1} & Y \\
 p_f \downarrow & & p_0 \downarrow & & \\
 X & \xrightarrow{\quad f \quad} & Y & & \\
 p_X \searrow & & \swarrow p_Y & & \\
 & Z & & &
 \end{array} \\
 = \left(\begin{array}{ccc}
 & f & \\
 X & \xrightarrow{\quad} & Y \\
 \downarrow s & & \downarrow r \\
 P_f & \xrightarrow{p_0^*f} & Y^{I_Z} \\
 \downarrow p_f & & \downarrow p_0 \\
 X & \xrightarrow{\quad f \quad} & Y \\
 \downarrow p_X & & \downarrow p_Y \\
 Z & &
 \end{array} \right) \quad (8.2.5)
 \end{array}$$

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1. The exponential of $p_Y : Y \rightarrow Z$, taken in cSet/Z , by the *constant* maps $Z^*\delta_\epsilon : Z^*1 \rightarrow Z^*I$, which we write as $\delta_\epsilon : 1_Z \rightarrow I_Z$, are now maps $p_\epsilon := Y^{\delta_\epsilon} : Y^{I_Z} \rightarrow Y$ over Z , for $\epsilon = 0, 1$. The retraction $p_0 \circ r = 1_Y$ (with r defined accordingly) is now also over Z , and it still pulls back along f to a retraction $p_f \circ s = 1_X$, also over Z .
2. If $p_Y : Y \rightarrow Z$ is a fibration, then the maps $p_0, p_1 : Y^{I_Z} \rightarrow Y$ over Z are again trivial fibrations by Lemma 8.6, since these are pullback-homs over Z of the form $\delta_\epsilon \Rightarrow_Z Y$.
3. If $X \rightarrow Z$ and $Y \rightarrow Z$ are both fibrations, then for the same reason $t = p_1 \circ p_0^*f : P_f \rightarrow Y$ is a fibration.

Again, summarizing (1)–(3) in the relative case:

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Lemma 8.18 *For any map $f : X \rightarrow Y$ over any base $Z \in \text{cSet}$, there is a stable factorization $f = t \circ s$ over Z ,*

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$$\begin{array}{ccc}
 & p_f & \\
 & \curvearrowleft P_f & \\
 & \downarrow s & \downarrow t \\
 X & \xrightarrow{\quad f \quad} & Y \\
 & \searrow & \swarrow \\
 & Z &
 \end{array} \quad (8.2.6)$$

in which:

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1. The map $s : X \rightarrow P_f$ is a section of a map $p_f : P_f \rightarrow X$ over Z .
2. If $Y \rightarrow Z$ is a fibration, then $p_f : P_f \rightarrow X$ is a trivial fibration.
3. If both $X \rightarrow Z$ and $Y \rightarrow Z$ are fibrations, then $t : P_f \rightarrow Y$ is a fibration.

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Note that the retraction $p_f : P_f \rightarrow X$ of s is not over Y .

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Finally, the following fact concerning just the cofibration weak factorization system will also be needed. 248
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Lemma 8.19 *Let $p : E \rightarrow B$ be a trivial fibration and $c : C \rightarrow B$ a cofibration. Then the unit $\eta : E \rightarrow c_* c^* E$ over B of the base change along c ,* 250
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$$c^* \dashv c_* : \mathbf{cSet}/C \longrightarrow \mathbf{cSet}/B$$

is also a trivial fibration. 252

Proof Regarding $c : C \rightarrow B$ as a subobject $C \hookrightarrow 1_B$ in \mathbf{cSet}/B , the unit map $\eta : E \rightarrow c_* c^* E = E^C$ is the pullback-hom $c \Rightarrow_B p$ in the slice category over B , as shown below. 253
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$$\begin{array}{ccccc}
 & E^{1_B} & \xrightarrow{\quad E^C \quad} & E^C & \\
 & \swarrow \text{---} \quad \downarrow \text{---} & & \downarrow \text{---} & \\
 & c \Rightarrow_B p & \nearrow \text{---} & & \\
 & B^1 \times_{B^C} E^C & \longrightarrow & E^C & \\
 & \downarrow & & \downarrow p^C & \\
 & B^{1_B} & \xrightarrow[B^C]{} & B^C & \\
 \end{array} \tag{8.2.7}$$

We use the fact that in \mathbf{cSet}/B we have $B^C : B^{1_B} \cong 1_B \cong B^C$ and so 256

$$(c \Rightarrow_B p) = E^C : E \longrightarrow E^C,$$

which is indeed $\eta : E \rightarrow c_* c^* E = E^C$. 257

Now for any cofibration $A \xrightarrow{a} X \rightarrow B$ over B , by Lemma 4.2 we have an equivalence of diagonal filling conditions in \mathbf{cSet}/B , 258
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$$a \pitchfork (c \Rightarrow_B p) \quad \text{iff} \quad (a \otimes_B c) \pitchfork p.$$

But since $c : C \rightarrow B$ is a cofibration, $a \otimes_B c$ is also a cofibration, since $a : A \rightarrow X$ is one, and by axiom (C6), cofibrations are closed under pushout-products (also in a slice). Thus $(a \otimes_B c) \pitchfork p$ indeed holds, since p is a trivial fibration. □

Proposition 8.20 (Equivalence Extension Property) Weak equivalences 260
 extended along cofibrations in the following sense: given a cofibration $c : C' \rightarrow C$ 261
 and fibrations $A' \rightarrow C'$ and $B \rightarrow C$, and a weak equivalence $w' : A' \simeq c^*B$ over C' , 262

$$\begin{array}{ccccc}
 A' & \xrightarrow{\quad} & A & \xrightarrow{\quad} & B \\
 \downarrow & \searrow w' \sim & \downarrow & \searrow w \sim & \downarrow \\
 & c^*B & \xrightarrow{\quad} & B & \\
 \downarrow & \swarrow & \downarrow & \swarrow & \downarrow \\
 C' & \xrightarrow{c} & C & \xrightarrow{\quad} &
 \end{array} \tag{8.2.8}$$

there is a fibration $A \rightarrow C$ and a weak equivalence $w : A \simeq B$ over C that pulls back 263
 along $c : C' \rightarrow C$ to w' , so $c^*w = w'$. 264

Proof Call the given fibration $q : B \rightarrow C$ and let $b := q^*c : c^*B \rightarrow B$ be the 265
 indicated pullback, which is thus also a cofibration. Let $w := b_*w' : A \rightarrow B$ be the 266
 pushforward of w' along b . Composing w with q gives the map $p := q \circ w : A \rightarrow C$. 267
 Since b is monic, we indeed have $b^*w = w'$, thus filling in all the dotted arrows in 268
 (8.2.8). Note moreover that $c^*w = b^*w = w'$, as required. It remains to show that 269
 $p : A \rightarrow C$ is a fibration and $w : A \rightarrow B$ is a weak equivalence. 270

$$\begin{array}{ccccc}
 A' & \xrightarrow{\quad} & A & \xrightarrow{\quad} & P_w \\
 \downarrow & \searrow w' \sim & \downarrow & \searrow s \sim & \downarrow p_w \\
 & c^*B & \xrightarrow{\quad} & B & \\
 \downarrow & \swarrow & \downarrow & \swarrow t & \downarrow \\
 C' & \xrightarrow{c} & C & \xrightarrow{\quad} & P_w \\
 & \downarrow & \downarrow & \downarrow & \downarrow \\
 & & p & & q \\
 & & \downarrow & & \downarrow \\
 & & & & B
 \end{array} \tag{8.2.9}$$

