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# COVID-19: Pathophysiology of Acute Disease 1



## The COVID-19 puzzle: deciphering pathophysiology and phenotypes of a new disease entity

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The zoonotic SARS-CoV-2 virus that causes COVID-19 continues to spread worldwide, with devastating consequences. While the medical community has gained insight into the epidemiology of COVID-19, important questions remain about the clinical complexities and underlying mechanisms of disease phenotypes. Severe COVID-19 most commonly involves respiratory manifestations, although other systems are also affected, and acute disease is often followed by protracted complications. Such complex manifestations suggest that SARS-CoV-2 dysregulates the host response, triggering wide-ranging immuno-inflammatory, thrombotic, and parenchymal derangements. We review the intricacies of COVID-19 pathophysiology, its various phenotypes, and the anti-SARS-CoV-2 host response at the humoral and cellular levels. Some similarities exist between COVID-19 and respiratory failure of other origins, but evidence for many distinctive mechanistic features indicates that COVID-19 constitutes a new disease entity, with emerging data suggesting involvement of an endotheliopathy-centred pathophysiology. Further research, combining basic and clinical studies, is needed to advance understanding of pathophysiological mechanisms and to characterise immuno-inflammatory derangements across the range of phenotypes to enable optimum care for patients with COVID-19.

### Introduction

The emergence of SARS-CoV-2 has resulted in a health crisis not witnessed since the 1918–19 Spanish influenza pandemic. The most plausible origin of SARS-CoV-2 is natural selection of the virus in an animal host followed by zoonotic transfer.<sup>1</sup> After the first cases of COVID-19 were identified in Wuhan, China, in December, 2019, the virus spread rapidly and had been reported in 220 countries as of April 25, 2021.<sup>2</sup> Among the countries most affected by COVID-19 so far are the USA, Brazil, Mexico, and India (totalling >1·3 million deaths and >65 million infections by April 25, 2021).<sup>3</sup> Despite the markedly lower fatality rate of COVID-19 compared with the previous severe acute respiratory syndrome (SARS) and Middle East respiratory syndrome (MERS) coronavirus epidemics, its dissemination has had devastating effects on health systems and national economies worldwide.

We are only beginning to understand the dynamics of SARS-CoV-2 infectivity and transmissibility—a pressing goal with the emergence of new variants of concern—and the clinical intricacies of multiple COVID-19 phenotypes. Although acute respiratory manifestations are the most common feature of severe COVID-19, many non-respiratory effects have been reported in the acute phase of the disease, and emerging evidence points to various long-lasting complications after SARS-CoV-2 infection (the post-COVID syndrome or long COVID).<sup>4–6</sup> Such a complex clinical picture suggests that SARS-CoV-2 generates a dysregulated

host response to infection, including wide-ranging immuno-inflammatory derangements. Understanding of the pathophysiology and phenotypes of COVID-19, including the host response to SARS-CoV-2, will be key to developing personalised management strategies for patients.

The response of the medical and scientific communities has been unprecedented. An extraordinary number of research reports related to SARS-CoV-2 and COVID-19 has been published over the past year, including impressive advances in understanding but also information of dubious quality.<sup>7</sup> With a desire to help patients quickly and to curb the pandemic, some decisions have been politicised or made hastily on the basis of anecdotal or poorly verified evidence (eg, on the use of ibuprofen, hydroxychloroquine, angiotensin-converting enzyme [ACE] inhibitors, angiotensin receptor blockers, and lopinavir–ritonavir), provoking confusion among medical personnel and public mistrust.<sup>8–11</sup> In the research context, these challenges have potentially been aggravated by viewing COVID-19 in terms of pre-existing pathophysiological concepts, which could lead to the generation of biased hypotheses, misleading findings, and unjustified clinical decision making that does not benefit and, at worst, harms patients with COVID-19. For example, controversy about the pathophysiology of SARS-CoV-2-associated lung failure—and the use of anti-inflammatory treatments in the face of a presumed cytokine storm—has been fuelled by the sepsis research legacy.

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### Key messages

- Understanding of the pathophysiology and the clinical and mechanistic phenotypes of COVID-19 will be key to developing personalised management strategies and improving outcomes for patients
- Presentations of SARS-CoV-2 infection range from asymptomatic, to mild or moderate respiratory and non-respiratory symptoms, to severe COVID-19 pneumonia and ARDS with multiorgan failure; emerging evidence also points to various long-lasting complications after SARS-CoV-2 infection (the post-COVID syndrome or long COVID)
- The complex clinical picture suggest that SARS-CoV-2 elicits a host response that triggers wide-ranging immuno-inflammatory, thrombotic, and parenchymal derangements
- SARS-CoV-2-induced endotheliitis might be a common factor in the respiratory and non-respiratory manifestations of COVID-19
- The systemic inflammatory response to SARS-CoV-2 infection seems to be relatively mild compared with that of non-COVID-19-associated ARDS or severe bacterial infections
- The association between viral load and disease severity suggests that poor viral infection control by the immune system is a major pathogenic contributor to severe COVID-19
- COVID-19 is a new disease entity with a pathophysiology distinct from that of influenza, non-COVID-19-related ARDS, and other coronavirus infections

ARDS=acute respiratory distress syndrome.

To implement optimum management strategies and improve outcomes for patients, recognition of the similarities in pathophysiology and phenotypes—where these exist—between COVID-19 and other, better known conditions is needed, along with understanding of the distinctive features that set this new disease apart from other entities. In this Series paper, we aim to provide a comprehensive and critical summary of available evidence on the pathophysiology of COVID-19 for clinicians and clinical scientists. We review disease mechanisms that underpin the respiratory manifestations of COVID-19, with a consideration of the similarities and differences between COVID-19 and respiratory diseases of other causes. We discuss the potential role of a distinctive endotheliopathy-centred pathophysiology in the respiratory and non-respiratory manifestations of COVID-19, and consider features of the host response to SARS-CoV-2 at the humoral and cellular levels. A complex array of immuno-inflammatory mechanisms underlies the range of phenotypes in COVID-19, but many uncertainties remain. We conclude by identifying key questions for future research.

## Clinical pathology of COVID-19

### Comparison of SARS, MERS, and COVID-19

SARS-CoV-2 belongs to a large family of coronaviruses that includes seven human pathogens, four of which cause common colds. SARS-CoV-2 has many similarities to SARS-CoV, which was responsible for the 2003 SARS outbreak that began in China,<sup>12</sup> and MERS-CoV, responsible for the 2012 MERS outbreak that was first reported in Saudi Arabia.<sup>13</sup> A comparison of key features of these three coronaviruses is presented in the table. SARS-CoV and SARS-CoV-2 both use the human ACE2 receptor for viral entry and cell tropism for infectivity. Although SARS-CoV-2 primarily targets the lung epithelial cells, the intestinal and other epithelia can be also be infected, with active replication and de-novo production of infective virus.<sup>17,18</sup> The role of enteric replication in COVID-19 is not fully understood, but it has been suggested to exacerbate the inflammatory response.<sup>19</sup> In MERS, blood immune cells can be infected, enabling further viral replication,<sup>20</sup> whereas in SARS, infection of circulating immune cells is abortive (ie, no replication and cell death).<sup>21,22</sup> The details of infection and lytic replication mechanisms of SARS-CoV-2 in immune cells are currently unclear, with two reports describing direct monocyte infection.<sup>23,24</sup>

The relatively wide transmissibility of SARS-CoV-2 is probably related to active viral replication in the upper airways in the pre-symptomatic and symptomatic phases.<sup>25,26</sup> In COVID-19, viral loads in respiratory samples peak earlier (3–5 days after symptom onset) than in MERS and SARS (table).<sup>25,27–31</sup> The quality and duration of protective immunity to SARS-CoV-2 is unclear. However, early re-infection is rare and protective immunity in rhesus macaques re-challenged with SARS-CoV-2 inoculation has been shown.<sup>32</sup> Observational studies have demonstrated robust antibody and T-cell responses in a substantial proportion of patients after infection with SARS-CoV-2.<sup>33</sup> However, the humoral response to SARS-CoV-2 appears to be proportional to COVID-19 severity: the highest antibody titres are detected in severe and protracted cases, compared with low or undetectable titres in patients with mild or asymptomatic COVID-19.<sup>34</sup> The magnitude of the antibody response often correlates with T-cell responses, although uncoupled responses with strong specific T-cell production and no antibody production have been described.<sup>35</sup> SARS, MERS, and COVID-19 have a similarly short-lived humoral antibody response.<sup>36,37</sup> In two studies of SARS survivors, anti-SARS-CoV antibody titres remained high for the first 2 years after infection, only 55% of patients had IgG antibodies after 3 years, and there was no detectable peripheral memory B-cell response after 6 years,<sup>38,39</sup> although a low IgG titre has been detected in some survivors 13 years after SARS infection.<sup>40</sup> Regarding SARS-CoV-2, immunological memory has been shown to persist for more than 6 months.<sup>41</sup> The precise duration of protective immunity against SARS-CoV-2 is difficult to

