


Complexity

```
for (i=2; i<n, i=i+2)
    Print (....)
```

$$i=2 \rightarrow 1 \text{ opt}$$

$$i < n \rightarrow \frac{n}{2} + 1 \text{ opt}$$

$$i = i+2 \rightarrow \frac{n}{2} \text{ opt}$$

$$\text{Print} \rightarrow \frac{n}{2} \text{ opt}$$

$$t(n) = \sum_{i=1}^{\frac{n}{2}} 1 = t(n) = \frac{n}{2} \rightarrow O\left(\frac{n}{2}\right)$$

time complexity

for (i=1, i≤n, i++)

for (j=1, j≤i, j++)

Print (....)

$$t(n) = \sum_{i=1}^n \sum_{j=1}^i 1 = \sum_{i=1}^n i$$

$$= \frac{n(n+1)}{2} = \frac{n^2 + n}{2} = O(n^2)$$

$$t(n) = \frac{n^2 + n}{2} = O\left(\frac{n^2 + n}{2}\right) = O(n^2 + n) = O(n^2)$$

Space Complexity

```
for (i=1, i ≤ n, i=i*2)
```

Print (....)

$$t(n) \sum_{i=1}^{\log_2 n} \textcircled{1} = \log_2 n \rightarrow O(\log n)$$

for (i=2, i≤n, i=i*i)

$$2^{2^k} = n$$

$$2x = n$$

$$\log \log n$$

$$\sum_{i=2}^{} 1 = O(\log \log n)$$

i	# of steps	
2	2^1	2^{2^0}
4	2^2	2^{2^1}
16	2^4	2^{2^2}
256	2^8	2^{2^3}
:	2^{16}	2^{2^4}
⋮	⋮	⋮
n	2^k	2^{2^k}

$$\log \log n \neq (\log n)^2$$

$$\log \log n = \log (\log n)$$

for ($i=1$, $i < n$, $i++$)

for ($j=1$, $j \leq n$, $j = j * 2$)

$$t(n) = \sum_{i=1}^n \sum_{j=1}^{\log n} 1 = O(n \log n)$$

for ($i=1$; $i < \sqrt{n}$, $i++$)

for ($j=1$, $j \leq n/2$, $j++$)

print(...)

print(...)

$$t(n) = \sum_{i=1}^{\sqrt{n}} \sum_{j=1}^{n/2} 2 = \sqrt{n} (\frac{n}{2})(\frac{1}{2}) = \sqrt{n} (n) = n^{1/2} (n^1) = n^{1/2 + 1} = n^{3/2}$$

$$= O(n^{3/2})$$

for ($i=0$, $i < (n-1)$, $i++$) {
 for ($j=i+1$, $j < n$, $j++$) {
 print(...)
 }
}

i	j	# of steps
0	1, 2, 3, ..., n-1	n-1
1	2, 3, 4, ..., n-1	n-2
2	3, 4, 5, ..., n-1	n-3
:	:	
n-2	n-1	1

$$t(n) = \sum_{i=0}^{n-1} \sum_{j=1}^{n-i} \textcircled{1}$$

X, 1, 2, 3, 4, ..., n-2

remove 1 step

$$= \sum_{i=1}^{n-1} (n-i) = \sum_{i=1}^{n-1} n - \sum_{i=1}^{n-1} i$$

$$= (n-1)(n) - \frac{(n-1)n}{2}$$

$$= n^2 - n - \frac{n^2 - n}{2}$$

$$= \frac{2n^2 - 2n - n^2 + n}{2} = \boxed{\frac{n^2 - n}{2}} = O\left(\frac{n^2 - n}{2}\right) = O(n^2)$$

$n = 6$

		# of steps
i		
0	1, 2, 3, 4, 5	5
1	2, 3, 4, 5	4
2	3, 4, 5	3
3	4, 5	2
4	5	1
5		
6		

$$5+4+3+2+1 \\ = 15 \text{ steps}$$

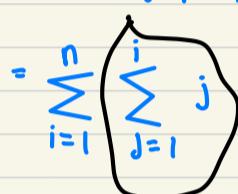
$$\text{time complexity } O(n^2) = \frac{6^2 - 6}{2} = \frac{36 - 6}{2} = \frac{30}{2} = 15$$

space complexity $O(.1)$

repeat n times

```
for(i=1; i<n, i++)
  for(j=1, j≤ i, j++)
    for(k=1, k≤ j, k++)
      print(...)
```

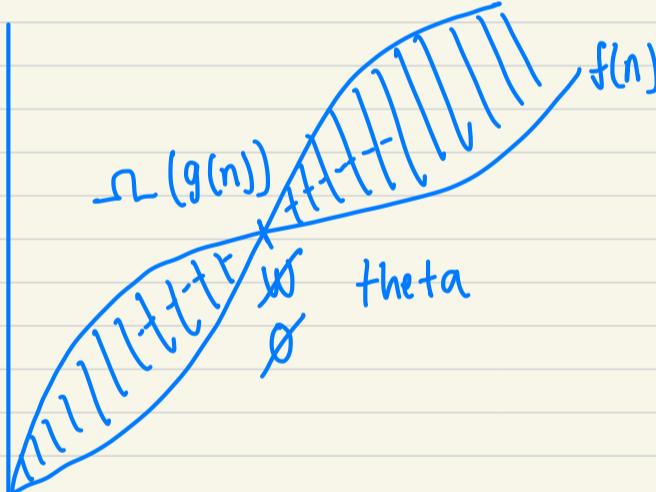
$$t(n) = \sum_{i=1}^n \sum_{j=1}^i \sum_{k=1}^j 1$$



$$= \sum_{i=1}^n \frac{i(i+1)}{2} = \frac{1}{2} \left(\sum_{i=1}^n i^2 + i \right)$$

$$= \frac{1}{2} \sum_{i=1}^n i^2 + \frac{1}{2} \sum_{i=1}^n i$$

$$g(n) = \frac{1}{2} \left(\frac{n(n+1)(2n+1)}{6} + \frac{n(n+1)}{2} \right) = O(n^3)$$



$$\Theta = f(n) = g(n)$$

$$\Omega = f(n) \leq g(n)$$

$$\Omega = f(n) \geq g(n)$$

$$O = f(n) = g(n)$$

$$O = f(n) < g(n)$$

$$t(n) = n^2 + n$$

$$\frac{n^2}{n^2}$$

$$\frac{n}{n}$$

Average case complexity (sequential search)

0	1	2	3
0	0		

the item is not in the array $\frac{1}{2}$

worse case : $O(n)$

best case : $O(1)$

Average case :

$$A(c) = \sum_{i=1}^n p_i i$$

$$1+2+3+4+5+\dots+n$$

$$\frac{n(n+1)}{2}$$

$$p_i = p_{\text{out}} + p_{\text{in}} = 1$$

$$\sum_{i=1}^n 1$$

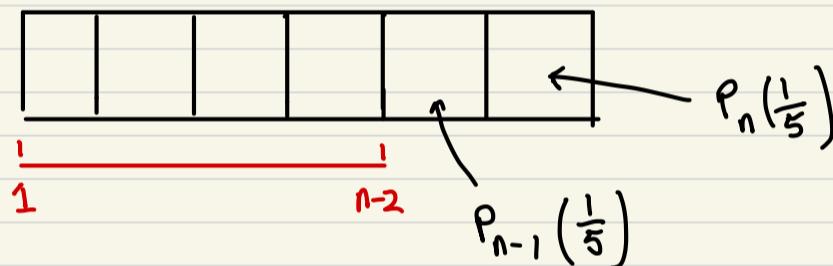
$$p_{\text{out}} = \frac{1}{2} \cdot n$$

$$p_{\text{in}} = \frac{1}{2} \cdot \frac{1}{n} \leftarrow \text{not always } \frac{1}{n}$$

could be $n-2$ as example

2	5	6	7	10	11	
$\frac{3}{5}$	$\frac{3}{5}$	$\frac{3}{5}$	$\frac{3}{5}$			

numbers of position with the same prob



$$p_{\text{out}} = 0$$

$$p_{\text{in}} = \frac{P_n(1/5)}{p_{\text{out}}} + \frac{P_{n-1}(1/5)}{p_{\text{out}}} + \frac{P(1, \dots, n-2)(\frac{3}{5})}{p_{\text{in}}}$$

$$p_{\text{out}} + p_{\text{in}} = 1$$

$$1 = \frac{1}{5} + \frac{1}{5} + x$$

$$p_{\text{in}} = p_i \cdot \frac{1}{n}$$

$$1 - \frac{1}{5} - \frac{1}{5} = x$$

$$p_{\text{out}} = p_i \cdot n$$

$$= \frac{3}{5}$$

$$A(n) = p_{\text{out}} + p_{\text{in}} \sum_{l=1}^{n-2}$$

$$A(n) = 0 + \frac{n}{5} + \frac{n-1}{5} + \frac{3}{5(n-2)} \sum_{i=1}^{n-2}$$

$$= 0 + \frac{2n-1}{5} + \frac{3}{5(n-2)} * \frac{(n-2)(n-1)}{2} = \frac{2n-1}{5} + \frac{3(n-3)}{10}$$

$$= \frac{2(2n-1) + 3n-3}{10} = \frac{4n-2+3n-3}{10} = \frac{7n-5}{10}$$

$n=5$

$$\frac{7(n) - 5}{10} = \frac{7(5) - 5}{10} = \frac{30}{10} = 3$$

$$P_n = \frac{1}{3}$$

$$P_{\text{out}} = \frac{1}{4}$$

$$P_{\text{in}} = \left(P_n \left(\frac{1}{3} \right) \left(\frac{3}{4} \right) + P_{n-1} \left(\frac{1}{3} \right) \left(\frac{3}{4} \right) + P(1 \dots n-2) \right) = \frac{3}{4}$$

$$\downarrow \\ \underline{P_n \left(\frac{1}{4} \right)} + \underline{P_{n-1} \left(\frac{1}{4} \right)} + P(1 \dots n-2) \Big) = \frac{3}{4}$$

$$A(n) = \frac{n}{4} + \frac{n}{4} + \frac{n-1}{4} + \frac{1}{4(n-2)} \cdot \sum_{i=1}^{n-2} i \\ = \frac{3n-1}{4} + \frac{1}{4(n-2)} * \frac{(n-2)(n-1)}{2}$$

$$\hookrightarrow \frac{3n-1}{4} + \frac{(n-1)}{8}$$

$$= \frac{6n-2+n-1}{8} = \frac{7n-3}{8}$$

CSC 510 Analysis of Algorithms Order - Asymptotic Bonding

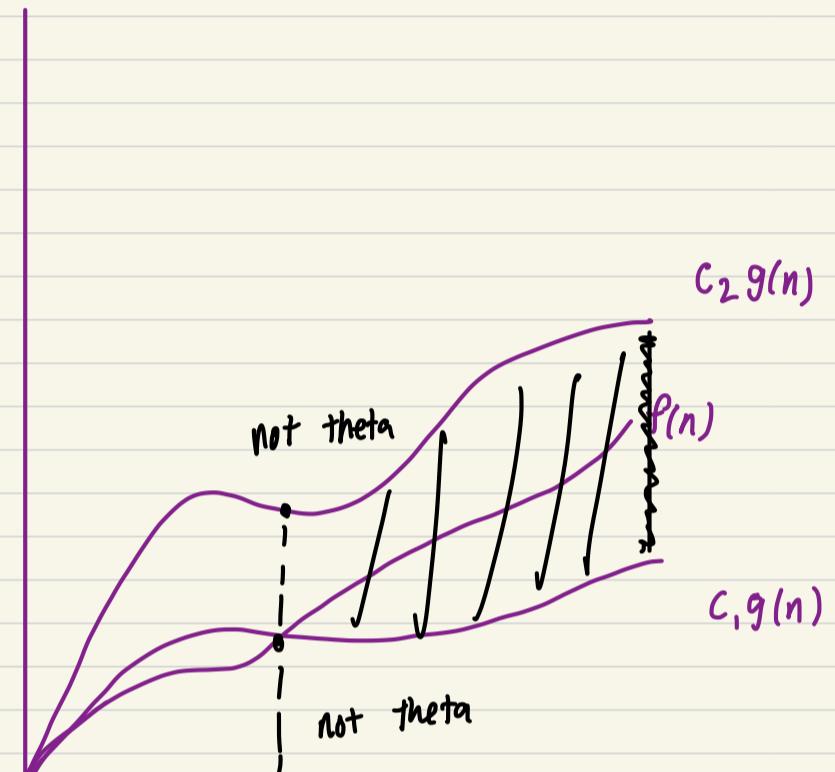
Five types of notation

- Theta Notation (Θ)
- Big O Notation (O)
- Small o notation (o)
- Omega notation (Ω)
- Small ω

Theta Notation (Θ)

- Represents the upper and lower bounds of the running time in an algorithm.

Example $f(n)$ \downarrow $g(n)$
Prove that $4n+1 = \Theta(n)$



Big O notation Example

Prove that $\frac{n^2 + 3n + 5}{f(n)} = O\left(\frac{g(n)}{n^3}\right)$

$$f(n) \leq g(n)$$

$$f(n) = n^2$$

$$g(n) = n^3$$

Omega notation Example

Prove $\frac{n^2 + 100}{f(n)} = \Omega(n)$

$$f(n) = n^2$$

$$g(n) = n$$

$w(n)$

This can be $w(n)$
cause of $f(n) > g(n)$

$$f(n) > g(n)$$

$$w = f(n) > g(n)$$

$$O = f(n) < g(n)$$

↑

small O notation

Big Omega Notation

Prove $\underline{2n+1} \notin \Omega(n^2)$

$$f(n) = n$$

$$g(n) = n^2$$

$$\mathcal{O}(g(n))$$

$$f(n) < g(n)$$

$$\mathcal{O}(g(n))$$

\in : In discrete math
is set

$$\frac{\log_n(2^n)}{n! n^n 2^n \log n} + n! \in \mathbb{H} (2^{2^n} + n!)$$

Limit ratio review

$$= \frac{2n^2 - 3n}{3n^2 + 2n} = \frac{n^2}{n^2} \rightarrow 1$$

$$= \frac{4n^2 + n + 1}{2n^3 - 5} = \frac{n^2}{n^3} = \frac{1}{n} \rightarrow \text{zero}$$

$$\lim_{n \rightarrow \infty} \frac{8n^2 - 2n}{n + 5} = \frac{n^2}{n} \rightarrow \infty$$

Limit Ratio Notation Table

$\mathcal{O}(g(n))$	$f(n) \leq g(n)$	$< \infty$
$\mathcal{O}(g(n))$	$f(n) < g(n)$	zero
$\Omega(g(n))$	$f(n) \geq g(n)$	> 0
$\omega(g(n))$	$f(n) > g(n)$	∞
$\Theta(g(n))$	$f(n) = g(n)$	$R > 0$ and $< \infty$

Limit Ratio: Examples

Circle True or False

$$T \quad F \quad n^2 + 3n + 2 \in \Theta(n^3)$$

$$T \quad F \quad n^2 + 3n + 2 \in \Omega(n^3)$$

$$T \quad F \quad n^2 + 3n + 2 \in \omega(n^3)$$

$$T \quad F \quad n^2 + 3n + 2 \in \Theta(n \log_2 n)$$

$$T \quad F \quad n^2 + 3n + 2 \in \omega(n \log_2 n)$$

$$T \quad F \quad n^2 + 3n + 2 \in \Omega(n \log_2 n)$$

$$f(n) = n^2 \quad g(n) = n^3 \quad \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \frac{n^2}{n^3} = \frac{1}{n} \rightarrow \text{zero}$$

$$f(n) = n^2$$

$$g(n) = n \log_2 n$$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \frac{n^2 n}{n \log_2 n} \Rightarrow \infty$$

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AsymptoticOrder

$$3 \log_2 n + 5n \in O(n \log_2 n)$$

$$f(n) = n \quad g(n) = n \log_2 n$$

$$\lim_{n \rightarrow \infty} \frac{n}{n \log_2 n} = \frac{1}{\log_2 n} \rightarrow \text{zero}$$

$$3^n \in \Omega(n^2 - n + 2)$$

$$f(n) = 3^n$$

∞

$$g(n) = n^2$$

$$3n + 2 \log_2 n + 1 \in \Theta\left(\frac{2^{\log_2 n}}{n}\right)$$

$$2^{\log_2 n} = n^{\log_2 n} = n$$

$$T(0) = 1$$

Base case

$$\underline{T(n-k)} = \underline{T(0)}$$

$$n-k=0$$

$$n=k$$

$$T(n) = T(0) + (n - (n-1)) + (n - (n-2)) + \dots + \frac{n - n + n}{(n - (n-n))}$$

$$t(n) = t(0) + (1 + 2 + 3 + 4 + \dots + n)$$

$$1 + \sum_{i=1}^n i$$

$$t(n) = 1 + \frac{n(n+1)}{2} \rightarrow 1 + \frac{n^2+n}{2} = \Theta$$

function $f(n)$

If $n == 1$ return $\rightarrow T(0) = 1$

Print(...)

$f(\frac{n}{2}) \rightarrow T(\frac{n}{2})$

}

$T(0) = 1$

function $f(\frac{n}{2}) \}$

If ... - - -

Print(...)

$f(\frac{n}{4})$

$$t(n) = t\left(\frac{n}{2}\right) + 1$$

$$t\left(\frac{n}{2}\right) = \left[T\left(\frac{n}{2^2}\right) + 1 \right] + 1$$

$$\frac{\textcircled{1}}{2} \leftarrow \frac{\left(\frac{n}{2}\right)}{2} = \frac{n}{2} \cdot \frac{1}{2} = \frac{n}{4} = \frac{n}{2^2}$$

$$t\left(\frac{n}{2^2}\right) = T\left(\frac{n}{2^3}\right) + 2$$

$$t\left(\frac{n}{2^3}\right) = \left[T\left(\frac{n}{2^4}\right) + 1 \right] + 2$$

$$T\left(\frac{n}{2^4}\right) = T\left(\frac{n}{2^3}\right) + 3$$

:

After k steps

$$t(n) = T\left(\frac{n}{2^k}\right) + k$$

Base case

Solve for k

$$T\left(\frac{n}{2^k}\right) = T(0) \quad \left\{ \begin{array}{l} \frac{n}{2^k} = 0 \\ n = 2^k(0) \end{array} \right.$$

$$n = 0$$



$$t(n) = 1 + \log_2 n = \Theta(\log_2 n)$$

$$T\left(\frac{n}{2^k}\right) = T(1) \quad \left\{ \begin{array}{l} \frac{n}{2^k} = 1 \\ n = 2^k \end{array} \right.$$

$$\log_2 n = k$$

```

function f(n) {
    if n == 1 return → T(1) = 1
    for (i=0, i<n, i++) { → O(n)
        Print (---)
    }
    f( $\frac{n}{2}$ ) → T( $\frac{n}{2}$ )
    f( $\frac{n}{2}$ ) → T( $\frac{n}{2}$ )
}

```

$$T(n) = T\left(\frac{n}{2}\right) + T\left(\frac{n}{2}\right) + n$$

$$= 2 T\left(\frac{n}{2}\right) + n$$

$$+ \left(\frac{n}{2}\right) = 2 \left[2 T\left(\frac{n}{2^2}\right) + \left(\frac{n}{2}\right) \right] + n$$

$$T\left(\frac{n}{2}\right) = 2^2 T\left(\frac{n}{2^2}\right) + 2n$$

$$T\left(\frac{n}{2^2}\right) = 2^2 \left[2 T\left(\frac{n}{2^3}\right) + \left(\frac{n}{2^2}\right) \right] + 2n$$

$$+ \left(\frac{n}{2^2}\right) = 2^3 T\left(\frac{n}{2^3}\right) + 3n$$

⋮
⋮

k steps

$$k = \log_2 n$$

$$T(n) = 2^k + \underbrace{\left(\frac{n}{2^k}\right)}_{1} + kn$$

$$T(n) = 2^{(\log_2 n)} (1) + n \log_2 n$$

$$2^{\log_2 n} = n^{\log_2 2} = n^1 = n$$

$$\Theta(n \log_2 n)$$

$$T(n) = 2T\left(\frac{n}{2}\right) + n^3$$

$$T\left(\frac{n}{2}\right) = 2 \left[2T\left(\frac{n}{2^2}\right) + \left(\frac{n}{2}\right)^3 \right] + n^3$$

$$T\left(\frac{n}{2}\right) = 2^2 T\left(\frac{n}{2^2}\right) + \frac{n^3}{2^2} + n^3$$

$$T\left(\frac{n}{2^2}\right) = 2^2 \left[2T\left(\frac{n}{2^3}\right) + \left(\frac{n}{2^2}\right)^3 + \frac{n^3}{2^4} \right] + \frac{n^3}{2^2} + n^3$$

$$T\left(\frac{n}{2^2}\right) = 2^3 \left[2T\left(\frac{n}{2^3}\right) + \frac{n^3}{2^4} + \frac{n^3}{2^2} + n^3 \right]$$

:

k steps

$$T(n) = 2^k T\left(\frac{n}{2^k}\right) + n^3 \left(\frac{1}{2^0} + \frac{1}{2^2} + \frac{1}{2^4} \dots \frac{1}{2} \right)$$

$$\hookrightarrow n^3 \left(\frac{1}{4^0} + \frac{1}{4^1} + \dots + \frac{1}{4^{k-1}} \right)$$

$$\begin{matrix} 2^0, & 2^2, & 2^4 \\ \downarrow & \downarrow & \\ 4^0, & 4^1, & 4^2, \dots, 4^{k-1} \end{matrix}$$

If no log p=0

$$2^x = 8 \rightarrow 2^3 = 8$$

$$t(n) = 8 + \left(\frac{n}{2}\right) + n^3$$

$$a=8$$

$$b=2$$

$$k=3$$

$$p=0$$

$$\log_6 a = \log_2 8 = 3$$

$$\Theta(n^3 \log n)$$

Master theorem

$$t(n) = 2T\left(\frac{n}{2}\right) + \underbrace{\frac{n}{\log n}}_{n \log^{-1} n} \rightarrow n \log^{-1} n$$

$$a=2$$

$$b=2$$

$$k=1$$

$$p=-1$$

$$\log_6 a = \log_2 2 = 1 = k$$

$$\Theta(n \log(\log n))$$

$$t(n) = 8t\left(\frac{n}{4}\right) + n^2 + 1$$

$$4^2 = 16$$

$$a=8$$

$$b=4$$

$$\log_4 8 < k$$

$$1 \leq \log_4 8 \leq 2$$

$$k=2$$

$$p=0$$

$$\Theta(n^2)$$

$$t(n) = 3T\left(\frac{n}{4}\right) + n$$

$$a=3$$

$$b=4$$

$$\log_4 3 < k$$

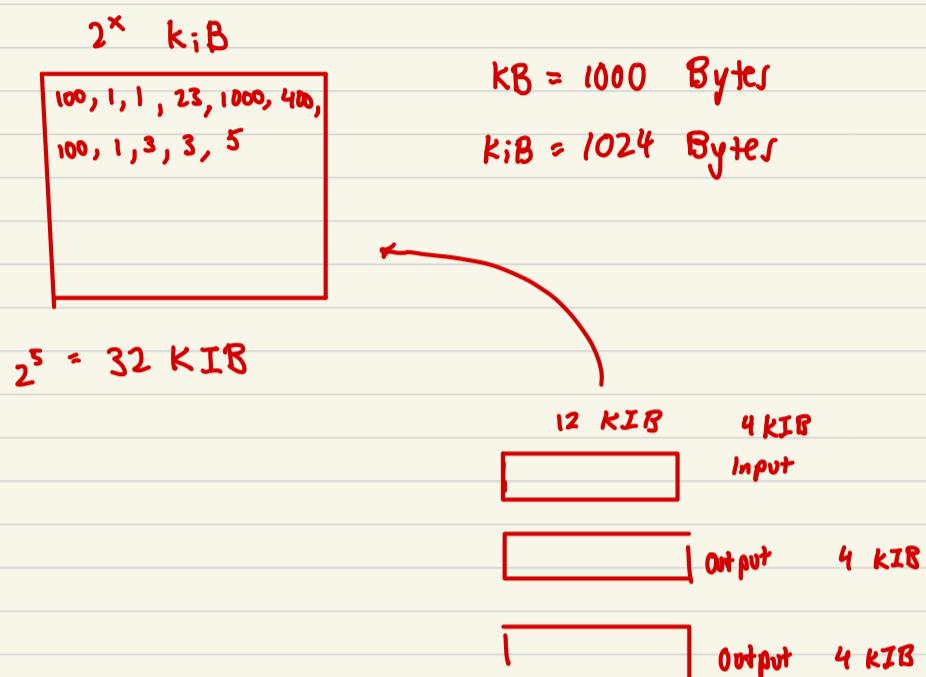
$$k=1$$

$$p=0$$

$$\Theta(n^k \log^p n)$$

$$\Theta(n)$$

```
a = [ ]  
for (i=1, i<10, i++)  
    print(...)  
  
if a[1] == 3  
    Print(...)
```



CSC510 Analysis of Algorithms

Divide and Conquer

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Overview

- Approach
 - Divide and Conquer
- Divide and Conquer Algorithms
 - Binary Search
 - Merge Sort
 - Quick Sort
 - Matrix Multiplication
 - Closest Pair of Points

Divide

- Create sub problems of the main problem to focus in specific techniques that will help in solving the main problem
- Small problems are easier to handle, and solve than bigger ones

Conquer

- Recursively doing the same on each part until small (manageable and solvable) size is reached
- Combine the sub-solutions to form a solution to the original problem

Divide and Conquer Algorithms

Binary Search

Binary Search

- Pros:
 - Binary search algorithm uses the divide technique to reject parts/blocks of the data structure, that based on a specific condition, are not needed for the next iteration of the algorithm
 - Binary search algorithm follows a recursive pattern
 - Theta time complexity of binary search is $\Theta(\log n)$
 - Faster than many other recursive algorithms.
- Cons:
 - Data must be initially sorted before applying the algorithm

Binary Search Algorithm Example

Goal: does value 320 exist in the array? If so, return its index

Index	0	1	2	3	4	5	6	7	8	9
Values	24	32	88	99	100	101	200	300	320	350

$$\text{middle} = \text{start} + (\text{end} - \text{start}) // 2$$

$$0 + (6 - 0) // 2$$

$$0 + 6 // 2$$

$$0 + 3$$

Binary Search

Recursive Pseudocode and Complexity Analysis

```
function BS ( List , StartIndex , end Index , target )
    Assert : (StartIndex and endIndex ) ≥ 0
    IF STARTINDEX > END INDEX THEN
        RETURN -1 Because target was not found
    ENDIF
    MIDDLEINDEX ← (STARTINDEX + (endIndex - startIndex) // 2)
    TMP ELEMENT ← the element found at middle INDEX
    IF TMP ELEMENT = target then
        return MiddleIndex
    ENDIF
    IF TMP ELEMENT < Target then
        RETURN BS ( List , middleIndex + 1 , endIndex , target )
    ENDIF
    RETURN BS ( List , StartIndex , middleIndex - 1 , Target )
```

$$T(n) = T\left(\frac{n}{2}\right) + 2$$

$$a=1 \quad k=0$$

$$b=2 \quad p=0$$

$$\log_b a = \log_2 1 = 0 = k$$

$$\Theta(n^k \log^{p+1} n)$$

Divide and Conquer Algorithms

Merge Sort

Merge Sort

- Pros:
 - Implements divide and conquer techniques
 - Like Binary Search algorithm, by nature, Merge Sort also follows a recursive pattern.
 - Time complexity of merge sort (worst, average and best cases) is $O(n \log n)$
 - Finds the middle, and divides the array in half. Then call itself until it merges the values
- Cons:
 - Typical implementations have Space Complexity $O(n)$. However, non-typical implementations (also stable) can be done with constant space complexity (in place)

Merge Sort Example

32	21	24	200	10	20	99	300	33	320
----	----	----	-----	----	----	----	-----	----	-----

Merge Sort

Recursive Pseudocode and Complexity Analysis

function mergeSort (List)

If the List is empty or it has only one element then

RETURN : List

MiddleIndex $\leftarrow (\text{Length List}) // 2$

LeftList $\leftarrow \text{mergeSort}(\text{a list with all the elements from Index 0 to the middle Index}) T\left(\frac{n}{2}\right)$

RightList $\leftarrow \text{mergeSort}(\text{a list with all the elements from Middle Index + 1 to the Last Index}) T\left(\frac{n}{2}\right)$

Return Merge (Left list, Right List) $\leftarrow \Theta(n)$ $n^1 \log^0 n = n$

$$T(n) = 2T\left(\frac{n}{2}\right) + n$$

$$a=2 \quad k=1 \quad \log_2 a = \log_2 2 = 1 = k$$

$$b=2 \quad p=0 \quad 2.1 \rightarrow \Theta(n^k \log^{p+1} n) \rightarrow \Theta(n^1 \log^0 n) \rightarrow \Theta(n \log n)$$

Left list

30	30	32	100
----	----	----	-----

1	31	32	99
---	----	----	----

Right List

$\Theta(n)$

Function Merge (LeftList, RightList)

- 1 Initialize : newList \leftarrow of size LeftList + RightList
- 2 Initialize : leftList pointer \leftarrow 0 , RightList Pointer \leftarrow 0
- 2 Initialize : Length of Left list \leftarrow Len(LeftList), Length of Right list \leftarrow Len(RightList)
- n While (LeftList pointer $<$ LengthofLeftList and Right Listpointer $<$ LengthofRightList)

IF (element at leftList pointer \leq element at RightList pointer)

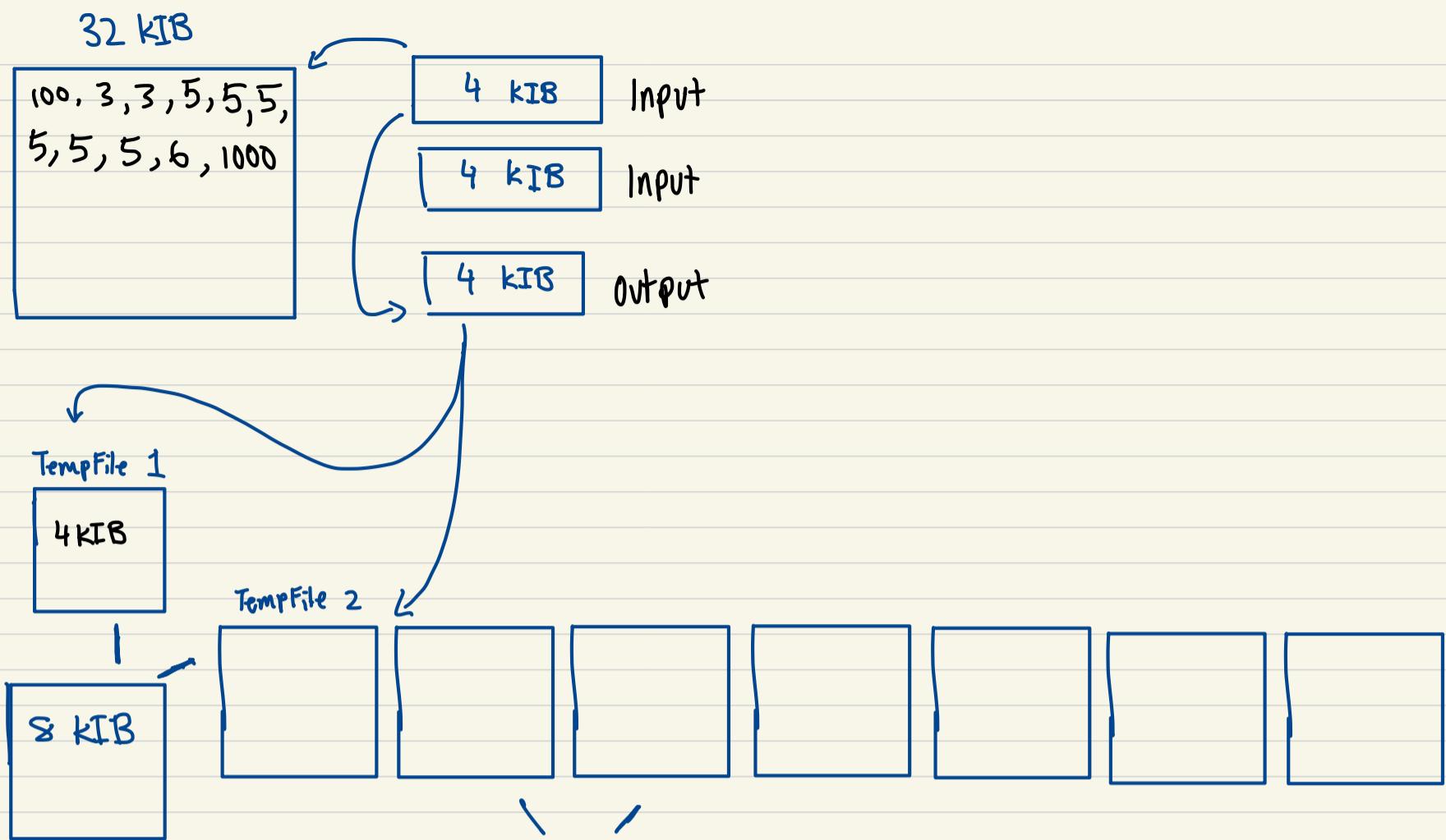
Newlist \leftarrow Element at left list pointer
Increment : Leftlist pointer + 1

Else:

Newlist \leftarrow Element at Right pointer
Increment : RightListPointer + 1

End While

n While (leftList pointer $<$ Length of Left List)
n While (RightListPointer $<$ Length of Right List)



Divide and Conquer Algorithms

Quick Sort

Quick Sort

- Pros:
 - Implements divide and conquer techniques (like merge sort). Is the most used algorithm to sort data
 - Like Merge Sort, quick sort also follows a recursive pattern.
 - Time complexity of merge sort in best and average cases is $O(n \log n)$ and the worst case is $O(n^2)$. Note that the worst case rarely happens
 - Works around a chosen pivot, and recursively, creates partitions in place
 - Depending on the task, sometimes, better than Merge Sort since Quick Sort space complexity is constant $O(1)$ (algorithm is implemented in place)
- Cons:
 - Not stable
 - Many different versions where pivot is initially selected from different indexes. (not really a con, but...)

