

# PROSPECTS FOR HIGGS BOSON & TOP QUARK MEASUREMENTS AND APPLICATIONS OF CMOS MAPS FOR DIGITAL CALORIMETRY AT FUTURE LINEAR COLLIDERS

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## ABSTRACT

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$X$  was measured, we showed that  $Y \neq Z$  and that  $M_H = 126 \text{ GeV}/c^2$ .

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## DECLARATION OF AUTHORS CONTRIBUTION

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I did this, and that, and some of the other.

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## ACKNOWLEDGEMENTS

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I would like to thank bla, and bla ...



*Motto or dedication*



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## DEFINITIONS OF ACRONYMS

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**HL-LHC** High Luminosity Large Hadron Collider

**LHC** Large Hadron Collider

Superconducting collider occupying the 27 km ring at CERN.

**QCD** Quantum Chromodynamics

**SM** Standard Model

**BSM** Beyond the Standard Model

**HL-LHC** High Luminosity Large Hadron Collider

**FCC** Future Circular Collider

**CLIC** Compact Linear Collider

**ILC** International Linear Collider

**CMOS** Complimentary Metal-Oxide Semiconductor

**MAPS** Monolithic Active Pixel Sensors

**EM** Electromagnetic

**ECAL** Electromagnetic Calorimeter

**DECAL** Digital Electromagnetic Calorimeter

# CHAPTER 1

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## Introduction

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With the expected shutdown of the High Luminosity Large Hadron Collider (HL-LHC) in 2038, and the long time scales associated with the construction of any new colliding facility ( $\tilde{10}$  years), the time for physicists to agree on what experiments should follow in the post-Large Hadron Collider (LHC) era is rapidly approaching, with initial decisions expected to take place in the early 2020s. However, following the discovery of a Higgs Boson at the LHC [2, 3], with properties in agreement with those predicted by the SM, the particle physics community is left in a situation where there is no definitive course of action through which new physics might be discovered. There are still many open questions remaining; one clear example being the origin of dark matter, which has been observed to make up  $\tilde{27}\%$  of the universe. Despite being examined through multiple astrophysical observations such as a, b and c (e.g gravitational lensing, galaxy rotation velocity + refs) there is still no proven particle physics explanation for what it is made from or how it is produced. Other notable examples include the matter-antimatter asymmetry of the universe

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which is yet to be explained by the levels of CP violation measured in the SM(ref) and the Higgs heirarchy problem / fine tuning problem where it is expected that a precise cancellation of quantum corrections is needed to be able to simultaneously explain the difference in strength between the weak and gravitational forces while allowing for the measured value of the Higgs mass(ref). Currently there is no clear direction for how to answer these questions; as such there are two main approaches that may be taken. The first is to continue to push the boundaries of the “energy frontier” (following the approach of the LHC) and look for new physics at higher energy scales that is not predicted by the SM, but is predicted by many Beyond the Standard Model (BSM) models such as supersymmetry (ref). In this scenario the natural option is to build a circular hadron collider. While hadrons result in more complex interactions due to the fact they are structured, they are well suited for high energy collisions due to their high masses which reduce the amount of synchotron radiation emitted (radiation produced from accelerating a charged body through an Electromagnetic (EM) field, see (1.1)) when accelerating them in a circular path.

$$P = \frac{e^4}{6\pi\varepsilon_0 m^4 c^5} E^2 B^2. \quad (1.1)$$

Where  $P$  is power,  $e$  is elementary charge,  $E$  is particle energy,  $B$  is magnetic field,  $m$  is mass and all other symbols have their usual meaning.

Pushing the energy frontier has the appeal that it allows direct detection of particles at new energy scales and is supported by the fact that many BSM models rely on new particles appearing in ;multiTeV energy range e.g. supersymmetry (ref (maybe of CLIC 3TeV bsm plot?)), however it does have drawbacks and risks. Due to the composite structure of hadrons they provide collision energies that are often significantly below the provided beam energy and that are challenging to measure. This limits the type of measurement that can be performed as the initial state of the interaction is poorly defined and so all measurments must rely on measurement of the final state particles. This increases the effect of uncertainties intorduced by detector acceptances and resolutions and makes it highly challenging to identify particles that

can't be measured by the detector e.g. neutrino/ dark matter candidates. Due to fragmentation of the hadrons, there are also significant Quantum Chromodynamics (QCD) backgrounds produced which can dominate over interesting signal channels. While these do make measurements more challenging, the real risk with pushing the energy frontier is that the constraints on the scale of at which new physics might be observed are currently very poor (give examples of BSM models predicting very different energy ranges.) This makes it extremely challenging to choose what collision energy any future collider should operate at as choosing too low an energy will result in no new phenomena being seen.

The second option is to advance in the “precision frontier” and search for small deviations from the SM or harder to detect processes. In this case the more natural choice is to use a lepton collider as the fact the interaction is an annihilation of fundamental particles rather than composite particles means that the initial conditions of the interaction can be known to a high level of precision determined entirely by the quality of the colliding beams. For leptons it is also possible produce polarised beams which opens up a new range of potential measurements when examining interactions that couple differently to left and right handed objects. Doing this we can probe areas of the SM that are less well measured such as the Higgs and Top quark sectors and search for evidence of physics beyond the standard model. The worst case scenario for a lepton collider is to simply reinforce the SM, however even in this case the new levels of precision on many of the SM parameters will be beneficial for constraining BSM theories and reducing systematic uncertainties on measurements being made at hadron colliders. While allowing for precision measurements of the SM, lepton colliders do also provide opportunities for both direct and indirect discoveries of new physics through channels that are either unavailable at hadron colliders or that are challenging due to QCD backgrounds. The main draw back of colliding leptons is that currently the only viable option is to use electrons and positrons (though there is effort underway to use muons(ref)) which have extremely low masses and so produce considerable levels of synchotron radiation ( $10^{16}$  as much as protons) when used in a circular collider. The usual solution to this is to use a

linear collider instead. This prevents losses from synchotron radiation, however it limits the maximum collision energy that can be achieved as the path over which the particles can be accelerated is limited to the length of the accelerator which is limited by the increasing cost of extending the footprint of the machine. It is worth noting however, that for leptons the collision uses the full beam energy each time and so higher energy interactions can be produced from lower energy beams than for hadrons.

Considerable work has already been carried out into designing both high energy and high precision colliders. On the high energy side is the Future Circular Collider (FCC), a 100 TeV circular proton collider proposed as a project for CERN. It is possible to also use this device as an ee collider operating above the Higgs threshold so as to act as a “Higgs factory”. On the precision side there are multiple proposed projects (REFERENCE SMALLER PROJECTS), however the most mature of these are the linear electron-positron colliders: Compact Linear Collider (CLIC) ?? and International Linear Collider (ILC) ?? . The ILC is a 500 GeV collider proposed as a joint endeavour between the Japanese government and the international community while CLIC is a multi-TeV machine being proposed by CERN. Due to the large cost of these devices it unlikely that CERN will build both FCC and CLIC together.

The focus of this thesis will be on the prospects of the proposed high precision colliders. In particular we discuss the prospects for measuring properties of the Higgs Boson and Top quark at CLIC which are relatively poorly measured when compared to other standard model particles, while also examining a novel design for a digital calorimeter based on Complimentary Metal-Oxide Semiconductor (CMOS) Monolithic Active Pixel Sensors (MAPS) technology for use in future detectors as an extremely high granularity Electromagnetic Calorimeter (ECAL).

# CHAPTER 2

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## Experiments

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There are many possible designs for future lepton colliders [4, 5] however here we focus on the two most developed projects, CLIC and ILC. Both projects are linear colliders which propose using electron-positron collisions and were founded over twenty years ago, though ILC is currently the more mature design of the two. We will also discuss the detectors proposed for both experiments. ILC currently has two detector concepts being developed, **ILD!** (**ILD!**) and **SiD!** (**SiD!**), which will be operated in a 'push-pull' scheme in which both detectors are placed on a single platform that is periodically moved to alternate which detector is placed in the path of the beams. This is necessary as there is only one interaction point at a linear collider. Having two detectors has the advantage that any results made with one detector can be verified with the second to help reduce any systematic bias from either machine, however it comes with the penalty that each detector will only be able to take data half of the time and the process of moving the detectors in and out is lengthy (INSERT NUMBERS) resulting in additional deadtime for the

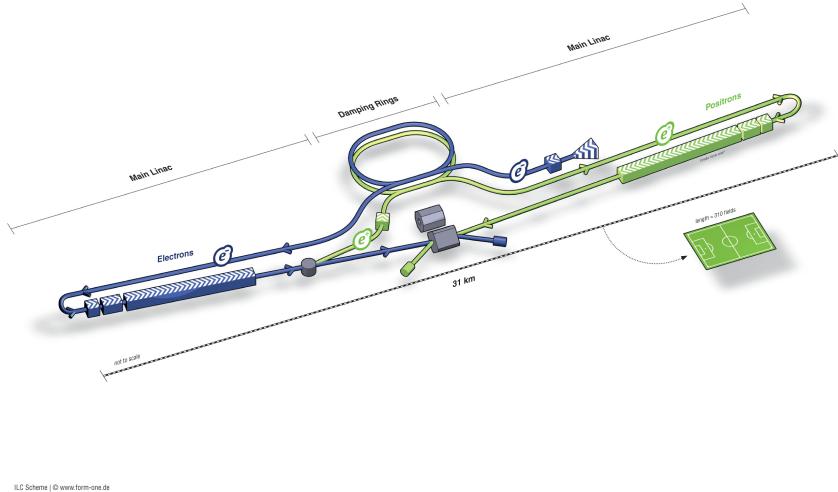


Figure 2.1: The ILC Collider (from [6])

experiment. CLIC intends to operate with only one detector, a variation of the **ILD!** developed for ILC, adapted for the different beam conditions present at CLIC.

## 2.1 ILC

The ILC (Figure 2.1) is a proposed experiment consisting of a 31km  $e^+e^-$  collider to be built in Kitakami in the northern region of Japan. The current construction schedule predicts the experiment will be finished in the (NUMBERS) with a cost of the order £6 billion and will run for approximately 20 years. However, until funding is secured for the experiment this is just an estimate. The **ILC TDR!** (**TDR!**) [6] was released in 2013 and gives a full description of the experiments' baseline design. While the **TDR!** is highly detailed, because the experiment is still under development it is possible that some of the information contained within it will become outdated and change before construction takes place. For simplicity any figures given in this section can be assumed to be taken from the **TDR!** unless otherwise stated.

### 2.1.1 Energy Staging

CHECK ALL THESE NUMBERS!! Staging has changed!!!!

The ILC will first be built with a maximum collision energy capability of 500GeV but with the potential for a later upgrade to 1TeV which would require doubling the length of the machine to 62km. The decision of whether the 1TeV upgrade is necessary will largely be determined by the results of the LHC experiments; if any new physics is discovered above 500GeV then the 1TeV upgrade could be essential to characterise them. Assuming the 1TeV upgrade is realised the energy staging will be as described below.

The first three years will involve the ILC running at an energy of 250GeV and taking  $250\text{fb}^{-1}$  of data. The main aim at this stage will be to measure the Higgs mass and ZH cross section from the Higgsstrahlung process described above. At this energy the experiment will have little sensitivity to the Higgs-WW coupling.

For the following three years, the collider will then run at 500GeV and will accrue a further  $500\text{fb}^{-1}$  of data. The main aims here will be to measure the H-WW coupling, the total Higgs width and the absolute Higgs couplings to fermions. At this energy, measurements of top physics will also be possible including the top forward backward asymmetry. Outside of the Higgs, the top quark is perhaps the least well measured of the standard model particles and so provides another area in which to look for deviations from the standard model predictions.

After this there will be the upgrade to 1TeV followed by another three years of data taking accumulating  $1000\text{fb}^{-1}$  of data. The aim of running at this high energy will be to search for new particles such as dark matter candidates and supersymmetric particles. If one of these (or something entirely new) has already been discovered at the LHC then the choice of 1TeV might be scaled down to somewhere between 500GeV and 1TeV to match the scale of the newly discovered physics.

After this the collider will undergo a high luminosity upgrade and will run at the same energies for the same time periods for another 9 years but instead accruing 900, 1100 and  $1500\text{fb}^{-1}$  at the respective energies. This will allow for a further increase in the precision of all measurements taken during the lower luminosity run. While

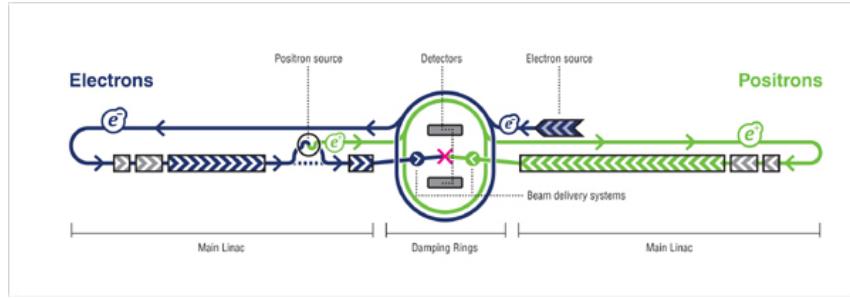


Figure 2.2: A simplified schematic of the ILC

the **TDR!** proposes the above run scheme for the ILC there is still debate about what energies should be used with arguments being made for running at 90GeV (the Z mass) to gain precision measurements of the Z boson and 350GeV (the top production threshold) to better measure the properties of the top quark.

### 2.1.2 Beam Production, Acceleration and Focusing

A simplified schematic of the ILC accelerating structure is shown above in diagram Figure 2.2. The first stage of the acceleration process is the production of electrons. This is done using the photoelectric effect by firing photons onto a GaAs target to produce photoelectrons. These electrons then enter a 3.2km long damping ring which accelerates the beam up to 15GeV. The primary purpose of the damping ring is to produce a homogeneous beam of electrons with uniform energy and momentum. After the damping ring the electrons enter into a two stage bunch compressor which separates the electron beam into  $\sim 1300$  bunches, each containing  $2 \times 10^{10}$  electrons, with each bunch being separated by 554ns giving a beam pulse length of  $730\mu\text{s}$ . The overall intended collision rate of these pulses is 5Hz, which means that the duration for collisions is less than 1% of the collision rate. This has important consequences for the detector design as it means the detectors have a large period of time in which to relax after events. As the detectors do not need to be on for 99% of the time, it is considerably easier to cool them meaning the material budget for the cooling systems within them can be greatly reduced. Following the bunch compression the electrons enter the main 11km linac where they are accelerated up to the nominal



Figure 2.3: A 1.3GHz Superconducting Niobium Radio Frequency Cavity [6]

beam energy using 7,400 1.3GHz superconducting niobium **RF!** (**RF!**) cavities (see Figure 2.3)

The **RF!** cavities are kept at a temperature of 2K and act to produce an average accelerating gradient of up to 31.5MV/m (14.7MV/m for the 250GeV stage.) The final stage before the collision is the **BDS!** (**BDS!**) which primarily acts to compress the beam into a ribbon shape with a cross-section of 7.7 x 729.0 nm while also handling the beam monitoring. The ribbon shape acts to reduce the **BS!** (**BS!**) radiation described earlier (see Section ??) while giving a small enough cross-section that the **IP!** (**IP!**) of the collision can be well known. Following the **BDS!** the beam finally enters the detector and collides with the opposing positron beam at a crossing angle of 14mrad then exits into the beam dump system which quenches what is left of the beam.

### 2.1.3 Positron Production

Positrons are produced at the ILC by tapping off energy from the electron beam after it has been accelerated by the main linac. The electron beam is passed through an undulator which causes the electrons to emit synchrotron radiation in the form of 10-30MeV photons by forcing the beam to take a rapidly varying path in the transverse plane to it's direction of motion. The resulting photons are then separated from the electron beam and are collided with a Titanium alloy target to produce electron positron pairs. The electrons and positrons are then separated- the electrons are dumped while the positrons are then passed into a damping ring and undergo all the same stages of acceleration and shaping as described above for the electrons.

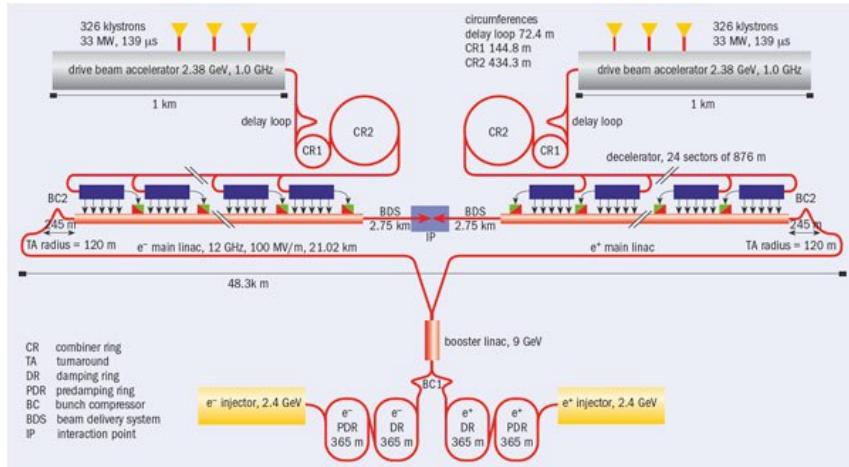


Figure 2.4: The CLIC Collider. Image taken from CLIC Conceptual Design Report[7]

## 2.2 CLIC

AGAIN CHECK ALL NUMBERS!!!!

