Algorithmic Complexity of Computational Problems

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- Recap
- (Algorithmic) Problems Complexity
- The Complexity of Sorting
- The complexity of the divide-et-impera search
- 5 Polynomial reduction of problems



Plan

- Recap
- 2 (Algorithmic) Problems Complexity
- The Complexity of Sorting
- 4 The complexity of the divide-et-impera search
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From the last lecture

- problem solved by an algorithm
 - problem P
 instance (= input): p ∈ P
 result (= output): P(p)
 - A solves P: $\sigma_p = \text{state encoding } p \in P$ $\langle A, \sigma_p \rangle \Rightarrow^* \langle \cdot, \sigma' \rangle$, where σ' encodes P(p)
- decision problem: (instance, question)
- decidable problem: decision problem solved by a algorithm



From the last lecture

- sizw of an instance: if $p \in P$, then $size_d(p) = size_d(\sigma_p) = \sum_{var \mapsto val \in \sigma} size(val)$, $d \in \{log, unif\}$
- space/time complexity:

$$\langle A, \sigma_p \rangle = \langle A_0, \sigma_0 \rangle \Rightarrow \langle A_1, \sigma_1 \rangle \Rightarrow \ldots \Rightarrow \langle A_n, \sigma_n \rangle = \langle \cdot, \sigma' \rangle$$

$$T_d(A, p) = \sum_i time_d(\langle A_i, \sigma_i \rangle \Rightarrow \langle A_{i+1}, \sigma_{i+1} \rangle)$$

$$S_d(A, p) = \max_i size_d(\langle A_i, \sigma_i \rangle) = \max_i size_d(\sigma_i)$$

• worst-case complexity $n = \{p \in P \mid size_d(p) = n\}$ $T_d(A, n) = \max\{T_d(A, p) \mid size_d(p) = n\}$ $S_d(A, n) = \max\{S_d(A, p) \mid size_d(p) = n\}$



On the size of an instance

Consider the algorithm:

```
//@input: m >= 0
//@output: s == m
s = 0;
for (i = 1; i <= m; ++i)
s = s + 1;</pre>
```

- uniform (n = O(1)): execution time constant!!??
- logarithmic $(n = O(\log m))$: time = $\sum_{i=1}^{m} \log m = m \log m = O(2^n)!!??$ (only the comparison were counted)
- linear n = m (the most natural;)



On the size of an instance

```
primality test
  isPrime(n) {
  Usual the linear version is used (n)
  Agrawal et al., 2004:
  T(n) = O(\log^{15/2} n \cdot \operatorname{poly}(\log \log n)) = O(\log^{15/2 + \varepsilon} n).

    cel mai mare divizor comun

  gcd(a, b) {
  }
  ithe input includes two variables a and b
  n = a \cdot b
  the number of multiplications: O(5 \log_{10} \min(a, b))
  (k \text{ multiplications} \implies \max(a, b) > fib(k+2),
  min(a, b) > fib(k+1)
```

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Why?

A problem may be solved by many algorithms.

Actually, if there is one algorithm soving it, then there are an infinity. (Why?)

How efficiently a problem can be solved (if any)?

The definition from the efficiency of the algorithms can be extended to problems.

When we know ONE algorithm solving the problem

Consider:

- a problem P
- n = size(x), $x \in P$ an instance of P
- an algorithm A solving P with the worst-time execution time O(f(n))

What can we say about the time complexity of P?

The complexity O(f(n)) of a problem

It supplies a superior bound for the computational effort needed to solve a problem.

Definition

A problem P has the worst case time complexity O(f(n)) if there is an algorithm A that solves P and $T_A(n) = O(f(n))$.

When we want to know something about ALL the algorithms

Consider:

- a problem P
- n = size(x), $x \in P$ an instance of P

What kind of information can we supply about all the algorithms solving P

The complexity $\Omega(f(n))$ of a problem

It supplies a inferior bound for the computational effort needed to solve a problem.

Definition

A problem P has the worst case time complexity $\Omega(f(n))$ if any algorithm A that solves P has $T_A(n) = \Omega(f(n))$.

An optimal algorithm for a problem

Consider:

- a problem P
- n = size(x), $x \in P$ an instance of P

When an algorithm is optimal for P?

An optimal algorithm for a problem

Consider:

- a problem P
- n = size(x), $x \in P$ an instance of P

When an algorithm is optimal for P?

