CS162 Operating Systems and Systems Programming Lecture 5

Abstractions 3: Files and I/O (cont'd), Sockets and IPC

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 - ML/LLM systems
 - Memory and storage systems
 - Distributed systems



Low-Level vs High-Level file API

- Low-level direct use of syscall interface: open(), read(), write(), close()
- Opening of file returns file descriptor:
 int myfile = open(...);
- File descriptor only meaningful to kernel
 - Index into process (PCB) which holds pointers to kernel-level structure ("file description") describing file.
- Every read() or write() causes syscall no matter how small (could read a single byte)
- Consider loop to get 4 bytes at a time using read():
 - Each iteration enters kernel for 4 bytes.

- High-level buffered access: fopen(), fread(), fwrite(), fclose()
- Opening of file returns ptr to FILE:
 FILE *myfile = fopen(...);
- FILE structure is user space contains:
 - a chunk of memory for a buffer
 - the file descriptor for the file (fopen() will call open() automatically)
- Every fread() or fwrite() filters through buffer and may not call read() or write() on every call.
- Consider loop to get 4 bytes at a time using fread():
 - First call to fread() calls read() for block of bytes (say 1024). Puts in buffer and returns first 4 to user.
 - Subsequent fread() grab bytes from buffer

Low-Level vs. High-Level File API

```
Low-Level Operation:
    ssize_t read(...) {
         asm code ... syscall # into %eax
         put args into registers %ebx, ...
         special trap instruction
               Kernel:
                get args from regs
                dispatch to system func
                Do the work to read from the file
                Store return value in %eax
         get return values from regs
       Return data to caller
```

```
High-Level Operation:
    ssize_t fread(...) {
        Check buffer for contents
        Return data to caller if available
          asm code ... syscall # into %eax
          put args into registers %ebx, ...
          special trap instruction
                Kernel:
                  get args from regs
                  dispatch to system func
                  Do the work to read from the file
                  Store return value in %eax
          get return values from regs
       Update buffer with excess data
       Return data to caller
```

};

High-Level vs. Low-Level File API

Streams are buffered in user memory:
 printf("Beginning of line ");
 sleep(10); // sleep for 10 seconds
 printf("and end of line\n");
 Prints out everything at once

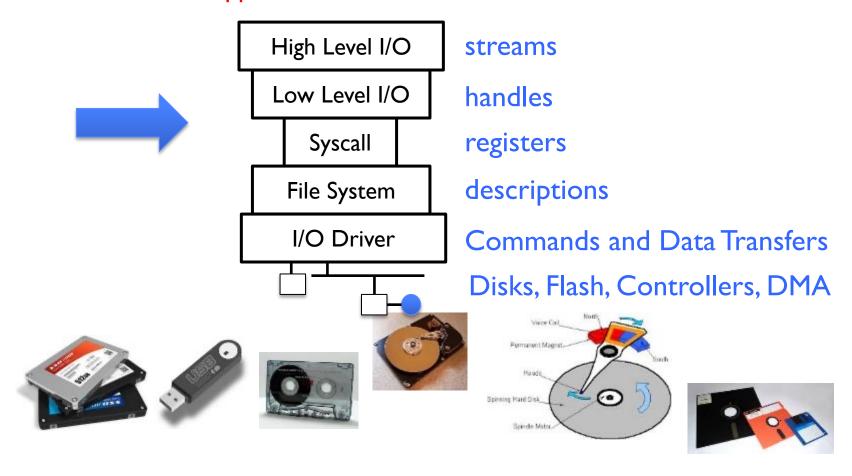
• Operations on file descriptors are visible immediately

```
write(STDOUT_FILENO, "Beginning of line ", 18);
sleep(10);
write("and end of line \n", 16);
```

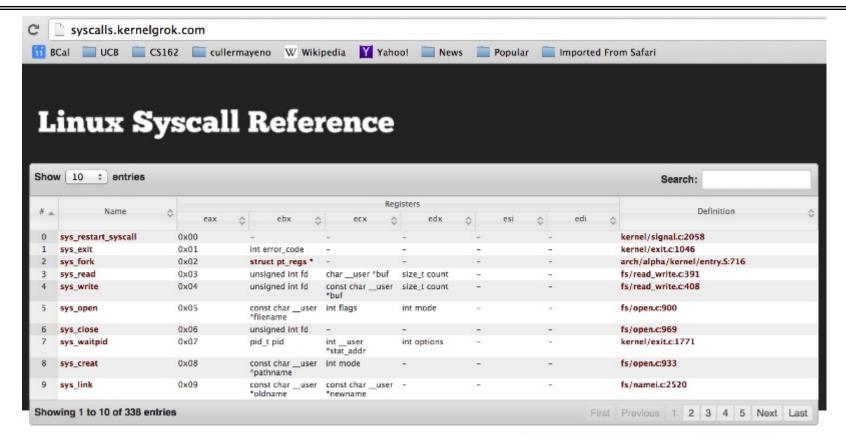
Outputs "Beginning of line" 10 seconds earlier than "and end of line"

What's below the surface ??

