Discussion 0: C, x86

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1 C

C is often the programming language of choice in operating systems. C grants programmers low-level access to memory which is very useful. You'll be reading and writing a lot of C code throughout this class.

Types

C is **statically typed** where types are known at compile time. However, C is also **weakly typed** meaning you can cast between any types. This gives the necessary flexibility for working with low-level memory, but it also opens up many avenues for errors if you're not careful.

The primitive types include char, short, int, long, float, and double. The size of data types may vary depending on the operating system, so it's best to check using the operator sizeof.

Arrays, denoted with [] (e.g. int[]), are contiguous regions of memory of fixed size. Each element is the size of the data type corresponding to that array. A **string** in C is just an array of characters with a last element as null to indicate the end of the string.

Users can define compound data types using structs which are contiguous pieces of memory comprised of multiple other data types.

Pointers are references that hold the address of an object in memory. Fundamentally, pointers are just unsigned integers of size equal to the number of bits supported by the operating system. Prefixing a pointer with * will return the value at the memory address that the pointer is holding. On the other hand, prefixing a variable with & will return the memory address of the variable.

Memory

A typical C program's memory is divided into five segments.

Segment	Purpose	
Text	Machine code of the compiled program	
Initialized Data	Initialized global and static memory	
Uninitialized Data	Uninitialized global and static memory	
Heap	Dynamically allocated memory	
Stack	Local variables and argument passing	

In general, memory can be thought of as a giant array with elements of one byte where a memory address indexes into this array.

Unlike stack memory, heap memory needs to be explicitly managed by the user. Memory can be allocated in the heap using malloc, calloc, or realloc. These all return a pointer to a chunk of memory in heap that is the size of the amount requested. When the memory is no longer being used, it needs to be explicitly released using free.

GNU Debugger (GDB)

GNU Debugger (**GDB**) is a powerful tool to debug your programs. While you may have skidded by CS 61C without using it, the complicated codebase for this class will require you to be proficient with GDB. Help will not be given to those who are not able to use GDB.

The general workflow of using GDB is as follows.

- 1. Compile the program using the -g flag.
- 2. Start GDB using gdb <executable>.
- 3. Set breakpoints using break eak eak </or>
- 4. Run program with run. If the program takes in arguments, pass in those (i.e. run arg1 arg2).

5. Once you hit your breakpoint, examine using print. Other commands like display, watch, set, and many more will come in handy. You can also step line by line using next.

While you don't have to memorize all the GDB commands, you'll grow familiar with them the more you use them. When looking for certain functionality, check out the GDB User Manual¹.

1.1 Concept Check

- 1. Consider a valid double pointer char** dbl_char in a 32-bit system. What is sizeof(*dbl_char)?
 - 4. dbl_char is a double pointer, so dereferencing it once will still give a pointer. A 32-bit system means memory addresses will be 32 bits or equivalently 4 bytes.

Bonus question: Does sizeof(*dbl_char) error if dbl_char == NULL?

```
No, since type sizes are known at compile time.
```

2. Consider strings initialized as

```
char* a = "162 is the best";
char b[] = "162 is the best";
```

Are a and b different?

```
Yes. Since it's defined as a literal, a points to a string literal in a read only section of memory. On the other hand, b resides on the stack. It is equivalent to declaring char b[] = \{'1', '6', '2', '', 'i', 's', '', 'b', 'e', 's', 't', 0\}.
```

3. Consider the following struct declaration:

```
struct point {
    int x;
    int y;
};

Point out a few differences between

struct point p;
printf("%d", p.x = 1);

and

struct point* p;
printf("%d", p->x = 1);
```

The first one uses the stack to allocate a struct that is the size of two ints. On the other hand, the second one declares a single pointer to a struct point on the stack, which is the size of a single int. Also, the second one will likely segfault, since the pointer is uninitialized.

