CS162 Operating Systems and Systems Programming Lecture 9

Synchronization 3: Semaphores, Monitors and Readers/Writers

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Recall: test & ste

Recall: Implementing Locks with test&set

• Simple lock that doesn't require entry into the kernel:

- Simple explanation:
 - If lock is free, test&set reads 0 and sets lock=1, so lock is now busy.
 It returns 0 so while exits.
 - If lock is busy, test&set reads 1 and sets lock=1 (no change)
 It returns 1, so while loop continues.
 - When we set the lock = 0, someone else can get lock.
- Busy-Waiting: thread consumes cycles while waiting
 - For multiprocessors: every test&set() is a write, which makes value ping-pong around in cache (using lots of network BW)

Busy Waiting

```
Thread 1
                                                   Thread 2
acquire(int *thelock) {
                                   *thelock = 1
  while(test&set(thelock));
                      context switching
                                           *acquire(int *thelock) {
                                              while(test&set(thelock));
                                                                                Busy
                                              while(test&set(thelock));
                                                                                Waiting
                                              while(test&set(thelock));
                        context switching
release(int *thelock) {
  *thelock = 0;
                      context switching
                                               while(test&set(thelock));
                                                                              *thelock = 1
```

Analysis: Lock Implementation using test&set

Desired API

Naïve Implementation

Better Implementation??

```
int mylock = 0;
int mylock=0;
                     acquire(int *thelock) {
                       while(test&set(thelock));
acquire(&mylock);
 critical section;
release (&mylock);
                     release(int *thelock) {
                       *thelock = 0;
```

Threads waiting to enter critical section busy-wait!

```
int guard = 0; // global!
acquire(int *thelock) {
  // Short busy-wait time
  while(test&set(quard));
  if (*thelock == 1) {
    put thread on wait-queue;
    go to sleep() & guard = 0;
    // quard == 0 on wakeup
  } else {
    *thelock = 1;
    quard = 0;
release(int *thelock) {
  // Short busy-wait time
  while (test&set(guard));
  if anyone on wait queue {
    take thread off wait-queue
    Place on ready queue;
  } else {
    *thelock = 0;
  quard = 0;
```

Linux futex: Fast Userspace Mutex

uaddr points to a 32-bit value in user space
futex_op

- FUTEX_WAIT if val == *uaddr sleep till FUTEX_WAIT
 - » Atomic check that condition still holds after we disable interrupts (in kernel!)
- FUTEX_WAKE wake up at most val waiting threads
- FUTEX_FD, FUTEX_WAKE_OP, FUTEX_CMP_REQUEUE: More interesting operations! timeout
 - ptr to a timespec structure that specifies a timeout for the op-
- Interface to the kernel sleep() functionality!
 - Let thread put themselves to sleep conditionally!
- **futex** is not exposed in libc; it is used within the implementation of pthreads
 - Can be used to implement locks, semaphores, monitors, etc...

Example: First try: T&S and futex

- Properties:
 - Sleep interface by using futex no busywaiting
- No overhead to acquire lock
 - Good!
- Every unlock has to call kernel to potentially wake someone up even if none
 - Slows down the uncontested case where only one thread acquiring and releasing over and over...!

Example: Try #2: T&S and futex

```
bool maybe waiters = false;
int mylock = 0; // Interface: acquire(&mylock,&maybe_waiters);
                              release(&mylock,&maybe_waiters);
                                                 release(int *thelock, bool *maybe) {
acquire(int *thelock, bool *maybe) {
                                                   *thelock = 0;
  while (test&set(thelock)) {
                                                   if (*maybe) {
     // Sleep, since lock busy!
                                                      *maybe = false;
     *maybe = true;
                                                      // Try to wake up someone
     futex(thelock, FUTEX_WAIT, 1);
                                                      futex(thelock, FUTEX_WAKE, 1);
     // Make sure other sleepers not stuck
     *maybe = true;
```

- This is syscall-free in the uncontended case
 - Temporarily falls back to syscalls if multiple waiters, or concurrent acquire/release
- But it can be considerably optimized!
 - See "Futexes are Tricky" by Ulrich Drepper

Try #3: Better, using more atomics

- Much better: Three (3) states:
 - UNLOCKED: No one has lock
 - LOCKED: One thread has lock
 - CONTESTED: Possibly more than one (with someone sleeping)
- Clean interface!
- Lock grabbed cleanly by either
 - compare&swap()
 - First swap()
- No overhead if uncontested!
- Could build semaphores in a similar way!

```
typedef enum { UNLOCKED,LOCKED,CONTESTED } Lock;
Lock mylock = UNLOCKED; // Interface: acquire(&mylock);
                                      release(&mylock);
acquire(Lock *thelock) {
  // If unlocked, grab lock!
  if (compare&swap(thelock,UNLOCKED,LOCKED))
     return;
  // Keep trying to grab lock, sleep in futex
  while (swap(thelock,CONTESTED) != UNLOCKED))
     // Sleep unless someone releases here!
     futex(thelock, FUTEX WAIT, CONTESTED);
release(Lock *thelock) {
  // If someone sleeping,
  if (swap(thelock,UNLOCKED) == CONTESTED)
     futex(thelock,FUTEX_WAKE,1);
```

Recall: Where are we going with synchronization?

Programs	Shared Programs
Higher- level API	Locks Semaphores Monitors Send/Receive
Hardware	Load/Store Disable Ints Test&Set Compare&Swap

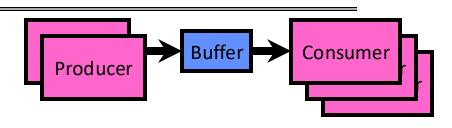
- We are going to implement various higher-level synchronization primitives using atomic operations
 - Everything is pretty painful if only atomic primitives are load and store
 - Need to provide primitives useful at user-level

Producer-Consumer with a Bounded Buffer

- Problem Definition
 - Producer(s) put things into a shared buffer
 - Consumer(s) take them out
 - Need synchronization to coordinate producer/consumer



- Need to synchronize access to this buffer
- Producer needs to wait if buffer is full
- Consumer needs to wait if buffer is empty
- Example 1: GCC compiler
 - cpp | cc1 | cc2 | as | ld
- Example 2: Coke machine
 - Producer can put limited number of Cokes in machine
 - Consumer can't take Cokes out if machine is empty
- Others: Web servers, Routers,





Bounded Buffer Data Structure (sequential case)

```
typedef struct buf {
  int write_index;
  int read_index;
  <type> *entries[BUFSIZE];
} buf_t;
```

- Insert: write & bump write ptr (enqueue)
- Remove: read & bump read ptr (dequeue)
- How to tell if Full (on insert) Empty (on remove)?
- And what do you do if it is?
- What needs to be atomic?