Application / Service



Recall: SYSCALL

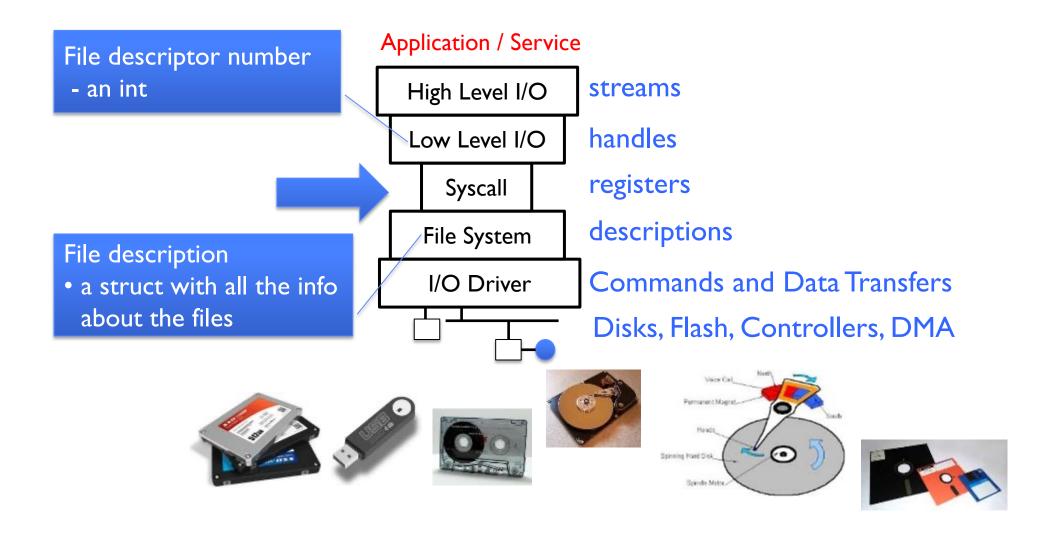


Generated from Linux kernel 2.6.35.4 using Exuberant Ctags, Python, and DataTables.

Project on GitHub. Hosted on GitHub Pages.

- Low level lib parameters are set up in registers and syscall instruction is issued
 - A type of synchronous exception that enters well-defined entry points into kernel

What's below the surface ??

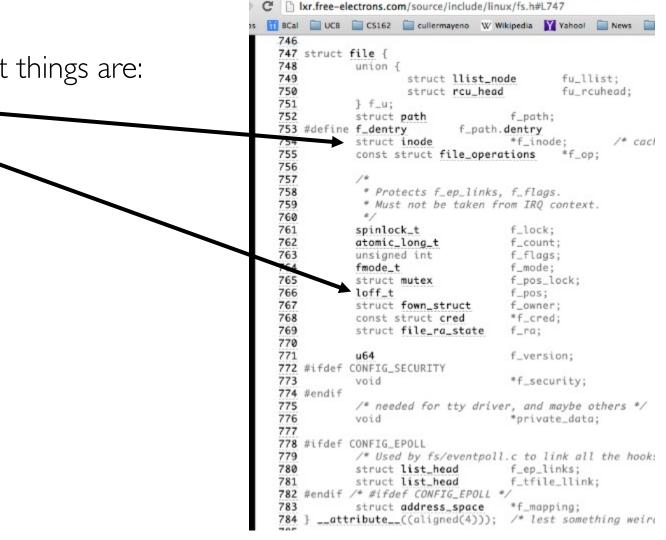


What's in an Open File Description?

Inside Kernel!

For our purposes, the two most important things are:

