

## Concatenated coding

channel alphabet:  $\Sigma = \{A, T, G, C\}$

outer code:  $\mathcal{C}_o \subset \mathbb{F}_{2^b}^{n_o}$

$n_o$ : code length

$b$ : symbol size (bits)

inner code:  $\mathcal{C}_i \subset \Sigma^\beta$  ( $i \in [\nu]$ ,  $b < 2\beta$ )

$\beta$ : code length (symbols)

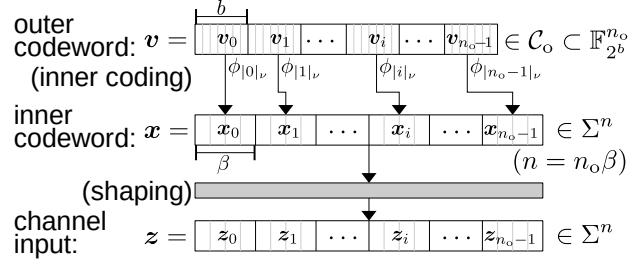
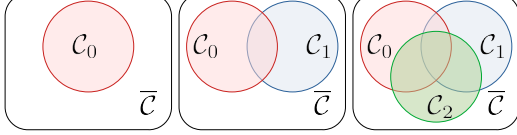
$\nu$ : number of code books

$|\mathcal{C}_i| = 2^b$ : number of codewords

$R = b/2\beta$ : inner code rate

$\phi_i: \mathbb{F}_{2^b} \rightarrow \mathcal{C}_i$ : encoding function

$\Sigma^\beta$  ( $\nu = 1$ ) ( $\nu = 2$ ) ( $\nu = 3$ )



params:

$\beta$	$b$
3	4, 5
4	6, 7
5	8, 9
6	10, 11
$\nu = 2$	

$$\text{prior: } p(x_i) = \begin{cases} 1/2^b & (x_i \in \mathcal{C}_{i|\nu}) \\ 0 & (x_i \notin \mathcal{C}_{i|\nu}) \end{cases}$$

## Constraint

RL-LB constrained coding ( $\ell; w, \delta$ ):

\* run length coding:  $f_R(z) \leq \ell$

\* local GC-balance:  $|f_B(z_i^{i+w-1})| \leq \delta$   
( $\forall i \in [n-w]$ )

\* synchronization: MIR?

vector over  $\Sigma$ :  $u = (u_0, \dots, u_{n-1}) \in \Sigma^n$

weight:  $w(u)_x = |\{i \in [n] \mid u_i = x\}|$  ( $x \in \Sigma$ )

balance:  $f_B(u) = w_G(u) + w_C(u) - w_A(u) - w_T(u) \in [-n, n]$

max run length:  $f_R(u) \in [1, n]$

## Inner codebook

$$\mathcal{C}_i = \{(\phi(x_0 y_0), \dots, \phi(x_{\beta-1} y_{\beta-1})) \mid x \in \mathcal{B}_i, y \in \mathbb{B}^\beta\}$$

$$x = (x_0, \dots, x_{\beta-1})$$

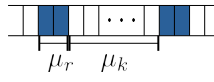
$$y = (y_0, \dots, y_{\beta-1}) \quad (i \in [\nu])$$

$$\mathcal{B}_i \subset \mathbb{B}^\beta$$

$$|\mathcal{C}_i| = 2^\beta |\mathcal{B}_i| = 2^b, \quad |\mathcal{B}_i| = 2^{b-\beta}$$

$v$	$\phi(v)$
00	A
01	T
10	G
11	C

## Marker



$$\text{rate: } R_i = \frac{\mu_k}{\mu_k + \mu_r}$$

## Rate

$$R = R_o R_i R_c \text{ [bits/symbol]}$$

$$R_o \leq 1 - \text{cross entropy: inner APP}$$

$$R_i = \text{inner code rate}$$

$$R_c \leq \text{bound of } (\ell; w, \delta) \text{ constraint}$$

$$\text{bound for given } P(X)$$

$$R_o R_i \leq I(X; Y)$$

(example)

	$\beta$	$\nu$	$R_i$	$\ell$	$\delta$	$b_i$	$\mathcal{B}_i$
3.4.2	3	2	4/6	2	2	4	$\mathcal{B}_0$
						4	$\mathcal{B}_1$
3.5.1	3	1	5/6	3	*	5	$\mathcal{B}_0$
4.5.2	4	2	5/8	2	2	5	$\mathcal{B}_0$
						5	$\mathcal{B}_1$
4.6.1a	4	1	6/8	2	2	6	$\mathcal{B}_0$
4.6.1b	4	1	6/8	4	*	6	$\mathcal{B}_0$
4.7.1	4	1	7/8	4	*	7	$\mathcal{B}_0$
5.7.2	5	2	7/10	5	5	7	$\mathcal{B}_0$
					?	7	$\mathcal{B}_1$
5.8.2	5	2	8/10	3	3	8	$\mathcal{B}_0$
						8	$\mathcal{B}_1$
5.9.1	5	1	9/10	4	*	8	$\mathcal{B}_0$
5.a.2	5	2	15/20	3	3	8	$\mathcal{B}_0$
						7	$\mathcal{B}_1$