Bounded Buffer – first cut

```
mutex buf_lock = <initially unlocked>
Producer(item) {
 acquire(&buf_lock);
 while (buffer full) {}; // Wait for a free slot
  enqueue(item);
  release(&buf_lock);
                                 Will we ever come out of
                                 the wait loop?
Consumer() {
 acquire(&buf_lock);
 while (buffer empty) {}; // Wait for arrival
  item = dequeue();
  release(&buf_lock);
  return item
```

Bounded Buffer – 2nd cut



mutex buf_lock = <initially unlocked>

```
Producer(item) {
  acquire(&buf lock);
  while (buffer full) {release(&buf_lock); acquire(&buf_lock);}
  enqueue(item);
  release(&buf_lock);
                                     What happens when one is
                                     waiting for the other?
                                     - Multiple cores ?
Consumer() {
                                     - Single core ?
  acquire(&buf lock);
  while (buffer empty) {release(&buf_lock); acquire(&buf_lock);}
  item = dequeue();
  release(&buf_lock);
  return item
```

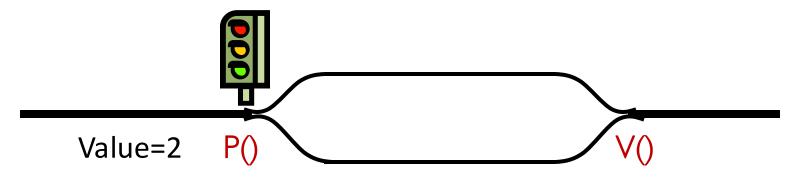
Better Primitive: Semaphores



- Semaphores are a kind of generalized lock
 - First defined by Dijkstra in late 60s
 - Main synchronization primitive used in original UNIX
- Definition: a Semaphore has a non-negative integer value and supports the following operations:
 - Set value when you initialize
 - Down() or P(): an atomic operation that waits for semaphore to become positive, then
 decrements it by 1
 - » Think of this as the wait() operation
 - Up() or V(): an atomic operation that increments the semaphore by 1, waking up a
 waiting P, if any
 - » This of this as the signal() operation
- Technically examining value after initialization is not allowed.

Semaphores Like Integers Except...

- Semaphores are like integers, except:
 - No negative values
 - Only operations allowed are P and V can't read or write value, except initially
 - Operations must be atomic
 - » Two P's together can't decrement value below zero
 - » Thread going to sleep in P won't miss wakeup from V even if both happen at same time
 - » From Dutch: P stands for "Proberen te verlage" (try to decrease); V for Verhogen (increase)
- POSIX adds ability to read value, but technically not part of proper interface!
- Semaphore from railway analogy
 - Here is a semaphore initialized to 2 for resource control:



Two Uses of Semaphores

Mutual Exclusion (initial value = 1)

- Also called "Binary Semaphore" or "mutex".
- Can be used for mutual exclusion, just like a lock:

```
semaP(&mysem);
  // Critical section goes here
semaV(&mysem);
```

Scheduling Constraints (initial value = 0)

- Allow thread 1 to wait for a signal from thread 2
 - thread 2 schedules thread 1 when a given event occurs
- Example: suppose you had to implement ThreadJoin which must wait for thread to terminate:

```
Initial value of semaphore = 0
ThreadJoin {
    semaP(&mysem);
}
ThreadFinish {
    semaV(&mysem);
}
```

Revisit Bounded Buffer: Correctness constraints for solution

- Correctness Constraints:
 - Consumer must wait for producer to fill buffers, if none full (scheduling constraint)
 - Producer must wait for consumer to empty buffers, if all full (scheduling constraint)
 - Only one thread can manipulate buffer queue at a time (mutual exclusion)
- Remember why we need mutual exclusion
 - Because computers are stupid
 - Imagine if in real life: the delivery person is filling the machine and somebody comes up and tries to stick their money into the machine
- General rule of thumb: Use a separate semaphore for each constraint
 - Semaphore fullBuffers; // consumer's constraint
 - Semaphore emptyBuffers;// producer's constraint
 - Semaphore mutex; // mutual exclusion

Bounded Buffer, 3rd cut (coke machine)

```
Semaphore fullSlots = 0; // Initially, no coke
            Semaphore emptySlots = bufSize;
                                          // Initially, num empty slots
            Semaphore mutex = 1;
                                          // No one using machine
            Producer(item) {
                semaP(&emptySlots);
                                          // Wait until space
                                             Wait until machine free
                semaP(&mutex);
                Enqueue(item);
                semaV(&mutex)
                semaV(&fullSlots);
                                             Tell consumers there is
                                                                        Critical sections
                                             more coke
                                                                        using mutex
                                       fullSlots signals coke
                                                                        protect integrity of
            Consumer() {
                                                                        the queue
                semaP(&fullSlots);
                                          // Check if there's a coke
                semaP(&mutex);
                                             Wait until machine free
emptySlots
                item = Dequeue();
                semaV(&mutex);
signals space
                semaV(&emptySlots);
                                          // tell producer need more
                return item;
```

Discussion about Solution

• Why asymmetry?

Decrease # of empty slots

Increase # of occupied slots

- Producer does: semaP(&emptyBuffer), semaV(&fullBuffer)
- Consumer does: semaP(&fullBuffer), semaV(&emptyBuffer)

Decrease # of occupied slots

Increase # of empty slots

- Is order of P's important?
- Is order of V's important?

