Global Controls

12.9%	Control Name	Asset(s)	Answer
100%	**Acceptable Use Policy**		
	Backup Media	www	Yes
	Desktop or Laptop	www	Yes
	Disk Array	www	Yes
	Laptop	www	Yes
100%	**Access Logging**		
	Desktop or Laptop	www	Yes
	Disk Array	www	Yes
	Laptop	www	Yes
100%	**Accounts Lock After Too Many Failed Logins**		
	Desktop or Laptop	www	Yes
	Disk Array	www	In_progress
	Laptop	www	In_progress
100%	**Activity Logging**		
	Desktop or Laptop	www	No
	Laptop	www	No
100%	**Anti-Malware Software**		
	Desktop or Laptop	www	Na
	Disk Array	www	Na
	Laptop	www	Na
100%	**Anti-virus Policy and Procedures**		
	Desktop or Laptop	www	In_progress
	Disk Array	www	In_progress

12.9%	Control Name	Asset(s)	Answer
	Laptop	www	In_progress
100%	**Application Code Review**		
	Desktop or Laptop	www	No
	Disk Array	www	No
	Laptop	www	No
100%	**Application or Data Partitioning**		
	Desktop or Laptop	www	Yes
	Disk Array	www	Yes
	Laptop	www	Yes
100%	**Application Patching**		
	Desktop or Laptop	www	In_progress
	Laptop	www	In_progress
100%	**Application Penetration and Vulnerability Testing**		
	Desktop or Laptop	www	No
	Disk Array	www	No
	Laptop	www	No
0%	**Application, Network, or System Penetration Testing**		
	Desktop or Laptop	www	
	Laptop	www	
0%	**Application, Network, or System Vulnerability Testing**		
	Desktop or Laptop	www	
	Laptop	www	
0%	**Authentication of Network Sessions (as Distinct From Users)**		
	Disk Array	www	

12.9%	Control Name	Asset(s)	Answer
0%	**Auto Logoff or Auto Screen Locking**		
	Desktop or Laptop	www	
	Disk Array	www	
100%	**Backup Media Handling Policy and Procedures**		
	Backup Media	www	Yes
0%	**Backup Media Testing and Validation Policy and Procedures**		
	Desktop or Laptop	www	
	Laptop	www	
0%	**Capacity Planning**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Central Monitoring of Endpoint Protection Software**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Centralized Patch Management**		
	Desktop or Laptop	www	
	Laptop	www	
0%	**Change Control Processes**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
	Pager	www	

12.9%	Control Name	Asset(s)	Answer
100%	**Contingency Plans**		
	Desktop or Laptop	www	Yes
	Disk Array	www	Yes
	Laptop	www	Yes
0%	**Contractual Agreements**		
	Contractors / Consultants	www	
0%	**Controlled Access to Areas with Mobile Devices**		
	Desktop or Laptop	www	
	Laptop	www	
0%	**Controls Around User-installed Software**		
	Desktop or Laptop	www	
	Laptop	www	
0%	**Cross-functional Training**		
	Desktop or Laptop	www	
	Laptop	www	
0%	**Data Backup**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Data Input Validation**		
	Contractors / Consultants	www	
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Data Loss Prevention Tools**		

12.9%	Control Name	Asset(s)	Answer
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Data Retention Policy and Procedures**		
	Desktop or Laptop	www	
	Laptop	www	
0%	**Device Handling Policy and Procedures**		
	Laptop	www	
	Pager	www	
	USB key or flash drive	www	
0%	**Device Testing and Validation Policy and Procedures**		
	Pager	www	
	USB key or flash drive	www	
0%	**Distributed Processing or Storage**		
	Disk Array	www	
0%	**Documented Security Roles in the System Development Life Cycle**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Documented System Development Life Cycle**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Domain/Device Authentication**		

12.9%	Control Name	Asset(s)		Answer
	Desktop or Laptop	www		
	Laptop	www		
	Pager	www		
	USB key or flash drive	www		
0%	**Employee Supervision**			
	Desktop or Laptop	www		
	Disk Array	www		
	Laptop	www		
100%	**Encryption of Backup Media**			
	Backup Media	www		No
0%	**Encryption of Device**			
	Laptop	www		
	Pager	www		
	USB key or flash drive	www		
0%	**Encryption of Disks (Full Disk, File Based, etc.)**			
	Desktop or Laptop	www		
	Disk Array	www		
	Laptop	www		
0%	**Encryption of Network Traffic**			
	Desktop or Laptop	www		
	Disk Array	www		
	Laptop	www		
0%	**Endpoint Firewalls Enabled**			
	Desktop or Laptop	www		
	Disk Array	www		