Let us name $p' := c^*p : A' \rightarrow C'$ and $B' := c^*B$ and $q' := c^*q$. Now let $w = t \circ s$ be 271
 the (relative) pathspace factorization (8.2.5) of w , as a map over C . Since $q : B \rightarrow C$ 272
 is a fibration, by Lemma 8.18, we know that $s : A \rightarrow P_w$ has a retraction $p_w : P_w \rightarrow A$ 273
 over C which is a trivial fibration. 274

The pathspace factorization $w = t \circ s : A \rightarrow P_w \rightarrow B$ is stable under pullback 275
 along c , providing a pathspace factorization of $c^*w = w' = t' \circ s' : A' \rightarrow P_{w'} \rightarrow B'$ 276
 over C' . Since both p' and q' are fibrations, the retraction $p_{w'} : P_{w'} \rightarrow A'$ is a trivial 277
 fibration, and now $t' : P_{w'} \rightarrow B'$ is a fibration. 278

$$\begin{array}{ccccc}
& & p_{w'} & & \\
& \swarrow & s' & \searrow & \\
A' & \xrightarrow{\quad} & P_{w'} & \xrightarrow{\quad} & \\
\downarrow p' & \nearrow w' & \downarrow t' & & \\
& \searrow & & & \\
& & B' & & \\
\downarrow q' & \nearrow & & & \\
C' & & & &
\end{array} \tag{8.2.10}$$

Thus the composite $q' \circ t' : P_{w'} \rightarrow B' \rightarrow C'$ is a fibration and therefore, by the retraction over C' with the trivial fibration $p_{w'}$, we have that $s' : A' \rightarrow P_{w'}$ is a weak equivalence, by 3-for-2 for weak equivalences between fibrations, Corollary 8.13. For the same reason, t' is then a weak equivalence, and therefore a trivial fibration.

Since $t' = c^*t = b^*t$ is a trivial fibration, its pushforward b_*b^*t along b is also one, by Corollary 3.10. Moreover, $b_*b^*t : b_*b^*P_w \rightarrow B$ admits a unit $\eta : P_w \rightarrow b_*b^*P_w$ (over B). 285

$$\begin{array}{ccccccc}
& & p_w & & & & \\
& \swarrow & s & \searrow & \eta & \searrow & \\
A' & \xrightarrow{\quad} & A & \xrightarrow{\quad} & P_w & \xrightarrow{\quad} & b_*b^*P_w \\
\downarrow w' & \nearrow & \downarrow t & \nearrow & & \nearrow & \\
B' & \xrightarrow{\quad} & B & \xrightarrow{\quad} & & \xrightarrow{\quad} & b_*b^*t \\
\downarrow p & \nearrow b & \downarrow q & \nearrow & & \nearrow & \\
C' & \xrightarrow{\quad} & C & & & &
\end{array} \tag{8.2.11}$$

We now claim that $\eta : P_w \rightarrow b_*b^*P_w$ is a trivial fibration. Given that, the composite $t = b_*b^*t \circ \eta$ is also a trivial fibration, whence $q \circ t : P_w \rightarrow C$ is a fibration, and so its retract $p : A \rightarrow C$ is a fibration. Moreover, since s is a section of the trivial fibration $p_w : P_w \rightarrow A$ between fibrations, again by Corollary 8.13 it is also a weak equivalence. Thus $w = t \circ s$ is a weak equivalence, and we are finished. 290

To prove the remaining claim that $\eta : P_w \rightarrow b_*b^*P_w$ is a trivial fibration, we shall use Lemma 8.19. It does not apply directly, however, since $t : P_w \rightarrow B$ is not yet known to be a trivial fibration. Instead, we show that η is a pullback of the corresponding unit at the trivial fibration $p_1 : B^I \rightarrow B$. 294

Consider the following cube (viewed with $b : B' \rightarrow B$ at the front). 295

$$\begin{array}{ccccc}
 P_{w'} & \xrightarrow{\bar{a}} & P_w & & \\
 \downarrow p_{w'} & \searrow (p'_0)^* w' & \downarrow p_w & \searrow p_0^* w & \\
 & B'^I & \xrightarrow{\bar{b}} & B^I & \\
 & \downarrow p'_0 & \downarrow & \downarrow p_0 & \\
 A' & \xrightarrow{w'} & A & \xrightarrow{w} & B \\
 & \downarrow & \downarrow a & \downarrow & \downarrow b \\
 & B' & \xrightarrow{b} & B &
 \end{array} \tag{8.2.12}$$

The right hand face is a pullback by definition, and the remainder results from pulling the entire right face back along b , by the stability of the pathspace factorization, Lemma 8.18. Thus all faces in the cube (8.2.12) are pullbacks. The base is also a pushforward, $b_* w' = w$, again by definition. Thus the top face is also a pushforward, $\bar{b}_*((p'_0)^* w') = p_0^* w$. Indeed, since the front face is a pullback, the Beck-Chevalley condition applies, and so we have $\bar{b}_*(p'_0)^*(w') = p_0^* b_*(w') = p_0^* w$. 302

Now consider the following, in which the top square remains the same as in (8.2.12), but p_0 has been replaced by $p_1 : B^I \rightarrow B$, so the composite at right is by definition $t = p_1 \circ p_0^* w$. 303
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$$\begin{array}{ccccc}
 P_{w'} & \xrightarrow{\bar{a}} & P_w & & \\
 \downarrow p_{w'} & \searrow (p'_0)^* w' & \downarrow p_w & \searrow p_0^* w & \\
 & B'^I & \xrightarrow{\bar{b}} & B^I & \\
 \downarrow t' & \downarrow p'_1 & \downarrow & \downarrow p_1 & \\
 & B' & \xrightarrow{b} & B &
 \end{array} \tag{8.2.13}$$

The horizontal direction is still pullback along b ; let us rename $p_0^* w =: u$ so that $(p'_0)^* w' = b^* u$ and $t' = b^* t$ and $p'_1 = b^* p_1$ to make this clear. We then add the pushforward along b on the right, in order to obtain the two units η . 306
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$$\begin{array}{ccccc}
b^*P_w & \xrightarrow{\bar{a}} & P_w & \xrightarrow{\eta_t} & b_*b^*P_w \\
& \searrow b^*u & \downarrow u & \swarrow b_*b^*u & \\
& b^*B^I & \xrightarrow{\bar{b}} & B^I & \xrightarrow{\eta_{p_1}} b_*b^*B^I \\
& \downarrow b^*p_1 & \downarrow t & \downarrow p_1 & \downarrow b_*b^*p_1 \\
B' & \xrightarrow{b} & B & = & B
\end{array} \tag{8.2.14}$$

By the usual calculation of pushforwards in slice categories, we have $\bar{b}_* \cong \eta_{p_1}^* \circ b_*$,³⁰⁹ and so for b^*u we have $\bar{b}_*b^*u = \eta_{p_1}^* b_*b^*u$. But as we just determined in (8.2.12)³¹⁰ the top left square is already a pushforward, and therefore $u = \eta_{p_1}^* b_*b^*u$, so the top right naturality square is a pullback.³¹¹

To finish the proof as planned, $p_1 : B^I \rightarrow B$ is a trivial fibration because $q : B \rightarrow C$ is a fibration, and $b : B' \rightarrow B$ is a cofibration because it is a pullback of $c : C' \rightarrow C$. Thus by Lemma 8.19, we have that $\eta_{p_1} : B^I \rightarrow b_*b^*B^I$ is a trivial fibration, and so its pullback $\eta_t : P_w \rightarrow b_*b^*P_w$ is a trivial fibration, as claimed. \square ³¹²

Remark 8.21 Note that $p : A \rightarrow C$ is small if $q : B \rightarrow C$ is small.³¹³

AUTHOR QUERY

- AQ1.** Please provide appropriate citation instead of “[?]” in the sentence “See [?] for another reformulation”.