(ex2b)

$\beta$	3	3	4	4	4	4	5	5	6
$b$	4	5	5	6	6	7	8	9	10
$\nu$	2	1	2	1	1	1	2	1	1
$R_i$	0.67	0.83	0.63	0.75	0.75	0.88	0.80	0.90	0.83
				a	b				
$(\ell; w, \delta)$	(2; 10, 2)	(3; *, *)	(2; 10, 2)	(2; 10, 2)	(4; *, *)	(4; *, *)	(3; 10, 2)	(4; *, *)	

\* (multi-read)

## NB-IDS channel

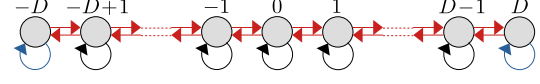
block length:  $n$   
 alphabet:  $\Sigma$  ( $|\Sigma| = q$ )  
 error prob.:  $p_{id}$  ( $< \frac{1}{2}$ ) (ins/del)  
 $p_s$  (sub)  
 input:  $\mathbf{x} = (x_0, \dots, x_{n-1}) = \Sigma^n$   
 output:  $\mathbf{y} = (y_0, \dots, y_{n'-1}) = \Sigma^{n'}$   
 $(n-D \leq n' \leq n+D)$

[transmission]

1) drift vector:  $\mathbf{d} = (d_0, \dots, d_{n-1}, d_n) \in \mathcal{D}^{n+1}$   
 2) intermediate vector:  $\mathbf{z} = (z_0, \dots, z_{n'-1}) \in \Sigma^{n'}$   
 $z_j = x_i$  ( $j \in [i + d_i, i + d_{i+1}]$ )  
 $i \in [n], n' = n + d_n$   
 3) output vector:  $p(y_i|z_i) = \begin{cases} 1 - p_s & (y_i = z_i) \\ \frac{p_s}{q-1} & (y_i \neq z_i) \end{cases}$

max drift:  $D$   
 set of drift values:  $\mathcal{D} = \{-D, \dots, 0, \dots, D\}$   
 drift vector:  $\mathbf{d} = (d_0=0, \dots, d_{n-1}, d_n) \in \mathcal{D}^{n+1}$

$$p(d_{i+1}|d_i) = \begin{cases} 1 - 2p_{id} & (d_{i+1} = d_i, |d_i| < D) \rightarrow \\ 1 - p_{id} & (d_{i+1} = d_i, |d_i| = D) \rightarrow \\ p_{id} & (|d_{i+1} - d_i| = 1, |d_{i+1}| \leq D) \rightarrow \\ 0 & (\text{otherwise}) \end{cases}$$



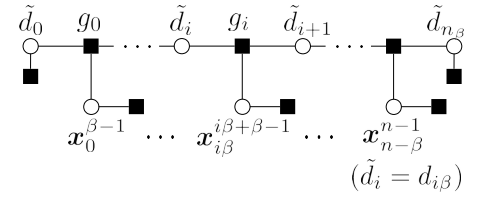
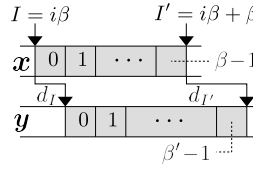
## APP by FBA

$$p(\mathbf{x}, \mathbf{y}, \mathbf{d}) = p(\mathbf{y}|\mathbf{x}, \mathbf{d})p(\mathbf{x}, \mathbf{d}) = p(\mathbf{y}|\mathbf{x}, \mathbf{d})p(\mathbf{x})p(\mathbf{d})$$

$$= p(d_0) \prod_{i=0}^{n-1} p\left(\mathbf{y}_{i+d_i}^{i+d_{i+1}} \middle| x_i, d_i, d_{i+1}\right) p(d_{i+1}|d_i) p(x_i)$$

segment level synchronization:  $n = n_\beta \beta$ 

$$= p(d_0) \prod_{i=0}^{n_\beta-1} \frac{p\left(\mathbf{y}_{I+d_I}^{I'-1+d_{I'}} \middle| \mathbf{x}_I^{I'-1}, d_I, d_{I'}\right) p(d_{I'}|d_I) p\left(\mathbf{x}_I^{I'-1}\right)}{(I = i\beta, I' = i\beta + \beta)}$$