	SARS-CoV	MERS-CoV	SARS-CoV-2
Year of outbreak	2003	2012	2019
Number of countries affected	29	27	220 as of April 25, 2021 <sup>2</sup>
Confirmed cases	8096	2538	>149 million as of April 25, 2021 <sup>3</sup>
Confirmed deaths (mortality)	744 (9.2%)	871 (34%)	>3.1 million (2.2%)* as of April 25, 2021 <sup>3</sup>
Receptor for viral entry	ACE2	DPP4	ACE2
Cells susceptible to infection	Respiratory and intestinal epithelial cells; abortive infection in haematopoietic cells	Respiratory epithelial cells, activated T cells, monocytes, macrophages, and dendritic cells	Respiratory epithelial and intestinal epithelial cells, alveolar macrophages, cardiocytes, olfactory sustentacular cells, bile duct cells, and testicular Sertoli cells; abortive infection in haematopoietic cells
Upper respiratory tract viral replication	No	No	Yes
Lower respiratory tract viral replication	Yes	Yes	Yes
Lymphopenia	Yes: CD4 <sup>+</sup> and CD8 <sup>+</sup> T cells, B cells, natural killer cells	Yes: CD4 <sup>+</sup> and CD8 <sup>+</sup> T cells	Yes: CD4 <sup>+</sup> and CD8 <sup>+</sup> T cells, B cells, natural killer cells
Neutrophilia	Yes	Yes	Yes: elevated levels of NETosis
Monocytes and Macrophages	High levels	High levels	Counts decreased (but not significantly), especially for CD86 <sup>+</sup> HLA-DR <sup>+</sup> monocytes
Systemically elevated cytokines	IL-6, IL-8, CXCL10 (IP10), IFN- $\gamma$ , MIP-1 $\alpha$ (CCL3), MCP1 (CCL2), IL-1, IL-12, CXCL9, TGF- $\beta$	IL-6, IL-8, CXCL10, IFN- $\gamma$ , MIP-1 $\alpha$ , MCP1, CCL5, IL-12	IL-6, IL-8, CXCL10, IFN- $\gamma$ , MIP-1 $\alpha$ , MCP1, IL-2, IL-15, IL-1RA, IL-4, G-CSF, TNF, IL-10
Presence of viral RNA in plasma	Yes; associated with critical illness and fatal outcome	Yes; associated with critical illness and fatal outcome	Yes; associated with critical illness and fatal outcome
Interferon response†	Persistent type I and type II interferon responses; ISG response in the most severe cases; defective expression of MHC class II and immunoglobulin-related genes	Infection induces repressive histone modifications that downregulate expression of specific ISGs	Delayed and dysregulated type I interferon response in severe cases; autoantibodies against type I interferons in a subset of patients
Viral load peak, days after symptom onset	~10 days	~10 days	3–5 days
Humoral response: time to antibody detection, days (IQR)	12 (8–15.2)	16 (13–19)	12 (10–15)
Duration of immunity	Long-lasting memory T-cell response; IgG levels decrease over time but have been detected 13 years after infection; association with severity inconclusive	Long-lasting memory T-cell response; IgG levels decrease over time but have been detected up to 3 years after infection; higher peak levels, seroconversion rates, and time to seroconversion in more severe disease	Unknown
Cross-reactivity of patient antibodies with other coronaviruses	Cross-reactivity with hCoV and MERS-CoV	Low level of cross-reactivity with hCoV and SARS-CoV	Cross-reactivity with SARS-CoV, but only rare neutralisation of live virus

ACE2=angiotensin-converting enzyme 2. CCL=C-C motif chemokine. CXCL=C-X-C motif chemokine. DPP4=dipeptidyl peptidase 4. G-CSF=granulocyte colony-stimulating factor. hCoV=human coronaviruses. IFN- $\gamma$ =interferon- $\gamma$ . IL=interleukin. IL-1RA=interleukin-1 receptor antagonist. IP10=10 kDa interferon gamma-induced protein. ISG=interferon-stimulated gene. MCP1=monocyte chemoattractant protein 1. MIP-1 $\alpha$ =macrophage inflammatory protein-1 $\alpha$ . NET=neutrophil extracellular trap. TGF- $\beta$ =transforming growth factor- $\beta$ . TNF=tumour necrosis factor. \*Mortality for SARS-CoV-2 might be inaccurate because of insufficient recording of all cases, particularly asymptomatic cases. †Antagonism of the antiviral type I interferon response through virally encoded proteins is an immune evasion strategy used by all three coronavirus infections, although mediated by different mechanisms. Virally encoded proteins strongly suppress antiviral type I and type III interferon expression through shutdown of the translational machinery.<sup>14,15</sup> The underlying evasion strategies targeting the type III interferon pathway are currently unknown but appear to be strongest for SARS-CoV-2.<sup>16</sup>

**Table: Comparison of key features of SARS-CoV, MERS-CoV, and SARS-CoV-2**

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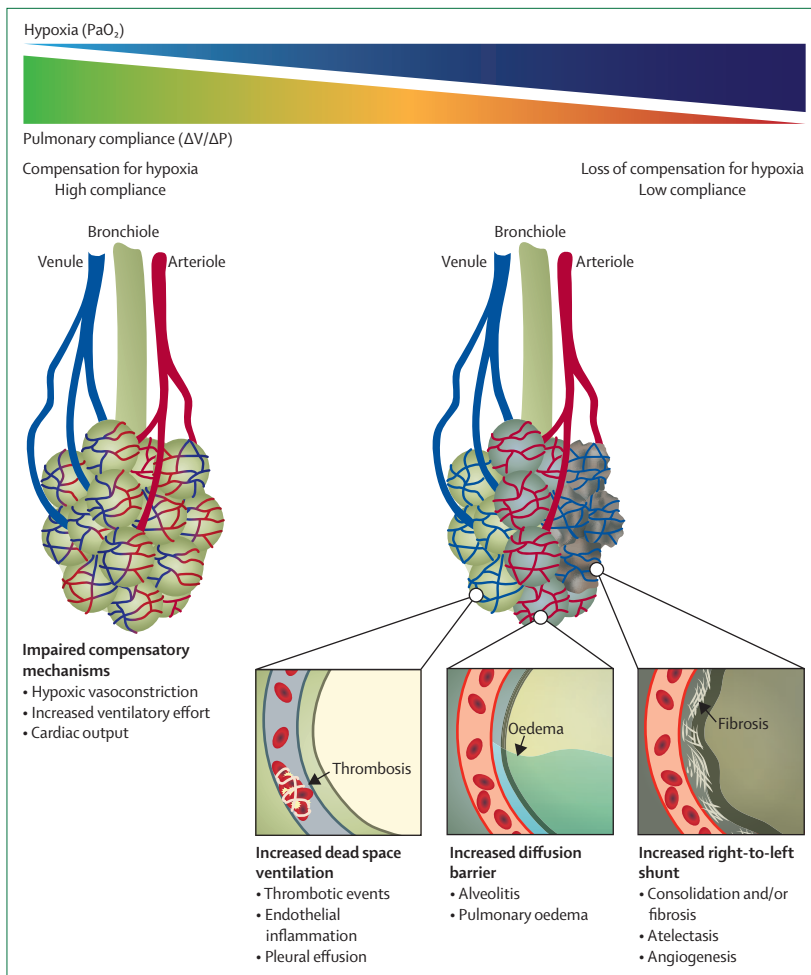
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predict, but T-cell immunity might be more durable: Le Bert and colleagues showed that T-cell reactivity for SARS-CoV persisted for 17 years after infection.<sup>42</sup>

The same authors also demonstrated cross-reactivity in T-cell immunity between SARS-CoV and SARS-CoV-2.<sup>42</sup> Approximately 20–50% of tested populations show pre-existing T-cell responses to SARS-CoV-2 that are probably

related to exposure to endemic human coronaviruses.<sup>43</sup> A range of memory CD4<sup>+</sup> T-cell clones cross-reacted with similar affinity to SARS-CoV-2 and four common cold coronaviruses (hCoV-OC43, hCoV-229E, hCoV-NL63, and hCoV-HKU1).<sup>44</sup> Cross-reactive antibody-binding responses among several coronaviruses are known to exist; in patients with SARS, antibodies reactive to human coronaviruses,





**Figure 1: Hypoxia and lung failure in COVID-19**

Conceptual and simplified view of COVID-19 lung pathogenesis. In most patients, hypoxia is the principal and most severe COVID-19 symptom; although still debated, compensatory mechanisms to maintain oxygen delivery such as increased respiratory effort, hypoxic vasoconstriction, and cardiac output are thought to eventually lose efficacy with increasing COVID-19 severity. With a further reduction in functional lung capacity, hypoxia becomes life-threatening and frequently necessitates intensive care support. Mechanisms contributing to COVID-19 severity include increased dead space ventilation secondary to endothelial inflammation and microthrombi, an elevated diffusion barrier secondary to alveolitis and pulmonary oedema, and right-to-left shunt formation secondary to atelectasis, which is related to increased oedema and fibrosis in the long term; these mechanisms collectively reduce gas-exchange capacity.  $\Delta P$ =change in pleural pressure.  $\Delta V$ =change in volume.  $PaO_2$ =partial pressure of arterial oxygen.

MERS-CoV, and SARS-CoV have been found.<sup>45–47</sup> However, antibody cross-neutralisation of live viruses appears to be rare.<sup>48,49</sup> It will be crucial to define the role of pre-existing reactive T cells in COVID-19 by undertaking large-scale population studies and preclinical tests.