CLIC is an experiment based at CERN which proposes the building of a 42km accelerator at the main CERN site in Geneva (Figure 2.4.) Despite being named as compact, CLIC is actually longer than the initial 500GeV ILC. The reason for this naming is that CLIC has a much higher accelerating gradient (100MeV/m) compared to ILC and so provides a much higher energy per length. The expected build date for CLIC is still relatively uncertain though is likely to be no earlier than 2030 as the accelerating technology required for CLIC is less developed than for ILC. This difference in the maturity of the two experiments can be seen from the fact that the ILC has released its **TDR!** while the most comprehensive document for the CLIC project is still its **CDR! (CDR!)** [7]. Updates on this document have been provided in the New Baseline Report [?] released in (NUMBER YEAR) and any details specified here can be assumed to come from these two documents.

Overall the design for CLIC is relatively similar in layout to the ILC but with a few changes. Positron production at CLIC is done completely independently from the main electron beam, though they are still produced via the same mechanism as before. The **BDS!** still compresses the beam to give it a small cross-section but

the beam is no longer shaped into a ribbon shape- this is why photon radiation is a more significant problem at CLIC. The collision rate at CLIC is significantly higher as it aims to be a high luminosity device- the collision rate will be 50Hz with 354 bunches per pulse with a separation of just 0.5ns. This means that CLIC will have a significantly higher duty cycle which will make cooling of the detectors harder and will give the detectors less time to relax after events. The most significant differences however are the energy staging and the acceleration technology used at CLIC.

### 2.2.1 Energy Staging

UPDATE STAGING!!

CLIC will operate at three energy stages- 380GeV, 1.5TeV and 3TeV collecting  $500fb^{-1}$ ,  $1.5ab^{-1}$  and  $2ab^{-1}$  of data respectively. During the running of the 380 GeV energy stage, construction of the 1.5 TeV structure will be carried out (and so on for the 1.4TeV and 3TeV scales) so as to reduce the waiting time between successive energy stages.

The 380GeV energy scale is chosen as it is above the  $t\bar{t}$ production threshold and provides a significant cross section for many channels involving the top quark. This is stage is also supplemented by a series of 10 measurements around the  $t\bar{t}$ threshold taking  $10fb^{-1}$  each with the aim of measuring the top mass and width from the line shape of the  $t\bar{t}$ production cross section at threshold. The 380 GeV stage will also be used to provide measurements of the higgs boson similar to those performed at ILC during it's two lower energy stages.

The 1.4TeV energy stage provides the ability to further study the top and higgs in more detail with several new channels becoming significant e.g top yukawa coupling, higgs self coupling while the 3 TeV stage pushes the energy frontier allowing the possibility of direct detection of new physics at the multi-TeV scale. The choice of 3 TeV is based upon certain models of supersymmetry which predict new particles to exist at this energy (see ??.)

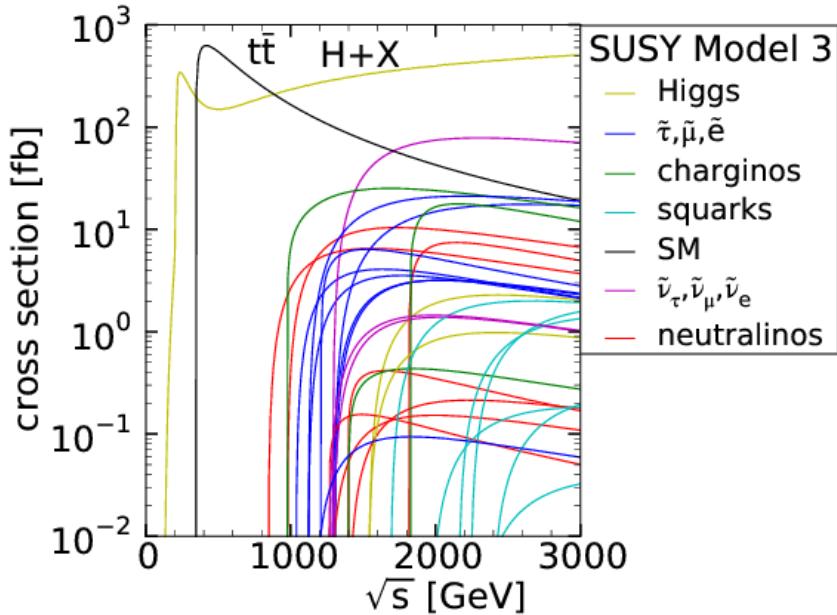


Figure 2.5: Cross sections for production of various super symmetric particles at an  $e^+e^-$  collider as a function of centre of mass energy.

For clarification it should be stated that for many years the proposed scheme for CLIC was actually to operate at 350 GeV, 1.4 TeV and 3 TeV. As such, many of the studies performed by CLIC still quote these numbers in cases where the result is expected to be unchanged when switching to the new baseline. The main justifications for the new baseline is to improve prospects for certain top quark studies performed in the low energy stage.

### 2.2.2 Acceleration Technology

Unlike ILC, the acceleration technology will not be superconducting and will use two beams of electrons—referred to as the main beam and the drive beam—rather than just one main accelerated beam. The drive beam is accelerated using standard accelerating technology (Klystrons) as in ILC to accelerate bunches of electrons to 2.75 GeV. These bunches then enter a series of delay/control rings which are designed such that the electrons within them get combined with the new electrons being added from the drive beam accelerator to build up a large number of low energy electrons which combined carry a large amount of energy. The energy from this beam is then

used to drive the main beam. This is done by rapidly decelerating the drive beam electrons down to 10% of their initial energy and using the lost energy (emitted as photons) to accelerate the smaller number of electrons in the main beam resulting in a sudden rapid acceleration. The main beam is then used for the collisions. Overall the result is that the machine is simply converting a high current, low energy beam of electrons into a low current, high energy beam. This approach allows for very high accelerating gradients but has the disadvantage that in approximately 1% of events the sudden input of energy from the drive beam can cause electrical breakdowns in the main accelerator, which disrupt the alignment and structure of the main beam.

## 2.3 Detectors

The ILC has been designed with the intention that it will have two unique detectors so that results can be validated by cross-checking between the two detectors. However, because ILC is a linear collider it is only feasible to have one interaction point and as a result the beam time will have to be shared between the detectors. This will be done using a 'push-pull' design in which both detectors are placed on a single platform at the interaction point which can be moved back and forth to position the desired detector in the path of the beams. While having two detectors is certainly desirable as it allows us to get two independent sets of results for the collider and allows us to still take results when one of the detectors requires maintenance, it also has disadvantages as it means an increase in the dead time of the machine (as swapping the detectors is a slow process taking several days which will be done multiple times a year) and an increase in the cost of the experiment. As a result the possibility of using only one detector is still being considered as a potential option. The possibility of splitting the main beam and having two IPs is also being proposed so that both detectors could be used simultaneously however this would be expensive as extra tunnels would have to be built to accomodate this and there would also be a reduction in the beam quality as splitting the beam would produce synchotron radiation.

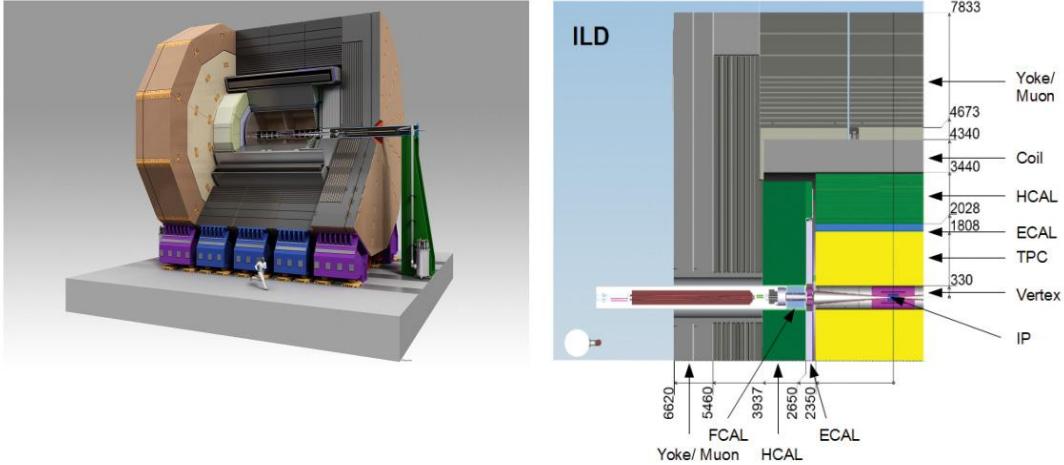


Figure 2.6: The International Large Detector Concept (left). Schematic of the ILD showing the key components in a one-quarter view of a vertical section of the detector (right). [6]

### 2.3.1 ILD

The ILD (shown in Figure 2.6) is a general purpose detector which is cylindrical in design with radius 8m and length 14m. The different sub-detectors are arranged in a concentric manner in the main barrel of the detector, and are positioned with the vertexing technology closest to the beamline, followed by trackers, then electromagnetic and hadronic calorimeters, then the magnetic field coils and finally muon tail catchers. The detector has two endcaps at each end of the barrel creating a hermetic seal. Because many of the physics processes at ILC involve Z and W bosons, one of the main performance requirements is the ability to distinguish between the two particles which means achieving a 3-4% uncertainty in the energy of 100GeV jets and a  $\delta p/p^2$  (where  $p$  is the momentum of a charged particle) of  $5 \times 10^{-5} (GeV/c)^{-1}$ . All specifications for the detector can be found in the **ILD!** Letter of Intent [8]. Here we will give a brief overview of the key components and their functions.

#### 2.3.1.1 Vertexing

The vertexing technology is used to gain information about heavier particles such as b-quarks which have very short lifetimes ( $\sim 10^{-12}$ s) and so decay close to the

beamline before they can reach the trackers or calorimeters. As such, the vertexers are placed extremely close to the beamline and work by looking for displaced vertices from the initial **IP!** which correspond to the point at which the heavy flavour particles decayed. Due to their proximity to the beam line it is always necessary for the vertex detectors to be very radiation hard as they are exposed to stray high energy particles from the beam. The vertexers also act as trackers for short lived particles that fail to reach the main trackers and so are required to be highly granular to separate particles that have had very little time to spread out since the **IP!**. The design for the vertex detectors is yet to be finalised as there are numerous competing technologies under consideration but it is expected to consist of either 3 or 5 cylinders of sensors starting at a radius of  $r = 15\text{mm}$  from the beamline.

### 2.3.1.2 Tracking

Tracking at the **ILD!** uses a **TPC!** (**TPC!**). This is a large gas filled cylinder with an electric field across it and readout electronics at each end of the cylinder. As particles pass through the gas, they ionize it producing charged particles. The electric field then causes these particles to drift to each end of the detector where they are collected by the electronics. By measuring the position and time at which the charged particles arrive, the track of the original ionizing particle can be reconstructed. A magnetic field is also generated across the chamber to deflect the charged particles so that the momentum and charge of the particle can be estimated. The magnetic field used in the ILD is a 3.5T coil placed outside the calorimeters to minimize the material budget in front of the calorimeters. To gain extra precision on the entry and exit points of the **TPC!**, the chamber has two silicon detector layers referred to as the **SIT!** (**SIT!**) and **SET!** (**SET!**) positioned immediately before ( $r=165\text{mm}$ ) and after ( $r=1833\text{mm}$ ) the **TPC!** which give two high spatial resolution points for the entrance and exit points of particles. These high spatial resolution points are particularly useful for reconstructing individual particles within jets using the Pandora **PFA!** (**PFA!**) (see Section 2.4.2.)

### 2.3.1.3 Calorimetry

The function of calorimeters is to measure the energy of particles. The ILD uses sampling calorimeters which work by having alternating layers of a dense absorber material that destroy the incoming particle causing it to shower into lower energy particles and active sensor materials which collect the low energy particles and convert them into an electrical signal. The calorimeters are split into electromagnetic and hadronic sections in which the absorbing material is chosen to interact mainly with particles through electromagnetic or strong interactions respectively. In practice this means the ECAL mainly detects electrons and photons while the **HCAL!** (**HCAL!**) mainly detects hadrons such as pions. Neutral hadrons are recorded exclusively in the **HCAL!**.

The ILD ECAL is a highly granular calorimeter positioned at  $r=1847\text{mm}$  and consists of 30 active layers separated by layers of tungsten which acts as the absorbing material. The structure of the ECAL is shown in Figure 2.7. The choice of active material is yet to be made though the two leading technologies are silicon scintillators or pixels. The scintillator form of the technology uses  $10\times45\text{mm}$  strips which would be rotated by  $90^\circ$  in each successive layer to produce an effective cell size of  $10\times10\text{mm}$  with photomultipliers attached to each strip for readout. This form of the technology is considerably cheaper but has a lower performance and relies on algorithms accurately correlating hits in successive layers to produce the effective  $10\times10\text{mm}$  cell size. The pixel form of the technology simply uses  $5\text{mm}$  or  $10\text{mm}$  square silicon pixels directly connected to the readout electronics. This is more expensive but produces more consistent results.

Later on (see Section ??) we will discuss our work on developing an alternative form of the silicon pixel technology with a cell size of  $50\times50\mu\text{m}$  which acts as a digital machine and purely counts the number of particles absorbed in the active medium from the showering in the absorber and deduces the energy of the original particle from this. This form of the technology has already begun to be studied [9]. It is expected to be cheaper than the standard silicon pixel technology and has already

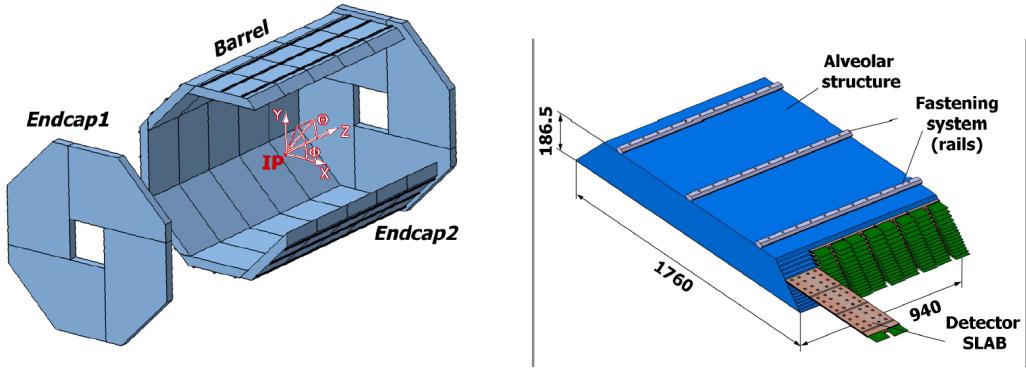


Figure 2.7: The Overall ILD Structure (left) and one individual module (right). The ECAL is made up 40 modules, each containing 30 detector slabs. The modules are combined into groups of 5 referred to as a stave which extend along the full length of the barrel. There are then 8 of these staves arranged in a circle to create the circumference of the barrel [8].

been shown to produce no significant decrease in performance.

The **HCAL!** is immediately outside the ECAL at  $r=2058\text{mm}$  and has a similar overall modular structure. The HCAL uses stainless steel as an absorbing medium combined with scintillators and Silicon PhotoMultipliers. Again both digital and analogue variations are available with the analogue using  $3\times 3\text{cm}$  cells and the digital  $1\times 1\text{cm}$ . The relative performance of each technology is still being evaluated to see if there is any degradation in the performance when using the digital variation.

#### 2.3.1.4 Muon Detection

Muon detection is perhaps the easiest process to perform at the ILC. Because the signal events at the ILC are clean with few high energy particles, few particles other than muons are capable of penetrating through the inner detector layers and the coil generating the magnetic field. As a result the muon detectors are produced by instrumenting the return yolk ( $r=4424$ ) that already surrounds the detector to contain the magnetic field. The number of muons produced in an event is also relatively small which means that the cell size for the muon detectors can be moderately large without the risk of multiple occupancy. The instrumentation is done by placing 10

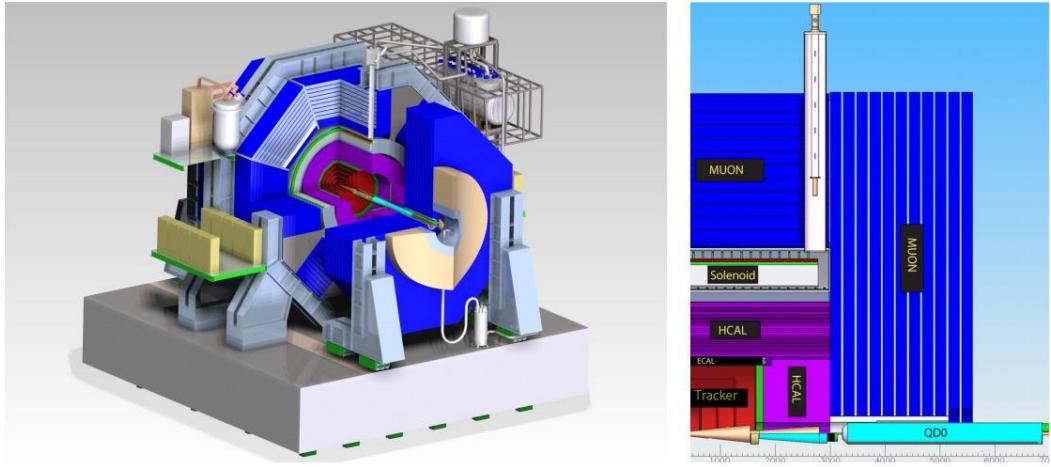


Figure 2.8: The Silicon Detector Concept (left). Schematic of the SiD showing the key components in a one-quarter view of a vertical section of the detector (right). [6]

layers of resistive plate chambers into the return yolk with strip sizes of the order 3-4cm. This system is sufficient for accurately detecting muons and contributing to the measurement of their momentum.

