

## Global Controls

Uma M

20.0%	Control Name	Asset(s)	Answer
100%	<b>Acceptable Use Policy</b>		
	Backup Media / sample Backup label	TestingAsset	Yes
	Desktop or Laptop	TestingAsset	Yes
	Disk Array	TestingAsset	Yes
	Laptop	TestingAsset	Yes
100%	<b>Access Logging</b>		
	Desktop or Laptop	TestingAsset	Yes
	Disk Array	TestingAsset	Yes
	Laptop	TestingAsset	Yes
100%	<b>Accounts Lock After Too Many Failed Logins</b>		
	Desktop or Laptop	TestingAsset	Yes
	Disk Array	TestingAsset	In_progress
	Laptop	TestingAsset	In_progress
100%	<b>Anti-Malware Software</b>		
	Desktop or Laptop	TestingAsset	No
	Disk Array	TestingAsset	No
	Laptop	TestingAsset	No
100%	<b>Anti-virus Policy and Procedures</b>		
	Desktop or Laptop	TestingAsset	Na
	Disk Array	TestingAsset	Na
	Laptop	TestingAsset	Na
100%	<b>Application Code Review</b>		

20.0%	Control Name	Asset(s)	Answer
	Desktop or Laptop	TestingAsset	In_progress
	Disk Array	TestingAsset	In_progress
	Laptop	TestingAsset	In_progress
100%	<b>Application or Data Partitioning</b>		
	Desktop or Laptop	TestingAsset	No
	Disk Array	TestingAsset	No
	Laptop	TestingAsset	No
100%	<b>Application Penetration and Vulnerability Testing</b>		
	Desktop or Laptop	TestingAsset	Yes
	Disk Array	TestingAsset	Yes
	Laptop	TestingAsset	Yes
100%	<b>Authentication of Network Sessions (as Distinct From Users)</b>		
	Desktop or Laptop	TestingAsset	In_progress
	Disk Array	TestingAsset	In_progress
	Laptop	TestingAsset	In_progress
100%	<b>Auto Logoff or Auto Screen Locking</b>		
	Desktop or Laptop	TestingAsset	No
	Disk Array	TestingAsset	No
	Laptop	TestingAsset	No
100%	<b>Backup Media Handling Policy and Procedures</b>		
	Backup Media / sample Backup label	TestingAsset	Yes
100%	<b>Capacity Planning</b>		
	Desktop or Laptop	TestingAsset	In_progress
	Disk Array	TestingAsset	In_progress

20.0%	Control Name	Asset(s)	Answer
	Laptop	TestingAsset	In_progress
100%	<b>Central Monitoring of Endpoint Protection Software</b>		
	Desktop or Laptop	TestingAsset	No
	Disk Array	TestingAsset	No
	Laptop	TestingAsset	No
100%	<b>Change Control Processes</b>		
	Desktop or Laptop	TestingAsset	No
	Disk Array	TestingAsset	No
	Laptop	TestingAsset	No
	Pager	Pager Media	No
100%	<b>Contractual Agreements</b>		
	Contractors / Consultants	TestingAsset	No
100%	<b>Controlled Access to Areas with Mobile Devices</b>		
	Desktop or Laptop	TestingAsset	No
	Laptop	TestingAsset	No
100%	<b>Controls Around User-installed Software</b>		
	Desktop or Laptop	TestingAsset	No
	Laptop	TestingAsset	No
100%	<b>Data Backup</b>		
	Desktop or Laptop	TestingAsset	No
	Disk Array	TestingAsset	No
	Laptop	TestingAsset	No
0%	<b>Data Input Validation</b>		
	Contractors / Consultants	TestingAsset	
	Desktop or Laptop	TestingAsset	