• What if we have 2 producers or 2 consumers?

```
Producer(item) {
  semaP(&mutex);
  semaP(&emptySlots);
  Enqueue(item);
  semaV(&mutex);
  semaV(&fullSlots);
Consumer() {
  semaP(&fullSlots);
  semaP(&mutex);
  item = Dequeue();
  semaV(&mutex);
  semaV(&emptySlots);
  return item;
```

Administrivia

- Midterm This Thursday, 7-9pm (October 3)!
 - You are responsible for all materials up to and including today's lecture!
 - » Including Semaphores and Monitors
- You get one (1) double-side page of handwritten notes
 - Hand drawn figures, hand written notes
 - No copying of figures directly from slides, no microfiche, etc.
 - Redraw them if you want them on your notes!
- No class on Thursday
 - I will have extra office hours

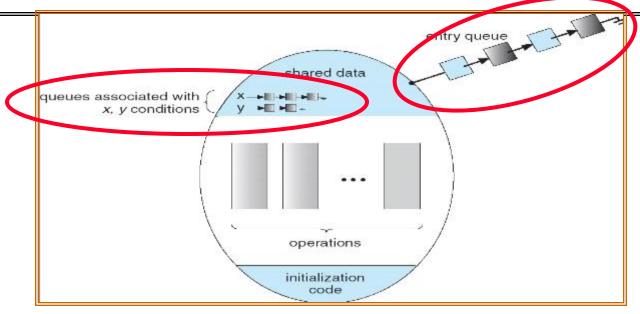
Semaphores are good but...Monitors are better!

- Semaphores are a huge step up; just think of trying to do the bounded buffer with only loads and stores or even with locks!
- Problem is that semaphores are dual purpose:
 - They are used for both mutex and scheduling constraints
 - Example: the fact that flipping of P's in bounded buffer gives deadlock is not immediately obvious. How do you prove correctness to someone?
- Cleaner idea: Use locks for mutual exclusion and condition variables for scheduling constraints
- Definition: Monitor: a lock and zero or more condition variables for managing concurrent access to shared data
 - Some languages like Java provide this natively
 - Most others use actual locks and condition variables
- A "Monitor" is a paradigm for concurrent programming!
 - Some languages support monitors explicitly

Condition Variables

- How do we change the consumer() routine to wait until something is on the queue?
 - Could do this by keeping a count of the number of things on the queue (with semaphores),
 but error prone
- Condition Variable: a queue of threads waiting for something inside a critical section
 - Key idea: allow sleeping inside critical section by atomically releasing lock at time we go to sleep
 - Contrast to semaphores: Can't wait inside critical section
- Operations:
 - Wait(&lock): Atomically release lock and go to sleep.
 Re-acquire lock later, before returning.
 - Signal(): Wake up one waiter, if any
 - Broadcast(): Wake up all waiters
- Rule: Must hold lock when doing condition variable ops!

Monitor with Condition Variables



- Lock: the lock provides mutual exclusion to shared data
 - Always acquire before accessing shared data structure
 - Always release after finishing with shared data
 - Lock initially free
- Condition Variable: a queue of threads waiting for something inside a critical section
 - Key idea: make it possible to go to sleep inside critical section by atomically releasing lock at time we go to sleep
 - Contrast to semaphores: Can't wait inside critical section

Infinite Synchronized Buffer (with condition variable)

• Here is an (infinite) synchronized queue:

```
// Initially unlocked
lock buf_lock;
condition buf CV;
                                // Initially empty
                                    // Actual queue!
queue queue;
Producer(item) {
   acquire(&buf_lock);  // Get Lock
enqueue(&queue,item);  // Add item
cond_signal(&buf_CV);  // Signal any waiters
release(&buf_lock);  // Release Lock
Consumer() {
   acquire(&buf lock);  // Get Lock
   while (isEmpty(&queue)) {
      cond_wait(&buf_CV, &buf_lock); // If empty, sleep
   item = dequeue(&queue);  // Get next item
   release(&buf lock); // Release Lock
   return(item);
```

Mesa vs. Hoare monitors

Need to be careful about precise definition of signal and wait.
 Consider a piece of our dequeue code:

```
while (isEmpty(&queue)) {
    cond_wait(&buf_CV,&buf_lock); // If nothing, sleep
  }
  item = dequeue(&queue); // Get next item

- Why didn't we do this?
  if (isEmpty(&queue)) {
    cond_wait(&buf_CV,&buf_lock); // If nothing, sleep
  }
  item = dequeue(&queue); // Get next item
```

- Answer: depends on the type of scheduling
 - Mesa-style: Named after Xerox-Park Mesa Operating System
 Most OSes use Mesa Scheduling!
 - Hoare-style: Named after British logician Tony Hoare

Hoare monitors

- Signaler gives up lock, CPU to waiter; waiter runs immediately
- Then, Waiter gives up lock, processor back to signaler when it exits critical section or if it waits again

```
acquire(&buf_lock);
acquire(&buf_lock);
...
Lock, CPU if (isEmpty(&queue)) {
cond_signal(&buf_CV);
...
cond_wait(&buf_CV,&buf_lock);
...
release(&buf_lock);
release(&buf_lock);
```

- On first glance, this seems like good semantics
 - Waiter gets to run immediately, condition is still correct!
- Most textbooks talk about Hoare scheduling
 - However, hard to do, not really necessary!
 - Forces a lot of context switching (inefficient!)