### 2.3.2 SiD

The **SiD!** (Figure 2.8) is overall quite similar to ILD with the two main differences being that SiD uses a stronger 5T magnet and a different form of tracking. Tracking at the SiD uses silicon strips rather than a TPC. This results in a better performance but is considerably more expensive. As this is the only significant difference from ILD we will not go into any further detail about the subdetector technologies used for SiD.

## 2.4 Linear Collider Analysis Framework

Before progressing further, it is useful to have a brief overview of the software used for linear collider analysis. Both ILC and CLIC use the same core set of packages

referred to as ILCSoft. This shared framework makes it easy for work done for one experiment to be ported for use in the other which is beneficial for both experiments as it reduces the amount of work needed for each and helps prevent duplication of efforts. Here we will give an overview of the most commonly used packages in ILCSoft.

### 2.4.1 Mokka

Mokka is a Geant4 based simulation package available in ILCSoft. Mokka allows users to take “.stdhep” files created from an event generator such as PYTHIA or WHIZARD, simulates how a detector will respond in the presence of the events and outputs info such as calorimeter and tracker hits into a “.slcio” (the standard linear collider file format.) Standard detector geometries are stored in a database online from which Mokka extracts them at runtime. The geometry is modular in design with components such as the ECAL and TPC described separately. Users are able to customize the detector for simulation studies by replacing the standard detector components with an alternative version. The modules can even be customized manually in the Mokka steering file e.g. the number of layers in the ECAL or the thickness of the sensitive layer in the HCAL can be changed. Mokka will then automatically try to resize the customized component to make it fit in the appropriate space within the larger detector design. As well as accepting “.stdhep” files Mokka also has an inbuilt “particle gun” which can be used to fire particles with any energy at any position within the detector. This tool is particularly useful for studying a particular component of the detector without worrying about the effect of any of the components between it and the IP!.

### 2.4.2 Pandora Particle Flow Algorithm

Pandora is an advanced Particle Flow Algorithm used at linear colliders which allows an increased level of precision from detector measurements by combining information

from all the different detector components. The main aim of a **PFA!** is to associate calorimeter hits with individual tracker hits using topology then use the tracker information to calculate the particles' energy, as there is less uncertainty on this than on the measurement by the calorimeter. If there is ambiguity when associating tracks with hits (e.g. in jets) then the **PFA!** will instead use the calorimeter to determine the particles energy. Because we ideally want to use the track measurements for every particle the performance of the **PFA!** is boosted by having a high spatial resolution measurement at the end of the tracker (e.g. the **SET!** in **ILD!**) and having a high granularity calorimeter at the start of the ECAL as this reduces the ambiguity when associating tracks with calroimeter hits. The optimization for **PFA!** has been one the main influencing factors for the design of the detectors at ILC and CLIC. In ILCSoft Pandora is implemented in Marlin (see below) and is used to convert the hits output by Mokka into reconstructed particles referred to as **PFOs!** (**PFOs!**).

### 2.4.3 Marlin

Marlin is the main analysis tool in ILCSoft. It is a modular framework which applies a series of processors to an event where each processor can be built separately to perform a seperate task. For example one processor might perform jet finding while another might carry out lepton finding. Marlin is controlled with xml steering files containing a list of the processors to be used, the lcio files on which Marlin will act, the detector geometry used by Mokka and the parameters which the processors need e.g. energy cuts or jet radius. The main benefit of the Marlin system is the ability to “plug and play” by which we mean processors can be added/removed and their parameters can be changed in the Marlin steering file to change the analysis at any time without having to recompile any code. Processors from one analysis can also be used in another analysis simply by including them in the steering file with the idea being that eventually there will be a large database of processors which users can pick and choose from without having to construct their own. The ILCSoft installation already comes with standard processors that link in to FastJet

(jet finding), LCFIPLUS (flavour tagging), Root and Pandora.

A typical analysis from start to finish might proceed as follows:

- Generate event with Whizard, output is stdhep file
- Simulate event in detector with Mokka, output is lcio file with raw detector hits
- Apply Pandora PFA using Marlin, output is lcio file containing reconstructed particles
- Perform Analysis using Marlin, output is Root ntuple containing parameters like missing energy, number of jets etc

# CHAPTER 3

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## Theory

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Two of the studies presented within this thesis are for prospective measurements looking at the  $H \rightarrow WW$  branching ratio and the forward-backward asymmetry in  $t\bar{t}$  production at CLIC during the 1.4 TeV stage. As such it is important to first examine the significance of these measurements in the context of the physics programme of CLIC and the wider state of particle physics.

### 3.1 The Standard Model

The SM is a quantum field theory representing our best current description of fundamental particles and the interactions between them. It consists of twelve spin- $\frac{1}{2}$  fermions (and their corresponding antiparticles), five spin 1 gauge bosons and one spin 0 scalar boson (as shown in Figure 3.1) where the interactions of the model are described by an  $SU(3)_C \oplus SU(2)_L \oplus SU(1)_Y$  local gauge symmetry. The model

### Standard Model of Elementary Particles

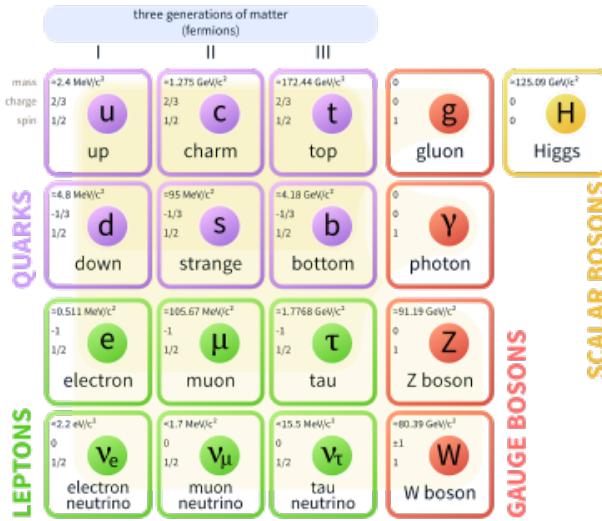


Figure 3.1: Particles of the Standard Model

describes pointlike particles which interact via the strong, weak and electromagnetic forces. No gravitational interactions are described within the model.

The fermions of the model can be classified into two families- leptons and quarks- according to how they interact. The quark family consists of the up(u), down(d), charm(c), strange(s), top(t) and bottom(b) quarks, all of which are capable of interacting via the strong, weak and electromagnetic force. The lepton family, consisting of the electron(e), muon( $\mu$ ), tau( $\tau$ ), electron neutrino( $\nu_e$ ), muon neutrino( $\nu_\mu$ ) and tau neutrino( $\nu_{\tau}$ ), are defined by the fact they carry no colour charge and so are incapable of interacting via the strong force, however they are still all capable of interacting via the weak force and the  $e/\mu/\tau$  can interact electromagnetically. The gauge bosons are the mediators for the three fundamental forces of the model. The photon is a massless boson that mediates the electromagnetic force by coupling to particles with electrical charge. The gluon is also massless and mediates the strong force by coupling to particles with colour charge. The gluon is unique amongst the gauge bosons in that it is the only boson that carries the charge to which it couples (i.e. it is coloured) and so couples to itself. One direct consequence of this is that it is impossible to form a stable coloured state due to colour confinement and so quarks are only observed in net-colourless states called hadrons. When a quark is produced

in an interaction, it will typically undergo a process known as hadronization in which the quark will bind to quarks/antiquarks spontaneously produced from the vacuum to form quark-antiquark pairs known as mesons or triplets of quarks or antiquarks known as baryons. The only exception to this is the top quark which will typically decay in a shorter timescale than is needed for hadronization to occur. The final three gauge bosons are the  $Z$ ,  $W^+$  and  $W^-$  which are all massive and mediate the weak interaction via their coupling to weak isospin.

Much like the fermions can be separated into quarks and leptons according to the way they interact, the underlying symmetry of the SM of  $SU(3)_C \oplus SU(2)_L \oplus SU(1)_Y$  can be decomposed into separate parts according to the interactions that the symmetries describe. The  $SU(3)_C$  represents transformations of the colour state of a system and so describes interactions involving the strong force. These interactions are commonly referred to as QCD. The  $SU(2)_L \oplus SU(1)_Y$  symmetry represents electroweak theory- a unified description of the weak and electromagnetic interactions. In this description, fermions can be thought of as consisting of left and right handed fields, where the left handed components transform as doublets under  $SU(2)$  transformations while the right handed components only transform as singlets. The result of this is that the weak interaction only acts on the left handed fields components. Hence the weak force only couples to left(right) handed particles(antiparticles.)

One of the most interesting features of electroweak theory occurs when considering the effect of gauge transformations on the Lagrangian of the system. In quantum field theory, fermions can be described by a dirac field with the following Lagrangian:

$$\mathcal{L} = i\bar{\psi}\gamma^\mu\partial\mu\psi - m\bar{\psi}\psi \quad (3.1)$$

Applying a global phase transition of the form:

$$\psi \rightarrow \psi' = e^{i\alpha}\psi \quad (3.2)$$

will leave the Lagrangian unchanged due to the fact  $e^{i\alpha}\psi e^{-i\alpha}\psi = 1$ . However, in the case of local gauge transformations where  $e^{i\alpha}\psi \rightarrow e^{i\alpha(\mathbf{x})}\psi$ , i.e. the phase has a local space-time dependence, then eq(3.1) is no longer invariant:

$$\mathcal{L} = i\bar{\psi}\gamma^\mu\partial_\mu\psi - m\bar{\psi}\psi - \bar{\psi}(\mathbf{x})\gamma^\mu\partial_\mu\alpha(\mathbf{x}) \quad (3.3)$$

In order to restore the invariance, the derivative  $\partial_\mu$  must be replaced with the covariant derivative  $D_\mu$  which is of the form:

$$D_\mu = \partial_\mu + ieA_\mu \quad (3.4)$$

where  $A_\mu$  is a gauge field which transforms as:

$$A_\mu \rightarrow A'_\mu = A_\mu - \frac{1}{e}\partial_\mu\alpha(\mathbf{x}) \quad (3.5)$$

In electroweak theory the gauge fields required are found to consist of three weak isospin fields,  $W_1, W_2$  and  $W_3$ , coming from the SU(2) group and one each hypercharge field,  $B$ , from U(1). The interesting result of this is the prediction that the bosons associated with these fields and the fermions they interact with to be massless, however this is experimentally found to be false as the bosons of the weak force,  $Z$  and  $W$ , have masses of  $91.876 \pm 0.0021$  GeV and  $80.385 \pm 0.015$  GeV respectively. Furthermore, in electroweak theory it can be shown that the presence of massive electroweak bosons results in unphysical predictions in the SM e.g. violation of unitarity when calculating the amplitude of  $WW \rightarrow WW$  scattering (REFERENCE). These problems can be fixed by via consideration of the final particle within the SM, the Higgs boson.

## 3.2 The Higgs Boson and the Origin of Mass

To solve the problems seen in the electroweak sector, Brout, Englert and Higgs (REFERENCE) proposed that mass terms could be generated within the SM via the addition of a complex, scalar doublet of the group  $SU(2)_L$  possessing four degrees of freedom:

$$\phi = \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} \quad (3.6)$$

with potential:

$$V(\phi) = \mu^2 \phi^\dagger \phi + \frac{\lambda^2}{2} (\phi^\dagger \phi)^2 \quad (3.7)$$

The Higgs field is found to interact with the  $W_1, W_2, W_3$  and  $B$  gauge fields. In the case that  $\mu^2 < 0$ , due to the Higgs field acquiring a non-zero expectation value, the  $SU(2)_L \oplus SU(1)_Y$  symmetry is found to break leaving only a  $U(1)_{em}$  symmetry corresponding to a massless photon. Of the four degrees of freedom associated with the Higgs field, the interaction of the field with the W and B gauge fields results in three massive gauge bosons corresponding to the measured  $Z$  and  $W^\pm$  masses, where the physically observed bosons actually represent mixtures of the underlying gauge fields:

$$\gamma = \cos \theta_W B + \sin \theta_W W_3 \quad (3.8)$$

$$Z = \cos \theta_W W_3 - \sin \theta_W B \quad (3.9)$$

$$W^\pm = \frac{1}{\sqrt{2}}(W_1 \mp iW_2) \quad (3.10)$$

Where  $\theta_W$  is the weak mixing angle which depends on  $g$  and  $g'$ .

The last remaining degree of freedom of the Higgs field corresponds to the Higgs boson itself. The mass of the Higgs boson can be determined to be  $m_H = \sqrt{2\lambda}\nu$ , where  $\lambda$  is the Higgs self coupling parameter and  $\nu$  is the vacuum expectation value for the Higgs field. While  $\nu$  can be calculated within the standard model,  $\lambda$  is a free parameter and so the mass of the Higgs is not derivable. Experimentally it is found to be  $\sim 125\text{GeV}$ .

While the mass of the Higgs is of interest as it represents a free parameter in the standard model, there are many more properties of the Higgs that are important to measure. In particular the way in which the Higgs boson couples to other particles is well predicted within the SM and is expected to vary between various BSM models. Within the SM the coupling of the Higgs to fermions and bosons is different but depends on mass in each case:

$$g_{Hf\bar{f}} = \frac{M_f}{\nu} \quad g_{HBB} = \frac{2M_B^2}{\nu} \quad (3.11)$$

Due to this clear mass dependence, a fit of the coupling to each fermion as a function of the fermions mass represents a powerful way of testing the SM. The mass dependence on the Higgs couplings also presents a new way to perform direct searches for new physics involving as yet unseen massive particles by looking at the branching ratio of Higgs decays to invisible decay products and the total Higgs decay width. This is of particular interest in searches for dark matter which is known to interact gravitationally and so must possess mass.

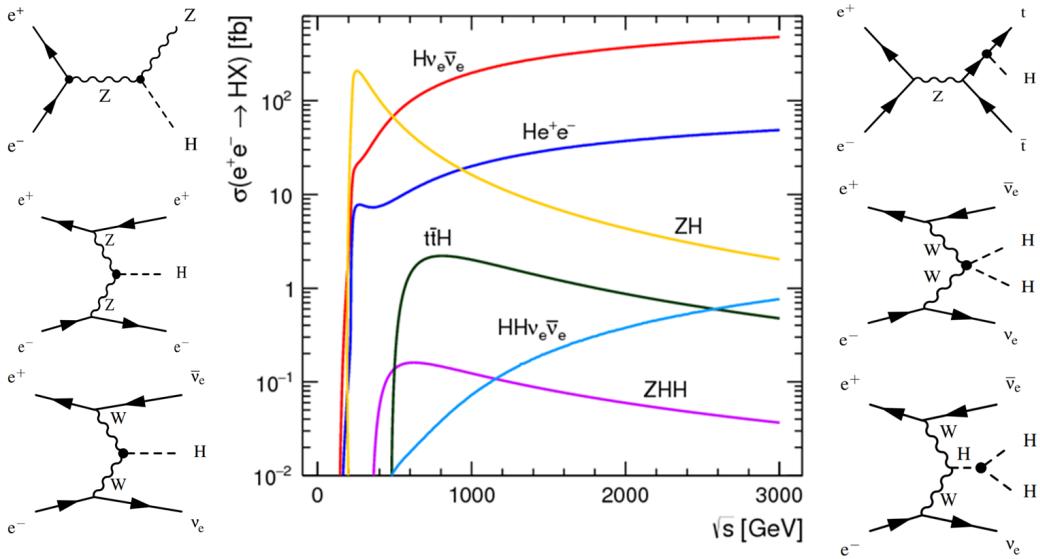


Figure 3.2: Cross Sections For Higgs Production Mechanisms

### 3.3 Higgs Measurements at CLIC

The CLIC physics programme has a large focus on characterising the Higgs boson due to the large uncertainties on many of its associated properties relative to other sectors of the standard model. In particular it will aim to measure the mass, width, and couplings of the Higgs in a model independent manner. Electron positron collisions provide access to numerous Higgs production mechanisms which can be seen in 3.2. Due to the strong energy dependence on many of the cross sections on energy, different processes will be of interest at each of the three energy stages operated at CLIC. At 380GeV the focus will predominantly be on measuring the Higgsstrahlung ( $ZH$ ) process in which a  $Z$  boson radiates a Higgs boson, while at higher energies vector boson fusion ( $H\nu\nu, Hee$ ) dominates and new processes such as di-Higgs production become accessible. A summary of all the results from current Higgs studies performed by CLIC is available in [10].

