

Fidelity Standard Transmissions

Account Balance Transmission File Manual

Version: 5.1.2



Fidelity Clearing & Custody Solutions provides clearing, custody, and other brokerage services through National Financial Services LLC or Fidelity Brokerage Services LLC, Members NYSE, SIPC. 1.944135.512 907116.1.0

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Available Frequencies:	Daily
	Weekly
	Brokerage Month End
File Description:	Calendar Month End

The Account Balance Transmission file contains account and account type-level brokerage balances. Such balances may include net worth, cash collected, margin, money market balances, and options market value.

Supports Clearing Clients, Custody Clients or in certain cases, a client's approved third-party service providers.

Please Note: Information or functionality that may be provided is based on a firm's authorization and may be subject to restrictions.

File Processing Guidelines:

The Account Balance Transmission file is a 1500 byte file with a Header and Trailer record.

The file is primarily derived from the FBSI core balance system, which is used to support Margin department related activities.

Beginning at position 562, the file contains 10 repeating groups of the following fields:

- ACCOUNT TYPE
- MARKET VALUE (preceded by BALANCE FIELD SIGN)
- TRADE DATE BALANCE (preceded by BALANCE FIELD SIGN)
- SETTLEMENT DATE BALANCE (preceded by BALANCE FIELD SIGN)

This group of fields is populated based on the number of account types that contain non-zero balance information. For example, if account types 1 and 3 contain balance information, the first group will contain ACCOUNT TYPE = 1 and balances and the second group will contain ACCOUNT TYPE = 3 and balances.

There are no account setup requirements for inclusion of accounts in this file. Records are transmitted where the LAST UPDATE DATE (FBSI BSUM AS OF) equals the file date (TRANSMISSION CREATION DATE).

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
RECORD NUMBER	For multi-line records, the number for the record (legacy). For single-line records, any character identifying the record type. This is the "H" Header record segment	Header	1-1	1	AN PIC X(01)		
HEADER RECORD CLIENT ID	Identifies the client data to follow. This field will typically be the SuperBranch for the given client.	Header	2-4	3	AN PIC X(03)	BBIF DISP SUPEROFFICE	
FILLER	FILLER - Internal use only.	Header	5-21	17	AN PIC X(17)		
FILE ORIGIN	Variable-character alpha string identifying the sender.	Header	22-37	16	AN PIC X(16)	NOT DISPLAYED	FIDELITY SYSTEMS Identifies Fidelity as the sender National Financial Services LLC Identifies National Financial Services LLC as the sender NFSC SYSTEMS Identifies Fidelity as the sender - (Bookkeeping 101-byte Only)
FILLER	FILLER - Internal use only.	Header	38-41	4	AN PIC X(04)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
FILE TITLE	The title of the file. ACCOUNT BALANCE	Header	42-58	17	AN PIC X(17)	NOT DISPLAYED	
FILLER	FILLER - Internal use only.	Header	59-62	4	AN PIC X(04)		
TRANSMISSION CREATION DATE	The FBSI cycle date for the date the file was created.	Header	63-68	6	MMDDYY PIC X(06)	NOT DISPLAYED	
FILLER	FILLER - Internal use only.	Header	69-1500	1432	AN PIC X(1432)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
RECORD NUMBER	For multi-line records, the number for the record (legacy). For single-line records, any character identifying the record type. This is the "D" Detail record segment	Record	1-1	1	AN PIC X(1)		
BRANCH	Unique three-character alphanumeric code used to identify branches for the purposes of reporting and grouping of accounts. A particular branch may be designated as a Super Branch for reporting and calculation purposes. This code is commonly displayed together with the six-digit account number is used to identify a unique brokerage account.	Record	2-4	3	AN PIC X(3)	BSUM BRANCH	
ACCOUNT NUMBER	Non-unique six-digit code used to identify a unique customer account. This code is commonly displayed together with the three-character branch code and is used to identify a unique brokerage account. The same account number can be used with different branches but never with the same branch.	Record	5-10	6	AN PIC X(6)	BSUM ACCT	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
LAST UPDATE DATE	The last date that changes were made to the underlying record.	Record	11-16	6	YYMMDD PIC 9(6)	BSUM AS OF	
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value.	Record	17-17	1	AN PIC X(1)		- Negative 0 Positive
NETWORTH	<p>The total dollar value (total assets - total liabilities) of an account as of the previous business day's close, including the U.S. dollar value of any foreign security positions. This includes the net market value of all long and short positions less any outstanding debit balances and any amount required to cover short option positions that are in-the-money.</p> <p>This value excludes ACCOUNT TYPE "Finance" (Type 6).</p>	Record	18-34	17	N PIC 9(15)V9(02).	BSUM NETWORTH / DEFICIT NETWORTH Wealthscape Balances>Regulatory Account Value	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p> <p>This field is never negative.</p>	Record	35-35	1	AN PIC X(1)		- Negative 0 Positive