- Where to find the file data on disk
- The current position within the file



```
ssize t vfs read(struct file *file, char
                                          __user *buf, size_t count, loff_t *pos)
  ssize t ret;
  if (!(file->f mode & FMODE READ)) return
                                             •Read up to "count" bytes from "file"
  if (!file->f op || (!file->f_op->read &&
                                              starting from "pos" into "buf".
    return -EINVAL;
                                             •Return error or number of bytes read.
  if (unlikely(!access ok(VERIFY WRITE, bu
  ret = rw verify area(READ, file, pos, counc),
  if (ret >= 0) {
    count = ret;
    if (file->f op->read)
      ret = file->f op->read(file, buf, count, pos);
    else
      ret = do sync read(file, buf, count, pos);
    if (ret > 0) {
      fsnotify access(file->f path.dentry);
      add rchar(current, ret);
    inc syscr(current);
  return ret;
```

```
ssize t vfs read(struct file *file, char __user *buf, size_t count, loff_t *pos)
 ssize t ret;
 if (!(file->f mode & FMODE READ)) return -EBADF;
 if (!file->f op | (!file->f op->read && !file->f op->aio read))
    return -EINVAL;
 if (unlikely(!access ok(VERIFY WRITE, buf, count))) ret
                                                           Make sure we are
 ret = rw verify area(READ, file, pos, count);
                                                           allowed to read
 if (ret >= 0) {
                                                           this file
    count = ret;
    if (file->f op->read)
      ret = file->f op->read(file, buf, count, pos);
    else
      ret = do sync read(file, buf, count, pos);
    if (ret > 0) {
     fsnotify access(file->f_path.dentry);
      add rchar(current, ret);
    inc syscr(current);
 return ret;
```

```
ssize t vfs read(struct file *file, char __user *buf, size_t count, loff_t *pos)
  ssize t ret;
 if (!(file->f mode & FMODE READ)) return -EBADF;
  if (!file->f op | | (!file->f op->read && !file->f op->aio read))
    return -EINVAL;
 if (unlikely(!access_ok(VERIFY_WRITE, buf, count))) return -EFAULT;
 ret = rw verify area(READ, file, pos, count);
 if (ret >= 0) {
                                                           Check if file has
    count = ret;
                                                           read methods
   if (file->f op->read)
      ret = file->f op->read(file, buf, count, pos);
    else
      ret = do sync read(file, buf, count, pos);
    if (ret > 0) {
     fsnotify access(file->f path.dentry);
      add rchar(current, ret);
    inc syscr(current);
  return ret;
```

```
ssize_t vfs_read(struct file *file, char __user *buf, size_t count, loff_t *pos)
  ssize t ret;
 if (!(file->f mode & FMODE READ)) return -EBADF;
 if (!file->f op | (!file->f op->read && !file->f op->aio read))
    return -EINVAL;
 if (unlikely(!access ok(VERIFY WRITE, buf, count))) return -EFAULT;
 ret = rw verify area(READ, file, pos, count);
 if (ret >= 0) {
    count = ret;
                                            •Check whether we can write to buf
   if (file->f op->read)
                                             (e.g., buf is in the user space range)
      ret = file->f_op->read(file, buf, c
                                            unlikely(): hint to branch prediction this
    else
      ret = do sync read(file, buf, count
                                             condition is unlikely
    if (ret > 0) {
      fsnotify access(file->f path.dentry);
      add rchar(current, ret);
    inc syscr(current);
  return ret;
```

```
ssize t vfs read(struct file *file, char user *buf, size t count, loff t *pos)
 ssize t ret;
 if (!(file->f mode & FMODE READ)) return -EBADF;
 if (!file->f op | (!file->f op->read && !file->f op->aio read))
    return -EINVAL;
 if (unlikely(!access ok(VERIFY WRITE, buf, count))) return -EFAULT;
 ret = rw verify area(READ, file, pos, count);
 i+ (ret >= 0) {
    count = ret;
   if (file->f op->read)
                                                   Check whether we read from a
      ret = file->f_op->read(file, buf, count, po
                                                   valid range in the file.
   else
      ret = do sync read(file, buf, count, pos);
   if (ret > 0) {
     fsnotify access(file->f path.dentry);
      add rchar(current, ret);
    inc syscr(current);
  return ret;
```

```
ssize t vfs read(struct file *file, char __user *buf, size_t count, loff_t *pos)
 ssize t ret;
 if (!(file->f mode & FMODE READ)) return -EBADF;
 if (!file->f op || (!file->f_op->read && !file->f_op->aio_read))
    return -EINVAL;
 if (unlikely(!access ok(VERIFY WRITE, buf, count))) return -EFAULT;
 ret = rw verify area(READ, file, pos, count);
 if (ret >= 0) {
   count = ret:
   if (file->f op->read)
      ret = file->f op->read(file, buf, count, pos);
    else
      ret = do sync read(file, buf, count, pos);
    if (ret > 0) {
      fsnotify access(file->f path.dentry);
                                                    If driver provide a read function
      add rchar(current, ret);
                                                    (f_op->read) use it; otherwise
    inc syscr(current);
                                                    use do_sync_read()
  return ret;
```

```
ssize_t vfs_read(struct file *file, char __user *buf, size_t count, loff_t *pos)
 ssize t ret;
 if (!(file->f mode & FMODE READ)) return -EBADF;
 if (!file->f op | | (!file->f op->read && !file->f op->aio read))
    return -EINVAL;
 if (unlikely(!access ok(VERIFY WRITE, buf, count))) return -EFAULT;
 ret = rw verify area(READ, file, pos, count);
 if (ret >= 0) {
    count = ret;
    if (file->f op->read) Notify the parent of this file that the file was read (see
      ret = file->f_op->re http://www.fieldses.org/~bfields/kernel/vfs.txt)
    else
      ret = do sync read(file, buf, count, pos);
    if (ret > 0) {
      fsnotify access(file->f_path.dentry);
      add rchar(current, ret);
    inc syscr(current);
 return ret;
```

```
ssize t vfs read(struct file *file, char user *buf, size t count, loff t *pos)
 ssize t ret;
 if (!(file->f mode & FMODE READ)) return -EBADF;
 if (!file->f op | (!file->f op->read && !file->f op->aio read))
    return -EINVAL;
 if (unlikely(!access ok(VERIFY WRITE, buf, count))) return -EFAULT;
 ret = rw verify area(READ, file, pos, count);
 if (ret >= 0) {
    count = ret;
   if (file->f op->read)
                                                   Update the number of bytes
      ret = file->f op->read(file, buf, count, po
                                                   read by "current" task (for
   else
                                                   scheduling purposes)
      ret = do_sync_read(file, buf, count, pos);
    if (ret > 0) {
     fsnotifv access(file->f path.dentry):
      add rchar(current, ret);
    inc syscr(current);
 return ret;
```

```
ssize_t vfs_read(struct file *file, char __user *buf, size_t count, loff_t *pos)
 ssize t ret;
 if (!(file->f mode & FMODE READ)) return -EBADF;
 if (!file->f op | (!file->f op->read && !file->f op->aio read))
    return -EINVAL;
 if (unlikely(!access ok(VERIFY WRITE, buf, count))) return -EFAULT;
 ret = rw verify area(READ, file, pos, count);
 if (ret >= 0) {
    count = ret;
    if (file->f op->read)
      ret = file->f op->read(file, buf, count, pos);
    else
                                                   Update the number of read
      ret = do sync read(file, buf, count, pos);
                                                    syscalls by "current" task (for
    if (ret > 0) {
                                                    scheduling purposes)
     fsnotify access(file->f path.dentry);
      add rchar(current, ret);
    inc syscr(current);
  return ret;
```