Quick Sort

Pivot

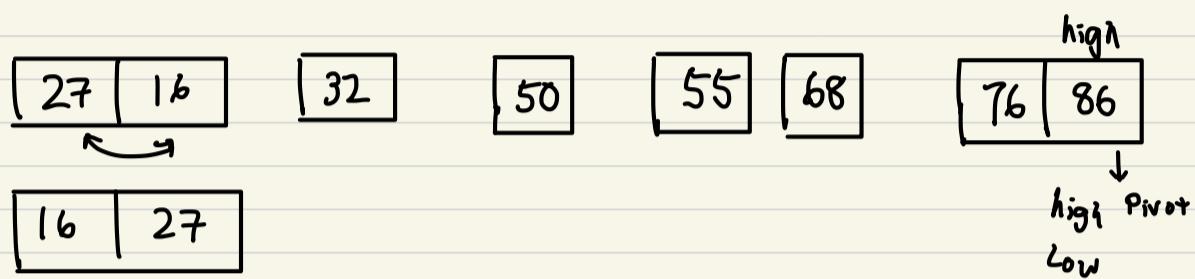
0	1	2	3	4	5	6	7↓
76	27	16	68	86	55	32	50

Merge sort

27	16	32	50	86	55	76	68
↓							

If high is lower than 50 then
Low + 1 Index and high swaps

27	16	32	50	55	68	76	86
↓							



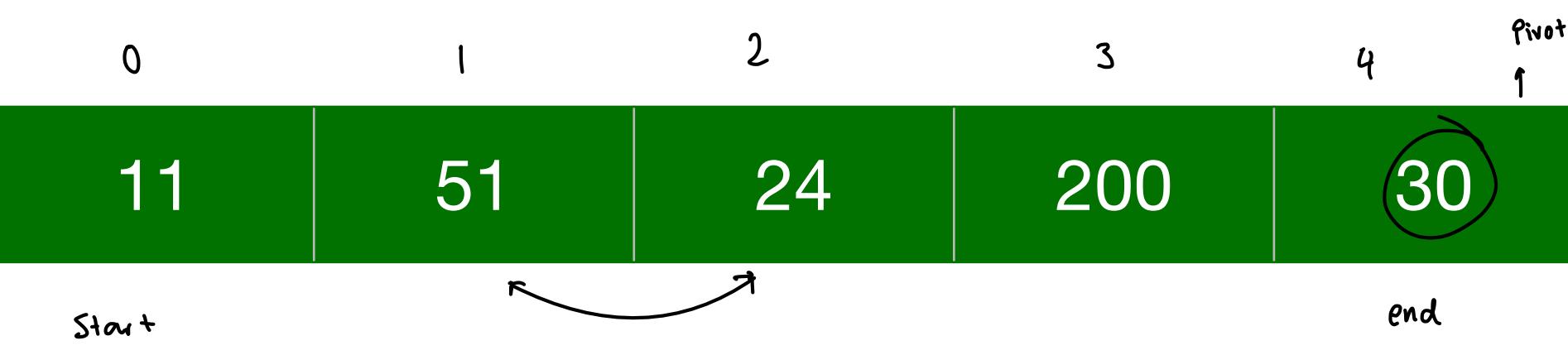
16	27	32	50	55	68	76	86
----	----	----	----	----	----	----	----

Quick Sort Example

```

Function Partition (List, start , end)
Initialize : Low <- (start - 1)
Initialize : Pivot <- (List element in List)
Initialize : high <- start
For each index high to end -1:
  If(ElementAt high < pivot)
    Increment : Low +1
    Swap (ElementAtLow , ElementAt high)
  Increment : Low +1
Swap(pivot, ElementAt Low)
Return Low
  
```

$O(n)$



```

Function Quick Sort ( List , start , end )
If start ≤ end :
IndexOldPivot: Partition (List, start, end)
QuickSort (List, start, IndexOldPivot - 1) → +( $\frac{n}{2}$ )
QuickSort (List, IndexOldPivot + 1, end) → +( $\frac{n}{2}$ )
  
```

$$t(n) = 2t\left(\frac{n}{2}\right) + n$$

$$a = 2$$

$$b = 2 \quad \log_b a = \log_2 2 = 1 = k$$

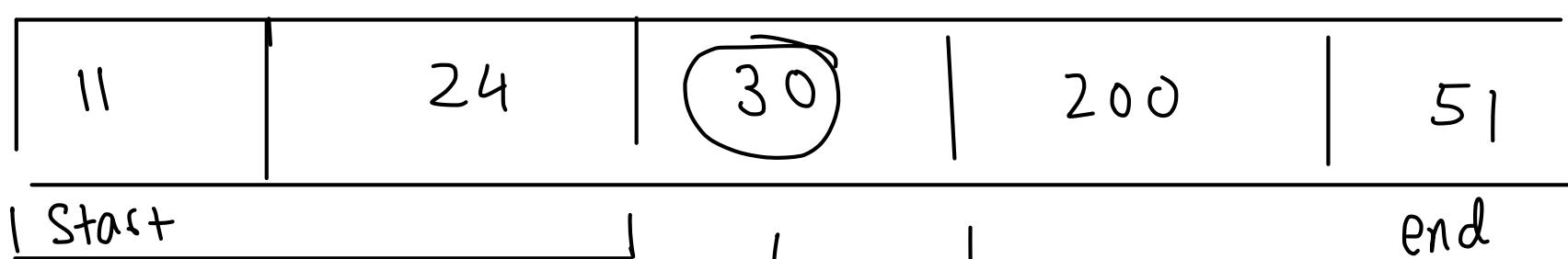
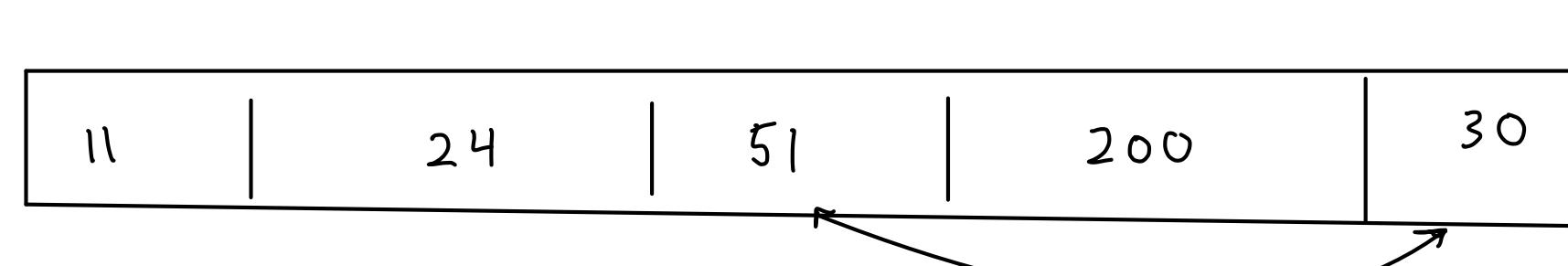
$$k = 1$$

$$P = 0$$

Case 2.1

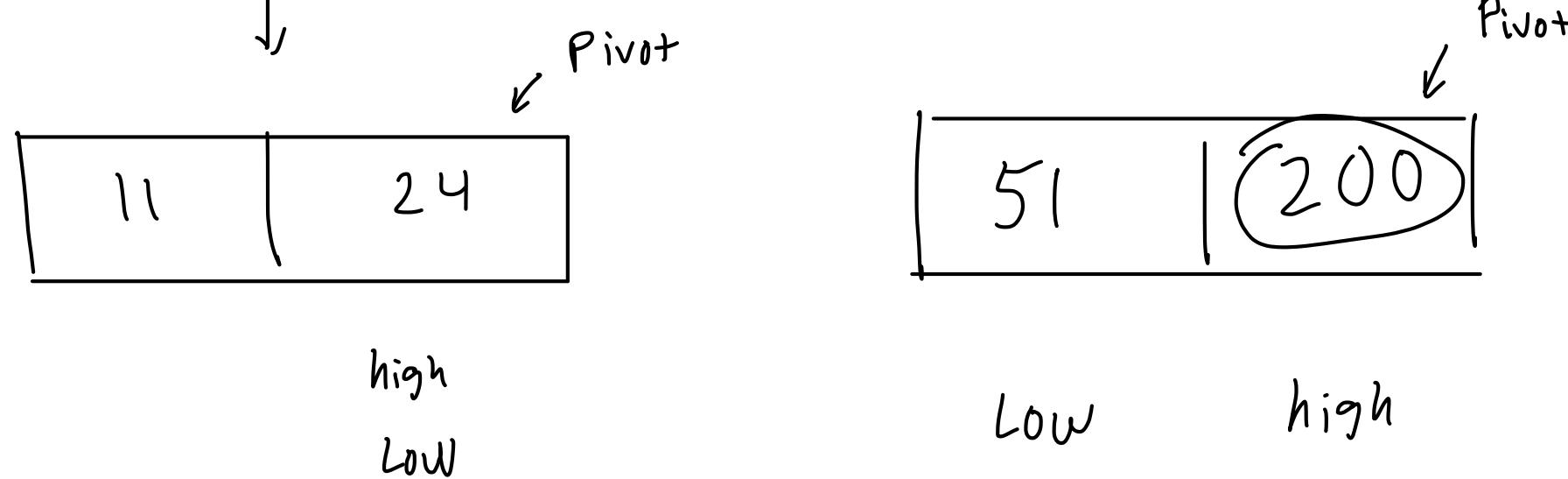
$$\Theta(n^k \log^{P+1} n)$$

$$\Theta(n \log n)$$



Start end

In place



Sony WH-1000XM4

Quick Sort

Recursive Pseudocode and Complexity Analysis

```
quick_sort(array, start, end) {  
    }  
}
```

```
partition(array, start, end) {  
    }  
}
```

Divide and Conquer Algorithms

Closest Pair of Points

Quick Sort Worst, Best, Average Cases

- Worst Case: when pivot is the smaller or larger element. Which rarely happens, or only happens when the array is already sorted

$$T(n) = T(n - 1) + n - 1; \quad T(0) = 0; \text{ for } n > 0$$

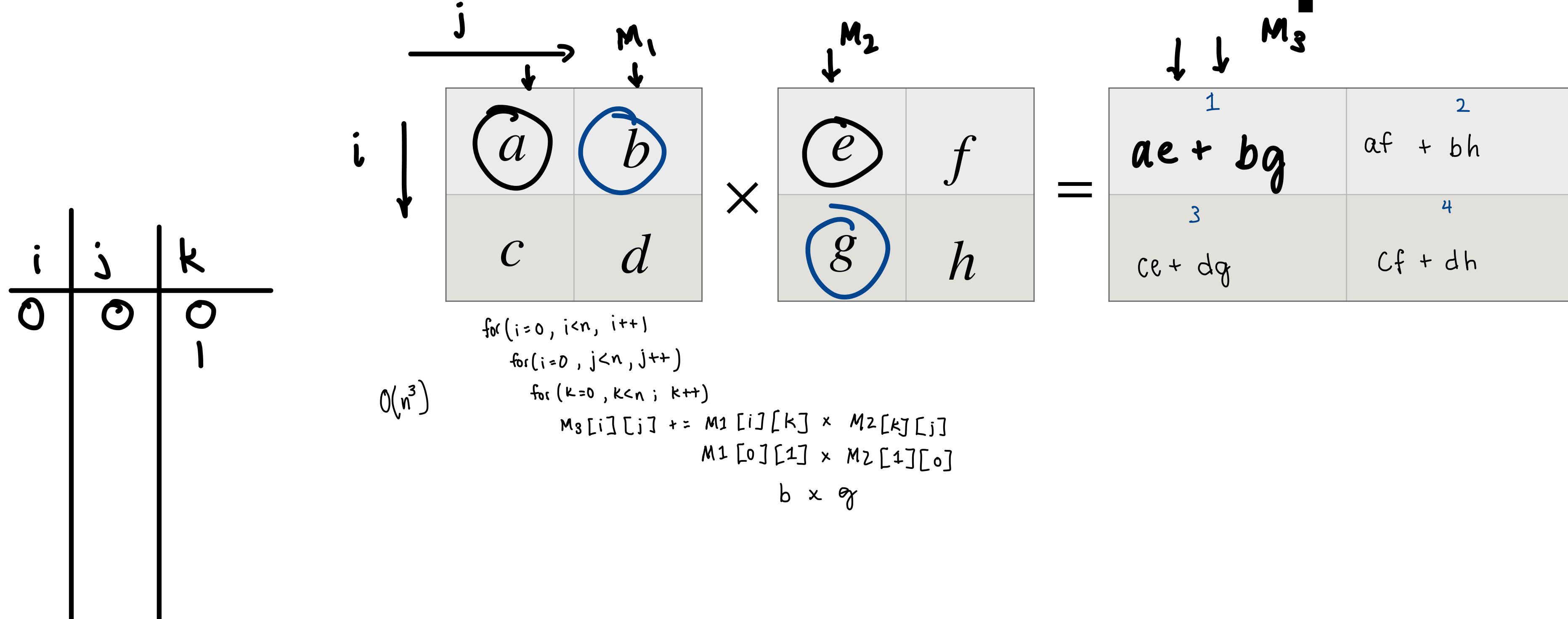
$$T(n) = \frac{n(n - 1)}{2} = O(n^2)$$

- Best and Average cases time complexities are $O(n \log n)$ like merge sort

Strassen's Algorithm

- In this topic, we'll cover the following approaches
 - Naive Matrix Multiplication Method
 - Divide and Conquer Matrix Multiplication Approach
 - Strassen's Matrix Multiplication Method.
 - Then, we'll analyze the time complexity and space complexity of such algorithms.

Naive Matrix Multiplication



Divide and Conquer Matrix Multiplication

$$\begin{array}{c}
 \begin{array}{cc}
 \begin{array}{c} a \\ \hline \begin{array}{|c|c|c|c|} \hline A1 & B1 & C1 & D1 \\ \hline E1 & F1 & G1 & H1 \\ \hline I1 & J1 & K1 & M1 \\ \hline N1 & L1 & O1 & P1 \\ \hline \end{array} \end{array} & \begin{array}{c} b \\ \times \end{array} \\
 \end{array} \quad \begin{array}{cc}
 \begin{array}{c} e \\ \hline \begin{array}{|c|c|c|c|} \hline A2 & B2 & C2 & D2 \\ \hline E2 & F2 & G2 & H2 \\ \hline I2 & J2 & K2 & M2 \\ \hline N2 & L2 & O2 & P2 \\ \hline \end{array} \end{array} & \begin{array}{c} f \\ = \end{array} \\
 \end{array} \quad \begin{array}{c}
 \begin{array}{|c|c|} \hline ae + bg & af + bh \\ \hline ce + dg & cf + dh \\ \hline \end{array} \end{array}$$

Function MM(M_1, M_2, n):

If $n \leq 2$ then
 $\{ ae + bg$
 $af + bh$
 $ce + dg$
 $cf + dh \}$
 $O(1)$

$n = \frac{n}{2}$
 $\sqrt{MM(a,e)} + \sqrt{MM(b,g)}$
 $\sqrt{MM(a,f)} + \sqrt{MM(b,h)}$
 $\sqrt{MM(c,e)} + \sqrt{MM(d,g)}$
 $\sqrt{MM(c,f)} + \sqrt{MM(d,h)}$

$$\begin{aligned}
 T(n) &= 8 + \left(\frac{n}{2}\right)^2 + 4n^2 \\
 a &= 8 & k &= 2 & \text{Case 1} \\
 b &= 2 & p &= 0 \\
 \log_b a &= \log_2 8 = 3 > k \\
 O(n^{\log_b a}) &= O(n^3)
 \end{aligned}$$

Strassen's Method

$$\begin{array}{|c|c|} \hline A & B \\ \hline C & D \\ \hline \end{array} \times \begin{array}{|c|c|} \hline E & F \\ \hline G & H \\ \hline \end{array} = \begin{array}{|c|c|} \hline \overbrace{AE + BG}^{} & \overbrace{AF + BH}^{} \\ \hline p5 + p4 - p2 + p6 & p1 + p2 \\ \hline p3 + p4 & p1 + p5 - p3 + p7 \\ \hline \overbrace{CE + DG}^{} & \overbrace{CF + DH}^{} \\ \hline \end{array}$$

↓

$$p1 = A(F - H)$$

$$p2 = (A + B)H$$

$$p3 = (C + D)E$$

$$p4 = D(G - E)$$

$$p5 = (A + D)(E + H)$$

$$p6 = (B - D)(G + H)$$

$$p7 = (A - C)(E + F)$$

$$T(n) = 7T\left(\frac{n}{2}\right) + n^2$$

$$a = 7 \quad k = 2$$

$$b = 2 \quad P = 0$$

$$\log_2 7 = \frac{x+3}{2} = 7$$

$$x > 2$$

$$x < 3$$

Closest Pair of Points

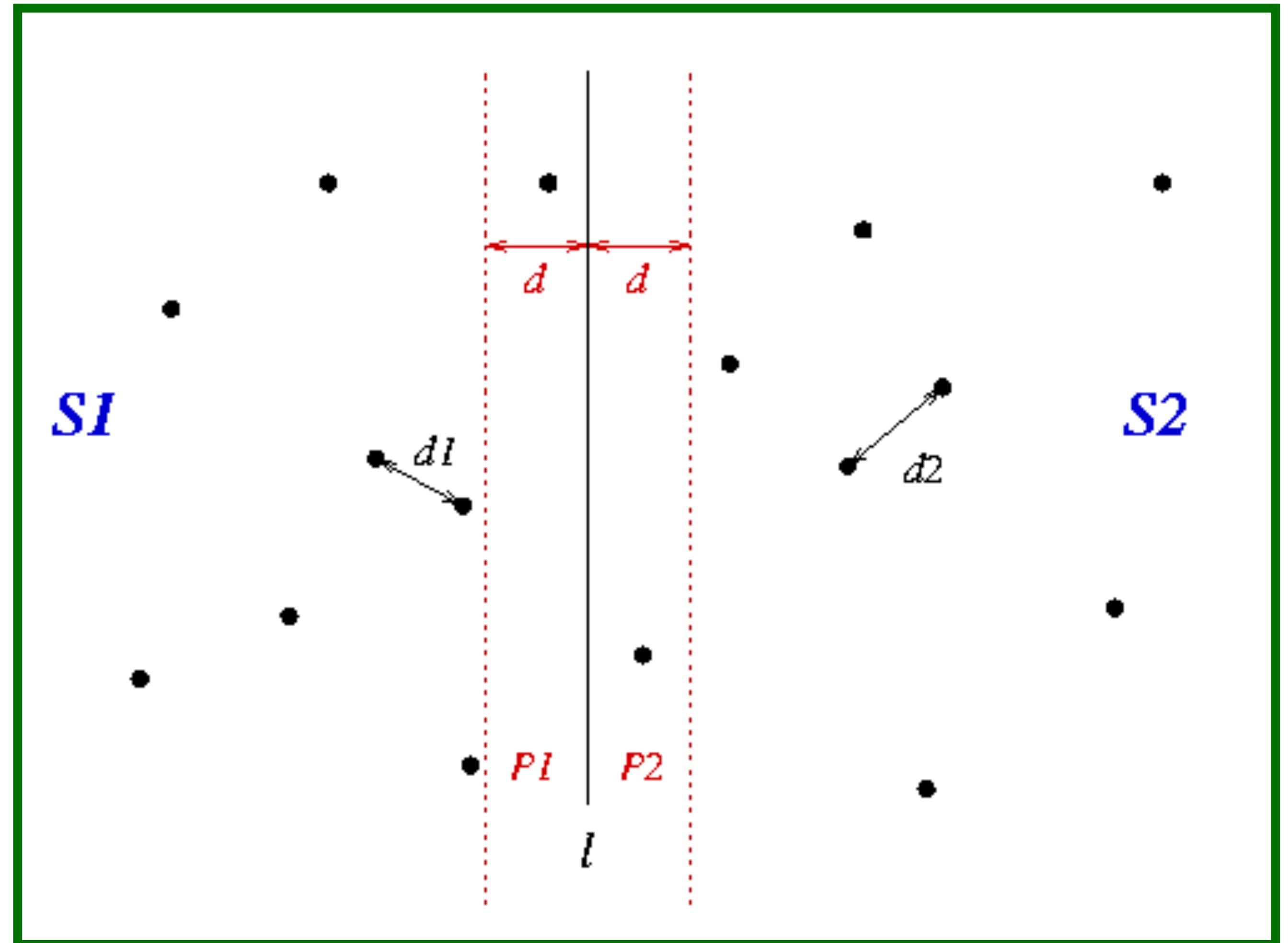
- Used to solve many computational geometric problems, as well as, many algorithms that require unsupervised data
- Time complexity using brute force is $O(n^2)$ which can be optimized to $O(n \log^2 n)$, and then, $O(n \log n)$ using divide and conquer techniques.
- Widely used in natural language processes (search engines domain) and data science.

not going to be on final

Closest Pair of Points Example

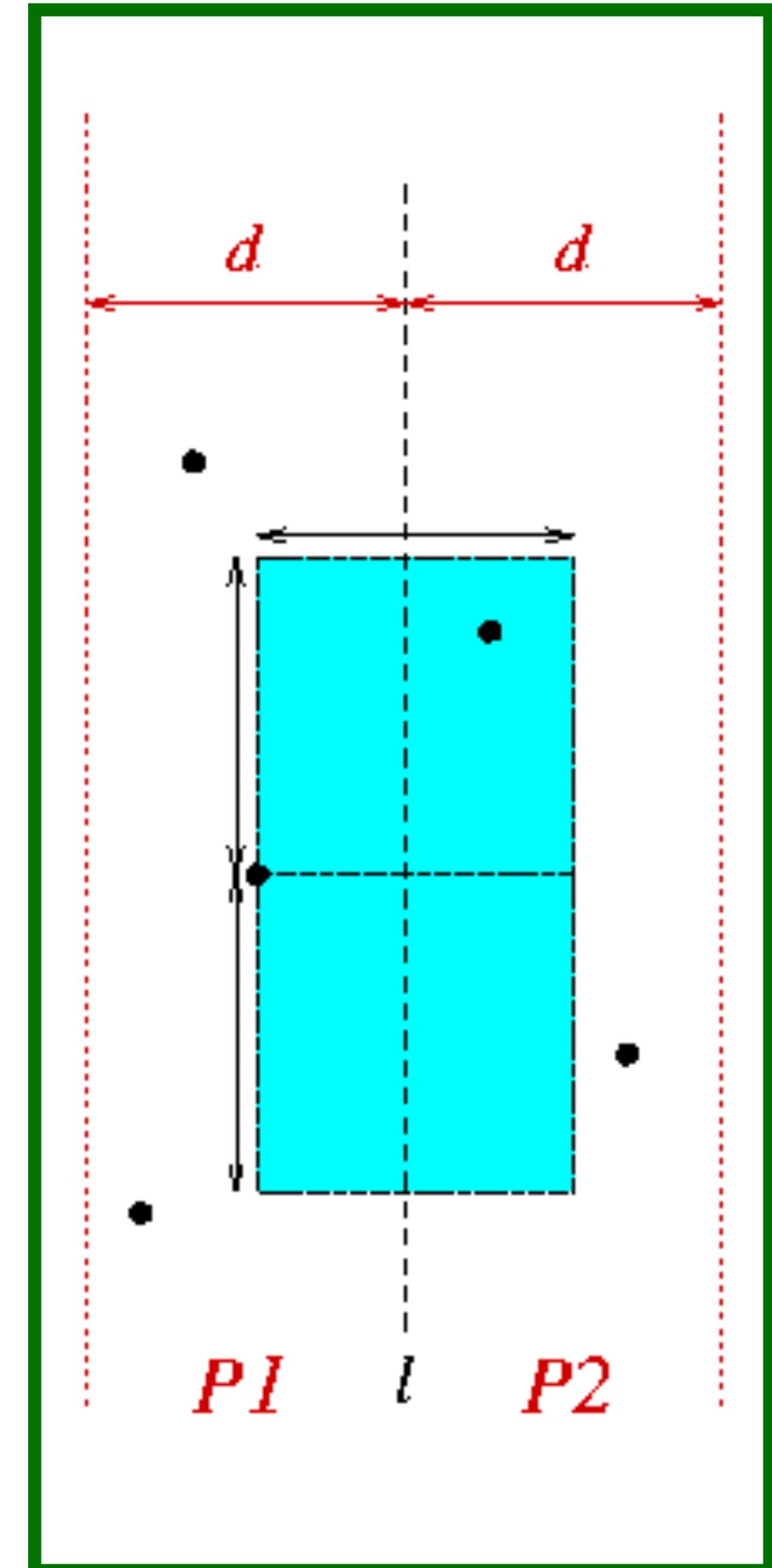
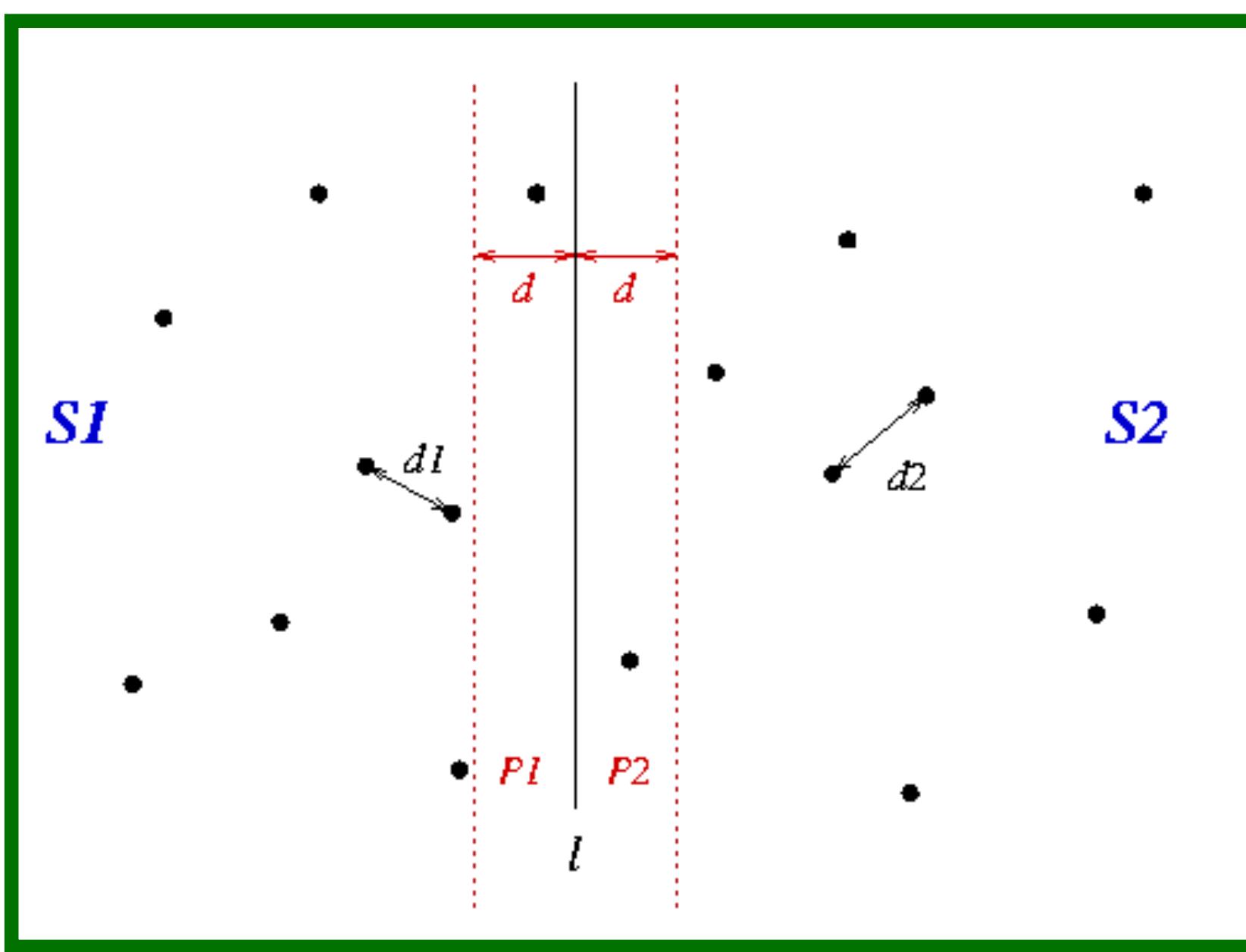
1. Put all the points in an array sorted by x distance
2. Half the array, so each array (S_1, S_2) has size $l = n/2$
3. Find the closest distance between two points in each of the arrays: d_1 and d_2 , recursively
4. Compute the minimum distance of d_1 and d_2 as $d = \min(d_1, d_2)$. The distance between two points is:

$$\|pq\| = \sqrt{(p_x - q_x)^2 + (p_y - q_y)^2}$$



Closest Pair of Points Example

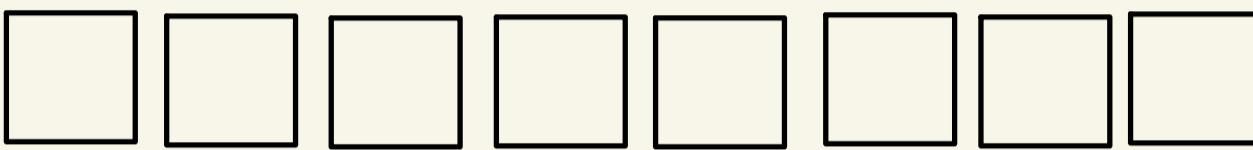
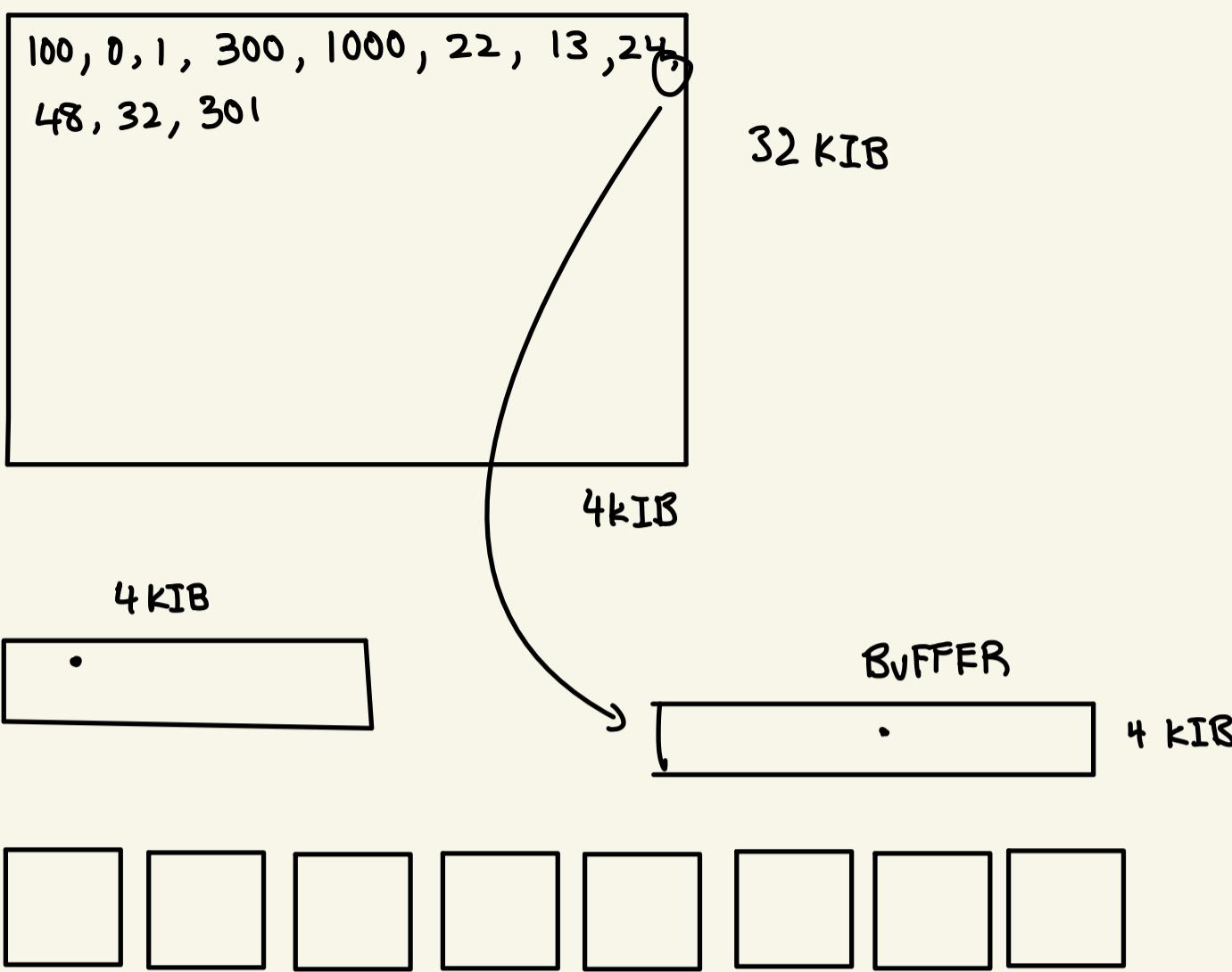
5. Eliminate points that lie farther than d apart from l (strip)
6. Sort the remaining points by their $y - coordinate$
7. Compute the distance of points from step 6 to its x closest neighbors.
8. If any of those distances is less than d , then update d



Closest Pair of Points Time Complexity

- Step 4 of this algorithm (sort) is $O(n \log n)$. However, for each recursive call, we need to half the array by $l = n/2$. This will increase the time complexity to $O(\log n * n \log n) = O(n \log^2 n)$
- Can it be further optimized to $O(n \log n)$? **YES!**
 - Steps 3 and 4 are slightly modified to become one single step:
 - Recursively compute the distance in $(S_1 \text{ and } S_2)$ returning the points in each set sorted in order by y-coordinates
 - In step 6, we only need to merge $(S_1 \text{ and } S_2)$ in $O(n)$
 - These optimizations in the algorithm will improve its time complexity to $O(n \log n)$