Uncorrected Proof

Chapter 9

The Fibration Extension Property

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Given a universal fibration $\dot{\mathcal{U}} \rightarrow \mathcal{U}$, such as $\text{Fib} \rightarrow \text{Fib}$ of Proposition 7.17, the fibration extension property (Definition 5.24) is closely related to the statement that the base object \mathcal{U} is fibrant. For Kan simplicial sets, Voevodsky proved the latter directly, using the theory of minimal fibrations, cf. [50]. In a more general (but still simplicial) setting, [67] gives a proof using univalence, in the form of the equivalence extension property of Chap. 8, but that proof also uses the 3-for-2 property for weak equivalences, which we do not yet have. For cubical sets, [28] uses the equivalence extension property to prove that \mathcal{U} is fibrant without assuming 3-for-2 for weak equivalences, via a neat type theoretic argument reducing box filling to an operation of *Kan-composition*. We shall prove that \mathcal{U} is fibrant in the category cSet using the equivalence extension property, also without assuming 3-for-2 for weak equivalences, but via a different argument than that in [28] not using (type theory or) Kan composition.

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9.1 Fibrancy of the Universe

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Returning to the relation between the fibration extension property and the fibrancy of the base object of the universal fibration $\dot{\mathcal{U}} \rightarrow \mathcal{U}$, it is easy to see that the latter implies the former. Indeed, let $t : X \rightarrow X'$ be a trivial cofibration and $Y \rightarrow X$ a (small) fibration. To extend Y along t , take a classifying map $y : X \rightarrow \mathcal{U}$, so that $Y \cong y^* \dot{\mathcal{U}}$ over X . If \mathcal{U} is fibrant then we can extend y along $t : X \rightarrow X'$ to get $y' : X' \rightarrow \mathcal{U}$ with $y = y' \circ t$. The pullback $Y' = (y')^* \dot{\mathcal{U}} \rightarrow X'$ is then a (small) fibration such that $t^* Y' \cong t^*(y')^* \dot{\mathcal{U}} \cong y^* \dot{\mathcal{U}} \cong Y$ over X .

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$$\begin{array}{ccccc}
Y & \xrightarrow{\quad} & \dot{\mathcal{U}} & & \\
\downarrow & \searrow & \nearrow & & \downarrow \\
& Y' & & & \\
\downarrow & & \downarrow & & \downarrow \\
X & \xrightarrow{\quad} & \mathcal{U} & & \\
\downarrow t & \searrow y & \nearrow y' & & \downarrow \\
X' & & & &
\end{array}$$

In this way, we have:

Proposition 9.1 *If the base object \mathcal{U} of the universal fibration $\dot{\mathcal{U}} \rightarrow \mathcal{U}$ in cSet is fibrant, then the fibration weak factorization system has the fibration extension property.*

Proof In more detail, we assume that in the cofinal sequence of universes $\mathcal{U}_\alpha \subset \mathcal{U}_\beta \dots$ coming from Remark 7.9, all of the objects \mathcal{U}_κ are fibrant. Let $t : X \rightarrow X'$ be a trivial cofibration and $Y \rightarrow X$ a fibration. By our standing assumption regarding size, $Y \rightarrow X$ is κ -small for some κ . There is therefore a classifying map $y : X \rightarrow \mathcal{U}_\kappa$ with $Y \cong y^* \dot{\mathcal{U}}_\kappa$ over X . Since \mathcal{U}_κ is fibrant, we can then extend y along $t : X \rightarrow X'$ to get $y' : X' \rightarrow \mathcal{U}_\kappa$ with $y = y' \circ t$. The pullback $Y' = (y')^* \dot{\mathcal{U}}_\kappa \rightarrow X'$ is then a (κ -small) fibration such that $t^* Y' \cong t^*(y')^* \dot{\mathcal{U}}_\kappa \cong y^* \dot{\mathcal{U}}_\kappa \cong Y$ over X , as required. \square

Remark 9.2 An alternative approach would be to give a direct proof of the fibration extension property of Definition 5.24, without going via the universe. This is done in a different setting in [66].

Conversely, given the Realignment Lemma 7.22, the fibration extension property also implies the fibrancy of \mathcal{U} :

Corollary 9.3 *The fibration extension property implies that the base \mathcal{U} of the universal fibration $\dot{\mathcal{U}} \rightarrow \mathcal{U}$ is fibrant: given any $y : X \rightarrow \mathcal{U}$ and trivial cofibration $t : X \rightarrow X'$, there is a map $y' : X' \rightarrow \mathcal{U}$ with $y' \circ t = y$.*

Proof Take the pullback of $\dot{\mathcal{U}} \rightarrow \mathcal{U}$ along $y : X \rightarrow \mathcal{U}$ to get a (small) fibration $Y \rightarrow X$, which extends along the (trivial) cofibration $t : X \rightarrow X'$ by the fibration extension property, to a (small) fibration $Y' \rightarrow X'$ with $Y \cong t^* Y'$ over X . By realignment there is a classifying map $y' : X' \rightarrow \mathcal{U}$ for Y' with $y' \circ t = y$. \square

Now let us show the following.

Proposition 9.4 *The base \mathcal{U} of the universal fibration $\dot{\mathcal{U}} \rightarrow \mathcal{U}$ in cSet , as constructed in Sect. 7.3, is a fibrant object.*

Proof By Corollary 4.8, \mathcal{U} is an unbiased fibrant object if the canonical map $u = \langle p_2, \text{eval} \rangle$ in the following diagram in cSet , is a trivial fibration.

$$\begin{array}{ccccc}
 \mathcal{U}^I \times I & \xrightarrow{\quad \text{eval} \quad} & & & \\
 \downarrow u & \nearrow p_2 & & & \\
 I \times \mathcal{U} & \xrightarrow{\quad \quad} & \mathcal{U} & & \\
 \downarrow & \lrcorner & \downarrow & & \\
 I & \xrightarrow{\quad \quad} & 1 & &
 \end{array} \tag{9.1.1}$$

Thus consider a filling problem of the following form, with an arbitrary cofibration ⁴¹
 $c : C \rightarrow Z$. ⁴²

$$\begin{array}{ccc}
 C & \longrightarrow & \mathcal{U}^I \times I \\
 c \downarrow & \nearrow & \downarrow \langle p_2, \text{eval} \rangle \\
 Z & \longrightarrow & I \times \mathcal{U}
 \end{array} \tag{9.1.2}$$