12.9%	Control Name	Asset(s)	Answer
	Laptop	www	
0%	**Environmental Controls**		
	Desktop or Laptop	www	
	Laptop	www	
0%	**Error Message Sanitization**		
	Desktop or Laptop	www	
	Laptop	www	
0%	**Event Correlation**		
	Desktop or Laptop	www	
	Laptop	www	
0%	**Fake Data to Attract Misuse (Honeypot Records)**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Fire Detection/Suppression Systems**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
	USB key or flash drive	www	
0%	**Firmware Patching**		
	Desktop or Laptop	www	
	Laptop	www	
0%	**Identification and Authentication Policy and Procedures**		
	Desktop or Laptop	www	

12.9%	Control Name	Asset(s)		Answer
	Disk Array	www		
	Laptop	www		
0%	**Identified Security Roles**			
	Desktop or Laptop	www		
	Disk Array	www		
	Laptop	www		
0%	**Information Access Control Policy and Procedures**			
	Desktop or Laptop	www		
	Disk Array	www		
	Laptop	www		
0%	**Information Disclosure Procedures**			
	Desktop or Laptop	www		
	Disk Array	www		
	Laptop	www		
0%	**Internal IT Audit Program**			
	Desktop or Laptop	www		
	Disk Array	www		
	Laptop	www		
17%	**Inventory Control Process**			
	Backup Media	www		In_progress
	Desktop or Laptop	www		
	Disk Array	www		
	Laptop	www		
	Pager	www		
	USB key or flash drive	www		

12.9%	Control Name	Asset(s)	Answer
0%	**Lights-out/Hands-off Management**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Limitations on the Use of Live Data**		
	Desktop or Laptop	www	
	Laptop	www	
0%	**Limited Access to Network Cabling and Devices**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Limited Access to Output Devices (Printers, etc.)**		
	Desktop or Laptop	www	
	Laptop	www	
0%	**Limited User Accessibility (By Time of Day, By Location, etc.)**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Locally-stored Backups of Third-party Hosted Data**		
	Contractors / Consultants	www	
0%	**Locked Down External Ports (USB, CD, DVD, Firewire, etc.)**		
	Desktop or Laptop	www	
	Disk Array	www	

12.9%	Control Name	Asset(s)		Answer
	Laptop	www		
0%	**Log Aggregation and Analysis**			
	Desktop or Laptop	www		
	Disk Array	www		
	Laptop	www		
17%	**Media/Device Re-use and Disposal Policy and Procedures**			
	Backup Media	www		Yes
	Desktop or Laptop	www		
	Disk Array	www		
	Laptop	www		
	Pager	www		
	USB key or flash drive	www		
0%	**Multi-factor Authentication**			
	Desktop or Laptop	www		
	Laptop	www		
0%	**Network and System Penetration and Vulnerability Testing**			
	Disk Array	www		
0%	**Network Disconnect of Idle Connections**			
	Desktop or Laptop	www		
	Disk Array	www		
	Laptop	www		
0%	**Network Firewalls**			
	Desktop or Laptop	www		

12.9%	Control Name	Asset(s)	Answer
	Disk Array	www	
	Laptop	www	
0%	**Network Intrusion Detection System**		
	Desktop or Laptop	www	
	Laptop	www	
0%	**Network Segmentation**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Network Traffic Throttling**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
100%	**Offsite Backup Media Storage**		
	Backup Media	www	In_progress
0%	**On-call Technical Resources**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**On-site Generator**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Operating System Patching**		
	Desktop or Laptop	www	

12.9%	Control Name	Asset(s)	Answer
	Laptop	www	
0%	**OS/Application Patching Policy and Procedures**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Password Change Required on 1st Login**		
	Desktop or Laptop	www	
	Laptop	www	
0%	**Password Strength Requirements**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Password/Token Management Policy and Procedures**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
100%	**Physical Access Authorization**		
	Backup Media	www	Yes
	Desktop or Laptop	www	Yes
	Disk Array	www	Yes
	Laptop	www	Yes
25%	**Physical Access Control**		
	Backup Media	www	No
	Desktop or Laptop	www	
	Disk Array	www	