HW #2 Problem 2

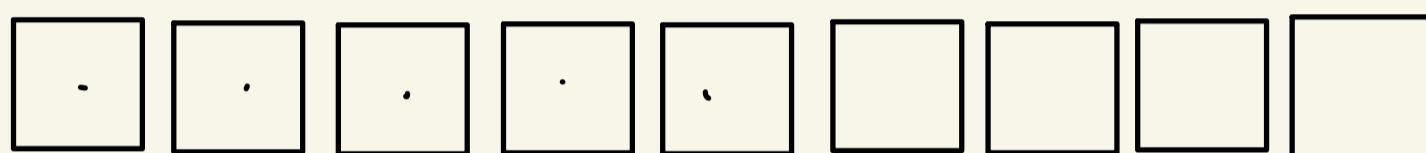


33 kIB

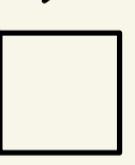
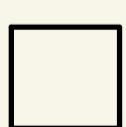
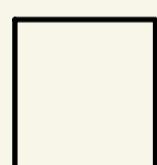
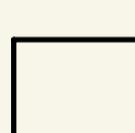
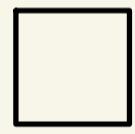
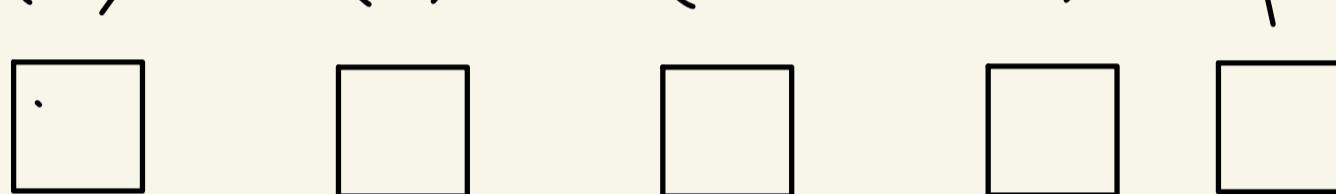


2^k
 $n \rightarrow \text{size}$

4kIB



1 kIB



32kIB

2, 1, 1, 1, 3, 5, 8, 9,
16, 15, 15, 100, 99, 30, 31,
29

Quick sort

Input 1

2	1	1	1
---	---	---	---

Input 2

3	5	8	9
---	---	---	---

Output 1

1	1	1	1	2
---	---	---	---	---

F₁

1	1	1	2
---	---	---	---

F₂

3, 5, 8, 9

--

4 kIB

P₁ P₂ P₃ P₄

1	1	1	2
---	---	---	---

3	5	8	9
---	---	---	---

1	1	1	1	2
---	---	---	---	---

1, 1, 1, 2, 3, 5,
8, 9

8kIB

X X X X

1	1	1	2
---	---	---	---

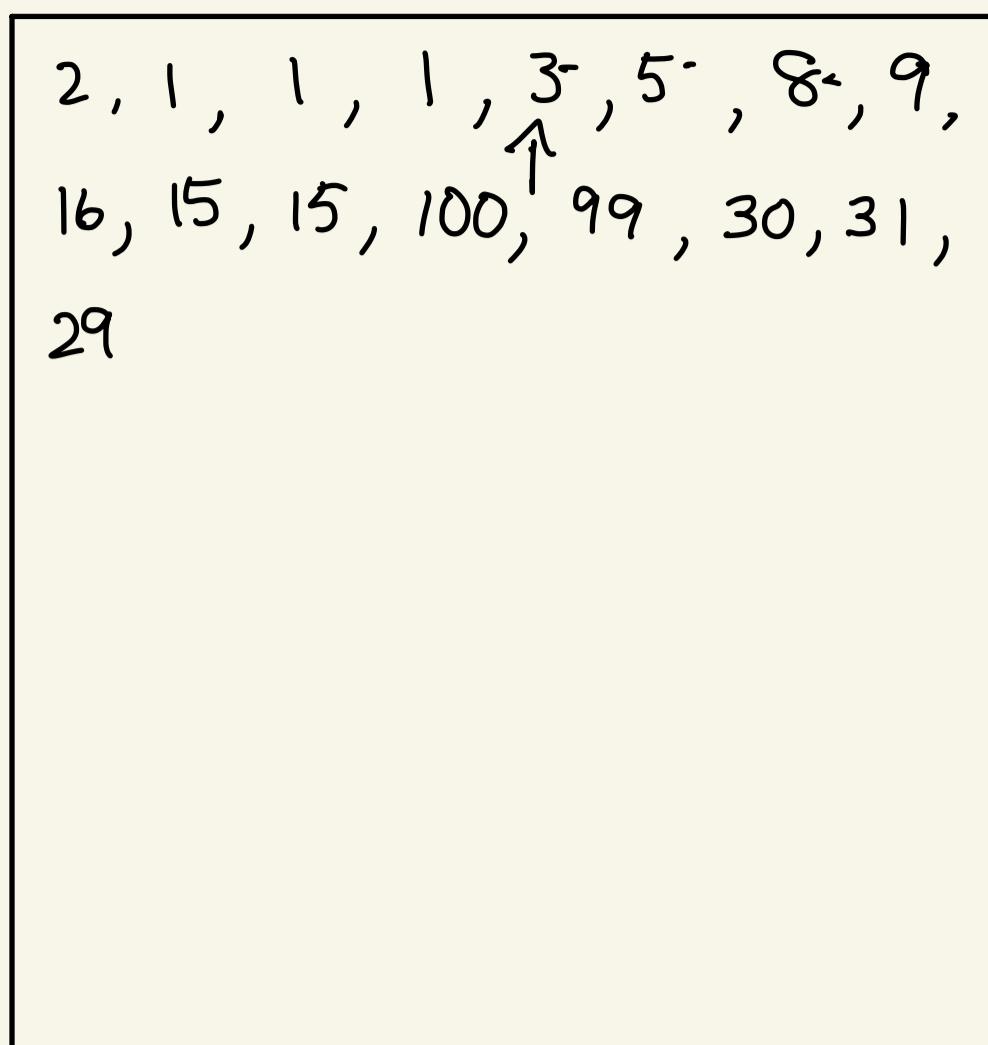
1	2	2	4
---	---	---	---

2	2	2	4
---	---	---	---

1, 2, 2, 4, 5,
5, 8, 8

32 kIB

Quick sort



Input 1

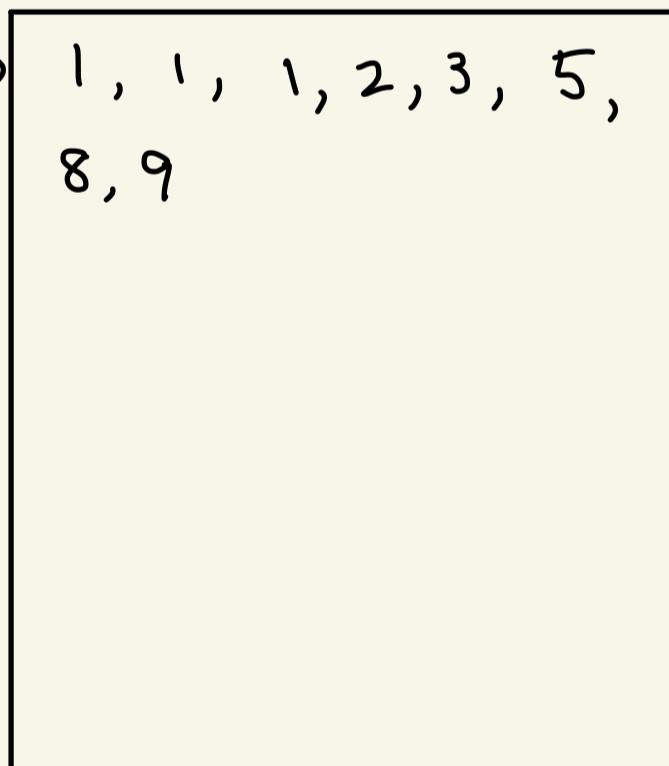
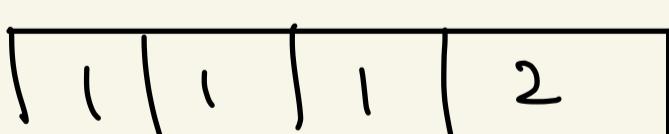
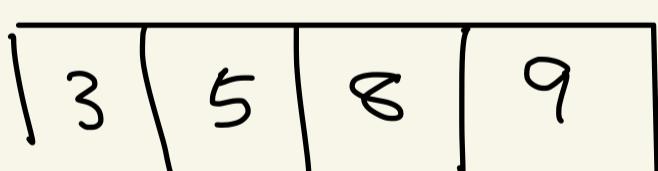
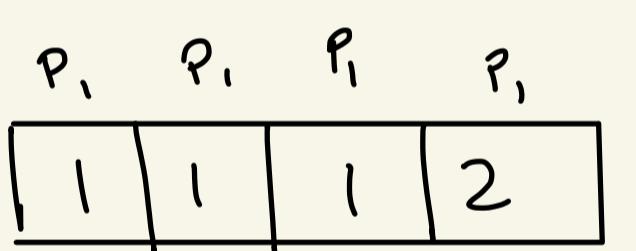
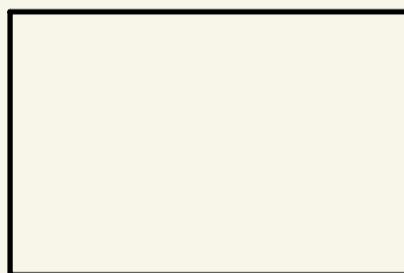
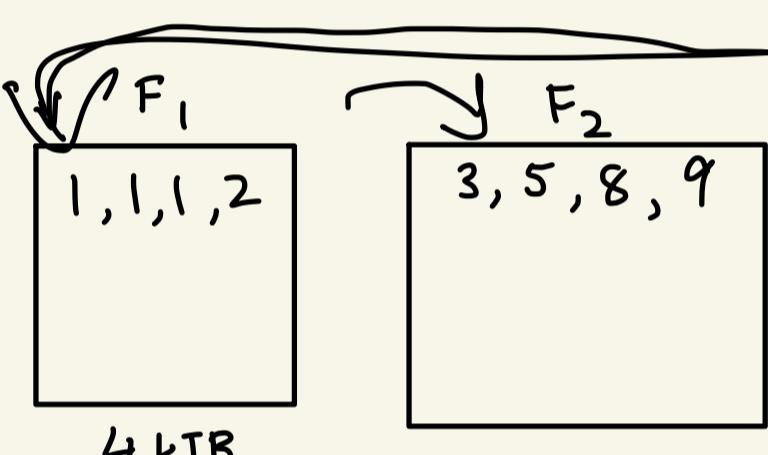
2	1	1	.	1
---	---	---	---	---

Input 2

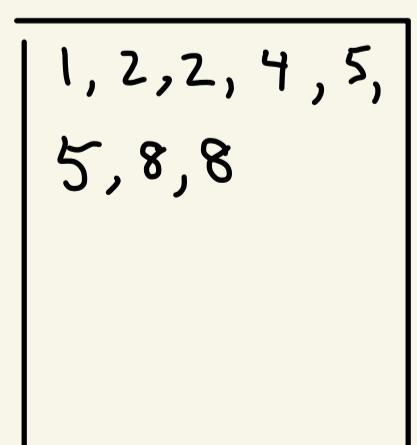
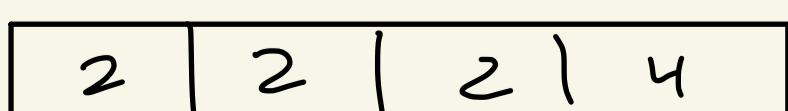
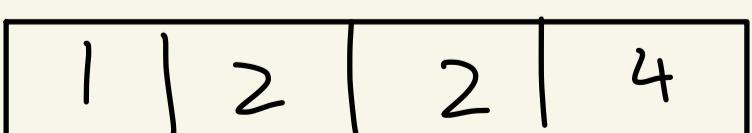
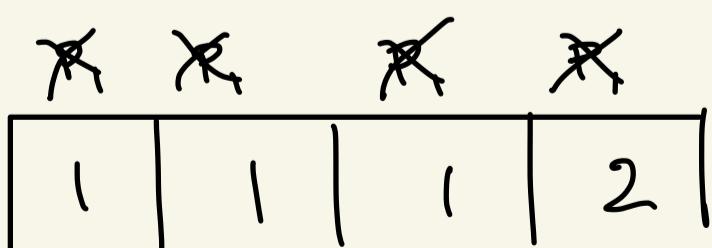
3	5	8	9
---	---	---	---

Output 1

1	1	1	1	2
---	---	---	---	---

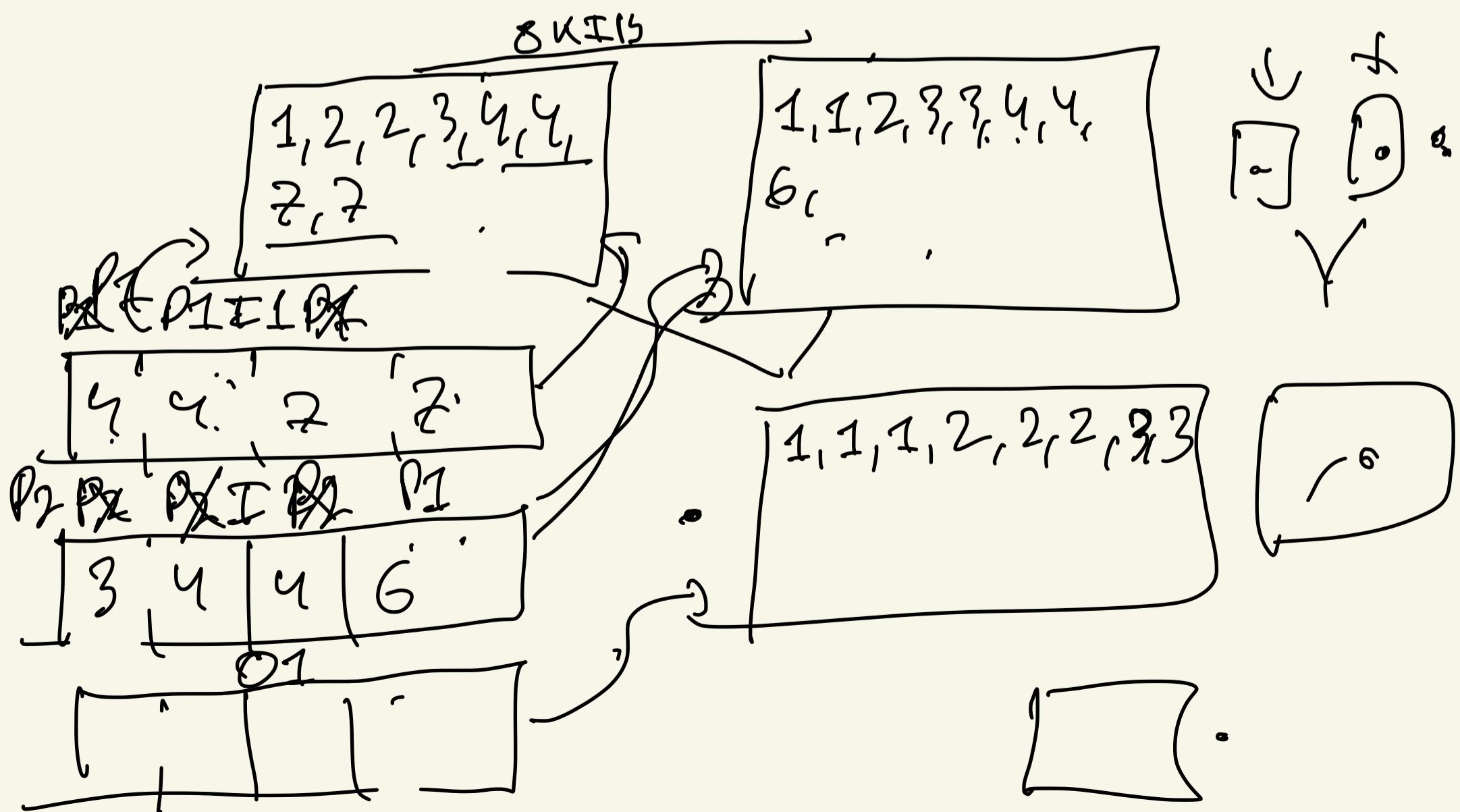
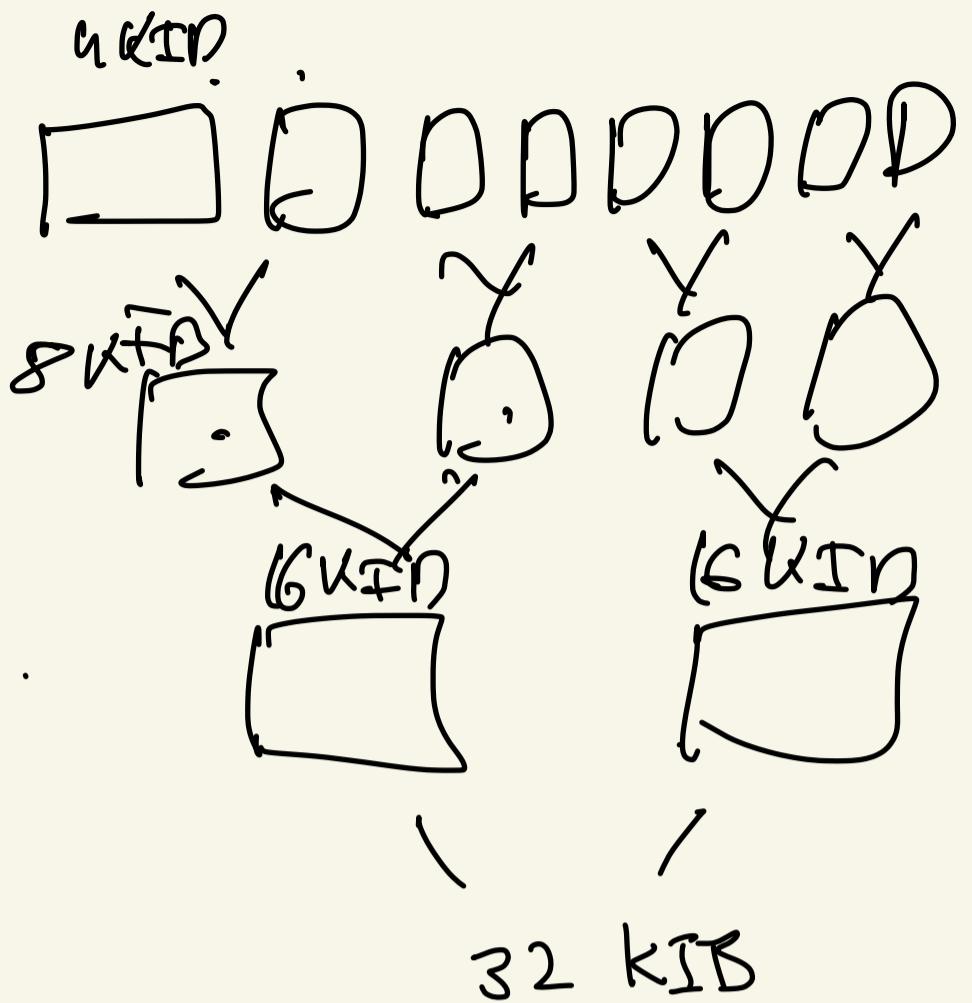


8 kIB



32 KIB

2, 1, 1, 1, 3, 5, 8, 9
16, 15, 15, 100, 99, 30, 31,
29



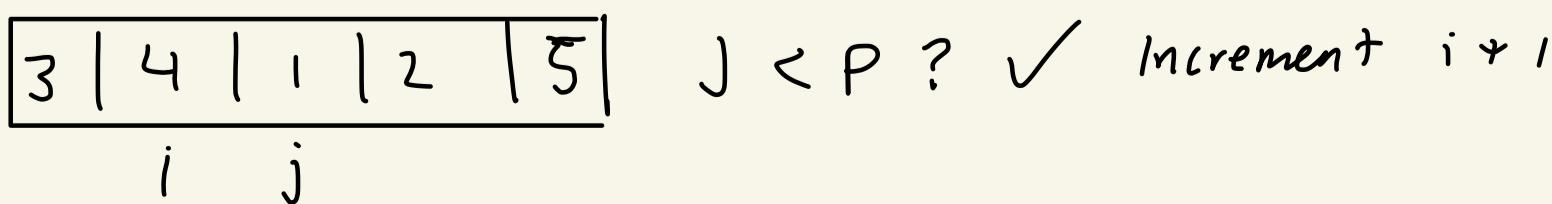
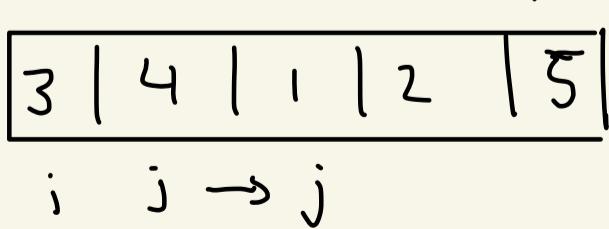
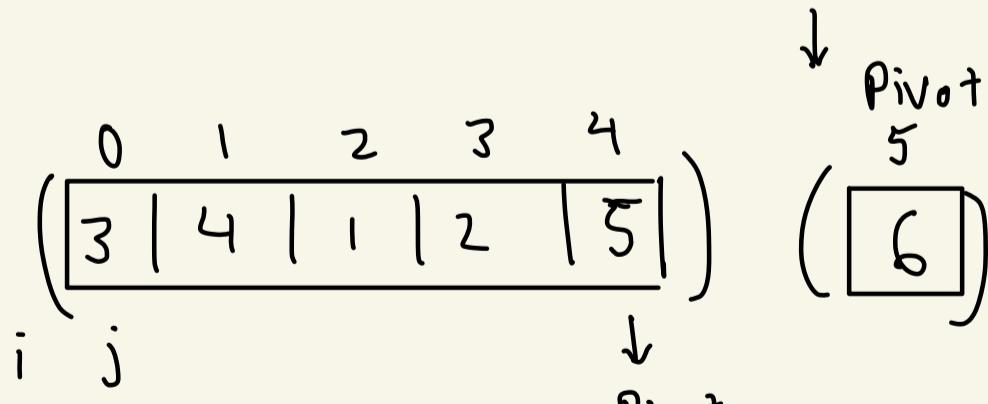
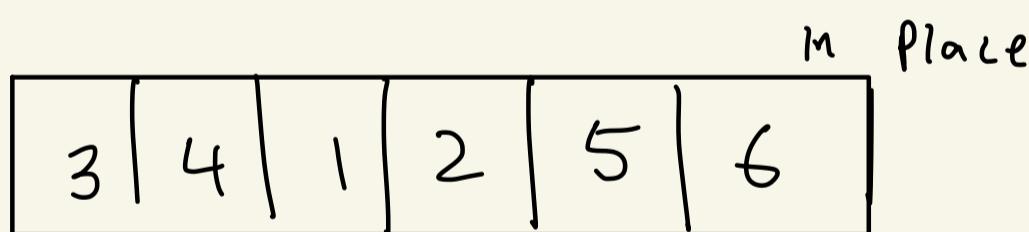
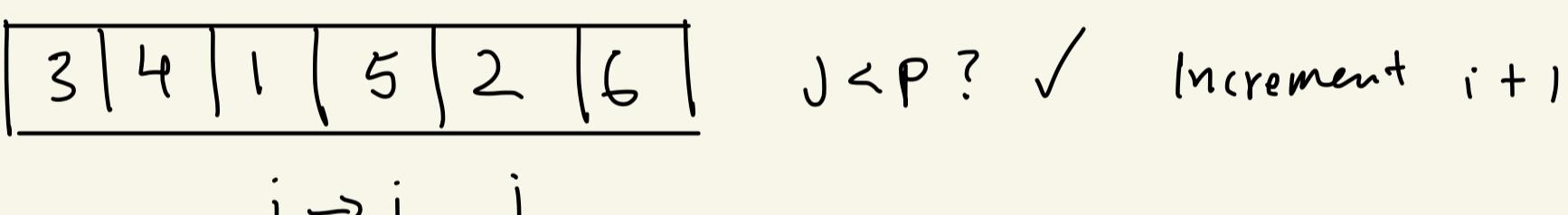
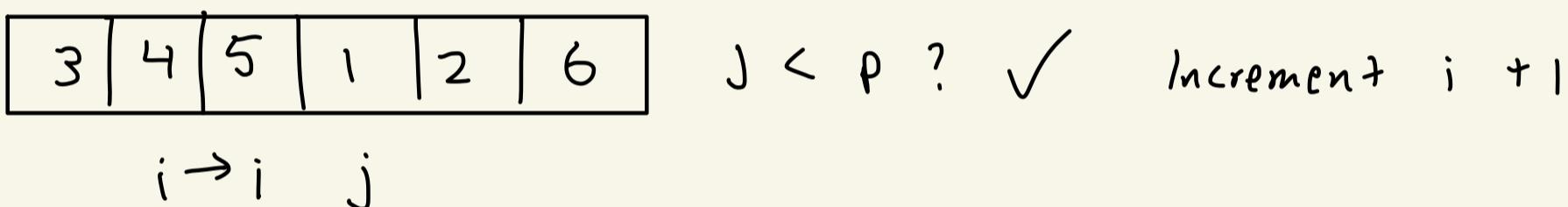
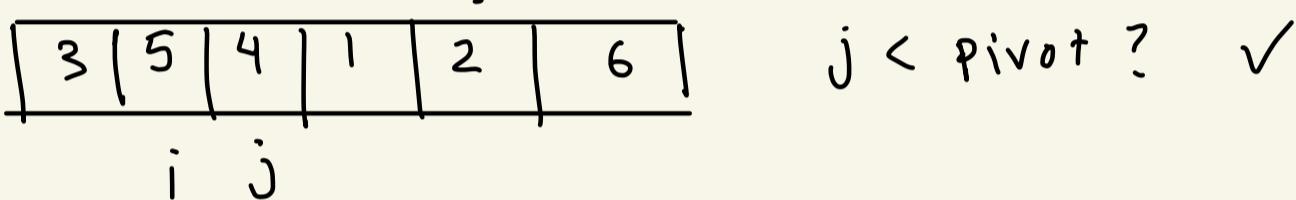
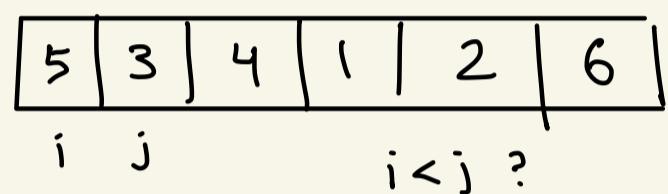
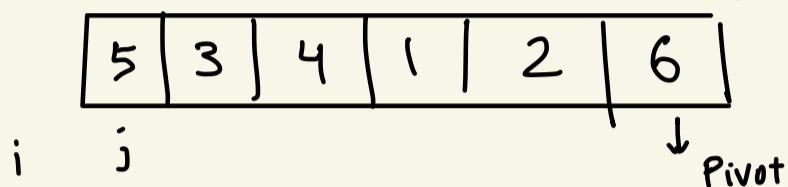
Quicksort $O(n^2)$

$$T(n) = n \log n + n^2$$

Mergesort $O(n \log n)$

Quick Sort example with 6 as highest

In place (highest element)



<u>3 4 1 2 5 </u>	J < P ? ✓ Increment i + 1
i j	

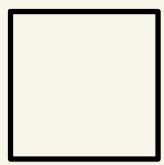
<u>3 1 4 2 5 </u>	J < P ? ✓ Increment i + 1
i → i j	

<u>3 1 2 4 5 </u>	J < P ? ✓ Increment i + 1
	↓ pivot

<u>3 1 2 4 5 </u>	J < P ✓
i j	↓ pivot

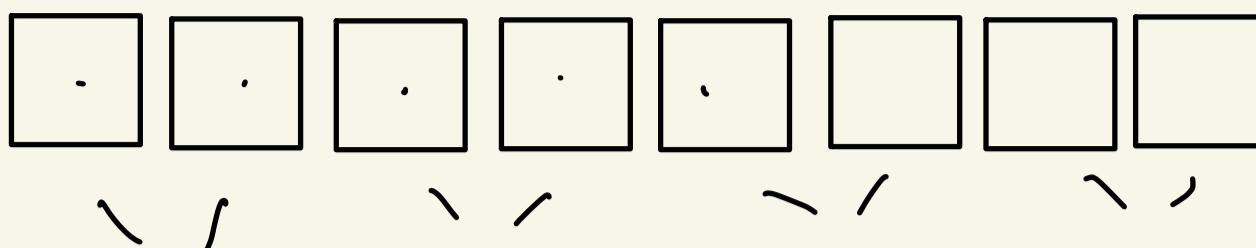
<u>1 1 3 2 4 5 </u>	J < P ✓
i j	

(<u>1 2 3 4 5 </u>)	(<u>6</u>)
---	----------------------------	---	---	----------	---

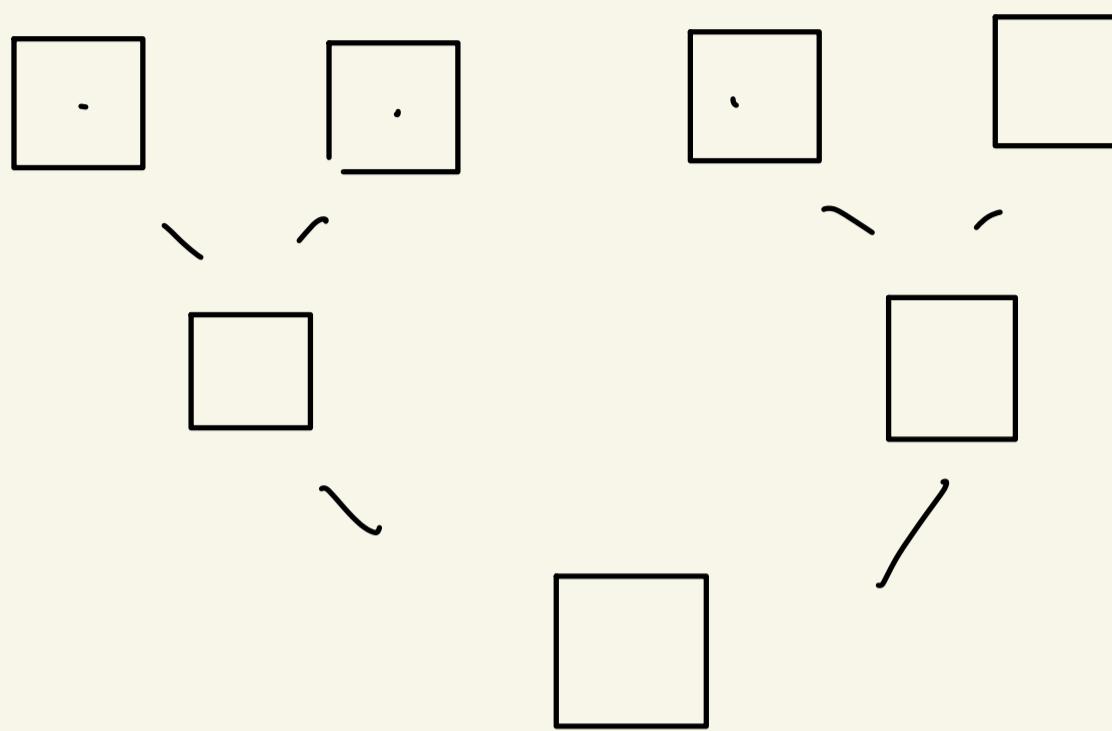


32 KIB

Pass #1



Pass #2



32 KIB

CSC510 Analysis of Algorithms

Backtracking Algorithms

Jose Ortiz
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Overview

- Sum of Subsets
- N-Queens
- Graph Coloring

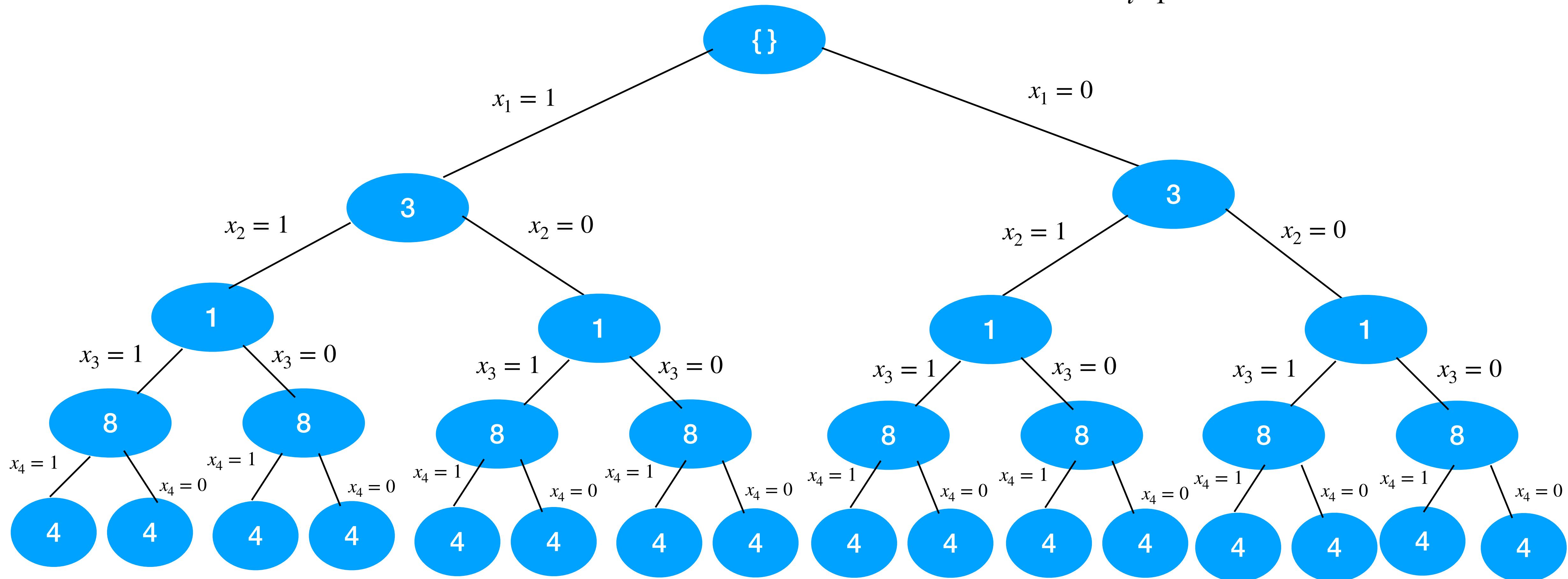
What are backtracking algorithms ?

- In a brute force approach, all the solutions (optimal and non-optimal) are computed and therefore, taken into consideration. On the other hand, in backtracking algorithms, all the solutions are computed, but abandoned if the solution cannot be completed.
- Backtracking considers the concept of “partial candidate solution”. That’s it, it builds candidates to the solutions.
- In general, backtracking algorithms use the depth-first search method. However, more optimized backtracking approaches such as Branch and Bound use the best-case method.