The horizontal maps may be written in the form $\langle i, b \rangle : Z \rightarrow I \times \mathcal{U}$ and $\langle \tilde{a}, ic \rangle : C \rightarrow \mathcal{U}^I \times I$, regarding $i : Z \rightarrow I$ as an I -indexing. ⁴³
⁴⁴

Transposing \tilde{a} to $a : C \times I \rightarrow \mathcal{U}$ we obtain the new problem ⁴⁵

$$\begin{array}{ccccc}
 C & \xrightarrow{\langle ic \rangle} & C \times I & & \\
 c \downarrow & & \downarrow c \times I & & \\
 Z & \xrightarrow{\langle i \rangle} & Z \times I & \xrightarrow{\quad a \quad} & \mathcal{U} \\
 & \searrow b & \nearrow d & &
 \end{array} \tag{9.1.3}$$

in which we recall from (4.4.4) the notation $\langle i \rangle = \langle 1_Z, i \rangle : Z \rightarrow Z \times I$ for the graph ⁴⁶
of a map $i : Z \rightarrow I$. Given a map d as shown in (9.1.3), we can obtain the indicated ⁴⁷
diagonal filler in (9.1.2) as $\langle \tilde{d}, i \rangle : Z \rightarrow \mathcal{U}^I \times I$. ⁴⁸

As a sanity check, note that $b \circ c = a \circ \langle ic \rangle$ turns the problem (9.1.3) into that of ⁴⁹
extending the copair $[b, a]$ along the unique map ⁵⁰

$$Z +_C (C \times I) \longrightarrow Z \times I,$$

which is exactly the (trivial cofibration) pushout-product $c \otimes_i \delta$ from (4.4.5), recalled ⁵¹
below for the reader's convenience. ⁵²

$$\begin{array}{ccccc}
 & C & \xrightarrow{\langle ic \rangle} & C \times I & \\
 c \downarrow & & & \downarrow & \\
 Z & \xrightarrow{\quad} & Z +_C (C \times I) & \xrightarrow{\quad} & Z \times I \\
 & \searrow & \swarrow & \nearrow & \\
 & & c \otimes_i \delta & &
 \end{array} \tag{9.1.4}$$

Returning to (9.1.3), take pullbacks of $\dot{\mathcal{U}} \rightarrow \mathcal{U}$ along a and b to get fibrations ⁵³
 $p_a : A \rightarrow C \times I$ and $p_b : B \rightarrow Z$ respectively, and let ⁵⁴

$$p_c := \langle ic \rangle^* p_a : A_c \rightarrow C$$

be the corresponding “fiber of A over the graph of ic ”. We then have $c^* B \cong A_c$ ⁵⁵
over C by the commutativity of the outer square of (9.1.2). ⁵⁶

$$\begin{array}{ccccc}
 & A_c & \longrightarrow & A & \\
 & \downarrow p_c & & \downarrow p_a & \\
 C & \xrightarrow{\quad} & C \times I & \xrightarrow{\quad} & Z \times I \\
 \swarrow & \searrow & \nearrow & \searrow & \\
 B & \xrightarrow{\quad} & Z & \xrightarrow{\langle i \rangle} & Z \times I
 \end{array}$$

The diagonal filler sought in (9.1.2) now corresponds, again by transposition and ⁵⁷
pullback of $\dot{\mathcal{U}} \rightarrow \mathcal{U}$, to a fibration $p_d : D \rightarrow Z \times I$ with $\langle i \rangle^* D \cong B$ over Z and ⁵⁸
 $(c \times I)^* D \cong A$ over $C \times I$, as indicated below. ⁵⁹

$$\begin{array}{ccccc}
 & A_c & \longrightarrow & A & \\
 & \downarrow p_c & & \downarrow p_a & \\
 C & \xrightarrow{\quad} & C \times I & \xrightarrow{\quad} & Z \times I \\
 \swarrow & \searrow & \nearrow & \searrow & \\
 B & \xrightarrow{\quad} & D & \xrightarrow{\quad} & Z \times I \\
 \downarrow p_b & \downarrow & \downarrow p_d & \downarrow & \\
 Z & \xrightarrow{\langle i \rangle} & Z \times I & \xrightarrow{\quad} & Z \times I
 \end{array} \tag{9.1.5}$$

We shall construct $p_d : D \rightarrow Z \times I$ using the equivalence extension property ⁶⁰
(Proposition 8.20) as follows. First apply the functor $(-) \times I$ to the left vertical ⁶¹

(pullback) face of the cube in (9.1.5) to get the following, with a new pullback square on the right with the indicated fibrations. 62
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$$\begin{array}{ccccc}
 & A_c & \xrightarrow{\quad} & A & \\
 & \downarrow p_c & & \downarrow p_a & \\
 C & \xrightarrow{\langle ic \rangle} & C \times I & \xleftarrow{\quad} & A_c \times I \\
 & \downarrow & \downarrow & \downarrow & \\
 B & \xrightarrow{\quad} & D & \xrightarrow{\quad} & B \times I \\
 & \downarrow p_b & \downarrow p_d & \downarrow c \times I & \downarrow p_b \times I \\
 Z & \xrightarrow{\langle i \rangle} & Z \times I & \xleftarrow{\quad} &
 \end{array} \tag{9.1.6}$$

We now *claim* that there is a weak equivalence $e : A \simeq A_c \times I$ over $C \times I$. From this 64 it follows by the equivalence extension property (Proposition 8.20) that there are: 65

- (i) a fibration $p_d : D \Rightarrow Z \times I$ with $(c \times I)^* D \cong A$ over $C \times I$, and 66
- (ii) a weak equivalence $f : D \simeq B \times I$ over $Z \times I$ with $(c \times I)^* f \cong e$ over $C \times I$. 67

It then remains only to show that $B \cong \langle i \rangle^* D$ over Z to complete the proof. 68

To obtain the claimed weak equivalence e , consider the following square, 69

$$\begin{array}{ccc}
 A_c & \xrightarrow{\langle ic p_c \rangle} & A_c \times I \\
 \downarrow & & \downarrow p_c \times I \\
 A & \xrightarrow{\quad p_a \quad} & C \times I,
 \end{array} \tag{9.1.7}$$

in which the top horizontal map is the graph of the composite, 70

$$A_c \xrightarrow{p_c} C \xrightarrow{c} Z \xrightarrow{i} I,$$

and the others are the evident ones from (9.1.6). The square is easily seen to 71 commute, and the top map is a trivial cofibration (by Remark 4.12), because it is 72 the graph of a map into I . The left map is also a trivial cofibration by Frobenius 73 (Proposition 6.5), because by its definition in (9.1.5) it is the pullback of another 74 such graph $\langle ic \rangle$ along the fibration p_a . A simple lemma (Lemma 9.5 below) provides 75 the claimed weak equivalence $e : A \simeq A_c \times I$ over $C \times I$. 76

To see that $B \cong \langle i \rangle^* D$ over Z , recall from the proof of the equivalence extension 77 property that the map $f : D \cong B \times I$ is the pushforward of $e : A \simeq A_c \times I$ along 78 the cofibration $b_c \times I : A_c \times I \rightarrow B \times I$, where we are calling the evident map in 79 (9.1.6) $b_c : A_c \rightarrow B$. Thus by construction $f = (b_c \times I)_* e$. We can then apply the 80 Beck-Chevalley condition for the pushforward using the pullback square on the left 81 below. 82

$$\begin{array}{ccccc}
 & & A_c & \xrightarrow{\langle ic p_c \rangle} & A_c \times I \leftarrow e A \\
 & b_c \downarrow & \lrcorner & & \downarrow b_c \times I \\
 B & \xrightarrow{\langle ip_b \rangle} & B \times I & \leftarrow f & D
 \end{array} \tag{9.1.8}$$

The pullback of e along the top of the square is the identity on A_c , as can be seen by pulling back e as a map over $C \times I$ along $\langle ic \rangle : C \rightarrow C \times I$. Thus the same is true up to isomorphism for the pullback of f along the bottom.