### Pneumonia and lung damage

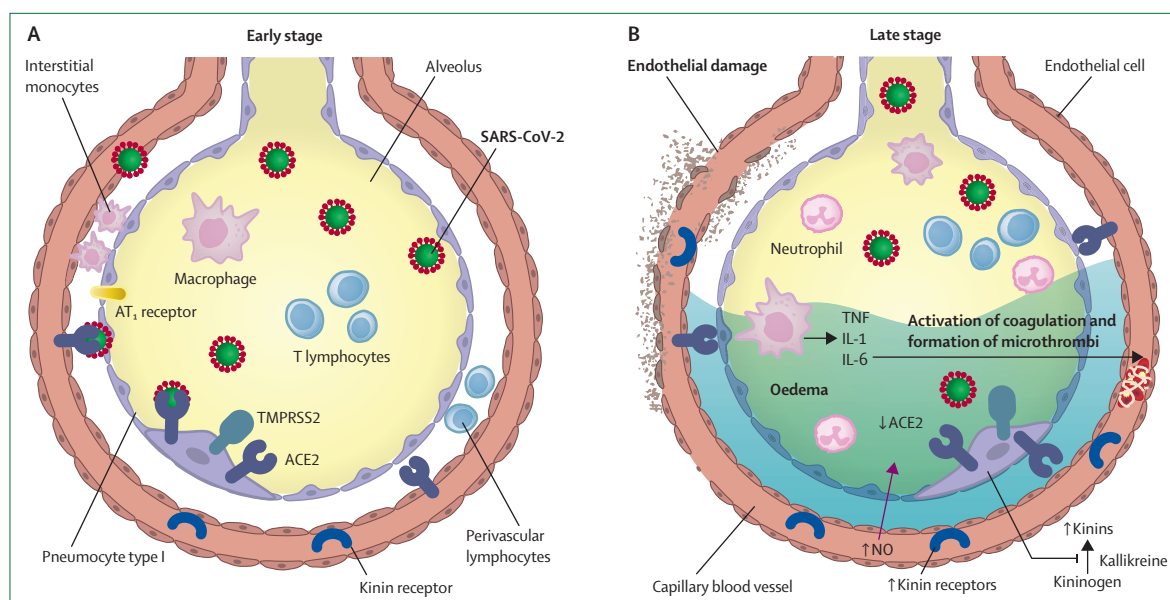
The leading symptom of COVID-19 pneumonia is hypoxaemia, which can worsen and progress to various stages of acute respiratory distress syndrome (ARDS), defined as an impairment of oxygenation—ie, a ratio of partial pressure of arterial oxygen ( $PaO_2$ ) to fractional concentration of oxygen in inspired air ( $FiO_2$ ) of 300 mm Hg or less. COVID-19 pneumonia in adults is characterised

by clinical signs (fever, cough) and a respiratory rate of more than 30 breaths per min or respiratory distress evidenced by either impaired  $PaO_2$  relative to  $FiO_2$  or oxygen saturation ( $SpO_2$ ) <93%.<sup>50</sup> About 80% of patients with COVID-19 develop mild-to-moderate disease, 15% progress to severe stages requiring oxygen support, and 5% develop critical disease including ARDS, septic shock, or multiorgan failure.<sup>51</sup> Age, various comorbidities (eg, diabetes, obesity, and muco-obstructive lung and cardiovascular diseases), and some genetic polymorphisms correlate with a higher risk of respiratory failure.<sup>52–54</sup>

An unusual phenomenon in COVID-19 compared with other causes of respiratory failure is so-called silent hypoxaemia, characterised by critically low  $PaO_2$  but only mild respiratory discomfort and dyspnoea.<sup>55,56</sup> One study demonstrated shortness of breath in only about 19% of patients who had critical  $PaO_2/FiO_2$  ratios.<sup>57</sup> Pathophysiologically, hypoxaemia has only a limited role in the sensation of breathlessness,<sup>58</sup> but the response to low  $PaO_2$  (<60 mm Hg) increases the respiratory drive, defined as the intensity of the neural stimulus to breathe via regulation of respiratory rate and depth (tidal volume,  $V_t$ ). Therefore, tachypnoea and high  $V_t$  (but not necessarily dyspnoea) are signs of hypoxia, especially in COVID-19 pneumonia.<sup>57,59</sup> Understanding this concept is fundamental for clinical management; an excessive respiratory drive could lead to further deterioration of lung function in a vicious cycle of patient-inflicted lung injury, although this concept remains controversial.<sup>60</sup> Several hypotheses have been proposed to explain hypoxia, including SARS-CoV-2-specific effects on oxygen receptor chemosensitivity,<sup>61</sup> reduced diffusion capacity,<sup>62</sup> and loss of hypoxic vasoconstrictive mechanisms.<sup>63</sup> Indeed, many pathophysiological events in COVID-19 affect either lung perfusion (Q) or ventilation (V; dead space ventilation or right-to-left shunt formation), all of which could lead to a V/Q mismatch. Altered lung mechanics due to progressive lung oedema related to sustained pulmonary inflammation, alveolar collapse, atelectasis, and fibrosis further impair global lung function, resulting in progressive tissue hypoxia (figures 1, 2). Other hallmarks of severe COVID-19 are endothelial inflammation, neovascularisation, and thrombotic events (see below).

### Mechanisms of cellular infection and dissemination

SARS-CoV-2 invades ciliated cells in the superficial epithelium of the nasal cavity.<sup>52</sup> A disseminated viral infection with viraemia and high viral loads in the airways on hospital admission are both associated with severe outcomes,<sup>64,65</sup> although not all studies support this notion.<sup>31</sup> In contrast to influenza viruses, which mainly infect airway cells and immune cells (alveolar and interstitial macrophages and natural killer cells), SARS-CoV-2 can infect a wider range of cells, including cardiocytes and endothelial, testicular, and bile duct



**Figure 2: Inflammatory mechanisms, alveolar epithelial and endothelial damage, and coagulopathy in COVID-19**

(A) In the early stage of disease, SARS-CoV-2 infects the bronchial epithelial cells as well as type I and type II alveolar pneumocytes and capillary endothelial cells. The serine protease TMPRSS2 promotes viral uptake by cleaving ACE2 and activating the SARS-CoV-2 S-protein. During early infection, viral copy numbers can be high in the lower respiratory tract. Inflammatory signalling molecules are released by infected cells and alveolar macrophages, in addition to recruited T lymphocytes, monocytes, and neutrophils. (B) With disease progression, plasma and tissue kallikreins release vasoactive peptides known as kinins that activate kinin receptors on the lung endothelium, which in turn leads to vascular smooth muscle relaxation and increased vascular permeability. This process is controlled by the ACE2 receptor. Without ACE2 blocking the ligands of kinin receptor B<sub>2</sub>, the lungs are prone to vascular leakage, angioedema, and downstream activation of coagulation. Dysregulated proinflammatory cytokine (TNF, IL-1, IL-6) and NO release and signalling contribute to these processes. As a consequence, pulmonary oedema fills the alveolar spaces, followed by hyaline membrane formation, compatible with early-phase acute respiratory distress syndrome. Anomalous coagulation frequently results in the formation of microthrombi and subsequent thrombotic sequelae. ACE2=angiotensin-converting enzyme 2.  $AT_1$  receptor=type 1 angiotensin II receptor. IL=interleukin. NO=nitric oxide. TMPRSS2=transmembrane protease serine 2. TNF=tumour necrosis factor.

cells.<sup>66</sup> The viral spike (S) glycoprotein mediates viral entry by binding to ACE2 on the epithelial cell surface, a process supported by transmembrane protease serine 2 (TMPRSS2).<sup>67</sup> ACE2 expression is high in the epithelial cells of the nasal cavity, supporting an initially localised infection by SARS-CoV-2.<sup>52,67</sup> How SARS-CoV-2 disseminates to the lower respiratory tract is unclear. Two theories predominate: first, (micro-)aspiration of SARS-CoV-2 particles causes spread from the oropharynx to the lungs,<sup>68</sup> and second, airborne microparticles are transported directly into the lower respiratory tract by airflow, bypassing the upper airways.<sup>69,70</sup> Of note, involvement of other receptors (eg, neuropilin 1) acting as co-factors to SARS-CoV-2 cellular entry and tropism has been suggested.<sup>71,72</sup>

### Histopathology

In deceased patients with COVID-19, the average lung weight is typically increased with apparent oedema and congestion (figure 1).<sup>73</sup> Microscopically, diffuse damage to the respiratory tract occurs with mucus and cell debris deposits in the bronchi, and the alveoli are typically filled with fluid, fibrin, and hyaluran.<sup>74</sup> At the cellular level, type II pneumocytes frequently have disrupted cell membranes and the lung parenchyma undergoes remodelling (hyperplastic, metaplastic, and necrotic

pneumocytes).<sup>73,75</sup> Microangiopathy predominates, leading to widespread platelet-fibrin microthrombi in alveolar capillaries.<sup>73</sup> Several markers of angiogenesis are upregulated.<sup>76</sup> Leukocyte infiltration is common, including perivascular T cells and macrophages in the alveolar lumina, and lymphocytes and monocytes in the interstitium (figure 2).<sup>73</sup> A subset of patients shows haemophagocytosis in the pulmonary lymph nodes,<sup>77</sup> as observed in SARS and H1N1 influenza,<sup>78</sup> suggesting a potential cytokine storm syndrome-like response in these patients.

### COVID-19-associated ARDS

COVID-19-associated ARDS shares some general characteristics of ARDS such as impaired gas exchange and characteristic CT findings. However, the combination of different pathological mechanisms in COVID-19-induced ARDS results in a more variable clinical appearance.

ARDS related to COVID-19 is often associated with an almost normal respiratory system compliance, unlike non-COVID-19-related ARDS (figure 1).<sup>79</sup> However, compliance can vary depending on the predominant pathomechanism and time. Gattinoni and colleagues proposed two simplified phenotypes of SARS-CoV-2 ARDS: type H, dominated by low compliance (high elastance), high right-to-left shunt,

high lung weight, and high recruitability (severe COVID-19-related ARDS, similar to classic ARDS); and type L, characterised by high compliance (low elastance), low ventilation-to-perfusion ratio, low lung weight, and low recruitability (mild COVID-19-related ARDS).<sup>80</sup> However, these proposed descriptors are controversial, especially in view of a possible bias owing to early intubation of type L patients.<sup>81</sup> The current consensus is that the type H and L phenotypes should not be used to guide clinical practice. Another phenotyping possibility for establishing early prognosis in COVID-19-associated ARDS includes the combination of static compliance and D-dimer concentrations. Accordingly, patients with low compliance and high D-dimer concentrations have the highest risk of mortality.<sup>82</sup> Beyond standard lung-protective ventilation regimes, intermittent prone positioning appears to improve gas exchange by reducing the V/Q mismatch, but this has not been fully verified in clinical studies. If further deterioration occurs, extracorporeal membrane oxygenation should be considered early as a treatment option: indications include an oxygenation index of less than 80 mm Hg for more than 3 hours (FiO<sub>2</sub> >90%) or an airway plateau pressure of at least 30 cm H<sub>2</sub>O (for patients with respiratory failure only).<sup>50,79</sup>

The increased respiratory drive in ARDS related to COVID-19 (typically within the first few days from ARDS onset) differs from that in non-COVID-19 ARDS and is probably underestimated, potentially masking the true extent of hypoxaemia.<sup>83</sup> From a conceptual viewpoint, COVID-19 provides a unique opportunity to decipher a specific cause of ARDS; it also emphasises the fact that ARDS is a syndrome that occurs in various critical care conditions and is not a discrete disease entity per se.