Backtracking Sum of Subsets

Sum of Subsets Brute Force Approach

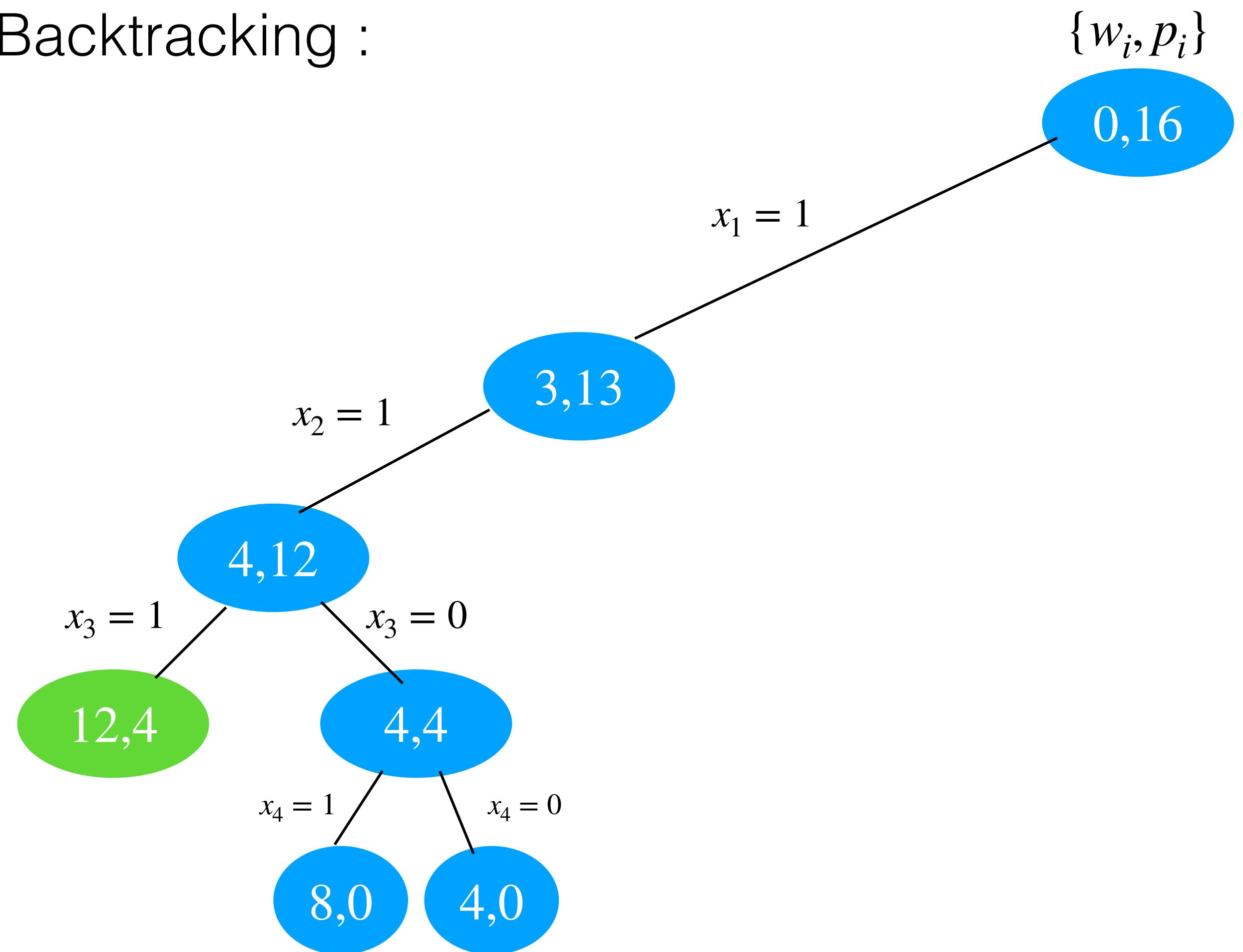
Given the following set of items. $S = \{3, 1, 8, 4\}$ find all its subsets s where $\sum_{i=1}^n s_i = m; m = 12$



Sum of Subsets Backtracking

Given the following set of items. $S = \{3,1,8,4\}$ find all its subsets s where $\sum_{i=1}^n s_i = m; m = 12$

Backtracking :



$$\sum_{i=1}^n s_i = m; m = 12$$

Bounds

$$\sum_{i=1}^n w_i x_i \leq m \quad \sum_{i=1}^n w_i p_i \geq 0$$

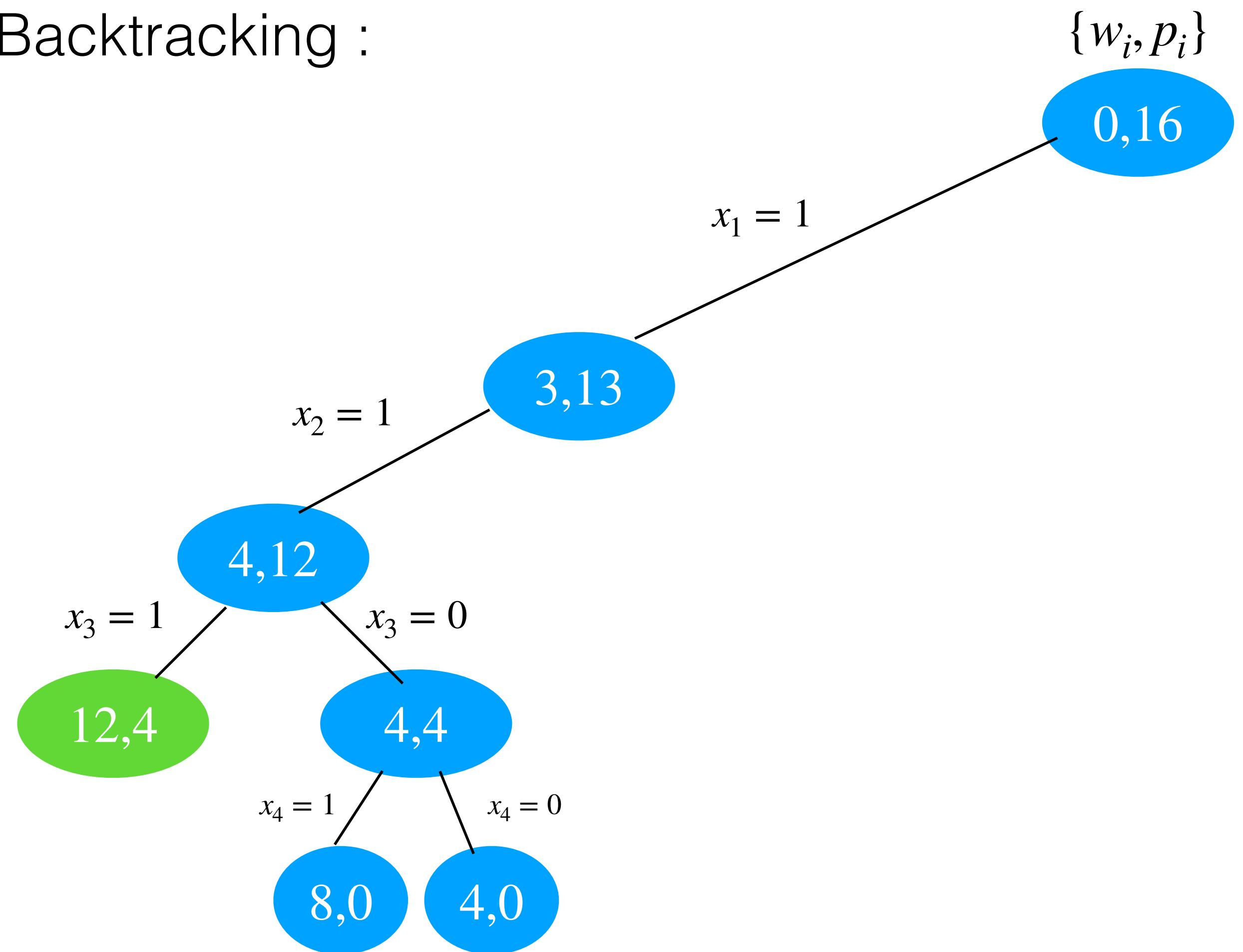
Operations

1. $x_i = 1$ (*included*)
 $x_i = 0$ (*not included*)
2. Add X_i to W_i
3. Subtract X_i from P_i

Sum of Subsets Backtracking

Given the following set of items. $S = \{3,1,8,4\}$ find all its subsets s where $\sum_{i=1}^n s_i = m; m = 12$

Backtracking :



$$\sum_{i=1}^n w_i x_i \leq m \quad \sum_{i=1}^n w_i p_i \geq 0$$

Bounds

$$\sum_{i=1}^n w_i x_i \leq m \quad \sum_{i=1}^n w_i p_i \geq 0$$

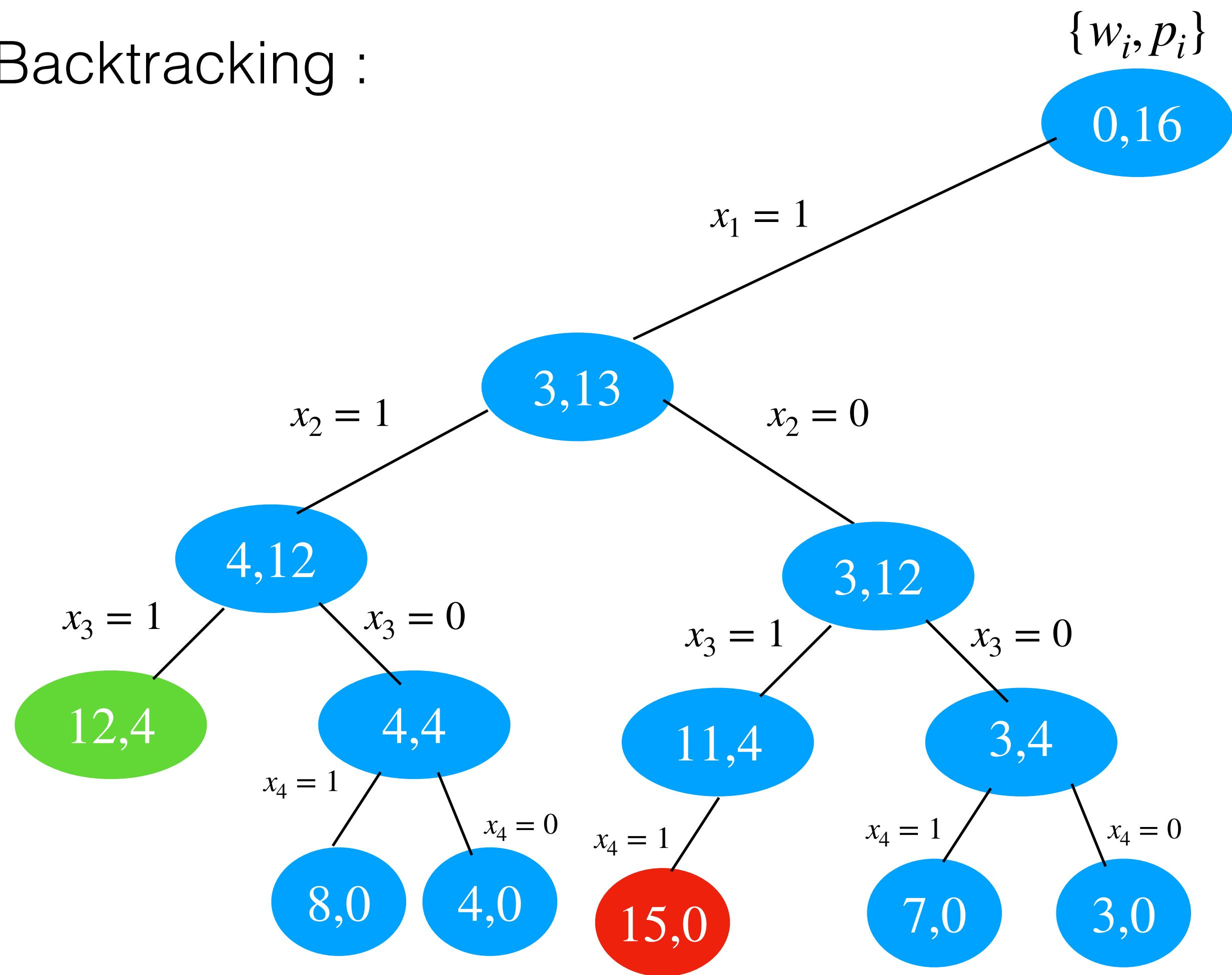
Operations

1. $x_i = 1$ (*included*)
 $x_i = 0$ (*not included*)
2. Add X_i to W_i
3. Subtract X_i from P_i

Sum of Subsets Backtracking

Given the following set of items. $S = \{3,1,8,4\}$ find all its subsets s where $\sum_{i=1}^n s_i = m; m = 12$

Backtracking :



Bounds

$$\sum_{i=0}^n x_{i+1} \leq m$$

$$\sum_{i=1}^n w_i p_i \geq 0$$

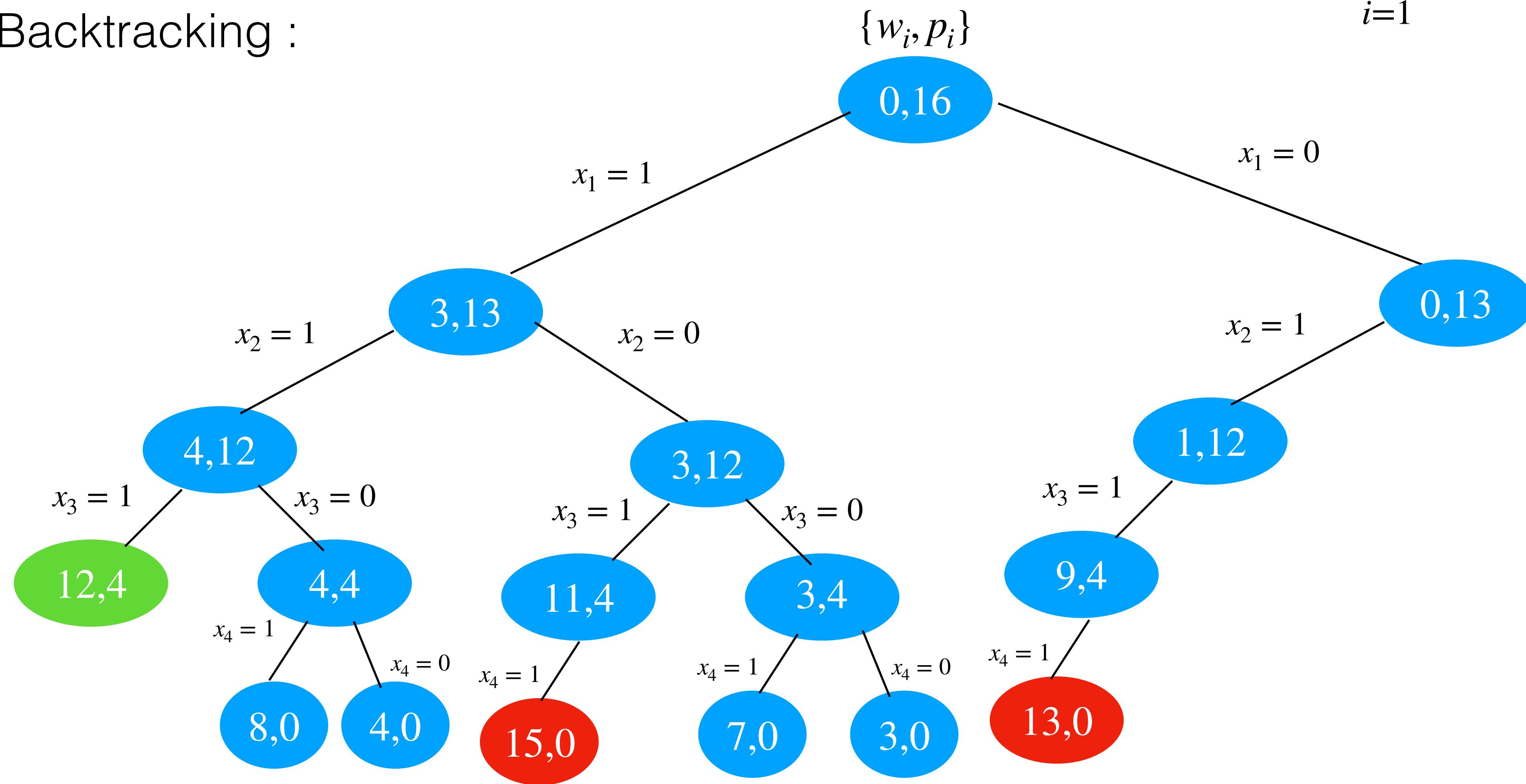
Operations

1. $x_i = 1$ (*included*)
 $x_i = 0$ (*not included*)
2. Add X_i to W_i
3. Subtract X_i from P_i

Sum of Subsets Backtracking

Given the following set of items. $S = \{3,1,8,4\}$ find all its subsets s where $\sum_{i=1}^n s_i = m; m = 12$

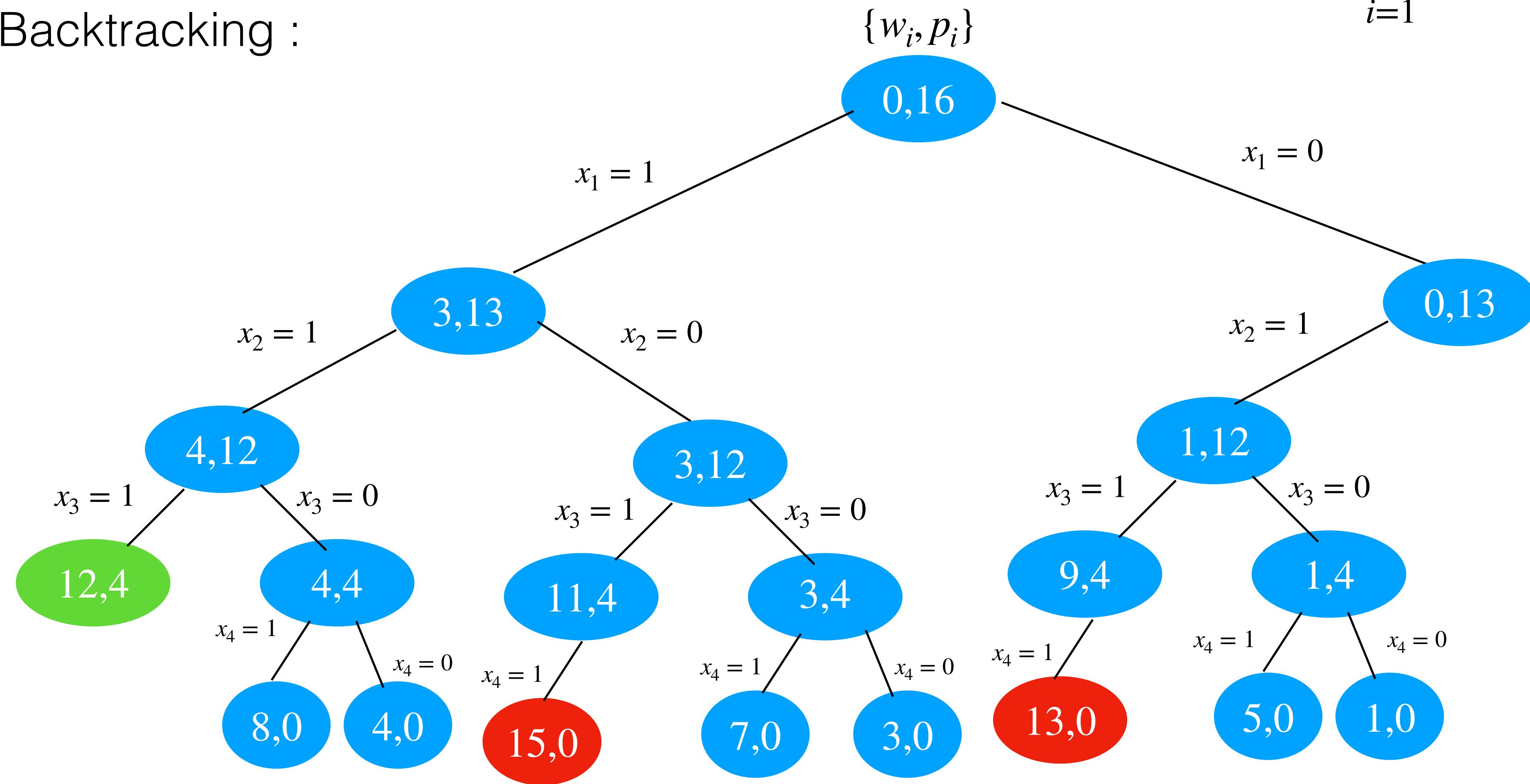
Backtracking :



Sum of Subsets Backtracking

Given the following set of items. $S = \{3,1,8,4\}$ find all its subsets s where $\sum_{i=1}^n s_i = m; m = 12$

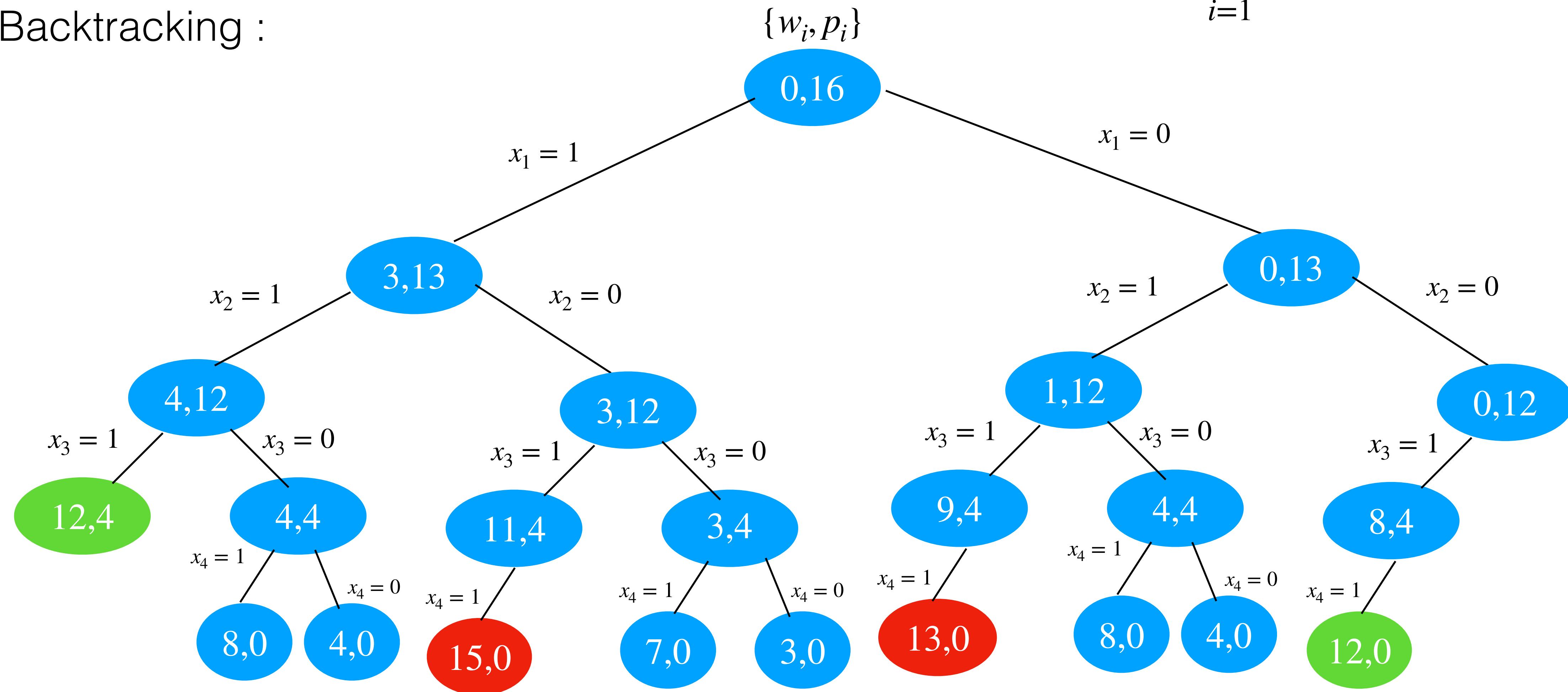
Backtracking :



Sum of Subsets Backtracking

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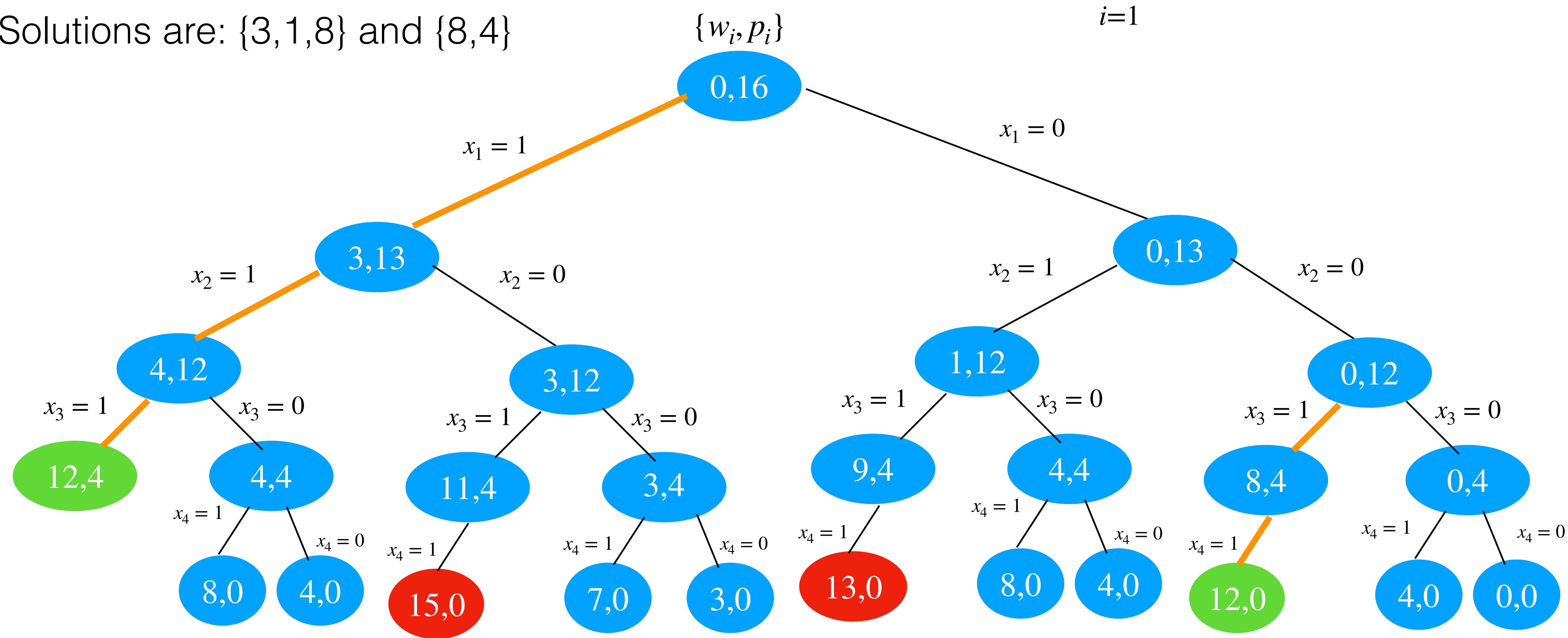
Backtracking :



Sum of Subsets Backtracking

Given the following set of items. $S = \{3,1,8,4\}$ find all its subsets s where $\sum_{i=1}^n s_i = m; m = 12$

Solutions are: $\{3,1,8\}$ and $\{8,4\}$



Sum Of Subsets Pseudocode

Algorithm 1: Sum of Subsets Backtracking (All Solutions)

```
Function SumOfSubsets(Set, N, MaxSum):
    if N < 0 then
        return                                ▷ exit from recursion
    Init: Node, NextNode, NodeIndex, Subset of size N, TmpSum
    Node ← Set[element at index N-1]
    if Node = MaxSum then
        Print: Node                            ▷ solution found!
        Append: Node to Subset
        NodeIndex ← (N - 2)
        while NodeIndex ≠ 0 do
            NextNode ← Set[element at index NodeIndex]
            Append: NextNode to Subset          ▷ included
            TmpSum ← Sum: All elements in Subset
            if TmpSum ≥ MaxSum then
                if TmpSum = MaxSum then
                    Print: Subset                ▷ solution found!
                    Pop: Last element from Subset ▷ not included
                    Decrement: NodeIndex by 1
    end while
    SumOfSubsets(Set, N-1, MaxSum)  ▷ recursive call to itself
```

Backtracking N-Queens

Backtracking N-Queens

- Problem statement:
 - Given a $N * N$ board, place $N - Queens$ such that no queens attack each other. Attacks occur when queens are placed in any of the following ways:
 - Same column
 - Same row
 - Diagonal

Backtracking N-Queens

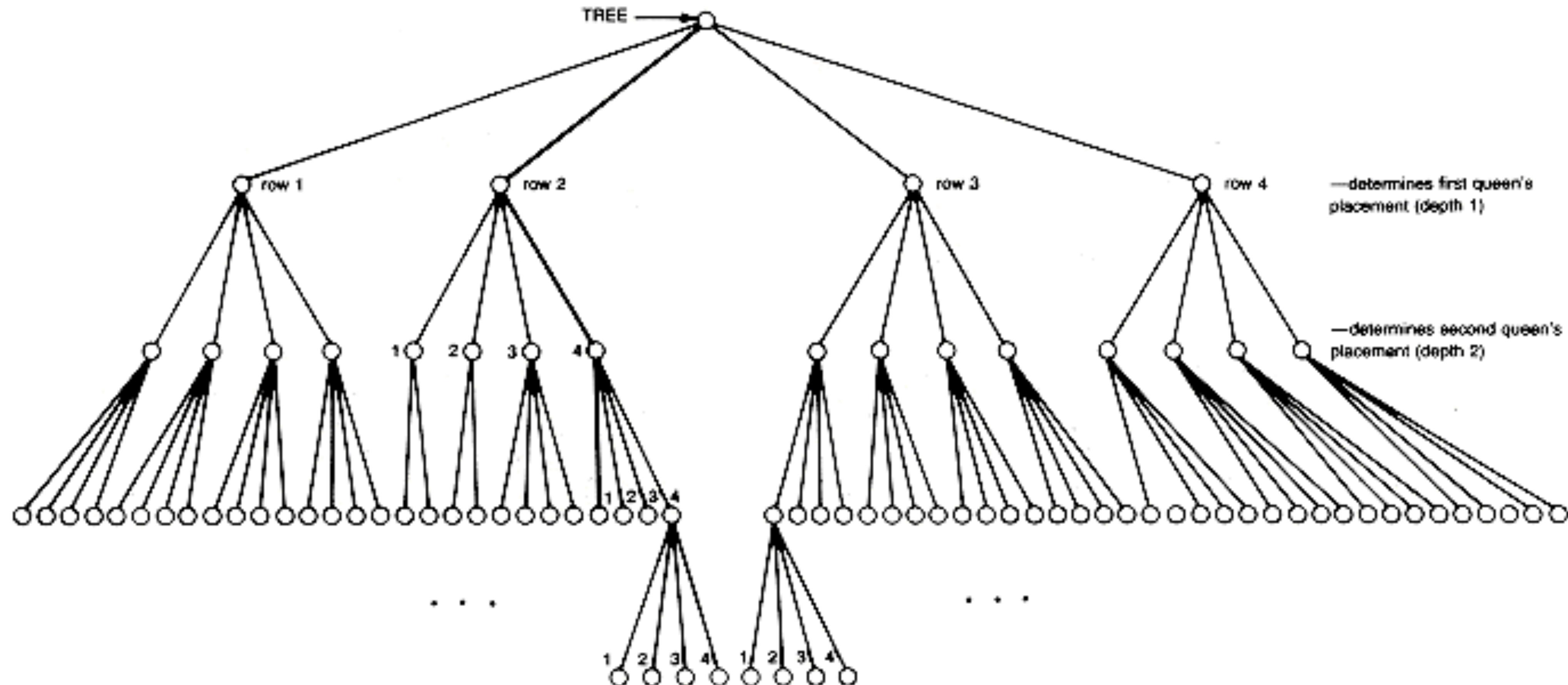
For example, we are given a 4×4 board, and $Q = \{q_1, q_2, q_3, q_4\}$ representing $4 - Queens$:

The diagram illustrates the constraints for the N-Queens problem using four 4x4 boards:

- Diagonal:** A 4x4 board where queens are placed at (1,1), (2,2), (3,3), and (4,4). A large red circle with a white X is positioned to the left of the board, indicating this is an invalid configuration.
- Same column:** A 4x4 board where queens are placed at (1,1), (2,2), (3,3), and (4,4). A large red circle with a white X is positioned to the left of the board, indicating this is an invalid configuration.
- Same row:** A 4x4 board where queens are placed at (1,1), (2,2), (3,3), and (4,4). A large red circle with a white X is positioned to the left of the board, indicating this is an invalid configuration.
- Valid Configuration:** A 4x4 board where queens are placed at (1,2), (2,4), (3,1), and (4,3). A large green circle with a white checkmark is positioned to the left of the board, indicating this is a valid configuration.
- Another Valid Configuration:** A 4x4 board where queens are placed at (1,4), (2,1), (3,3), and (4,2). A large green circle with a white checkmark is positioned to the left of the board, indicating this is another valid configuration.