https://sourceware.org/gdb/current/onlinedocs/gdb/

1.2 Headers

```
#include <stdio.h>
                                                    1 typedef struct helper_args {
2 #include "lib.h"
                                                    2 #ifdef ABC
                                                        char* aux;
4 int main(int argc, char** argv) {
                                                    4 #endif
    helper_args_t helper_args;
                                                        char* string;
    helper_args.string = argv[0];
                                                        char target;
    helper_args.target = '/';
                                                    7 } helper_args_t;
    char* result = helper_func(&helper_args);
                                                    8 char* helper_func(helper_args_t* args);
    printf("%s\n", result);
                                                                             lib.h
    return 0;
10
11 }
                                                    1 #include "lib.h"
                         app.c
                                                    3 char* helper_func(helper_args_t* args) {
                                                        int i;
                                                        for (i = 0; args->string[i] != '\0'; i++)
                                                          if (args->string[i] == args->target)
                                                            return &args->string[i + 1];
                                                        return args->string;
                                                                             lib.c
```

You build the program on a 64-bit machine as follows.

```
> gcc -c app.c -o app.o
> gcc -c lib.c -o lib.o
> gcc app.o lib.o -o app
```

1. What is the size of a helper_args_t struct?

16 bytes. Since ABC is not defined, there is only char* string and char target, which is a total of 8+1=9 bytes. However, GCC pads the structure for alignment, so it fills in the remaining 7 bytes after char target.

2. Suppose you add #define ABC at the top of lib.h. What is the size of a helper_args_t struct?

```
24 bytes. Now that ABC is defined, there's an additional 8 bytes from char* aux.
```

3. Suppose we build the program in a different way with the original files (i.e. none of the changes from previous question apply).

```
> gcc -DABC -c app.c -o app.o
> gcc -c lib.c -o lib.o
> gcc app.o lib.o -o app
```

The program will now exhibit undefined behavior. What is the issue?

app.c is compiled with ABC defined (as seen from the -DABC flag), but lib.c is compiled without it. As a result, they have differing definitions of helper_args_t. In main, argv[0] is put in to address of helper_args + 8 bytes since app.c was compiled with char* aux member, making char* string member be 8 bytes offset from args. However, in helper_func, the program will access the address of args when it accesses args->string since it was compiled without char* aux member, making char* string the first member. This leads to undefined behavior

since char* aux was never initialized in main, so it contains garbage value.

An important observation is that this won't always lead to a segfault. The value in char* aux member is garbage, meaning it could potentially be a non-illegal memory access by complete chance.

1.3 Debugging Segmentation Faults

Observe the following program from singer.c which aims to sort a string using quicksort. The program will use a string provided as the argument or defaults to "IU is the best singer!" if none is provided.

When the program is compiled and run, we get the output on the right. Use GDB to fix the issue.

To play with the following code yourself, you can fork the Discussion 0 Repl².

```
4 void swap(char* a, int i, int j) {
     char t = a[i];
     a[i] = a[j];
     a[j] = t;
8 }
int partition(char* a, int 1, int r){
     int pivot = a[1];
11
     int i = 1, j = r+1;
13
14
     while (1) {
15
       do
       while (a[i] <= pivot && i <= r);</pre>
17
18
       do
19
         --j;
20
       while (a[j] > pivot);
21
       if (i >= j)
23
         break;
24
25
       swap(a, i, j);
26
27
28
     swap(a, 1, j);
30
    return j;
31
32 }
33
34 void sort(char* a, int 1, int r){
     if (1 < r){
       int j = partition(a, 1, r);
36
       sort(a, 1, j-1);
37
       sort(a, j+1, r);
38
     }
39
40 }
41
42 int main(int argc, char** argv){
     char* a = NULL;
43
44
    if (argc > 1)
```

> ./singer "Taeyeon is the best singer!"
Unsorted: "Taeyeon is the best singer!"
Sorted : "!Tabeeeeghiinnorssstty"
> ./singer
Unsorted: "IU is the best singer!"
Segmentation fault (core dumped)

```
a = argv[1];
else
a = "IU is the best singer!";

printf("Unsorted: \"%s\"\n", a);
sort(a, 0, strlen(a) - 1);
printf("Sorted : \"%s\"\n", a);
singer.c
```

1. We want to debug the program using GDB. How should we compile the program?

```
gcc -g singer.c -o singer. We need a -g flag for debugging information. The -o simply controls the name of the executable that is created which defaults to a.out if not specified.
```

2. When running the program without any arguments (i.e. using the default argument), what line does the segfault happen? Describe the memory operations happening in that line.