Definition

A is an optimal algorithm (w.r.t. the worst case time complexity) for P if

- A solves P and
- P has the worst case time complexity $\Omega(T_A(n))$.

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Sorting Problem

Consider the particular case of arrays sorting:

```
SORT Input n and the array a = [v_0, \ldots, v_{n-1}]. Output an array a' = [w_0, \ldots, w_{n-1}] with the property: w_0 \leq \cdots \leq w_{n-1} and w = (w_0, \ldots, w_{n-1}) is a permutation of v = (v_0, \ldots, v_{n-1});
```

Notation:

SORTED(a): the array a is sorted (non-decreasingly ordered) Perm(v, w): w is a permutation of v

BubbleSort 1/2

A possible definition for SORTED(a)

$$SORTED(a) \iff (\forall i)(0 \le i < n-1) \Rightarrow a[i] \le a[i+1]$$

where n = a.size(). (This is a part of the problem domain.)

From the problem domain to the algorithm:

```
for (i=0; i < n-1; ++i) {
    if (a[i] > a[i+1]) {
       swap (a, i, i+1);

(a[i] > a[i+1]) is called inversion
```

BubbleSort 2/2

The process from the previous slide must be repeated until no more inversions exist:

```
while (there are possible more inversions) {
   for (i=0; i < n-1; ++i) {
      if (a[i] > a[i+1]) {
        swap (a, i, i+1);
   }

(This is pseudocode!)
```

The test *there are possible more inversions* can be checked storing the position of the last inversion: next slide

BubbleSort: the algorithm

```
bubbleSort(a, n) {
 ultim = n-1;
 while (ultim > 0) {
    n1 = ultim;
    ultim = 0;
                                          swap(a, i, j) {
    for (i=0; i < n1; ++i) {
                                            temp = a[i];
      if (a[i] > a[i+1]) {
                                            a[i] = a[j];
        swap (a, i, i+1);
                                            a[j] = temp;
        ultim = i;
```

Analysis of the algorithm BubbleSort 1/2

Correctness

```
while loop invariant: a[ultim+1 .. n-1] include the biggest n-1-ultim elements in a and SORTED(a[ultim+1 .. n-1]) for loop invariant: a[j] \leq a[i] for j = 0, ..., i.
```

swap maintains the property $\operatorname{Perm}(u, u')$, where u is the value of the variable a before swap swap and u' the after one

Analysis of the algorithm BubbleSort 1/2

Execution time

- instance size: n = a.size()
- measured operations: comparisons involving the array elements
- the worst case:



Analysis of the algorithm BubbleSort 1/2

Execution time

- instance size: n = a.size()
- measured operations: comparisons involving the array elements
- the worst case: when the elements of the array are in decreasing order
- the number of the comparisons for this case is

$$(n-1)+(n-2)+\cdots+1=\frac{(n-1)n}{2}=O(n^2)$$

InsertSort 1/2

```
Basic principle
for j in 1 .. n-1
  insert a[j] in a[0..j-1] s.t. SORT(a[0..j])
(This is pseudo-code!)
```

InsertSort 2/2

Problem domain analysis

The position i where a[j] to be inserted:

```
• i = j if a[j] \ge a[j-1];
```

•
$$i = 0$$
 if $a[j] < a[0]$;

•
$$0 < i < j \text{ and } a[i-1] \le a[j] < a[i]$$

```
\implies a[i..j-1] must be moved to right one position!
```

– the condition for moving to right: $i \geq 0 \wedge a[i] > a[j]$

Algorithmically:

```
i = j - 1;
temp = a[j];
while ((i >= 0) && (a[i] > temp)) {
   a[i+1] = a[i];
   i = i -1;
}
```

InsertSort: the algorithm

```
insertSort(a, n) {
  for (j = 1; j < n; j = j+1) {
    i = j - 1;
    temp = a[j];
    while ((i >= 0) && (temp < a[i])) {
       a[i+1] = a[i];
       i = i -1;
    }
    if (i != j-1) a[i+1] = temp;
}</pre>
```

The analysis of the algorithm InsertSort 1/2

Correctness

```
for loop invariant: \operatorname{Perm}(u, v) \wedge SORTED(a[0..j-1]), where u is the current value of a
```

```
while loop invariant: a[i+1], \ldots, a[j-1] > temp.
```

The while loop invariant and $a[i] \le temp \lor i < 0$ ensures the correct computation of i, i.e. SORTED(a[0..j]).