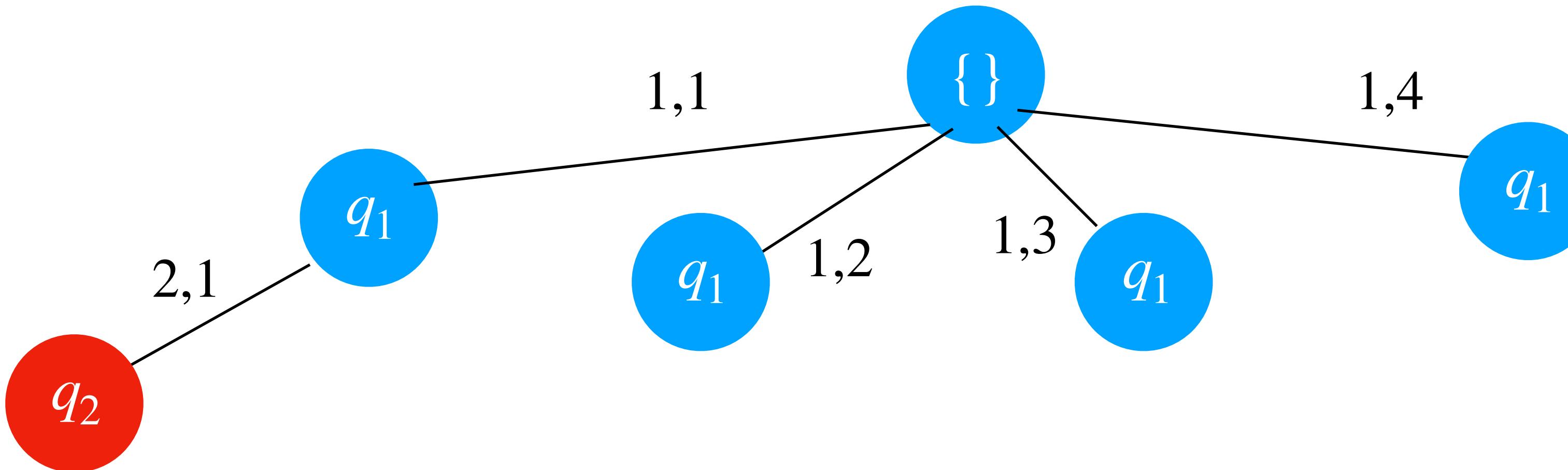
Brute Force Approach N-Queens

A brute force approach would consider all the steps to find all the possible solutions

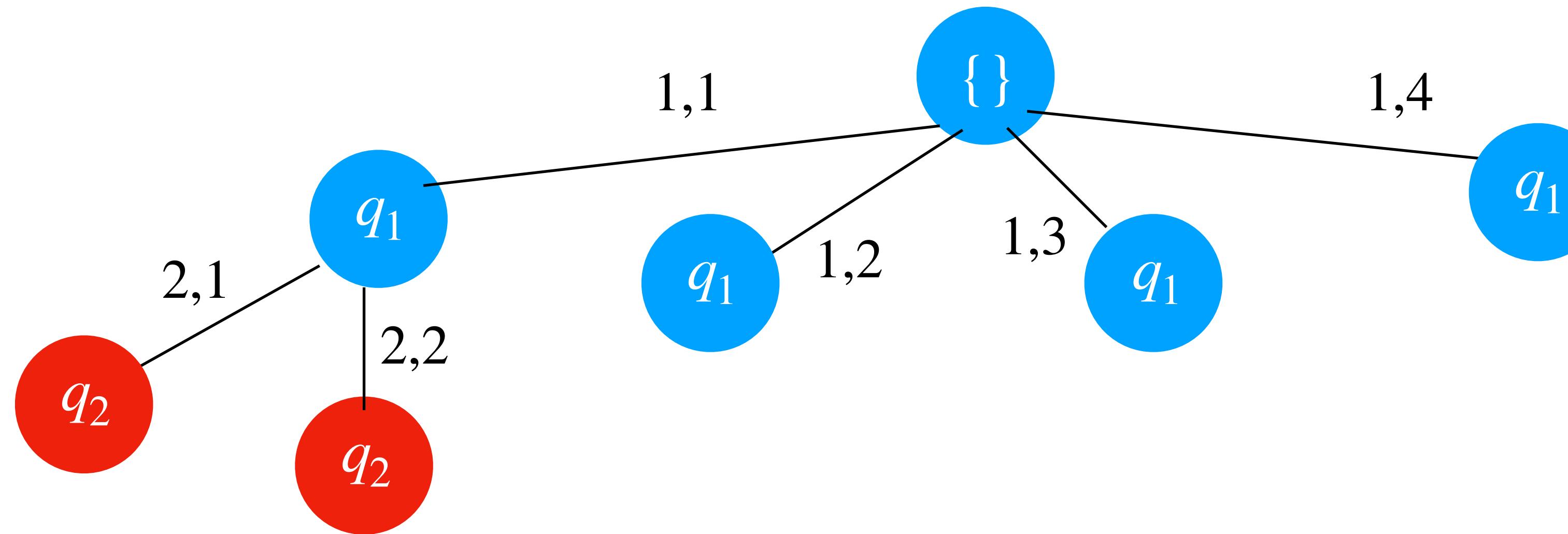


Backtracking N-Queens

Links Numbers Repesent {row, column}



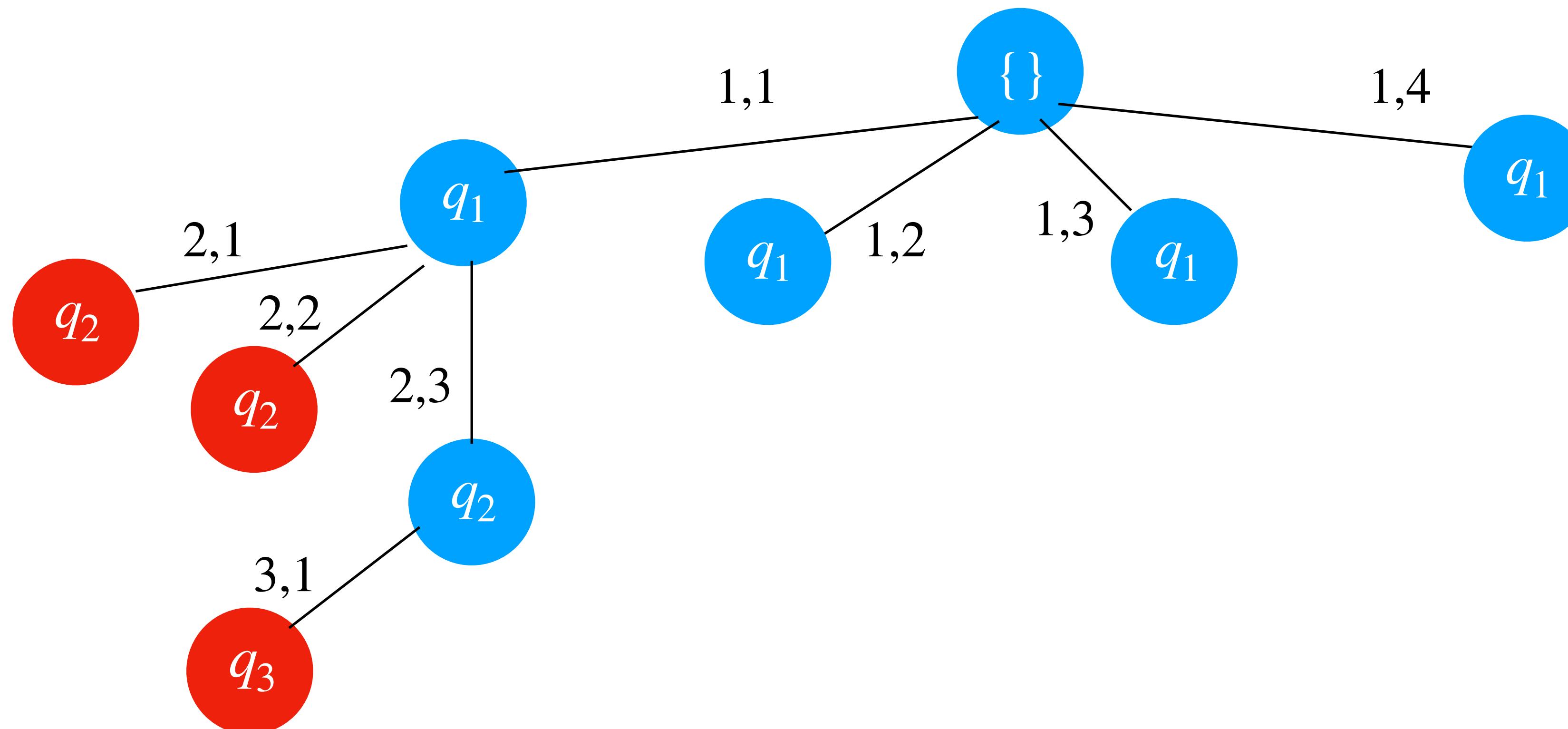
	1	2	3	4
1	q_1			
2	q_2			
3				
4				



	1	2	3	4
1	q_1			
2		q_2		
3				
4				

Backtracking N-Queens

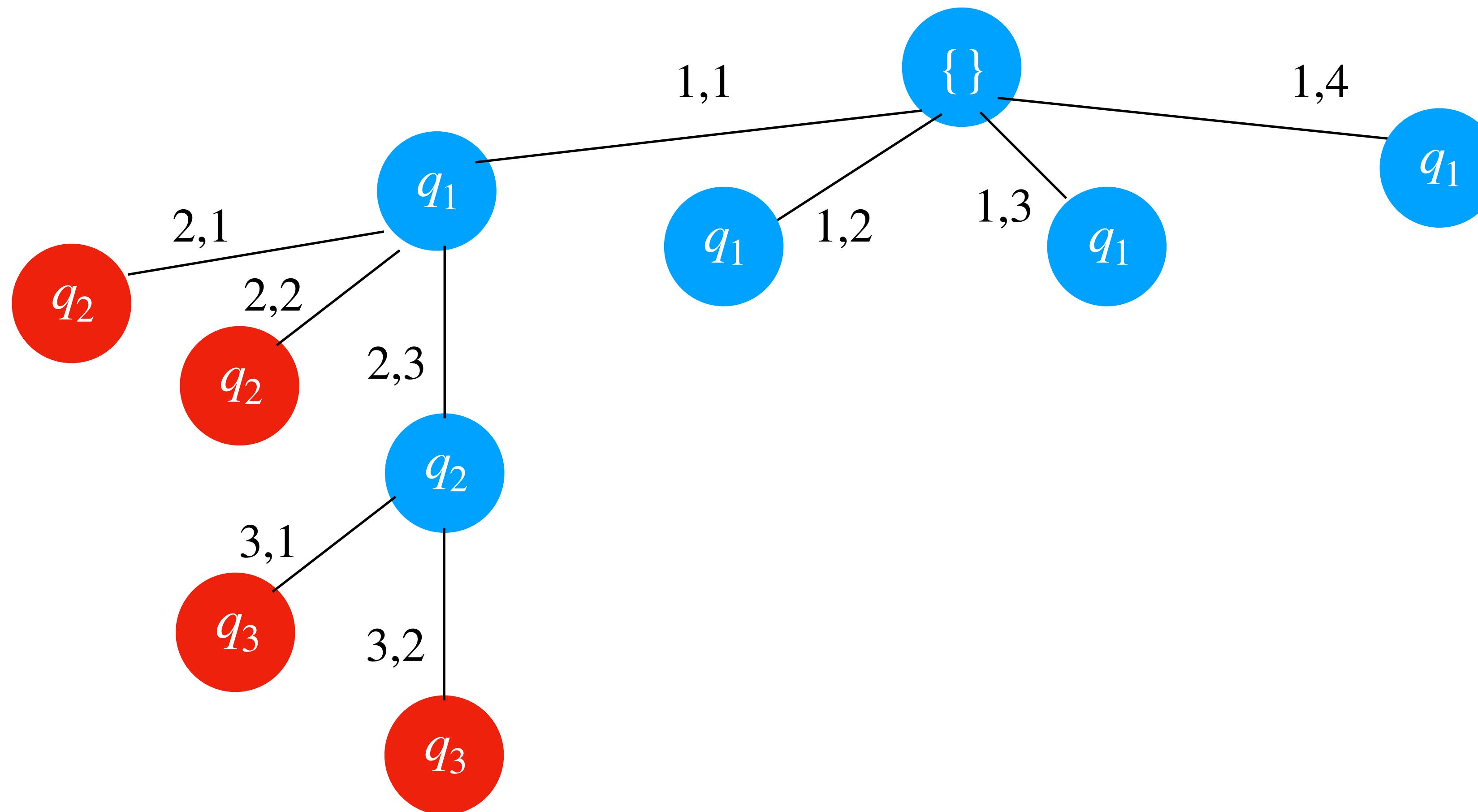
Links Numbers Repesent {row, column}



	1	2	3	4
1	q ₁			
2				q ₂
3	q ₃			
4				

Backtracking N-Queens

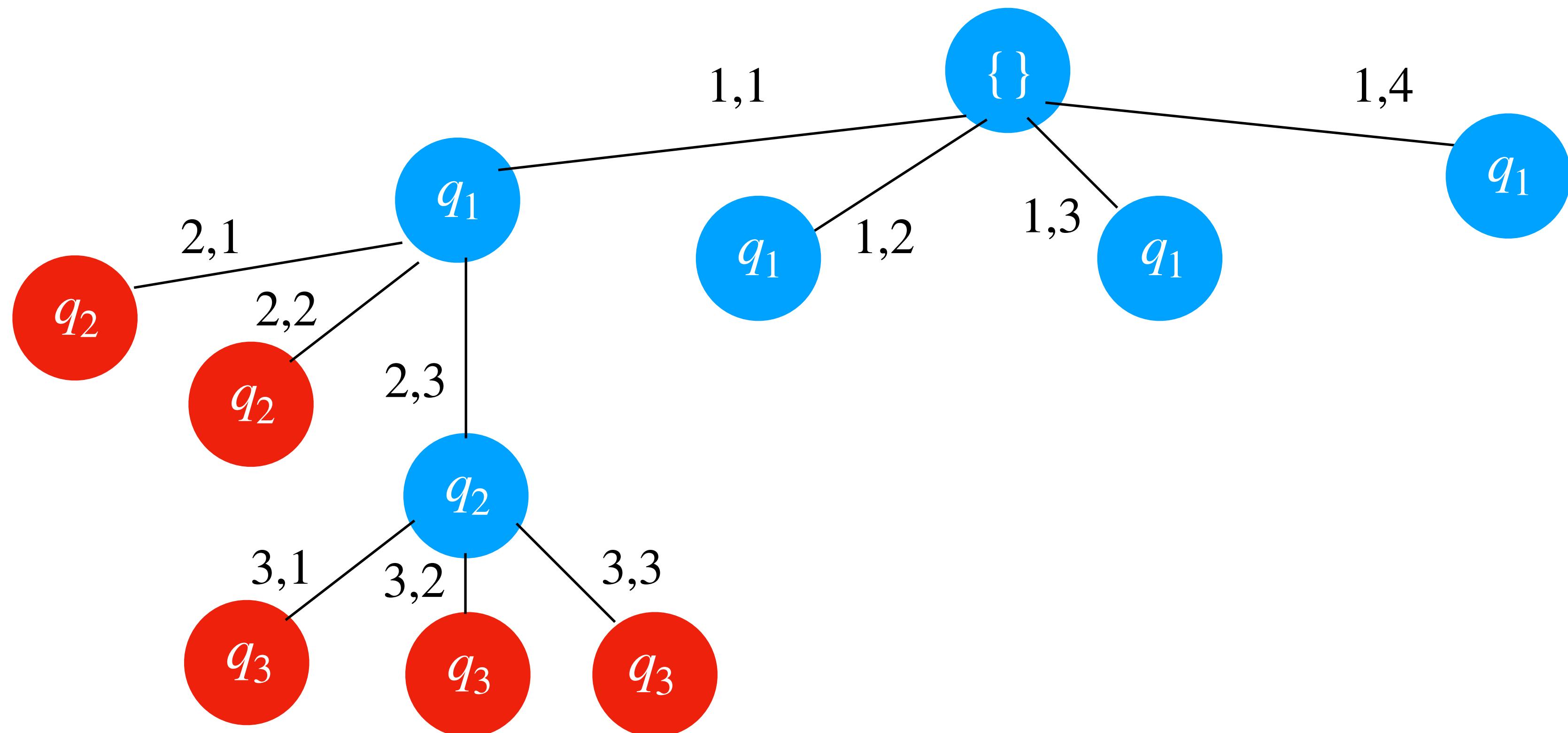
Links Numbers Repesent {row, column}



	1	2	3	4
1	q_1			
2				q_2
3			q_3	
4				

Backtracking N-Queens

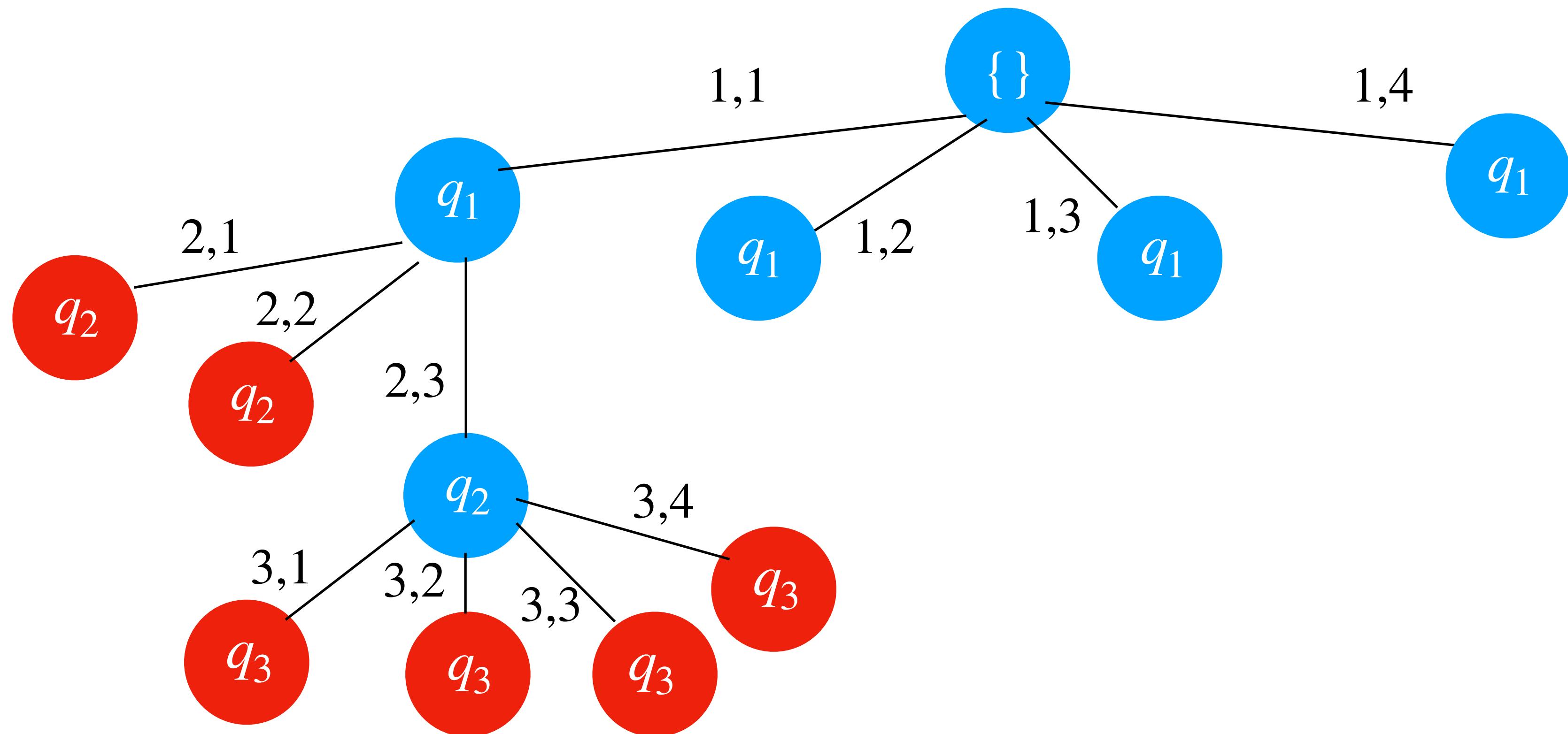
Links Numbers Repesent {row, column}



	1	2	3	4
1	q_1			
2				q_2
3			q_3	
4				

Backtracking N-Queens

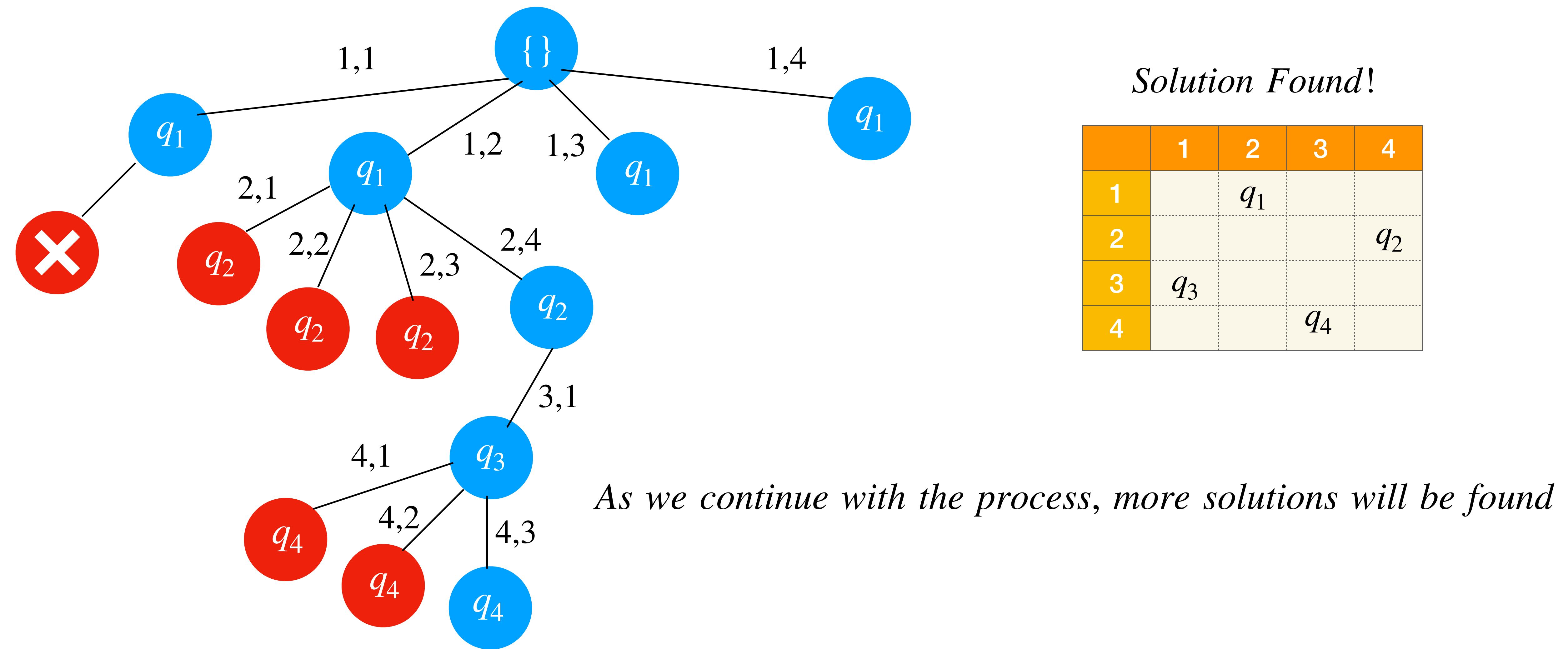
Links Numbers Repesent {row, column}



	1	2	3	4
1	q_1			
2				q_2
3				
4				q_3

Backtracking N-Queens

After a few more iterations...



Backtracking N-Queens

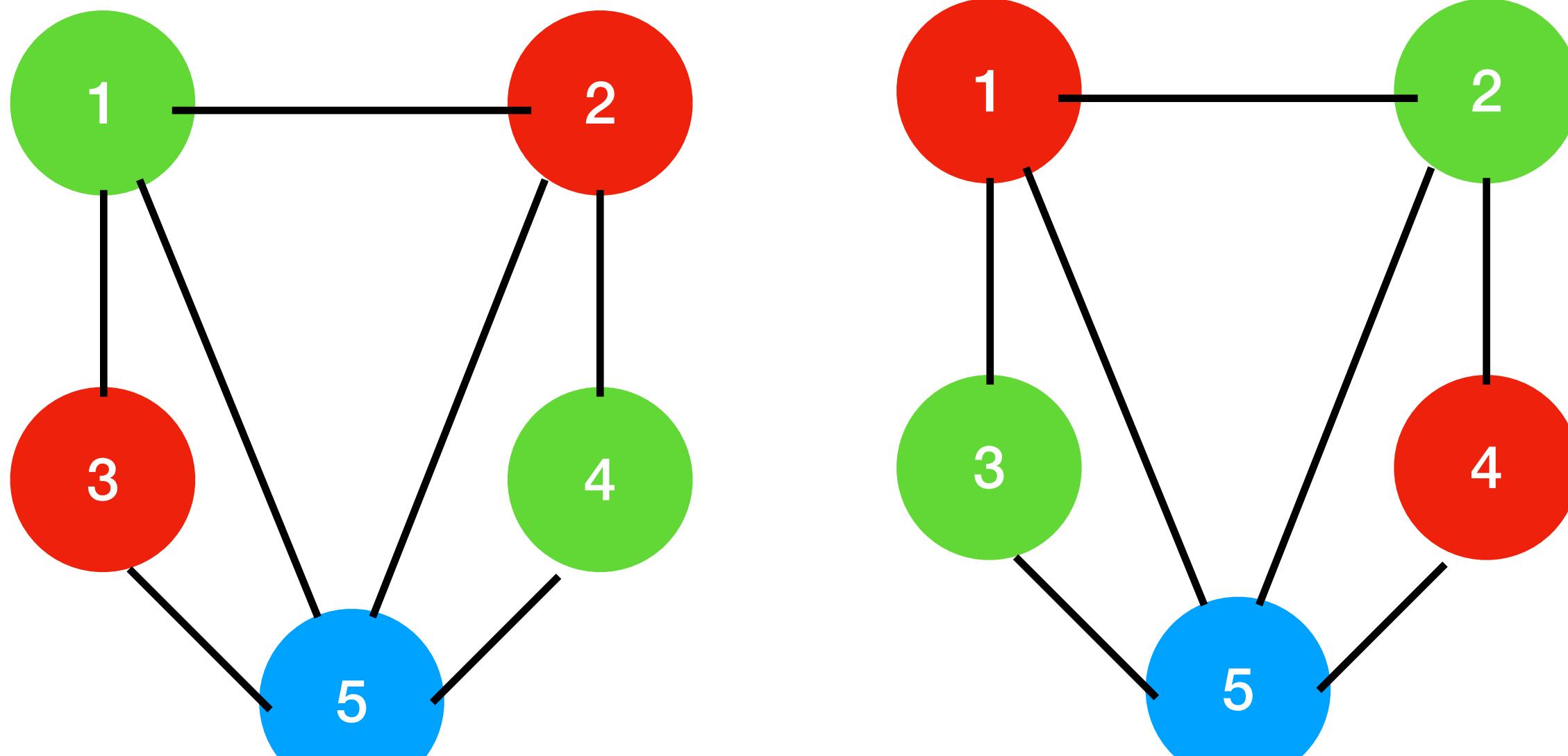
Time Complexity Analysis

- Brute Force:
 - Solving this problem by brute force implies that we need to examine all the possible permutations.
For instance, $\{0, \dots, (n - 1)\}$ is $O(n!)$
- Backtracking:
 - One solution: the best case occurs if you find the solution before exploiting all the possible arrangements (rarely happens). Also the time complexity of finding the first solution depends on your implementation
 - All the solutions: the same as brute force $O(n!)$. However, in average time complexity hits the lower bounds of $O(n!)$ since there are many permutations that are abandoned during the process.
 - The backtracking algorithm can be further improved using memoization for all the recursive calls.

Backtracking Graph Coloring

M-Graph Coloring Backtracking

Given an undirected graph $G = \{v_1, v_2, \dots, v_n\}$, and a set of colors $M = \{c_1, c_2, \dots, c_m\}$, color all the vertices of the graph such that no two adjacent vertices share the same color.



There are many possible solutions for

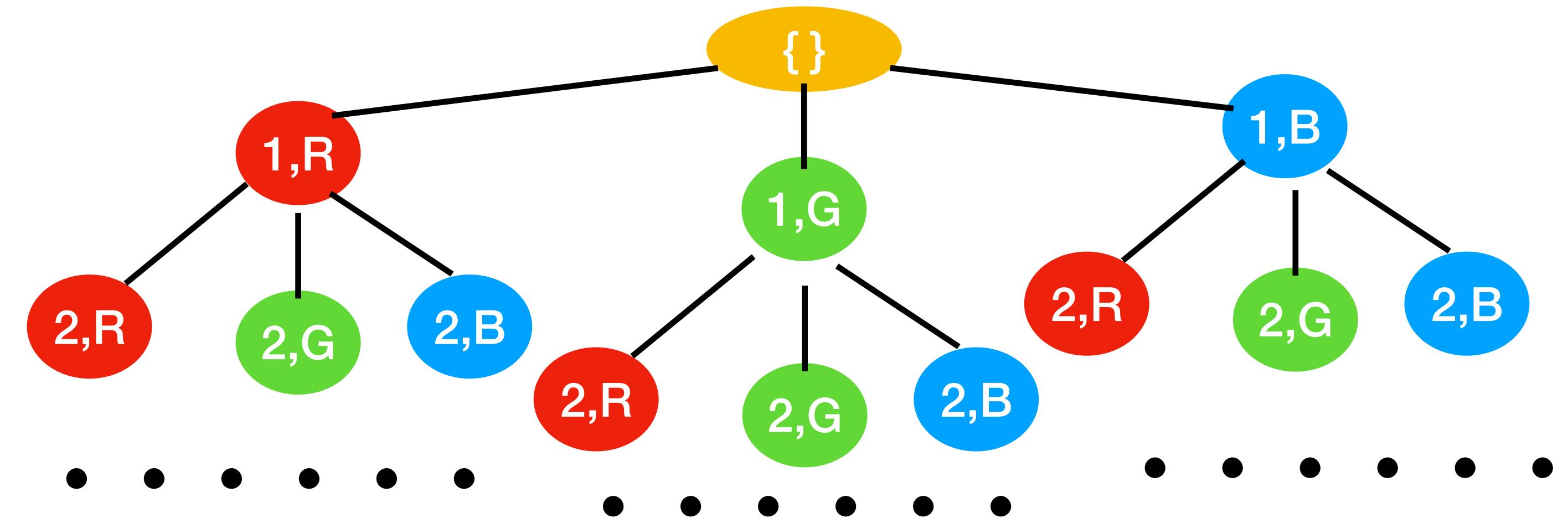
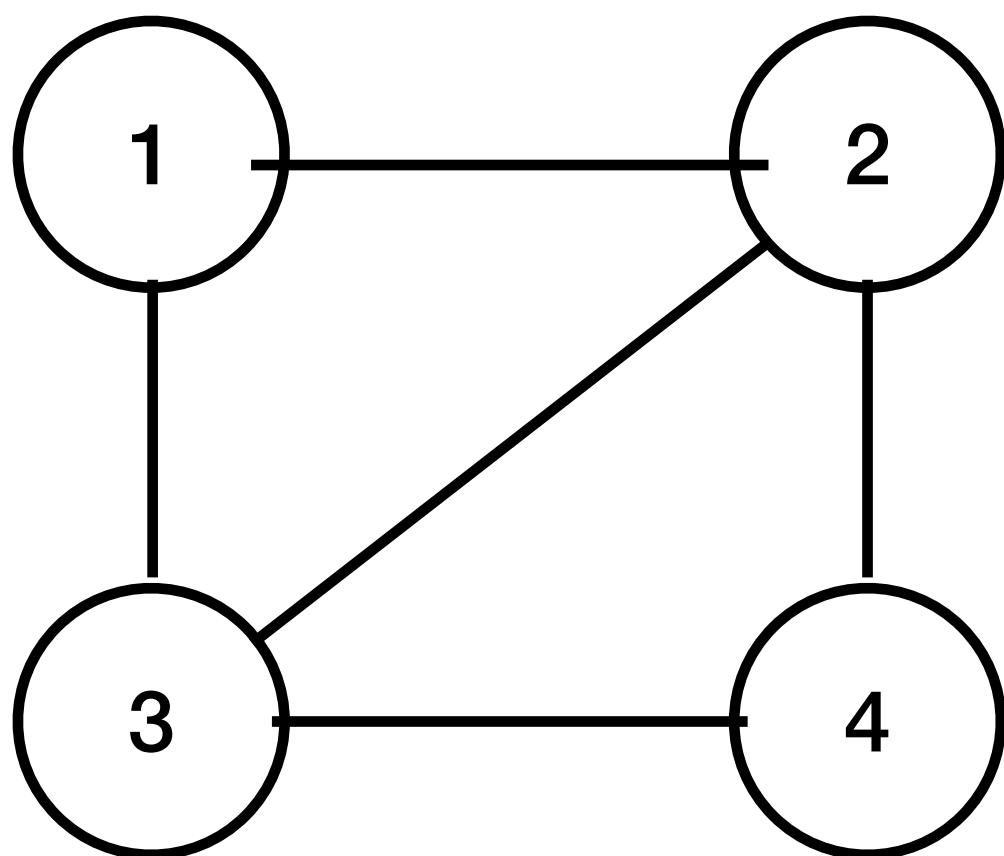
$$G = \{1, 2, 3, 4, 5\}$$

$$M = \{\text{red, green, blue}\}$$

Note that two vertices are adjacent if they are connected by an edge, and two edges are adjacent if they share a vertex.

M-Graph Coloring Brute Force Approach

A brute force approach will compute all the possible ways to color a graph without bounding. That's it all the steps are considered.