Mesa monitors

- Signaler keeps lock and processor
- Waiter placed on ready queue with no special priority

```
Put waiting thread on ready queue acquire(&buf_lock);

... while (isEmpty(&queue)) {

cond_signal(&buf_CV);

... while (isEmpty(&queue)) {

cond_wait(&buf_CV,&buf_lock);

... cond_wait(&buf_CV,&buf_lock);

... lock.Release();
```

- Practically, need to check condition again after wait
 - By the time the waiter gets scheduled, condition may be false again so, just check again with the "while" loop
- Most real operating systems do this!
 - More efficient, easier to implement
 - Signaler's cache state, etc still good

Bounded Buffer – 4rd cut (Monitors, pthread-like)

```
lock buf_lock = <initially unlocked>
condition producer CV = <initially empty>
condition consumer_CV = <initially empty>
Producer(item) {
  acquire(&buf lock);
  while (buffer full) { cond_wait(&producer_CV, &buf_lock); }
  enqueue(item);
  cond_signal(&consumer CV)
                                     What does thread do when
  release(&buf lock);
                                    it is waiting?
                                     - Sleep, not busywait!
Consumer() {
  acquire(buf lock);
  while (buffer empty) { cond wait(&consumer CV, &buf lock); }
  item = dequeue();
  cond_signal(&producer CV);
  release(buf lock);
  return item
```

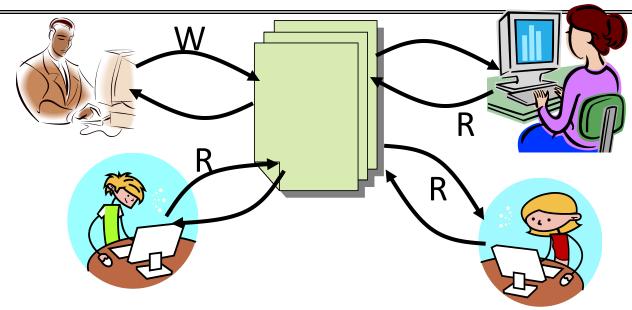
Again: Why the while Loop?

- MESA semantics
- For most operating systems, when a thread is woken up by **signal()**, it is simply put on the ready queue
- It may or may not reacquire the lock immediately!
 - Another thread could be scheduled first and "sneak in" to empty the queue
 - Need a loop to re-check condition on wakeup
- Is this busy waiting?

OS Library Monitor Pattern: pthreads

```
// Locks
int pthread_mutex_init(pthread_mutex_t *mutex,
                       const pthread_mutexattr_t *attr);
int pthread_mutex_lock(pthread_mutex_t *mutex);
int pthread_mutex_unlock(pthread_mutex_t *mutex);
// Condition Variables
int pthread_cond_init(pthread_cond_t *cond,
                      const pthread mutexattr t *attr);
int pthread_cond_wait(pthread_cond_t *cond, pthread_mutex_t *mutex);
int pthread cond signal(pthread cond t *cond);
int pthread cond broadcast(pthread cond t *cond);
```

Readers/Writers Problem



- Motivation: Consider a shared database
 - Two classes of users:
 - » Readers never modify database
 - » Writers read and modify database
 - Is using a single lock on the whole database sufficient?
 - » Like to have many readers at the same time
 - » Only one writer at a time

Basic Structure of Mesa Monitor Program

- Monitors represent the synchronization logic of the program
 - Wait if necessary
 - Signal when change something so any waiting threads can proceed
- Basic structure of mesa monitor-based program:

```
lock
while (need to wait) {
    condvar.wait();
}
unlock

do something so no need to wait

lock

condvar.signal();

Check and/or update
    state variables

Wait if necessary

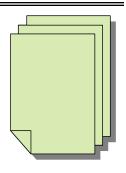
Check and/or update
    state variables

unlock
```

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Basic Readers/Writers Solution

- Correctness Constraints:
 - Readers can access database when no writers
 - Writers can access database when no readers or writers
 - Only one thread manipulates state variables at a time
- Basic structure of a solution:
 - Reader()
 Wait until no writers
 Access data base
 Check out wake up a waiting writer
 - Writer()
 Wait until no active readers or writers
 Access database
 Check out wake up waiting readers or writer
 - State variables (Protected by a lock called "lock"):
 - » int AR: Number of active readers; initially = 0
 - » int WR: Number of waiting readers; initially = 0
 - » int AW: Number of active writers; initially = 0
 - » int WW: Number of waiting writers; initially = 0
 - » Condition okToRead = NIL
 - » Condition okToWrite = NIL



Code for a Reader

```
Reader() {
 // First check self into system
 acquire(&lock);
 while ((AW + WW) > 0) { // Is it safe to read?
    WR++;
                          // No. Writers exist
    cond wait(&okToRead, &lock);// Sleep on cond var
                          // No longer waiting
    WR--;
                          // Now we are active!
 AR++;
 release(&lock);
 // Perform actual read-only access
 AccessDatabase (ReadOnly) ;
  // Now, check out of system
 acquire(&lock);
                          // No longer active
 AR--;
 if (AR == 0 \&\& WW > 0) // No other active readers
    cond signal(&okToWrite);// Wake up one writer
 release(&lock);
```

Code for a Writer

```
Writer()
 // First check self into system
 acquire(&lock);
 while ((AW + AR) > 0) { // Is it safe to write?
                         // No. Active users exist
   WW++;
    cond wait(&okToWrite,&lock); // Sleep on cond var
                         // No longer waiting
   WW--;
 AW++;
                         // Now we are active!
 release(&lock);
 // Perform actual read/write access
 AccessDatabase(ReadWrite);
 // Now, check out of system
 acquire(&lock);
                         // No longer active
 AW--;
                         // Give priority to writers
 if (WW > 0) {
    cond signal(&okToWrite);// Wake up one writer
  } else if (WR > 0) { // Otherwise, wake reader
    cond broadcast(&okToRead); // Wake all readers
 release(&lock);
```

- Use an example to simulate the solution
- Consider the following sequence of operators:
 - -R1, R2, W1, R3
- Initially: AR = 0, WR = 0, AW = 0, WW = 0

- R1 comes along (no waiting threads)
- AR = 0, WR = 0, AW = 0, WW = 0

```
Reader()
   acquire(&lock)
   while ((AW + WW) > 0) {
                           Is it safe to read?
                        // No. Writers exist
     WR++;
     AR++;
                        // Now we are active!
   release(&lock);
   AccessDBase (ReadOnly) ;
   acquire(&lock);
   AR--;
   if (AR == 0 && WW > 0)
     cond signal(&okToWrite);
   release(&fock);
```