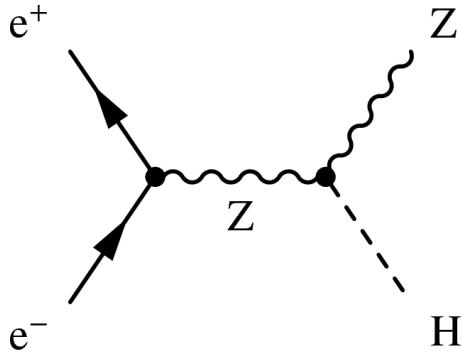


Figure 3.3: The Higgstrahlung Process

### 3.3.1 Higgsstrahlung

One of the key aims of the experiment will be to examine the Higgsstrahlung process shown in 3.4. In this process, if the four momentum of the  $Z$  boson can be measured to high precision, then because the initial conditions of the collision are well known, one can determine the mass of the particle it is recoiling against ( $m_{rec}^2 = s + m_z^2 - 2E_z^2$ ) and infer the presence of the Higgs. This allows properties such as the Higgs mass, cross-section and coupling to the  $Z$  to be measured without actually ever measuring the decay products of the Higgs boson which in turn allows the measurements to be model independent as few assumptions must be made about the interactions of the Higgs. This method is not possible at hadron colliders such as the LHC where, even though the Higgsstrahlung process still occurs, as the four momentum of the colliding particles can never be known to as high a level of precision due to their composite nature. Using the clean signal from cases where the  $Z$  decays to a pair of muons or electrons it is possible to measure the recoil mass to high precision and thus determine the mass of the Higgs to  $\Delta m_H = 110 \text{ MeV}$  (see figure ?? using data from the low energy stage only. This value can be further improved to  $\Delta m_H = 44 \text{ MeV}$  when including direction measurement results from the  $ee \rightarrow H\nu\bar{\nu}, H \rightarrow b\bar{b}$  channel at 3 TeV. Despite giving a poorer resolution on the  $Z$  four momentum, the  $Z \rightarrow qq$  higgsstrahlung channel is also considered due to it's larger cross section. Using this channel a limit of  $BR(H \rightarrow \text{invis.}) < 0.97\%$  at 90% C.L. can be set.

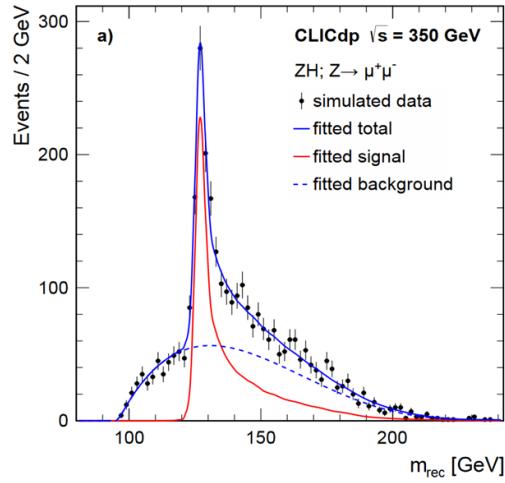


Figure 3.4: Reconstructed recoil mass from Higgsstrahlung process

### 3.3.2 Model Independent Extraction of Higgs Couplings

While the Higgsstrahlung alone allows the mass and branching ratios of the Higgs to be determined, by measuring the rates of several different Higgs processes and combining them in the right ratio, it is further possible to extract the absolute width of the Higgs. One such “recipe” proposed for doing this is shown in 3.12 [11]:

$$\Gamma_H = \frac{Y_1^2 Y_3^2}{Y_4^2 Y_2} \quad (3.12)$$

where

$$X_1 = \sigma_{ZH} \propto g_{HZZ}^2 \quad (3.13)$$

$$X_2 = \sigma_{H\nu\bar{\nu}} \times BR(H \rightarrow WW^*) \propto \frac{g_{HWW}^4}{\Gamma_H} \quad (3.14)$$

$$X_3 = \sigma_{H\nu\bar{\nu}} \times BR(H \rightarrow b\bar{b}) \propto \frac{g_{HWW}^2 g_{Hbb}^2}{\Gamma_H} \quad (3.15)$$

$$X_4 = \sigma_{ZH} \times BR(H \rightarrow b\bar{b}) \propto \frac{g_{HZZ}^2 g_{Hbb}^2}{\Gamma_H} \quad (3.16)$$

Currently at the LHC the standard process for extracting couplings from the equivalent measurements of  $X_{2,3\&4}$  is to multiply through by the standard model value of the Higgs width. This type of measurement is referred to as ‘model-dependent’ as the values determined for the Higgs couplings carry the implicit assumption that the standard model is correct in its prediction of the Higgs width. At CLIC, because the width can be measured experimentally there is no need to make this assumption and so the couplings are measured in a “model-independent” way. The unique ability of linear colliders to perform model-independent measurements is one of the largest driving factors for constructing and using them as a so called “Higgs-Factory”. One limiting factor for the model-independent measurements of the couplings is that they are always ultimately dependent on the precision to which the ZH cross section can be measured (predicted to be  $\Delta h_{HZZ} = 0.8\%$ ) as this quantity is always needed in the ratio used to extract  $\Gamma_H$ . With the exception of  $X_1$ , the choice of variables used is not unique (e.g. one could replace the production mechanism in  $X_1$  and  $X_2$  with ZZ-fusion rather than WW-fusion,) however the combination shown here is expected to give the highest precision on  $\Gamma_H$  due to the large cross-section associated with WW-fusion and the high branching ratio of  $H \rightarrow b\bar{b}$  ( $\sim 65\%$ ). In chapter 4 we will present our research on the precision to which  $X_2$  can be measured during the 1.4 TeV run at CLIC.

In practice it is expected that an 11 parameter global fit to multiple variations of these measurements will be performed at each stage of operation to extract the Higgs width and its couplings to both fermions and bosons. The relevant inputs for these fits are shown in tables 3.5 3.6 while the results of the fits are shown in Figure 3.7

For context it is also important to compare these results to what can be expected from current leading experiments such as ATLAS and CMS at the LHC and the predicted precision they will have obtained by the time CLIC would begin opera-

Channel	Measurement	Observable	Statistical precision	
			350 GeV 500 fb <sup>-1</sup>	
ZH	Recoil mass distribution	$m_H$	110 MeV	
ZH	$\sigma(ZH) \times BR(H \rightarrow \text{invisible})$	$\Gamma_{\text{inv}}$	0.6%	
ZH	$\sigma(ZH) \times BR(Z \rightarrow l^+l^-)$	$g_{HZZ}^2$	3.8%	
ZH	$\sigma(ZH) \times BR(Z \rightarrow q\bar{q})$	$g_{HZZ}^2$	1.8%	
ZH	$\sigma(ZH) \times BR(H \rightarrow bb)$	$g_{HZZ}^2 g_{Hbb}^2 / \Gamma_H$	0.84%	
ZH	$\sigma(ZH) \times BR(H \rightarrow c\bar{c})$	$g_{HZZ}^2 g_{Hcc}^2 / \Gamma_H$	10.3%	
ZH	$\sigma(ZH) \times BR(H \rightarrow gg)$		4.5%	
ZH	$\sigma(ZH) \times BR(H \rightarrow \tau^+\tau^-)$	$g_{HZZ}^2 g_{H\tau\tau}^2 / \Gamma_H$	6.2%	
ZH	$\sigma(ZH) \times BR(H \rightarrow WW^*)$	$g_{HWW}^2 g_{H\tau\tau}^2 / \Gamma_H$	5.1%	
Hv <sub>e</sub> ̄v <sub>e</sub>	$\sigma(Hv_e \bar{v}_e) \times BR(H \rightarrow b\bar{b})$	$g_{HWW}^2 g_{Hbb}^2 / \Gamma_H$	1.9%	
Hv <sub>e</sub> ̄v <sub>e</sub>	$\sigma(Hv_e \bar{v}_e) \times BR(H \rightarrow c\bar{c})$	$g_{HWW}^2 g_{Hcc}^2 / \Gamma_H$	14.3%	
Hv <sub>e</sub> ̄v <sub>e</sub>	$\sigma(Hv_e \bar{v}_e) \times BR(H \rightarrow gg)$		5.7%	

Figure 3.5: Expected statistical uncertainties for Higgs measurements at 350GeV at CLIC assuming unpolarised beams

Channel	Measurement	Observable	Statistical precision	
			1.4 TeV 1.5 ab <sup>-1</sup>	3 TeV 2.0 ab <sup>-1</sup>
Hv <sub>e</sub> ̄v <sub>e</sub>	H $\rightarrow b\bar{b}$ mass distribution	$m_H$	47 MeV	44 MeV
Hv <sub>e</sub> ̄v <sub>e</sub>	$\sigma(Hv_e \bar{v}_e) \times BR(H \rightarrow b\bar{b})$	$g_{HWW}^2 g_{Hbb}^2 / \Gamma_H$	0.4%	0.3%
Hv <sub>e</sub> ̄v <sub>e</sub>	$\sigma(Hv_e \bar{v}_e) \times BR(H \rightarrow c\bar{c})$	$g_{HWW}^2 g_{Hcc}^2 / \Gamma_H$	6.1%	6.9%
Hv <sub>e</sub> ̄v <sub>e</sub>	$\sigma(Hv_e \bar{v}_e) \times BR(H \rightarrow gg)$		5.0%	4.3%
Hv <sub>e</sub> ̄v <sub>e</sub>	$\sigma(Hv_e \bar{v}_e) \times BR(H \rightarrow \tau^+\tau^-)$	$g_{HWW}^2 g_{H\tau\tau}^2 / \Gamma_H$	4.2%	4.4%
Hv <sub>e</sub> ̄v <sub>e</sub>	$\sigma(Hv_e \bar{v}_e) \times BR(H \rightarrow \mu^+\mu^-)$	$g_{HWW}^2 g_{H\mu\mu}^2 / \Gamma_H$	38%	25%
Hv <sub>e</sub> ̄v <sub>e</sub>	$\sigma(Hv_e \bar{v}_e) \times BR(H \rightarrow \gamma\gamma)$		15%	10%*
Hv <sub>e</sub> ̄v <sub>e</sub>	$\sigma(Hv_e \bar{v}_e) \times BR(H \rightarrow Z\gamma)$		42%	30%*
Hv <sub>e</sub> ̄v <sub>e</sub>	$\sigma(Hv_e \bar{v}_e) \times BR(H \rightarrow WW^*)$	$g_{HWW}^4 / \Gamma_H$	1.0%	0.7%*
Hv <sub>e</sub> ̄v <sub>e</sub>	$\sigma(Hv_e \bar{v}_e) \times BR(H \rightarrow ZZ^*)$	$g_{HWW}^2 g_{HZZ}^2 / \Gamma_H$	5.6%	3.9%*
He <sup>+</sup> e <sup>-</sup>	$\sigma(He^+e^-) \times BR(H \rightarrow b\bar{b})$	$g_{HZZ}^2 g_{Hbb}^2 / \Gamma_H$	1.8%	2.3%*
t̄tH	$\sigma(t\bar{t}H) \times BR(H \rightarrow b\bar{b})$	$g_{Htt}^2 g_{Hbb}^2 / \Gamma_H$	8.4%	—
HHv <sub>e</sub> ̄v <sub>e</sub>	$\sigma(HHv_e \bar{v}_e)$	$\lambda$	32%	16%
HHv <sub>e</sub> ̄v <sub>e</sub>	with -80% e <sup>-</sup> polarisation	$\lambda$	24%	12%

Figure 3.6: Expected statistical uncertainties for Higgs measurements at 1.4TeV and 3TeV at CLIC assuming unpolarised beams

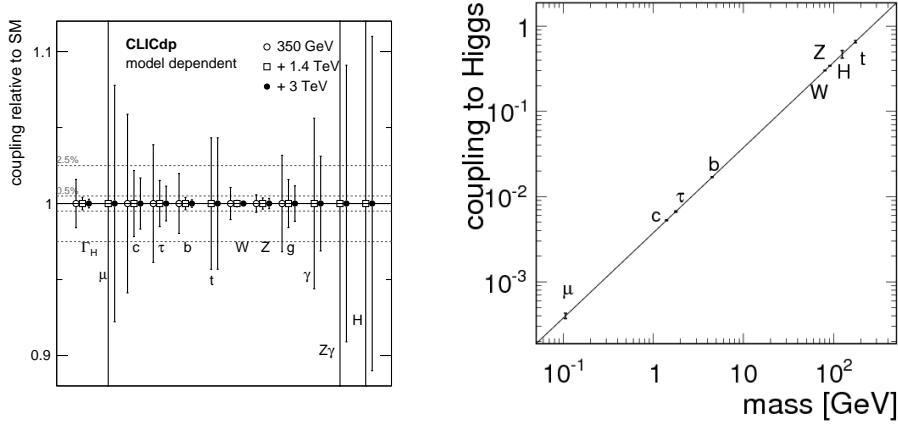


Figure 3.7: Expected precision on model independent measurements of the Higgs couplings

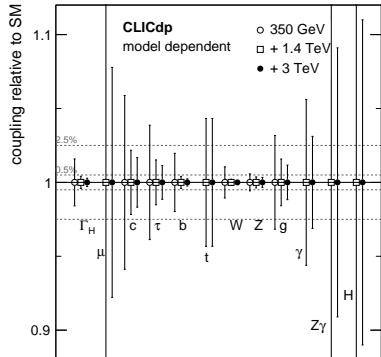


Figure 3.8: Expected precision on model dependent measurements of the Higgs couplings at CLIC

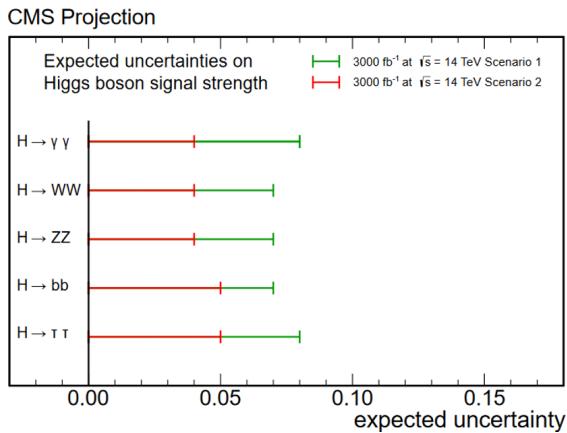


Figure 3.9: Expected precision on model dependent measurements of the Higgs couplings at CMS! for the HL-LHC. Scenario 1 represents a case where the systematic and theoretical uncertainties remain at their current levels. In scenario 2 the theoretical uncertainty is scaled by a factor of a half and the systematic uncertainties are scaled by the square root of the integrated luminosity [12].

Model	$\kappa_V$	$\kappa_b$	$\kappa_\gamma$
Singlet Mixing	~6%	~6%	~6%
2HDM	~1%	~10%	~1%
Decoupling MSSM	~-0.0013%	~1.6%	~-4%
Composite	~-3%	~-(3-9)%	~-9%
Top Partner	~-2%	~2%	~+1%

Table 3.1: Generic size of Higgs coupling modifications from the SM values when all new pariles are  $M \sim 1\text{TeV}$  and mixing angle satisfy precision electroweak fits. The Decoupling MSSM numbers assume  $\tan \beta = 3.2$  and a stop mass of 1 TeV with  $X_t = 0$  for the  $\kappa_\gamma$  prediction [1]

tion. Because the Higgs width can not be explicitly calculated at Hadron colliders, it is best to compare the model dependent version of the CLIC analysis with those predicted by ATLAS and CMS. In this situation, becuase the precision of the couplings is no longer limited by the precision on  $g_{HZZ}$  the predicted precision for CLIC is seen to improve considerably. One can see from figures 3.8 and 3.9 that in many cases CLIC is expected to provide an order of magnitude improvement over what can be achieved at the LHC with many of the key parameters associated with the Higgs being measured to sub percent precision.

Ultimately the aim of performing precision measurements is to be allow the validation or rejection of theoretical models. While the results seen so far at the LHC suggest that the observed Higgs Boson is that of the SM, their are numerous alternative theories that predict a Higgs like particle with properties similar to what has been observed but which differ to a degree not yet measureable by current experiments. The details of these theories will not be expanded upon within this thesis, however the deviations expected in the Higgs couplings of these theories relative to the SM are shown in table 3.1 These values should only be taken as a rough guideline for the precision required to discover/reject the theories as they are based on the assumption that new physics occurs at a specific scale (in this case 1 TeV,) however it is clear that the level of precision required to provide sensitivity to these models will be greater than what will be possible with the LHC but could be within the scope of the proposed CLIC physics programme.

