SAGE

| Response ID | Completion date |
|------------------------|--------------------------|
| 514292-514283-53090362 | 13 Dec 2019, 09:11 (GMT) |

| 1 | Applicant Name | Alex Turner |
|--------|---|--------------------------|
| 1.a | University of Surrey email address | at00672@surrey.ac.uk |
| 1.b | Level of research | Undergraduate |
| 1.b.i | Please enter your University of Surrey supervisor's name. (If you have more than one supervisor, enter the details of the supervisor who will check this submission). | Steve Wesemeyer |
| 1.b.ii | Please enter your supervisor's University of Surrey email address. (if you have more than one supervisor, enter the details of the supervisor who will check this submission) | s.wesemeyer@surrey.ac.uk |
| 1.c | School or Department | Computer Science |

| 2 | Project title | Developing a Conversational Chatbot to answer Questions based on Wikipedia Articles |
|---|---|---|
| | | |
| 4 | Are you making an amendment to a project with a current University of Surrey/NHS REC/other favourable ethical opinion in place? | NO |
| | | |
| 5 | Does your research involve any animals, animal data or animal derived tissue, including cell lines? | NO |
| | | |
| 6 | This question is deliberately left blank. | Please click here to continue |
| | | |
| 7 | Does your project involve* human participants, their data and/or any human tissue? | YES |

| 8 | Does your funder, collaborator or other stakeholder require a mandatory ethics review (e.g. Institutional Review Board (IRB) review) to take place at the | NO |
|---|---|----|
| | , , , , , | |

9 **Does your project** process personal data1? **Processing covers any** activity performed with personal data, whether digitally or using other formats, and includes contacting, collecting, recording, organising, viewing, structuring, storing, adapting, transferring, altering, retrieving, consulting, marketing, using, disclosing, transmitting, communicating, disseminating, making available, aligning, analysing, combining, restricting, erasing, archiving, destroying.

NO

Does your project require the processing of special category2 data?

NO

11 If you are an undergraduate or Masters student, are you ONLY using name and contact details for recruitment purposes, and no other personal data is being collected as listed in questions 9 and 10 above?

NO

12 **Does your project** involve any type of human tissue? This includes Human Tissue Authority (HTA) relevant, or irrelevant tissue (e.g. non-cellular such as plasma or serum), any genetic material, samples that have been previously collected, samples being collected directly from the donor or obtained from another researcher, organisation

or commercial source.

NO

| 13 | Does your research involve exposure of participants to any hazardous materials e.g. chemicals, pathogens, biological agents or does it involve any activities or locations that may pose a risk of harm to the researcher or participant? | NO |
|----|---|----|
|----|---|----|

Will you be accessing any organisations, facilities or areas that may require prior permission? This includes organisations such as schools (Headteacher authorisation), care homes (manager permission), military facilities etc. If you are unsure, please contact

NO

Will you be working with any collaborators or third parties to deliver any aspect of the research project?

RIGO.

NO

| 16 | Will you be travelling to non-UK countries for any of your research activities? | NO |
|----|--|-------------------|
| | | |
| 17 | Will any research activities be conducted outside of the UK? | NO |
| | | |
| 18 | Does your research involve lone working? | NO |
| | | |
| 19 | Certain types of research require ethics approval from a nationally recognised research ethics committee (REC) which operates to standards set out by the Department of Health's Governance Arrangements for Research Ethics Committees. Recognised research ethics committees (REC) include NHS RECs and the MoDREC. Does your research involve any of the following? (select all that apply) | None of the above |

| 20 | Have you selected any of the options between A-O from question 19? | NO |
|----|--|----|
| 21 | Doog your project | NO |

21 Does your project NO require ethics review from another institution?

28 **Declarations** *I confirm that I have read the University's Code on Good Research Practice and ethics policy and all relevant professional and regulatory guidelines applicable to my research and that I will conduct my research in accordance with these. I confirm that I have provided accurate and complete information regarding my research project I understand that a false declaration or providing misleading information will be considered potential research misconduct resulting in a formal investigation and subsequent disciplinary proceedings liable for reporting to external bodies I understand that if my answers to this form have indicated that I must submit an ethics and governance application, that I will NOT commence my research until a Favourable Ethical Opinion is issued and governance checks are cleared. If I do so, this will be considered research misconduct and result in a formal investigation and subsequent disciplinary proceedings liable for reporting to external bodies. I understand that if any of my responses

| to the governance questions have |
|---------------------------------------|
| requested additional documents, that |
| these will be provided with my ethics |
| and governance application if my |
| project is to proceed. |

 I understand that if I have selected any options from Qu 22-27 I MUST submit an ethics and governance application (EGA) for review in order to proceed with this research project UNLESS I am an undergraduate or Masters student, in which case I have completed Qu 29 below.

29 If I am conducting research as a student:

I confirm that I have discussed my responses to the questions on this form with my supervisor to ensure they are correct.