- R1 comes along (no waiting threads)
- AR = 0, WR = 0, AW = 0, WW = 0

```
Reader()
    acquire(&lock);
                                // Is it safe to read?
                                // No. Writers exist
       WR++;
      cond_wait(&okToRead,&lock);// Sleep on cond var WR--; // No longer waiting
    AR++;
                                // Now we are active!
    release(&lock);
    AccessDBase (ReadOnly) ;
    acquire(&lock);
    AR--;
    if (AR == 0 && WW > 0)
       cond signal(&okToWrite);
    release(&fock);
```

- R1 comes along (no waiting threads)
- AR = 1, WR = 0, AW = 0, WW = 0

```
Reader()
  acquire(&lock);
  AR++;
                   // Now we are active!
  release(&lock);
  AccessDBase (ReadOnly) ;
  acquire(&lock);
  AR--;
  if (AR == 0 && WW > 0)
    cond signal(&okToWrite);
  release(&fock);
```

- R1 comes along (no waiting threads)
- AR = 1, WR = 0, AW = 0, WW = 0

```
Reader()
  acquire(&lock);
  AR++;
                   // Now we are active!
  release(&lock);
  AccessDBase (ReadOnly) ;
  acquire(&lock);
  AR--;
  if (AR == 0 && WW > 0)
    cond signal(&okToWrite);
  release(&fock);
```

R1 accessing dbase (no other threads)

```
• AR = 1, WR = 0, AW = 0, WW = 0
Reader()
  acquire(&lock);
  AR++;
                    // Now we are active!
  release(&lock);
  AccessDBase (ReadOnly)
  acquire(&lock);
  AR--;
  if (AR == 0 && WW > 0)
    cond signal(&okToWrite);
  release(&fock);
```

- R2 comes along (R1 accessing dbase)
- AR = 1, WR = 0, AW = 0, WW = 0

```
Reader()
   acquire(&lock);
   while ((AW + WW) > 0) {
                           Is it safe to read?
                         // No. Writers exist
     WR++;
     AR++;
                        // Now we are active!
   release(&lock);
   AccessDBase (ReadOnly) ;
   acquire(&lock);
   AR--;
   if (AR == 0 && WW > 0)
     cond signal(&okToWrite);
   release(&fock);
```

- R2 comes along (R1 accessing dbase)
- AR = 1, WR = 0, AW = 0, WW = 0

```
Reader()
   acquire(&lock);
                        // Is it safe to read?
                        // No. Writers exist
     WR++;
     AR++;
                        // Now we are active!
   release(&lock);
   AccessDBase (ReadOnly) ;
   acquire(&lock);
   AR--;
   if (AR == 0 && WW > 0)
     cond signal(&okToWrite);
   release(&fock);
```

- R2 comes along (R1 accessing dbase)
- AR = 2, WR = 0, AW = 0, WW = 0

```
Reader()
  acquire(&lock);
  AR++;
                   // Now we are active!
  release(&lock);
  AccessDBase (ReadOnly) ;
  acquire(&lock);
  AR--;
  if (AR == 0 && WW > 0)
    cond signal(&okToWrite);
  release(&fock);
```

- R2 comes along (R1 accessing dbase)
- AR = 2, WR = 0, AW = 0, WW = 0

```
Reader()
  acquire(&lock);
  AR++;
                   // Now we are active!
  release(&lock);
  AccessDBase (ReadOnly) ;
  acquire(&lock);
  AR--;
  if (AR == 0 && WW > 0)
    cond signal(&okToWrite);
  release(&fock);
```

- R1 and R2 accessing dbase
- AR = 2, WR = 0, AW = 0, WW = 0

AccessDBase (ReadOnly)

```
acquire(&lock);
AR--;
if (AR == 0 && WW > 0)
```

Assume readers take a while to access database Situation: Locks released, only AR is non-zero

- W1 comes along (R1 and R2 are still accessing dbase)
- AR = 2, WR = 0, AW = 0, WW = 0

```
Writer()
   acquire(&lock);
    while ((AW + AR) > 0)
      cond wait (&okToWrite, &lock);/
      WW--7
   AW++;
    release (&lock);
    AccessDBase(ReadWrite);
    acquire(&lock);
    AW.
      cond signal(&okToWrite);
      else if (WR > 0
      cond broadcast(&okToRead);
    release (&lock);
```

- W1 comes along (R1 and R2 are still accessing dbase)
- AR = 2, WR = 0, AW = 0, WW = 0

```
Writer() {
    acquire(&lock);
      WW++;
      cond wait (&okToWrite, &lock);/
      WW--7
    AW++;
    release (&lock);
    AccessDBase (ReadWrite) ;
    acquire(&lock);
    AW-
      cond_signal(&okToWrite);
      else if (WR > 0
      cond broadcast(&okToRead);
    release (&lock);
```

W1 comes along (R1 and R2 are still accessing dbase)

```
• AR = 2, WR = 0, AW = 0, WW = 1
```

```
Writer() {
    acquire (&lock);
    while ((AW + AR) > 0) {
      cond wait(&okToWrite,&lock);
                                       Sleep on cond var
                              // No longer waiting
      ww--;
    AW++;
    release (&lock);
    AccessDBase (ReadWrite) ;
    acquire(&lock);
    AW-
      cond_signal(&okToWrite);
      else if (WR > 0
      cond broadcast(&okToRead);
    release (&lock);
```

- R3 comes along (R1 and R2 accessing dbase, W1 waiting)
- AR = 2, WR = 0, AW = 0, WW = 1

```
Reader()
   acquire(&lock);
   while ((AW + WW) > 0) {
                                Is it safe to read?
                             // No. Writers exist
      WR++;
      cond wait(&okToRead, &lock);// Sleep on cond var
                             // No longer waiting
      WR---
   AR++;
                             // Now we are active!
    release(&lock);
   AccessDBase (ReadOnly) ;
    acquire(&lock);
   AR--;
    if (AR == 0 && WW > 0)
      cond signal(&okToWrite);
    release(&fock);
```