An application of the Realignment Lemma 7.22 along the trivial cofibration $c \otimes_i \delta$ completes the proof. \square

Lemma 9.5 Suppose the following square commutes and the indicated cofibrations are trivial. \square

$$\begin{array}{ccc}
 A & \longrightarrow & C \\
 \downarrow & & \downarrow \\
 B & \twoheadrightarrow & D
 \end{array} \tag{9.1.9}$$

Then there is a weak equivalence $e : B \simeq C$ over D (and under A).

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Proof Use the fact that any two diagonal fillers are homotopic to get a homotopy equivalence $e : B \simeq C$ filling the square. \square

Remark 9.6 The foregoing proof of Proposition 9.4, the fibrancy of the universe \mathcal{U} , also works, *mutatis mutandis*, for the universe of *biased fibrations*, as used in the setting of [28].

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Applying Proposition 9.1 now yields the following.

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Corollary 9.7 (Fibration Extension Property) The fibration weak factorization system has the fibration extension property (Definition 5.24). \square

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By Theorem 5.28, finally, we have the following.

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Theorem 9.8 Let C be a class of maps in the category \mathbf{cSet} of cubical sets satisfying the axioms (C0)-(C8). There is a Quillen model structure $(C, \mathcal{W}, \mathcal{F})$ on \mathbf{cSet} with:

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1. The cofibrations are the maps in C .
2. The fibrations \mathcal{F} are the maps $f : Y \rightarrow X$ for which the canonical map

$$(f^I \times I, \mathbf{eval}_Y) : Y^I \times I \longrightarrow (X^I \times I) \times_X Y$$

lifts on the right against C .

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3. The weak equivalences \mathcal{W} are the maps $w : X \rightarrow Y$ for which the internal precomposition $K^w : K^Y \rightarrow K^X$ is bijective on connected components for every fibrant object K .

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Axioms (C0)–(C8) for cofibrations are satisfied, e.g., by the class of *all*¹⁰⁵ monomorphisms, in which case there are at least two different model structures¹⁰⁶ on \mathbf{cSet} , namely, the one just stated in Theorem 9.8, and the test model structure,¹⁰⁷ which is known to be different (see [13]). The axioms (C0)–(C8) are collected (and¹⁰⁸ slightly simplified and renumbered) in Appendix A, where a non-trivial example is¹⁰⁹ also provided. Moreover, we note that the theorem holds equally for any other cube¹¹⁰ category with finite products, not only the initial one, such as the Dedekind cubes.¹¹¹

Remark 9.9 Observe that, in terms of the universal fibration $\dot{\mathcal{U}} \rightarrow \mathcal{U}$ constructed¹¹² in Chap. 7, the equivalence extension property Proposition 8.20 says that the second¹¹³ projection from the classifying type of equivalences $A \simeq B$ between small families,¹¹⁴

$$\pi_2 : \Sigma_{A,B} \mathsf{Eq}(A, B) \longrightarrow \mathcal{U},$$

is a trivial fibration. From this, it follows that the canonical transport map¹¹⁵

$$* : \mathcal{U}^I \longrightarrow \Sigma_{A,B} \mathsf{Eq}(A, B) \tag{9.1.10}$$

is an equivalence over the base \mathcal{U} via $p_2 : \mathcal{U}^I \rightarrow \mathcal{U}$, which is also a trivial fibration¹¹⁶ because \mathcal{U} is fibrant by Proposition 9.4. In type theory, the pathobject \mathcal{U}^I of course¹¹⁷ interprets the identity type $A = B$, so the equivalence (9.1.10) can be expressed in¹¹⁸ the form¹¹⁹

$$(A = B) \simeq (A \simeq B).$$

Appendix A

Axioms for Cartesian Cofibrations

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A system of maps satisfying the axioms (C0)–(C8) of the main text for the cofibrations in a cartesian cubical model category may be called *Cartesian cofibrations*.
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Eliminating some redundancy, the axioms can be restated equivalently as follows.
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- (A0) All cofibrations are monomorphisms. 6
- (A1) All isomorphisms are cofibrations. 7
- (A2) The composite of two cofibrations is a cofibration. 8
- (A3) Any pullback of a cofibration is a cofibration. 9
- (A4) The join of two cofibrant subobjects is a cofibration. 10
- (A5) The diagonal of the interval $I \rightarrow I \times I$ is a cofibration. 11
- (A6) Cofibrations are preserved by the pathobject functor $(-)^I$. 12
- (A7) The category of cofibrations and cartesian squares has a terminal object. 13

Example A.1 Consider the Cartesian cubical presheaves $c\mathcal{E} = \mathcal{E}^{\square^{\text{op}}} = \mathcal{E}^{\mathbb{B}}$ in a topos \mathcal{E} . For such (internal) discrete opfibrations (A, α) ,
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$$\begin{array}{ccccc} A & \xleftarrow{\alpha} & \mathbb{B}_1 \times_{\mathbb{B}_0} A & \longrightarrow & A \\ \downarrow & & \downarrow & \lrcorner & \downarrow \\ \mathbb{B}_0 & \xleftarrow[\text{cod}]{} & \mathbb{B}_1 & \xrightarrow[\text{dom}]{} & \mathbb{B}_0 \end{array}$$

over the (internal) category $\mathbb{B} = (\mathbb{B}_1 \rightrightarrows \mathbb{B}_0)$ of finite bipointed sets, call a subpresheaf $c : (C, \gamma) \rightarrow (A, \alpha)$ *locally complemented* if the underlying map $c : C \rightarrow A$ over $\mathbb{B}_0 = \mathbb{N}$ is a complemented subobject in \mathcal{E}/\mathbb{N} , i.e. $C + \neg C \cong A$ over \mathbb{N} . Internally, this means that
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$$C_n + \neg(C_n) \cong A_n \quad \text{for all } n \in \mathbb{N}, \tag{A.1}$$

which is a weaker condition than $(A, \alpha) + \neg(A, \alpha) \cong (B, \beta)$ as presheaves (unless $\mathcal{E} = \mathbf{Set}$, in which case it is trivial).
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Proposition A.2 *For any topos \mathcal{E} , the locally complemented subobjects in the category $\mathbf{c}\mathcal{E}$ of cubical \mathcal{E} -objects satisfy the axioms for Cartesian cofibrations.*