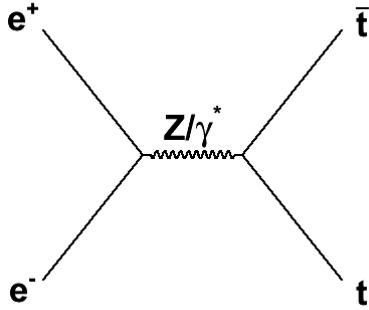


Figure 3.10: Dominant top production mechanism at electron positron collider

### 3.4 Top Quark Physics

The top quark is currently the heaviest particle within the SM and is the only quark that decays before undergoing hadronization. Due to its high mass, top interactions are often seen as good channels for looking for BSM physics with a characteristic energy scale beyond what has currently been discovered. Due to its high mass, the top is also the fermion with the highest coupling to the Higgs making it a good candidate for finding deviations from the SM within the Higgs sector. As such, the physics programme for CLIC places a strong focus on measuring the top quarks properties with the lowest energy stage of operation featuring a dedicated top threshold scan aiming to provide precision measurements of the top mass and width. The dominant production mechanism for top production is through the s-channel  $e^+e^- \rightarrow \gamma/Z \rightarrow t\bar{t}$  shown in Figure 3.10. Using this process the properties of the  $t\bar{t}\gamma$  and  $t\bar{t}Z$  vertices can be measured. Examining these can provide sensitivity to contributions from BSM effects such as the existence of extra bosons (e.g.  $Z'$  (CITATION)) which could provide an additional production channel which would modify the behaviour at the vertex. The  $t\bar{t}X$  vertex can be written as below:

$$\Gamma_{\mu}^{t\bar{t}X}(s, q, \bar{q}) = ie\{\gamma_{\mu}(F_{1V}^X(s) + \gamma_5 F_{1A}^X(s)) - \frac{\sigma_{\mu\nu}}{2m_t}(q + \bar{q})^{\nu}(iF_{2V}^X(s) + \gamma_5 F_{2V}^X(s))\} \quad (3.17)$$

Where  $X = \gamma/Z$ ,  $q$  and  $\bar{q}$  are the four momenta of the top and anti top,  $s$  is Mandelstam ,  $\gamma_\mu$  and  $\gamma_5$  are the Dirac matrices corresponding to vector and axial-vector currents respectively,  $\sigma_{\mu\nu} = \frac{i}{2}(\gamma_\mu\gamma_\nu - \gamma_\nu\gamma_\mu)$  allows for describing the scattering and  $F$  are the electroweak form factors [13]. Within the SM, at tree level all the  $F_2$  are zero as is  $F_{1A}^\gamma$  leaving only the following non-zero form factors:

$$F_{1V}^\gamma = \frac{2}{3} \quad (3.18)$$

$$F_{1V}^Z = \frac{1}{4 \sin \theta_W \cos \theta_W} \left(1 - \frac{8}{3} \sin \theta_W\right) \quad (3.19)$$

$$F_{1A}^Z = \frac{1}{4 \sin \theta_W \cos \theta_W} \quad (3.20)$$

where  $\theta_W$  is the weak mixing angle. If higher order corrections are present it is possible for the zero valued form factors to become non-zero. Combinations of these factors can be related to physical observables which can be measured at CLIC. The couplings of the bosons to quarks with left or right handed helicity can be expressed as:

$$g_L^X = F_{1V}^X - F_{1A}^X \quad g_R^X = F_{1V}^X + F_{1A}^X \quad (3.21)$$

The more relevant variables are perhaps the total cross section and the forward backward asymmetry ( $A_{FB}$ ) as these are the prospective measurements that are presented within this thesis and will be discussed in more detail later. These variables are found to be dependent on the helicity of the incoming electrons[?] and so are more easily expressed in terms of the alternative form factors:

$$F_{ij}^L = -F_{ij}^\gamma + \left(\frac{-\frac{1}{2} + \sin \theta_W^2}{\sin \theta_W \cos \theta_W}\right)\left(\frac{s}{s - m_Z^2}\right) - F_{ij}^Z \quad (3.22)$$

$$F_{ij}^R = -F_{ij}^\gamma + \left( \frac{\sin \theta_W^2}{\sin \theta_W \cos \theta_W} \right) \left( \frac{s}{s - m_Z^2} \right) - F_{ij}^Z \quad (3.23)$$

Where L,R represent the polarization of the electron, i=1,2 and j=V,A. In this notation, for an electron polarization P, the total  $ee \rightarrow Z/\gamma \rightarrow tt$  cross section and  $A_{FB}$  can be expressed as:

$$\sigma_P = \frac{8\pi\alpha(s)^2}{s} \beta \left\{ \left( 1 + \frac{1}{2\gamma^2} \right) (F_{1V}^P)^2 + (\beta F_{1A}^P)^2 + 3F_{1V}^P F_{2V}^P + \left( 1 + \frac{1}{2\gamma^2} \right) (F_{2V}^P)^2 \right\} \quad (3.24)$$

$$A_{FB}(P) = \mp \frac{12\pi\alpha(s)^2 \beta^2}{s} \frac{F_{1A}^P (F_{1V}^P + F_{2V}^P)}{\sigma_P} \quad (3.25)$$

where  $\alpha(s)$  is the electromagnetic coupling,  $\gamma$  and  $\beta$  are the Lorentz factor and velocity of the top, and for (??), the + and – refer to the  $P = R$  and  $P = L$  cases respectively. If one performed just one measurement of the cross section and  $A_{FB}$  it is clear that it would not be possible to extract the form factors from these variables alone as there are more variables than there would be constraints. However, because the cross section and  $A_{FB}$  vary with  $\beta$ ,  $\gamma$  and  $P$ , then by performing the measurement at multiple energies and making use of the fact CLIC can be operated with different beam polarizations, it becomes possible to extract all the relevant couplings. The only exception to this are the  $F_2 A^X$  factors which do not effect these two variables and so must be measured using different a variable.

The predicted uncertainty to which the couplings are expected to be measured to at CLIC based on generator level studies, as well as the equivalent results for ILC and **HLLHC!** (**HLLHC!**) is shown in Figure 3.11. The expected precision from performing these measurements at a lepton collider is an order of magnitude better than what can be expected from hadron colliders. Overall there will be more tops produced in a hadron collider, however the production mechanisms are often more

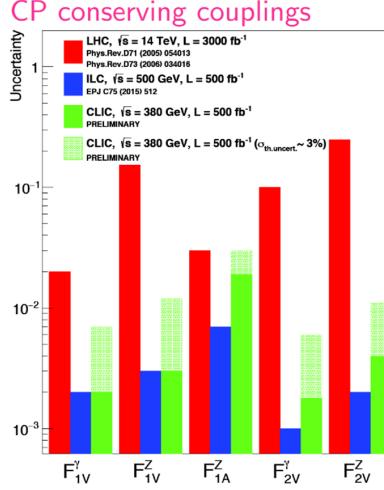


Figure 3.11: Expected precision on CP conserving electroweak form factors at future colliders

complicated making it harder to extract the couplings from a theory perspective, and it is also harder to successfully identify tops (which typically decay to at least one jet) in an environment that contains QCD jets from beam remnants compared to at letpn colliders where there is minimal QCD background within an event.

# CHAPTER 4

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## Higgs Analysis

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Reinforce context for measurement as part of the higgs width measurement

Take everything from analysis note!!

lepton finding

jet finding- higgs and W mass plots

btagging

describe BDTs

final selection and uncertainty

Impact of this on the overall higgs measurements at CLIC & compare to Higgs to  
qqqq channel

## 4.1 Introduction

One of the key aims for the CLIC experiment will be to perform a model independent measurement of the Higgs total width. Any deviations from the value predicted by the Standard Model for this would be clear evidence that there is new physics beyond the Standard Model involving particles that can interact with the Higgs. The size of any deviations could also give us an indication of the scale at which new physics occurs helping to guide the design of future high energy colliders. No current experiment has the capability of performing this measurement and so it is essential that it is measured at an electron-positron collider. The determination of the Higgs total width is dependent on the measurement of four quantities e.g. as described in Ref.[11]. Here we will consider the measurement of one of these quantities,  $\sigma_{H\nu\nu} \times \text{Br}(H \rightarrow WW^*)$ . As can be seen in Fig.4.1, this measurement is made possible during the 1.4TeV CLIC run as the cross section for the WW fusion process is the dominant Higgs production mechanism at this energy. This observable has already been studied at 1.4TeV using the  $WW \rightarrow qqqq$  channel [?], yielding an expected statistical precision of 1.4% for the nominal integrated luminosity of  $1.5\text{ab}^{-1}$ . Here we will look at the complementary  $WW \rightarrow qql\nu$  channel (shown in Fig.4.2), where  $l=e,\mu$ , with the intention of combining our results with the existing measurement to estimate the overall precision achievable at CLIC.

The signal and background processes we have examined in our analysis, along with their cross sections and sample production IDs, are summarised in Table. 4.3. In all cases the detector model used is CLICILD\_CDR, a variant of the ILD detector designed for ILC [7]. The main backgrounds of note are: the  $ee \rightarrow qql\nu$  process (dominated by  $e^+e^- \rightarrow W^+W^-$ ) as it has a very similar topology to our signal process and so is expected to be the most difficult to exclude; and the  $ee \rightarrow H(WW^* \rightarrow qqqq)\nu\nu$  process as our ability to correctly classify these events will determine how easily our results can be combined with measurements using this channel.

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<sup>i</sup>Sample 2022 is the  $ee \rightarrow H\nu\nu$  inclusive sample. The relevant events were extracted from this main sample

<sup>ii</sup>The cross-section for these events were scaled by a factor of 2 to account for interactions

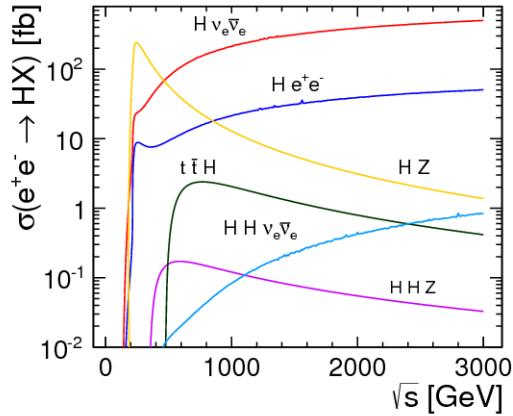


Figure 4.1: Cross sections for Higgs production mechanisms in an  $e^+e^-$  collider as a function of centre-of-mass energy [14]. For energies above 500GeV, Higgs production is dominated by the WW-fusion process(red.)

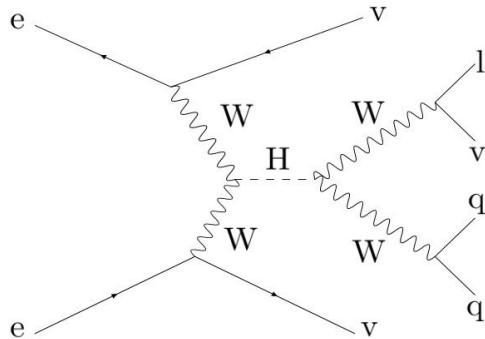


Figure 4.2: Higgs production via WW fusion with the Higgs decaying to a WW pair, which then decays semileptonically.

## 4.2 Event Reconstruction

Reconstruction of the signal events was performed using ILCsoft v01-17-06 and was carried out in two main stages as described below. The first stage was to identify the isolated lepton associated with the leptonic W boson decay. The second stage involved removing this isolated lepton and resolving the remaining particles into two jets that were associated with the two quarks produced by the hadronically decaying W boson. Using the two jets, the W boson could then be reconstructed and combined

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occurring with both the electron and positron. In the case of beamsstrahlung events simulated using GUINEA-PIG [16], a further scaling of 0.75 was applied to account for the lower luminosity of  $e\gamma$  events

Process	Cross Section(fb)	Production ID[15]	Events Used
Signal: ee $\rightarrow$ H(WW* $\rightarrow$ qql $\nu\nu$ )	18.9	2022 <sup>i</sup>	70000
ee $\rightarrow$ H(WW* $\rightarrow$ qqqq) $\nu\nu$	25.6	2022 <sup>i</sup>	140000
ee $\rightarrow$ H* $\rightarrow$ Other	199.6	2022 <sup>i</sup>	750000
ee $\rightarrow$ qq	4009.5	2091	500000
ee $\rightarrow$ qqqq	1328.1	2163	300000
e $\gamma$ $\rightarrow$ eqq ( $\gamma$ from EPA)	32308	2515 <sup>ii</sup>	500000
$\gamma$ e $\rightarrow$ eqq ( $\gamma$ from BS)	56043	2527 <sup>ii</sup>	500000
ee $\rightarrow$ qq $\nu\nu$	787.7	3243	500000
ee $\rightarrow$ qql $\nu$	2725.8	3246	400000
ee $\rightarrow$ qql $\nu$	4309.7	3249	1000000

Figure 4.3: Samples used for the analysis

with the isolated lepton to reconstruct the Higgs boson. The Higgs candidate we reconstruct will not be complete due to the lepton neutrino produced from the W decay. However, the observed properties will still be sufficient for discriminating between signal and background events.

### 4.2.1 Lepton Identification

Two different methods were used for identifying leptons. Our primary method for particle identification is to assume that the highest energy electron or muon (as identified by PandoraPFA [17]) corresponds to the isolated lepton from the leptonically decaying W boson. This method was found to have an efficiency and purity of 93% and 96% for identifying the isolated lepton.

The second method used a series of cuts to select the isolated lepton. The first stage of this was to group the particles in the event into four jets. This was done using FastJet [18] to implement the kt-algorithm using the E-scheme for recombination with an R-parameter of 0.4. We then required that the energy of the isolated lepton (electron or muon) constituted more than 35% of the visible energy of the jet it

was contained within. For electrons it was then required that at least 90% of the total energy of the particle was deposited in the ECAL, and the ratio of energy to momentum for the particle was between 0.75 and 1.25. For muons it was required that less than 35% of the total energy of the particle was deposited in the ECAL, and the ratio of energy to momentum should be between 0.01 and 0.60. This method yielded an efficiency of 91% and a purity 74%. Although this approach is not as performant as the first method, it allows more than one lepton to be selected. As a result it is useful for discriminating between signal and background processes as requirements can be placed on the number of leptons identified by this selection.

In summary, the first method is used to select a single isolated lepton, which is then used for reconstruction, while the number of lepton candidates selected by the second method is used as a discriminating variable to distinguish between signal and background processes.

#### 4.2.2 Jet Finding

Following the lepton finding, the remaining particles (not including the isolated lepton) are forced into two jets to reconstruct the properties of the two quarks produced from the hadronic W decay. This was carried out using the same jet finding algorithm as was used for the lepton identification. The optimization of the R-parameter was performed by using Monte Carlo information to obtain what mass we would measure for the reconstructed Higgs for various values of R, when using Monte Carlo truth kinematic information of the lepton neutrino in our reconstruction. An acceptably small bias in the reconstructed mass was found for an R value of 0.4, indicating we were successfully reconstructing the quark pair.

## 4.3 Flavour Tagging

Flavour tagging of events was performed using LCFIPlus v00-05-02 [19]. Three neural nets were trained to identify u/d/s, b and c quarks respectively with 50,000  $e^+e^- \rightarrow Z\nu\nu$ ,  $Z \rightarrow qq$  events used for each neural net. Application of these neural nets returned two parameters for jets within the event that quantify the probability of the jet being either a b-jet or c-jet. For this analysis, identifying b-jets is more useful for discriminating against the relevant backgrounds. Performance of the b-tagging was evaluated by applying the neural nets to a sample of 150,000 events containing an equal number of  $Z \rightarrow$  light, c and b quarks. It can be seen from Fig.4.4 that a purity of 90% can be achieved while still retaining an efficiency of 80%.

## 4.4 Event Selection

Event selection was performed using the TMVA package [20] to produce a Boosted Decision Tree (BDT). The BDT used  $7 \times 10^4$  signal events and  $4 \times 10^6$  background events, split evenly between training and testing samples. A collection of 19 variables is used for the training: mass of the reconstructed Higgs and W bosons; energy of the W boson; missing energy and transverse momentum; number of isolated leptons selected; PID of lepton; transverse momentum of lepton; angle of lepton and W boson relative to the beam axis; magnitude of minor thrust value; number of particle flow objects (PFOs) in the two jets; average angle of the two jets relative to the beam axis; kt jet resolution parameter  $y_{12}$ ; number of tightly selected PFOs in the event; angular separation of the isolated lepton and the W boson; minimum angular separation and transverse momentum of the lepton relative to either jet, and the combined b-tag value for both jets. A set of loose pre-selection cuts were also applied before the training to remove events that were clearly background. The cuts used were: energy of the W boson  $\geq 591\text{GeV}$ , Mass of the W boson  $\geq 231\text{GeV}$ , Mass of the reconstructed Higgs  $\geq 306\text{GeV}$  and  $667\text{GeV}$ ; total missing energy  $\geq 1400\text{GeV}$ . The input signal and background distributions for every input variable after application

of these cuts can be seen in the appendix, and the resulting BDT classifier output can be seen in Fig.4.5.