Device Drivers

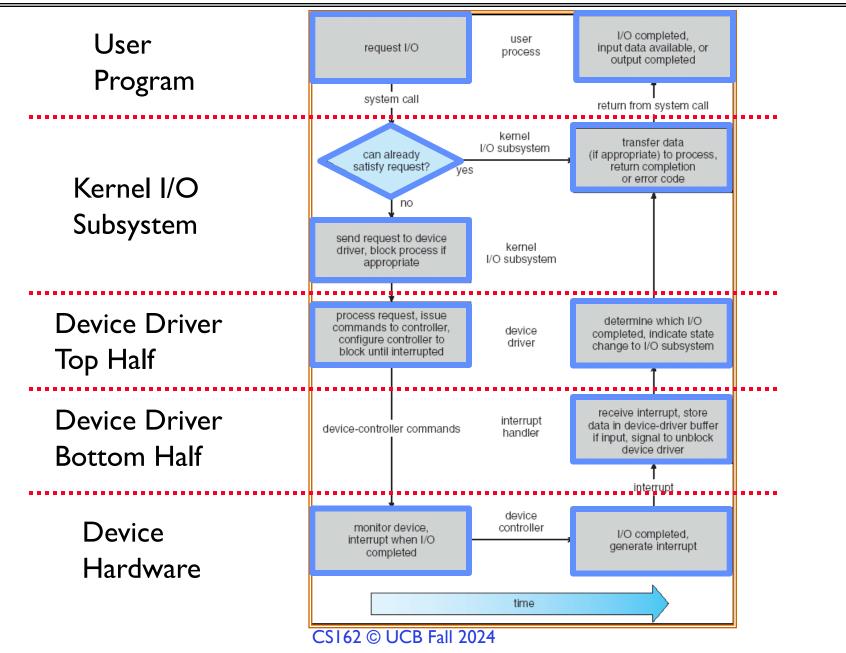
- Device Driver: Device-specific code in the kernel that interacts directly with the device hardware
 - Supports a standard, internal interface
 - Same kernel I/O system can interact easily with different device drivers
 - Special device-specific configuration supported with the ioctl() system call
- Device Drivers typically divided into two pieces:
 - Top half: accessed in call path from system calls
 - » implements a set of standard, cross-device calls like open(), close(), read(),
 write(), ioctl(), strategy()
 - » This is the kernel's interface to the device driver
 - » Top half will start I/O to device, may put thread to sleep until finished
 - Bottom half: run as interrupt routine
 - » Gets input or transfers next block of output
 - » May wake sleeping threads if I/O now complete

Lower Level Driver

```
struct file_operations {
    struct module *owner;
    loff_t (*llseek) (struct file *, loff_t, int);
    ssize_t (*read) (struct file *, char __user *, size_t, loff_t *);
    ssize_t (*write) (struct file *, const char __user *, size_t, loff_t *);
    ssize_t (*aio_read) (struct kiocb *, const struct iovec *, unsigned long, loff_t);
    ssize_t (*aio_write) (struct kiocb *, const struct iovec *, unsigned long, loff_t);
    int (*readdir) (struct file *, void *, filldir_t);
    unsigned int (*poll) (struct file *, struct poll_table_struct *);
    int (*ioctl) (struct inode *, struct file *, unsigned int, unsigned long);
    int (*mmap) (struct file *, struct vm_area_struct *);
    int (*open) (struct inode *, struct file *);
    int (*flush) (struct file *, fl_owner_t id);
    int (*release) (struct inode *, struct file *);
    int (*fsync) (struct file *, struct dentry *, int datasync);
    int (*fasync) (int, struct file *, int);
    int (*flock) (struct file *, int, struct file_lock *);
    [...]
};
```

- Associated with particular hardware device
- Registers / Unregisters itself with the kernel
- Handler functions for each of the file operations

Life Cycle of An I/O Request



9/12/2024

Communication between processes

Can we view files as communication channels?

```
write(wfd, wbuf, wlen);

n = read(rfd,rbuf,rmax);
```

- Producer and Consumer of a file may be distinct processes
 - May be separated in time (or not)
- However, what if data written once and consumed once?
 - Don't we want something more like a queue?
 - Can still look like File I/O!

Communication Across the world looks like file IO!

write(wfd, wbuf, wlen);

n = read(rfd,rbuf,rmax);

- Connected queues over the Internet
 - But what's the analog of open?
 - What is the namespace?
 - How are they connected in time?

```
Client (issues requests)
                                    Server (performs operations)
write(rqfd, rqbuf, buflen);
                          requests
                                   n = read(rfd,rbuf,rmax);
                                                 service request
       wait
                                   write(wfd, respbuf, len);
                          responses
n = read(resfd, resbuf, resmax);
```

Client (issues requests) Server (performs operations) write(rqfd, rqbuf, buflen); requests n = read(rfd,rbuf,rmax); service request wait write(wfd, respbuf, len); responses = read(resfd, resbuf, resmax);

The Socket Abstraction: Endpoint for Communication

• Key Idea: Communication across the world looks like File I/O

- Sockets: Endpoint for Communication
 - Queues to temporarily hold results
- Connection: Two Sockets Connected Over the network ⇒ IPC over network!
 - How to open()?
 - What is the namespace?
 - How are they connected in time?