First, we compile the program using the command from the previous problem.

```
> gcc -g singer.c -o singer
```

The first step in debugging a segfault is seeing which line it occurred in. You can get to the line by letting the program run until it encounters the fault. To get a more holistic view, you can also get the backtrace of the error using backtrace.

```
> gdb singer
(gdb) run
Starting program: /home/runner/intro/singer
Unsorted: "IU is the best char!"
Program received signal SIGSEGV, Segmentation fault.
0x00005646308006c8 in swap (a=0x564630800904 "IU is the best singer!", i=1, j
    =21)
    at singer.c:6
      a[i] = a[j];
(gdb) backtrace
   0x00005646308006c8 in swap (a=0x564630800904 "IU is the best singer!", i
    =1, j=21)
    at singer.c:6
#1 0x0000564630800773 in partition (a=0x564630800904 "IU is the best singer
    !", 1=0, r=21)
    at singer.c:26
   0x00005646308007bd in sort (a=0x564630800904 "IU is the best singer!", 1
    =0, r=21)
    at singer.c:36
   0x0000564630800861 in main (argc=1, argv=0x7ffd04ac7098) at singer.c:51
```

This tells us the segfault occurred on line 6 in partition function, which is a[i] = a[j]. This line performs two memory operations: a read from a[j] and a write to a[i].

When running on Replit, you may get a warning "warning: Error disabling address space randomization: Operation not permitted". Feel free to ignore this as this is a limitation from Replit.

3. Run the program with and without an argument and observe the memory address of a in the segfaulting line. Why are the memory addresses so different?

```
Using GDB, break at line 6 where the segfault occurs.
> gdb singer
(gdb) break 6
Breakpoint 1 at 0x6ab: file singer.c, line 6.
(gdb) run
Starting program: /home/runner/intro/singer
Unsorted: "IU is the best singer!"
Breakpoint 1, swap (
    a=0x5624e4600904 "IU is the best singer!", i=1, j=21)
    at singer.c:6
      a[i] = a[j];
(gdb) print a
1 = 0x5624e4600904 "IU is the best singer!"
(gdb) run "Taeyeon is the best singer!"
The program being debugged has been started already.
Start it from the beginning? (y or n) y
Starting program: /home/runner/intro/asuna "Taeyeon is the best singer!"
Unsorted: "Taeyeon is the best singer!"
Breakpoint 1, swap (
    a=0x7ffcce01bfe5 "Taeyeon is the best singer!", i=1, j=26)
    at asuna.c:6
(gdb) print a
$2 = 0x7ffcce01bfe5 "Taeyeon is the best singer!"
When no argument is passed in, the program defaults to a statically defined string, meaning it
```

When no argument is passed in, the program defaults to a statically defined string, meaning it resides in the read-only segment. On the other hand, when a specific string is passed in, it is passed in on the stack as an argument. As a result, the two addresses differ significantly

4. How should the code be changed to fix the segfault?

The segfaulting line occurs in the swap function which is called by partition in lines 26 and 29. However, notice that the read from a[j] is not a problem as the same read is performed in line 21. As a result, this indicates that a write to a[i] is the issue.

This lines up with our observations from the previous question. Since the data is in a read-only segment, it makes sense that the program cannot write to it. As a result, we need to move the initial string to somewhere else on the address space (e.g. heap). To do this, we need to allocate the appropriate amount of space and then copy over the string. This could be accomplished with a malloc followed by a strcpy, or strdup^a which does the same thing.