#### Lung fibrosis

Lung fibrosis is characterised by deterioration of lung function and respiratory failure, correlates with poor prognosis, and is irreversible.<sup>84</sup> Approximately 50% of people with severe COVID-19 develop ARDS, for which lung fibrosis is a known complication. Lung fibrosis is driven by pro-fibrotic factors, predominantly transforming growth factor- $\beta$  (TGF- $\beta$ ). Typically, secretion of TGF- $\beta$  from the injured lung promotes repair and resolution of infection-induced damage,<sup>84</sup> but in severe COVID-19 the infection can cause excessive TGF- $\beta$  signalling.<sup>85,86</sup> Indeed, hallmarks of pro-fibrotic processes such as epithelial-to-mesenchymal and endothelial-to-mesenchymal transition have been observed in COVID-19.<sup>87</sup> Follow-up of SARS and MERS survivors showed that older patients frequently developed residual pulmonary fibrosis.<sup>88,89</sup> In SARS, 36% of patients developed lung fibrosis approximately 3 months after diagnosis,<sup>89,90</sup> with a direct correlation between disease duration and extent of fibrosis.<sup>91,92</sup> Given the similarities between SARS, MERS, and COVID-19, it is likely that pulmonary fibrosis will be a common complication of COVID-19. Accordingly, autopsies have revealed a high proportion of COVID-19

cases with lung fibrosis.<sup>93,94</sup> In studies of follow-up chest CT scans, progression of so-called ground glass opacities was shown in a mixed pattern peaking at 10–11 days from symptom onset before persisting or gradually resolving as irregular fibrosis.<sup>95</sup> Among individuals in recovery after COVID-19, those who were severely affected and had an increased inflammatory reaction were most likely to develop pulmonary fibrosis.<sup>96</sup> The presence of interstitial thickening, irregular interface, thick reticular pattern, and a parenchymal band on CT imaging have been suggested as predictors of early COVID-19 pulmonary fibrosis (figure 1).<sup>96</sup>

#### Coagulopathy and endothelial damage

Coagulopathy and endothelial damage are key occurrences in severe COVID-19, with frequent reports of arterial and venous thromboembolism.<sup>97</sup> Between 21% and 69% of critically ill patients with COVID-19 present with venous thromboembolism,<sup>98</sup> far exceeding the 7–5% occurrence reported in surgical patients in the intensive care unit (ICU).<sup>99</sup> Additionally, COVID-19 has a higher prevalence of thrombosis than does influenza.<sup>100</sup> Available data suggest an association between deranged coagulation and severity of lung failure and mortality.<sup>57,101–104</sup> Patients with severe COVID-19 frequently present with signs of hypercoagulability—ie, high circulating D-dimer concentrations (3–40 times normal concentrations), increased fibrinogen, elevated prothrombin time and activated partial thromboplastin time, and thrombocytopenia.<sup>57,105,106</sup> D-dimer concentrations are consistently higher in patients with severe COVID-19 than in general ICU patients<sup>107</sup> and patients with severe pneumonia not related to COVID-19.<sup>108</sup>

Although the exact pathomechanism of hypercoagulability in COVID-19 remains unclear, it is likely that direct virus-induced endothelial damage and ensuing inflammation (mediated by cytokines, reactive oxygen species, and acute-phase reactants) are key factors.<sup>109</sup> Some data suggest that SARS-CoV-2 can infect vascular endothelial cells,<sup>76,110,111</sup> but other studies have shown no evidence of the virus in these cells.<sup>73,112,113</sup> The notion of pulmonary circulation thrombosis induced by endothelial injury is supported by evidence that alveolar damage in COVID-19 is frequently accompanied by thrombotic microangiopathy (figures 1, 2).<sup>114,115</sup> COVID-19-associated endotheliopathy with diffuse microcirculatory injury in the lungs appears to be a central feature of the severe disease phenotype. We henceforth refer to this endotheliopathy-centred condition as endotheliitis, following the first use of this term by Varga and colleagues;<sup>110</sup> however, descriptions of the same or similar small-vessel COVID-19 pathology by the same authors and other investigators have included the terms angiocentric lymphocytic inflammation,<sup>76</sup> mononuclear and neutrophilic inflammation of microvessels,<sup>110</sup> lymphocytic endotheliitis,<sup>110</sup> thrombotic microangiopathy,<sup>116</sup> cytoplasmic vacuolisation,<sup>117</sup> and (pulmonary) capillaritis.<sup>111</sup> These findings of endothelio-

pathy have also been termed diffuse pulmonary intravascular coagulopathy<sup>118</sup> and, in essence, they describe different variants of the same phenomenon: dysfunctional cross-talk between leukocytes and endothelial cells that manifests as vascular immunopathology predominantly confined to the lungs, which aggravates hypoxaemia.<sup>119</sup> It is important to note that coagulopathy and endothelial damage, or endotheliitis, are not unique to COVID-19 but are a common feature of ARDS in general.

Endotheliitis might be partly responsible for refractory COVID-19-related ARDS with disturbed, hyperperfused intrapulmonary blood flow and loss of hypoxic vasoconstriction with alveolar damage, featuring oedema, haemorrhage, and intra-alveolar fibrin in the most severe cases.<sup>76,117,120,121</sup> Accordingly, several studies support the assumption that the combination of pulmonary vascular dysfunction, as signalled by a reduction in respiratory system compliance, and thrombosis, indicated by high D-dimer concentrations, represents the worst-case scenario, being associated with a substantially elevated risk of mortality in patients with COVID-19 and ARDS.<sup>82,122,123</sup> SARS-CoV-2 infection is not restricted to the lungs; vascular disorders are often systemic and feature generalised vasodysregulation including stasis, disturbed endothelial barrier and permeability control, disrupted cell membranes, endothelium-localised inflammation, and an actively (clinically evident) prothrombotic endothelial cell state, with intracellular virus particles as a probable causative factor, localised to the lungs, brain, heart, kidneys, gut, and liver.<sup>76,110,113,117,124–126</sup>

Widespread endotheliitis is a common hallmark of severe infectious disease that is shared with viral sepsis and shock.<sup>127,128</sup> These perturbations largely stem from abnormal nitric oxide metabolism and upregulation of reactive oxygen species, with oxidative stress additionally aggravated by downregulation of endothelium-associated antioxidant defence mechanisms (figure 2).<sup>129</sup> Moreover, endothelium-associated downstream effector programmes lead to activation of proteases, exposure of adhesion molecules, and induction of tissue factor.

Notably, COVID-19 has multiple extrapulmonary clinical manifestations (panel 1) that are likely to be related to COVID-19-associated widespread vascular pathology. Some of these manifestations are common to all critical illness states (eg, renal dysfunction) or are reminiscent of the complications of other viral pneumonias (eg, neurological sequelae) and are also commonly seen in critically ill patients with influenza. However, prominent pulmonary as well as systemic endotheliitis represents a distinguishable and distinct feature of COVID-19.

## Host response to SARS-CoV-2

### Cytokine response

Cytokine production in COVID-19 typically occurs via two pathways: upon direct viral recognition by immune cells through pattern-recognition receptors, prominently virus-specific Toll-like receptors (TLR3, TLR7, TLR8, and TLR9),

and indirectly through the mediation of damage-associated molecular patterns (DAMPs) released from epithelial cells damaged by SARS-CoV-2 (eg, preformed cytokines, high mobility group protein B1 [HMGB1], or ATP).<sup>131,132</sup> In support of the direct pathway, TLR7 defects are associated with severe COVID-19 in young men,<sup>133</sup> and up to 3·5% of patients with severe COVID-19 have been shown to have inborn defects in TLR3-dependent and interferon regulatory factor 7 (IRF7)-dependent type I interferon immunity.<sup>134</sup> Upon injury, endothelial, epithelial, and other parenchymal cells release inflammatory mediators that activate immune cells. These events collectively trigger a release of multiple proinflammatory cytokines and chemokines.<sup>135</sup> Circulating concentrations of proinflammatory mediators including tumour necrosis factor (TNF), monocyte chemoattractant protein 1 (MCP1; C-C motif chemokine 2 [CCL2]), macrophage inflammatory protein-1 $\alpha$  (MIP-1 $\alpha$ ; CCL3), and C-X-C motif chemokine 10 (CXCL10; 10 kDa interferon gamma-induced protein [IP10]) were increased in patients with COVID-19 who were admitted to the ICU compared with those not admitted,<sup>136</sup> while conflicting data exist for IL-6.<sup>136,137</sup> Type I and type III interferon responses and the IL-1–IL-6 axis are likely to constitute biologically relevant signalling pathways in SARS-CoV-2 infection. For example, circulating IL-6 concentrations were correlated with COVID-19 severity, outcomes, or both, in several<sup>138–142</sup> but not all<sup>143</sup> studies. IL-1 production by the inflammasome is upregulated in cells from patients with COVID-19,<sup>144</sup> and single-cell RNA sequencing of circulating cells from patients with COVID-19 has shown a greater abundance of classic CD14<sup>+</sup> monocytes with high IL-1 $\beta$  expression.<sup>145</sup>