[LUT] (for a large  $D$ )

$$G\left(\beta', \mathbf{y}_0^{\beta'-1}\right) \text{ list of } \left[ \mathbf{x}_0^{\beta-1}, p_0\left(\mathbf{y}_0^{\beta'-1} \middle| \mathbf{x}_0^{\beta-1}, 0, d'\right) p_0(d'|0) (> p_{th}) \right]$$

$$p_\alpha\left(\mathbf{y}_{\alpha'}^{\beta'-1} \middle| \mathbf{x}_\alpha^{\beta-1}, d, d'\right) p_\alpha(d'|d) = \begin{matrix} (d' = \beta' - \beta) \\ (d = \alpha' - \alpha) \end{matrix}$$

$$\sum_{\delta \in \{-1, 0, 1\}} p\left(\mathbf{y}_{\alpha'+\delta}^{\alpha'+\delta} \middle| \mathbf{x}_\alpha, d, d + \delta\right) p(d + \delta|d)$$

$$\times p_{\alpha+1}\left(\mathbf{y}_{\alpha'+\delta+1}^{\beta'} \middle| \mathbf{x}_{\alpha+1}^{\beta-1}, d + \delta, d'\right) p_{\alpha+1}(d'|d + \delta)$$

Gtable:  
 int beta  
 double Pid  
 double Ps  
 double Pth  
 LIST[Len][Y]

LIST:  
 int num  
 XP[num]

XP:  
 int x  
 float p

[run-length of random sequence]

prob. of run-length  $\ell$ :  $p(\ell) = \left(\frac{1}{q}\right)^{\ell-1} \left(\frac{q-1}{q}\right) = \frac{q-1}{q^\ell}$

expectation:  $E(\ell) = \sum_{\ell=1}^n \ell p(\ell) = \sum_{\ell=1}^n \frac{(q-1)\ell}{q^\ell}$   
 $= (q-1) \sum_{\ell=1}^n \frac{\ell}{q^\ell}$   
 $= \frac{q}{q-1} \left(1 - \frac{1}{q^n}\right) - \frac{n}{q^n}$

[local GC-balance of random sequence]

window size:  $w$

prob. binary vector having weight  $t$ :  $p_w(t) = \binom{w}{t} / 2^w$

absolute GC-balance:  $\sum_{t=0}^w p_w(t) |w - 2t|$

[rate upper bound]

run length: constraint graph(?)

local GCB:  $\frac{1}{w} \log_2 \left( 2^w \sum_{t \in \mathcal{T}} \binom{w}{t} \right) = 1 + \frac{1}{w} \log_2 \left( \sum_{t \in \mathcal{T}} \binom{w}{t} \right)$   
 $(\mathcal{T} = \{t \mid |w - 2t| \leq \delta\})$

RL+LGCB:  $\frac{1}{w} \log_2 \left| \left\{ \mathbf{u} \in \Sigma^w \mid |f_B(\mathbf{u})| \leq \delta, f_R(\mathbf{u}) \leq \ell \right\} \right|$   
 $(\ell; w, \delta)$



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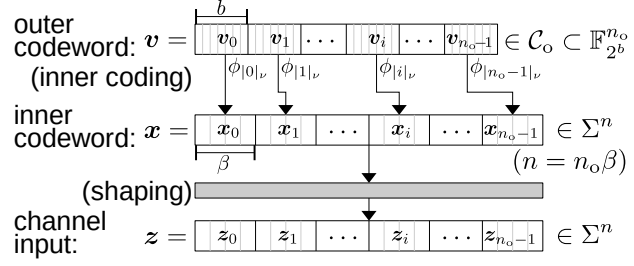
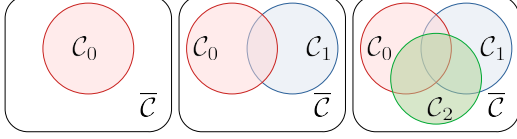
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weight:  $w(\mathbf{u})_x = |\{i \in [n] \mid u_i = x\}|$  ( $x \in \Sigma$ )

balance:  $f_B(\mathbf{u}) = w_G(\mathbf{u}) + w_C(\mathbf{u}) - w_A(\mathbf{u}) - w_T(\mathbf{u}) \in [-n, n]$

max run length:  $f_R(\mathbf{u}) \in [1, n]$

## Constraint

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( $\forall i \in [n-w]$ )

\* synchronization: MIR?