The analysis of the algorithm InsertSort 2/2

Execution time

- instance size: n = a.size()
- measured operations: comparisons involving the array elements
- the worst case:

The analysis of the algorithm InsertSort 2/2

Execution time

- instance size: n (= a.size())
- measured operations: comparisons involving the array elements
- the worst case: when the input sequence is decreasing searching i in a[0 ... j-1] requires j-1 comaparisons
- the number of comparisons for this case is

$$1+2=\cdots+(n-1)=\frac{(n-1)n}{2}=O(n^2)$$

HeapSort

Problem domain analysis The property MAXHEAP(a):

$$(\forall i \ge 0)2i + 1 < n \implies a[i] \ge a[2i + 1) \land$$
$$2(i + 1) < n \implies a[i] \ge a[2(i + 1))$$

 $MAXHEAP(a) \implies max a = a[0]$

The main idea of the algorithm:

- assume MAXHEAP(a)
- if we do the interchange swap(a,0,n-1), the new value a[n-1] is on its final position and the remained array to be sorted is a[0..n-2]
- a[0..n-2] is sorted in the same manner



More algorithmically

```
heapSort(a, n) {
   establish MAXHEAP( a)
   for (r = n-1; r > 0; --r) {
     swap(a, 0, r);
     re-establish MAXHEAP( a[0..r - 1])
}
(This is pseudocode!)
```

Establishing the max-heap property

Problem domain

MAXHEAP(a, ℓ):

$$(\forall i \ge \ell)2i + 1 < n \implies a[i] \ge a[2i+1) \land 2(i+1) < n \implies a[i] \ge a[2(i+1))$$

- $\ell \ge n/2 \implies MAXHEAP(a, \ell)$
- if $MAXHEAP(a, \ell 1)$ the we obtain $MAXHEAP(a, \ell)$ inserting $a[\ell 1]$ in $a[\ell ... n 1]$

Algorithmically:

```
j = \ell(;
while (exists children of j) {
    k = the index of the child with the maximum value;
    if (a[j] < a[k]) swap(a, j, k);
    j = k;
}</pre>
```

HeapSort: the algorithm

```
insertInHeap(a, n, \ell) {
  isHeap = false; j = \ell;
  while (2*j+1 \le n-1 \&\& ! isHeap) {
   k = 2*j +1;
    if ((k < n-1) && (a[k] < a[k+1])) k = k+1;
    if (a[j] < a[k]) swap(a, j, k); else isHeap = true;
    j = k;
heapSort(a, n) {
  for (1 = (n-1)/2; 1 \ge 0; 1 = 1-1)
    insertInHeap(a, n, 1);
 r = n-1;
  while (r >= 1) {
    swap(a, 0, r);
    insertInHeap(a, r, 0);
    r = r - 1;
```

HeapSort: analysis 1/2

Correctness It is based on the correctness of the operations of the data-structure *max-heap*.

the while invariant in insertInHeap: $(\forall i \geq \ell)$ if j is not in the tree with the root in i, then MAXHEAP(a, i)

the for invarian in heapSort: $MAXHEAP(a, \ell)$

the while invariant in heapSort: $MAXHEAP(a[0..r-1]) \land SORTED(a[r..n-1])$

HeapSort: analysis 2/2

Execution time

- instance size: n = a.size()
- operations measured: comparisons involving the array elements
- the worst case:

HeapSort: analysis 2/2

Execution time

- instance size: n (= a.size())
- operations measured: comparisons involving the array elements
- the worst case: hard to say
 - time complexity for insertInHeap: $O(\log n)$
 - but the construction of the whole heap requires

$$O(n \log n) = O(\log \frac{n-1}{2}) + \cdots + O(\log n)$$
 (in fact $\Theta(n)$, see Cormen et al., 6.3)

- time complexity for while:

$$O(log(n-1) + O(log n - 2) + \cdots + O(log 1) = O(n log n)$$

• the total number of comparisons $O(n \log n)$



Other sorting algorithms

Exercises for seminar.



Two questions regarding the sorting algorithms

- what is the minimal number of comparisons in the worst case?
- which sorting algorithms requires the minimal number of comparisons?

To answer these questions we have to formally define the computational model of comparisons-based algorithms.