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
CASH COLLECTED BALANCE	<p>The amount of money that is available to withdraw from an account without creating a margin deficiency. Debits and credits from prior day trading activity will be reflected in this balance. The value is equal to Running Collected Balance (RCB) minus margin available or CASH COLLECTED BALANCE.</p> <p>Note: If an account is in a margin call, cash available to withdraw will be reduced by the margin call.</p>	Record	36-52	17	N PIC 9(15)V9(02).	<p>BSUM CASH COLL BALANCE</p> <p>Wealthscape Balances>Cash Available to Withdraw</p>	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p> <p>This field is never negative.</p>	Record	53-53	1	AN PIC X(1)		<p>- Negative</p> <p>0 Positive</p>
COLLECTED BALANCE	<p>The dollar amount available to purchase non-marginable securities or to withdraw from the account as a cash loan. Includes both settled trade date balances and balances that have not settled.</p> <p>This field is CASH COLLECTED BALANCE plus cash available to borrow on margin.</p>	Record	54-70	17	N PIC 9(15)V9(02).	<p>BSUM COLLECTED BALANCE</p> <p>Wealthscape Balances>Available to Withdraw Cash & Margin</p>	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value.	Record	71-71	1	AN PIC X(1)		- Negative 0 Positive
NET TRADE DATE BALANCE	The dollar amount in cash (excluding core money market) held in an account or owed by a customer. If owed by the customer, the margin account debit balance. A margin debit balance is the amount borrowed from NFS on margin. Includes balances associated with all pending and settled trades.	Record	72-88	17	N PIC 9(15)V9(02).	BBAL TRADE DATE BAL TOTALS	
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value.	Record	89-89	1	AN PIC X(1)		- Negative 0 Positive
NETWORTH MARKET VALUE	The total dollar market value of an account as of the previous business day's close, including the U.S. dollar value of any foreign security positions. This is NETWORTH minus cash credits, margin debit and legal (Type 4). This is the sum of MARKET VALUE fields beginning at position 562 (except ACCOUNT TYPE = 4)	Record	90-106	17	N PIC 9(15)V9(02).	NOT DISPLAYED Wealthscape Balances>Sum of Securities Market Value (except Legal (4))	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value. This field is never negative.	Record	107-107	1	AN PIC X(1)		- Negative 0 Positive
CASH MONEY MARKETS	The dollar value of all Fidelity and Non-Fidelity money markets funds held in the cash account (Type 1).	Record	108-124	17	N PIC 9(15)V9(02).	NOT DISPLAYED	
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value. This field is empty if there is no option market value.	Record	125-125	1	AN PIC X(1)		- Negative 0 Positive
OPTION MARKET VALUE	The dollar amount net market value of all long and short options positions. This field is empty if there is no option market value. A negative option market value indicates a short option market value. "SHT" appears on BSUM.	Record	126-142	17	N PIC 9(15)V9(02).	BSUM OPTION MKT VALUE Wealthscape Balances>Option Balances>Options Securities Market Value	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value. This field is never negative. This field is empty if there is no option market value.	Record	143-143	1	AN PIC X(1)		- Negative 0 Positive
OPTION IN MONEY AMT	The dollar amount of in-the-money covered option positions. For covered calls (long stock/short call), if the strike price on the option is less than the closing price of the security, then the in-the-money amount is the difference between the strike and closing prices. For covered puts (short stock/short put), if the strike price on the option is greater than the closing price of the security, then the in-the-money amount is the difference between the strike and closing prices. This field is empty if there is no option market value.	Record	144-160	17	N PIC 9(15)V9(02).	BSUM OPTION IN MONEY AMT Wealthscape Balances>Options "In the Money" Amount	
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value.	Record	161-161	1	AN PIC X(1)		- Negative 0 Positive

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
MEMO ADJUSTMENTS	All Positions in Safekeeping in all account types except legal. Not in use at this time.	Record	162-178	17	N PIC 9(15)V9(02).	NOT DISPLAYED	
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value. This field is never negative. This field is empty if there is no option market value.	Record	179-179	1	AN PIC X(1)		- Negative 0 Positive
AVAILABLE TO PURCHASE: MARGIN	The dollar amount that can be used in purchasing fully marginable equities in the margin account without creating a margin call. This field is empty for cash and accounts. For multiple margin accounts, this field is empty unless SuperBranch is added to FBMGD50H. Online reference to BSUM applies only to prior day balance (green characters). This field does not reflect intraday (white character) balance.	Record	180-196	17	N PIC 9(15)V9(02).	BSUM AVAILABLE TO PURCHASE: MARGIN Wealthscape Balances>Available to Trade>Buying Power>Cash & Margin (Margin Eligible)	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p> <p>This field is never negative. This field is empty if there is no option market value.</p>	Record	197-197	1	AN PIC X(1)		<p>- Negative</p> <p>0 Positive</p>
BUYING POWER / CORP BONDS	<p>The amount that can be used in purchasing fully marginable corporate bonds in the margin account without creating a margin call.</p> <p>This field is empty for cash and accounts. For multiple margin accounts, this field is empty unless SuperBranch is added to FBMGD50H.</p>	Record	198-214	17	N PIC 9(15)V9(02).	<p>BSUM FB FIXED INCOME BUYING POWER - CORP BONDS</p> <p>Wealthscape Balances>Additional Buying Power>Corporates</p>	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p> <p>This field is never negative. This field is empty if there is no option market value.</p>	Record	215-215	1	AN PIC X(1)		<p>- Negative</p> <p>0 Positive</p>

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
BUYING POWER / MUNI BONDS	<p>The amount that can be used in purchasing fully marginable muni bonds in the margin account without creating a margin call.</p> <p>This field is empty for cash and accounts. For multiple margin accounts, this field is empty unless SuperBranch is added to FBMGD50H.</p>	Record	216-232	17	N PIC 9(15)V9(02).	<p>BSUM FB FIXED INCOME BUYING POWER - MUNI BONDS</p> <p>Wealthscape Balances>Additional Buying Power>Municipals</p>	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p> <p>This field is never negative. This field is empty if there is no option market value.</p>	Record	233-233	1	AN PIC X(1)		<p>- Negative</p> <p>0 Positive</p>
BUYING POWER / GOVT BONDS	<p>The amount that can be used in purchasing fully marginable government bonds in the margin account without creating a margin call.</p> <p>This field is empty for cash and accounts. For multiple margin accounts, this field is empty unless SuperBranch is added to FBMGD50H.</p>	Record	234-250	17	N PIC 9(15)V9(02).	<p>BSUM FB FIXED INCOME BUYING POWER - GOVT BONDS</p> <p>Wealthscape Balances>Additional Buying Power>Governments</p>	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p> <p>This field is empty if there is no option market value.</p>	Record	251-251	1	AN PIC X(1)		<p>- Negative</p> <p>0 Positive</p>
HOUSE SURPLUS / CALL	<p>The dollar amount of margin account equity minus house security requirement.</p> <p>SURPLUS: Dollar amount by which margin equity exceeds (NFS) house maintenance security requirement. Preceding BALANCE FIELD SIGN is positive (0).</p> <p>CALL: Dollar amount by which margin equity is below (NFS) house maintenance security requirement. Preceding BALANCE FIELD SIGN is negative (-).</p> <p>This field is empty for cash and accounts. For multiple margin accounts, this field is empty unless SuperBranch is added to FBMGD50H.</p>	Record	252-268	17	N PIC 9(15)V9(02).	<p>BSUM/BMGS (NPL) HOUSE SURPLUS / HOUSE CALL</p> <p>Wealthscape Balances>House Surplus/Call</p>	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p> <p>This field is empty if there is no option market value.</p>	Record	269-269	1	AN PIC X(1)		<p>- Negative</p> <p>0 Positive</p>