[example 1]

forbidden set  $\bar{\mathcal{C}} \subseteq \Sigma^\beta \setminus \bigcup_{i \in [\nu]} \mathcal{C}_i$  ( $|\bar{\mathcal{C}}| \leq 2^{2\beta} - 2^b$ )

$\bar{\mathcal{C}}$  (empty)

$\bar{\mathcal{C}}_{*,\lambda} = \{\mathbf{u} \in \Sigma^\beta \mid f_R(\mathbf{u}) \geq \lambda\}$  (RL)

$\bar{\mathcal{C}}_{\omega,*} = \{\mathbf{u} \in \Sigma^\beta \mid |f_B(\mathbf{u})| \geq \omega\}$  (LB)

$\bar{\mathcal{C}}_{\omega,\lambda} = \bar{\mathcal{C}}_{\omega,*} \cup \bar{\mathcal{C}}_{*,\lambda}$  (both)

[example 2a]  $\beta = 4, b = 7$

$\mathcal{C}_i = \{(\phi(x_0 y_0), \dots, \phi(x_3 y_3)) \mid (x_0, \dots, x_3) \in \mathcal{B}_i, (y_0, \dots, y_3) \in \mathbb{B}^4\}$

$\mathcal{B}_0: 0011, 0101, 0110, 1001, 1010, 1100, \mathbf{0010, 0100}$

$\mathcal{B}_1: 11100, 11010, 11001, 10110, 10101, 10011, 01110, 01101, 01011, 00111$

$|\mathcal{C}_i| = 8 \times 16 = 128 = 2^b$

$\mathbf{v}$	$\phi(\mathbf{v})$
00	A
01	T
10	G
11	C

[example 2b]  $\beta = 5, b = 8$

$\mathcal{C}_i = \{(\phi(x_0 y_0), \dots, \phi(x_4 y_4)) \mid (x_0, \dots, x_4) \in \mathcal{B}_i, (y_0, \dots, y_4) \in \mathbb{B}^5\}$

$\mathcal{B}_0: 00011, 00101, 00110, 01001, 01010, 01100, 10001, 10010, 10100, 11000$

$\mathcal{B}_1: 11100, 11010, 11001, 10110, 10101, 10011, 01110, 01101, 01011, 00111$

$|\mathcal{C}_i| = 8 \times 32 = 256 = 2^b$

$\mathbf{a} = (a_0, \dots, a_4), \mathbf{c} = (c_0, \dots, c_4) \in \mathcal{B}_0$

$\mathbf{b} = (b_0, \dots, b_4), \mathbf{d} = (d_0, \dots, d_4) \in \mathcal{B}_1$

RL: max RL in  $\mathbf{a}$  and  $\mathbf{b}$ : 3 (by definition)

max RL in  $(\mathbf{a}, \mathbf{b})$ : 3 (proof 1)

max RL in  $(\mathbf{b}, \mathbf{c})$ : 3 (proof 2)

(proof 1) length of the last run of  $\mathbf{a}$ : 1 (run of 1)

2 (run of 0s)

length of the first run of  $\mathbf{b}$ : 2 (run of 1s)

1 (run of 0)

(proof 2) length of the last run of  $\mathbf{b}$ : 1 (run of 0)

2 (run of 1s)

length of the first run of  $\mathbf{c}$ : 2 (run of 0s)

1 (run of 1)

LB: even  $w(\geq 10)$

$(\mathbf{a}_t^4, \mathbf{b}, \mathbf{c}_0^{t-1})$ :

$$\rho_{\ell,w,t} = |\{\mathbf{u} \in \Sigma^w | w_G(\mathbf{u}) + w_C(\mathbf{u}) = t, f_R(\mathbf{u}) > \ell\}|$$

$$\rho_{\ell,w,t} = |\{\mathbf{u} \in \Sigma^w | w_G(\mathbf{u}) + w_C(\mathbf{u}) = t, f_R(\mathbf{u}) > \ell\}|$$

$$\mathcal{B}_1 : 101,110$$
$$\mathcal{B}_1 : 110, 101, 011, 010$$
$$\mathcal{B}_1 : 0101, 0110, 1001, 1010$$
$$\mathcal{B}_1 : 0011, 0101, 0110, 1001, 1010, 1100, 1101, 1011$$
$$\mathcal{B}_1 : 11010, 11001, 10110, 10101, 10011, 01110, 01101, 01011$$
$$\mathcal{B}_1 : 11010, 11001, 10110, 10101, 10011, 01110, 01101, 01011, \dots$$

position	max RL	pattern
$(a_0, a_1, a_2, a_3, a_4)$	3	10001
$(a_1, a_2, a_3, a_4; b_0)$	3	*100;0
$(a_2, a_3, a_4; b_0, b_1)$		
$(a_3, a_4; b_0, b_1, b_2)$		
$(a_4; b_0, b_1, b_2, b_3)$		
$(b_0, b_1, b_2, b_3, b_4)$	3	01110
$(b_1, b_2, b_3, b_4; c_0)$		
$(b_2, b_3, b_4; c_0, c_1)$		
$(b_3, b_4; c_0, c_1, c_2)$		
$(b_4; c_0, c_1, c_2, c_3)$		