Decision trees for sorting: intuitive

```
Assumption: a_i \neq a_j if i \neq j
```

Notation: $i?j \equiv a[i]$ and a[j] are compared

A decision tree for sorting includes the comparisons made by the algorithm:

- an internal mode is labelled with *i*? *j*;
- the left subtree of i?j includes the comaprisons for $a_i < a_j$;
- the right subtree of i?j includes the comaprisons for $a_i > a_j$;
- the external (frontier) nodes are labelled with permutations

The algorithms represented as decision trees

Definition

Decision tree for *n* elements:

- internal nodes: *i*?*j*
- external (frontier) nodes: permutations of the set $\{0, 1, \dots, n-1\}$

Definition

A computation of a decision tree t for the input $a = (a_0, \ldots, a_{n-1})$: a path from the root to the fontier with the property

- if $a_i < a_j$: the left child of i?j the current node;
- otherwise the right child becomes the current node
- the computation (should) terminate on the frontier



Decision trees for sorting

Definition

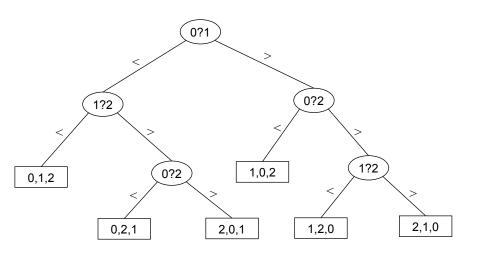
```
t solves the sorting problem:
```

```
\forall input a = (a_0, \ldots, a_{n-1})
```

the computation of t for a terminates in π s.t. $a_{\pi(0)} < \cdots < a_{\pi(n-1)}$

decision tree for sorting: decision tree that solves the sorting problem

A decision tree representing InsertSort





Time complexity of sorting

Notations:

ADS(n)= the set of decision trees for sorting sequnces of length n Fr(t)= the frontier of the decision tree t $length(\pi,t)=$ the length in t of the path from the root to $\pi \in Fr(t)$ The time complexity for the worst case:

$$T(n) = \min_{t \in ADS(n)} \max_{\pi \in Fr(t)} \operatorname{length}(\pi, t)$$

Theorem

The sorting problem has the worst case time complexity $\Omega(n \log n)$ in the computational model of the decision trees for sorting.

Corollary

HeapSort is optimal in the computational model of the decision trees for sorting.

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Searching problem

Instance a universe set \mathcal{U} , a subset $S \subseteq \mathcal{U}$ and an element a in \mathcal{U} ; Question $a \in S$?

Assume that \mathcal{U} is totally ordered and S is represented by an array s[0..n-1] with $s[0] < \cdots < s[n-1]$.

A generic divide-et-impera algorithm for searching: the idea

Generalize: s[p..q]].

The algorithm:

- choose m with $p \le m \le q$;
- if a = s[m] then the searching successfully terminates;
- if a < s[m] then the searching continues for $(s[p], \ldots, s[m-1])$;
- ullet if a>s[m] then the search continues for $(s[m+1],\ldots,s[q]);$

The most known algorithms:

- Linear searching: m = p.
- Binary search: $m = \lceil \frac{p+q}{2} \rceil$.
- Fibonacci search: q + 1 p = Fib(k) 1

A generic divide-et-impera algorithm for searching

```
pos(s, n, a) {
   p = 0; q = n - 1;
2: choose m between p and q
   while ( (a != s[m]) && (p < q)) {
      if (a < s[m]) q = m -1; else p = m + 1;
      5: choose m between p and q
   }
   if (a == s[m]) return m; else return -1;
}</pre>
```

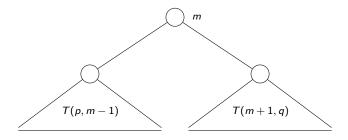
Searching algorithms represented as decision trees 1/2

Definition

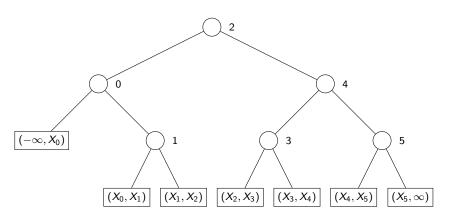
The decision tree for searching of dimension n: T(0, n-1), where T(p, q) is defined as follows:

- if p > q then T(p,q) is the empty tree;
- otherwise the root is m given by the instr. 2 or 5, the left subtree is T(p, m-1) and the right one is T(m+1, q)
- the frontier nodes: $(-\infty, X_0), (X_0, X_1), \dots, (X_{n-1}, +\infty)$ in this order from left to right

T(p,q) graphically



Example of decision tree for searching



Searching algorithms represented as decision trees 2/2

Definition

The computation of a decision tree for the input x_0, \ldots, x_{n-1}, a : a path from the root towards frontier with the property

- ① if the current node is (X_i, X_{i+1}) (on the frontier), then $a \in (x_i, x_{i+1})$ and the computation unsuccessfully terminates;
- ② if the current node is m and $a = x_m$, then the computation successfully terminates;
- 3 if the current node is m and $a < x_m$ then the root of the left subtree becomes the current node;
- ① if the current node is m and $a > x_m$ then the root of the right subtree becomes the current node.

The particular case of the bunary search

Lemma

Let t be a decision tree for binary searching. If $2^{h-1} \le n < 2^h$, then the height of t is h.

Corollary

The execution time for the binary search is $O(\log_2 n)$.

Proprieties of the decision trees for searching

Definition

The internal length of t: IntLength(t) = the sum of the lengths of the paths from the root to the internal nodes

The external length of t: ExtLength(t) = the sum of the lengths of the paths from the root to the frontier

Lemma

Let t be a decision tree for searching with n internal nodes. Then:

$$\operatorname{ExtLength}(t) - \operatorname{IntLength}(t) = 2n.$$

Lemma

The minimal length of a decision tree for searching with n internal nodes is

$$(n+1)(h-1)-2^h+2$$

The complexity of searching

Theorem

The searching problem has the worst case time complexity $\Omega(\log n)$ in the model of the decision trees for searching.

Corollary

The binary search is optimal in the model of the decision trees for searching.

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Motivation

Mentality: "If I know to solve a problem Q, then I can use this algorithm to solve P?"

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Intuition: A problem P reduces to Q if the algorithms for Q can help to solve P.

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Mentality: "If I know to solve a problem Q, then I can use this algorithm to solve P?"

Intuition: A problem P reduces to Q if the algorithms for Q can help to solve P.

Aplication:

- algorithm design
- proof of the limits: if P is difficult then Q is difficult as well
- problem classification

A problem P polynomially reduces to (a solvable problem) Q, write $P \propto Q$, if we can design an algorithm for P as follows:

① let p be an instance of P;

- lacktriangle let p be an instance of P;
- $oldsymbol{Q}$ preprocesss in polynomial time the input p to obtain an instance of Q

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- \odot call an algorithm for Q, possible of several times (but of polynomial times)
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If the (pre+post)processing time requires O(g(n)) time, then we write $P \propto_{g(n)} Q$.

Example: $MAX \propto SORT$

FieLet MAX be the following problem:

```
Input A set S totally ordered. Output The bigests element in S.
```

The following algorithm solves MAX:

- represent S with an array s (preprocessing);
- call a sorting algorithm for s;
- return the last element in s (postprocessing);
- \propto does not necessarily mean "the reduction from a complex problem to an easier one"!!!

Variants for the subset sum problem

```
SSD1
```

Input A set S of integers, M a positive integer.

Output The bigest integer M^* s.t. $M^* \leq M$ and $\exists S' \subseteq S$ with

 $\sum_{x \in S'} x = M^*.$

SSD2

Instance A set S of integers, M, K two positive integers with $K \leq M$.

Question Does it exists M° s.t. $K \leq M^{\circ} \leq M$ and $\sum_{x \in S'} x = M^{\circ}$ for a

certain set $S' \subseteq S$?

SSD3

Instance A set S of integers, M a positive integer.

Question Does it exists a subset $S' \subseteq S$ with $\sum_{x \in S'} x = M$?

SSD1 Input

A set S of integers, M a positive integer.

Output The bigest integer M^* s.t. $M^* \leq M$ and $\exists S' \subseteq S$ with

 $\sum_{x \in S'} x = M^*.$

SSD2

Instance A set S of integers, M, K two positive integers with $K \leq M$.

Question Does it exists M° s.t. $K \leq M^{\circ} \leq M$ and $\sum_{x \in S'} x = M^{\circ}$ for a certain

set $S' \subseteq S$?