- R3 comes along (R1 and R2 accessing dbase, W1 waiting)
- AR = 2, WR = 0, AW = 0, WW = 1

```
Reader()
    acquire(&lock);
                              // Is it safe to read?
                              // No. Writers exist
      WR++;
      cond wait(&okToRead, &lock);// Sleep on cond var
                              // No longer waiting
      WR---
   AR++;
                             // Now we are active!
    release(&lock);
   AccessDBase (ReadOnly) ;
    acquire(&lock);
   AR--;
    if (AR == 0 && WW > 0)
      cond signal(&okToWrite);
    release(&fock);
```

- R3 comes along (R1 and R2 accessing dbase, W1 waiting)
- AR = 2, WR = 1, AW = 0, WW = 1

```
Reader()
   acquire(&lock);
   while ((AW + WW) > 0) { // Is it safe to read?
                             // No. Writers exist
      WR++:
      cond wait(&okToRead, &lock);// Sleep on cond var
                             // No longer waiting
      WR--:
   AR++;
                             // Now we are active!
    lock.release();
   AccessDBase (ReadOnly) ;
    acquire(&lock);
   AR--;
    if (AR == 0 && WW > 0)
      cond signal(&okToWrite);
    release(&fock);
```

- R3 comes along (R1, R2 accessing dbase, W1 waiting)
- AR = 2, WR = 1, AW = 0, WW = 1

```
Reader()
   acquire(&lock);
   while ((AW + WW) > 0) { // Is it safe to read?
                                    Writers exist
      WR++;
      cond wait(&okToRead,&lock);// Sleep on cond var
      WR--;
                             // No longer waiting
   AR++;
                             // Now we are active!
    release(&lock);
   AccessDBase (ReadOnly) ;
    acquire(&lock);
   AR--;
    if (AR == 0 && WW > 0)
      cond signal(&okToWrite);
    release(&fock);
```

- R1 and R2 accessing dbase, W1 and R3 waiting
- AR = 2, WR = 1, AW = 0, WW = 1

```
Reader()
   acquire(&lock);
   while ((AW + WW) > 0) { // Is it safe to read?
                        // No. Writers exist
     WR++;
     // Now we are active!
   AR++;
   release(&lock);
   AccessDBase (ReadOnly) ;
   acquire(&lock);
   AR--;
   <u>if (AR == 0 && WW > 0)</u>
```

Status:

- R1 and R2 still reading
 - W1 and R3 waiting on okToWrite and okToRead, respectively

- R2 finishes (R1 accessing dbase, W1 and R3 waiting)
- AR = 2, WR = 1, AW = 0, WW = 1

```
Reader()
   acquire(&lock);
   cond_wait(&okToRead,&lock);// Sleep on cond var WR--; // No longer waiting
   AR++;
                           // Now we are active!
   release(&lock);
   AccessDBase (ReadOnly) ;
   acquire(&lock);
   AR--;
   if (AR == 0 && WW > 0)
     cond signal(&okToWrite);
   release(&fock);
```

- R2 finishes (R1 accessing dbase, W1 and R3 waiting)
- AR = 1, WR = 1, AW = 0, WW = 1

```
Reader()
  acquire(&lock);
  AR++;
                   // Now we are active!
  release(&lock);
  AccessDBase (ReadOnly) ;
  acquire(&lock);
  AR--;
  if (AR == 0 \&\& WW > 0)
    cond signal(&okToWrite);
  release(&fock);
```

- R2 finishes (R1 accessing dbase, W1 and R3 waiting)
- AR = 1, WR = 1, AW = 0, WW = 1

```
Reader()
   acquire(&lock);
   cond_wait(&okToRead,&lock);// Sleep on cond var WR--; // No longer waiting
   AR++;
                           // Now we are active!
   release(&lock);
   AccessDBase (ReadOnly) ;
   acquire(&lock);
   AR--:
   if (AR == 0 \&\& WW > 0)
     cond signal(&okToWrite);
   release(&fock);
```

- R2 finishes (R1 accessing dbase, W1 and R3 waiting)
- AR = 1, WR = 1, AW = 0, WW = 1

```
Reader()
   acquire(&lock);
   cond_wait(&okToRead,&lock);// Sleep on cond var WR--; // No longer waiting
   AR++;
                           // Now we are active!
   release(&lock);
   AccessDBase (ReadOnly) ;
   acquire(&lock);
   AR--;
   if (AR == 0 && WW > 0)
     cond signal(&okToWrite);
   release(&fock);
```

 R1 finishes (W1 and R3 waiting) • AR = 1, WR = 1, AW = 0, WW = 1 Reader() acquire(&lock); cond_wait(&okToRead,&lock);// Sleep on cond var WR--; // No longer waiting **AR++**; // Now we are active! release(&lock); AccessDBase (ReadOnly) ; acquire(&lock); AR--; if (AR == 0 && WW > 0)cond signal(&okToWrite); release(&fock);

```
    R1 finishes (W1, R3 waiting)

 • AR = 0, WR = 1, AW = 0, WW = 1
Reader()
  acquire(&lock);
  AR++;
                     // Now we are active!
  release(&lock);
  AccessDBase (ReadOnly) ;
  acquire(&lock);
  AR--;
  if (AR == 0 \&\& WW > 0)
    cond signal(&okToWrite);
   release(&lock);
```

```
    R1 finishes (W1, R3 waiting)

 • AR = 0, WR = 1, AW = 0, WW = 1
Reader()
  acquire(&lock);
  AR++;
                     // Now we are active!
  release(&lock);
  AccessDBase (ReadOnly) ;
   acquire(&lock);
  AR--:
  if (AR == 0 \&\& WW > 0)
    cond signal(&okToWrite);
   release(&fock);
```

- R1 signals a writer (W1 and R3 waiting)
- AR = 0, WR = 1, AW = 0, WW = 1

```
Reader()
  acquire(&lock);
  // Now we are active!
  AR++;
  release(&lock);
  AccessDBase (ReadOnly) ;
  acquire(&lock);
  AR--;
  if (AR == 0 && WW > 0)
    cond signal(&okToWrite);
  release(&lock);
```