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
NYSE SURPLUS / CALL	<p>The dollar amount of margin account equity minus NYSE security requirement.</p> <p>SURPLUS: Dollar amount by which margin equity exceeds NYSE minimum maintenance requirement. Preceding BALANCE FIELD SIGN is positive (0).</p> <p>CALL: Dollar amount by which margin equity is below NYSE maintenance requirement. Preceding BALANCE FIELD SIGN is negative (-).</p> <p>This field is empty for cash and accounts. For multiple margin accounts, this field is empty unless SuperBranch is added to FBMGD50H.</p>	Record	270-286	17	N PIC 9(15)V9(02).	<p>BSUM NYSE SURPLUS / NYSE CALL</p> <p>Wealthscape Balances>Exchange Surplus/Call</p>	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p> <p>This field is empty if there is no option market value.</p>	Record	287-287	1	AN PIC X(1)		<p>- Negative</p> <p>0 Positive</p>

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
SMA / FED CALL	<p>SMA represents a memorandum of the dollar amount in excess of the federal margin requirement. Such excess funds may arise from the proceeds of sales, appreciation of market value, dividends, or cash or security deposits to the account. Preceding BALANCE FIELD SIGN is negative (-).</p> <p>FED CALL represent margin or short account transaction debits and are due by Reg T payment date (T+3). Acceptable forms of payment are cash or margin eligible securities with sufficient loan value. Preceding BALANCE FIELD SIGN is positive (0).</p> <p>This field is empty for cash and accounts. For multiple margin accounts, this field is empty unless SuperBranch is added to FBMGD50H.</p>	Record	288-304	17	N PIC 9(15)V9(02).	<p>BSUM SMA / FED CALL</p> <p>Wealthscape Balances>SMA/FedCall</p>	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p> <p>This field is empty if there is no option market value.</p>	Record	305-305	1	AN PIC X(1)		<p>- Negative</p> <p>0 Positive</p>

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
MINIMUM EQUITY CALL	<p>The additional margin equity amount necessary to fulfill the minimum house equity requirement based on the total cash and stock credits processed in an account on a daily basis. This amount does not include credits resulting from market fluctuation and is net of any debits processed in the account. Stock credits are calculated for marginable securities only.</p> <p>The minimum house equity requirement is typically \$5,000.</p> <p>Preceding BALANCE FIELD SIGN is always a negative number or zero.</p>	Record	306-322	17	N PIC 9(15)V9(02).	NOT DISPLAYED	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p> <p>This field is never negative.</p>	Record	323-323	1	AN PIC X(1)		- Negative 0 Positive
TOTAL CORE MONEY MARKETS	The Total Core Money Market Account balance; includes the primary core balance (money market or cash sweep vehicle) and eligible non-core money market balances.	Record	324-340	17	N PIC 9(15)V9(02).	BSUM CORE MONEY MARKET	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value. This field is empty if there is no option market value.	Record	341-341	1	AN PIC X(1)		- Negative 0 Positive
MARGIN EQUITY	The dollar amount of assets held in the margin account (including the short account), which includes any long market value and credit balance less any liabilities including any short market value and debit balances. This field is empty for cash accounts.	Record	342-358	17	N PIC 9(15)V9(02).	BSUM MARGIN EQUITY / DEFICIT MGN EQ Wealthscape Balances>Margin Balances>Margin Equity	
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value. This field is empty if there is no option market value.	Record	359-359	1	AN PIC X(1)		- Negative 0 Positive
MARGIN LIQUIDATING EQUITY	The dollar amount of marginable assets minus non-useable (Type 5) securities market value. This field is empty for cash accounts.	Record	360-376	17	N PIC 9(15)V9(02).	NOT DISPLAYED Wealthscape Balances>Total Securities Market Value – Non-Useable Securities Market Value	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
MARGIN EQUITY PERCENTAGE	<p>The percentage equity in the margin account, calculated by margin equity amount divided by margin market value minus short market value.</p> $= \frac{\text{Margin Equity Amount}}{\text{Margin MV} - \text{Short MV}}$ <p>This field is empty if there is no option market value.</p>	Record	377-381	5	N PIC 9(3)V99	<p>BSUM MARGIN EQUITY / %</p> <p>Wealthscape Balances>Margin Equity %</p>	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p> <p>This field is empty if there is no option market value.</p>	Record	382-382	1	AN PIC X(1)		<p>- Negative</p> <p>0 Positive</p>
FED CALL REDUCTION	<p>The dollar amount by which the FED CALL was reduced in the most recent brokerage cycle. This figure is calculated based on trade and non-trade activity.</p> <p>Preceding BALANCE FIELD SIGN is always a negative number or zero. Not always reliable.</p>	Record	383-399	17	N PIC 9(15)V9(02).	<p>BSUM SMA INCREASE</p> <p>Wealthscape Balances>Margin Credit</p>	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value. This field is empty if there is no option market value.	Record	400-400	1	AN PIC X(1)		- Negative 0 Positive
HOUSE CALL REDUCTION	The dollar amount by which the HOUSE CALL was reduced in the most recent brokerage cycle. This figure is calculated based on trade and non-trade activity. Preceding BALANCE FIELD SIGN is always a negative number or zero.	Record	401-417	17	N PIC 9(15)V9(02).	NOT DISPLAYED	
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value. This field is empty if there is no option market value.	Record	418-418	1	AN PIC X(1)		- Negative 0 Positive
NYSE CALL REDUCTION	The dollar amount by which the NYSE CALL was reduced in the most recent brokerage cycle. This figure is calculated based on trade and non-trade activity. Preceding BALANCE FIELD SIGN is always a negative number or zero.	Record	419-435	17	N PIC 9(15)V9(02).	NOT DISPLAYED	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value.	Record	436-436	1	AN PIC X(1)		- Negative 0 Positive
UNCOLLECTED BALANCE	The total dollar amount that is currently unavailable (e.g. a check that has not cleared).	Record	437-453	17	N PIC 9(15)V9(02).	NOT DISPLAYED	
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value. This field is empty if there is no option market value.	Record	454-454	1	AN PIC X(1)		- Negative 0 Positive
MINIMUM EQUITY CALL REDUCTION	The total cash and stock credits processed in an account on a daily basis that can be applied to the minimum equity call amount. This figure does not include credits resulting from market fluctuation and is net of any debits processed in the account. With regards to stock credits, only marginable securities are included in the calculation. Preceding BALANCE FIELD SIGN is always a negative number or zero.	Record	455-471	17	N PIC 9(15)V9(02).	NOT DISPLAYED	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
TRANSFER LEGEND CODE	One-character numeric code identifying the legend associated with registration of securities certificates. This is administered by the BNAM ADD TRR screen.	Record	472-472	1	AN PIC X(1)	BNAM DISP CUST LEG:	[space] No Legend 1 Joint With Rights Of Survivorship 2 Tenants In Common 3 Custodian 4 Tenants In Entirety 5 Community Property
MARGIN PAPERS SWITCH	One-character alpha code which indicating the status of an account's margin papers. This field is derived from the MARGIN AGREEMENT field in the Name and Address Transmission File. This field's value is Y if the MARGIN AGREEMENT field value is 1.	Record	473-473	1	AN PIC X(1)	NOT DISPLAYED	N Default Y Papers Approved by NFS New Accounts
POSITION SWITCH	One-character alpha code indicating whether a position is held in the account.	Record	474-474	1	AN PIC X(1)	BSUM SCREEN MESSAGE only if no position	N No position exist Y Account has position - other than money market
UNPRICED POSITIONS SWITCH	One-character alpha code indicating whether an unpriced position is held in the account.	Record	475-475	1	AN PIC X(1)	BSUM SCREEN MESSAGE only if unpriced position	B Unpriced positions held in both Cash and Margin C Unpriced positions held in Cash account M Unpriced positions held in Margin account N No unpriced positions held Y Unpriced position held