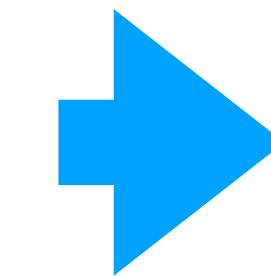
Level 1 = 3^0 vertex

Level 1 = 3^1 vertices

Level 1 = 3^2 vertices

Level 1 = 3^3 vertices

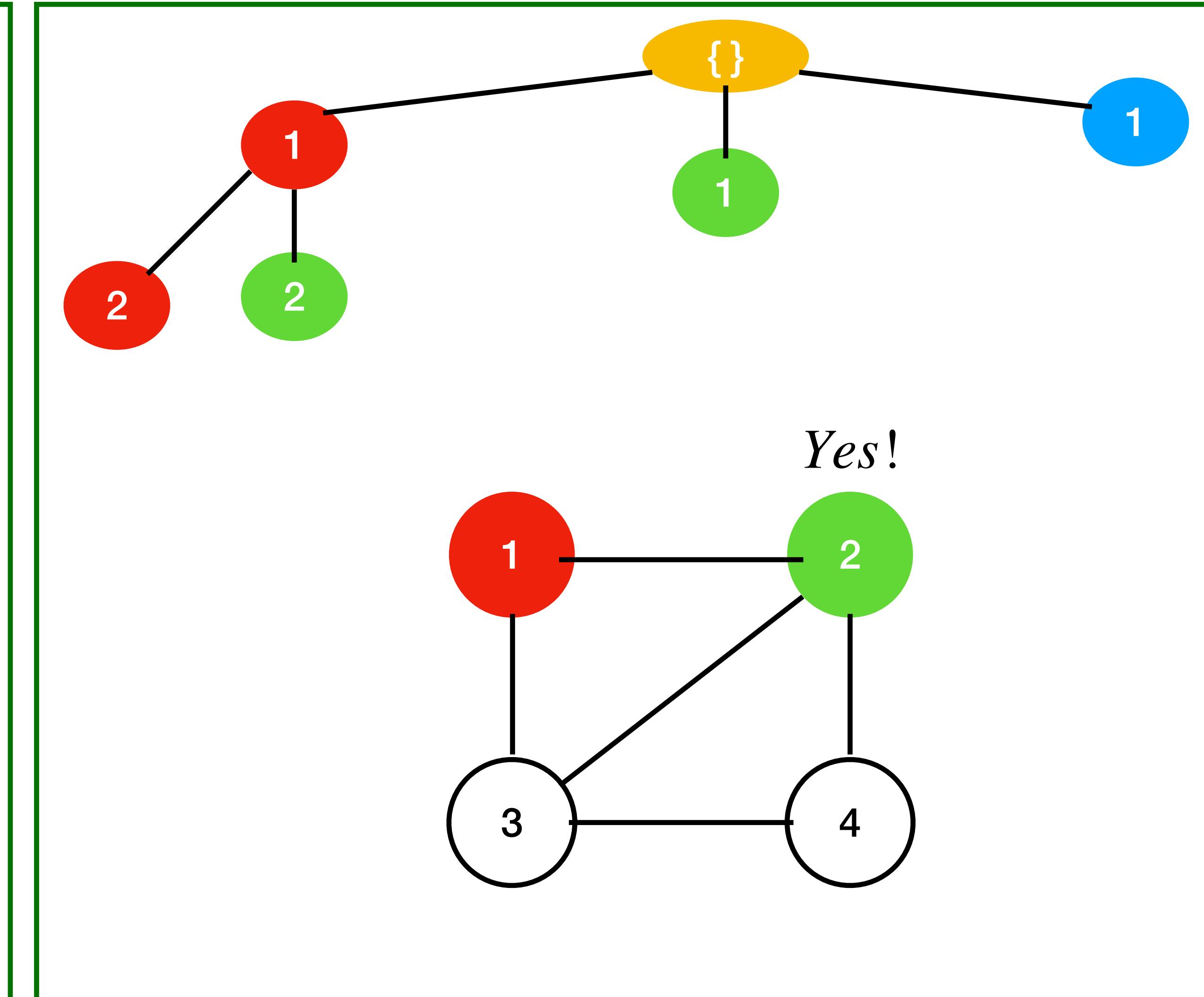
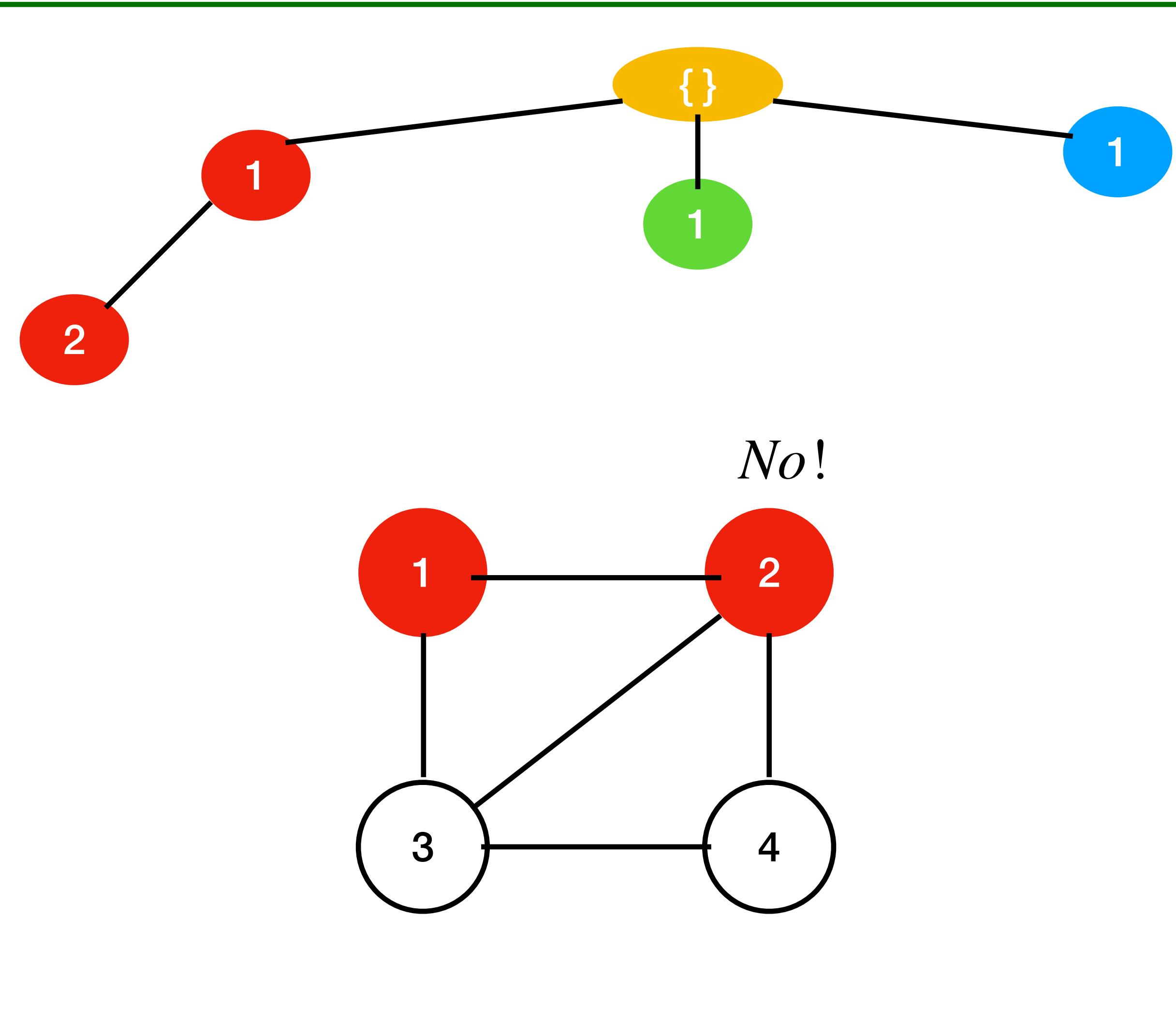
Level 1 = 3^4 vertices



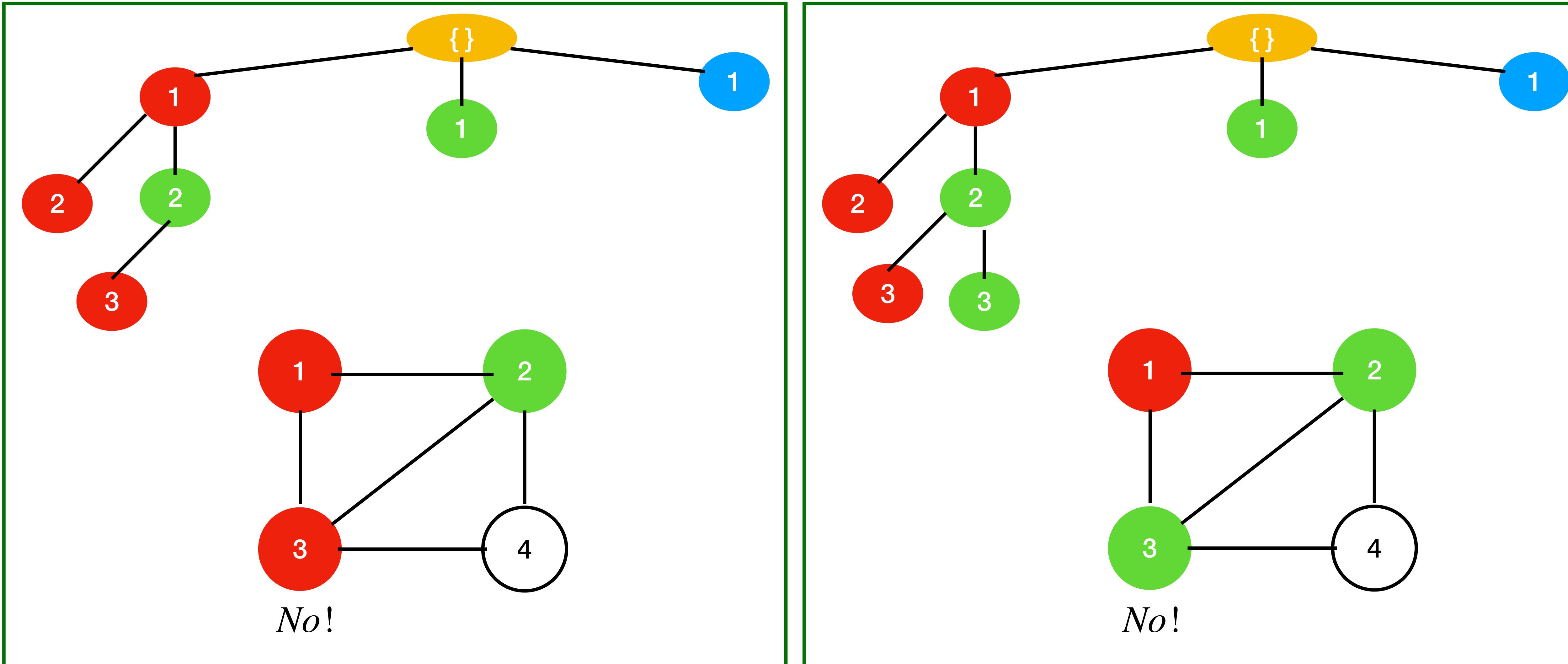
$$\sum_{i=1}^n 3^4 = \frac{3^{4+1} - 1}{2}$$

$$O(3^{n+1}) = O(m^{n+1})$$

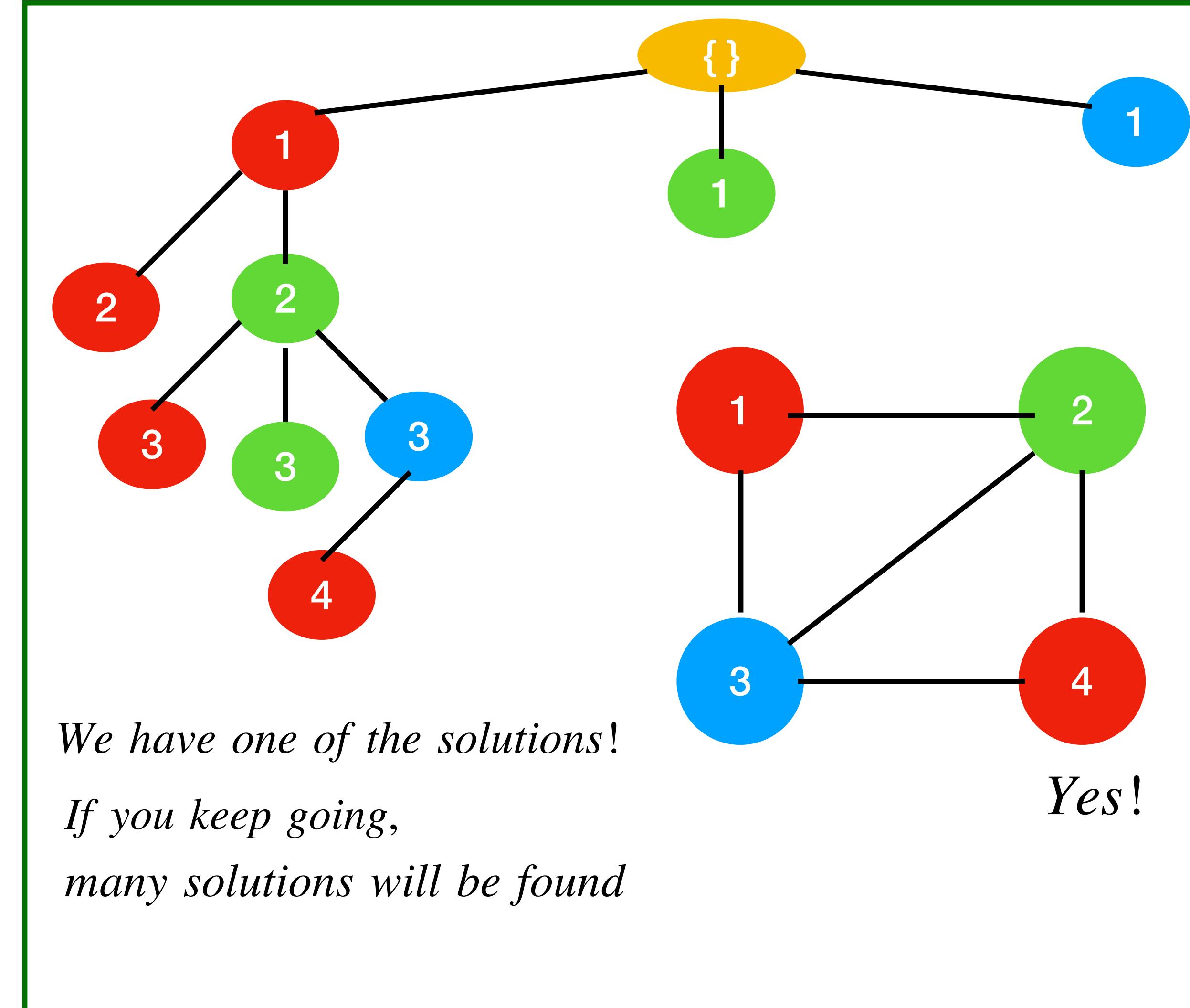
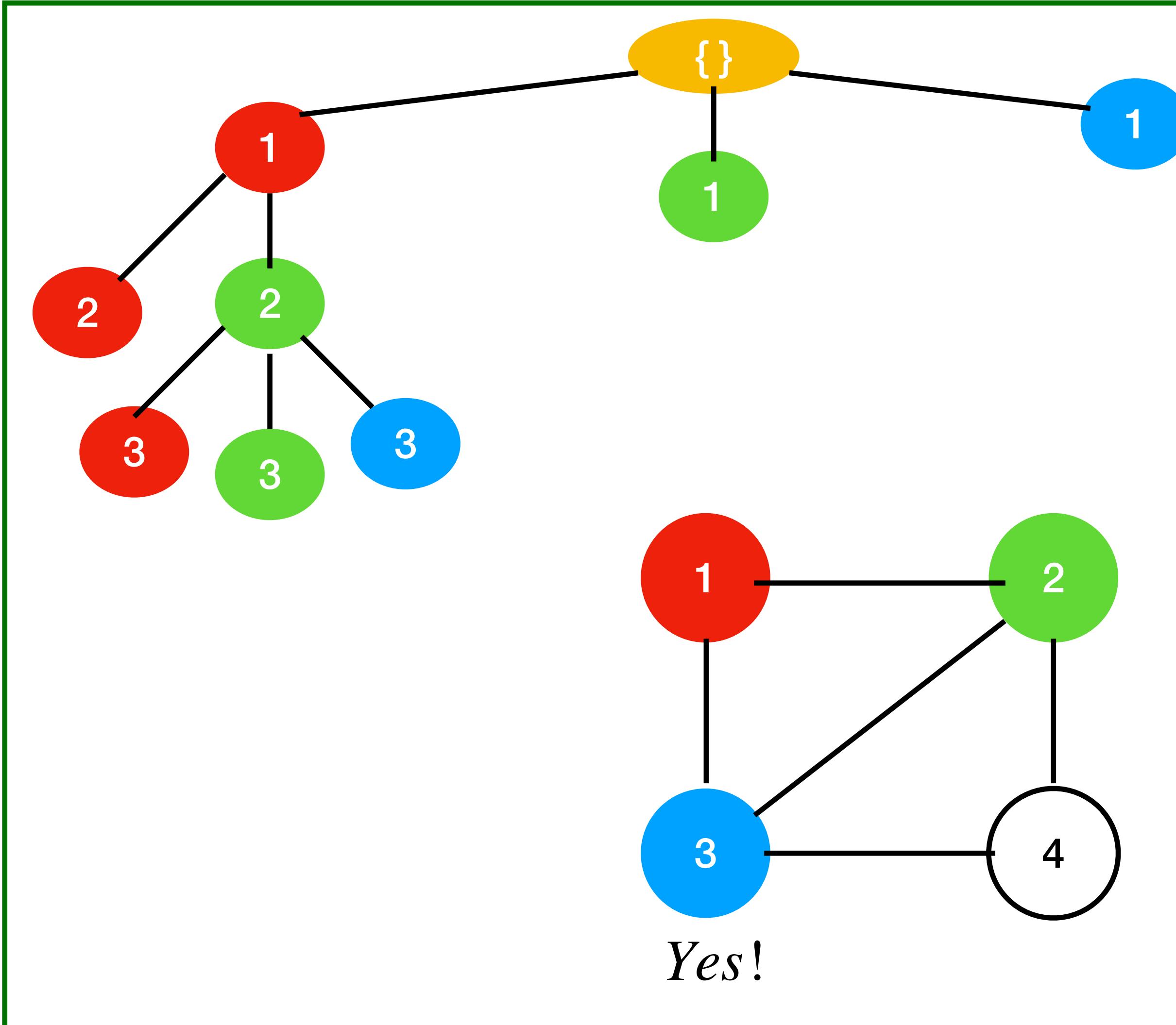
M-Graph Coloring Backtracking



M-Graph Coloring Backtracking



M-Graph Coloring Backtracking

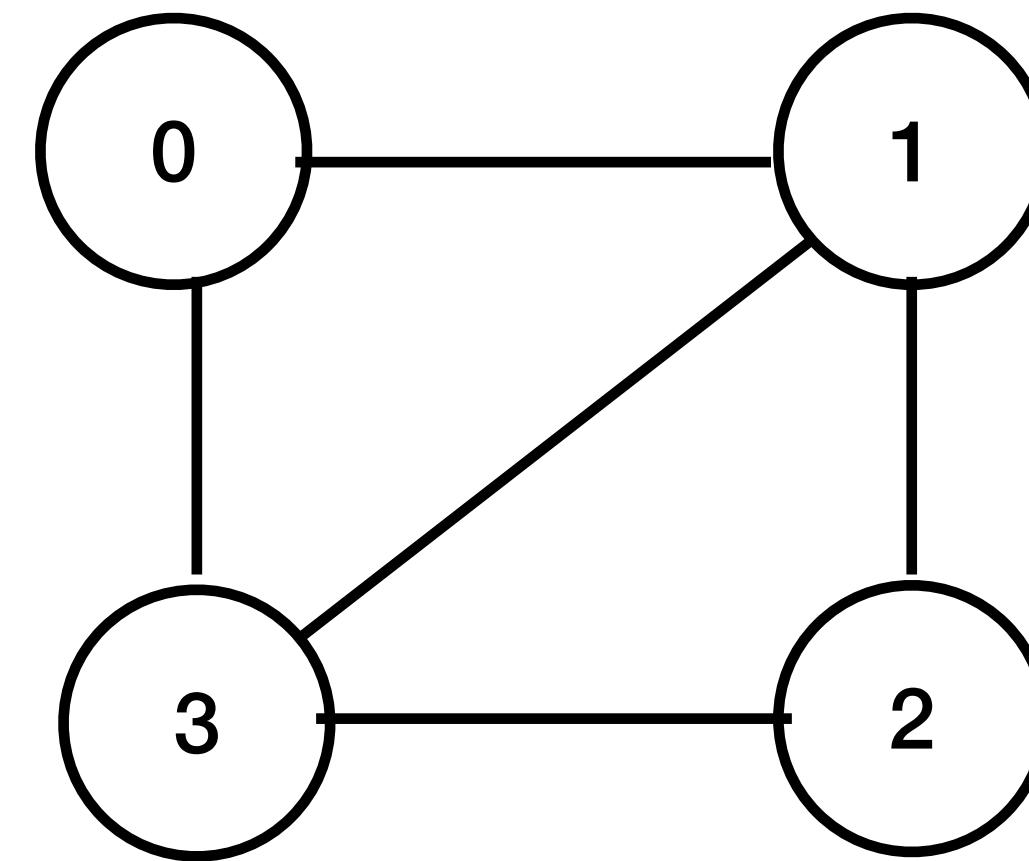


M-Graph Coloring Backtracking Pseudocode

Algorithm 1: Graph Coloring Algorithm

```
Function GraphColoring(Graph, Colors, ColorNumbers, Row):
    Init: Colored (array of size N), Color
    if (ColorNumber + 1) = N then
        Print: Colored                                ▷ exit from recursion
        Color ← 1                                     ▷ first color
    foreach Color in the range of ColorNumbers+1 do
        if isSafe(Graph, Row, Color, Colored) then
            Colored[at index Row] ← Color
            GraphColoring(Graph, Colors, ColorNumbers, Row+1)
        else
            Colored[index at Row] ← 0                 ▷ no solution found
        end if
    end foreach
```

```
Function IsSafe(Graph, Row, Color, Colored):
    foreach Column in Graph do
        Connected ← Graph[Row][Column]                ▷ is 1 or 0
        if Vertex is Connected and Color in Colored[Column] then
            return False
    end foreach
    return True
```



G	0	1	2	3
0	1	1	0	1
1	1	1	1	1
2	0	1	1	1
3	1	1	1	1

0 = *not connected*
1 = *connected*

Backtracking M-Graph Coloring

Time Complexity Analysis

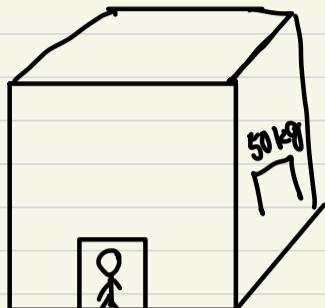
- Brute Force:
 - Solving this problem by brute force implies that we need to color each node visited m times. Therefore, time complexity using a brute force approach is $O(m^{n+1})$ where m is the total number of colors, and n the total number of nodes to be colored.
- Backtracking:
 - The recursion takes n times since it needs to visit all the n nodes recursively, for every visited node, the function needs to check if there is a valid color for that node by visiting all its adjacent nodes. Therefore, the time complexity of solving this problem with backtracking is $O(nm^n)$, which is slightly better than the one from the brute force approach

Branch : DFS, Least cost, BFS

Bound : any this week

Knapsack problem

BestBuy



Stands with products

$$\text{Items} = \{1, 2, 3, 4\}$$

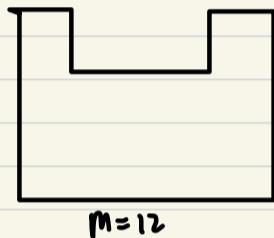
$$\text{Weights} = \{5, 3, 7, 2\}$$

$$\text{Profit} = \{12, 5, 10, 7\}$$

$$\$12 + \$5$$

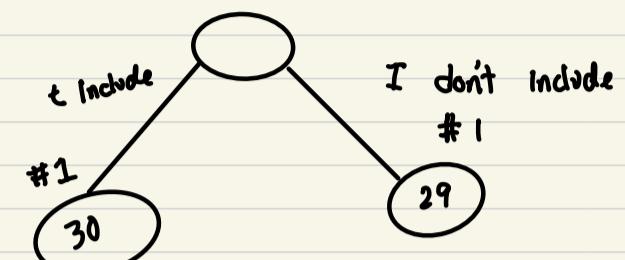
$$12 - 5 = 7$$

$$7 - 3 = 4$$



Backtracking Branch

Branch:



$$\text{cost} = \$12 + \$5 + \frac{\$10}{7\text{kg}} (4\text{kg})$$

$$= \$22.71 = -22.71$$

$$\text{bounds} = \$12 + \$5 = \$17$$

$$1 \ 2 \ 3 \ 4$$

$$w_i \ 0 \ 3 + 7 + 2$$

$$p_i \ 0 + \$5 + \$10 + \$7$$

$$\text{cost} = \$5 + \$10 + \$7 = -22$$

$$\text{bound} = -22$$

$$x_1 = 1 \quad x_1 = 0$$

$$c < C$$

$$b > b$$

$$1 \ 2 \ 3 \ 4$$

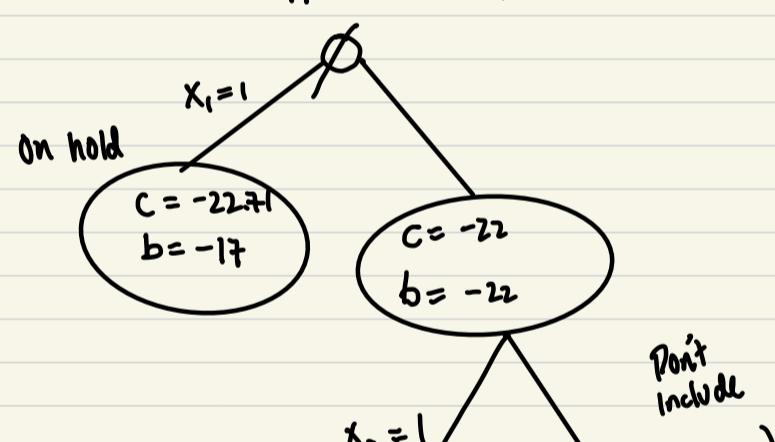
$$w_i \ 0 \ 3 + 0 + 2$$

$$p_i \ 0 + \$5 + 0 + \$7$$

$$\text{cost} = 5 + 7 = -12$$

$$\text{Bound} = 5 + 7 = -12$$

upperbound = -17



Branch: Least cost

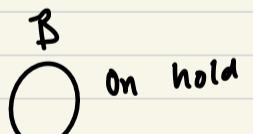
Bounds:

$$\text{Cost: } \sum p_i + \text{frac}$$

$$\text{bound: } \sum p_i$$

$x_i = 1$ item i is included

$x_i = 0$ item i is not included



Cost A > Cost B

Bound A < Bound B

We take A

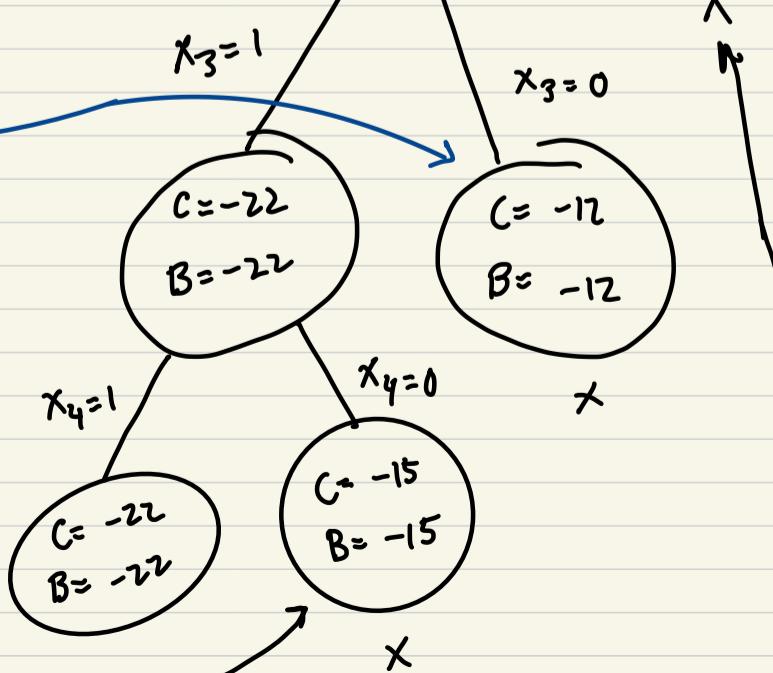
$$1 \ 2 \ 3 \ 4$$

$$w_i \ 0 \ 3 + 7 + 0$$

$$p_i \ 0 + \$5 + \$10 + 0$$

$$\text{cost} = -15$$

$$\text{bound} = -15$$



$$1 \ 2 \ 3 \ 4$$

$$w_i \ 0 \ 0 + 7 + 2$$

$$p_i \ 0 \ 0 + \$10 + \$7$$

$$\text{cost} = 0 + 0 + 10 + 7 = -17$$

$$\text{bound} = 0 + 0 + 10 + 7 = -17$$

1 2 3 4

$$w_i \quad 5 \ 0 + 7 + 0$$

$$p_i \quad 12 + 0 + \$10 + 0$$

$$\text{cost} = -22$$

$$\text{bound} = -22$$

1 2 3 4

$$w_i \quad 5 + 0 + 0 + 2$$

$$p_i \quad 12 + 0 + 0 + \$7$$

$$\text{cost} = -19$$

$$\text{bound} = -19$$

1 2 3 4

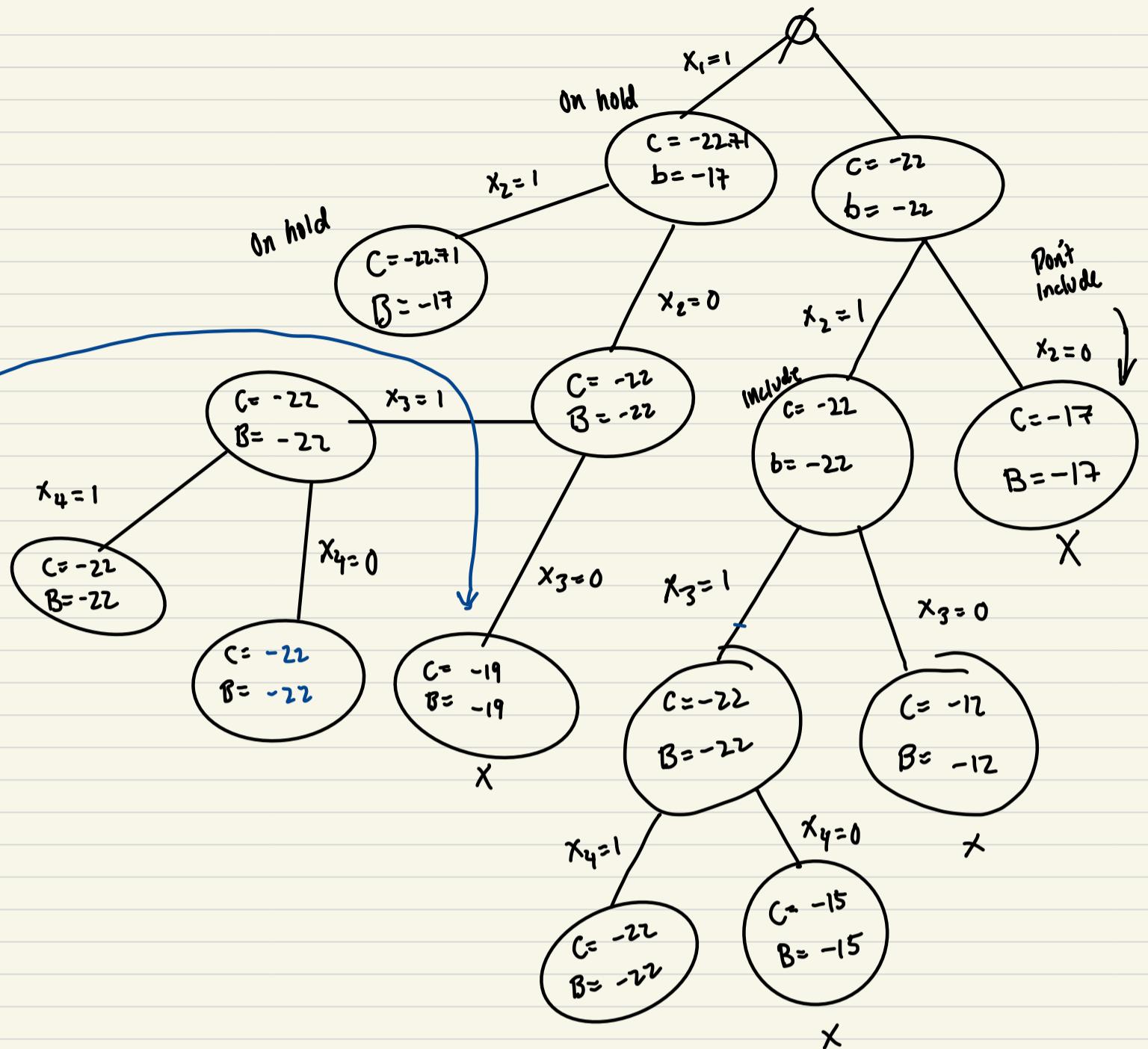
$$w_i \quad 5 + 0 + 7 + 0$$

$$p_i \quad 12 + 0 + \$10 + 0$$

$$\text{cost} = -22$$

$$\text{bound} = -22$$

upperbound = -17



1 2 3 4

$$w_i \quad 5 + 3 + 0 + 2$$

$$p_i \quad 12 + 5 + 0 + 7$$

$$\text{cost} = -24$$

$$\text{bound} = -24$$

1 2 3 4

$$w_i \quad 5 + 3 + 0 + 0$$

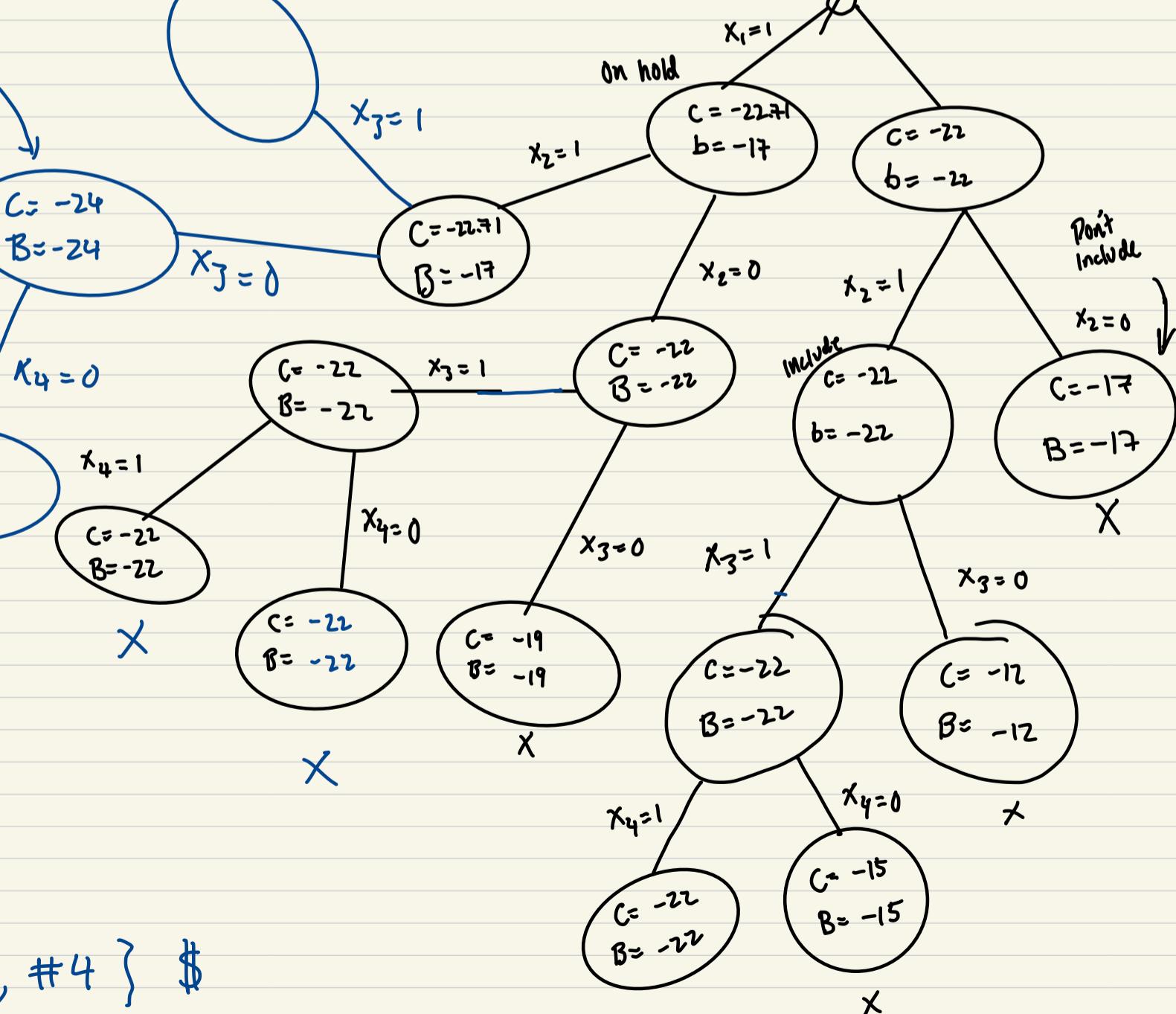
$$p_i \quad 12 + 5 + 0 + 0$$

$$\text{cost} = -17$$

$$\text{bound} = -17$$

on hold

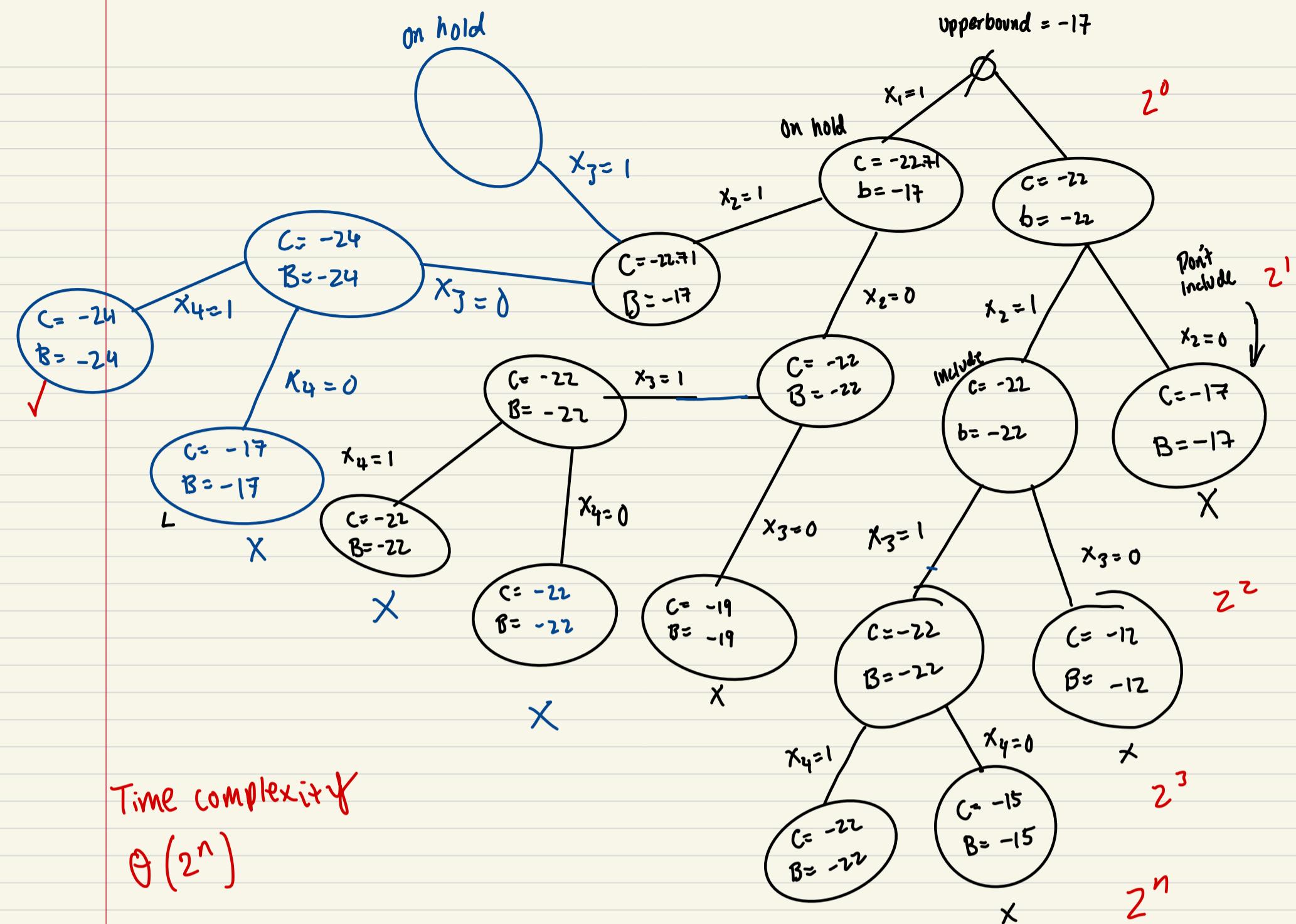
upperbound = -17



Solution

$$\{ \#1, \#2, X, \#4 \} \$$$

$$\$24$$



Items = { 1, 2, 3, 4 }

Weights = { 5, 3, 7, 2 }

Profit = { 12, 5, 10, 7 }

$$\frac{\text{Profit}}{\text{Weight}} = \left\{ \frac{12}{5}, \frac{5}{3}, \frac{10}{7}, \frac{7}{2} \right\}$$

\times

$2.4, 1.\overline{66}, 1.42, 3.5$

$$\frac{10}{7} (2 \text{ kg}) =$$

$$1.42(2 \text{ kg}) = 2.86$$

Sol = { #4, #1, #2 }

$$\$24 + \$2.86$$

$$=\$26.86$$

Bound (A,B)

	A	B	C	D
A	∞	10	0	5
B	10	∞	0	35
C	0	0	∞	0
D	5	35	0	∞

→

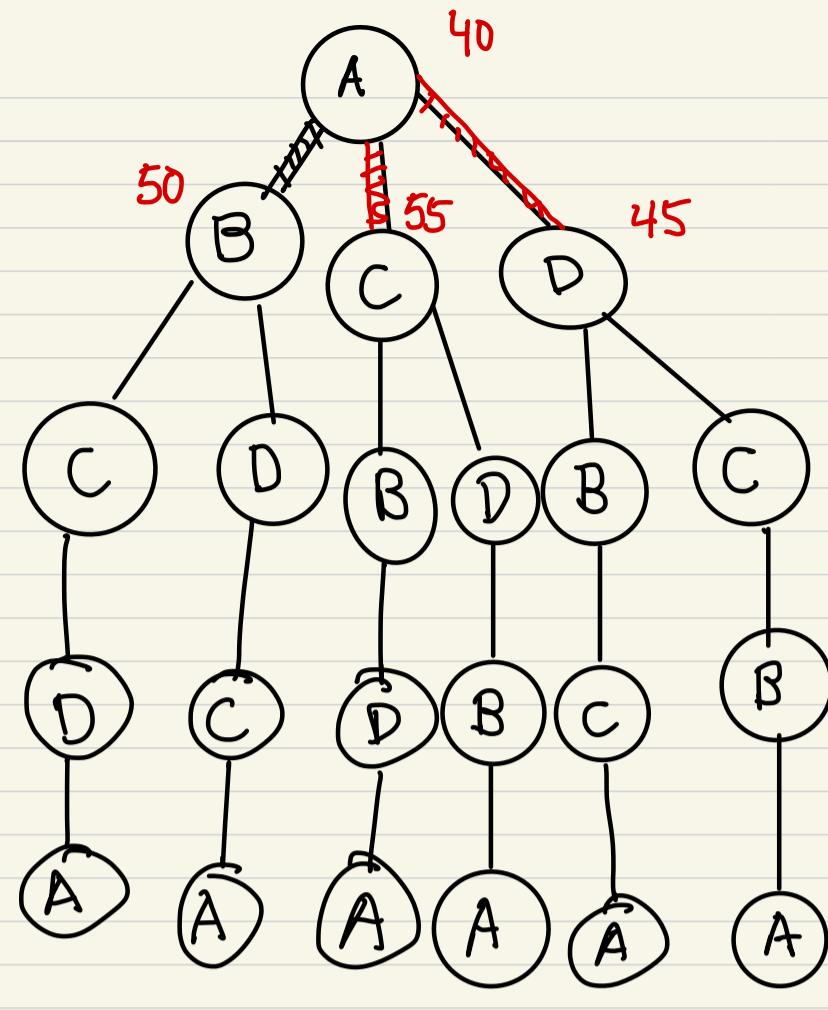
	A	B	C	D	minRow
A	∞	∞	∞	∞	∞
B	∞	∞	0	35	0
C	0	∞	∞	0	0
D	5	∞	0	∞	0

	Min Col				
	0	∞	0	0	0

$$\text{Bound}(A) = \sum \text{minRow} + \text{Min Col}$$

$$30 + 10 = 40$$

$$= 50$$



$$\text{Bound}(A,B) = \text{cost}(A,B) + \text{cost}(A) + \text{Reduction}$$

Bound (A,C)

	A	B	C	D	minRow
A	∞	∞	∞	∞	∞
B	0	∞	∞	25	10
C	∞	0	∞	0	0
D	0	30	∞	∞	5

	Min Col			
	0	0	∞	0

$$\text{Bound}(A,C) = \text{cost}(A,C) + \text{cost}(A) + \text{Reduction}$$

$$0 + 40 + 15$$

$$= 55$$

Bound (A,D)

	A	B	C	D	minRow
A	∞	∞	∞	∞	∞
B	10	∞	0	∞	0
C	0	0	∞	0	0
D	∞	35	0	∞	0

	Min Col			
	0	0	∞	0

$$\text{Bound}(A,D) = \text{cost}(A,D) + \text{cost}(A) + \text{Reduction}$$

$$5 + 40 + 0$$

$$= 45$$

Bound (A, D)

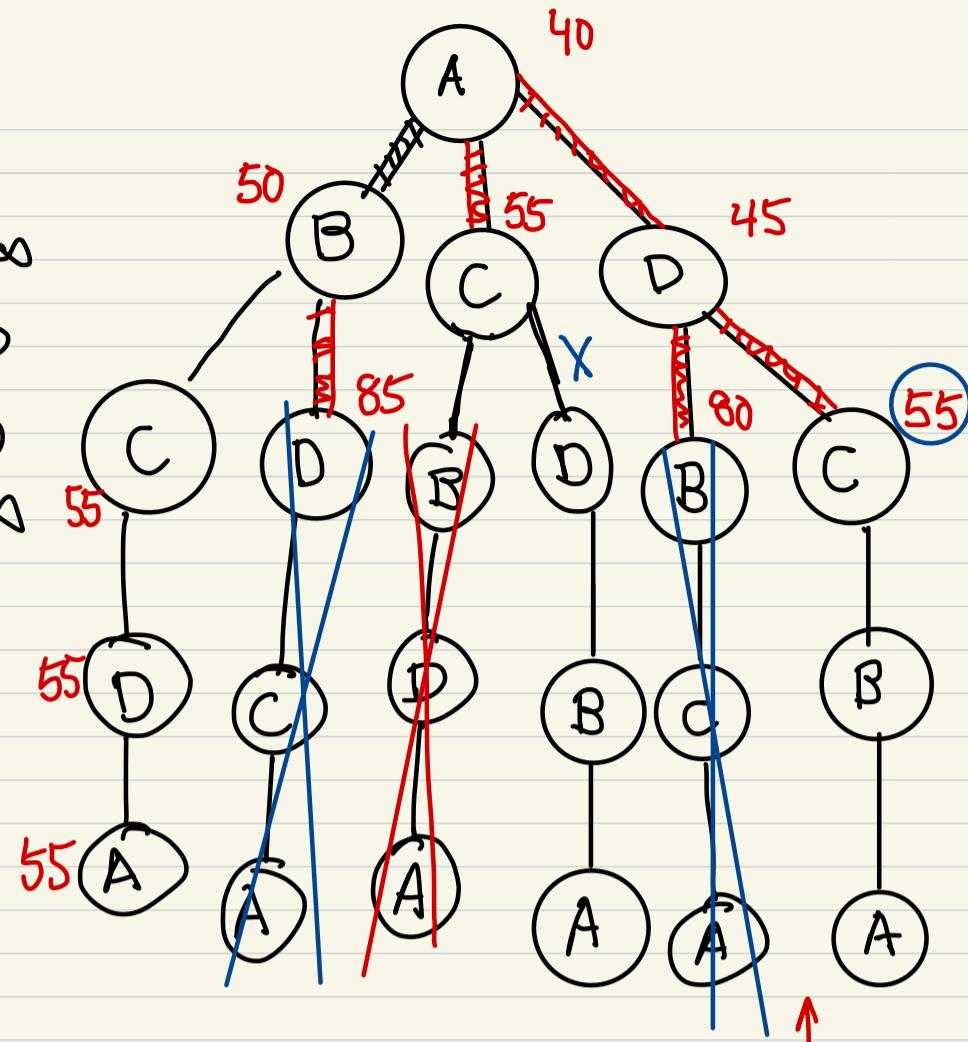
	A	B	C	D	
A	∞	∞	∞	∞	∞
B	10	∞	0	∞	0
C	0	0	∞	∞	0
D	∞	35	0	∞	0
	0	0	0	∞	

Bound (A, D, B)

	A	B	C	D	
A	∞	∞	∞	∞	∞
B	∞	∞	0	∞	0
C	0	∞	∞	∞	0
D	∞	∞	∞	∞	∞
	0	∞	0	∞	∞

$$\text{Bound}(A, D, B) = \text{cost}(D, B) + \text{cost}(D) + \text{Reduction}$$

$$35 + 45 + 0 \\ = 80$$



Bound (A, D, C)

	A	B	C	D	
A	∞	∞	∞	∞	∞
B	0	∞	∞	∞	10
C	∞	0	∞	∞	0
D	∞	∞	∞	∞	∞
	0	0	∞	∞	∞

Why do you set 10 to Q at Row B
Once you put 10 in minRow

read from row take
min value, then make
Reduction, then take min of

$80 > 55$

so 80 is
out

$$\text{Bound}(A, D, C) = \text{cost}(D, C) + \text{cost}(D) + \text{Reduction}$$

$$0 + 45 + 10 \\ = 55$$

now $A \rightarrow B \rightarrow D$

Bound (A, B)

	A	B	C	D	
A	∞	∞	∞	∞	
B	∞	∞	0	35	
C	0	∞	∞	0	
D	5	∞	0	∞	
	5	∞	0	∞	

Bound (A, B, D)

	A	B	C	D	minRow
A	∞	∞	∞	∞	
B	∞	∞	0	∞	
C	0	∞	∞	0	
D	∞	∞	0	∞	
	5	∞	0	∞	

minCol

$$\text{Bound}(A, B, D) = \text{cost}(B, D) + \text{cost}(B) + \text{Reduction}$$

$$35 + 50 + 0$$

$$A - D - C - B - A = 55$$

Hw #3 notes

1. All the index i and j for that sum of P_k are Unique

$$M[0][2] + M[2][4] + M[4][3] + M[3][0]$$

3. $M[i][j] + M[i][j] + M[i][j] + M[i][j]$

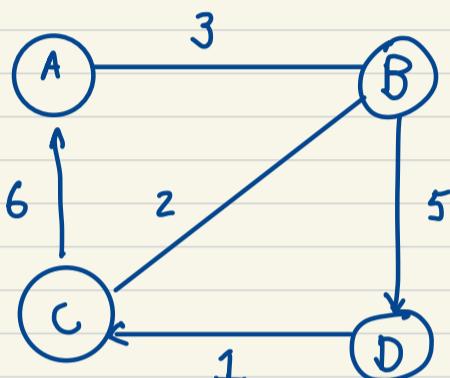
4.

$$M[0][2] + M[2][4] + M[4][3] + M[3][0]$$

↑ ↑
0 0

Sample problem

	A	B	C	D
A	0	3	6	0
B	3	0	2	5
C	6	2	0	1
D	0	5	1	0



$$M[0][1] + M[1][2] + M[2][3] + M[3][4] + M[4][5] + M[5][0]$$

$$7 + 5 + 4 + 2 + 3 + 8$$

$$= 29$$

$$M[0][5] + M[5][1] + M[1][4] + M[4][3] + M[3][2] + M[2][0]$$

$$8 + 6 + 9 + 2 + 4 + 1$$

$$= 30$$

CSC510-04 Analysis of Algorithms

Branch and Bound Algorithms

Jose Ortiz
jortizco@sfsu.edu

Overview

- 0-1 Knapsack
 - Best First. Also known as Least Cost (LC)
 - Depth First
 - Breadth First
- Traveling Salesman

What are branch and bound algorithms ?

- Branch and bound algorithms exploits the Dynamic Programming Principle. However, it makes a passive use of this principle. Branch and bound relies on the bounding principle from optimization.
- Conceptual example:
 - Let's say that you are exploring two sets S_1 and S_2 and the rules for the upper and lower bounds of the optimal solutions are known. Then, if S_1 includes the lower and upper bounds, and if the upper bound of the solutions from S_1 is less than the lower bound for the solutions of S_2 , then it is not worth exploring if S_2 contains optimal solutions.

0-1 Knapsack Problem Branch and Bound

0-1 Knapsack Problem

Given a list of items $item = \{1,2,3,4\}$, their weights $w = \{3,4,2,5\}$ and their profit $p = \{3,8,1,15\}$. Determine the number of items to include in a knapsack so that the total weight is at most $w = 11$, and the total profit is as large as possible. (maximized profit)

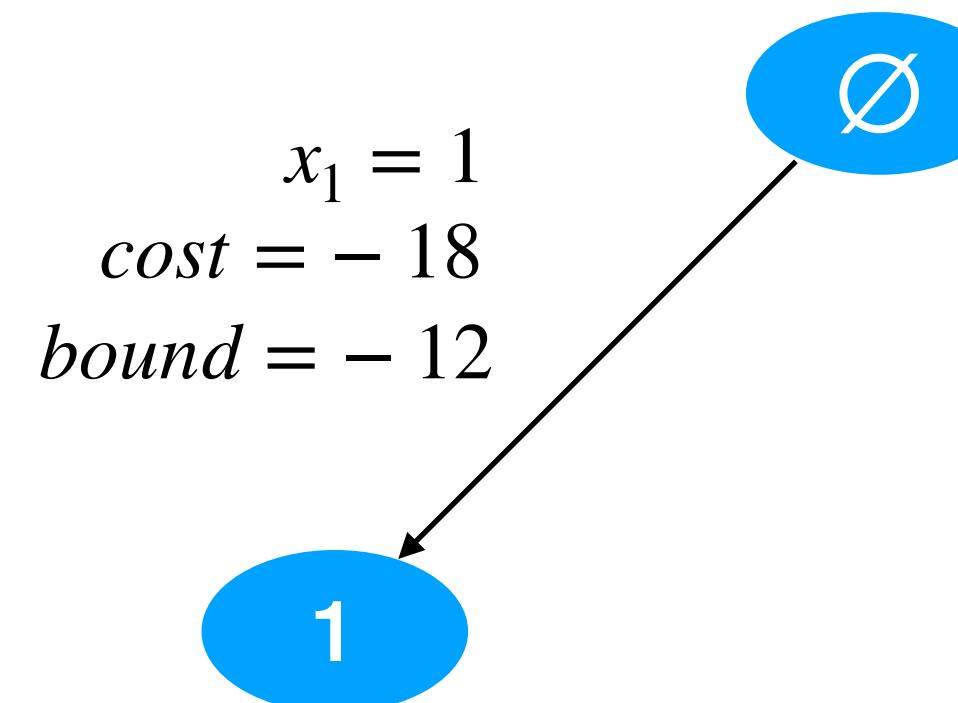


0-1 Knapsack Problem Best-Case

$$W = 11$$

Items	1	2	3	4
Profit	3	8	1	15
Weights	3	4	2	5

$$\text{upper bound} = -12$$



Rules :

Branch chosen : best case

Bounds :

$$\text{cost} = (-1) \sum_i^n P_i + (\text{fractional})$$

$$\text{bound} = (-1) \sum_i^n P_i$$

X₁ included :

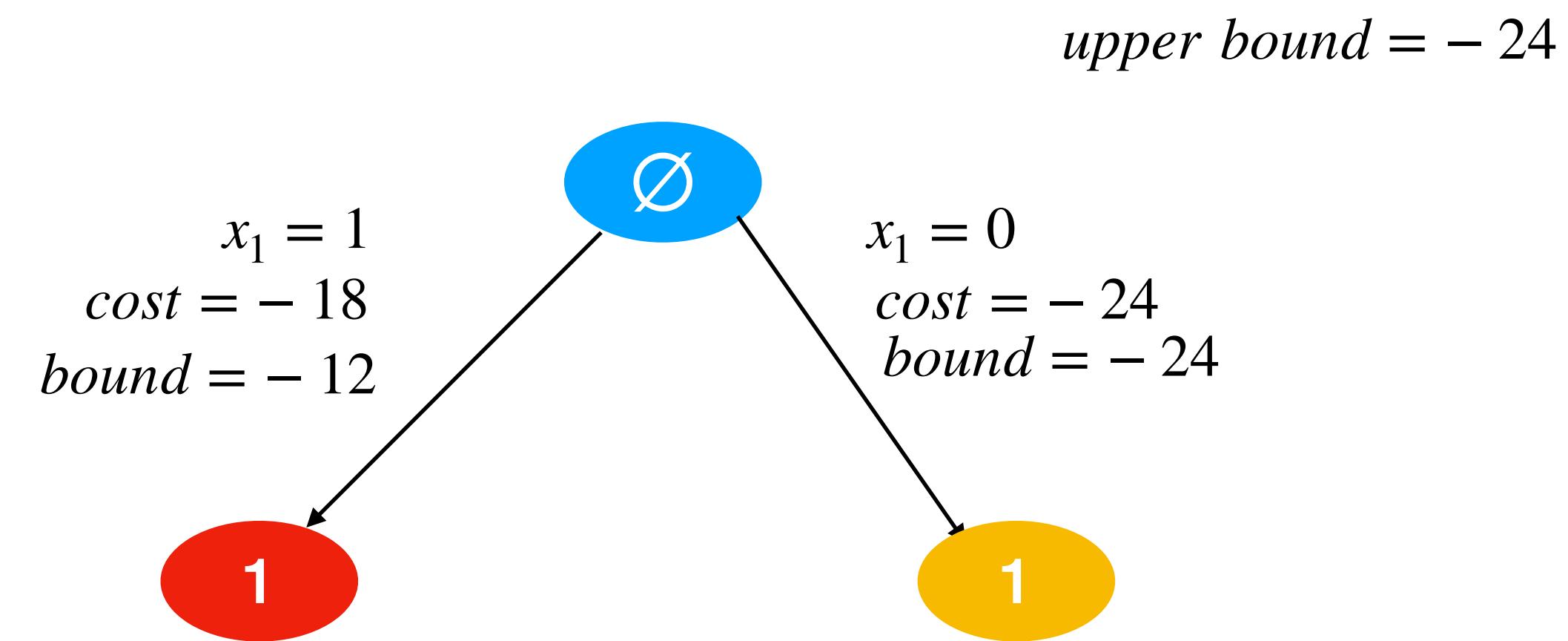
$$\text{cost} = (-1)(3 + 8 + 1 + (\frac{15}{5}(2))) = -18$$

$$\text{bound} = (-1)(3 + 8 + 1) = -12$$

0-1 Knapsack Problem Best-Case (minimization)

$$W = 11$$

Items	1	2	3	4
Profit	3	8	1	15
Weights	3	4	2	5



Rules :

Branch chosen : best case

Bounds :

$$\text{cost} = (-1) \sum_i^n P_i + (\text{fractional})$$

$$\text{bound} = (-1) \sum_i^n P_i$$

For next iteration, we consider only the minimum upper bound

X₁ not included :

$$\text{cost} = (-1)(0 + 8 + 1 + 15) = -24$$

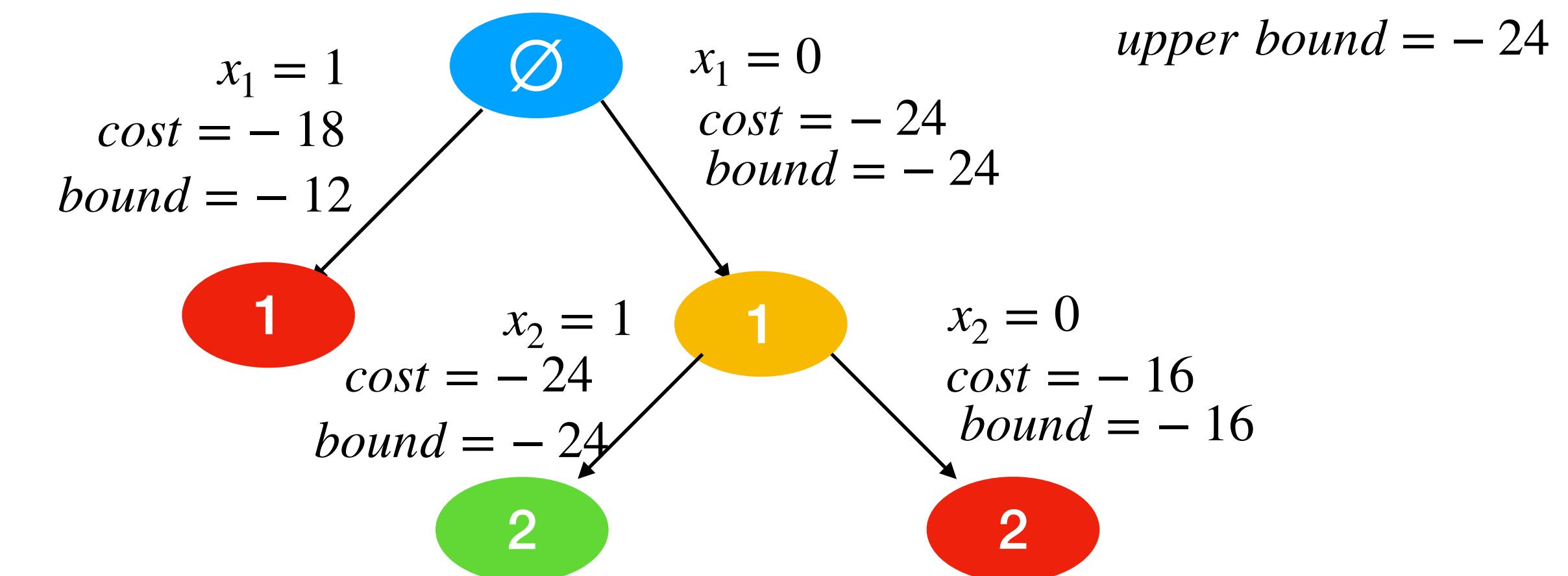
$$\text{bound} = (-1)(0 + 8 + 1 + 15) = -24$$

$$\text{upper_bound} = \min\{\text{bound}(x_1 = 1), \text{bound}(x_1 = 0)\} = -24$$

0-1 Knapsack Problem Best-Case (minimization)

$$W = 11$$

Items	1	2	3	4
Profit	3	8	1	15
Weights	3	4	2	5



Rules :

Branch chosen : best case

Bounds :

$$cost = (-1) \sum_i^n P_i + (\text{fractional})$$

$$bound = (-1) \sum_i^n P_i$$

X_2 not included :

$$cost = (-1)(0 + 0 + 1 + 15) = -16$$

$$bound = (-1)(0 + 0 + 1 + 15) = -16$$

$$upper_bound = \min\{bound(x_1 = 1), bound(x_1 = 0)\} = -24$$

0-1 Knapsack Problem Best-Case (minimization)

$$W = 11$$

Items	1	2	3	4
Profit	3	8	1	15
Weights	3	4	2	5

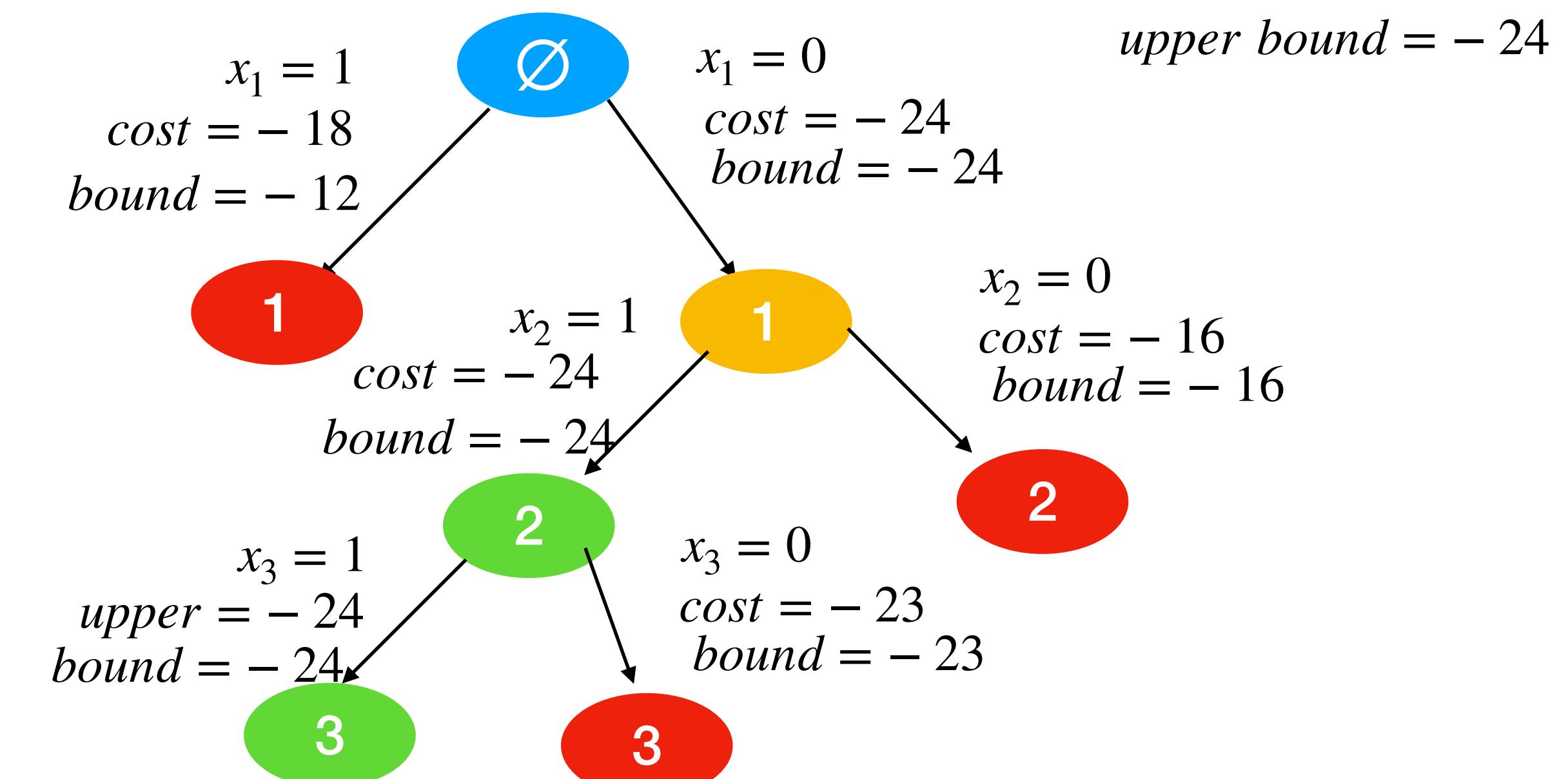
Rules :