12.9%	Control Name	Asset(s)	Answer
	Laptop	www	
25%	**Physical Access Monitoring**		
	Backup Media	www	Yes
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Physical Security Policy and Procedures**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Physically Hardened Or Ruggedized Systems**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Physically Secured Demarcation Points**		
	Disk Array	www	
0%	**Physically Securing Devices or Systems When Not in Use**		
	Desktop or Laptop	www	
	Laptop	www	
	Pager	www	
	USB key or flash drive	www	
0%	**Prevention of Simultaneous User Logins**		
	Desktop or Laptop	www	
	Disk Array	www	

12.9%	Control Name	Asset(s)	Answer
	Laptop	www	
0%	**Prevention of User Storing Data Locally (Terminals, VDI, etc.)**		
	Contractors / Consultants	www	
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Principle of Least Privilege**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Privileged Account Management**		
	Desktop or Laptop	www	
	Laptop	www	
0%	**Process Documentation**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Prompt Account Termination**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Record View Logging**		
	Desktop or Laptop	www	
	Disk Array	www	

12.9%	Control Name	Asset(s)	Answer
	Laptop	www	
0%	**Redundant HVAC Equipment**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Redundant Internet Connections**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Redundant or Spare Equipment**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
	Pager	www	
	USB key or flash drive	www	
0%	**Redundant Service Providers**		
	Contractors / Consultants	www	
0%	**Redundant Telecommunications Providers**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Remote Access Controls**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	

12.9%	Control Name	Asset(s)	Answer
0%	**Remote Administrative Access**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Remote Wipe/Remote Lock**		
	Laptop	www	
	Pager	www	
	USB key or flash drive	www	
0%	**Restrictions on Media Use**		
	Desktop or Laptop	www	
	Laptop	www	
0%	**Restrictions on the Use of Internet File Storage**		
	Desktop or Laptop	www	
	Laptop	www	
0%	**Review of Service Providers**		
	Contractors / Consultants	www	
0%	**Role-based Access Control**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Sanitize Device/Disks/Media**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Secure Password Storage**		

12.9%	Control Name	Asset(s)	Answer
	Desktop or Laptop	www	
	Laptop	www	
0%	**Secure Software Development Processes**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Secure Software Development Training and Awareness**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
100%	**Secure Storage of Backup Media When Not in Use**		
	Backup Media	www	No
0%	**Security During Systems Acquisition**		
	Contractors / Consultants	www	
	Desktop or Laptop	www	
	Laptop	www	
0%	**Security Standards for Software Development**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Security/Privacy Awareness and Training**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Segregation of Duties**		

12.9%	Control Name	Asset(s)	Answer
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Service-level Agreements**		
	Contractors / Consultants	www	
0%	**Single Sign-on**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Snooping Detective Software**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Social Engineering Testing**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Standardized System Configurations**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Surge Protectors**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	

12.9%	Control Name	Asset(s)	Answer
0%	**System and Lifecycle Maintenance**		
	Desktop or Laptop	www	
	Laptop	www	
0%	**System Configuration Management**		
	Desktop or Laptop	www	
	Laptop	www	
0%	**System Isolation**		
	Desktop or Laptop	www	
	Laptop	www	
25%	**Tamper-proof Mechanisms**		
	Backup Media	www	Yes
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
100%	**TestControls**		
	Backup Media	www	Yes
0%	**Testing of Password Strengths**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
100%	**Tracking of Backup Media**		
	Backup Media	www	Yes
0%	**Tracking of Device**		
	Laptop	www	
	Pager	www	

12.9%	Control Name	Asset(s)	Answer
	USB key or flash drive	www	
0%	**Training for the Security Workforce**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Two-man Rule**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Uninterruptible Power Supply (UPS)**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**User Account Management**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**User Activity Review**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**User Permissions Reviews**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	

12.9%	Control Name	Asset(s)	Answer
25%	**Visitor Access Control**		
	Backup Media	www	In_progress
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Wireless Access Restrictions**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Wireless Encryption**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Wireless Link Protection**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	
0%	**Wireless Security Policy and Procedures**		
	Desktop or Laptop	www	
	Disk Array	www	
	Laptop	www	