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
EMPLOYEE ACCOUNT SWITCH	One-character alpha code indicating whether the account is an employee account.	Record	476-476	1	AN PIC X(1)		N Not an employee account Y Employee account
TYPE OF ACCOUNT SWITCH	One-character alpha code indicating the existence of a position held in account Types 2, 3 or 5. This field is not related to the margin paperwork field on BNAM.	Record	477-477	1	AN PIC X(1)	NOT DISPLAYED	C Cash - Default M Margin - Acct type 2, 3, or 5
SHORT POSITION SWITCH	One-character alpha code indicating the existence of short position(s) in the cash (ACCOUNT TYPE 1) account.	Record	478-478	1	AN PIC X(1)	BSUM SCREEN MESSAGE on BHIS if memo record exists	C Short position(s) in cash account N No short position(s) in cash account
LONG POSITION SWITCH	One-character alpha code indicating whether the account holds one or more long position in the short account (Type 3).	Record	479-479	1	AN PIC X(1)	NOT DISPLAYED	N No long positions held in short account Y Long positions held in short account
MEMO ENTRIES SWITCH	One-character alpha code that indicating the existence of memo bookkeeping entries.	Record	480-480	1	AN PIC X(1)	BSUM DISPLAYED AS MESSAGE	B Both Cash and Margin C Cash M Margin - Acct Type 2, 3, or 5 N No Memos Exist

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
DAY TRADES SWITCH	One-character code indicating same-day buy and sell trades in the associated acct types. Cash day trades include trades done in acct Types 1, 4, 7, or 8. Margin day trades include trades done in acct Types 2, 3, or 5.	Record	481-481	1	AN PIC X(1)	NOT DISPLAYED	B Both Cash and Margin C Cash M Margin N No Day Trades Exist
POSSIBLE LIQUIDATIONS SWITCH	One-character alpha code indicating the possibility of liquidations in cash or margin.	Record	482-482	1	AN PIC X(1)	NOT DISPLAYED	B Both Cash and Margin C Cash M Margin N No Possible Liquidations
MINIMUM FEDCALL TRANS SWITCH	One-character alpha code indicating minimum Fed call transactions exist.	Record	483-483	1	AN PIC X(1)	NOT DISPLAYED	N Minimum Fedcall transactions do not exist Y Minimum Fedcall transactions exist

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
ACCOUNT TYPE RECORD COUNT	<p>Three-character numeric with leading zeros indicating the number of account type balance segments.</p> <p>These account type balance segments begin at position 562.</p>	Record	484-486	3	N PIC 9(3)	NOT DISPLAYED	<p>001 One account type in record segment 562-1111.</p> <p>002 Two account types in record segment 562-1111.</p> <p>003 Three account types in record segment 562-1111.</p> <p>004 Four account types in record segment 562-1111.</p> <p>005 Five account types in record segment 562-1111.</p> <p>006 Six account types in record segment 562-1111.</p> <p>007 Seven account types in record segment 562-1111.</p> <p>008 Eight account types in record segment 562-1111.</p> <p>009 Nine account types in record segment 562-1111.</p> <p>010 Ten account types in record segment 562-1111.</p>
SUPER BRANCH	Unique three-character alphanumeric code used to identify a correspondent firm or to aggregate several branches for reporting and calculation purposes.	Record	487-489	3	AN PIC X(3)		
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p> <p>This field is never negative.</p>	Record	490-490	1	AN PIC X(1)		<p>- Negative</p> <p>0 Positive</p>

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
AVAILABLE TO PURCHASE: CASH	<p>The dollar amount that can be used in purchasing securities or other assets in the cash account without creating a trade date debit balance.</p> <p>Online reference to BSUM applies only to prior day balance (green characters). This field does not reflect intraday (white character) balance.</p>	Record	491-507	17	N PIC 9(15)V9(02).	<p>BSUM AVAILABLE TO PURCHASE: CASH</p> <p>Wealthscape Balances>Available to Trade>Buying Power>Cash Only</p>	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p> <p>This field is never negative. This field is empty if there is no option market value.</p>	Record	508-508	1	AN PIC X(1)		<p>- Negative</p> <p>0 Positive</p>
AVAILABLE TO PURCHASE: CASH/MGN	<p>The dollar amount that can be used in purchasing fully marginable securities in the margin account without creating a trade date debit balance in the cash account and a margin call in the margin acct.</p> <p>Online reference to BSUM applies only to prior day balance (green characters). This field does not reflect intraday (white character) balance.</p>	Record	509-525	17	N PIC 9(15)V9(02).	<p>BSUM AVAILABLE TO PURCHASE: CASH / MARGIN</p> <p>Wealthscape Balances>Available to Trade>Buying Power>Cash & Margin (Margin Eligible)</p>	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p> <p>This field is never negative. This field is empty if there is no option market value.</p>	Record	526-526	1	AN PIC X(1)		<p>- Negative</p> <p>0 Positive</p>
AVAILABLE TO PURCHASE: NON-MGN	<p>The dollar amount that can be used in purchasing non-marginable securities or other assets in the cash or margin account without creating a trade date debit balance in the cash account and a margin call in the margin acct.</p> <p>Online reference to BSUM applies only to prior day balance (green characters). This field does not reflect intraday (white character) balance.</p>	Record	527-543	17	N PIC 9(15)V9(02).	<p>BSUM AVAILABLE TO PURCHASE: NON- MGN SECURITY</p> <p>Wealthscape Balances>Available to Trade>Buying Power>Cash & Margin (Non-Margin Eligible)</p>	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p>	Record	544-544	1	AN PIC X(1)		<p>- Negative</p> <p>0 Positive</p>