 W1 gets signal (R3 still waiting) • AR = 0, WR = 1, AW = 0, WW = 1 Writer() { acquire (&lock); while ((AW + AR) > 0)**WW++** cond wait(&okToWrite,&lock); Sleep on cond var No longer waiting WW--; **AW++**; release (&lock); AccessDBase (ReadWrite) ; acquire(&lock); AWcond signal(&okToWrite); else if (WR > 0 cond broadcast(&okToRead); release (&lock);

- W1 gets signal (R3 still waiting)
- AR = 0, WR = 1, AW = 0, WW = 0

```
Writer() {
    acquire(&lock);
    while ((AW + AR) > 0)
      cond wait (&okToWrite, &lock);//
      WW--7
                                  No longer waiting
    AW++;
    release (&lock);
    AccessDBase (ReadWrite) ;
    acquire(&lock);
    AW-
      cond_signal(&okToWrite);
      else if (WR > 0
      cond broadcast(&okToRead);
    release (&lock);
```

 W1 gets signal (R3 still waiting) • AR = 0, WR = 1, AW = 1, WW = 0Writer() { acquire (&lock); while ((AW + AR) > 0)cond_wait(&okToWrite,&lock);// WW--7 **AW++**; release(&lock); AccessDBase (ReadWrite) ; acquire(&lock); AWcond_signal(&okToWrite); else if (WR > 0 cond broadcast(&okToRead); release (&lock);

 W1 accessing dbase (R3 still waiting) • AR = 0, WR = 1, AW = 1, WW = 0Writer() { acquire(&lock); while ((AW + AR) > 0) WW++;**AW++**; release(&lock); AccessDBase (ReadWrite) acquire(&lock); AW-

```
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```

cònd signal (&okToWrite);
else_if (WR > 0) {

release (&lock);

cond broadcast(&okToRead);

```
    W1 finishes (R3 still waiting)

 • AR = 0, WR = 1, AW = 1, WW = 0
Writer() {
   acquire(&lock);
     while ((AW + AR) > 0)
   AW++;
   release (&lock);
   AccessDBase (ReadWrite) ;
   acquire(&lock);
     cond_signal(&okToWrite);
     else if (WR > 0)
     cond broadcast(&okToRead);
   release (&lock);
```

 W1 finishes (R3 still waiting) • AR = 0, WR = 1, AW = 0, WW = 0Writer() { acquire(&lock); while ((AW + AR) > 0)**AW++**; release (&lock); AccessDBase (ReadWrite) ; acquire(&lock); cond_signal(&okToWrite); else if (WR > 0 cond broadcast(&okToRead); release (&lock);

 W1 finishes (R3 still waiting) • AR = 0, WR = 1, AW = 0, WW = 0Writer() { acquire(&lock); while ((AW + AR) > 0)**AW++**; release (&lock); AccessDBase (ReadWrite) ; acquire(&lock); cond signal(&okToWrite);
else_if (WR > 0) { cond broadcast(&okToRead); release (&lock);

- W1 signaling readers (R3 still waiting)
- AR = 0, WR = 1, AW = 0, WW = 0

```
Writer() {
   acquire(&lock);
   while ((AW + AR) > 0)

WW++;
     AW++;
   release (&lock);
   AccessDBase (ReadWrite) ;
   acquire(&lock);
   AW-
     cond_signal(&okToWrite);
     cond broadcast (&okToRead);
   release (&lock);
```

- R3 gets signal (no waiting threads)
- AR = 0, WR = 1, AW = 0, WW = 0

```
Reader()
   acquire(&lock);
   while ((AW + WW) > 0) { // Is it safe to read?
                                No. Writers exist
      WR++;
      cond wait(&okToRead,&lock);// Sleep on cond var
                             // No longer waiting
      WR--;
   AR++;
                             // Now we are active!
    release(&lock);
   AccessDBase (ReadOnly) ;
    acquire(&lock);
   AR--;
    if (AR == 0 && WW > 0)
      cond signal(&okToWrite);
    release(&fock);
```

- R3 gets signal (no waiting threads)
- AR = 0, WR = 0, AW = 0, WW = 0

```
Reader()
   acquire(&lock);
   while ((AW + WW) > 0) { // Is it safe to read?
                             // No. Writers exist
      WR++;
      cond wait(&okToRead, &lock);// Sleep on cond var
                             // No longer waiting
      WR---
   AR++;
                             // Now we are active!
    release(&lock);
   AccessDBase (ReadOnly) ;
    acquire(&lock);
   AR--;
    if (AR == 0 && WW > 0)
      cond signal(&okToWrite);
    release(&fock);
```

R3 accessing dbase (no waiting threads)

```
• AR = 1, WR = 0, AW = 0, WW = 0
Reader()
  acquire(&lock);
  AR++;
                    // Now we are active!
  release(&lock);
  AccessDBase (ReadOnly)
  acquire(&lock);
  AR--;
  if (AR == 0 && WW > 0)
    cond signal(&okToWrite);
  release(&fock);
```

- R3 finishes (no waiting threads)
- AR = 1, WR = 0, AW = 0, WW = 0

```
Reader()
  acquire(&lock);
  AR++;
                   // Now we are active!
  release(&lock);
  AccessDBase (ReadOnly) ;
  acquire(&lock);
  AR--;
  if (AR == 0 && WW > 0)
    cond signal(&okToWrite);
  release(&fock);
```

- R3 finishes (no waiting threads)
- AR = 0, WR = 0, AW = 0, WW = 0

```
Reader()
  acquire(&lock);
  AR++;
                   // Now we are active!
  release(&lock);
  AccessDbase (ReadOnly) ;
  acquire(&lock);
  AR--;
  if (AR == 0 && WW > 0)
    cond signal(&okToWrite);
  release(&fock);
```

Questions

• Can readers starve? Consider Reader() entry code:

What if we erase the condition check in Reader exit?