Figure4.5 shows that there is a high degree of separation achieved between signal and background events. The optimal BDT cut for maximising the signal to background ratio was determined to be at 0.21 and the effect of the pre-selection cuts and applying this BDT cut on the signal and background processes can be seen in Fig. 4.6. The resulting significance ( $S/\sqrt{S+B}$ ) after these cuts has been calculated to be 77 giving a statistical uncertainty of 1.3% on  $\sigma\text{Br}$  for an integrated luminosity of  $1.5\text{ab}^{-1}$ . This value is similar to that observed for the  $\text{WW}\rightarrow\text{qqqq}$  final state, as expected. By neglecting the case where the isolated lepton it a  $\tau$ , we have reduced our statistics to two thirds that of the hadronic channel which inherently limits the precision that can be achieved. However, the backgrounds for the hadronic channel are much larger, making them harder to remove which leads to a reduced precision. Looking in detail at the backgrounds after our selection, we can see that many of the backgrounds have been almost completely removed leaving only  $\text{ee}\rightarrow\text{H}(\rightarrow\text{other})\nu\nu$  and  $\text{ee}\rightarrow\text{qql}\nu$  as the dominant backgrounds. This is to be expected as these events most closely mimic our signal, which is mainly distinguished by its large missing energy. In the case of  $\text{H}\rightarrow\text{other}$  events it was determined that 26% of the remaining events came from  $\text{H}\rightarrow\tau^+\tau^-$  processes with a further 25% from  $\text{H}\rightarrow\text{WW}^*$  processes with one or more of the Ws decaying to a  $\tau$ . As such, attempts were made to veto  $\tau$  events by rejecting events in which one or more hadronically decaying  $\tau$  was explicitly identified using a Tau Finder [21]. However, the number of  $\tau$ s misidentified in the signal channel was determined to be too high to veto the  $\tau$  events without significantly increasing the overall statistical uncertainty on  $\sigma\text{Br}$  and so  $\tau$  identification is not used in the final selection. The efficiency for selecting  $\text{WW}^*\rightarrow\text{qqqq}$  events in the  $\text{WW}^*\rightarrow\text{qql}\nu$  channel has been calculated to be 1.8% which should be sufficiently low that a straightforward combination of the uncertainties determined by both channels can be made. However, further investigation must be done to confirm this. The efficiency of the  $\text{H}\rightarrow\text{WW}\rightarrow\text{qql}\nu$  events in the  $\text{H}\rightarrow\text{WW}\rightarrow\text{qqqq}$  channel has yet to be confirmed, therefore a final combined result has not yet been

performed. Where  $H \rightarrow WW$  candidates are identified by both selections, attributing them to a final state on the basis of the predicted purities, would simplify the calculation. Systematic uncertainties have not been described here but are expected to be dominated by the uncertainty on the measured  $WW \rightarrow qql\nu$  branching ratio of 1.1% [22].

## 4.5 Conclusion

In summary, we have performed a full analysis of the  $ee \rightarrow H(WW^*)\nu\nu$ ,  $WW^* \rightarrow qql\nu$  decay channel using a large set of backgrounds with the aim of measuring the  $H \rightarrow WW^*$  branching ratio. A 19 variable BDT was used to select signal events where the final state charged lepton is either an electron or a muon, and to remove background which was found to be dominated by  $ee \rightarrow H(\rightarrow \text{Other})\nu\nu$  and  $ee \rightarrow qql\nu$  in the final selection. The resulting statistical uncertainty was found to be:

$$\delta\sigma_{H\nu\nu} \times \text{BR}(H \rightarrow WW^*) = 1.3\%$$

The efficiency for incorrectly selecting  $ee \rightarrow H(WW^*)\nu\nu$ , with  $WW^* \rightarrow qqqq$ , in the  $WW^* \rightarrow qql\nu$  channel, was found to be 1.8%. The correlated overlap in selections developed for the  $WW^* \rightarrow qqqq$  and  $WW^* \rightarrow qqlv$  final states would be taken into account when combining the individual results.

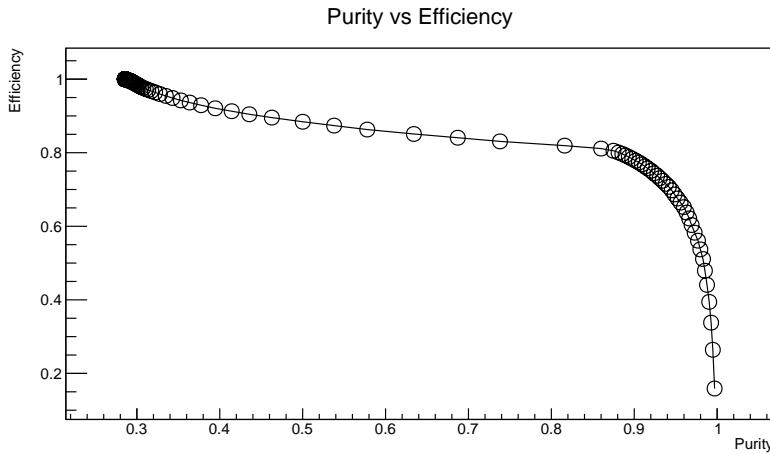


Figure 4.4: Purity vs efficiency for identifying b-jets, obtained from a sample of  $Z \rightarrow$  light, c and b quark events simulated at  $\sqrt{s} = 1.4\text{TeV}$

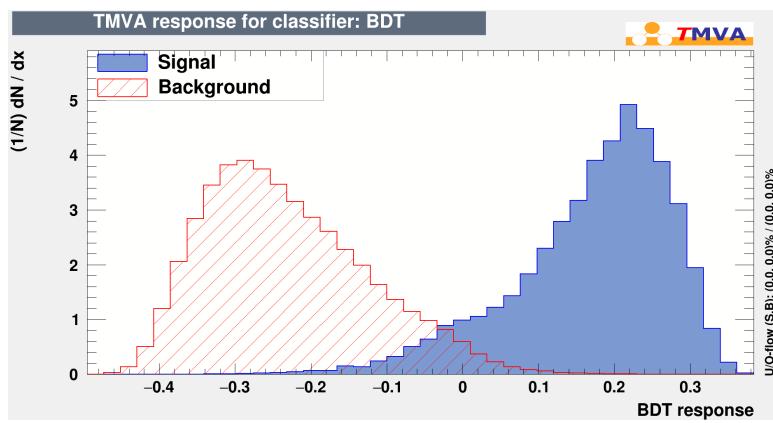


Figure 4.5: BDT response for signal and background events after TMVA classification

Process	Cross Section(fb)	Pre-selection Eff(%)	BDT Cut Eff(%)	Events
Signal	18.9	99.99	42.65	
$ee \rightarrow H(WW^* \rightarrow qqqq)\nu\nu$	25.6	99.96	1.79	
$ee \rightarrow H(\rightarrow \text{Other})\nu\nu$	199.6	99.62	1.26	
$ee \rightarrow qq$	4009.5	76.95	±0.01	
$ee \rightarrow qqqq$	1328.1	36.03	±0.01	
$e\gamma \rightarrow eqq (\gamma \text{ from EPA})$	32308	67.00	±0.01	
$\gamma e \rightarrow eqq (\gamma \text{ from BS})$	56043	95.84	±0.01	
$ee \rightarrow qq\nu\nu$	787.7	96.59	0.07	
$ee \rightarrow qql\bar{l}$	2725.8	89.75	±0.01	
$ee \rightarrow qql\nu$	4309.7	66.44	0.07	

Figure 4.6: Efficiency for all processes following pre-selection and BDT response cuts and the number of events expected to satisfy these requirements, for an integrated luminosity of  $1.5\text{ab}^{-1}$ .

# CHAPTER 5

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## Top Physics

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### 5.1 Introduction

Define what the top asymmetry is, measurement at previous experiments(tevatron, LHC, b asymmetry at lep), significance of it in terms of EW form factors

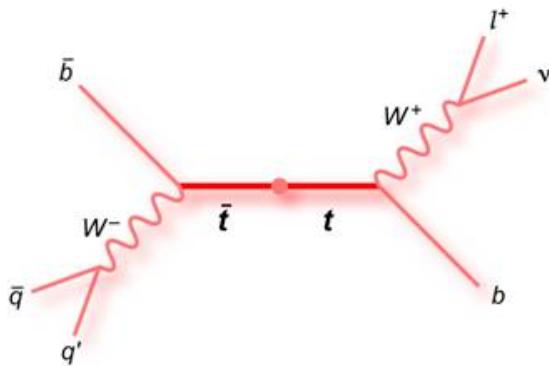


Figure 5.1: Semileptonic  $t\bar{t}$  decay

Decay Mode	Branching Fraction
$t\bar{t} \rightarrow WbWb \rightarrow qqbqqb$	
$t\bar{t} \rightarrow WbWb \rightarrow qqbql\nu b$	
$t\bar{t} \rightarrow WbWb \rightarrow l\nu bl\nu b$	
$t\bar{t} \rightarrow Other$	

Table 5.1: Top Pair Decay Modes

Process	Cross Section(fb)	Production ID	Events Used
Signal: $ee \rightarrow t\bar{t} \rightarrow qqqql\nu$	xx	6589,6592,6634,6637	xx

Table 5.2: Top Pair Decay Modes

As tops decay almost exclusively to a W and b they are typically described in terms of the resulting decay modes of the Ws. The dominant decay modes are described in table 5.1. Here we will look at measuring  $A_{FB}^t$  using the semileptonic  $t\bar{t}$  decay channel (see figure 5.1) in which one. This decay mode is ideal as the lepton from the leptonically decaying top provides the ability to charge tag the tops while the fully hadronic decay allows an accurate measurement of the production angle of the tops, both of which are necessary for measuring  $A_{FB}^t$  to high precision. The dominant signal and background processes examined by this analysis, as well as their cross sections and production ID numbers are shown in table 5.2. All samples are simulated using the CLIC\\_ILD\\_CDR detector model. This is a variation of the ILD detector model developed for use at the ILC. The samples also include an overlay of  $\gamma\gamma \rightarrow$  hadron events from beamstrahlung based on a 30 ns window around the generated physics events. The dominant backgrounds are expected to be from alternative  $t\bar{t}$  decays (fully hadronic decay modes and semileptonic decays containing taus) which will have very similar topologies to the fact they will both contain a hadronically decaying top.

## 5.2 Event Reconstruction

Reconstruction of the signal events is performed using ILCSOFT v01-17-10 and consists of three main stages. The first stage is to identify isolated leptons arising from the leptonically decaying top. These leptons are then removed and the remaining PFOs are resolved into two large radius “fat jets”. These two fat jets must then be associated with either the b jet produced by the leptonically decaying top or to the combination of three jets arising from the hadronically decaying top. A kinematic fitter is then used to reconstruct the neutrino and any ISR/Beamstrahlung photons present in the event.

### 5.2.1 Lepton Finding

Lepton finding is the first stage of reconstruction performed in each event. Due to the fact the measurement of  $A_{FB}^t$  is entirely reliant on using the lepton charge to distinguish between top and antitop decays, it is essential that a high efficiency and purity are achieved and that there is no angular dependence on the performance. For this analysis lepton finding is done in two steps. Firstly, lepton candidates with energy  $\gtrsim 10$  GeV are identified using the particle ID provided by the Pandora Particle Flow Algorithm [17]. Only muons and electrons are examined due to the fact tau leptons require different reconstruction techniques to identify and are typically reconstructed with significantly lower efficiency. This first stage removes  $\gtrsim 90\%$  of fake candidates with negligible impact on efficiency. The second stage of lepton selection is to examine how isolated each of the candidates are. This is evaluated by resolving all PFOs in the event into five jets, then for each lepton candidate, measuring the energy of the candidate relative to the jet it was been associated with. For this process the ee kt algorithm was chosen for the jet finding to ensure that all lepton candidates are always placed within a jet. The lepton candidate found to have the highest ratio of  $E_{Candidate}/E_{Jet}$  is then declared to be the isolated lepton arrising from the letonically decaying top. In the event that no lepton is

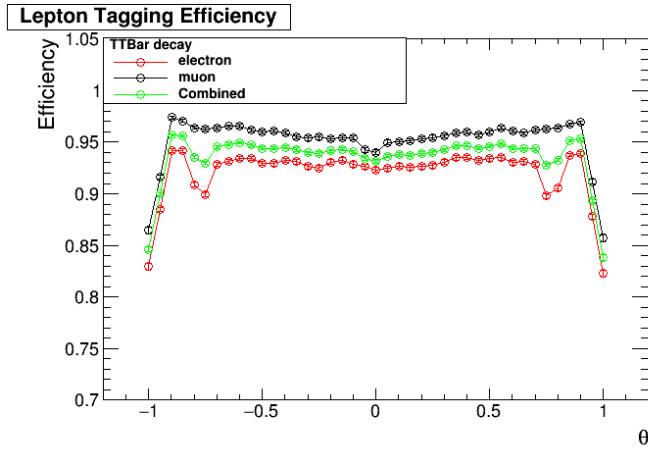


Figure 5.2: Efficiency for identifying leptons with the correct charge as a function of angle

selected by the first step, the restrictions on the particle ID and energy are relaxed and the lepton is selected purely based on which PFO is the most isolated according to step two. This method ensures that there is always exactly one lepton selected per event. The net efficiency with which this method selects a candidate with the correct charge is found to be 93% for electrons and 96% for muons.

As well as understanding the net efficiency for finding leptons it is also important to examine the angular dependence of the efficiency to ensure there is no bias that could effect the measurement of  $A_{FB}^t$ . Figure 5.2 shows how the efficiency varies with angle. The efficiency is seen to rapidly decline for  $|Cos\theta| > 0.9$  due to detector acceptances. A decrease in efficiency is also seen for electrons at angles corresponding to the transition point between the ECAL barrel and endcaps. This effect is not seen for muons as they are also reconstructed using the muon detectors placed at a larger radius. Overall the efficiency is seen to be consistently worse for electrons than muons. This is to be expected as muons produce easily recognisable signatures in the detector due to the fact they typically penetrate through the tracker,ECAL,HCAL and muon systems whereas electrons only leave deposits in the tracker and ECAL. In the case that tracks are lost during reconstruction or are wrongly associated to other PFOs it is then possible for photons to wrongly be labelled as electrons and vice versa leading to a higher fake rate for electrons.

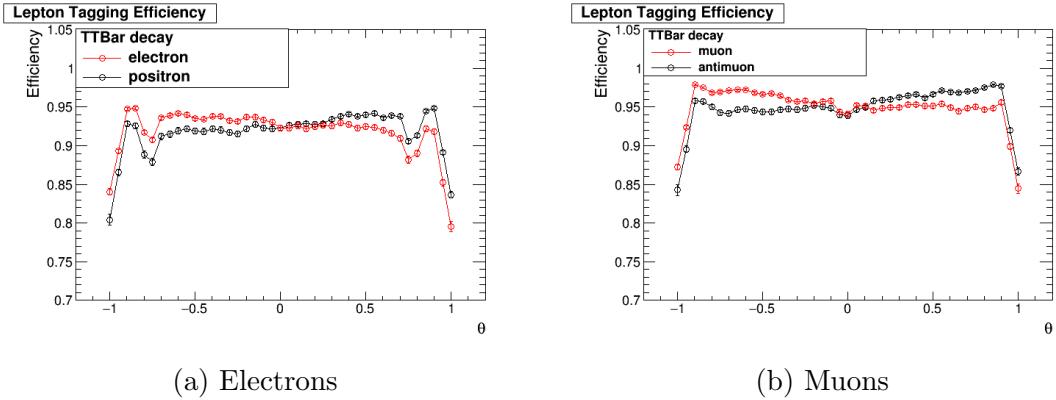


Figure 5.3: Angular dependence of lepton finding for particles vs antiparticles

As well as checking the angular dependence of the charge tagging efficiency it is also key to examine the charge dependence of the lepton finding to make sure there is no preference for identifying particles over antiparticles. The angular dependence of the charge tagging efficiency for particles vs antiparticles is shown in figures 5.3. An asymmetry in the performance is observed in both electrons and muons.

It arises from the underlying asymmetry in the production of particles vs antiparticles due to forward-backward asymmetries. The forward backward asymmetry mean that tops are preferencialy produced in one direction while antitops are produced more often in othe opposite direction, however due to charge conservation this also means that the W bosons and leptons are produced asymmetrically too. Because the collisions are taking place well above the top pair production threshold, the W bosons will gain a large boost forcing them to travel in the same direction as the inital top. The polarization of the W also means that the lepton will also be preferentially produced along the same diection as the W and can only be produced in the opposite direction with a lower energy. Overall this means that leptons are produced with higher energy in one direction and lower energy in the opposite direction while for antileptons this directional dependence is reversed. The effect is shown in 5.4 where it is seen that positrons are produced with higher energy in the forward direction( $\cos\theta > 0$ ) than the backward direction. It is known that the efficiency for reconstructing leptons at CLIC increases with energy and so the fact the energy and angle at which leptons are produced are correlated results in the asymmetric

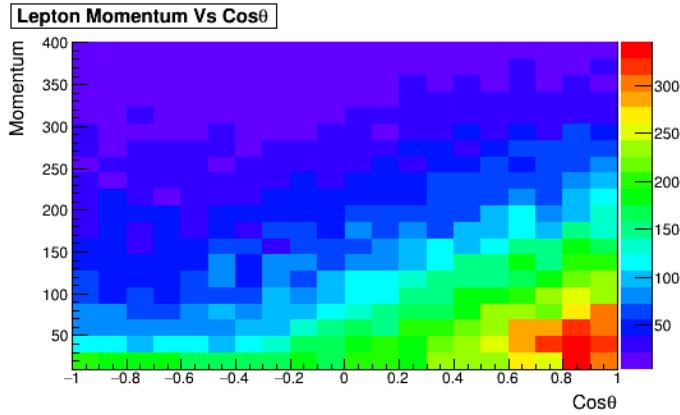


Figure 5.4: Correlation between lepton momentum and angle for positrons only

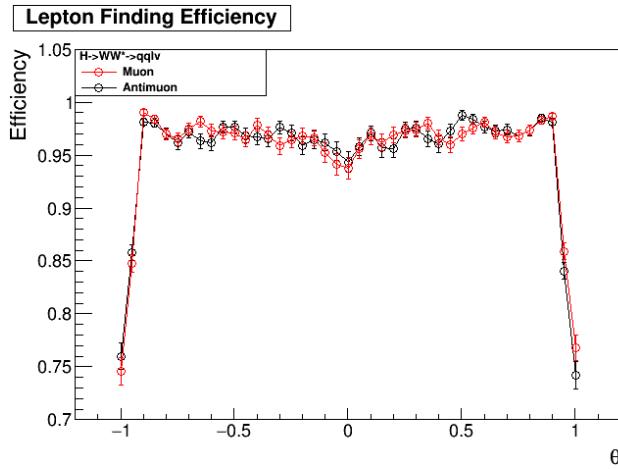


Figure 5.5: Charge tagging efficiency for  $ee \rightarrow H\nu\nu, H \rightarrow WW \rightarrow qql\nu$ . The efficiency is seen to be symmetric for particles and antiparticles when they are produced with the same initial angular distribution.

angular efficiency for correctly reconstructing the lepton. Further evidence for this theory is shown in figures 5.5 and 5.6 which show that the asymmetry disappears when either the production mode for the leptons is symmetric or when low energy leptons are not included.