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
CUSTOMER-FACING NETWORTH	<p>The total dollar value (total assets - total liabilities) of an account as of the previous business day's close, including the U.S. dollar value of any foreign security positions. This includes the net market value of all long and short positions less any outstanding debit balances and any amount required to cover short option positions that are in-the-money.</p> <p>This value includes ACCOUNT TYPE "Finance" (Type 6).</p>	Record	545-561	17	N PIC 9(15)V9(02).	<p>NOT DISPLAYED</p> <p>Wealthscape Balances>Total Account Value</p>	
ACCOUNT TYPE	<p>Sub-account of a brokerage account used to identify separate money and security accounting types.</p> <p>The account type in this field applies to the following MARKET VALUE, TRADE DATE BALANCE and SETTLEMENT DATE BALANCE records.</p>	Record	562-562	1	AN PIC X(1)	BBAL T	<p>0 DVP/RVP Settlement</p> <p>1 Cash</p> <p>2 Margin</p> <p>3 Short</p> <p>4 Legal Hold and Repo</p> <p>5 Restricted Shares Position</p> <p>6 Finance</p> <p>7 Partially Called Bonds</p> <p>8 When Issued</p> <p>9 Dividends/Bond Interest Accumulation</p>
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value.	Record	563-563	1	AN PIC X(1)		<p>- Negative</p> <p>0 Positive</p>

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
MARKET VALUE	<p>The dollar market value of all priced securities in the underlying account type, based on trade date.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	564-580	17	N PIC 9(15)V9(02).	<p>BBAL MARKET VALUE + CORE MONEY MARKET (Type 1)</p> <p>Wealthscape Balances>Cash Securities Market Value+Core Money Market</p>	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p>	Record	581-581	1	AN PIC X(1)	<p>Wealthscape Sign is inverted from FBSI and this field.</p>	<p>- Negative</p> <p>0 Positive</p>
TRADE DATE BALANCE	<p>The trade date dollar balance for the specific account type. A negative trade date balance indicates a credit balance in the underlying account type.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	582-598	17	N PIC 9(15)V9(02).	<p>BBAL/IBAL TRADE DATE BAL</p> <p>Wealthscape Balances>Cash Debit or Cash Credit</p>	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p>	Record	599-599	1	AN PIC X(1)	<p>Wealthscape Sign is inverted from FBSI and this field.</p>	<p>- Negative</p> <p>0 Positive</p>

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
SETTLEMENT DATE BALANCE	<p>The settlement date dollar balance for the specific account type. A negative settlement date balance indicates a credit balance in the underlying account type.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	600-616	17	N PIC 9(15)V9(02).	BBAL/IBAL SETTLE DATE BAL	
ACCOUNT TYPE	<p>Sub-account of a brokerage account used to identify separate money and security accounting types.</p> <p>The account type in this field applies to the following MARKET VALUE, TRADE DATE BALANCE and SETTLEMENT DATE BALANCE records.</p>	Record	617-617	1	AN PIC X(1)	BBAL T	0 DVP/RVP Settlement 1 Cash 2 Margin 3 Short 4 Legal Hold and Repo 5 Restricted Shares Position 6 Finance 7 Partially Called Bonds 8 When Issued 9 Dividends/Bond Interest Accumulation
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value.	Record	618-618	1	AN PIC X(1)		- Negative 0 Positive

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
MARKET VALUE	<p>The dollar market value of all priced securities in the underlying account type, based on trade date.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	619-635	17	N PIC 9(15)V9(02).	<p>BBAL MARKET VALUE</p> <p>Wealthscape Balances>Margin Securities Market Value</p>	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p>	Record	636-636	1	AN PIC X(1)	<p>Wealthscape Sign is inverted from FBSI and this field.</p>	<p>- Negative</p> <p>0 Positive</p>
TRADE DATE BALANCE	<p>The trade date dollar balance for the specific account type. A negative trade date balance indicates a credit balance in the underlying account type.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	637-653	17	N PIC 9(15)V9(02).	<p>BBAL/IBAL TRADE DATE BAL</p> <p>Wealthscape Balances>Margin Debit or Margin Credit</p>	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p>	Record	654-654	1	AN PIC X(1)	<p>Wealthscape Sign is inverted from FBSI and this field.</p>	<p>- Negative</p> <p>0 Positive</p>

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
SETTLEMENT DATE BALANCE	<p>The settlement date dollar balance for the specific account type. A negative settlement date balance indicates a credit balance in the underlying account type.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	655-671	17	N PIC 9(15)V9(02).	BBAL/IBAL SETTLE DATE BAL	
ACCOUNT TYPE	<p>Sub-account of a brokerage account used to identify separate money and security accounting types.</p> <p>The account type in this field applies to the following MARKET VALUE, TRADE DATE BALANCE and SETTLEMENT DATE BALANCE records.</p>	Record	672-672	1	AN PIC X(1)	BBAL T	0 DVP/RVP Settlement 1 Cash 2 Margin 3 Short 4 Legal Hold and Repo 5 Restricted Shares Position 6 Finance 7 Partially Called Bonds 8 When Issued 9 Dividends/Bond Interest Accumulation
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value.	Record	673-673	1	AN PIC X(1)		- Negative 0 Positive

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
MARKET VALUE	<p>The dollar market value of all priced securities in the underlying account type, based on trade date.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	674-690	17	N PIC 9(15)V9(02).	<p>BBAL MARKET VALUE</p> <p>Wealthscape Balances>Short Securities Market Value</p>	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p>	Record	691-691	1	AN PIC X(1)	<p>Wealthscape Sign is inverted from FBSI and this field.</p>	<p>- Negative</p> <p>0 Positive</p>
TRADE DATE BALANCE	<p>The trade date dollar balance for the specific account type. A negative trade date balance indicates a credit balance in the underlying account type.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	692-708	17	N PIC 9(15)V9(02).	<p>BBAL/IBAL TRADE DATE BAL</p> <p>Wealthscape Balances>Short Credit</p>	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p>	Record	709-709	1	AN PIC X(1)	<p>Wealthscape Sign is inverted from FBSI and this field.</p>	<p>- Negative</p> <p>0 Positive</p>