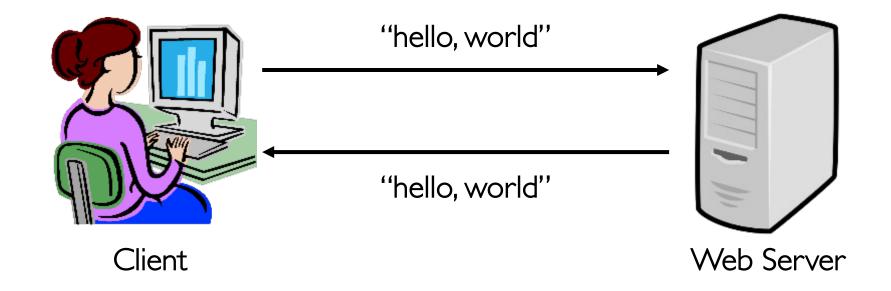
Sockets: More Details

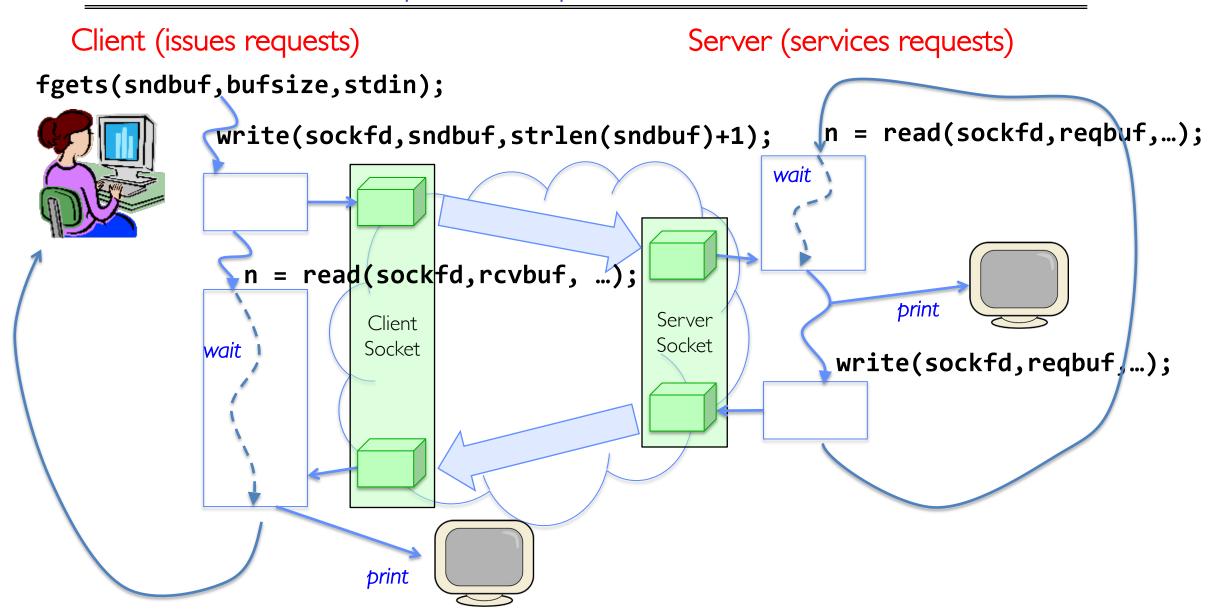
- Socket: An abstraction for one endpoint of a network connection
 - Another mechanism for inter-process communication
 - Most operating systems (Linux, Mac OS X, Windows) provide this, even if they don't copy rest of UNIX I/O
 - Standardized by POSIX
- First introduced in 4.2 BSD (Berkeley Standard Distribution) Unix
 - This release had some huge benefits (and excitement from potential users)
 - Runners waiting at release time to get release on tape and take to businesses
- Same abstraction for any kind of network
 - Local (within same machine)
 - The Internet (TCP/IP, UDP/IP)
 - Things "no one" uses anymore (OSI, Appletalk, IPX, ...)

Sockets: More Details

- Looks just like a file with a file descriptor
 - Corresponds to a network connection (two queues)
 - write adds to output queue (queue of data destined for other side)
 - read removes from it input queue (queue of data destined for this side)
 - Some operations do not work, e.g. **1seek**
- How can we use sockets to support real applications?
 - A bidirectional byte stream isn't useful on its own...
 - May need messaging facility to partition stream into chunks
 - May need RPC facility to translate one environment to another and provide the abstraction of a function call over the network

Simple Example: Echo Server





Echo client-server example

```
void server(int consockrd) {
  char reqbuf[MAXREQ];
  int n;
  while (1) {
    memset(reqbuf,0, MAXREQ);
    n = read(consockfd,reqbuf,MAXREQ); /* Recv */
    if (n <= 0) return;
    write(STDOUT_FILENO, reqbuf, n);
    write(consockfd, reqbuf, n); /* echo*/
  }
}

CSI62 © UCB Fall 2024</pre>
```

What Assumptions are we Making?

- Reliable
 - Write to a file => Read it back. Nothing is lost.
 - Write to a (TCP) socket => Read from the other side, same.
- In order (sequential stream)
 - Write X then write Y => read gets X then read gets Y
- When ready?
 - File read gets whatever is there at the time
 - » Actually need to loop and read until we receive the terminator ('\0')
 - Assumes writing already took place
 - Blocks if nothing has arrived yet

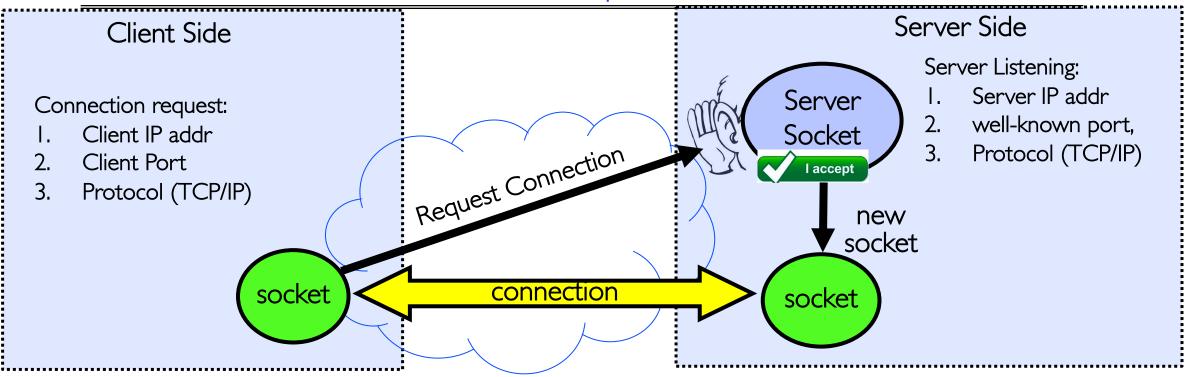
Socket Creation

- File systems provide a collection of permanent objects in a structured name space:
 - Processes open, read/write/close them
 - Files exist independently of processes
 - Easy to name what file to open()
- Pipes: one-way communication between processes on same (physical) machine
 - Single queue
 - Created transiently by a call to pipe()
 - Passed from parent to children (descriptors inherited from parent process)
- Sockets: two-way communication between processes on same or different machine
 - Two queues (one in each direction)
 - Processes can be on separate machines: no common ancestor
 - How do we name the objects we are opening?
 - How do these completely independent programs know that the other wants to "talk" to them?