In a study of patients with respiratory failure associated with COVID-19, expression of the HLA DR isotype (HLA-DR; an MHC class II cell-surface molecule that is crucial for antigen presentation) on circulating monocytes was decreased but, in contrast to bacterial sepsis, monocytes retained high cytokine production capacity.<sup>146,147</sup> Similarly, children with post-COVID-19 multisystem inflammatory syndrome showed a severe systemic inflammatory syndrome affecting the cardiac, digestive, and haematopoietic systems with features of a septic cytokine storm.<sup>148–150</sup> A type I interferon signature (ie, low concentrations) has been associated with mild COVID-19, whereas interferon signalling was defective in severe COVID-19.<sup>126,134,151–154</sup> Of note, patients with severe COVID-19 have restricted or delayed interferon type I and type II responses in contrast to patients with severe influenza, who have a dominant early interferon response.<sup>155,156</sup>

There is controversy concerning the role of the so-called cytokine storm in COVID-19 pathophysiology. Although an increased systemic cytokine response in COVID-19 is undisputed, comparisons of TNF, IL-6, and IL-8 concentrations across acute conditions—COVID-19-induced ARDS and non-COVID-19 ARDS, sepsis, trauma, cardiac arrest, and cytokine storm



syndrome—show that systemic inflammation during COVID-19 is less robust than in these other conditions.<sup>106,138,157,158</sup> The current evidence neither

#### Panel 1: Extrapulmonary clinical manifestations of COVID-19

Clinical presentations are generally listed in order of clinical relevance. Further information on extrapulmonary manifestations is provided in a review by Gupta and colleagues.<sup>130</sup>

##### Brain, nervous system

- Encephalitis
- Headache
- Ageusia, anosmia
- Encephalopathy
- Guillain-Barré syndrome
- Stroke
- Myalgia

##### Kidney

- Acute kidney injury
- Proteinuria
- Haematuria
- Metabolic acidosis
- Electrolyte imbalances

##### Liver

- Elevated aminotransferases
- Elevated conjugated bilirubin
- Low serum albumin

##### Gastrointestinal tract

- Diarrhoea
- Nausea, vomiting
- Abdominal pain
- Mesenteric ischaemia (rare)
- Gastrointestinal bleeding (rare)

##### Heart, cardiovascular system

- Myocardial injury, myocarditis
- Thromboembolism, endotheliitis
- Cardiac arrhythmias
- Cardiogenic shock
- Myocardial ischaemia, acute coronary syndrome
- Cardiomyopathy (biventricular, left ventricular, or right ventricular)

##### Endocrine system

- Hyperglycaemia
- Diabetic ketoacidosis

##### Skin

- Petechiae
- Pernio-like skin lesions
- Livedo reticularis
- Erythematous rash
- Urticaria
- Vesicles

confirms nor refutes the role of the hyperinflammatory phenomenon in subsets of patients with COVID-19; it is possible that if a cytokine storm syndrome does occur in COVID-19, it might differ from that in other acute conditions in terms of scale of sensitivity or response characteristics (eg, different inflammatory mediators or profiles).

Reports on tissue-specific activation and secretion patterns of cytokines in patients with COVID-19 are only rudimentary and have mainly focused on the lungs. A meta-transcriptomic sequencing study comparing inflammatory gene expression in the bronchoalveolar lavage fluid (BALF) in patients with COVID-19, patients with community-acquired pneumonia, and healthy individuals showed a COVID-19-specific activation signature of proinflammatory genes (ie, *IL1B*, interleukin-1 receptor antagonist [*IL1RN*], *CXCL17*, *CXCL8*, and *MCP1*) and robust upregulation of numerous interferon-inducible genes.<sup>159</sup> Small BALF-based studies have shown upregulation of TNF, *IL1RN*, *IL-1β*, *IL-6*, *IL-8*, *IL-10*, *MCP1*, *CXCL10*, *MIP-1α*, and *MIP-1β* (*CCL4*) in patients with COVID-19.<sup>160–162</sup>

A few small studies have directly examined the lungs for evidence of the cytokine response in COVID-19. Ackermann and colleagues compared lungs from seven patients who died of respiratory failure related to COVID-19 and seven patients who died of ARDS secondary to influenza A H1N1, with results showing increased RNA expression of *CXCL8* and *CXCL13* in lungs from patients with COVID-19 and increased *IL6* expression in both groups.<sup>76</sup> Another autopsy study reported varying mRNA expression levels of *IL1B* and *IL6* in the lungs of four individuals who died of COVID-19.<sup>111</sup> The above activation patterns have been reproduced in a lung organoid model derived from human pluripotent stem cells and infected with SARS-CoV-2.<sup>163</sup> A multinational study showed contrasting expression patterns (high and low) in interferon-stimulated genes and cytokines in post-mortem lung tissue from 16 patients with COVID-19.<sup>164</sup> The abundant NACHT, LRR, and PYD domains-containing protein 3 (NLRP3) inflammasome aggregates found in the lungs of deceased patients with COVID-19 are consistent with the above findings.<sup>165</sup> Cytokine responses were not investigated in any other organs, which is a serious limitation given that ACE2 can be more robustly expressed in the small intestine, kidneys, and heart compared with the lungs.<sup>166</sup>

We caution against premature dismissal or acceptance of elevated cytokine responses (ie, a cytokine storm) as a cause of COVID-19 severity or mortality until more comprehensive profiles of circulating mediators are available. The need for caution is emphasised by the absence of reports of organ-dependent cytokine characteristics; a role for compartmentalised (versus systemic) inflammatory dynamics that influence outcomes might be plausible.

### Non-cytokine mediators

With the exception of ferritin and C-reactive protein (CRP; both acute-phase proteins), non-cytokine inflammatory mediators have been under-investigated in COVID-19. Circulating ferritin is a recognisable marker of secondary haemophagocytic lymphohistiocytosis (HLH) and macrophage activation syndrome (MAS-HLH) in critically ill patients.<sup>167,168</sup> In severe COVID-19, ferritin concentrations of at least 4420 µg/L (a cutoff used for MAS in patients with bacterial sepsis)<sup>168</sup> indicated a MAS-HLH-like phenotype and were associated with enhanced IL-1β production from ex vivo-stimulated circulating monocytes.<sup>147</sup> Various studies and meta-analyses have shown that ferritin is both a good marker of disease severity and a predictor of in-hospital mortality.<sup>169–172</sup> High circulating ferritin might therefore constitute a potential marker to guide anti-inflammatory treatments (eg, an IL-1 receptor antagonist) in patients with severe COVID-19.<sup>173–175</sup> Furthermore, the diagnosis of hyperinflammation, MAS-HLH, or HLH, confirmed by autopsy of lymph nodes and bone marrow of patients with COVID-19,<sup>77,176</sup> should not rely solely on circulating ferritin concentrations, but also on additional laboratory (eg, proinflammatory cytokines) and clinical parameters. A composite H-score has been proposed for the secondary diagnosis of HLH and a similar approach might be applicable in COVID-19.<sup>177</sup>

A meta-analysis of 51225 patients identified CRP as an important predictor of COVID-19-related mortality in patients aged 60 years or older.<sup>169</sup> A systematic review of 2591 patients showed that elevated CRP concentrations correlated with COVID-19 severity.<sup>178</sup> In patients with severe COVID-19 who were admitted to the ICU, CRP kinetics were similar to those observed in bacterial sepsis: a high CRP concentration at admission followed by a gradual decline.<sup>179</sup> Circulating ferritin, CRP, and D-dimers were reported to be expressed to a similar extent or more robustly expressed in COVID-19 compared with other acute states.<sup>106</sup>

The complement system is another key host-defence mechanism with capacity to exacerbate tissue injury through its proinflammatory effects (and via promotion of coagulation). Activation peptide of complement component 5a (C5a) and the membrane attack complex (MAC; C5b-9) were increased according to disease severity in the blood (and BALF for C5a) of patients with COVID-19.<sup>162,180</sup> Furthermore, circulating sC5b-9 and C4d concentrations in patients with COVID-19 at hospital admission were correlated with ferritin concentrations.<sup>181</sup> Whether complement system activation contributes to COVID-19 tissue damage and organ failure is currently not clear.

Procalcitonin has proven useful in the early diagnosis of lower respiratory tract infections of bacterial origin and to guide antibiotic therapy.<sup>182,183</sup> In a meta-analysis including 5912 patients with COVID-19 (35 studies),

procalcitonin concentrations were twice as high in those with severe disease versus non-severe disease.<sup>184</sup> Two other meta-analyses showed no difference in circulating procalcitonin concentrations between patients with COVID-19 and those with non-COVID-19 pneumonia,<sup>185</sup> and among patients with COVID-19 according to disease severity (in contrast to the procalcitonin spike seen in sepsis).<sup>106</sup> In 66 patients with COVID-19 admitted to the ICU, procalcitonin concentrations predicted the occurrence of secondary infections with an area under the receiver operating characteristic curve of 0·80.<sup>186</sup>

### Hormones and endocrine factors

Hypertension, type 2 diabetes, and obesity are comorbidities that are associated with risk of COVID-19 complications and mortality.<sup>57,187</sup> In patients with SARS, circulating glucose and diabetes independently predicted morbidity and mortality.<sup>188,189</sup> ACE2 is expressed in key metabolic tissues including the thyroid, endocrine pancreas, testes, ovaries, and adrenal and pituitary glands.<sup>190,191</sup> An infection of pancreatic β cells with SARS-CoV-2 contributed to glycaemic dysregulation,<sup>163</sup> suggesting that coronavirus-dependent β-cell dysfunction might also occur in patients without pre-existing diabetes. Data confirm that glycaemic control affects outcomes in COVID-19 patients with diabetes.<sup>192</sup> In a retrospective study of 952 patients with type 2 diabetes, COVID-19 severity and mortality were associated with glucose control: patients with well controlled glycaemia (variability within 3·9–10·0 mmol/L) had lower mortality than did patients with high glycaemic variability (>10·0 mmol/L).<sup>192</sup> The mechanisms that underpin increased COVID-19 severity in patients with diabetes might include a higher cardiovascular disease prevalence, increased ACE2 expression, decreased viral clearance, and metabolic derangements.<sup>193</sup> Evidence suggests that hyperglycaemia and insufficient glycaemic control could be associated with increased oxidative stress and hyperinflammation in severe infections, potentially promoting endothelial or organ damage.<sup>194,195</sup> Additionally, COVID-19 is often associated with hypokalaemia, which is known to affect glucose control in diabetes.<sup>196</sup>