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
SETTLEMENT DATE BALANCE	<p>The settlement date dollar balance for the specific account type. A negative settlement date balance indicates a credit balance in the underlying account type.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	710-726	17	N PIC 9(15)V9(02).	BBAL/IBAL SETTLE DATE BAL	
ACCOUNT TYPE	<p>Sub-account of a brokerage account used to identify separate money and security accounting types.</p> <p>The account type in this field applies to the following MARKET VALUE, TRADE DATE BALANCE and SETTLEMENT DATE BALANCE records.</p>	Record	727-727	1	AN PIC X(1)	BBAL T	0 DVP/RVP Settlement 1 Cash 2 Margin 3 Short 4 Legal Hold and Repo 5 Restricted Shares Position 6 Finance 7 Partially Called Bonds 8 When Issued 9 Dividends/Bond Interest Accumulation
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value.	Record	728-728	1	AN PIC X(1)		- Negative 0 Positive

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
MARKET VALUE	<p>The dollar market value of all priced securities in the underlying account type, based on trade date.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	729-745	17	N PIC 9(15)V9(02).	BBAL MARKET VALUE	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p>	Record	746-746	1	AN PIC X(1)	<p>Wealthscape Sign is inverted from FBSI and this field.</p>	<p>- Negative 0 Positive</p>
TRADE DATE BALANCE	<p>The trade date dollar balance for the specific account type. A negative trade date balance indicates a credit balance in the underlying account type.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	747-763	17	N PIC 9(15)V9(02).	BBAL/IBAL TRADE DATE BAL	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p>	Record	764-764	1	AN PIC X(1)	<p>Wealthscape Sign is inverted from FBSI and this field.</p>	<p>- Negative 0 Positive</p>

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
SETTLEMENT DATE BALANCE	<p>The settlement date dollar balance for the specific account type. A negative settlement date balance indicates a credit balance in the underlying account type.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	765-781	17	N PIC 9(15)V9(02).	BBAL/IBAL SETTLE DATE BAL	
ACCOUNT TYPE	<p>Sub-account of a brokerage account used to identify separate money and security accounting types.</p> <p>The account type in this field applies to the following MARKET VALUE, TRADE DATE BALANCE and SETTLEMENT DATE BALANCE records.</p>	Record	782-782	1	AN PIC X(1)	BBAL T	0 DVP/RVP Settlement 1 Cash 2 Margin 3 Short 4 Legal Hold and Repo 5 Restricted Shares Position 6 Finance 7 Partially Called Bonds 8 When Issued 9 Dividends/Bond Interest Accumulation
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value.	Record	783-783	1	AN PIC X(1)		- Negative 0 Positive

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
MARKET VALUE	<p>The dollar market value of all priced securities in the underlying account type, based on trade date.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	784-800	17	N PIC 9(15)V9(02).	BBAL MARKET VALUE	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p>	Record	801-801	1	AN PIC X(1)	<p>Wealthscape Sign is inverted from FBSI and this field.</p>	<p>- Negative 0 Positive</p>
TRADE DATE BALANCE	<p>The trade date dollar balance for the specific account type. A negative trade date balance indicates a credit balance in the underlying account type.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	802-818	17	N PIC 9(15)V9(02).	BBAL/IBAL TRADE DATE BAL	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p>	Record	819-819	1	AN PIC X(1)	<p>Wealthscape Sign is inverted from FBSI and this field.</p>	<p>- Negative 0 Positive</p>

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
SETTLEMENT DATE BALANCE	<p>The settlement date dollar balance for the specific account type. A negative settlement date balance indicates a credit balance in the underlying account type.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	820-836	17	N PIC 9(15)V9(02).	BBAL/IBAL SETTLE DATE BAL	
ACCOUNT TYPE	<p>Sub-account of a brokerage account used to identify separate money and security accounting types.</p> <p>The account type in this field applies to the following MARKET VALUE, TRADE DATE BALANCE and SETTLEMENT DATE BALANCE records.</p>	Record	837-837	1	AN PIC X(1)	BBAL T	0 DVP/RVP Settlement 1 Cash 2 Margin 3 Short 4 Legal Hold and Repo 5 Restricted Shares Position 6 Finance 7 Partially Called Bonds 8 When Issued 9 Dividends/Bond Interest Accumulation
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value.	Record	838-838	1	AN PIC X(1)		- Negative 0 Positive

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
MARKET VALUE	<p>The dollar market value of all priced securities in the underlying account type, based on trade date.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	839-855	17	N PIC 9(15)V9(02).	BBAL MARKET VALUE	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p>	Record	856-856	1	AN PIC X(1)	<p>Wealthscape Sign is inverted from FBSI and this field.</p>	<p>- Negative 0 Positive</p>
TRADE DATE BALANCE	<p>The trade date dollar balance for the specific account type. A negative trade date balance indicates a credit balance in the underlying account type.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	857-873	17	N PIC 9(15)V9(02).	BBAL/IBAL TRADE DATE BAL	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p>	Record	874-874	1	AN PIC X(1)	<p>Wealthscape Sign is inverted from FBSI and this field.</p>	<p>- Negative 0 Positive</p>

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
SETTLEMENT DATE BALANCE	<p>The settlement date dollar balance for the specific account type. A negative settlement date balance indicates a credit balance in the underlying account type.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	875-891	17	N PIC 9(15)V9(02).	BBAL/IBAL SETTLE DATE BAL	
ACCOUNT TYPE	<p>Sub-account of a brokerage account used to identify separate money and security accounting types.</p> <p>The account type in this field applies to the following MARKET VALUE, TRADE DATE BALANCE and SETTLEMENT DATE BALANCE records.</p>	Record	892-892	1	AN PIC X(1)	BBAL T	0 DVP/RVP Settlement 1 Cash 2 Margin 3 Short 4 Legal Hold and Repo 5 Restricted Shares Position 6 Finance 7 Partially Called Bonds 8 When Issued 9 Dividends/Bond Interest Accumulation
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value.	Record	893-893	1	AN PIC X(1)		- Negative 0 Positive

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
MARKET VALUE	<p>The dollar market value of all priced securities in the underlying account type, based on trade date.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	894-910	17	N PIC 9(15)V9(02).	BBAL MARKET VALUE	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p>	Record	911-911	1	AN PIC X(1)	<p>Wealthscape Sign is inverted from FBSI and this field.</p>	<p>- Negative 0 Positive</p>
TRADE DATE BALANCE	<p>The trade date dollar balance for the specific account type. A negative trade date balance indicates a credit balance in the underlying account type.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	912-928	17	N PIC 9(15)V9(02).	BBAL/IBAL TRADE DATE BAL	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p>	Record	929-929	1	AN PIC X(1)	<p>Wealthscape Sign is inverted from FBSI and this field.</p>	<p>- Negative 0 Positive</p>