Proof Axioms (A0)–(A4) are satisfied by the complemented subobjects in \mathcal{E}/\mathbb{N} , and the forgetful functor $U : \mathbf{c}\mathcal{E} \rightarrow \mathcal{E}/\mathbb{N}$ creates the monos, isos, composites, pullbacks, and joins in question. For (A5), we use the fact that the equality relation on \mathbb{N} is decidable to infer that, for each $[n] = \{0, x_1, \dots, x_n, 1\}$, the finite set $\{i = j \mid 0 \leq i, j \leq n+1\}$ is complemented in $\mathbb{B}([1], [n]) \times \mathbb{B}([1], [n])$, and so for the subpresheaf $\delta : \mathbf{I} \rightarrow \mathbf{I} \times \mathbf{I}$ we indeed have,

$$\delta_n + \neg(\delta_n) \cong \mathbf{I}_n \times \mathbf{I}_n \quad \text{for all } n \in \mathbb{N}.$$

For (A6) we use the fact that the pathobject $A^{\mathbf{I}}$ is a shift by one dimension, $(A^{\mathbf{I}})_n = A_{n+1}$, together with (A.1). The cofibration classifier in (A7) is given by applying the right adjoint $U \dashv R : \mathcal{E}/\mathbb{N} \rightarrow \mathbf{c}\mathcal{E}$ to the complemented subobject classifier \mathbb{N}^*2 of \mathcal{E}/\mathbb{N} . \square

Appendix B

Cartesian Cubical Sets Classifies Intervals

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Recall from Chap. 2 that the objects of the *Cartesian cube category* \square may be taken concretely to be finite, strictly bipointed sets, written

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$$[n] = \{0, x_1, \dots, x_n, 1\},$$

and the arrows $f : [n] \rightarrow [m]$ to be all bipointed maps $[m] \rightarrow [n]$ (note the direction). The category of *Cartesian cubical sets* is then the presheaf topos

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$$\mathbf{cSet} = \mathbf{Set}^{\square^{\text{op}}}.$$

It is generated by the geometric *n-cubes* $I^n = y[n]$, with $1 = I^0$, $I = y[1]$; and $I^n \times I^m \cong I^{n+m}$, by preservation of products by the Yoneda embedding $y : \square^{\text{op}} \hookrightarrow \mathbf{cSet}$. For a cubical set $X : \square^{\text{op}} \rightarrow \mathbf{Set}$ we have the usual Yoneda correspondence for the set X_n of *n-cubes* in X ,

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$$\{c \in X_n\} \cong \{c : I^n \rightarrow X\}.$$

In particular, $I_m^n = \square([m], [n])$ is the set of *m-cubes* in the *n-cube*.¹

12

¹ Note that the cardinality of I_m^n is therefore just $(m + 2)^n$, in comparison to the *Dedekind cubes* $\square_{\wedge, \vee}$ used in [28, 60], for which e.g. the Hom-set $\square_{\wedge, \vee}([n], [1])$ is the *nth Dedekind number*, the number of elements in the free distributive lattice on *n* generators, which is in general a number so large that it is unknown for values of $n > 8$.

Proposition B.1 *The category \mathbf{cSet} of Cartesian cubical sets is the classifying topos for intervals: objects I with points $i, j : 1 \rightrightarrows I$ the pullback of which is 0 :*

$$\begin{array}{ccc} 0 & \longrightarrow & 1 \\ \downarrow & \lrcorner & \downarrow j \\ 1 & \xrightarrow{i} & I \end{array}$$

Proof Consider the covariant presentation $\mathbf{cSet} = \mathbf{Set}^{\mathbb{B}}$ where \mathbb{B} is the category of finite, strictly bipointed sets and bipointed maps. We can extend $\mathbb{B} \hookrightarrow \mathbb{B}_+$ by freely adjoining coequalizers, making \mathbb{B}_+ the free finite colimit category on a co-bipointed object. A concrete presentation of \mathbb{B}_+ is the finite bipointed sets, including those with $0 = 1$. Let us write (n) for the bipointed set $\{x_1, \dots, x_n, *\}$, with n (non-constant) elements and a further element $0 = * = 1$. There is an evident coequalizer $[1] \rightrightarrows [n] \rightarrow (n)$, which (only) identifies the distinguished points, and every coqualizer in \mathbb{B}_+ has either the form $[m] \rightrightarrows [n] \rightarrow [k]$ or $[m] \rightrightarrows [n] \rightarrow (k)$, for a suitable choice of k . Note that there are no maps of the form $(m) \rightarrow [n]$, and that every map $[m] \rightarrow (n)$ factors uniquely as $[m] \rightarrow (m) \rightarrow (n)$ with $[m] \rightarrow (m)$ the canonical coequalizer of 0 and 1 . The category \mathbb{B}_+ can therefore be decomposed into two “levels”, the upper one of which is essentially \mathbb{B} , and the lower one consisting of just the objects (n) , and thus essentially the finite pointed sets, and for each n , there is the canonical coequalizer $[n] \rightrightarrows (n)$ going from the upper level to the lower one.

$$\begin{array}{ccccccc} \dots & \longrightarrow & [m] & \longrightarrow & [n] & \longrightarrow & \dots \\ & & \downarrow & & \downarrow & & \\ \dots & \longrightarrow & (m) & \longrightarrow & (n) & \longrightarrow & \dots \end{array}$$

Write $u : \mathbb{B} \rightarrow \mathbb{B}_+$ for the upper inclusion, which is the classifying functor of generic co-bipointed object in \mathbb{B}_+ .

Now consider the induced geometric morphism:

$$\begin{array}{ccc} \mathbf{Set}^{\mathbb{B}} & \xrightarrow{u_*} & \mathbf{Set}^{\mathbb{B}_+} \\ \xleftarrow{u^*} & \longleftarrow & \xrightarrow{u_!} \\ & \xrightarrow{u_!} & \end{array} \quad u_! \dashv u^* \dashv u_*$$

Since u^* is the restriction along u , the right adjoint u_* must be “prolongation by 1”,

$$\begin{aligned} u_*(P)[n] &= P[n], \\ u_*(P)(n) &= \{*\}, \end{aligned}$$

with the obvious maps,

36

$$\begin{array}{ccccccc} \dots & \longrightarrow & P[m] & \longrightarrow & P[n] & \longrightarrow & \dots \\ & & \downarrow & & \downarrow & & \\ \dots & \longrightarrow & \{\ast\} & \longrightarrow & \{\ast\} & \longrightarrow & \dots \end{array}$$

as is easily seen by considering maps in $\mathbf{Set}^{\mathbb{B}_=}$ of the form

37

$$\begin{array}{ccc} Q[n] & \longrightarrow & P[n] \\ \downarrow . & & \downarrow . \\ Q(n) & \longrightarrow & \{\ast\}. \end{array}$$