The renin-angiotensin-aldosterone system (RAAS) is highly activated in patients with severe COVID-19.<sup>197</sup> Although the effect of therapeutic RAAS blockade (ACE inhibitors and angiotensin receptor blockers) is unclear, current evidence does not support discontinuation of prescribed RAAS blockers.<sup>197,198</sup> Angiotensin II might promote hyperinflammation through IL-6 induction in endothelial and vascular smooth muscle cells via the type 1 angiotensin II receptor (AT<sub>1</sub>) receptor.<sup>199</sup> Furthermore, by increasing aldosterone concentrations, angiotensin II triggers vasoconstriction and water reabsorption. Exogenous angiotensin II, which has been approved in the USA and the EU for use in patients with COVID-19, competes with SARS-CoV-2 to bind to the ACE2 receptor;

angiotensin II receptor occupation causes internalisation and downregulation of ACE2 followed by lysosomal degradation.<sup>197</sup> Another proposed consequence of ACE2 downregulation following SARS-CoV-2 infection is an imbalance between the ACE–angiotensin II–AT<sub>1</sub> receptor axis and the ACE2–angiotensin (1–7)–Mas receptor axis, potentially favouring local proinflammatory and prothrombotic processes.<sup>200,201</sup>

The expression of TMPRSS2 is controlled by androgenic hormones, which might partly explain the sex differences observed in critically ill patients with COVID-19.<sup>67,202</sup> Although SARS-CoV-2 equally affects men and women, the severity of COVID-19 and the number of deaths are up to twice as high in men.<sup>202</sup> TMPRSS2 expression might be upregulated in prostate cancer; patients with prostate cancer treated with androgen-deprivation therapies have been reported to have a decreased risk for the severe COVID-19 phenotype.<sup>203</sup> Sex hormone-induced differences might therefore be of particular relevance in SARS-CoV-2 infections.<sup>204</sup>

Emerging data demonstrate a profound association of endocrine and metabolic dysfunctions with clinical COVID-19 outcomes. Given that SARS-CoV-2 binding to the ACE2 receptor and RAAS activation are apparent in patients with severe COVID-19, modulation of the co-stimulatory molecules (eg, TMPRSS inhibition) should be investigated.

### Cellular immune response

Patients with COVID-19 frequently have mild neutrophilia and T-cell lymphopenia resulting in an increased neutrophil-to-lymphocyte ratio,<sup>144,205</sup> which is a useful prognostic marker for COVID-19 severity.<sup>206</sup> Other leukocyte subsets also undergo characteristic, albeit more heterogeneous, fluctuations and trajectories (figure 3).

#### Granulocytes

Although conflicting data exist on eosinophil count,<sup>207,208</sup> most studies have documented mild peripheral neutrophilia in patients with COVID-19 independent of disease severity.<sup>144,205</sup> Peripheral neutrophils typically include highly activated CD38<sup>+</sup>, CD11b<sup>+</sup>, and HLA-DR<sup>+</sup> cells and myeloid-derived suppressor cells (MDSCs).<sup>207,209</sup> The increase in MDSCs is suggestive of an inflammation-related, emergency haematopoiesis.<sup>210,211</sup> One study reported an immunosuppressive MDSC phenotype in patients with severe COVID-19 upon hospital admission.<sup>212</sup> Whether MDSC functionality in COVID-19 is stable or changes over time as observed in bacterial sepsis is not known.<sup>213</sup> Consistent with accelerated myelopoiesis, patients with COVID-19 have an increase in peripheral immature (CD10<sup>+</sup>, CD16<sup>low</sup>) neutrophil granulocytes, cells that are commonly released under stress.<sup>207,214</sup> Concurrently, the serum of patients with COVID-19 features elevated markers of neutrophil extracellular trap (NET) formation and induces NET formation in healthy neutrophils,<sup>215–217</sup> both typical

features of neutrophil functionality. Notably, some BALF samples from patients with COVID-19 contained elevated levels of chemokines that are crucial for neutrophil recruitment (eg, CXCL1, CXCL2, CXCL8) and their concentrations correlated with the SARS-CoV-2 viral load.<sup>159</sup> Simultaneously, autopsy reports have revealed neutrophilic tissue infiltrations in a subgroup of patients,<sup>112</sup> whether those infiltrations were contingent on SARS-CoV-2 presence, chemokine production, or an exacerbated T-helper-17 (Th17) response in the affected tissues (eg, lungs) is not known.<sup>93,112</sup> Taken together, the evidence suggests that the aberrant recruitment and response of expanded immature neutrophils are likely to contribute to COVID-19 pathogenesis.

#### Monocytes and macrophages

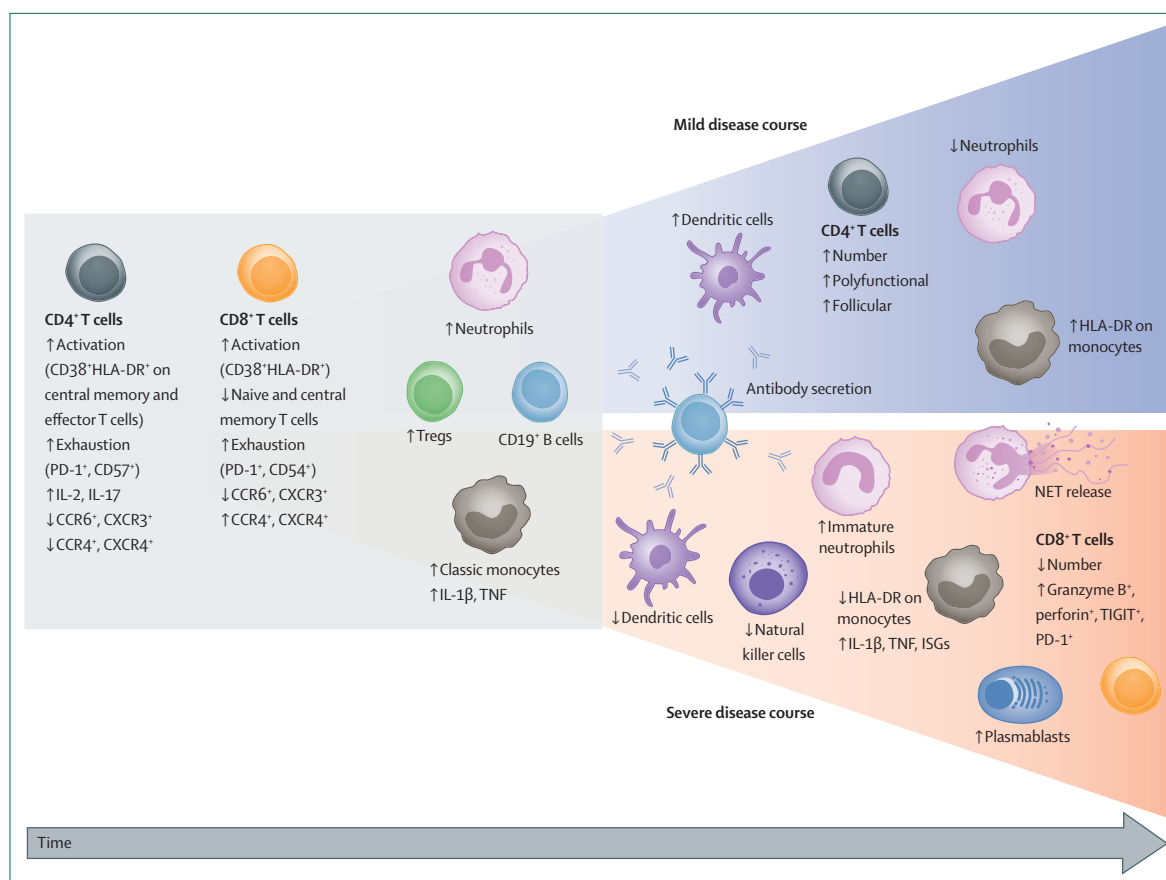
Although monocyte counts are not substantially altered in COVID-19,<sup>205,218</sup> reports on monocyte–macrophage composition vary. Some studies have documented a shift from CD16<sup>+</sup> monocytes towards classic CD14<sup>+</sup> monocytes,<sup>214</sup> whereas others have shown only marginal alterations.<sup>146,208,219,220</sup> Circulating monocytes show signs of activation such as increased CD169 expression,<sup>207</sup> with elevated IL-1 and IL-6 production in severe COVID-19.<sup>145,221</sup> The observed cellular activation is associated with upregulation of interferon-stimulated genes attributed to bystander cytokine effects.<sup>145,222</sup> Activated monocytes have been shown to migrate to the lungs in patients with COVID-19 and in mouse models of SARS-CoV-2 infection.<sup>222,223</sup> A concomitant reduction in alveolar macrophages has been observed,<sup>222</sup> perhaps reflecting direct infection and depletion of these cells by SARS-CoV-2.<sup>23,24</sup> In the lungs of patients with severe COVID-19, a vicious cycle between infected macrophages (and, secondarily, monocytes) and T cells develops, driving alveolar inflammation and damage.<sup>224</sup> Thus, monocytes are aberrantly activated in COVID-19 and might contribute to a proinflammatory state in the infected lungs.