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
SETTLEMENT DATE BALANCE	<p>The settlement date dollar balance for the specific account type. A negative settlement date balance indicates a credit balance in the underlying account type.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	930-946	17	N PIC 9(15)V9(02).	BBAL/IBAL SETTLE DATE BAL	
ACCOUNT TYPE	<p>Sub-account of a brokerage account used to identify separate money and security accounting types.</p> <p>The account type in this field applies to the following MARKET VALUE, TRADE DATE BALANCE and SETTLEMENT DATE BALANCE records.</p>	Record	947-947	1	AN PIC X(1)	BBAL T	0 DVP/RVP Settlement 1 Cash 2 Margin 3 Short 4 Legal Hold and Repo 5 Restricted Shares Position 6 Finance 7 Partially Called Bonds 8 When Issued 9 Dividends/Bond Interest Accumulation
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value.	Record	948-948	1	AN PIC X(1)		- Negative 0 Positive

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
MARKET VALUE	<p>The dollar market value of all priced securities in the underlying account type, based on trade date.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	949-965	17	N PIC 9(15)V9(02).	BBAL MARKET VALUE	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p>	Record	966-966	1	AN PIC X(1)	<p>Wealthscape Sign is inverted from FBSI and this field.</p>	<p>- Negative 0 Positive</p>
TRADE DATE BALANCE	<p>The trade date dollar balance for the specific account type. A negative trade date balance indicates a credit balance in the underlying account type.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	967-983	17	N PIC 9(15)V9(02).	BBAL/IBAL TRADE DATE BAL	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p>	Record	984-984	1	AN PIC X(1)	<p>Wealthscape Sign is inverted from FBSI and this field.</p>	<p>- Negative 0 Positive</p>

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
SETTLEMENT DATE BALANCE	<p>The settlement date dollar balance for the specific account type. A negative settlement date balance indicates a credit balance in the underlying account type.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	985-1001	17	N PIC 9(15)V9(02).	BBAL/IBAL SETTLE DATE BAL	
ACCOUNT TYPE	<p>Sub-account of a brokerage account used to identify separate money and security accounting types.</p> <p>The account type in this field applies to the following MARKET VALUE, TRADE DATE BALANCE and SETTLEMENT DATE BALANCE records.</p>	Record	1002- 1002	1	AN PIC X(1)	BBAL T	0 DVP/RVP Settlement 1 Cash 2 Margin 3 Short 4 Legal Hold and Repo 5 Restricted Shares Position 6 Finance 7 Partially Called Bonds 8 When Issued 9 Dividends/Bond Interest Accumulation
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value.	Record	1003- 1003	1	AN PIC X(1)		- Negative 0 Positive

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
MARKET VALUE	<p>The dollar market value of all priced securities in the underlying account type, based on trade date.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	1004-1020	17	N PIC 9(15)V9(02).	BBAL MARKET VALUE	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p>	Record	1021-1021	1	AN PIC X(1)	<p>Wealthscape Sign is inverted from FBSI and this field.</p>	<p>- Negative 0 Positive</p>
TRADE DATE BALANCE	<p>The trade date dollar balance for the specific account type. A negative trade date balance indicates a credit balance in the underlying account type.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	1022-1038	17	N PIC 9(15)V9(02).	BBAL/IBAL TRADE DATE BAL	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p>	Record	1039-1039	1	AN PIC X(1)	<p>Wealthscape Sign is inverted from FBSI and this field.</p>	<p>- Negative 0 Positive</p>

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngth	Format	On-Line Reference	Possible Values
SETTLEMENT DATE BALANCE	<p>The settlement date dollar balance for the specific account type. A negative settlement date balance indicates a credit balance in the underlying account type.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	1040- 1056	17	N PIC 9(15)V9(02).	BBAL/IBAL SETTLE DATE BAL	
ACCOUNT TYPE	<p>Sub-account of a brokerage account used to identify separate money and security accounting types.</p> <p>The account type in this field applies to the following MARKET VALUE, TRADE DATE BALANCE and SETTLEMENT DATE BALANCE records.</p>	Record	1057- 1057	1	AN PIC X(1)	BBAL T	0 DVP/RVP Settlement 1 Cash 2 Margin 3 Short 4 Legal Hold and Repo 5 Restricted Shares Position 6 Finance 7 Partially Called Bonds 8 When Issued 9 Dividends/Bond Interest Accumulation
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value.	Record	1058- 1058	1	AN PIC X(1)		- Negative 0 Positive

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
MARKET VALUE	<p>The dollar market value of all priced securities in the underlying account type, based on trade date.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	1059-1075	17	N PIC 9(15)V9(02).	BBAL MARKET VALUE	
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value.	Record	1076-1076	1	AN PIC X(1)	<p>Wealthscape Sign is inverted from FBSI and this field.</p>	<p>- Negative 0 Positive</p>
TRADE DATE BALANCE	<p>The trade date dollar balance for the specific account type. A negative trade date balance indicates a credit balance in the underlying account type.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	1077-1093	17	N PIC 9(15)V9(02).	BBAL/IBAL TRADE DATE BAL	
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value.	Record	1094-1094	1	AN PIC X(1)	<p>Wealthscape Sign is inverted from FBSI and this field.</p>	<p>- Negative 0 Positive</p>

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
SETTLEMENT DATE BALANCE	<p>The settlement date dollar balance for the specific account type. A negative settlement date balance indicates a credit balance in the underlying account type.</p> <p>This field contains values for the account type shown in the preceding ACCOUNT TYPE record.</p>	Record	1095- 1111	17	N PIC 9(15)V9(02).	BBAL/IBAL SETTLE DATE BAL	
PORTFOLIO MARGIN INDICATOR	One-character alpha code indicating whether account is enabled for the Portfolio Margin program.	Record	1112- 1112	1	AN PIC X(1)	BNAM DISP MANT SPC MARGIN:	<p>N Not a Portfolio Margin account</p> <p>P Portfolio Margin account</p>
MASTER SECURITY LENDING AGREEMENT ("MSLA") INDICATOR	One-character alpha code indicating whether account is approved for securities lending with the Master Security Lending Agreement.	Record	1113- 1113	1	AN PIC X(1)	BNAM DISP MANT MSLA:	<p>N Not approved for securities lending</p> <p>P Pending approval for securities lending</p> <p>Y Approved for securities lending</p>
RELATIONSHIP TYPE CODE	One-character alpha code indicating the margin relationship type for the account.	Record	1114- 1114	1	AN PIC X(1)		<p>C CC80 institutional accounts (Class Code 80)</p> <p>M Multiple margin relationship (same beneficial owner)</p> <p>X Cross guarantee relationship</p>