Namespaces for Communication over IP

- Hostname
 - www.eecs.berkeley.edu
- IP address
 - 128.32.244.172 (IPv4, 32-bit Integer)
 - 2607:f140:0:81::f (IPv6, 128-bit Integer)
- Port Number
 - 0-1023 are "well known" or "system" ports
 - » Superuser privileges to bind to one
 - 1024 49151 are "registered" ports (registry)
 - » Assigned by IANA for specific services
 - -49152-65535 (2¹⁵+2¹⁴ to 2¹⁶-1) are "dynamic" or "private"
 - » Automatically allocated as "ephemeral ports"

Connection Setup over TCP/IP



- Special kind of socket: server socket
 - Has file descriptor
 - Can't read or write
- Two operations:
 - I. listen(): Start allowing clients to connect
 - 2. accept(): Create a new socket for a particular client

Connection Setup over TCP/IP

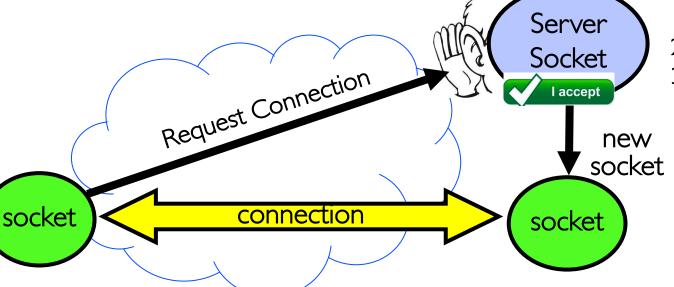
Client Side

Connection request:

I. Client IP addr

2. Client Port

3. Protocol (TCP/IP)



Server Side

Server Listening:

- I. Server IP addr
- 2. well-known port,
- 3. Protocol (TCP/IP)

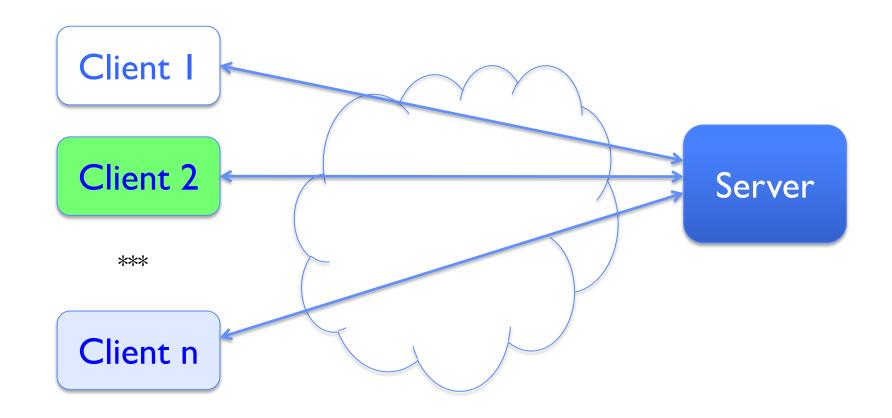
- 5-Tuple identifies each connection:
 - Source IP Address
 - 2. Destination IP Address
 - 3. Source Port Number
 - 4. Destination Port Number
 - 5. Protocol (always TCP here)

- Often, Client Port "randomly" assigned
 - Done by OS during client socket setup
- Server Port often "well known"
 - 80 (web), 443 (secure web), 25 (sendmail), etc
 - Well-known ports from 0—1023

Web Server

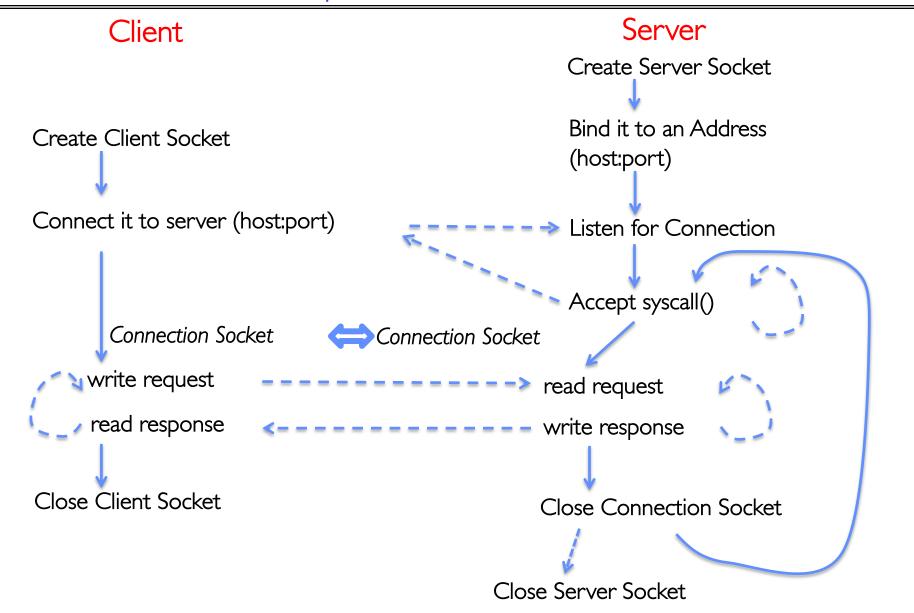


Client-Server Models



- File servers, web, FTP, Databases, ...
- Many clients accessing a common server

Simple Web Server



Client Code

```
char *host_name, *port_name;
// Create a socket
struct addrinfo *server = lookup_host(host_name, port_name);
int sock_fd = socket(server->ai_family, server->ai_socktype,
                     server->ai_protocol);
// Connect to specified host and port
connect(sock_fd, server->ai_addr, server->ai_addrlen);
// Carry out Client-Server protocol
run client(sock fd);
/* Clean up on termination */
close(sock fd);
```

