# LGIC 010/PHIL 005

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Lecture 10

## **Types**

#### **Definitions**

- For concreteness, we focus on pure schemata involving only the monadic predicate letters "F" and "G." The generalization to pure schemata involving additional monadic predicate letters is straightforward.
- We call each of the following four one variable open schemata types.

$$T_1: (Fx \wedge Gx) \quad T_2: (Fx \wedge \neg Gx) \quad T_3: (\neg Fx \wedge Gx) \quad T_4: (\neg Fx \wedge \neg Gx)$$

• We say that a structure A realizes a given type T if and only if  $A \models (\exists x) T$ .

## **Types**

### A Very Important Example

- The following structure realizes all four of the types listed above.
- $A: U^A = \{1, 2, 3, 4\}, F^A = \{1, 3\}, G^A = \{1, 2\}$
- Moreover, the 14 proper substructures of A realize exactly the fourteen proper nonempty subsets of the types listed above.

# Types and Monadic Similarity

#### Theorem

If A and B realize the same types, then they are monadically similar.

#### Proof

- If A and B realize the same types, then there is a single structure C which is a surjective homomorphic image of both A and B.
- Therefore, by our earlier result, A is monadically similar to C and B is monadically similar to C.
- It follows at once that A is monadically similar to B.

### Corollary (The Small Model Theorem)

There is a collection W of  $2^{(2^n)}-1$  structures, each of size  $\leq 2^n$ , such that for any structure A interpreting the monadic predicate letters " $F_1$ ," . . . " $F_n$ ," there is a structure  $B \in W$  such that  $A \approx_M B$ .

# Fundamental Properties of Monadic Quantification Theory

### Corollary (Small Model Property)

There is a collection  $\mathcal{W}$  of  $2^{(2^n)}-1$  structures each of size  $\leq 2^n$  such that for any pure monadic schema S involving only the predicate letters " $F_1$ ,"... " $F_n$ ," if S is satisfiable, then there is a structure  $A \in \mathcal{W}$  such that  $A \models S$ .

### Corollary (Decidability of Satisfiability)

There is a mechanical decision procedure to determine whether a pure monadic schema is satisfiable.

# Computing |mod(S, n)|

#### **Definitions**

- If X is a finite set, we write |X| for the number of members of X.
- In the context of today's class, all structures interpret the three monadic predicate letters F, G, and H and all schemata are built from these predicate letters.
- We write  $\mathcal{M}_n$  for the set of structures A such that  $U^A = \{1, \dots, n\}$ .
- If S is a schema, we write mod(S, n) for the set of structures  $A \in \mathcal{M}_n$  such that  $A \models S$ .

# Computing |mod(S, n)|

#### An Example

- Compute  $|\mathsf{mod}((\forall x)(Fx\supset (Gx\supset Hx)),10)|$ .
- Recall that  $(\forall x)(Fx \supset (Gx \supset Hx))$  is equivalent to  $(\forall x)(Fx \lor Gx \lor Hx)$ .
- Observe that  $A \models (\forall x)(Fx \lor Gx \lor Hx)$  if and only if A omits the type  $\neg Fx \land \neg Gx \land \neg Hx$ .
- It follows that  $|mod((\forall x)(Fx \supset (Gx \supset Hx)), 10)| = 7^{10}$ .

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# Computing prob(S, n)

#### Definition

• For S a schema,

$$\operatorname{prob}(S, n) = \frac{|\operatorname{mod}(S, n)|}{|\mathcal{M}_n|}.$$

- $\operatorname{prob}(S, n)$  is the probability with respect the the "uniform distribution" that S is satisfied by a structure in  $\mathcal{M}_n$ .
- We can think of this distribution in the following way. To determine a structure  $A \in \mathcal{M}_n$ , each  $1 \leq i \leq n$  flips three fair coins, the F-coin, the G-coin, and the the H-coin, to decide whether or not it belongs to the extension of F, G, and H, respectively.
- Thus, a structure  $A \in \mathcal{M}_n$  is determined by  $3 \cdot n$  flips of a fair coin. Hence, the probability of the "event"  $\{A\}$ , with respect to this distribution, is  $2^{-3n}$ .

# Computing prob(S, n)

### An Example

- Compute prob( $(\forall x)(Fx \supset (Gx \supset Hx)), 10$ ).
- Note the  $|\mathcal{M}_n| = 2^n \cdot 2^n \cdot 2^n = 2^{3n}$ , corresponding to three independent choices of extension (a subset of  $\{1, \ldots, n\}$ ) to the predicate letters F, G, and H.
- It now follows from our earlier computation of  $|\operatorname{mod}((\forall x)(Fx\supset (Gx\supset Hx)),10)|$  that

$$prob((\forall x)(Fx\supset (Gx\supset Hx)), 10) = \frac{7^{10}}{2^{30}} = (\frac{7}{8})^{10}.$$

• Alternatively, if we think of the structure A as determined by coin flips, we see that for each  $1 \le i \le 10$ , the probability that i realizes a type other than  $\neg Fx \land \neg Gx \land \neg Hx$  is  $\frac{7}{8}$ . Thus, the probability that A omits this type is  $\left(\frac{7}{8}\right)^{10}$ .

## Complete Schemata

#### **Definitions**

 A pure monadic schema S is complete if and only if S is satisfiable, and for all structures A and B,

if 
$$A \models S$$
 and  $B \models S$ , then  $A \approx_M B$ .

 A list of pure monadic schemata is succinct if and only if no two schemata on the list are equivalent.

