```
library(tidyverse)
library(knitr)
```

## Sampling Frame

#### Download the data

```
# file path to csv with addresses
aru_file_path <- "https://opendata.arcgis.com/datasets/c3c0ae91dca54c5d9ce56962fa0dd645_
ap_file_path <- "https://opendata.arcgis.com/datasets/aa514416aaf74fdc94748f1e56e7cc8a_0

# create a directory for downloading the data
if (!dir.exists("data/")) {
    dir.create("data")
}

# if the data doesn't already exist, download the data
if (!file.exists("data/aru.csv")) {
    download.file(aru_file_path, "data/aru.csv")
}

if (!file.exists("data/ap.csv")) {
    download.file(ap_file_path, "data/ap.csv")
}</pre>
```

#### **Address Residential Units**

The first dataset is Address Residential Units

The dataset does not contain a variable for quadrant, so we extract quadrant from the full address.

```
aru <- read_csv("data/aru.csv") %>%
  rename_all(tolower) %>%
  select(unit_id, address_id, fulladdress, status, unitnum, unittype)

# extract quadrant
aru <- aru %>%
  mutate(quadrant = str_sub(fulladdress, start = -2, end = -1))
```

Address Residential Units contains residential units with status set to "RETIRED". We drop these cases as well.

```
count(aru, status) %>%
kable()
```

status	n
ACTIVE	244046
ASSIGNED	47
RETIRE	7087

```
aru <- aru %>%
filter(status != "RETIRE")
```

### **Adress Points**

```
# load the data and convert the variable names to lower case
ap <- read_csv("data/ap.csv", guess_max = 10000) %>%
    rename_all(tolower) %>%
    select(address_id, status, type_, entrancetype, quadrant, fulladdress, objectid_1, ass
```

Address Points contains residential units, non-residential units, and mixed-use units. Residential units and mixed-use units contain residences that belong to our sampling frame. We drop non-residential units.

```
count(ap, res_type) %>%
  kable()
```

n
473
15807
131370

```
ap <- ap %>%
filter(res_type != "NON RESIDENTIAL")
```

Address points contains residential units with status set to "RETIRED". We drop these cases as well.

```
count(ap, status) %>%
  kable()
```

status	n
ACTIVE	128490
ASSIGNED	668
RETIRE	2675
TEMPORARY	10

```
ap <- ap %>%
  filter(status != "RETIRE")
```

After the above filtering, there are 98 observations from Address Points and 3,706 observations in Address Residential Units that have missing addresses. We investigated joining the two datasets on address id to fill in the address but all records missing an address in one dataset were missing an address in the other dataset.

We dropped the missing values which represented about 1.5 percent of observations in Ad-

```
dress Residential Units and 0.07 percent of observations in Address Points.
ap <- ap %>%
  filter(!is.na(fulladdress))
aru <- aru %>%
  filter(!is.na(fulladdress))
missing aru <- filter(aru, is.na(fulladdress))
# join ap to aru missing
missing aru <- left_join(missing aru, ap, by = "address id")
anti_join(missing aru, ap, by = "address id")
## # A tibble: 0 x 27
## # ... with 27 variables: unit_id <dbl>, address_id <dbl>,
## #
       fulladdress.x <chr>, status.x <chr>, unitnum <chr>, unittype <chr>,
## #
       quadrant.x <chr>, status.y <chr>, type <chr>, entrancetype <chr>,
## #
       quadrant.y <chr>, fulladdress.y <chr>, objectid_1 <dbl>,
       assessment nbhd <chr>, cfsa name <chr>, census tract <chr>,
## #
## #
       vote_prcnct <chr>, ward <chr>, zipcode <dbl>, anc <chr>,
## #
       census block <chr>, census blockgroup <chr>, latitude <dbl>,
## #
       longitude <dbl>, active res unit count <dbl>, res type <chr>,
## #
       active res occupancy count <dbl>
count(missing aru, fulladdress.y)
## # A tibble: 0 x 2
## # ... with 2 variables: fulladdress.y <chr>, n <int>
missing_ap <- filter(ap, is.na(fulladdress))</pre>
missing ap <- left_join(missing ap, aru, by = "address id")
anti_join(missing ap, aru, by = "address id")
```

```
## # A tibble: 0 x 27
## # ... with 27 variables: address id <dbl>, status.x <chr>, type <chr>,
## #
       entrancetype <chr>, quadrant.x <chr>, fulladdress.x <chr>,
## #
       objectid 1 <dbl>, assessment nbhd <chr>, cfsa name <chr>,
       census tract <chr>, vote prcnct <chr>, ward <chr>, zipcode <dbl>,
## #
       anc <chr>, census block <chr>, census blockgroup <chr>,
## #
       latitude <dbl>, longitude <dbl>, active res unit count <dbl>,
## #
       res type <chr>, active res occupancy count <dbl>, unit id <dbl>,
## #
## #
       fulladdress.y <chr>, status.y <chr>, unitnum <chr>, unittype <chr>,
## #
       quadrant.y <chr>
count(missing_ap, fulladdress.y)
## # A tibble: 0 x 2
## # ... with 2 variables: fulladdress.y <chr>, n <int>
# merge using address id
```