20.0%	Control Name	Asset(s)	Answer
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Data Loss Prevention Tools</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Device Handling Policy and Procedures</b>		
	Laptop	TestingAsset	
	Pager	Pager Media	
	USB key or flash drive	TestingAsset	
17%	<b>Device Re-use and Disposal Policy and Procedures</b>		
	Backup Media / sample Backup label	TestingAsset	Yes
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
	Pager	Pager Media	
	USB key or flash drive	TestingAsset	
0%	<b>Device Testing and Validation Policy and Procedures</b>		
	Pager	Pager Media	
	USB key or flash drive	TestingAsset	
0%	<b>Distributed Processing or Storage</b>		
	Disk Array	TestingAsset	
0%	<b>Documented Security Roles in the System Development Life Cycle</b>		
	Desktop or Laptop	TestingAsset	

20.0%	Control Name	Asset(s)	Answer
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Documented System Development Life Cycle</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Domain/Device Authentication</b>		
	Desktop or Laptop	TestingAsset	
	Laptop	TestingAsset	
	Pager	Pager Media	
	USB key or flash drive	TestingAsset	
0%	<b>DR/BC Plans</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Employee Supervision</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
100%	<b>Encryption of Backup Media</b>		
	Backup Media / sample Backup label	TestingAsset	No
0%	<b>Encryption of Device</b>		
	Laptop	TestingAsset	
	Pager	Pager Media	
	USB key or flash drive	TestingAsset	

20.0%	Control Name	Asset(s)	Answer
0%	<b>Encryption of Disks (Full Disk, File Based, etc.)</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Encryption of Network Traffic</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Endpoint Firewalls Enabled</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Fake Data to Attract Misuse (Honeypot Records)</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Fire Detection/Suppression Systems</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
	USB key or flash drive	TestingAsset	
0%	<b>Identification and Authentication Policy and Procedures</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	

20.0%	Control Name	Asset(s)	Answer
0%	Laptop <b>Identified Security Roles</b>	TestingAsset	
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Information Access Control Policy and Procedures</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Information Disclosure Procedures</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Internal IT Audit Program</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
17%	<b>Inventory Control Process</b>		
	Backup Media / sample Backup label	TestingAsset	In_progress
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
	Pager	Pager Media	
	USB key or flash drive	TestingAsset	
0%	<b>Lights-out/Hands-off Management</b>		

20.0%	Control Name	Asset(s)	Answer
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Limited Access to Network Cabling and Devices</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Limited Access to Output Devices (Printers, etc.)</b>		
	Desktop or Laptop	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Limited User Accessibility (By Time of Day, By Location, etc.)</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Locally-stored Backups of Third-party Hosted Data</b>		
	Contractors / Consultants	TestingAsset	
0%	<b>Locked Down External Ports (USB, CD, DVD, Firewire, etc.)</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Log Aggregation and Analysis</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	



20.0%	Control Name	Asset(s)	Answer
	Laptop	TestingAsset	
100%	<b>Media/Device Reuse and Disposal Policy and Procedures</b>		
	Backup Media / sample Backup label	TestingAsset	In_progress
0%	<b>Multi-factor Authentication</b>		
	Desktop or Laptop	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Network and System Penetration and Vulnerability Testing</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Network Disconnect of Idle Connections</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Network Firewalls</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Network Segmentation</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Network Traffic Throttling</b>		

20.0%	Control Name	Asset(s)	Answer
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
100%	<b>Offsite Backup Media Storage</b>		
	Backup Media / sample Backup label	TestingAsset	In_progress
0%	<b>On-call Technical Resources</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>On-site Generator</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>OS/Application Patching Policy and Procedures</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Password Strength Requirements</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Password/Token Management Policy and Procedures</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	

20.0%	Control Name	Asset(s)	Answer
<b>100%</b>	<b>Physical Access Authorization</b>		
	Backup Media / sample Backup label	TestingAsset	Yes
	Desktop or Laptop	TestingAsset	Yes
	Disk Array	TestingAsset	Yes
	Laptop	TestingAsset	Yes
<b>25%</b>	<b>Physical Access Control</b>		
	Backup Media / sample Backup label	TestingAsset	No
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
<b>25%</b>	<b>Physical Access Monitoring</b>		
	Backup Media / sample Backup label	TestingAsset	Yes
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
<b>0%</b>	<b>Physical Security Policy and Procedures</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
<b>0%</b>	<b>Physically Hardened Or Ruggedized Systems</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
<b>0%</b>	<b>Physically Secured Demarcation Points</b>		
	Desktop or Laptop	TestingAsset	