## Complete Schemata

#### Observations

- A pure monadic schema S is complete if and only if S is satisfiable, and for all pure monadic schemata T, either S implies T or S implies the negation of T.
- It is a corollary to the Small Model Property that the length of a longest succinct list of complete pure monadic schemata (recall our earlier **context declaration**) is  $2^8 1$  (=255).
- Every pure monadic schema is equivalent to a disjunction of a subset of the complete schemata that form such a list. This is analogous to the Disjunctive Normal Form Theorem for truth functional logic.
- Thus, the maximal length of a succinct list of pure monadic schemata is  $2^{255}$ .

## Expressive Completeness?

- In the context of monadic quantification theory, we may think of mod(S, n) as the proposition expressed by S with respect to structures with universe  $\{1, \ldots, n\}$ .
- By way of inquiring into the expressive completeness of monadic quantification theory, we might ask whether for every n, and every  $\mathcal{P} \subseteq \mathcal{M}_n$ , there is a schema S such that  $mod(S, n) = \mathcal{P}$ .
- It follows directly from the Homomorphism Theorem that for every n>1 this is not the case, that is, for some  $\mathcal{P}\subseteq\mathcal{M}_n$ , and for every schema  $S, \operatorname{mod}(S,n)\neq \mathcal{P}$ .
- Further light is cast by the consideration that  $|\mathcal{M}_3|=8^3(=512)$ , and thus  $2^{512}$  distinct "propositions" over  $\mathcal{M}_3$  only  $2^{255}$  of which are expressed by monadic schemata.

## Expressive Completeness?

- The most obvious deficiency in the expressive power of monadic quantification theory, is its inability to count, that is, although it can express the quantifier "there is at least one x such that ...," it cannot express the quantifier "there are at least two x such that ...."
- We will remedy this deficiency when we pass to a logic that includes the identity relation.
- But for now, let us consider further what constitutes a legitimate "proposition" in the context of monadic quantification theory.

### Isomorphism

#### **Definitions**

- A function  $h: U \mapsto V$  is an *injection* if and only if for all  $a, b \in U$ , if  $a \neq b$ , then  $h(a) \neq h(b)$ .
- A function  $h: U \mapsto V$  is a *bijection* if and only if it is both an injection and a surjection.
- Let A and B be structures. A function  $h: U^A \mapsto U^B$  is an isomorphism of A onto B if and only if h is a bijection, and a homomorphism of A onto B.
- Let A and B be structures. A is isomorphic to B  $(A \cong B)$  if and only if there is an isomorphism of A onto B.

## Isomorphism Invariance Principle

- If S is a schema of ANY LOGIC, and  $A \cong B$ , then  $A \models S$  if and only if  $B \models S$ .
- Thus, we should only use the term "proposition" for collections of structures that are isomorphism invariant.

#### **Definitions**

• For A a structure

$$\mathbb{E}(A, n) = \{B \in \mathcal{M}_n \mid B \approx_M A\},\$$

and

$$\mathbb{I}(A, n) = \{B \in \mathcal{M}_n \mid B \cong A\}.$$

• Let T be a type and A be a structure.

$$T[A] = \{c \in U^A \mid A \models T[c]\}.$$

• Observe that  $A \cong B$  if and only if for every type T

$$|T[A]| = |T[B]|.$$

### Preparing to Compute $|\mathbb{E}(A, n)|$ and $|\mathbb{I}(A, n)|$

In our current context there are eight types.

- $T_1$ :  $(Fx \wedge Gx \wedge Hx)$
- $T_2$ :  $(Fx \land \neg Gx \land Hx)$
- $T_3$ :  $(\neg Fx \land Gx \land Hx)$
- $T_4$ :  $(\neg Fx \land \neg Gx \land Hx)$
- $T_5: (Fx \wedge Gx \wedge \neg Hx)$
- $T_6: (Fx \land \neg Gx \land \neg Hx)$
- $T_7: (\neg Fx \wedge Gx \wedge \neg Hx)$
- $T_8: (\neg Fx \land \neg Gx \land \neg Hx)$

### Example: Computing $|\mathbb{E}(A,6)|$

.Let A be the following structure

- $A: U^A = \{1, 2, 3, 4, 5, 6\}$
- $F^A = \{1, 3\}$
- $G^A = \{1, 2\}$
- $H^A = \{1, 2, 4, 5, 6\}$

Note that a structure B is monadically similar to A if and only B realizes the same types as realized by A. In this case B must realize  $T_1$ ,  $T_3$ ,  $T_4$ , and  $T_6$ , and omit the remaining four types.

On the basis of this observation, we will show that

$$|\mathbb{E}(\textit{A},6)| = 4^6 - \binom{4}{1} 3^6 + \binom{4}{2} 2^6 - \binom{4}{3} 1^6.$$

### Example: Computing $|\mathbb{I}(A,6)|$

.Let A be the structure defined on the preceding slide. Recall that a structure B is isomorphic to A if and only  $|T_i[B]| = |T_i[A]|$  for all  $1 \le i \le 8$ , that is,  $|T_1[B]| = 1, |T_3[B]| = 1, |T_4[B]| = 3, |T_6[B]| = 1$ , and  $|T_2[B]| = |T_5[B]| = |T_7[B]| = |T_8[B]| = 0$ . On the basis of this observation, we will show that

$$|\mathbb{I}(A,6)| = \frac{6!}{1! \cdot 1! \cdot 3! \cdot 1!}.$$