Since $u_* : \mathbf{Set}^{\mathbb{B}} \rightarrow \mathbf{Set}^{\mathbb{B}_=}$ is evidently full and faithful, it is the inclusion part of a sheaf topos $\mathbf{sh}(\mathbb{B}_+^{\text{op}}, j) \hookrightarrow \mathbf{Set}^{\mathbb{B}_=}$ for a suitable Grothendieck topology j on \mathbb{B}_+^{op} . We claim that j is the closed complement topology of the subobject $[0 = 1] \rightarrowtail 1$ represented by the coequalizer $[0] \rightrightarrows (0)$. Indeed, in $\mathbf{Set}^{\mathbb{B}_=}$ we have the representable functors:

$$\begin{aligned} I &= y[1], \\ 1 &= y[0], \\ [0 = 1] &= y(0) \end{aligned}$$

fitting into an equalizer $[0 = 1] \rightarrow 1 \rightrightarrows I$, which is the image under Yoneda of the canonical coequalizer $[1] \rightrightarrows [0] \rightarrow (0)$ in \mathbb{B}_+ . The closed complement topology for $[0 = 1] \rightarrow 1$ is generated by the single cover $0 \rightarrow [0 = 1]$, which can be described logically as forcing the sequent $(0 = 1 \vdash \perp)$ to hold. Recall from [44], Proposition 3.53, the following simple characterization of the sheaves for the closed complement topology of an object $U \rightarrowtail 1$: an object X is a sheaf iff $X \times U \cong U$. In the present case, it therefore suffices to show that for any $P : \mathbb{B}_+ \rightarrow \mathbf{Set}$ we have:

$$P \times [0 = 1] \cong [0 = 1] \quad \text{iff} \quad P(n) = 1 \text{ for all } n.$$

For any object $b \in \mathbb{B}_+$, consider the map

50

$$\text{Hom}(yb, P \times [0 = 1]) \cong \text{Hom}(yb, P) \times \text{Hom}(yb, [0 = 1]) \rightarrow \text{Hom}(yb, [0 = 1]).$$

51

If $b = [k]$, then $\text{Hom}(yb, [0 = 1]) \cong \text{Hom}_{\mathbb{B}_=}((0), [k]) \cong 0$, and so we always have 52
an iso 53

$$\begin{aligned}\text{Hom}(yb, P \times [0 = 1]) &\cong \text{Hom}(yb, P) \times \text{Hom}(yb, [0 = 1]) \\ &\cong \text{Hom}(yb, P) \times 0 \cong 0.\end{aligned}$$

If $b = (k)$, then $\text{Hom}(yb, [0 = 1]) \cong \text{Hom}_{\mathbb{B}_=}((0), (k)) \cong 1$, and we have an iso 54

$$\begin{aligned}\text{Hom}(yb, P \times [\perp = \top]) &\cong \text{Hom}(y(k), P) \times \text{Hom}(y(k), [0 = 1]) \\ &\cong \text{Hom}(y(k), P) \times 1 \cong \text{Hom}(y(k), P) \cong P(k).\end{aligned}$$

Thus either way we will have an iso $P \times [0 = 1] \cong [0 = 1]$ iff $P(k) \cong 1$. 55

The presheaf topos $\mathbf{Set}^{\mathbb{B}}$ is therefore the closed complement of the open 56
subtopos 57

$$\mathbf{Set}^{\mathbb{B}_{=}/[0=1]} \hookrightarrow \mathbf{Set}^{\mathbb{B}_=},$$

given by forcing the proposition $0 \neq 1$. Since $\mathbf{Set}^{\mathbb{B}_=}$ is clearly the classifying topos 58
for *arbitrary* bipointed objects, say $\mathbf{Set}[B, 0, 1]$, the sheaf subtopos 59

$$\mathbf{Set}[B, 0 \neq 1] \simeq \mathbf{Set}^{\mathbb{B}} \hookrightarrow \mathbf{Set}^{\mathbb{B}_=} \simeq \mathbf{Set}[B, 0, 1]$$

classifies *strictly* bipointed objects, i.e. intervals, as claimed. \square

Corollary B.2 *The geometric realization functor to topological spaces* 60

$$R : \mathbf{cSet} \rightarrow \mathbf{Top}$$

preserves finite products, $R(X \times Y) \cong R(X) \times R(Y)$ and $R(1) \cong \{*\}$. 61

Proof Compose the inverse image of the classifying geometric morphism
 $\mathbf{sSets} \rightarrow \mathbf{cSet}$ of the 1-simplex Δ^1 with the standard geometric realization
 $\mathbf{sSets} \rightarrow \mathbf{Top}$, both of which preserve finite products. \square

Example B.3 (P. Aczel) The cubical set P of polynomials (say, over the integers), 62
is defined by: 63

$$P_n = \{p(x_1, \dots, x_n) \mid \text{polynomials in at most } x_1, \dots, x_n\}$$

with the substitution map $s^* : P_n \rightarrow P_m$ taking $p(x_1, \dots, x_n)$ to 64

$$s^* p(x_1, \dots, x_n) = p(s(x_1), \dots, s(x_n)),$$

for each bipointed map $s : [n] \rightarrow [m]$. 65

This cubical set P underlies a ring object in \mathbf{cSet} , and the interval $I = y[1]$ 66
67 embeds into it via the component maps

$$\eta_n : I_n \rightarrow P_n$$

taking $v_i \in \square([n], [1]) \cong \mathbb{B}([1], [n]) \cong \{0, x_1, \dots, x_n, 1\}$ to 0, 1, or the variable x_i , 68
69
70
71 respectively, in P_n . The same is true for any algebraic theory \mathbb{T} with two constants, 72
73 such as Boolean algebras: there is a distinguished cubical \mathbb{T} -algebra \mathcal{A} , and a natural map $\eta : I \rightarrow |\mathcal{A}|$ in \mathbf{cSet} .

Indeed, let $\mathbf{cSet} = \mathbf{Set}[\mathcal{I}]$ as a classifying topos for intervals by Proposition B.1 72
73 with $\mathcal{I} = (1 \rightrightarrows I)$, and let

$$\mathbf{Set}[\mathbb{T}, \text{flat}] = \mathbf{Set}^{\mathbb{T}^{\text{op}}}$$

be the topos of presheaves on the Lawvere algebraic theory \mathbb{T} , which therefore 74
75
76
77 classifies *flat* \mathbb{T} -algebras. There is a bipointed object $\mathcal{J} = (1 \rightrightarrows J)$ in \mathbb{T} , consisting of the generic \mathbb{T} -algebra and its two constants, which has a classifying functor $J^\sharp : \square \rightarrow \mathbb{T}$, inducing adjoint functors on presheaves,

$$J_! \dashv J^* \dashv J_* : \mathbf{Set}[\mathcal{I}] = \mathbf{Set}^{\square^{\text{op}}} \longrightarrow \mathbf{Set}^{\mathbb{T}^{\text{op}}} = \mathbf{Set}[\mathbb{T}, \text{flat}],$$

where $J_! \circ y_\square = y_{\mathbb{T}} \circ J^\sharp$, with y the respective Yoneda embeddings. 78

We can then calculate,

$$\begin{aligned} J^* J_!(I)([n]) &= J^* J_!(y[1])([n]) \\ &= J^* y(J^\sharp[1])([n]) \\ &= y(J^\sharp[1])(J^\sharp[n]) \\ &= \mathbb{T}(J^\sharp[n], J^\sharp[1]) \\ &= \mathbf{Alg}_{\mathbb{T}}(J^\sharp[1], J^\sharp[n]) \\ &= \mathbf{Alg}_{\mathbb{T}}(F(1), F(n)) \\ &= |F(n)|, \end{aligned}$$

where $|F(n)|$ is the underlying set of the free \mathbb{T} -algebra $F(n)$, the n^{th} object 80
81
82
83 of the Lawvere theory under its dual presentation $\mathbb{T}^{\text{op}} \hookrightarrow \mathbf{Alg}_{\mathbb{T}}$. The unit of the $J_! \dashv J^*$ adjunction provides a natural map $\eta : I \rightarrow J^* J_!(I)$, given pointwise by 84
85
86 $I_n \cong \{0, x_1, \dots, x_n, 1\} \longrightarrow |F(n)| \cong J^* J_!(I)_n$.