Client-Side: Getting the Server Address

```
struct addrinfo *lookup_host(char *host_name, char *port) {
 struct addrinfo *server;
  struct addrinfo hints;
 memset(&hints, 0, sizeof(hints));
 hints.ai_family = AF_UNSPEC;
                              /* Includes AF_INET and AF_INET6 */
 hints.ai_socktype = SOCK_STREAM; /* Essentially TCP/IP */
 int rv = getaddrinfo(host_name, port_name, &hints, &server);
 if (rv != 0) {
   printf("getaddrinfo failed: %s\n", gai_strerror(rv));
   return NULL;
 return server;
```

Server Code (vI)

```
// Create socket to listen for client connections
char *port name;
struct addrinfo *server = setup_address(port_name);
int server_socket = socket(server->ai_family,
                           server->ai_socktype, server->ai_protocol);
// Bind socket to specific port
bind(server_socket, server->ai_addr, server->ai_addrlen);
// Start listening for new client connections
listen(server_socket, MAX_QUEUE);
while (1) {
  // Accept a new client connection, obtaining a new socket
  int conn_socket = accept(server_socket, NULL, NULL);
  serve_client(conn_socket);
  close(conn_socket);
close(server_socket);
```

Server Address: Itself (wildcard IP), Passive

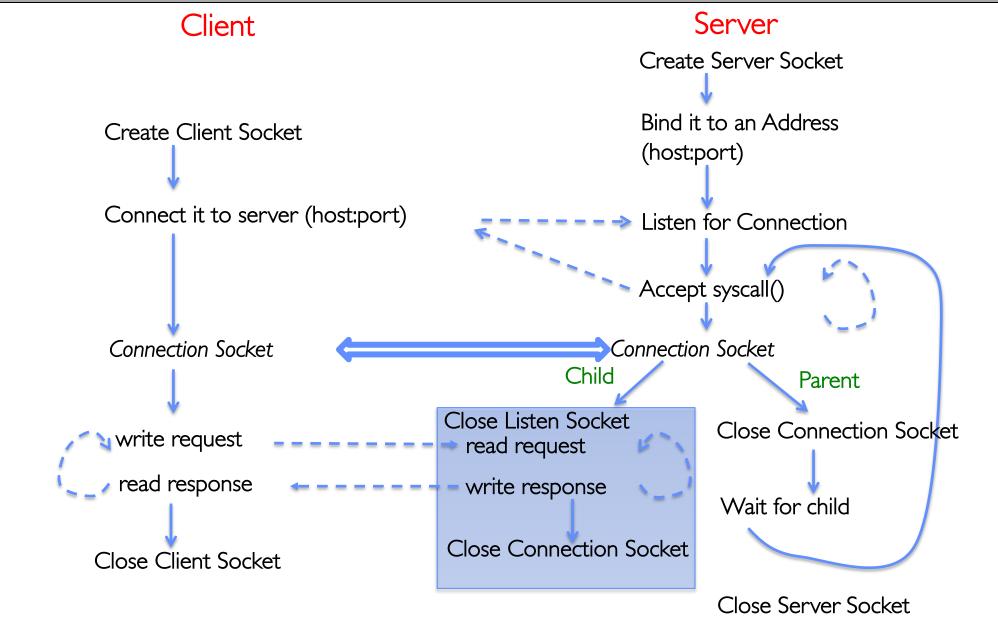
```
struct addrinfo *setup_address(char *port) {
  struct addrinfo *server;
  struct addrinfo hints;
 memset(&hints, 0, sizeof(hints));
 hints.ai_family = AF_UNSPEC;
                                      /* Includes AF_INET and AF_INET6 */
 hints.ai_socktype = SOCK_STREAM; /* Essentially TCP/IP */
 hints.ai_flags = AI_PASSIVE;
                              /* Set up for server socket */
  int rv = getaddrinfo(NULL, port, &hints, &server); /* No address! (any local IP) */
  if (rv != 0) {
   printf("getaddrinfo failed: %s\n", gai_strerror(rv));
   return NULL;
 return server;
```

Accepts any connections on the specified port

How Could the Server Protect Itself?

- Handle each connection in a separate process
 - This will mean that the logic serving each request will be "sandboxed" away from the main server process
- In the following code, keep in mind:
 - fork() will duplicate all of the parent's file descriptors (i.e. pointers to sockets!)
 - We keep control over accepting new connections in the parent
 - New child connection for each remote client

Server With Protection (each connection has own process)



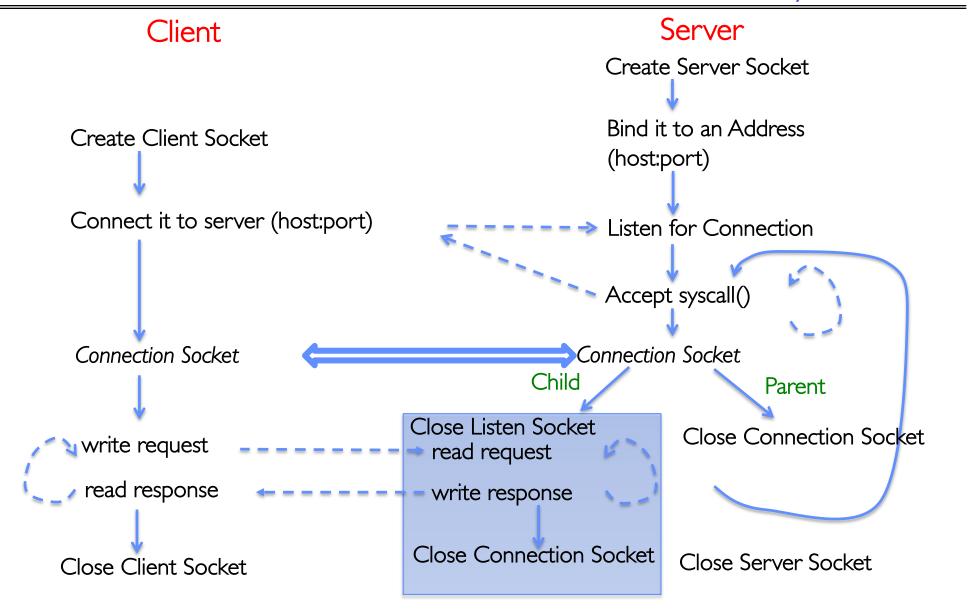
Server Code (v2)

```
// Socket setup code elided...
listen(server socket, MAX QUEUE);
while (1) {
  // Accept a new client connection, obtaining a new socket
  int conn socket = accept(server socket, NULL, NULL);
  pid_t pid = fork();
  if (pid == 0) {
    close(server socket);
    serve_client(conn_socket);
    close(conn_socket);
    exit(0);
  } else {
    close(conn_socket);
    wait(NULL);
close(server socket);
```