20.0%	Control Name	Asset(s)	Answer
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Physically Securing Devices or Systems When Not in Use</b>		
	Desktop or Laptop	TestingAsset	
	Laptop	TestingAsset	
	Pager	Pager Media	
	USB key or flash drive	TestingAsset	
0%	<b>Prevention of Simultaneous User Logins</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Prevention of User Storing Data Locally (Terminals, VDI, etc.)</b>		
	Contractors / Consultants	TestingAsset	
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Principle of Least Privilege</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Process Documentation</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	

20.0%	Control Name	Asset(s)	Answer
	Laptop	TestingAsset	
0%	<b>Prompt Account Termination</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Record View Logging</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Redundant HVAC Equipment</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Redundant Internet Connections</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Redundant or Spare Equipment</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
	Pager	Pager Media	
	USB key or flash drive	TestingAsset	
0%	<b>Redundant Service Providers</b>		
	Contractors / Consultants	TestingAsset	

20.0%	Control Name	Asset(s)	Answer
0%	<b>Redundant Telecommunications Providers</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Remote Access Controls</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Remote Administrative Access</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Remote Wipe/Remote Lock</b>		
	Laptop	TestingAsset	
	Pager	Pager Media	
	USB key or flash drive	TestingAsset	
0%	<b>Restrictions on Media Use</b>		
	Desktop or Laptop	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Review of Service Providers</b>		
	Contractors / Consultants	TestingAsset	
0%	<b>Role-based Access Control</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	

20.0%	Control Name	Asset(s)	Answer
0%	<b>Sanitize Device/Disks/Media</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Secure Software Development Processes</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Secure Software Development Training and Awareness</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
100%	<b>Secure Storage of Backup Media When Not in Use</b>		
	Backup Media / sample Backup label	TestingAsset	No
0%	<b>Security During Systems Acquisition</b>		
	Contractors / Consultants	TestingAsset	
0%	<b>Security Standards for Software Development</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Security/Privacy Awareness and Training</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Segregation of Duties</b>		

20.0%	Control Name	Asset(s)	Answer
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Service-level Agreements</b>		
	Contractors / Consultants	TestingAsset	
0%	<b>Single Sign-on</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Snooping Detective Software</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Social Engineering Testing</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Standardized System Configurations</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Surge Protectors</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	



20.0%	Control Name	Asset(s)	Answer
50%	<b>Tamper-proof Mechanisms</b>		
	Backup Media / sample Backup label	TestingAsset	Yes
	Disk Array	TestingAsset	
100%	<b>TestControls</b>		
	Backup Media / sample Backup label	TestingAsset	Yes
0%	<b>Testing of Password Strengths</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
100%	<b>Tracking of Backup Media</b>		
	Backup Media / sample Backup label	TestingAsset	Yes
0%	<b>Tracking of Device</b>		
	Laptop	TestingAsset	
	Pager	Pager Media	
	USB key or flash drive	TestingAsset	
0%	<b>Training for the Security Workforce</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Two-man Rule</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Uninterruptible Power Supply (UPS)</b>		
	Desktop or Laptop	TestingAsset	

20.0%	Control Name	Asset(s)	Answer
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>User Account Management</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>User Activity Review</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>User Permissions Reviews</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
25%	<b>Visitor Access Control</b>		
	Backup Media / sample Backup label	TestingAsset	In_progress
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Wireless Access Restrictions</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Wireless Encryption</b>		
	Desktop or Laptop	TestingAsset	

20.0%	Control Name	Asset(s)	Answer
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
	<b>Wireless Link Protection</b>		
0%	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	<b>Wireless Security Policy and Procedures</b>		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	