### 5.2.2 Fat Jet Finding

Jet reconstruction was performed using the FastJet package [18]. Due to the high energy of the collisions relative to the top mass, the tops produced are highly boosted and produce highly collimated decay products (see figure 5.7.) This means it is

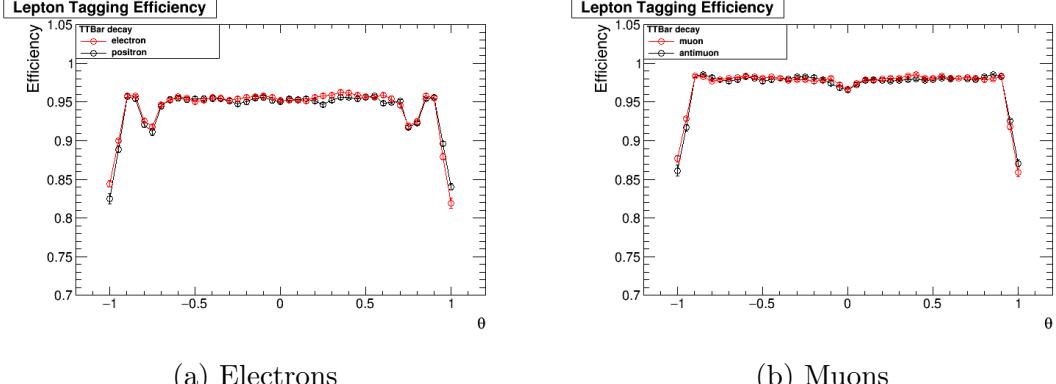


Figure 5.6: Charge tagging efficiency after 20 GeV lepton momentum cut. The efficiency is seen to be symmetric for leptons with momentum  $\gtrsim 20$  GeV/

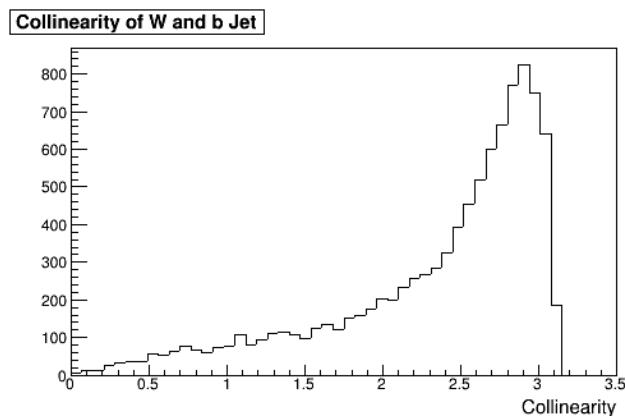


Figure 5.7: Separation between W and b jets from top decay. The pair are typically too collimated to allow the b-jet and the pair of jets from the W decay to be successfully resolved into three distinct jets

typically not possible to resolve the decay products from the hadronically decaying top into three jets corresponding to the b-jet and light quark jets from the W decay. As a result an alternative approach to jet reconstruction is considered based on the concept of fat jets, an approach already being used at the LHC[?]. Fat jets are large radius jets and are used to cluster groups of jets that can't be accurately resolved individually into one larger jet. For the purpose of this analysis the events are clustered into fat jets which should correspond to the b-jet from the leptonically decaying top and to the whole set of decay products from the hadronically decaying top. The mass and substructure variables (see 5.4) of these fat jets can then be used to distinguish genuine top events from backgrounds. Two jet algorithms were considered for reconstructing the fat jets- the kt algorithm [?] and Valencia algorithm [?]. The kt algorithm is already extensively used at hadron colliders while the Valencia algorithm is a newer algorithm designed for future lepton colliders that offers improved performance in handling beam backgrounds. The performance of both algorithms is shown in figure 5.8. For both algorithms it is seen that at higher R the resolution on the top mass gets worse while for lower R sub peaks start to appear in the mass distribution corresponding to partial reconstructions of the top (either W Boson or single quark). The kt algorithm is seen to produce a consistently broader distribution in the top mass. Placing a cut on the collision energy of  $E_c > 1.2$  TeV reveals that these lower mass peaks only occur for lower collision energies where the top decay products will be less collimated and so the fat jet finding can merge components from both the hadronic and leptonic tops into each jet. This analysis will be focusing on reconstructing the most boosted tops. As a result the Valencia algorithm is preferred due to its better mass resolution. Performance for less boosted top decays might be improved by examining the performance of a more conventional jet analysis looking to resolve all four individual quarks whenever the fat jet finding produces jets outside the top mass window. This possibility is discussed later in section 5.5. Here the Valencia algorithm with  $R=1.5$ ,  $\beta=1$  and  $\gamma=1$  is chosen as the optimal jet reconstruction method to provide a balance between mass resolution and the frequency of partial reconstructions.

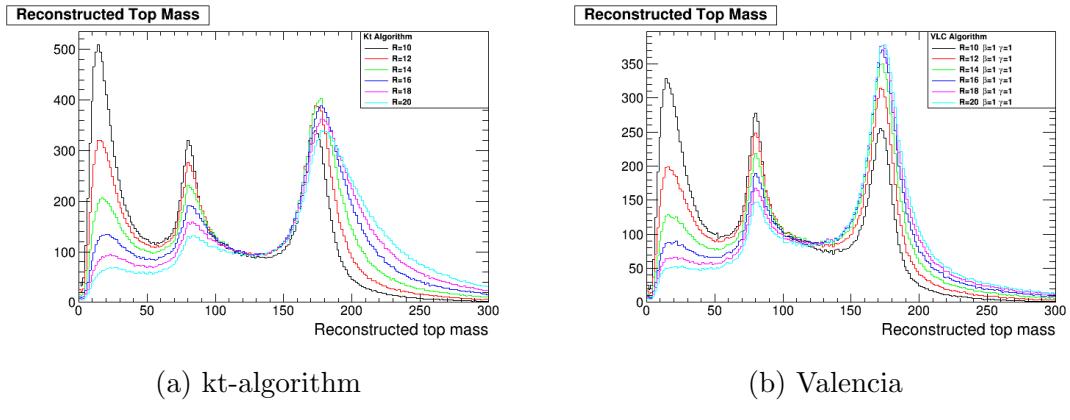


Figure 5.8: Performance of both jet finding algorithms for various parameter settings. The  $kt$  algorithm is seen to produce a broader distribution in the top mass peak so the Valencia algorithm is preferred. For both methods it is seen that a lower  $R$  results in the development of peaks from partial reconstruction of the top jet ( $W$  Boson or single quark) while a larger  $R$  produces a broader peak at the top mass. A balance is found between producing a narrow top mass width while minimising sub peaks by selecting a radius of  $R=1.5$

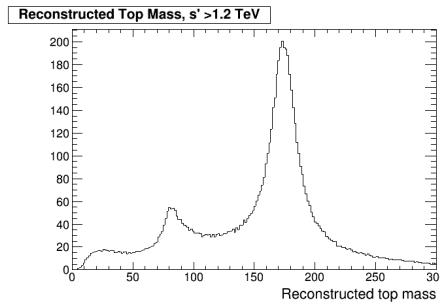


Figure 5.9: Reconstructed top mass for the Valencia algorithm in events close to the nominal collision energy ( $E_c$  1.2 TeV)

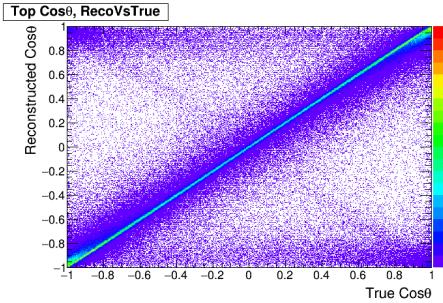
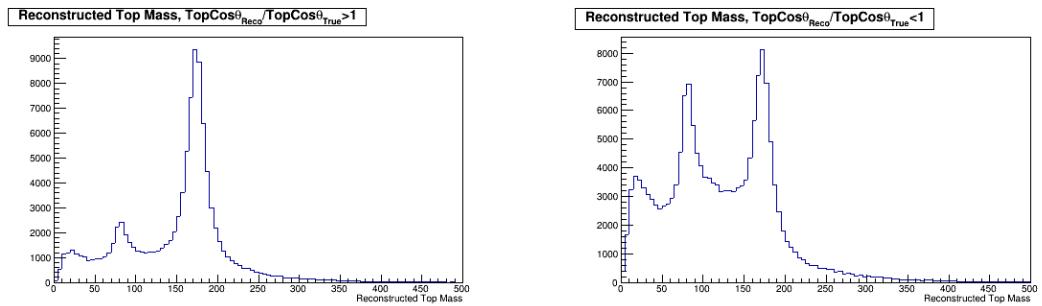


Figure 5.10: Comparison of reconstructed top decay angle to truth. A strong correlation is seen over most of the range, however this starts to break down for large angles of  $|Cos\theta| > 0.9$  where non-negligible off diagonal contributions are seen.



(a) Fat jet mass when  $|\frac{Cos\theta_{Reco}}{Cos\theta_{True}}| > 1$ , on diagonal regions of fig 5.10.  
(b) Fat jet mass when  $|\frac{Cos\theta_{Reco}}{Cos\theta_{True}}| < 1$ , off diagonal regions of fig 5.10.

Figure 5.11: Reconstructed fat jet mass. In the regions where  $|\frac{Cos\theta_{Reco}}{Cos\theta_{True}}| > 1$  (upper right and lower left quadrants of fig 5.10) the reconstructed fat jet matches the top mass, while in the regions corresponding to the off diagonal regions of fig 5.10) the mass is not consistent

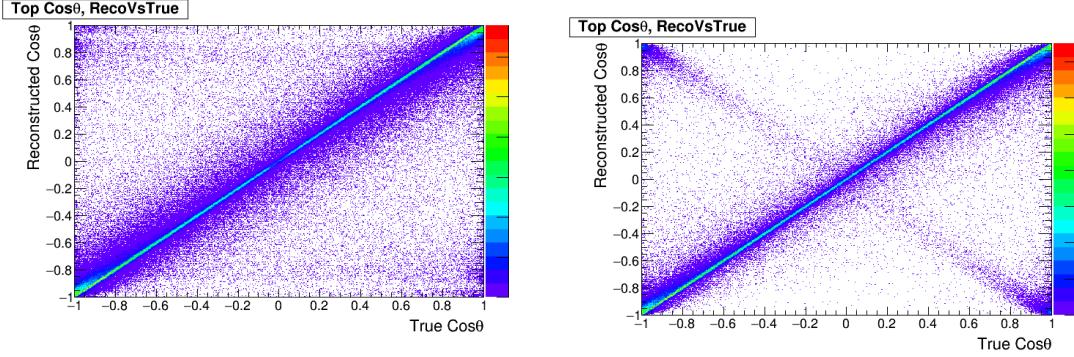
### 5.2.2.1 Jet Association

After the fat jet finding has been performed, the two reconstructed jets must then be associated as either coming from the hadronically decaying top or from the b jet from the leptonically decaying top. The default method for this was to associate the highest energy fat jet to the hadronically decaying top as, due to the neutrino not being reconstructed and the lepton already being removed, the remaining decay products from the leptonically decaying top should typically have considerably less energy. The performance of this method can be examined by comparing the reconstructed decay angle relative to the true value(see 5.10). While the performance over most of the range studied is good, for  $|Cos\theta| > 0.9$  the correlation between the true and reconstructed angles breaks down and off diagonal elements start to appear.

Performance in these forward regions is typically poor due to detector acceptances which result in losses down the beam line. In cases where parts of the hadronic top decay are not able to be reconstructed, using the fat jets energy to perform the jet association no longer becomes a reliable method. Evidence that misreconstruction is the source of these off diagonal elements is presented in figure 5.11 where it is clear that the fat jets in the off diagonal regions are not reconstructed with a consistent mass. When the jets are not fully reconstructed, it is more likely that the wrong jet is assigned to be from the hadronic top. When the wrong jet is selected the reconstructed angle will be approximately  $\pi$  radians off the true value as the tops are predominantly produced back to back. This explanation is further supported by the results shown in figure 5.12a which show that the off diagonal elements can be removed when a cut is placed on the angle between the reconstructed top and the b jet from the leptonic top decay indicating that these elements are definitely coming from selecting the wrong jet. As well as the  $\pi$  radian flips from selecting the wrong jet, there are also additional off diagonal contributions seen which arise from poor reconstruction of the fat jets. This typically happens when the tops are not produced back to back due to ISR/Beamstrahlung. When this happens, during the fat jet reconstruction it is possible for contributions from both true fat jets to be mixed e.g instead of grouping the 3 jets from the hadronic jets together only two of them are grouped together and the third is grouped with the lone bjet from the leptonic top. When this mismatching happens the hadronic top is no longer fully reconstructed and so the angle measured for the top decay has little correlation with the true value. Figure 5.12b shows that these remaining off diagonal elements disappear when a cut is placed on the separation of the tops at truth level.

In order to avoid the problems close to the beam line multiple alternative jet association methods were devised- see 5.3.

The relative effectiveness of these methods were evaluated in three ways shown in figures 5.13, 5.14 and 5.15 respectively. The first method was to look at the overall distribution of  $\text{Cos}\theta$  produced by each method compared to the distribution at truth level as this is what will be used to extract  $A_{fb}^t$ . All the methods agree well with



- (a) Cut placed on angle between reconstructed top and true b jet from leptonic decay,  $\Delta\text{Cos}\theta_{\text{Reco}-\text{Bjet}} > 0.1$
- (b) Cut placed on collinearity between top pair at truth level, separation  $\xi < 3$  radians

Figure 5.12: Reconstructed vs true top decay angles with truth level cuts to explain the off diagonal elements seen in 5.10.

Fat Jet Selection Method	Description
Lepton	The hadronically decaying top is deemed to be the fat jet with the greatest separation from the isolated lepton
B tag	The hadronically decaying top is deemed to be the fat jet with the greatest separation from the jet with the highest b tag (see 5.2.4 for details on how flavour tagging is performed)
Energy	Select the fat jet with the highest energy to be the hadronically decaying top
Multiplicity	Recluster both fat jets into N “micro jets” (see 5.4.3 for methodology) The hadronically decaying top should have a higher number of micro jets found within it
Mass	The hadronically decaying top is deemed to be the fat jet with the greatest mass
Top Mass	Select the fat jet whose mass is closest to the top mass as the hadronically decaying top
Democratic	A combination of the lepton, energy and mass methods. Each method votes for which fat jet it thinks is the hadronically decaying top. The fat jet with the most votes is then selected as the hadronically decaying top

Table 5.3: Methods used for identifying which fat jet corresponds to the hadronically decaying top

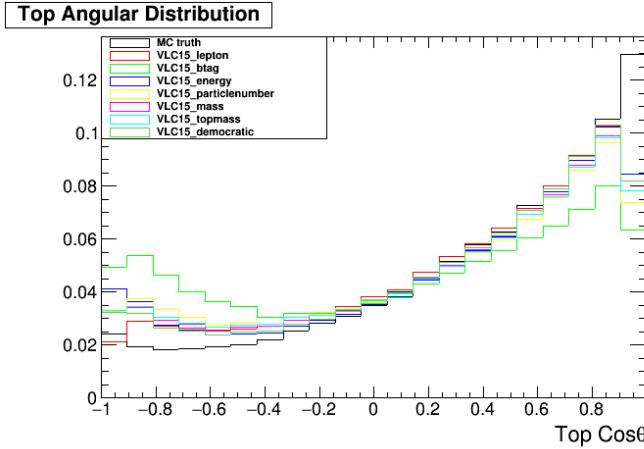


Figure 5.13: Reconstructed  $\text{Cos}\theta$  distribution for various jet association methods. The expected distribution from truth level information is uncluded for reference

the true distribution in the central region of the detector but diverge in the high  $| \text{Cos}\theta |$  region. This is mainly caused by the effect described above. Close to the beam line the jets aren't fully reconstructed, the jet association fails and the b jet from the leptonic side is selected rather than the hadronic top jet. This causes migrations from the forward region to the backward regions producing a deficit in the foward region and an excess in the backward region. Migrations do occure in the opposite direction too for the same reason, however because the top forward-backward asymmetry means tht more tops are produced in the forward region to begin with, the net migration is from forward to backward. The migrations are not always a shift of  $\pi$  radians as one might expect. Instead the migrations occur from very close to the beam line to a broader range in the opposite direction. This is due to the fact that ISR/Beamstrahlung can mean the top pair aren't produced exactly back to back in the lab frame and because the b-jet produced by the leptonic decay is not exactly collinear with the the top decay axis. Comparing the methods we see that all the methods show similar levels of migration except for the btag method which shows the highest migration. This is attributed to the fact the highest btagged jet can sometimes be from the hadronic side even in events that are well reconstructed, and so the jet association will fail in more events than the other methods which only fail for events close to the beam line.