```
AR--; // No longer active

if (AR == 0 && WW > 0) // No other active readers

cond_signal(&okToWrite);// Wake up one writer
```

• Further, what if we turn the signal() into broadcast()

```
AR--; // No longer active cond_broadcast(&okToWrite); // Wake up sleepers
```

- Finally, what if we use only one condition variable (call it "okContinue") instead of two separate ones?
 - Both readers and writers sleep on this variable
 - Must use broadcast() instead of signal()

Use of Single CV: okContinue

```
Writer()
Reader() {
    // check into system
                                              // check into system
    acquire(&lock);
                                              acquire(&lock);
    while ((AW + WW) > 0) {
                                              while ((AW + AR) > 0) {
                                                WW++:
       WR++:
                                                cond_wait(&okContinue,&lock);
       cond wait(&okContinue,&lock);
       WR - - ;
                                                WW--;
    AR++:
                                              AW++:
    release(&lock);
                                              release(&lock);
    // read-only access
                                              // read/write access
                                             AccessDbase(ReadWrite);
    AccessDbase(ReadOnly);
    // check out of system
                                              // check out of system
    acquire(&lock);
                                              acquire(&lock);
    AR--;
                                             AW--;
    if (AR == 0 \&\& WW > 0)
                                              if (WW > 0){
                                                cond_signal(&okContinue);
       cond_signal(&okContinue);
    release(&lock);
                                              } else if (WR > 0) {
                                                cond broadcast(&okContinue);
                                              release(&lock);
```

What if we turn okToWrite and okToRead into okContinue (i.e. use only one condition variable instead of two)?

Use of Single CV: okContinue

```
Reader() {
                                         Writer()
    // check into system
                                              // check into system
    acquire(&lock);
                                              acquire(&lock);
    while ((AW + WW) > 0) {
                                              while ((AW + AR) > 0) {
       WR++:
                                                WW++:
                                                cond_wait(&okContinue,&lock);
       cond wait(&okContinue,&lock);
       WR - - ;
                                                WW--;
    AR++:
                                             AW++:
    release(&lock);
                                              release(&lock);
    // read-only access
                                              // read/write access
    AccessDbase(ReadOnly);
                                             AccessDbase(ReadWrite);
    // check out of system
                                             // check out of system
                                              acquire(&lock);
    acquire(&lock);
    AR--;
                                             AW--;
    if (AR == 0 \&\& WW > 0)
                                              if (WW > 0){
                                                cond_signal(&okContinue);
       cond_signal(&okContinue);
                                              } else if (WR > 0) {
    release(&lock);
                                                cond_broadcast(&okContinue);
```

Consider this scenario:

- R1 arrives
- W1, R2 arrive while R1 still reading → W1 and R2 wait for R1 to finish
- Assume R1's signal is delivered to R2 (not W1)

Use of Single CV: okContinue

```
Reader() {
                                          Writer() {
    // check into system
                                               // check into system
                                               acquire(&lock);
    acquire(&lock);
    while ((AW + WW) > 0) {
                                               while ((AW + AR) > 0) {
                                                 WW++:
       WR++:
        cond wait(&okContinue,&lock);
                                                 cond wait(&okContinue,&lock);
       WR - - ;
                                               WW--;
    AR++;
                                               AW++;
    release(&lock);
                                               release(&lock);
    // read-only access
                                               // read/write access
    AccessDbase(ReadOnly);
                                               AccessDbase(ReadWrite);
    // check out of system
                                               // check out of system
    acquire(&lock);
                                               acquire(&lock);
    AR--;
                                               AW--;
    if (AR == 0 \&\& WW > 0)
                                               if (WW > 0 | WR > 0){
       cond_broadcast(&okContinue);
                                                 cond_broadcast(&okContinue);
    release(&lock);
                                               release(&lock);
                      Need to change to
                                                                   Must broadcast()
                       broadcast()!
                                                                   to sort things out!
```

Can we construct Monitors from Semaphores?

- Locking aspect is easy: Just use a mutex
- Can we implement condition variables this way?

```
Wait(Semaphore *thesema) { semaP(thesema); }
Signal(Semaphore *thesema) { semaV(thesema); }
```

Does this work better?

```
Wait(Lock *thelock, Semaphore *thesema) {
    release(thelock);
    semaP(thesema);
    acquire(thelock);
}
Signal(Semaphore *thesema) {
    semaV(thesema);
}
```

Construction of Monitors from Semaphores (con't)

- Problem with previous try:
 - P and V are commutative result is the same no matter what order they occur
 - Condition variables are NOT commutative
- Does this fix the problem?

```
Wait(Lock *thelock, Semaphore *thesema) {
    release(thelock);
    semaP(thesema);
    acquire(thelock);
}
Signal(Semaphore *thesema) {
    if semaphore queue is not empty
        semaV(thesema);
}
```

- Not legal to look at contents of semaphore queue
- There is a race condition signaler can slip in after lock release and before waiter executes semaphore.P()
- It is actually possible to do this correctly
 - Complex solution for Hoare scheduling in book
 - Can you come up with simpler Mesa-scheduled solution?

Mesa Monitor Conclusion

- Monitors represent the synchronization logic of the program
 - Wait if necessary
 - Signal when change something so any waiting threads can proceed
- Typical structure of monitor-based program:

```
lock
while (need to wait) {
    condvar.wait();
}
unlock

do something so no need to wait

lock
condvar.signal();
Check and/or update
    state variables

Wait if necessary

Check and/or update
    state variables

unlock
```

Conclusion

- Semaphores: Like integers with restricted interface
 - Two operations:
 - » P(): Wait if zero; decrement when becomes non-zero
 - » V(): Increment and wake a sleeping task (if exists)
 - » Can initialize value to any non-negative value
 - Use separate semaphore for each constraint
- Monitors: A lock plus one or more condition variables
 - Always acquire lock before accessing shared data
 - Use condition variables to wait inside critical section
 - » Three Operations: Wait(), Signal(), and Broadcast()
- Monitors represent the logic of the program
 - Wait if necessary
 - Signal when change something so any waiting threads can proceed
 - Monitors supported natively in a number of languages
- Readers/Writers Monitor example
 - Shows how monitors allow sophisticated controlled entry to protected code