#### Dendritic cells

Scarce data indicate a depletion of myeloid and plasmacytoid dendritic cells in the blood of patients with COVID-19.<sup>214,223</sup> A single study reported reduced dendritic cell counts in the lungs of patients with severe COVID-19.<sup>222</sup> Given the key function of dendritic cells in pathogen sensing and adaptive immune responses, dendritic cell depletion might compromise the development of a protective anti-viral T-cell response.

#### HLA-DR expression and antigen presentation

HLA-DR expressed on monocytes (mHLA-DR) is a well established marker of immune suppression—eg, in bacterial sepsis, trauma, and following major surgery.<sup>225–230</sup> mHLA-DR expression in patients with COVID-19 has been investigated with flow cytometry and single-cell RNA sequencing.<sup>147,179,214,231–234</sup> An inverse relationship between



**Figure 3: Severity-dependent changes in peripheral blood immune cells during COVID-19**

COVID-19 is associated with a marked decrease in circulating effector CD4<sup>+</sup> and CD8<sup>+</sup> T lymphocytes, leading to an increase in Tregs. In addition to a numerical loss, the high proportion of exhausted and suppressed T cells expressing CTLA-4, PD-1, or TIGIT suggest compromised T-cell immunity. Both CD4<sup>+</sup> and CD8<sup>+</sup> T cells show early upregulation of activation markers such as CD38 and HLA-DR concurrent with an altered chemokine receptor pattern (a decrease in CCR6 and CXCR3). Circulating CD4<sup>+</sup> T cells are skewed towards an IL-2 and IL-17-positive profile. Early in the course of COVID-19, the numbers of B cells and monocytes remain unchanged, whereas mild neutrophilia is frequently observed. Blue area: patients with favourable outcomes have an increased number of circulating dendritic cells and intermediate monocytes that upregulate HLA-DR expression, suggesting recovery of immunocompetence. T-cell numbers recover with an increase in polyfunctional and follicular helper T cells. These changes are accompanied by a humoral antibody response produced by activated and expanded B cells. During recovery, neutrophil numbers normalise. Red area: a more severe disease course is characterised by a decrease in dendritic cells, natural killer cells, and monocytes; monocytes further downregulate HLA-DR expression but upregulate IL-1β, TNF, and ISGs. Although low in number, CD8<sup>+</sup> T cells in severe COVID-19 show substantial upregulation of their effector molecules, such as granzyme B and perforin. Of note, the numbers of B cells decrease, whereas plasmablasts are increased in the blood; specific antibodies are also produced in patients with severe COVID-19. Profound changes occur among myeloid cells including egress of immature neutrophils and myeloid-derived suppressor cells from the bone marrow, and enhanced formation of NETs. NET formation is likely to be an important contributor to the development of organ and endothelial injury in conjunction with activation of the complement and coagulation cascades. CCR=C-C chemokine receptor. CTLA-4=cytotoxic T-lymphocyte protein 4. CXCR=C-X-C chemokine receptor. HLA-DR=HLA DR isotype. IL=interleukin. ISGs=interferon-stimulated genes. NETs=neutrophil extracellular traps. PD-1=programmed cell death 1. TIGIT=T-cell immunoglobulin and ITIM domain. TNF=tumour necrosis factor. Tregs=regulatory T cells.

mHLA-DR expression and COVID-19 disease severity and the extent of inflammation has been established, exemplified by lower expression of mHLA-DR in patients admitted to the ICU versus non-ICU patients,<sup>231</sup> and in non-survivors versus survivors,<sup>232,234</sup> concurrent with a negative correlation between circulating IL-6 and mHLA-DR expression.<sup>147</sup> mHLA-DR expression in patients not admitted to the ICU was in the normal range.<sup>235</sup> Suppressed mHLA-DR expression in patients with COVID-19 admitted to the ICU appears to be closely associated with disease severity and ARDS occurrence. Notably, mHLA-DR downregulation might be additionally

amplified by steroid administration.<sup>214,236</sup> mHLA-DR expression kinetics in patients with COVID-19 admitted to ICUs were consistent across studies with no changes during ICU admission to the end of follow-up.<sup>179,231,233,234</sup> Although more studies on mHLA-DR kinetics are needed, two distinct phases of mHLA-DR expression in COVID-19 progression can be suggested: first, normal-range HLA-DR expression during the pre-ICU stage; and second, a steep decrease in HLA-DR expression following transfer to the ICU. Investigations are needed into the putative associations between HLA-DR (also on dendritic cells and B cells) and the frequency of secondary infections, the



magnitude of lymphopenia, SARS-CoV-2 viral load dynamics, functional testing (eg, leukocytic cytokine production capacity), and immunomodulatory interventions such as dexamethasone.

#### *T cells*

The cytotoxic T-cell response, a complex process that also involves antigen-activated CD4<sup>+</sup> helper T cells, is crucial for virus eradication.<sup>237</sup> The T-cell response must be tightly regulated; exaggerated activation could lead to host cell death, whereas insufficient activation facilitates viral spread. The destruction of the lung epithelium and endothelium in severe COVID-19 has been associated with the appearance of perivascular T lymphocytes.<sup>76</sup> Several COVID-19 studies have described a profound decline in virtually all T-cell subtypes.<sup>145,238–241</sup> Notably, in children with mild-to-moderate COVID-19, lymphopenia is rare and mild,<sup>148,242</sup> whereas in severe paediatric cases with multisystem inflammatory syndrome, it is frequent and pronounced.<sup>148,149</sup> CD8<sup>+</sup> T-cell lymphopenia results from the loss of mainly naive and central memory cell subsets, whereas virtually all subpopulations are reduced in CD4<sup>+</sup> T lymphopenia.<sup>109,243</sup> The extent of CD8<sup>+</sup> T-cell (but not CD4<sup>+</sup>) lymphopenia inversely correlates with the degree of inflammation<sup>244</sup> and is more profound in non-survivors than survivors, but it does not differ between patients admitted versus those not admitted to intensive care.<sup>109</sup> T-cell loss has been attributed not only to overwhelming T-cell activation, but also to defects in IL-2–IL-2 receptor signalling.<sup>245,246</sup> Notably, normalisation of several parameters (eg, a late-onset increase in CD8<sup>+</sup> T-cells) can occur in mild cases and in recovering patients.<sup>244</sup> Although a cause–effect relationship remains to be proven, plummeting T-cell counts could serve as a prognostic marker of increased risk of mortality when considered with IL-6<sup>247,248</sup> and circulating neutrophil counts.<sup>249</sup>

Evidence suggests that a higher proportion of T cells from patients with COVID-19 express markers characteristic of activation and exhaustion than do those from healthy participants.<sup>243</sup> However, functional analysis of T cells has produced contradictory observations supporting both preserved and compromised T-cell functionality.<sup>250,251</sup> Moreover, other investigators have observed a marked skewing of CD4<sup>+</sup> T cells towards the Th17 phenotype.<sup>243</sup> Several studies have reported the presence of SARS-CoV-2-reactive T cells in approximately half of tested patients with COVID-19,<sup>33,252,253</sup> supporting the possibility that COVID-19 induces a T-cell response in the presence of lymphopenia and, perhaps, T-cell dysfunction.

Lung infection by respiratory viruses typically results in recruitment and local accumulation of distinct T-cell populations mediated by various chemoattractants. Emerging evidence shows that T cells of patients with COVID-19 have an altered chemokine receptor pattern.<sup>243</sup> These findings are suggestive of altered migratory and homing behaviour of T cells. Accordingly, single-cell RNA

sequencing of bronchoalveolar cells has provided evidence that in moderate COVID-19 cases, highly clonally expanded (possibly virus-specific) T lymphocytes proliferate in the lungs, whereas clonally heterogeneous T-cell pools populate the lungs of severely affected patients.<sup>222</sup>

The above data are consistent with a contribution of dysfunctional antiviral adaptive immunity to COVID-19 pathogenesis. Correspondingly, SARS-CoV-2 has been detected in the respiratory tracts of dying patients with COVID-19.<sup>254</sup> High viral loads in respiratory samples and plasma are associated with disease severity, and with a deeper dysregulation of the host response, including the magnitude of lymphopenia.<sup>137,255</sup> These findings suggest that in severely affected patients, the immune system is incapable of eradicating the virus, which could further trigger the induction of non-homeostatic responses to the infection. Consistent with this suggestion, in a European multicentre ICU study, the incidence of secondary nosocomial ventilator-associated lower respiratory tract infections was 50.5% in patients with SARS-CoV-2,<sup>256</sup> which was significantly higher than the incidence of such infections in patients with influenza pneumonia. Similarly, secondary nosocomial infections occurred in up to 50% of Chinese patients with COVID-19 who were admitted to hospital.<sup>121</sup> Available evidence is therefore consistent with impaired T-cell immunity (both numerical and functional deficits) weakening infection control and increasing lung tissue damage in COVID-19.

#### *Natural killer cells*

Natural killer cells are commonly enriched in the lungs and respond rapidly to a broad collection of viral infections.<sup>257,258</sup> Consequently, patients with moderate-to-severe COVID-19 feature an accumulation of natural killer cells in the infected lungs,<sup>222,244</sup> whereas natural killer cells counts in the periphery decline.<sup>214,259</sup> Current evidence on natural killer cell immune function in COVID-19 is contradictory. Whereas some investigators have reported signs of natural killer cell hyporesponsiveness and exhaustion,<sup>214,260</sup> marked activation of these cells in the blood of patients with COVID-19 has also been documented.<sup>261</sup>

#### **B cells and the humoral response**

Several studies have reported selective B-cell plasmablast expansion in severe COVID-19, indicative of a strong SARS-CoV-2-specific humoral response (see below) concomitant with a decline in peripheral naive and memory B-cell counts.<sup>145,148,249,262</sup> In contrast to the fluctuations in the periphery, B-cell and plasma cell counts were unchanged in BALF from patients with COVID-19.<sup>222</sup> Both naive and memory B-cell compartments are activated during COVID-19 infection,<sup>145,208,263,264</sup> as reflected by increased expression of proliferation markers.<sup>145</sup> Thus, existing data indicate that SARS-CoV-2 infection exerts a pronounced, systemic, and multifaceted B-cell response including memory B-cell recall.