### Merge variables

Address Points has interesting variables not present in Address Residential Units. So we merge the Address Points dataset with the Address Residential Units dataset. The join works for all but 572 cases, most of which are in a new building at the Wharf.

```
aru_expanded <- aru %>%
  select(-status) %>%
  left_join(ap, by = c("fulladdress", "address_id")) %>%
  select(quadrant = quadrant.x, everything(), -quadrant.y)
anti_join(aru, ap, by = c("fulladdress", "address_id"))
```

```
## # A tibble: 572 x 7
      unit id address id fulladdress
##
                                              status unitnum unittype quadrant
##
        <dbl>
                   <dbl> <chr>
                                               <chr>
                                                     <chr>
                                                              <chr>
                                                                        <chr>
      223379
                  276680 600 WATER STREET SW ACTIVE 6-12
                                                              RENTAL
##
   1
                                                                        SW
##
    2
       223380
                  276680 600 WATER STREET SW ACTIVE 6-13
                                                              RENTAL
                                                                        SW
    3
       223381
                  276680 600 WATER STREET SW ACTIVE 6-14
                                                                        SW
##
                                                              RENTAL
##
       223384
                  276680 600 WATER STREET SW ACTIVE 1-1
   4
                                                              RENTAL
                                                                        SW
##
   5
       223389
                  276680 600 WATER STREET SW ACTIVE 1-6
                                                              RENTAL
                                                                       SW
   6
       223392
                  276680 600 WATER STREET SW ACTIVE 1-9
                                                              RENTAL
                                                                       SW
##
   7
       223494
                  276680 600 WATER STREET SW ACTIVE 8-16
##
                                                              RENTAL
                                                                       SW
##
   8
       223497
                  276680 600 WATER STREET SW ACTIVE 9-3
                                                              RENTAL
                                                                        SW
##
   9
       223503
                  276680 600 WATER STREET SW ACTIVE 9-9
                                                                       SW
                                                              RENTAL
## 10
       223508
                  276680 600 WATER STREET SW ACTIVE 9-14
                                                              RENTAL
                                                                       SW
## # ... with 562 more rows
```

#### Combination

Next, we need to drop addresses in the Address Points dataset that exist in the Address Residential Units dataset so we don't overcount addresses in multi-dwelling units.

```
ap <- ap %>%
filter(!address_id %in% unique(aru_expanded$address_id))
```