Replacing line 48 with a = strdup("IU is the best char!") will fix the segfault. You might say that we should free a since it'll be allocated on the heap. However, this isn't necessary as the last line a is used is line 52, which is also the last line of the program.

ahttps://man7.org/linux/man-pages/man3/strdup.3.html

2 x86

x86 is a family of instruction set architectures (ISA) developed by Intel. Unlike RISC-V from CS 61C, x86 is based on based on the complex instruction set computer (CISC) architecture. x86 being a family of ISAs means there are a variety of different dialects of this language. In this class, we will focus on the 32-bit ISA called IA-32 or i386 which is the common denominator for all 32-bit x86 processors and hence used in Pintos. While heavily related, this should not be confused with the 32-bit microprocessor i386, also known as Intel 80386). However, we will still occasionally mention the 64-bit ISA x86-64 as you may come across during some non-Pintos assignments.

Registers

Registers are small storage spaces directly on the processor, allowing for fast memory access.

Recall from CS 61C that RISC-V had 31 general purpose registers (GPR) x0 - x31 with appropriate ABI names (e.g. x2 = sp for stack pointer). Due to architectural differences, x86 only has 8 GPRs.

Register	Name	Purpose	
ax	Accumulator	I/O port access, arithmetic, interrupt calls	
bx	Base	Base pointer for memory access	
cx	Counter	Loop counting, bit shifts	
dx	Data	I/O port access, arithmetic, interrupt calls	
sp	Stack Pointer	Top address of stack	
bp	Base Pointer	Base address of stack	
si	Source Index	Source for stream operations (e.g. string)	
di	Destination Index	Destination for stream operations (e.g. string)	

Due to x86's 16-bit history, the GPRs started as 16-bits and were extended to 32-bits with the e prefix (e.g. eax for ax) and 64-bits with the r prefix (e.g. rax for ax). Each 16-bit GPR can be addressed by the 8-bit LSB (i.e. lower 8 bits) by replacing the last letter with 1 (e.g. al for ax). ax, cx, dx, and bx can also be addressed by the 8-bit MSB (i.e. higher 8 bits) by replacing the last letter with h (e.g. ah for ax).

Akin to the program counter register pc from RISC-V, x86 has an **instruction pointer register** ip. Like the GPRs, it is extended to 32-bits with the e prefix and 64-bits with r prefix. ip is a special register since it cannot be read and modified like a GPR (i.e. cannot use vanilla memory instructions).

There are other registers such as segment, EFLAGS, control, debug, test, floating point and many more. However, the GPRs and the instruction pointer register are the main ones you will work with in this class.

Syntax

Although IA-32 specifies the registers and instructions, there are two different syntaxes: Intel and AT&T. In this class, we will use the AT&T syntax because it is used by the GNU Assembler, the assembler for GCC and thus the standard for Pintos and most Unix-like operating systems like Linux. The two syntaxes have significant differences, so make sure to check which syntax is being used when referencing documentation.

Registers are preceded by a percent sign (e.g. %eax for eax). Immediates such as constants are preceded by a dollar sign (e.g. \$162 for the constant 162).

The general structure of a line of code is inst src, dest. For example, \$movl %ebx, %eax will move the contents of %ebx into %eax.

Addressing memory uses the synax of offset(base, index, scale) where base and index are registers, and offset and scale are integers (scale can only take on values of 1, 2, 4, or 8). This accesses the data at memory address base + index * scale + offset. All parameters are optional, though most cases you will see will have base and offset. scale The following are some use cases of addressing memory with different instructions.

```
mov 8(%ebx), %eax
mov %ecx, -4(%esi, %ebx, 8)

Move contents from the address ebx + 8 into eax
Move contents in ecx into address esi + 8 * ebx - 4
```

One exception to the above syntax is when using the lea instruction which stands for "load effective address". lea operates directly on the memory addresses themselves and not the contents contained in the memory addresses. For instance, lea 8(%ebx), %eax would put the address ebx + 8 into eax, not the contents at that address.