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SSD2

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Question Does it exists M° s.t. $K \le M^\circ \le M$ and $\sum_{x \in S'} x = M^\circ$ for a certain

set $S' \subseteq S$?

no preprocessing;

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SSD2

Instance A set S of integers, M, K two positive integers with $K \leq M$.

Question Does it exists M° s.t. $K \leq M^{\circ} \leq M$ and $\sum_{x \in S'} x = M^{\circ}$ for a certain

set $S' \subseteq S$?

- no preprocessing;
- ② find M^* in (0, M] calling an algorithm that solves SSD2 in a binary search manner;

SSD1

Input A set S of integers, M a positive integer.

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set $S' \subseteq S$?

- no preprocessing;
- ② find M^* in (0, M] calling an algorithm that solves SSD2 in a binary search manner;

This is an example when an optimisation problem is reduced to decision problem.

SSD1

Input A set S of integers, M a positive integer.

Output The bigest integer M^* s.t. $M^* \leq M$ and $\exists S' \subseteq S$ with

 $\sum_{x \in S'} x = M^*.$

SSD2

Instance A set S of integers, M, K two positive integers with $K \leq M$.

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no preprocessing;

SSD1

Input A set S of integers, M a positive integer.

Output The bigest integer M^* s.t. $M^* \leq M$ and $\exists S' \subseteq S$ with

 $\sum_{x \in S'} x = M^*.$

SSD2

Instance A set S of integers, M, K two positive integers with $K \leq M$.

Question Does it exists M° s.t. $K \leq M^{\circ} \leq M$ and $\sum_{x \in S'} x = M^{\circ}$ for a certain

set $S' \subseteq S$?

- no preprocessing;
- ② compute $M^* \leq M$ calling an algorithm solving SSD1;

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- ② compute $M^* \leq M$ calling an algorithm solving SSD1;
- \bullet if $M^* \geq K$ then return 'YES', otherwise return 'NO';



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SSD3

Instance A set S of integers, M a positive integer.

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Output The bigest integer M^* s.t. $M^* \leq M$ and $\exists S' \subseteq S$ with

 $\sum_{x \in S'} x = M^*.$

SSD3

Instance A set S of integers, M a positive integer.

Question Does it exists a subset $S' \subseteq S$ with $\sum_{x \in S'} x = M$?

no preprocessing;

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Output The bigest integer M^* s.t. $M^* \leq M$ and $\exists S' \subseteq S$ with

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SSD3

Instance A set S of integers, M a positive integer.

- no preprocessing;
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SSD3

Instance A set S of integers, M a positive integer.

- no preprocessing;
- ② compute $M^* \leq M$ calling an algorithm solving SSD1;
- **3** if $M^* = M$ return 'YES', otherwise return 'NO';



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If the preprocessing time is O(g(n)), then we write $P \propto_{g(n)} Q$.

The Karp reduction is a particular case of the Turing/Cook reduction.

SSD2

Instance A set S of integers, M, K two positive integers with $K \leq M$.

Question Does it exists M° s.t. $K \leq M^{\circ} \leq M$ and $\sum_{x \in S'} x = M^{\circ}$ for a certain set $S' \subset S$?

SSD3

Instance A set S of integers, M a positive integer.

- no preprocessing;
- ② call an algorithm solving SSD2 for the instance S, M, M;

Example: 3-SUM $\propto 3$ -COLLINEAR

3-SUM

Instance A set S of n integers.

Question Exist there 3 numbers in S s.t. their sum is 0?

3-COLLINEAR

Instance A set S of n points in plane.

Question Exist there three points in S that are colinear?

3-SUM \propto 3-COLLINEAR:

- sconsider a input $S = \{a_0, a_1, \dots, a_{n-1}\}$ of 3-SUM;
- **2** compute $t(S) = \{(a_0, a_0^3), (a_1, a_1^3), \dots, (a_{n-1}, a_{n-1}^3)\}$
- \bullet return the result given by an algorithm solving 3-COLLINEAR for t(S).

Lemma

If a, b, c are distinct, then a + b + c = 0 iff (a, a^3) , (b, b^3) and (c, c^3) are colinear.

Reduction: properties

Theorem

- a) If P has the time complexity $\Omega(f(n))$ and $P \propto_{g(n)} Q$ (Karp version) the Q has the time complexity $\Omega(f(n) g(n))$.
- b) If Q has the time complexity O(f(n)) and $P \propto_{g(n)} Q$ (Karp version) then P has the time complexity O(f(n) + g(n)).