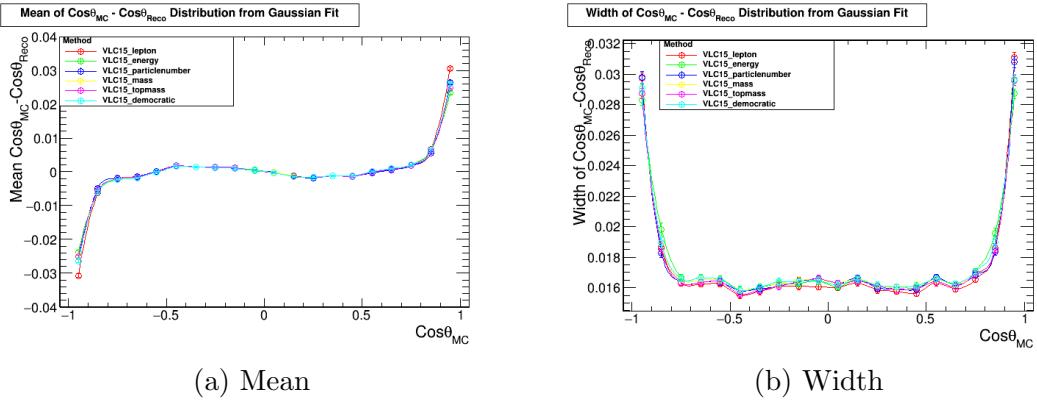


Figure 5.14: Mean and width from fitting  $\Delta\text{Cos}\theta_{\text{True-Reco}}$  to a gaussian. Mean: migrations close to  $|\text{Cos}\theta| > 0.9$  result in a bias in the mean. The migrations cause a shift of roughly  $\pi$  radians resulting in the bias being in the opposite direction for each end of the range. Width: migrations close to  $|\text{Cos}\theta| > 0.9$  cause a broadening in the resolution of the reconstructed  $\text{Cos}\theta$

The second method was to measure the difference between the reconstructed and MC(true)  $\text{Cos}\theta$  per event and fit this with a gaussian. The variation in the width and mean of these distributions were plotted against the true  $\text{Cos}\theta$  and are shown in fig 5.14. The effects of migration at high  $\text{Cos}\theta$  is more pronounced in these plots where in the width we can see that the resolution on  $\text{Cos}\theta$  gets much worse in the forward regions and the mean shows a pull in opposite directions in these regions proving the migrations do indeed occur in both directions with the same. Unfortunately there is little discrimination seen between the methods except for showing that there are slightly larger migrations when using the btag method.

The final method of comparison was to measure the efficiency with which the hadronic top was measured within the correct  $\text{Cos}\theta$  bin as a function of the true  $\text{Cos}\theta$ . For this study a bin width of 0.1 in  $\text{Cos}\theta$  was used. The results are shown in figure 5.15. Here there is a clearer separation in the performance of the different methods. B-tagging is seen to provide the worst efficiency while the energy and democratic methods provide the highest level of performance. The mass based selections provide slightly lower performance than the energy/democratic methods. This is likely explained by the fact they are less robust when the jets are not fully reconstructed. Missing a small section of the jet via acceptance losses/reconstruction inefficiencies can have a large impact on the reconstructed

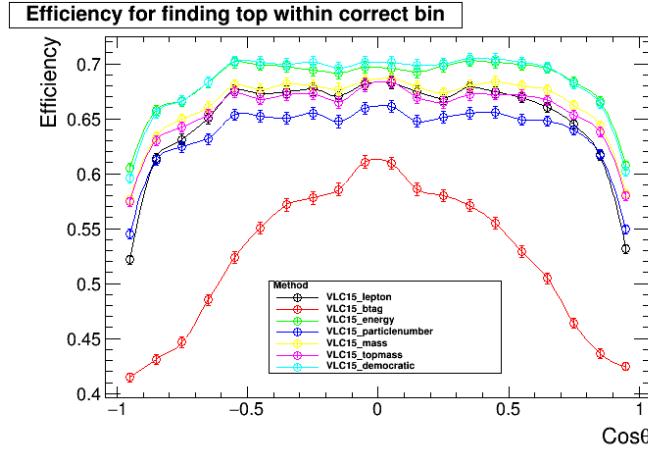


Figure 5.15: Efficiency for reconstructing the hadronically decaying top in the correct  $\text{Cos}\theta$  bin

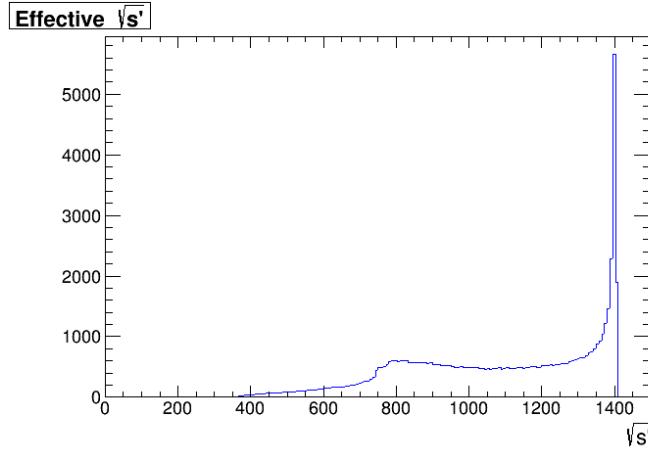


Figure 5.16: Expected  $s'$  spectrum for  $t\bar{t}$  at 1.4 TeV

mass, however in the case of energy, if we naively assume that the energy is split evenly between the 6 final state particles, then we would expect that the energy of the hadronic fat jet would be three times that of the b-jet from the leptonic top and so considerable energy losses must occur before the wrong jet is selected. Due to their higher bin by bin efficiency, the energy and democratic methods are the best methods to use. Due to it's simplicity the energy method is then chosen as the preferred method for the rest of the analysis.

### 5.2.3 $s'$ Reconstruction

Following the reconstruction of the lepton and hadronically decaying top it is already possible to calculate  $A_{FB}^t$ , however there is still benefit to first reconstructing the effective centre-of-mass energy of the events (along with the neutrino and any photons produced too.) This allows the calculation of  $A_{FB}^t$  to be determined in the  $t\bar{t}$  rest frame where it is predicted to be up to 50% bigger [?], and also allows a differential measurement of  $A_{FB}^t$  to be performed. The expected  $\sqrt{s'}$  spectrum for  $t\bar{t}$  production at 1.4 TeV is shown in figure 5.16. Here it is seen that there is a large tail to the energy spectrum which can be taken advantage of to measure  $A_{FB}^t$  over a large range of energies. This differential measurement provides more greater power for discriminating between different physics models than a single  $A_{FB}^t$  measurement. If  $s'$  can not be reconstructed per event,  $A_{FB}^t$  would have to either be measured as an integral over the full  $s'$  range or be measured just around the peak energy where there are only small  $s'$  corrections ( $E > 1200$  GeV), however this would mean disregarding INSERT PERCENTAGE of events produced during the 1.4 TeV run. As well as directly effecting the ways in which we can measure  $A_{FB}^t$ , reconstructing  $s'$  typically involves reconstructing the neutrino and photon contributions in the event. Having the information about these objects provides further information that can be used to reconstruct the leptonic top and help distinguish signal events from similar backgrounds.

In order to reconstruct  $s'$ , multiple methods were attempted with varying complexity:

#### 5.2.3.1 Transverse/Longitudinal Association

The simplest method attempted was to assume that all missing momentum in the transverse direction is attributed to the neutrino, while all longitudinal momentum comes from photon contributions. These assumptions are motivated by the results from figure 5.17 which show that the photons produced are predominantly collinear



Figure 5.17: Angular energy distribution of initial state photons

with the beam.

This allows full reconstruction of the neutrino and photon objects and so  $s'$  can be reconstructed by looking at the sum of the energy of the reconstructed objects (excluding the photon as it is from ISR or Beamstrahlung.) A comparison of the reconstructed  $s'$  to the true  $s'$  spectrum is shown in figure 5.18

#### 5.2.3.2 Analytic Mass Constraint

The second method attempted is an adaptation of the first method that makes use of the high efficiency with which the lepton is reconstructed to improve the performance. It starts in the same manner by assuming that all transverse missing momentum comes from the neutrino, however the missing longitudinal momentum is then divided between the neutrino and photon. This is done by constraining the z component of the neutrino momentum by insisting the combination of the lepton



Figure 5.18: Reconstructed  $s'$  vs true  $s'$  for Transverse/Longitudinal Association Method



Figure 5.19: Reconstructed  $s'$  vs true  $s'$  for mass constraint method



Figure 5.20: Mass of reconstructed top when using mass constraint method



Figure 5.21: Collinearity of  $t\bar{t}$  pair

and neutrino four momenta reproduces the W mass. The details of the necessary calculations are described in more detail in (PUT AN APPENDIX IN), but the key detail is that there are four possible solutions for the neutrino momentum. To decide the most suitable solution the W is combined with the b jet and the solution found to give an invariant mass closest to the top mass was chosen to be best. The resulting reconstructed top mass and s' reconstruction performance are shown in figures 5.19 and 5.20. The reconstructed s' is seen to agree well with the true value for energies over  $\tilde{900}$  GeV, however below this the performance is considerably worse.



Figure 5.22: Reconstructed  $s'$  vs true  $s'$  for collinearity method

#### 5.2.3.3 Collinearity

#### 5.2.3.4 Kinematic Fitting

### 5.2.4 Flavour Tagging

Flavour tagging was performed using LCFIPlus v00-05-02[19]. LCFIPlus makes use of three BDTs dedicated to searching for u/d/s (light), b and c quarks respectively, to provide a b-tag and c-tag indicating the probability of a jet containing a b or c quark. As the signal process contains two b jets, only the results of the b-tag are considered here. The BDTs were trained using 50,000  $ee \rightarrow Z\nu\nu, Z \rightarrow qq$  events each. The base performance of the BDTs was assessed using a further 150,000  $ee \rightarrow Z\nu\nu, Z \rightarrow qq$  events containing an even mixture of bb, cc and light quarks to measure the efficiency and purity that could be obtained. The results of this test (shown in 5.23) indicate that in the case of  $Z \rightarrow qq$  events high efficiencies and

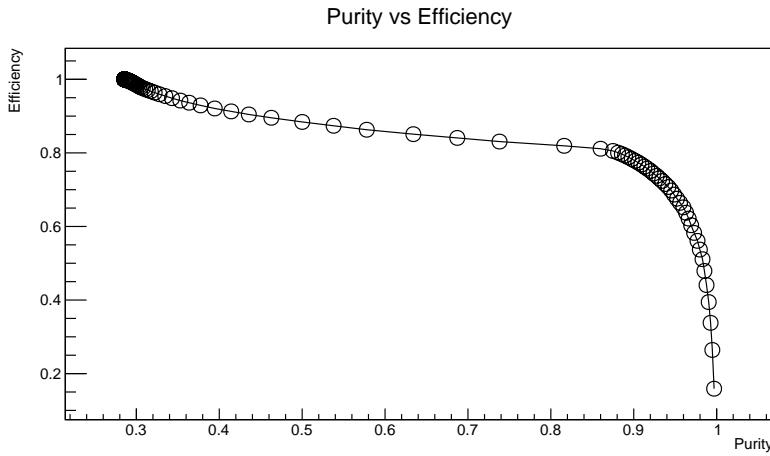


Figure 5.23: Purity vs efficiency for identifying b-jets, obtained from a sample of  $Z \rightarrow$  light, c and b quark events simulated at  $\sqrt{s} = 1.4$  TeV

puritys of  $\tilde{85}\%$  can be acieved simultaneously. Before we apply the flavour tagging to our analysis we first recluster our events into four jets to try and capture the bjets separately from the light quark jets. This is done within the LCFIPlus package which uses the Durham algorithm by default. Ideally the BDTs would also be retrained using top events rather than Z, however due to limited sample sizes this was not a realistic option. The performance of the btagging for semileptonic top events was evaluated by comparing the highest and second highest b-tags assigned to any of the four jets in signal events to those in backgrounds. The results of this comparison are seen in figure 5.24. It is clear that the btagging is consistantly successful in finding the first b jet, but is less reliable for finding a second b jet. This is expected due to the topology of the event. The b jet produced by the leptonically decaying top should be well isolated from everything but the lepton which is identified and removed with high efficiency whereas the bjet from hadronically decaying top will be close to two other jets meaning the jet finder is less likely to accurately associate the PFOs in that region to the correct initial quark. As a result the b jet from the leptonic side should be consistently reconstructed and tagged whereas the the b jet on the hadronic side will be less consistent as the efficiency for reconstructing the jet correctly is much lower. Despite the poorer perfomrnace of the second highest b-tag, both variables provide clear potential as discriminating variables for removing background.

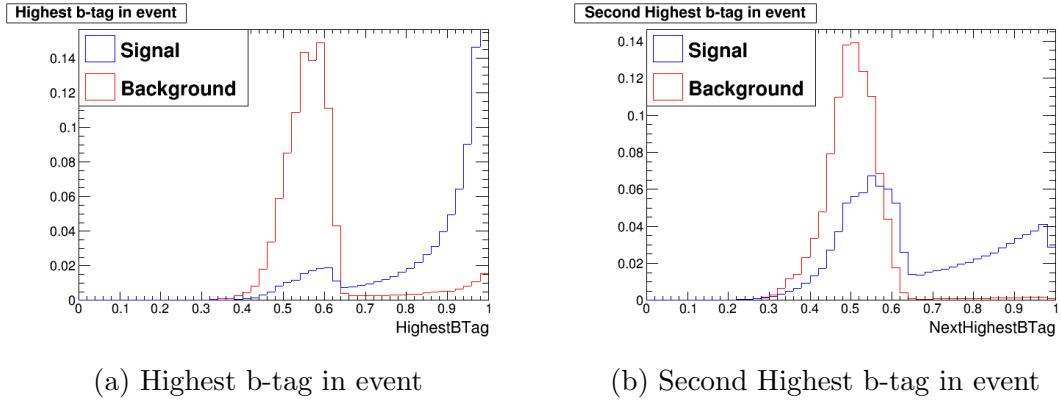


Figure 5.24: B-Tagging performance

### 5.3 Calculating AFB

Measuring AFB from theta distributions vs counting- benefit that theta fit isn't effected by acceptance cutoff Precision expected from just signal, no event selection, after preselection, after bdt

### 5.4 Event Selection

Split into two regions- low and high energy due to different topology from s'

Using bdt based selection to maximise performance

Describe jet substructure variables (or any other confusing ones...)

#### 5.4.1 NSubjettiness

#### 5.4.2 Subjet Angular Distributions

#### 5.4.3 Jet Multiplicity

### 5.5 Quality Cuts

Preselection cuts BDT variables Acceptance cuts

Large table showing efficiency of all cuts on all samples and the final expected number of events for full luminosity

Overall signal efficiency/purity/significance

#### 5.5.1 Differential Measurement

Differential version of at least one of the plots- probably just signal before selection and then signal+background after selection with background subtraction. Explain that most precise point will be for  $s' \approx 1200$  as there is the cleanest signal- highly boosted jets. Suggest that lower  $s'$  results could benefit from a different reconstruction approach based on a resolved 4 jet analysis- probably deserves its own dedicated study though we can do if we have time. Would also likely benefit from having different variables used for the low  $s'$  BDT.

### 5.6 Conclusions

We measured the Afb to x precision....

# CHAPTER 6

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## DECALStudies

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General concept- energy proportional to nParticles

Explain potential benefits- cheap, same tech as inner detectors, granularity could improve particle flow

Description of Mokka & Geant4

Detector changes implemented for simulations- smaller pixel size, active silicon replaced with thinner active silicon layer and passive silicon layer

### 6.1 DigiMAPs

Various effects on resolution Charge Spread Background Noise Signal Noise Clustering Threshold

## 6.2 Design Optimization

Aspect Ratio for resolution Linearity Leakage= do studies based on change in radiator- tungsten vs lead

## 6.3 Hardware studies

Assuming this ever works....

# CHAPTER 7

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## Conclusion

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## APPENDIX A

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### FIRST APPENDIX

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Tables of datapoints...