

Global Controls

Uma M

13.9%	Control Name	Asset(s)	Answer
100%	Acceptable Use Policy		
	Backup Media / sample Backup label	TestingAsset	Yes
	Desktop or Laptop	TestingAsset	Yes
	Disk Array	TestingAsset	Yes
	Laptop	TestingAsset	Yes
100%	Access Logging		
	Desktop or Laptop	TestingAsset	Yes
	Disk Array	TestingAsset	Yes
	Laptop	TestingAsset	Yes
100%	Accounts Lock After Too Many Failed Logins		
	Desktop or Laptop	TestingAsset	Yes
	Disk Array	TestingAsset	In_progress
	Laptop	TestingAsset	In_progress
100%	Anti-Malware Software		
	Desktop or Laptop	TestingAsset	No
	Disk Array	TestingAsset	No
	Laptop	TestingAsset	No
100%	Anti-virus Policy and Procedures		
	Desktop or Laptop	TestingAsset	Na
	Disk Array	TestingAsset	Na
	Laptop	TestingAsset	Na
100%	Application Code Review		

13.9%	Control Name	Asset(s)	Answer
	Desktop or Laptop	TestingAsset	In_progress
	Disk Array	TestingAsset	In_progress
	Laptop	TestingAsset	In_progress
100%	Application or Data Partitioning		
	Desktop or Laptop	TestingAsset	No
	Disk Array	TestingAsset	No
	Laptop	TestingAsset	No
100%	Application Penetration and Vulnerability Testing		
	Desktop or Laptop	TestingAsset	Yes
	Disk Array	TestingAsset	Yes
	Laptop	TestingAsset	Yes
100%	Authentication of Network Sessions (as Distinct From Users)		
	Desktop or Laptop	TestingAsset	In_progress
	Disk Array	TestingAsset	In_progress
	Laptop	TestingAsset	In_progress
100%	Auto Logoff or Auto Screen Locking		
	Desktop or Laptop	TestingAsset	No
	Disk Array	TestingAsset	No
	Laptop	TestingAsset	No
100%	Backup Media Handling Policy and Procedures		
	Backup Media / sample Backup label	TestingAsset	Yes
0%	Capacity Planning		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	

13.9%	Control Name	Asset(s)	Answer
	Laptop	TestingAsset	
0%	Central Monitoring of Endpoint Protection Software		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Change Control Processes		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
	Pager	Pager Media, TestingAsset	
0%	Contractual Agreements		
	Contractors / Consultants	TestingAsset	
0%	Controlled Access to Areas with Mobile Devices		
	Desktop or Laptop	TestingAsset	
	Laptop	TestingAsset	
0%	Controls Around User-installed Software		
	Desktop or Laptop	TestingAsset	
	Laptop	TestingAsset	
0%	Data Backup		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Data Input Validation		
	Contractors / Consultants	TestingAsset	
	Desktop or Laptop	TestingAsset	

13.9%	Control Name	Asset(s)	Answer
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Data Loss Prevention Tools		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Device Handling Policy and Procedures		
	Laptop	TestingAsset	
	Pager	Pager Media, TestingAsset	
	USB key or flash drive	TestingAsset	
17%	Device Re-use and Disposal Policy and Procedures		
	Backup Media / sample Backup label	TestingAsset	In_progress
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
	Pager	Pager Media, TestingAsset	
	USB key or flash drive	TestingAsset	
0%	Device Testing and Validation Policy and Procedures		
	Pager	Pager Media, TestingAsset	
	USB key or flash drive	TestingAsset	
0%	Distributed Processing or Storage		
	Disk Array	TestingAsset	
0%	Documented Security Roles in the System Development Life Cycle		
	Desktop or Laptop	TestingAsset	

13.9%	Control Name	Asset(s)	Answer
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Documented System Development Life Cycle		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Domain/Device Authentication		
	Desktop or Laptop	TestingAsset	
	Laptop	TestingAsset	
	Pager	Pager Media, TestingAsset	
	USB key or flash drive	TestingAsset	
0%	DR/BC Plans		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Employee Supervision		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
100%	Encryption of Backup Media		
	Backup Media / sample Backup label	TestingAsset	In_progress
0%	Encryption of Device		
	Laptop	TestingAsset	
	Pager	Pager Media, TestingAsset	
	USB key or flash drive	TestingAsset	

13.9%	Control Name	Asset(s)	Answer
0%	Encryption of Disks (Full Disk, File Based, etc.)		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Encryption of Network Traffic		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Endpoint Firewalls Enabled		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Fake Data to Attract Misuse (Honeypot Records)		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Fire Detection/Suppression Systems		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
	USB key or flash drive	TestingAsset	
0%	Identification and Authentication Policy and Procedures		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	

13.9%	Control Name	Asset(s)	Answer
	Laptop	TestingAsset	
0%	Identified Security Roles		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Information Access Control Policy and Procedures		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Information Disclosure Procedures		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Internal IT Audit Program		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
17%	Inventory Control Process		
	Backup Media / sample Backup label	TestingAsset	In_progress
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
	Pager	Pager Media, TestingAsset	
	USB key or flash drive	TestingAsset	
0%	Lights-out/Hands-off Management		