Activation of the B-cell compartment is accompanied by a humoral reaction; several studies have detected a robust antibody response varying from oligoclonal to highly multiclinal patterns. Clonality reportedly peaks during the early recovery phase and subsequently decreases.<sup>145</sup> Most clones produce antibodies against the receptor-binding domain (RBD) of the SARS-CoV-2 spike protein, as observed in previous coronavirus-based infections.<sup>265,266</sup> Crystal structures of antibody–RBD–ACE2 complexes underscore the neutralising effect of these antibodies.<sup>267,268</sup> Most antibodies do not cross-react with the RBD from the related SARS-CoV and MERS capsids (table).<sup>268</sup> Although the majority of studies reported convergent antibody responses against the spike protein RBD,<sup>262,264</sup> others have shown divergent responses.<sup>145,208</sup> This variability might be attributable to differences in COVID-19 patient cohorts.

The extent to which the ubiquitous antibody responses contribute to COVID-19 resolution is not yet clear. It has been suggested that vaccination protocols using the major RBD spike protein antigens with particularly high convergence of antibodies are promising. It is also puzzling that patients with COVID-19 with primary antibody deficiencies do not exhibit markedly worse disease course or outcomes.<sup>269</sup> For example, patients with COVID-19 who have reduced or absent B-cell functionality due to agammaglobulinaemias of genetic origin (X-linked agammaglobulinaemia or autosomal recessive agammaglobulinaemia) or anti-B-cell therapies (eg, anti-CD20 monoclonal antibody ocrelizumab or tyrosine kinase inhibitor ibrutinib) have fully recovered without signs of severe disease.<sup>130,270–273</sup> In contrast, there is a minority of patients with COVID-19 who have B lymphocyte clones that are able to produce autoantibodies against type I interferons, which could potentially compromise viral eradication.<sup>151</sup>

B-cell reactions to SARS-CoV-2 might be beneficial in cases of particularly efficient antibody responses. However, B-cell responses might be ineffective or harmful in other scenarios (eg, antibody-dependent enhancement).

## Conclusions and future perspectives

An overview of current evidence regarding immuno-inflammatory reactions induced by SARS-CoV-2 and subsequent organ-based consequences suggests several conclusions. First, a new infectious profile is evident: low pathogenic *Coronaviridae* subspecies of human coronavirus, such as hCoV-229E, hCoV-OC43, and hCoV-NL63, infect the upper airways causing mild-to-moderate (common cold-like) respiratory disease, whereas highly pathogenic viruses settle in the lower respiratory tract, typically causing severe pneumonia and ARDS. SARS-CoV-2 shares features of low and high pathogenic coronavirus subspecies: after infecting the upper respiratory tract, it is capable of subsequently spreading to the lower respiratory tract. This duality, accompanied by the capacity for viral

### Panel 2: Priorities for future research

The proposed research aims will be achieved most effectively with a complementary combination of preclinical and clinical research.

- Establish the molecular basis for lower pathogenicity of SARS-CoV-2 compared with SARS-CoV
- Define the role of pre-existing and acquired T-cell immunity in COVID-19 development and progression
- Establish precise predictive thresholds for known biomarkers of COVID-19 severity, outcomes, and complications
- Develop novel prognostic biomarkers and risk predictors for COVID-19 pneumopathy, acute respiratory distress syndrome, and fibrosis
- Elucidate compartmentalisation profiles of soluble inflammatory mediators and cell subsets (ie, in individual organs and systems)
- Characterise immunological deficiencies secondary to ageing and comorbidities that impair efficient immunological responses against SARS-CoV-2
- Characterise short-term and long-term COVID-19 vasculopathies and their sequelae
- Develop a unified post-COVID-19 monitoring platform to characterise long-term outcomes and immune derangements after SARS-CoV-2 infection
- Conduct high-quality, prospective clinical studies to identify optimum anti-coagulative and immunomodulatory strategies for patients with SARS-CoV-2 infection

transmission during the incubation period, appears as an evolutionary advantage and a unique feature of this new coronavirus pathogen. Second, endothelial and epithelial infection appears to predominate, rather than alveolar-centred infection: the disruption of the alveolar epithelial–endothelial barrier is central to the development of severe pneumonia and ARDS. Compared with influenza and SARS, multiorgan involvement and thromboembolic events are more common in COVID-19,<sup>100,130</sup> implying that SARS-CoV-2 is an endotheliophilic virus. Third, the inflammatory response is atypical: although patients with COVID-19 have elevated circulating proinflammatory cytokines over a longer period of time than do patients with influenza,<sup>155</sup> for example, the concentrations seem to be significantly lower than are typical in non-COVID-19-related ARDS.<sup>123</sup> The inflammatory characteristics thus far observed in patients with COVID-19 suggest that either the systemic cytokine component is not a crucial contributor to COVID-19 severity or that the disease features its own unique, poorly understood, yet detrimental, inflammatory profile. Fourth, a maladaptive host response is unable to combat the virus: there is a marked association between high viral loads (local and systemic) and the phenotype and magnitude of the dysregulated host response,

### Search strategy and selection criteria

We searched PubMed and MEDLINE for relevant peer-reviewed articles published in English from database inception to Feb 13, 2021, using a set of topic-specific search terms (eg, "pulmonary" OR "respiratory") in combination with at least one of the following terms: "SARS-CoV-2", "COVID-19", "MERS-CoV", "SARS-CoV", "SARS-CoV-1", and "coronavirus". The choice of topic-specific search terms was not defined a priori but left to the discretion of the panel of experts responsible for each section to allow wide scrutiny of the literature. Similarly, the selection of articles for consideration and citation was done by the individual expert panels. This selection was subsequently scrutinised, and modified if needed, by the co-authors who internally reviewed the individual sections. The final list of cited articles was selected on the basis of their relevance to the aims of this Series paper, with a primary focus on peer-reviewed publications. Preprint publications (searched on the medRxiv, bioRxiv, Research Square, Preprints, and PeerJ servers) were referenced only as a justified exception (<2% of all citations in the final version) and with the approval of all section authors and internal reviewers.

suggesting that poor control of SARS-CoV-2 virus by the immune response leads to severe COVID-19.

The concept of virus-induced pulmonary vasculitis is consistent with the frequently observed failure of simple ventilatory support in patients with COVID-19. Typically, a substantial V/Q mismatch in COVID-19 is more often a consequence of right-to-left shunt due to inflamed, hyperperfused lungs and failure of hypoxic vasoconstriction. This scenario might trigger a vicious cycle beginning with hypoxia and an increase in respiratory effort and oxygen consumption. If a patient with severe COVID-19 is not able to satisfy their oxygen demand by physiological adaptation of cardiac output and oxygen content, the outcome will be fatal. This concept could help to explain why older patients and patients with obesity who have reduced lung capacity, and patients with cardiovascular comorbidities have the highest risks for unfavourable outcomes.

In conclusion, we propose that COVID-19 should be perceived as a new entity with its own characteristic and distinct pathophysiology. However, the differences between ARDS related to COVID-19 and ARDS of other causes (and other critical illnesses) should not prompt abandonment of the existing consensus principles of critical care, as noted by others.<sup>274</sup> Regardless of whether this notion is confirmed, it is advisable to study COVID-19 pathophysiology without preconceptions based on other critical diseases, which might be misleading for clinical care and treatments. Directions for future research into COVID-19 pathophysiology are proposed in panel 2. An unbiased, gradual assembly of key pieces in the COVID-19

pathophysiological puzzle for different patient cohorts (eg, based on sex, age, ethnicity, pre-existing comorbidities), albeit not as rapid as desired, will eventually create a more accurate depiction of the disease. Armed with this knowledge, existing treatment guidelines could potentially be updated, enabling medical professionals to provide optimum care for patients with COVID-19.

### Contributors

Each author co-wrote at least one section of this Series paper as part of a panel composed of three or more authors who were selected on the basis of their expertise (biochemistry, immunology, critical care, respiratory medicine, or infectious diseases). The panels (and sections) were as follows: SC, RA, AdIF, and JFB-M (Comparison of SARS, MERS, and COVID-19); MB, MAW, CC, AT, RF, and MG (Pneumonia and lung damage); MFO, MSW, IM-L, and JFB-M (Coagulopathy and endothelial damage); MFO, SC, GL, SW, WJW, MGN, and TvdP (Cytokine response); MK, SBF, EJG-B, MG, and MGN (Non-cytokine mediators); FMB, CM, TSp, and JCS (Hormones and endocrine factors); MFO, TSk, GM, FV, AG-S, J-MC, FU, AC, and IR (Cellular immune response); MS-H, AC, and IR (B cells and the humoral response). All authors except for MS-H, FMB, AdIF, CC, RF, MG, and AC also served as internal reviewers for other sections. Each draft section was internally reviewed by at least two other authors; to ensure unbiased internal review, the section drafts were allocated by IR to reviewers who were blinded to section authors. MFO, MSW, TSk, WJW, and IR designed and created the figures. MFO, MSW, and IR wrote the abstract and the introduction and concluding sections, compiled all sections, and provided final editing of the manuscript. IR coordinated work on the Series paper. All authors participated in literature searches and critical analysis of published data, and revised their drafts and carried out a final update to include all recent relevant studies published during the writing of the manuscript. All authors read and approved the final manuscript. All authors except for AdIF, TSp, and CC are members of the European Group on Immunology of Sepsis.

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