Each instruction also has a suffix that signifies the operand size. b means byte (8 bits), w means word (16 bits), and 1 means long (32 bits). These are used when the intended data size is ambiguous (e.g. mov \$0, (%esp)).

```
movb $0, (%esp) Zero out a single byte from the stack pointer movw $0, (%esp) Zero out two bytes from the stack pointer movl $0, (%esp) Zero out four bytes from the stack pointer
```

The suffixes aren't always necessary when the intended data size can be inferred in some cases (e.g. using a 32-bit register as an operand means a 32-bit operation), but it is good practice to use them regardless.

A key difference of x86 from RISC-V is how much one instruction accomplishes due to its complicated instruction set. For instance, let's examine

Calling Convention

Calling convention is a procedure for how to call and return from functions. They specify stack management, passing in parameters, any registers that need to be saved, stack management, returning values, and more. There are two sets of rules: one for the caller of the function and one for the callee of the function.

Calling conventions are heavily tied into the language that's being compiled. In this class, we will use the calling convention defined by the i386 System V ABI as the default calling convention.

Caller

Before calling the function (i.e. prologue), the caller needs to

- 1. Save caller-saved GPRs (EAX, ECX, EDX) onto the stack if needed after the function call.
- 2. Push parameters onto the stack in reverse order (i.e. store first parameter at the lowest address). Add necessary padding *before the parameters* to ensure a 16-byte alignment.

Then the caller calls the function by pushing the return address onto the stack and jumping to the function. Once the function call returns (i.e. epilogue), the caller needs to

- 1. Remove the parameters from the stack.
- 2. Restore caller-saved GPRs (if any) from the prologue.

Callee

Before executing any function logic (i.e. prologue), the callee needs to

- 1. Push EBP onto the stack and set ebp to be the new esp (i.e. stack pointer after pushing the ebp). This marks the start of a new stack frame.
- 2. Allocate stack space for any local variables (i.e. decrement esp).
- 3. Save callee-saved GPRs (ebx, edi, esi) onto the stack if used during the function call.

Then the callee performs the function logic. Before returning (i.e. epilogue), the callee needs too

- 1. Store the return value in eax.
- 2. Restore callee-saved GPRs (if any) from the prologue.

- 3. Deallocate local variables. While subtracting the correct amount will technically work, a less error prone way is to set esp to be the current ebp, effectively clearing the stack frame.
- 4. Restore caller's ebp from the stack.
- 5. Return from the function by popping the return address pushed by the caller in its prologue and jumping to it.

Instructions

There are a few commonly used instructions that will show up in nearly every assembly code due to the calling convention.

Instruction	Purpose	Effective
pushl src	Push src onto stack	subl \$4, %esp movl src, (%esp)
popl dest	Pop from stack into dest	movl (%esp), dest addl \$4, %esp
call addr	Push return address onto stack and jump to addr	pushl %eip jump addr
leave	Restore EBP and ESP to previous stack frame	movl %ebp, %esp popl %ebp
ret	Pop return address from stack and jump to it	popl %eip

Keep in mind the effective column shows what the instruction is doing, but it may not exactly be what the processor does. In fact, call and ret access EIP directly using mov which is not allowed.

Optimizations

When compiling with some optimizations using GCC (e.g. 03 flag), you may notice some violations of these calling conventions, most notably the saving of the base pointer. This is because the base pointer is not a necessity: the stack pointer is sufficient to address anything we need. Even when using RISC-V, the equivalent frame pointer was not saved during calling convention.

Another notable omission is the 16-byte stack alignment which GCC omits by default even without any optimizations. As a result, you will need to specify the -fno-ipa-stack-alignment flag when compiling to get the necessary 16-byte alignment.

2.1 Concept Check

1. Between SP and BP, which has a higher memory address?

bp has a higher memory address. The stack grows downwards, meaning the top of the stack moves towards lower addresses.

2. Write three different ways to clear the eax register (i.e. store a 0).

```
movl $0, %eax
subl %eax, %eax
xorl %eax, %eax
```

3. True or False: Right before the caller jumps to the desired function, the stack must be 16-byte aligned.

False. The stack needs to be 16-byte aligned right after the parameters have been pushed onto the sack. Return address is pushed right before jumping.