13.9%	Control Name	Asset(s)	Answer
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Limited Access to Network Cabling and Devices		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Limited Access to Output Devices (Printers, etc.)		
	Desktop or Laptop	TestingAsset	
	Laptop	TestingAsset	
0%	Limited User Accessibility (By Time of Day, By Location, etc.)		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Locally-stored Backups of Third-party Hosted Data		
	Contractors / Consultants	TestingAsset	
0%	Locked Down External Ports (USB, CD, DVD, Firewire, etc.)		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Log Aggregation and Analysis		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	

13.9%	Control Name	Asset(s)	Answer
	Laptop	TestingAsset	
100%	Media/Device Reuse and Disposal Policy and Procedures		
	Backup Media / sample Backup label	TestingAsset	Yes
0%	Multi-factor Authentication		
	Desktop or Laptop	TestingAsset	
	Laptop	TestingAsset	
0%	Network and System Penetration and Vulnerability Testing		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Network Disconnect of Idle Connections		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Network Firewalls		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Network Segmentation		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Network Traffic Throttling		

13.9%	Control Name	Asset(s)	Answer
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
100%	Offsite Backup Media Storage		
	Backup Media / sample Backup label	TestingAsset	In_progress
0%	On-call Technical Resources		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	On-site Generator		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	OS/Application Patching Policy and Procedures		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Password Strength Requirements		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Password/Token Management Policy and Procedures		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	

13.9%	Control Name	Asset(s)	Answer
25%	Physical Access Authorization		
	Backup Media / sample Backup label	TestingAsset	Yes
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
25%	Physical Access Control		
	Backup Media / sample Backup label	TestingAsset	In_progress
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
25%	Physical Access Monitoring		
	Backup Media / sample Backup label	TestingAsset	No
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Physical Security Policy and Procedures		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Physically Hardened Or Ruggedized Systems		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Physically Secured Demarcation Points		
	Desktop or Laptop	TestingAsset	

13.9%	Control Name	Asset(s)	Answer
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Physically Securing Devices or Systems When Not in Use		
	Desktop or Laptop	TestingAsset	
	Laptop	TestingAsset	
	Pager	Pager Media, TestingAsset	
	USB key or flash drive	TestingAsset	
0%	Prevention of Simultaneous User Logins		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Prevention of User Storing Data Locally (Terminals, VDI, etc.)		
	Contractors / Consultants	TestingAsset	
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Principle of Least Privilege		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Process Documentation		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	

13.9%	Control Name	Asset(s)	Answer
	Laptop	TestingAsset	
0%	Prompt Account Termination		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Record View Logging		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Redundant HVAC Equipment		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Redundant Internet Connections		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Redundant or Spare Equipment		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
	Pager	Pager Media, TestingAsset	
	USB key or flash drive	TestingAsset	
0%	Redundant Service Providers		
	Contractors / Consultants	TestingAsset	

13.9%	Control Name	Asset(s)	Answer
0%	Redundant Telecommunications Providers		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Remote Access Controls		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Remote Administrative Access		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Remote Wipe/Remote Lock		
	Laptop	TestingAsset	
	Pager	Pager Media, TestingAsset	
	USB key or flash drive	TestingAsset	
0%	Restrictions on Media Use		
	Desktop or Laptop	TestingAsset	
	Laptop	TestingAsset	
0%	Review of Service Providers		
	Contractors / Consultants	TestingAsset	
0%	Role-based Access Control		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	

13.9%	Control Name	Asset(s)	Answer
0%	Sanitize Device/Disks/Media		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Secure Software Development Processes		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Secure Software Development Training and Awareness		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
100%	Secure Storage of Backup Media When Not in Use		
	Backup Media / sample Backup label	TestingAsset	Yes
0%	Security During Systems Acquisition		
	Contractors / Consultants	TestingAsset	
0%	Security Standards for Software Development		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Security/Privacy Awareness and Training		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Segregation of Duties		

13.9%	Control Name	Asset(s)	Answer
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Service-level Agreements		
	Contractors / Consultants	TestingAsset	
0%	Single Sign-on		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Snooping Detective Software		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Social Engineering Testing		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Standardized System Configurations		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Surge Protectors		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	

13.9%	Control Name	Asset(s)	Answer
100%	Tamper-proof Mechanisms		
	Backup Media / sample Backup label	TestingAsset	Yes
	Disk Array	TestingAsset	Yes
100%	TestControls		
	Backup Media / sample Backup label	TestingAsset	Yes
0%	Testing of Password Strengths		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
100%	Tracking of Backup Media		
	Backup Media / sample Backup label	TestingAsset	No
0%	Tracking of Device		
	Laptop	TestingAsset	
	Pager	Pager Media, TestingAsset	
	USB key or flash drive	TestingAsset	
0%	Training for the Security Workforce		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Two-man Rule		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Uninterruptible Power Supply (UPS)		
	Desktop or Laptop	TestingAsset	

13.9%	Control Name	Asset(s)	Answer
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	User Account Management		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	User Activity Review		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	User Permissions Reviews		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
25%	Visitor Access Control		
	Backup Media / sample Backup label	TestingAsset	In_progress
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Wireless Access Restrictions		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Wireless Encryption		
	Desktop or Laptop	TestingAsset	

13.9%	Control Name	Asset(s)	Answer
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Wireless Link Protection		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	
0%	Wireless Security Policy and Procedures		
	Desktop or Laptop	TestingAsset	
	Disk Array	TestingAsset	
	Laptop	TestingAsset	