How to make a Concurrent Server

- So far, in the server:
 - Listen will queue requests
 - Buffering present elsewhere
 - But server waits for each connection to terminate before servicing the next
 - » This is the standard shell pattern
- A concurrent server can handle and service a new connection before the previous client disconnects
 - Simple just don't wait in parent!
 - Perhaps not so simple multiple child processes better not have data races with one another through file system/etc!

Server With Protection and Concurrency



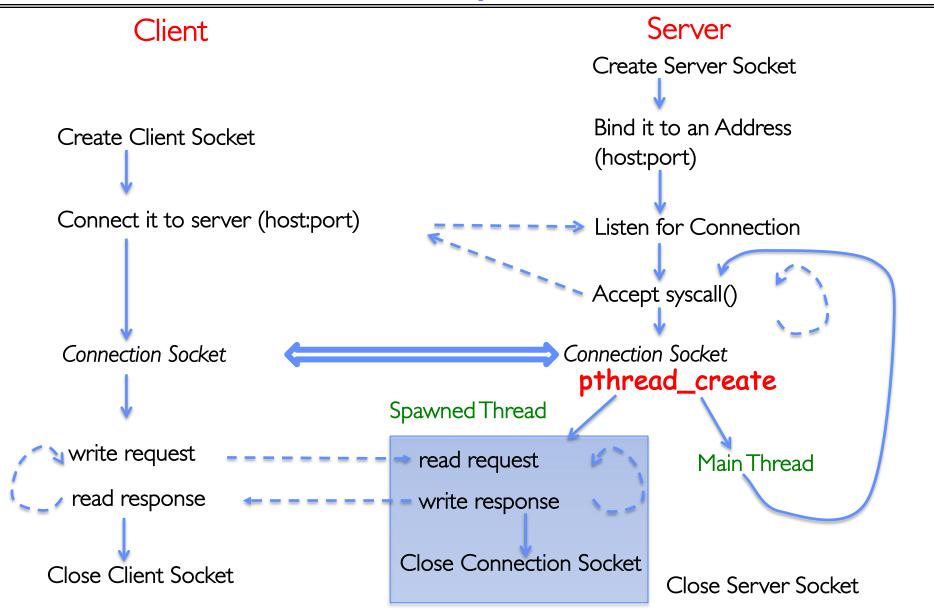
Server Code (v3)

```
// Socket setup code elided...
listen(server socket, MAX QUEUE);
while (1) {
  // Accept a new client connection, obtaining a new socket
  int conn socket = accept(server socket, NULL, NULL);
  pid_t pid = fork();
  if (pid == 0) {
    close(server socket);
    serve_client(conn_socket);
    close(conn_socket);
    exit(0);
  } else {
    close(conn_socket);
    //wait(NULL);
close(server socket);
```

Faster Concurrent Server (without Protection)

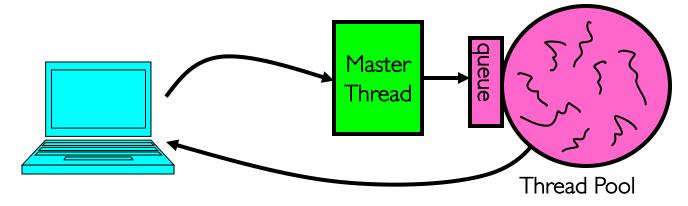
- Spawn a new thread to handle each connection
 - Lower overhead spawning process (less to do)
- Main thread initiates new client connections without waiting for previously spawned threads
- Why give up the protection of separate processes?
 - More efficient to create new threads
 - More efficient to switch between threads
- Even more potential for data races (need synchronization?)
 - Through shared memory structures
 - Through file system

Server with Concurrency, without Protection



Thread Pools: More Later!

- Problem with previous version: Unbounded Threads
 - When web-site becomes too popular throughput sinks
- Instead, allocate a bounded "pool" of worker threads, representing the maximum level of multiprogramming



```
master() {
    allocThreads(worker,queue);
    while(TRUE) {
        con=AcceptCon();
        Enqueue(queue,con);
        wakeUp(queue);
    }
        ServiceWebPage(con);
}
```

Conclusion

- POSIX I/O
 - Everything is a file!
 - Based on the system calls open(), read(), write(), and close()
- Streaming IO: modeled as a stream of bytes
 - Most streaming I/O functions start with "f" (like "fread")
 - Data buffered automatically by C-library function
- Low-level I/O:
 - File descriptors are integers
 - Low-level I/O supported directly at system call level
- Device Driver: Device-specific code in the kernel that interacts directly with the device hardware
 - Supports a standard, internal interface
 - Same kernel I/O system can interact easily with different device drivers
- File abstraction works for inter-processes communication (local or Internet)
- Socket: an abstraction of a network I/O queue (IPC mechanism)