Finally, we can combine the two datasets to create a sampling frame that contains approximately every residential address in Washington D.C.

```
sampling frame <- bind_rows(ap, aru expanded)</pre>
#summarize all(addresses, list(~sum(is.na(.))))
write_csv(sampling frame, "sampling frame.csv")
filter(aru, str_detect(fulladdress, "1930 NEW HAMPSHIRE"))
## # A tibble: 49 x 7
##
      unit id address id fulladdress
                                               status unitnum unittype quadrant
        <dbl>
##
                   <dbl> <chr>
                                                      <chr>
                                                               <chr>
                                                                        <chr>
       160596
##
                  226097 1930 NEW HAMPSHIRE ~ ACTIVE 1
                                                              CONDO
                                                                        NW
   1
    2
       160597
                  226097 1930 NEW HAMPSHIRE ~ ACTIVE 2
                                                              CONDO
##
                                                                        NW
       160598
                  226097 1930 NEW HAMPSHIRE ~ ACTIVE 3
##
   3
                                                              CONDO
                                                                        NW
   4
       160599
                  226097 1930 NEW HAMPSHIRE ~ ACTIVE 4
                                                              CONDO
##
                                                                        NW
       160600
                  226097 1930 NEW HAMPSHIRE ~ ACTIVE 5
##
   5
                                                              CONDO
                                                                        NW
       160601
                  226097 1930 NEW HAMPSHIRE ~ ACTIVE 6
##
   6
                                                              CONDO
                                                                        NW
##
   7
       160602
                  226097 1930 NEW HAMPSHIRE ~ ACTIVE 7
                                                              CONDO
                                                                        NW
                  226097 1930 NEW HAMPSHIRE ~ ACTIVE 11
##
   8
       160606
                                                              CONDO
                                                                        NW
##
   9
       160607
                  226097 1930 NEW HAMPSHIRE ~ ACTIVE 12
                                                              CONDO
                                                                        NW
## 10
      160608
                  226097 1930 NEW HAMPSHIRE ~ ACTIVE 13
                                                              CONDO
                                                                        NW
## # ... with 39 more rows
filter(ap, str_detect(fulladdress, "1930 NEW HAMPSHIRE"))
## # A tibble: 0 x 21
## # ... with 21 variables: address_id <dbl>, status <chr>, type_ <chr>,
       entrancetype <chr>, quadrant <chr>, fulladdress <chr>,
## #
## #
       objectid 1 <dbl>, assessment nbhd <chr>, cfsa name <chr>,
## #
       census tract <chr>, vote prcnct <chr>, ward <chr>, zipcode <dbl>,
       anc <chr>, census_block <chr>, census_blockgroup <chr>,
## #
       latitude <dbl>, longitude <dbl>, active res unit count <dbl>,
## #
## #
       res type <chr>, active res occupancy count <dbl>
```

## Pilot survey

```
set.seed(20190714)

pilot_sample <- sampling_frame %>%
    group_by(quadrant) %>%
    sample_n(25)

write_csv(pilot_sample, "data/pilot_sample.csv")
```

# Picking stratum sizes

For a desired bound  $V_0$  on the sampling variance  $V(\bar{y}_{str})$ , we may find an optimal allocation using the following algorithm.

- 1) Assign, for each stratum, 1 unit to be selected for the sample.
- 2) Fil in the following table and number these values starting from 1, inc decreasing order.

$\frac{N_1^2 S_1^2}{\frac{1 \cdot 2}{N_1^2 S_1^2}}$	$\frac{N_1^2 S_1^2}{2 \cdot 3}$	$\frac{N_1^2 S_1^2}{3.4}$	
$\frac{1}{1\cdot 2}$	$\frac{N_1 B_1}{2 \cdot 3}$	$\frac{N_1 B_1}{3.4}$	• • •
•	•	•	• • •
•	•	•	
• M2 C2	• N2 C2	• N2 C2	• • •
$\frac{N_H S_H}{1 \cdot 2}$	$\frac{N_HS_H}{2\cdot3}$	$\frac{N_H S_H}{3.4}$	

3) SInce the initial allocation is  $(n_{11}, n_{21}, ..., n_{H1}) = (1, 1, ..., 1)$ , compute  $V(\bar{y}_{str}|n_{11} = 1, n_{21} = 1, ..., n_{H1} = 1) = \frac{1}{N^2} \sum_{h=1}^{H} ((N_h^2 - N_h) S_h^2)$