2.2 Stack Frame

```
1 int p = 0;
                                                         1 p:
                                                                     .zero
                                                                             4
3 int bar(int x, int y, int z) {
                                                         3 bar:
    int w = x + y - z;
                                                                             %ebp
                                                         4
                                                                    pushl
    return w + 1;
                                                                             %esp, %ebp
                                                         5
                                                                    movl
6 }
                                                                             $16, %esp
                                                                    subl
                                                         6
                                                                             8(%ebp), %edx
                                                                    movl
8 void foo(int a, int b) {
                                                                             12(%ebp), %eax
                                                                    movl
    p = a + b + bar(3, 4, 5);
                                                                             %edx, %eax
                                                                    addl
10 }
                                                                             16(%ebp), %eax
                                                                    subl
                                                                    movl
                                                                             \%eax, -4(\%ebp)
                          foobar.c
                                                                             -4(%ebp), %eax
                                                                    movl
                                                                             $1. %eax
                                                         13
                                                                    addl
                                                         14
                                                                    leave
                                                                    ret
                                                           foo:
                                                        16
                                                                             %ebp
                                                        17
                                                                    pushl
                                                                             %esp, %ebp
                                                                    movl
                                                        18
                                                                    pushl
                                                                             %ebx
                                                        19
                                                                             $4, %esp
                                                                    subl
                                                        20
                                                                             8(%ebp), %edx
                                                        21
                                                                    movl
                                                                             12(%ebp), %eax
                                                                    movl
                                                                             (%edx, %eax), %ebx
                                                        23
                                                                    leal
                                                                    subl
                                                                             $4, %esp
                                                        24
                                                                    pushl
                                                                             $5
                                                        25
                                                                             $4
                                                        26
                                                                    pushl
                                                                             $3
                                                        27
                                                                    pushl
                                                                    call
                                                                             bar
                                                                             $16, %esp
                                                                    addl
                                                                    addl
                                                                             %ebx, %eax
                                                        30
                                                                    movl
                                                                             %eax, p
                                                        31
                                                                    nop
                                                                             -4(%ebp), %ebx
                                                                    movl
                                                        33
                                                        34
                                                                    leave
```

1. Which lines of the code correspond to a caller/callee prologue/epilogue?

The most apparent caller/callee pair is foo/bar. Lines 24-27 are the prologue of the caller that setup the arguments. Line 29 is the epilogue of the caller that cleans up the stack by simply moving up the stack pointer. Lines 4-6 are the prologue of the callee that push the base pointer and make room for local variables. While there's only one local variable w in bar, the compiler still makes room for 16 bytes due to the default stack boundary of 16 bytes per GCC's -mpreferred-stack-boundary flag^a. Lines 13-15 are the epilogue of the callee that restores the stack pointer and returns back to the caller.

ret

It's important to recognize foo is a function as well, meaning it will be in the callee position when it's called by another function. Similar to when bar was the caller, lines 17-20 and lines 33-35 serve as the prologue and epilogue respectively when foo is the callee.

 $[^]a$ https://gcc.gnu.org/onlinedocs/gcc-4.2.4/gcc/i386-and-x86_002d64-Options.html

2. What does line 19 do in call.s? Why is it necessary?

Line 19 saves the EBX register by pushing it onto the stack. EBX is a callee-saved register, so foo is responsible for saving it before using it.

3. Why is EDX not saved by foo before calling bar despite being used in bar?

GPRS are saved only if the register content needs to persist after the function call. EDX was used as a temporary register for computation in lines 21-23. As a result, its value does not need to persist past the call of bar.

4. Draw the stack frame and contents of relevant registers after executing line 16 of call.s.

Stack Frame of foo's Caller				
Padding				
b				
a				
Return Addr of foo's Caller				
EBP of foo's Caller				
EBX of foo's Caller				
5				
4				
3				
Return Addr of foo				
EBP of foo				
2				

ESP points to the bottom of the stack. EBP points to the "EBP of foo". EAX holds 3, EBX holds a + b, and EDX holds 3.