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
MULTI CURRENCY ACCOUNT INDICATOR	One-character code indicating multi-currency account. Valid values are Y - Yes and N - No	Record	1115- 1115	1	AN PIC X(1)	BNAM DISP MANT INTL TRDG:	[space] Not multi-currency account Y Multi-currency account
TRUST ACCOUNTING	One-character alpha code indicating whether account has a trust relationship and uses trust accounting.	Record	1116- 1116	1	AN PIC X(1)		[space] No trust relationship N No trust accounting Y Trust accounting
NON-PURPOSE LOAN INDICATOR	One-character alpha code indicating the account is a Non- Purpose Loan account.	Record	1117- 1117	1	AN PIC X(1)		N Not a Non-Purpose Loan account Y Non-Purpose Loan account
NET CASH SETTLEMENT INDICATOR	One-character alpha code indicating the account is a Net Cash Settlement account.	Record	1118- 1118	1	AN PIC X(1)		N Not a Net Cash Settlement account Y Net Cash Settlement account
WHEN-ISSUED INDICATOR	One-character alpha code indicating whether the security authorized but not yet issued. Account contains an ACCOUNT TYPE = 8 when- issued securities position.	Record	1119- 1119	1	AN PIC X(1)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
ACCOUNT TYPE 5 INDICATOR	One-character alpha code indicating the account contains an ACCOUNT TYPE = 5 restricted securities position.	Record	1120- 1120	1	AN PIC X(1)		
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value. This field is empty for cash and multiple margin accounts.	Record	1121- 1121	1	AN PIC X(1)		- Negative 0 Positive
AVAILABLE TO BORROW	The dollar amount which can be loaned without causing a call in the account. This field is empty for cash and multiple margin accounts.	Record	1122- 1138	17	N PIC 9(15)V9(02).		
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value. This field is never negative.	Record	1139- 1139	1	AN PIC X(1)		- Negative 0 Positive

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
CASH AVAILABLE TO WITHDRAW	<p>The amount of money that is available to withdraw from an account without creating a margin deficiency. Debits and credits from prior day trading activity will be reflected in this balance. The value is equal to Running Collected Balance (RCB) minus margin available or CASH COLLECTED BALANCE.</p> <p>Note: If an account is in a margin call, cash available to withdraw will be reduced by the margin call.</p>	Record	1140- 1156	17	N PIC 9(15)V9(02).	<p>BSUM CASH COLL BALANCE</p> <p>Wealthscape Balances>Cash Available to Withdraw</p>	
BALANCE FIELD SIGN	<p>Indicates the sign for the following numeric value. The sign always precedes the value.</p> <p>This field is never negative.</p>	Record	1157- 1157	1	AN PIC X(1)		<p>- Negative</p> <p>0 Positive</p>
SETTLED CASH	<p>The amount of settled cash (cash in a final state) in the account, or the amount of securities you can buy and sell in a cash account without creating a good faith violation.</p> <p>Note: This value is not just the net of type-1 settled cash balances and includes non-core money markets.</p>	Record	1158- 1174	17	N PIC 9(15)V9(02).	<p>Wealthscape Balances>Available to Withdraw>Settled Cash</p>	

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value. This field is never negative.	Record	1175- 1175	1	AN PIC X(1)		- Negative 0 Positive
UNSETTLED CASH CREDIT	The dollar amount of unsettled cash (cash in an interim state) in the brokerage account that will be credited to the core account when the trades settle.	Record	1176- 1192	17	N PIC 9(15)V9(02).	Wealthscape Balances>Available to Withdraw>Unsettled Cash Credit	
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value.	Record	1193- 1193	1	AN PIC X(1)		- Negative 0 Positive
UNSETTLED CASH DEBIT	The dollar amount of unsettled cash (cash in an interim state) debit in the brokerage account that will be debited from the core account when the trades settle.	Record	1194- 1210	17	N PIC 9(15)V9(02).	Wealthscape Balances>Available to Withdraw>Unsettled Cash Debit	
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value.	Record	1211- 1211	1	AN PIC X(1)		- Negative 0 Positive

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
AVAILABLE TO PAY	The dollar amount which can be paid without causing a debit balance in the account.	Record	1212-1228	17	N PIC 9(15)V9(02).		
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value.	Record	1229-1229	1	AN PIC X(01)		- Negative 0 Positive
CORE SWEEP/FUND AMOUNT	The cash credit balance held in the core account investment vehicle as of the close of the previous business day. This balance is held until the client either invests or withdraws it. As transactions settle, this amount is debited or credited.	Record	1230-1246	17	N PIC 9(15)V9(02)	BSUM PRIMARY CORE Wealthscape Balances>Total Account Value>Core Sweep/Fund	
BALANCE FIELD SIGN	Indicates the sign for the following numeric value. The sign always precedes the value.	Record	1247-1247	1	AN PIC X(01)		- Negative 0 Positive

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Length	Format	On-Line Reference	Possible Values
NON CORE MONEY MARKET AMOUNT	The cash credit balance of any eligible, stable NAV, Fidelity money market fund held as a non-core investment position as of the close of the previous business day. This balance is held until the client either invests or withdraws it. As transactions settle, this amount is debited or credited. The Non-Core Money Market, if applicable, would also capture any drain or overflow.	Record	1248- 1264	17	N PIC 9(15)V9(02)	BAAR NONCORE MNY MKT BAL Wealthscape Balances>Total Account Value>Non- Core Money Market	
FILLER	FILLER - Internal use only.	Record	1265- 1500	236	AN PIC X(236)		

Field Name	Business Definition / Processing Guidelines	Rec #	Pos	Lngh	Format	On-Line Reference	Possible Values
RECORD NUMBER	For multi-line records, the number for the record (legacy). For single-line records, any character identifying the record type. This is the "T" Trailer record segment	Trailer	1-1	1	AN PIC X(1)		
FILLER	FILLER - Internal use only.	Trailer	2-21	20	AN PIC X(20)		
TOTAL RECORDS	Indicates the total header (H), detail (D) and trailer (T) records contained in the file.	Trailer	22-36	15	N PIC 9(15)	NOT DISPLAYED	
FILLER	FILLER - Internal use only.	Trailer	37-40	4	AN PIC X(4)		
TOTAL ACCOUNTS	The total number of accounts being transmitted.	Trailer	41-55	15	N PIC 9(15)	NOT DISPLAYED	
FILLER	FILLER - Internal use only.	Trailer	56-1500	1445	AN PIC X(1445)		