The cubical set of polynomials $P = J^* J_!(I)$ is thus indeed a cubical ring, with a 84
85
86 map $I \rightarrow P$, since $J_!(I) \cong y_{\mathbb{T}} J^\sharp([1]) \cong y_{\mathbb{T}}(J)$ is a ring in $\mathbf{Set}[\mathbb{T}, \text{flat}]$ and J^* is left exact. In fact, we learn thereby that P is flat.

Definition B.4 Let $\square \rightarrow \mathbf{Cat}$ be the unique product-preserving functor taking the interval $[1]$ to the one arrow category $\mathcal{D} = (0 \leq 1)$. This functor then takes $[n]$ to \mathcal{D}^n , the n -fold product in \mathbf{Cat} , and maps $[m] \rightarrow [n]$ to the corresponding monotone functions $\mathcal{D}^m \rightarrow \mathcal{D}^n$ of posets.² The *cubical nerve* functor

$$N : \mathbf{Cat} \rightarrow \mathbf{cSet}$$

is then defined by:

$$N(\mathbb{C})_n = \mathbf{Cat}(\mathcal{D}^n, \mathbb{C}).$$

Thus $N(\mathbb{C})_0$ is the set of objects of \mathbb{C} ; $N(\mathbb{C})_1$ is the set of arrows; $N(\mathbb{C})_2$ consists of all commutative squares; $N(\mathbb{C})_3$ all commutative cubes, etc.

Proposition B.5 *The cubical nerve $N : \mathbf{Cat} \rightarrow \mathbf{cSet}$ is full and faithful.*

Proof Given categories \mathbb{C} and \mathbb{D} and functors $F, G : \mathbb{C} \rightarrow \mathbb{D}$, suppose $F(f) \neq G(f)$ for some $f : A \rightarrow B$ in \mathbb{C} . Take $f^\sharp : \mathcal{D} \rightarrow \mathbb{C}$ with image f . Then $N(F)_1(f^\sharp) = F(f) \neq G(f) = N(G)_1(f^\sharp)$, and so $N(F) \neq N(G) : N(\mathbb{C}) \rightarrow N(\mathbb{D})$. So N is faithful.

For fullness, let $\varphi : N(\mathbb{C}) \rightarrow N(\mathbb{D})$ be a natural transformation, and define a proposed functor $F : \mathbb{C} \rightarrow \mathbb{D}$ by

$$F_0 = \varphi_0 : \mathbb{C}_0 = N(\mathbb{C})_0 \rightarrow N(\mathbb{D})_0 = \mathbb{D}_0$$

$$F_1 = \varphi_1 : \mathbb{C}_1 = N(\mathbb{C})_1 \rightarrow N(\mathbb{D})_1 = \mathbb{D}_1.$$

We just need to show that F preserves identity arrows and composition. Consider the following diagram.

$$\begin{array}{ccc} \mathbf{Cat}(\mathcal{D}^1, \mathbb{C}) = N(\mathbb{C})_1 & \xrightarrow{F_1} & N(\mathbb{D})_1 = \mathbf{Cat}(\mathcal{D}^1, \mathbb{D}) \\ \uparrow !^* & & \uparrow !^* \\ \mathbf{Cat}(\mathcal{D}^0, \mathbb{C}) = N(\mathbb{C})_0 & \xrightarrow{F_0} & N(\mathbb{D})_0 = \mathbf{Cat}(\mathcal{D}^0, \mathbb{D}). \end{array}$$

Here $!^* : \mathbf{Cat}(\mathcal{D}^0, \mathbb{C}) \rightarrow \mathbf{Cat}(\mathcal{D}, \mathbb{C})$ is precomposition with $! : \mathcal{D} = \mathcal{D}^1 \rightarrow \mathcal{D}^0 = \mathbb{1}$, so the diagram commutes. But since $! : \mathcal{D} \rightarrow \mathbb{1}$ is a functor,

$$\mathbb{C}_0 = \mathbf{Cat}(\mathbb{1}, \mathbb{C}) \xrightarrow{!^*} \mathbf{Cat}(\mathcal{D}, \mathbb{C}) = \mathbb{C}_1$$

² Thus factoring through the full subcategory $\square_{\wedge, \vee} \hookrightarrow \mathbf{Cat}$ of *Dedekind cubes*, mentioned above, which is the Lawvere algebraic theory of distributive lattices.

takes objects in \mathbb{C} to their identity arrows. Thus F preserves identity arrows. ¹⁰⁵
 Similarly, for composition, consider ¹⁰⁶

$$\begin{array}{ccc} \mathbf{Cat}(\mathbb{2}^2, \mathbb{C}) = N(\mathbb{C})_2 & \xrightarrow{\varphi_2} & N(\mathbb{D})_2 = \mathbf{Cat}(\mathbb{2}^2, \mathbb{D}) \\ d^* \downarrow & & \downarrow d^* \\ \mathbf{Cat}(\mathbb{2}, \mathbb{C}) = N(\mathbb{C})_1 & \xrightarrow[F_1]{} & N(\mathbb{D})_1 = \mathbf{Cat}(\mathbb{2}, \mathbb{D}). \end{array}$$

where $\varphi_2 : N(\mathbb{C})_2 \rightarrow N(\mathbb{D})_2$ is the action of φ on commutative squares of arrows, ¹⁰⁷
 and $d^* : \mathbf{Cat}(\mathbb{2}^2, \mathbb{C}) \rightarrow \mathbf{Cat}(\mathbb{2}, \mathbb{C})$ is precomposition with the diagonal map $d : \mathbb{2} \rightarrow \mathbb{2}^2 = \mathbb{2} \times \mathbb{2}$, so the diagram commutes. For any composable $f : A \rightarrow B$ and $g : B \rightarrow C$ in \mathbb{C} there is a commutative square ¹⁰⁸
¹⁰⁹
¹¹⁰

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ f \downarrow & & \downarrow g \\ B & \xrightarrow{g} & C, \end{array}$$

and the effect of $d^* : \mathbf{Cat}(\mathbb{2}^2, \mathbb{C}) \rightarrow \mathbf{Cat}(\mathbb{2}, \mathbb{C})$ on this square is exactly $g \circ f : A \rightarrow C$,
 and similarly for $d^* : \mathbf{Cat}(\mathbb{2}^2, \mathbb{D}) \rightarrow \mathbf{Cat}(\mathbb{2}, \mathbb{D})$. Thus the commutativity of the above
 diagram implies that F preserves composition. Since clearly $N(F) = \varphi$, we indeed
 have that N is also full. \square

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Uncorrected Proof

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AQ1. Please provide an update for Ref. [1].

Uncorrected Proof

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