

## MA 638 - Section 7.4 Homework

Throughout assume  $R$  is a ring with identity  $1 \neq 0$ .

1. Let  $R$  be a commutative ring. Prove that the principal ideal generated by  $x$  in  $R[x]$  is a prime ideal if and only if  $R$  is an integral domain. Prove that  $(x)$  is a maximal ideal if and only if  $R$  is a field.

For each part, we will use the following: Consider the evaluation map  $\varphi : R[x] \rightarrow R$ . If  $p(x) \in R[x]$  then  $\varphi$  is given by  $\varphi(p(x)) = p(0)$ . Obviously we have both  $\ker \varphi = (x)$  and  $\text{Im} \varphi = R$ . Now  $R[x]/(x) \cong R$  by the first isomorphism theorem.

*Proof part 1:* Suppose  $(x)$  in  $R[x]$  is prime. By theorem 13 of section 7.4, since  $(x)$  is prime therefore  $R[x]/(x)$  is an integral domain. Since  $R[x]/(x) \cong R$ , then  $R$  is an integral domain.

For the converse suppose that  $R$  is an integral domain. Therefore  $(x)$  is prime, again by theorem 13.

*Proof part 2:* Suppose  $(x)$  is maximal and therefore by theorem 12 of section 7.4  $R[x]/(x)$  is a field. Since  $R[x]/(x) \cong R$  then we have that  $R$  is a field. For the converse, suppose  $R$  is a field, then by theorem 12,  $(x)$  is maximal.

2. Assume  $R$  is commutative. Prove that if  $P$  is a prime ideal of  $R$  and  $P$  contains no zero divisors, then  $R$  is an integral domain.

*Proof:* Let  $r, s \in R$  such that  $rs = 0$ . Since  $P$  is an ideal, then  $rs = 0 \in P$ . Since  $P$  is prime either  $r \in P$  or  $s \in P$ . Without loss of generality suppose  $r \in P$ . Therefore  $r$  is not a zero divisor, and since  $rs = 0$  then either  $r = 0$  or  $s = 0$ . Hence  $R$  is an integral domain.

3. Let  $\phi : R \rightarrow S$  be a homomorphism of commutative rings. Prove that if  $P$  is a prime ideal of  $S$  then either  $\phi^{-1}(P) = R$  or  $\phi^{-1}(P)$  is a prime ideal of  $R$ . Apply this to a special case when  $R$  is a subring of  $S$  and  $\phi$  is the inclusion homomorphism to deduce that if  $P$  is a prime ideal of  $S$ , then  $P \cap R$  is either  $R$  or a prime ideal of  $R$ .

*Proof:* First we establish that  $\phi^{-1}(P)$  is an ideal, beginning with the fact that it is a commutative subgroup under addition. If  $a, b \in \phi^{-1}(P)$  then  $\phi(a), \phi(b) \in P$  and since  $P$  is an ideal,  $\phi(a) + \phi(b) \in P$ . Since  $\phi$  is a homomorphism  $\phi(a + b) = \phi(a) + \phi(b)$  and therefore  $a + b \in \phi^{-1}(P)$ . Moreover  $-\phi(a) = \phi(-a) \in P$  and so  $-a \in \phi^{-1}(P)$ . Finally, associativity and commutativity follow from the fact that  $R$  is a ring.

To next show closure under arbitrary products, let  $r \in R$ . Then  $\phi(r)\phi(a) \in P$  since  $P$  is an ideal. But then  $\phi(ra) = \phi(r)\phi(a)$  and so  $ra \in \phi^{-1}(P)$ . All that then remains is to show associativity and distributivity, but these are immediate from the fact that  $R$  is a ring.

Now that we know  $\phi^{-1}(P)$  is an ideal, let  $a, b \in R$  and  $ab \in \phi^{-1}(P)$ . Therefore there exists some  $p \in P$  such that  $\phi(ab) = p$ . Since  $\phi$  was assumed to be a homomorphism then  $\phi(ab) = \phi(a)\phi(b) \in P$  and therefore either  $\phi(a) \in P$  or  $\phi(b) \in P$ . Without loss of generality suppose  $\phi(a) \in P$  and therefore  $a \in \phi^{-1}(P)$ . This shows that if  $\phi^{-1}(P) \neq R$  then  $\phi^{-1}(P)$  is prime, which is equivalent to the statement that  $\phi^{-1}(P) = R$  or  $\phi^{-1}(P)$  is prime.

If  $R$  is a subring of  $S$  and  $\phi$  is the inclusion homomorphism, and if  $P$  is a prime ideal of  $S$ , then we have that  $\phi^{-1}(P)$  is prime. But because  $\phi$  is the inclusion homomorphism then  $\phi^{-1}(P)$  just is those elements of  $R$  which are elements in  $P$ . That is to say  $\phi^{-1}(P) = P \cap R$ . Hence if  $P \cap R \neq R$  then  $P \cap R$  is prime.