Branch chosen : best case

Bounds :

$$cost = (-1) \sum_i^n P_i + (\text{fractional})$$

$$bound = (-1) \sum_i^n P_i$$



X_3 not included :

$$cost = (-1)(0 + 8 + 0 + 15) = -23$$

$$bound = (-1)(0 + 8 + 0 + 15) = -23$$

$$upper_bound = \min\{bound(x_1 = 1), bound(x_1 = 0)\} = -24$$

0-1 Knapsack Problem Best-Case (minimization)

$$W = 11$$

Items	1	2	3	4
Profit	3	8	1	15
Weights	3	4	2	5

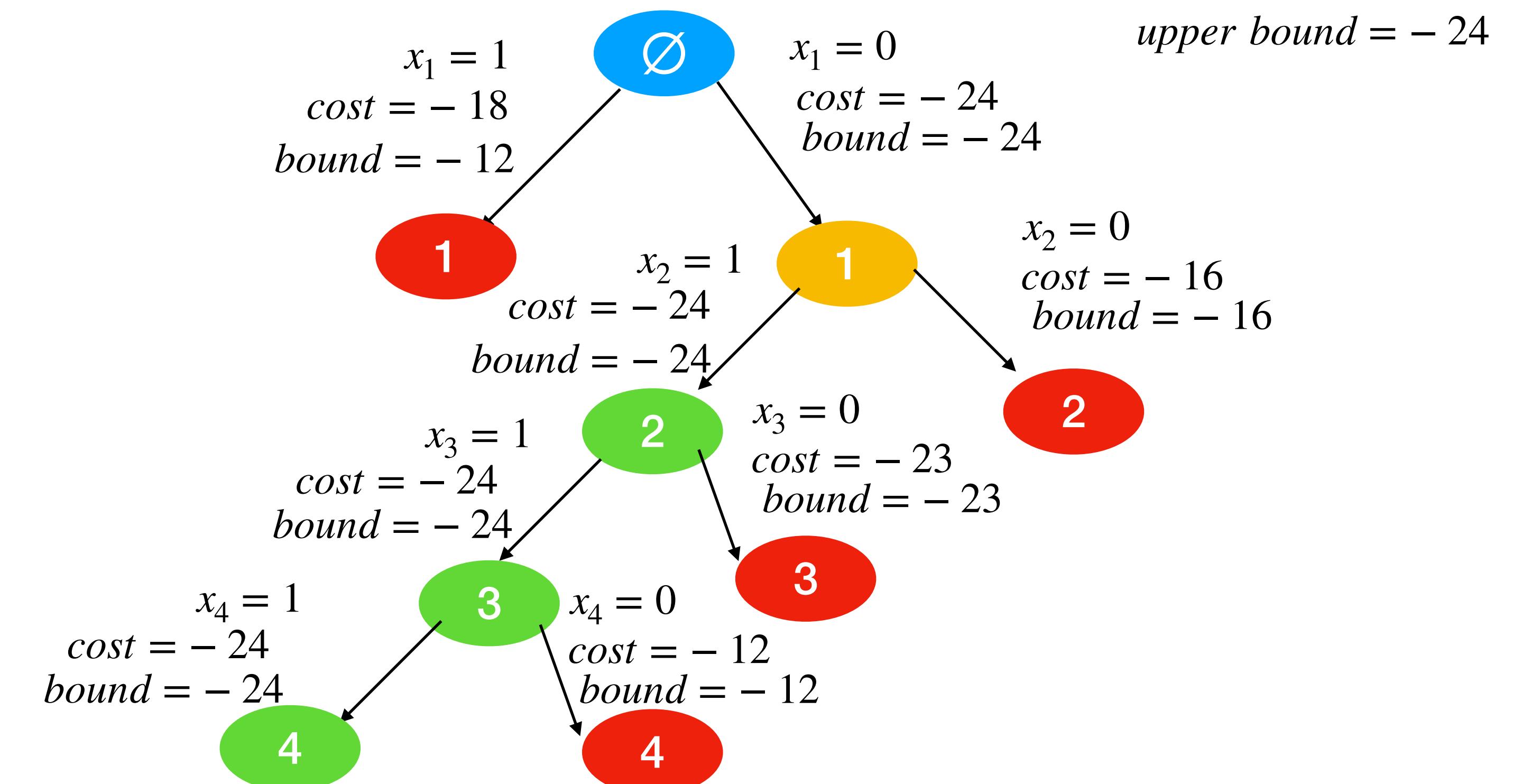
Rules :

Branch chosen : best case

Bounds :

$$cost = (-1) \sum_i^n P_i + (\text{fractional})$$

$$bound = (-1) \sum_i^n P_i$$



X_4 not included :

$$cost = (-1)(3 + 8 + 1 + 0) = -12$$

$$upper = (-1)(3 + 8 + 1 + 0) = -12$$

$$upper_bound = \min\{bound(x_1 = 1), bound(x_1 = 0)\} = -24$$

0-1 Knapsack Problem Best-Case (minimization)

$$W = 11$$

Items	1	2	3	4
Profit	3	8	1	15
Weights	3	4	2	5

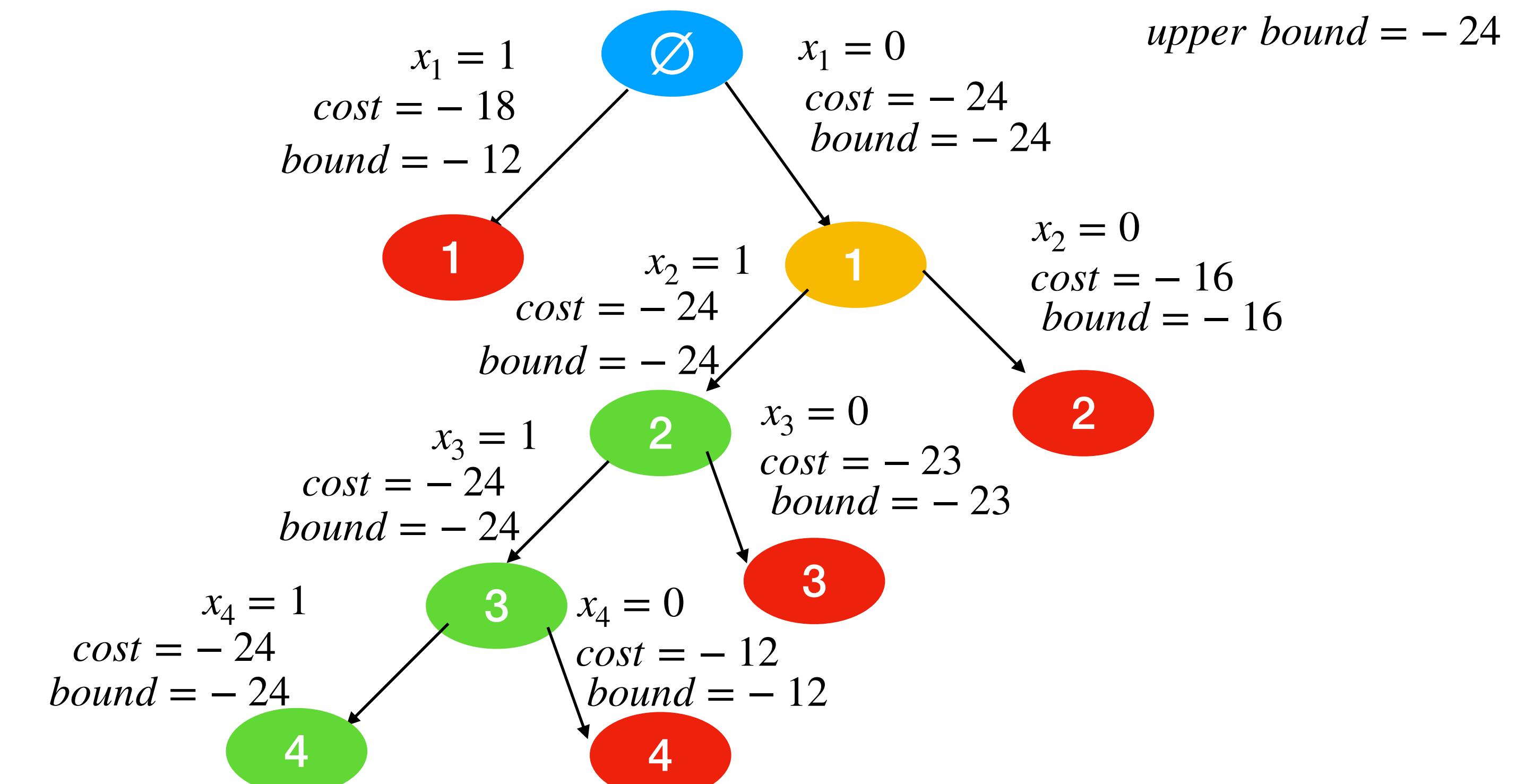
Rules :

Branch chosen : best case

Bounds :

$$cost = (-1) \sum_i^n P_i + (\text{fractional})$$

$$bound = (-1) \sum_i^n P_i$$



Items included = {2,3,4} Total weight = $\sum_i^n W_{2,3,4} = 11$

Total profit = $\sum_i^n P_{2,3,4} = 24$

0-1 Knapsack Problem Best-Case (minimization)

Time complexity Analysis

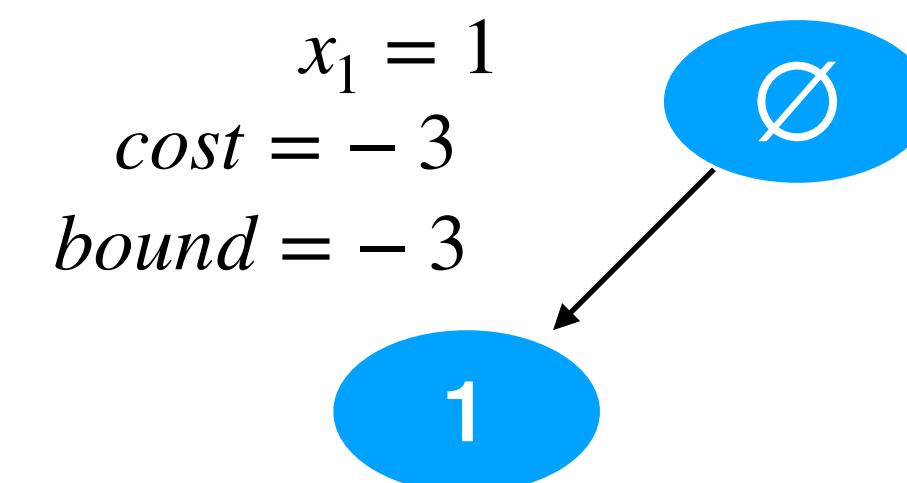
- This algorithm using the best-case technique has its worst time complexity $O(2^n)$ when we need to fully calculate the entire tree. However, at best, the time complexity to find a solution becomes linear since it is inspecting the best-cases for every path, and rejecting the rest of them.
- Still in the worst case, this algorithm performs better than backtracking because some nodes are not explored based on their bounds and infeasibility
- This algorithm is better implemented using a priority queue to keep track of the best nodes.

0-1 Knapsack Problem: Depth First

$$W = 11$$

Items	1	2	3	4
Profit	3	8	1	15
Weights	3	4	2	5

$$upper\ bound = -3$$



Rules :

Branch chosen : best case

Bounds :

$$cost = (-1) \sum_i^n P_i + (fractional)$$

$$bound = (-1) \sum_i^n P_i$$

X₁ included :

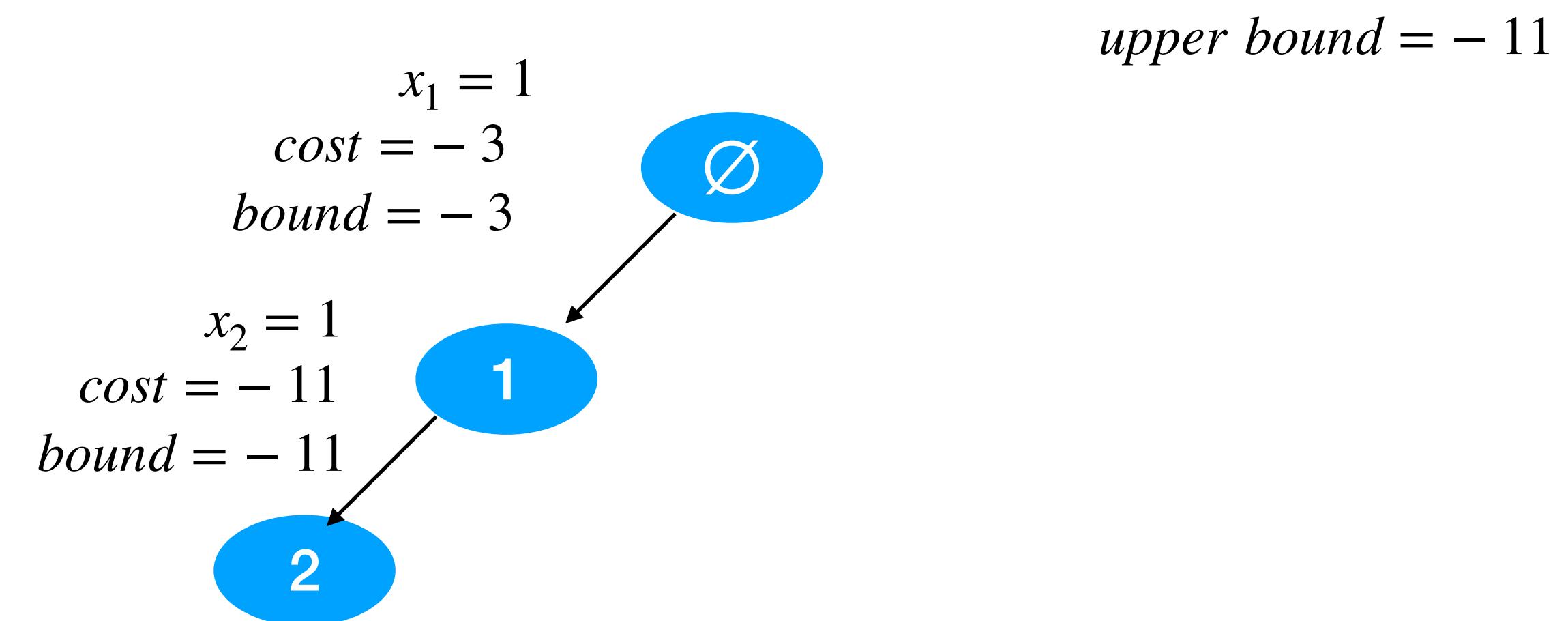
$$cost = (-1)(3) = -3$$

$$bound = (-1)(3) = -3$$

0-1 Knapsack Problem: Depth First

$$W = 11$$

Items	1	2	3	4
Profit	3	8	1	15
Weights	3	4	2	5



Rules :

Branch chosen : best case

Bounds :

$$cost = (-1) \sum_i^n P_i + (\text{fractional})$$

$$bound = (-1) \sum_i^n P_i$$

X₂ included :

$$cost = (-1)(3 + 8) = -11$$

$$bound = (-1)(3 + 8) = -11$$

0-1 Knapsack Problem: Depth First

$$W = 11$$

Items	1	2	3	4
Profit	3	8	1	15
Weights	3	4	2	5

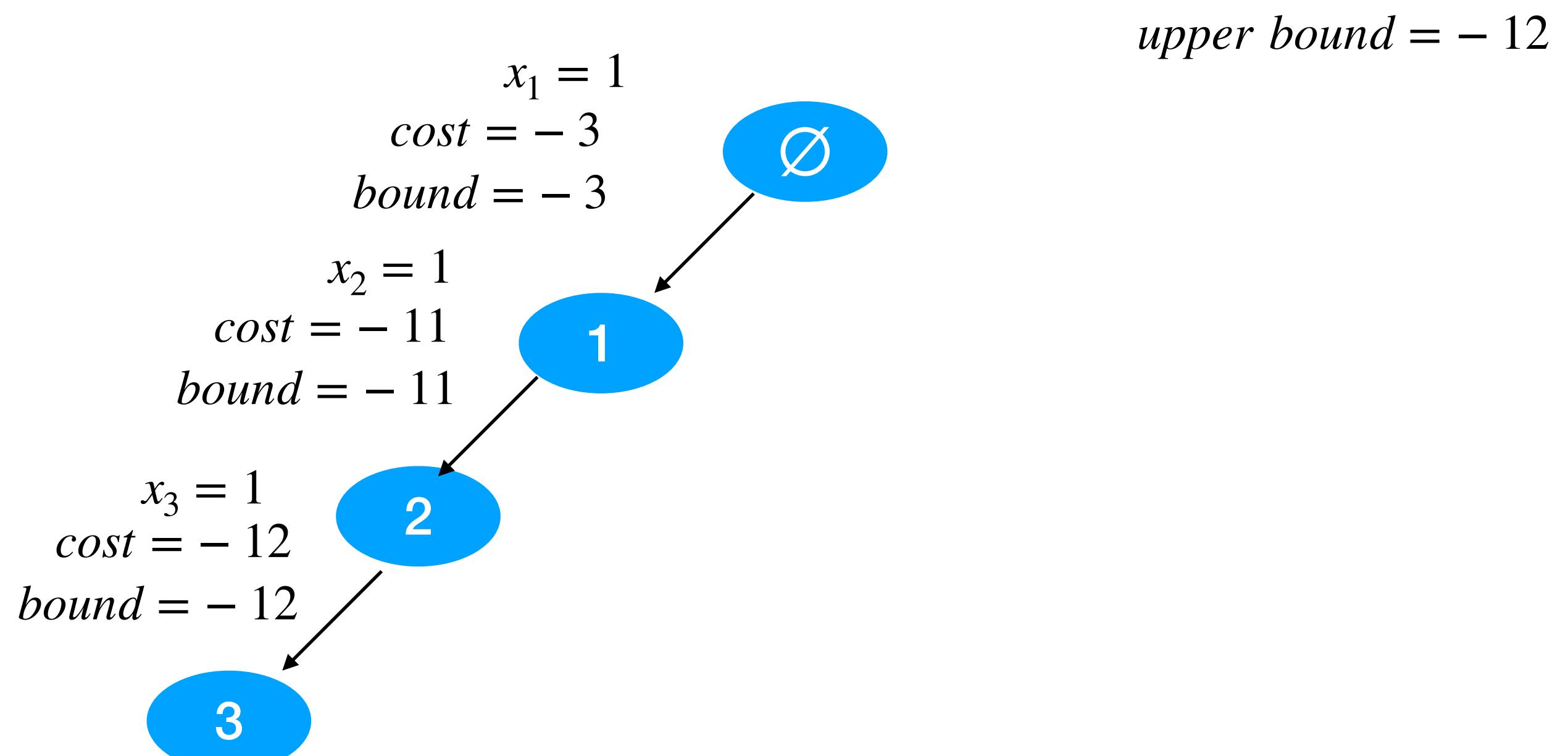
Rules :

Branch chosen : best case

Bounds :

$$cost = (-1) \sum_i^n P_i + (\text{fractional})$$

$$bound = (-1) \sum_i^n P_i$$



X_3 included :

$$cost = (-1)(3 + 8 + 1) = -12$$

$$bound = (-1)(3 + 8 + 1) = -12$$

0-1 Knapsack Problem: Depth First

$$W = 11$$

Items	1	2	3	4
Profit	3	8	1	15
Weights	3	4	2	5

Rules :

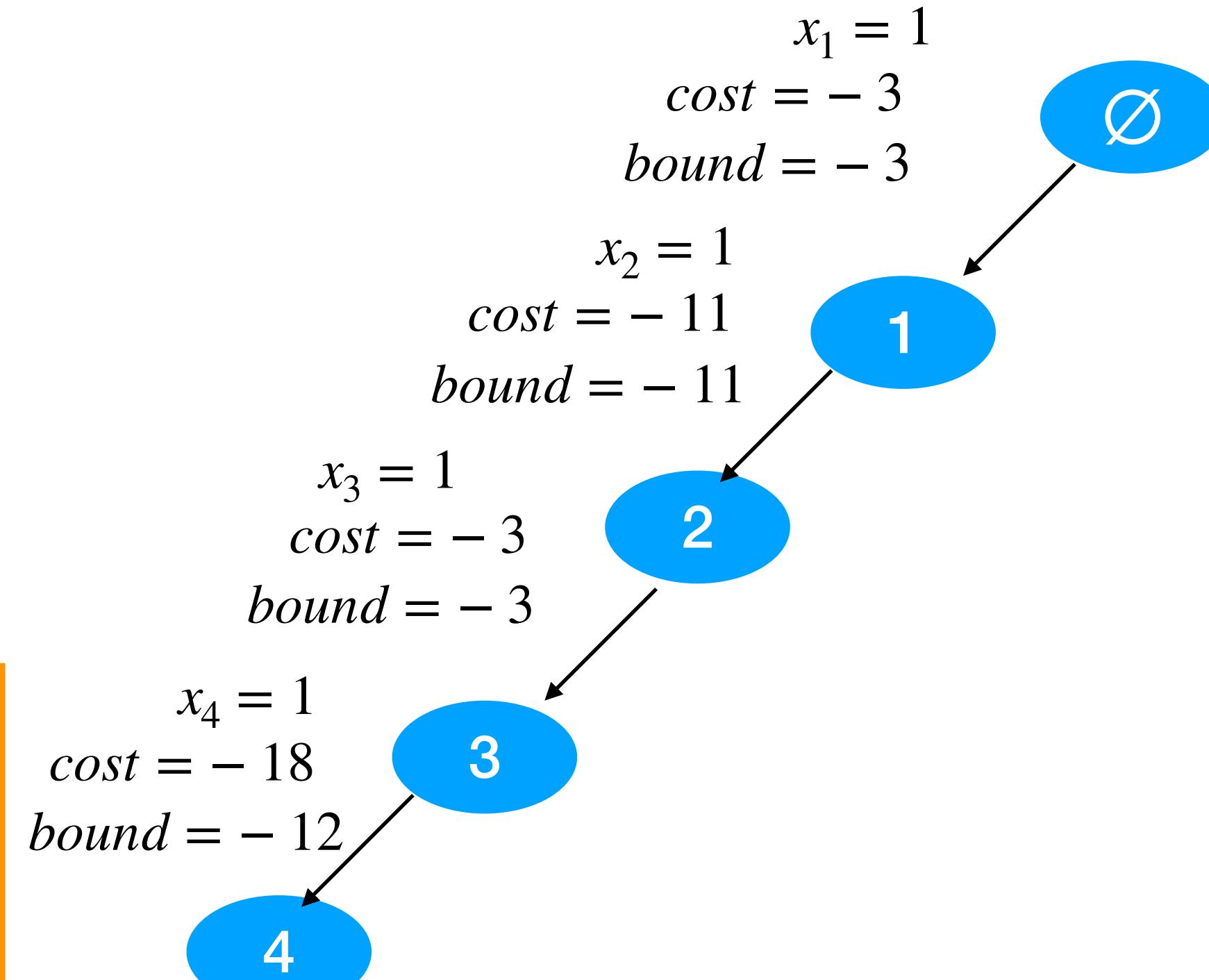
Branch chosen : best case

Bounds :

$$cost = (-1) \sum_i^n P_i + (\text{fractional})$$

$$bound = (-1) \sum_i^n P_i$$

$$upper bound = -12$$



X_4 included :

$$cost = (-1)(3 + 8 + 1 + (\frac{15}{5}(2))) = -18$$

$$bound = (-1)(3 + 8 + 1) = -12$$

0-1 Knapsack Problem: Depth First

$$W = 11$$

Items	1	2	3	4
Profit	3	8	1	15
Weights	3	4	2	5

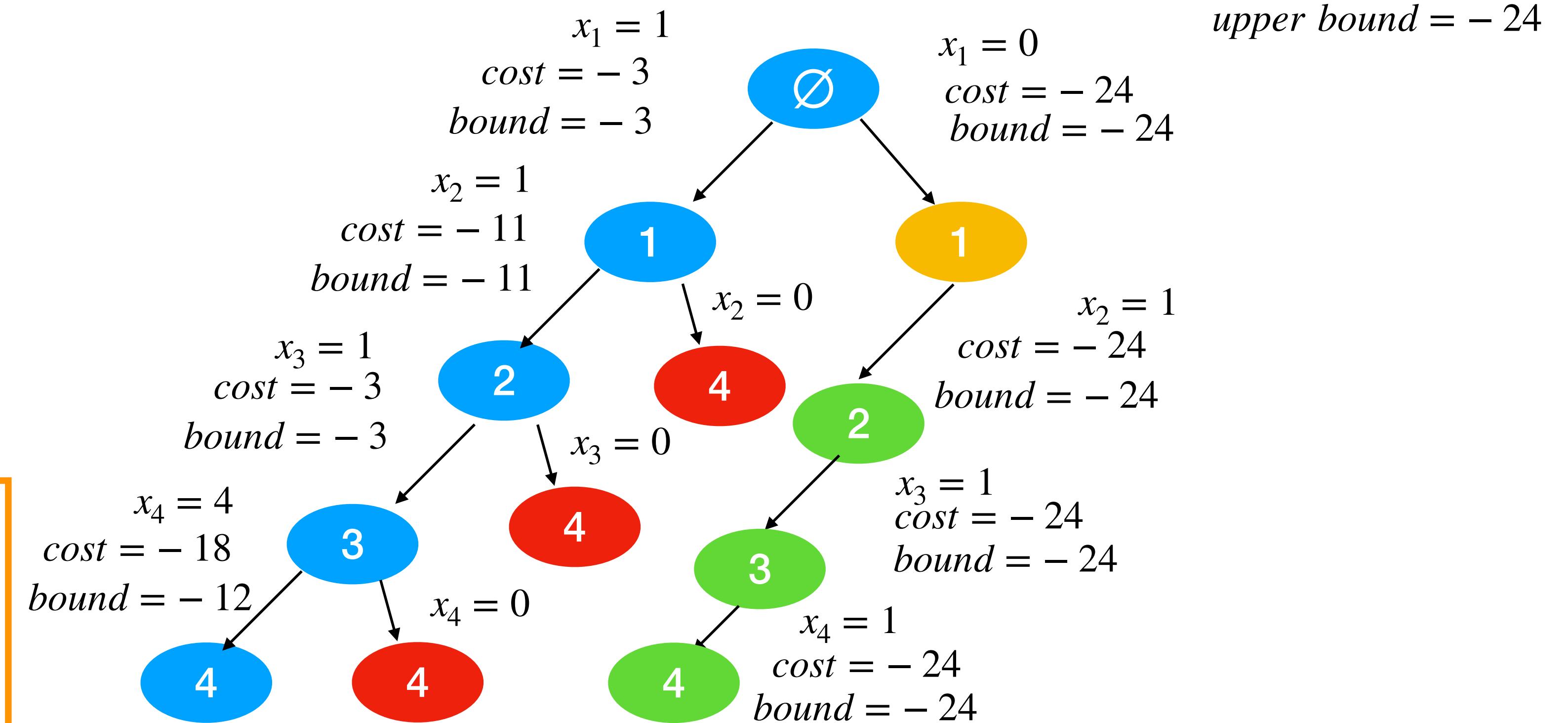
Rules :

Branch chosen : best case

Bounds :

$$cost = (-1) \sum_i^n P_i + (\text{fractional})$$

$$bound = (-1) \sum_i^n P_i$$



X_1 (not included) and X_2, X_3, X_4 (included) :

$$cost = (-1)(0 + 8 + 1 + 15) = -24$$

$$bound = (-1)(0 + 8 + 1 + 15) = -24$$

$$upper_bound = \min\{bound(x_1 = 1), bound(x_1 = 0)\} = -24$$

0-1 Knapsack Problem: Depth First

$$W = 11$$

Items	1	2	3	4
Profit	3	8	1	15
Weights	3	4	2	5

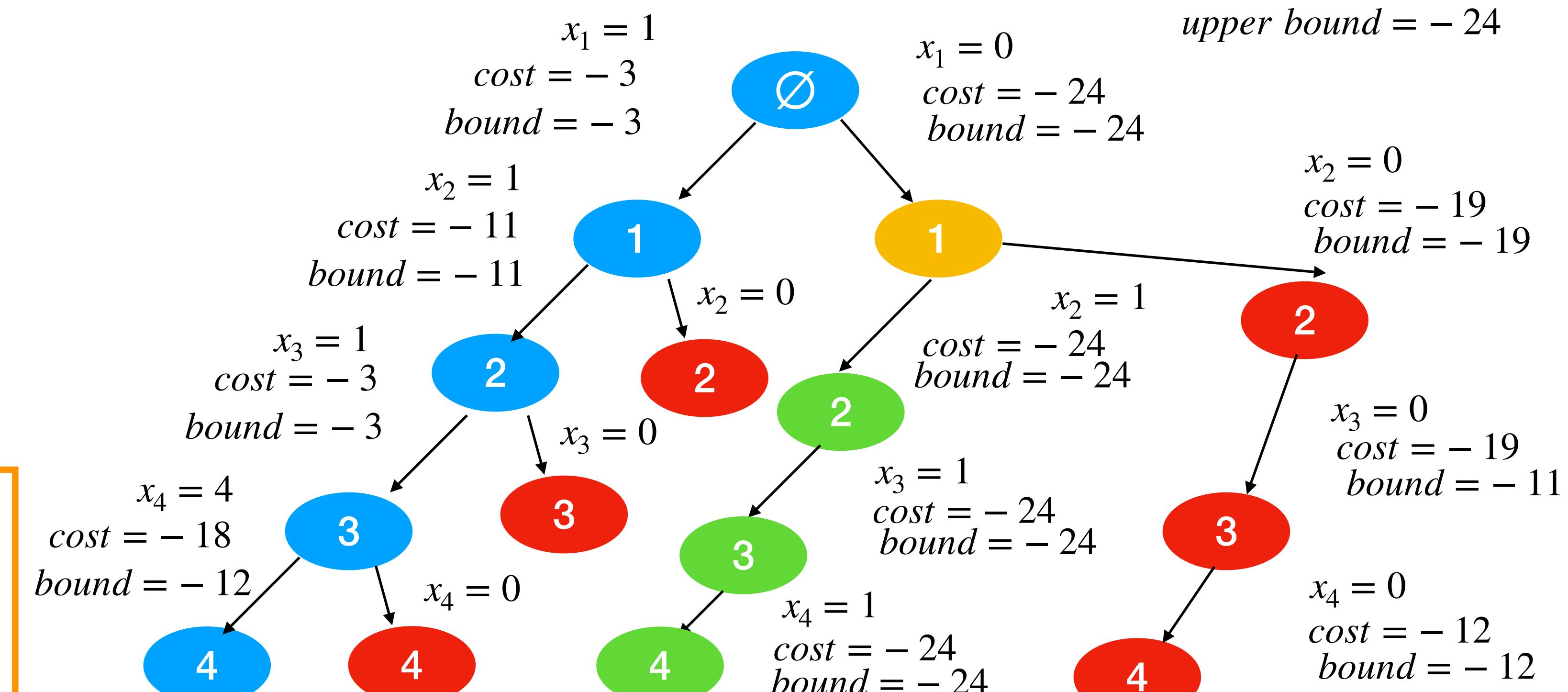
Rules :

Branch chosen : best case

Bounds :

$$cost = (-1) \sum_i^n P_i + (\text{fractional})$$

$$bound = (-1) \sum_i^n P_i$$



Items included = {2,3,4} Total weight = $\sum_i^n W_{2,3,4} = 11$

Total profit = $\sum_i^n P_{2,3,4} = 24$

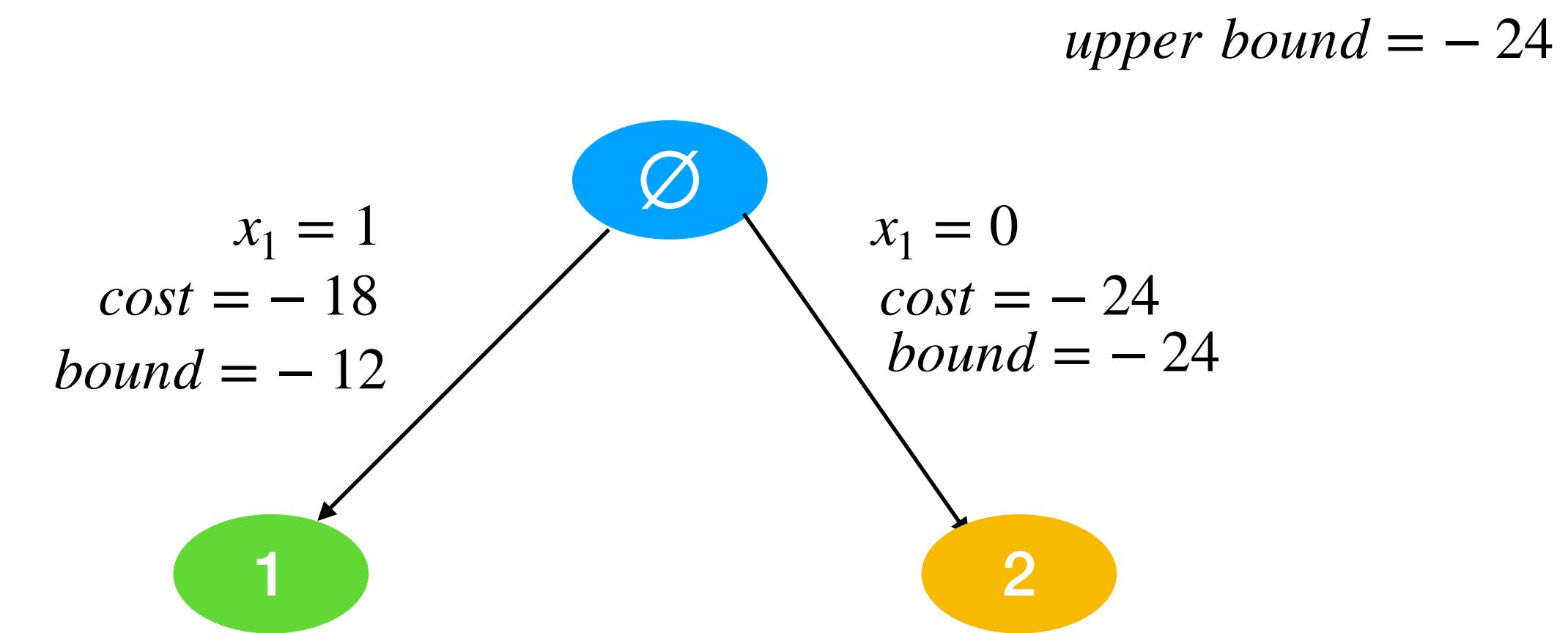
0-1 Knapsack Problem Depth-First Time complexity Analysis

- Since this approach needs to first compute all the included nodes (same as backtracking) the bound is only computed on the last included node, and the number of computations increases in relation to the branch and bound (best-case)
- Worst case scenario for this approach is still $O(2^n)$

0-1 Knapsack Problem: Breadth-First

$$W = 11$$

Items	1	2	3	4
Profit	3	8	1	15
Weights	3	4	2	5



Rules :

Branch chosen : best case

Bounds :

$$\text{cost} = (-1) \sum_i^n P_i + (\text{fractional})$$

$$\text{bound} = (-1) \sum_i^n P_i$$

X₁ not included :

$$\text{cost} = (-1)(0 + 8 + 1 + 15) = -24$$

$$\text{bound} = (-1)(0 + 8 + 1 + 15) = -24$$

$$\text{upper_bound} = \min\{\text{bound}(x_1 = 1), \text{bound}(x_1 = 0)\} = -24$$

0-1 Knapsack Problem: Breadth-First

$$W = 11$$

Items	1	2	3	4
Profit	3	8	1	15
Weights	3	4	2	5

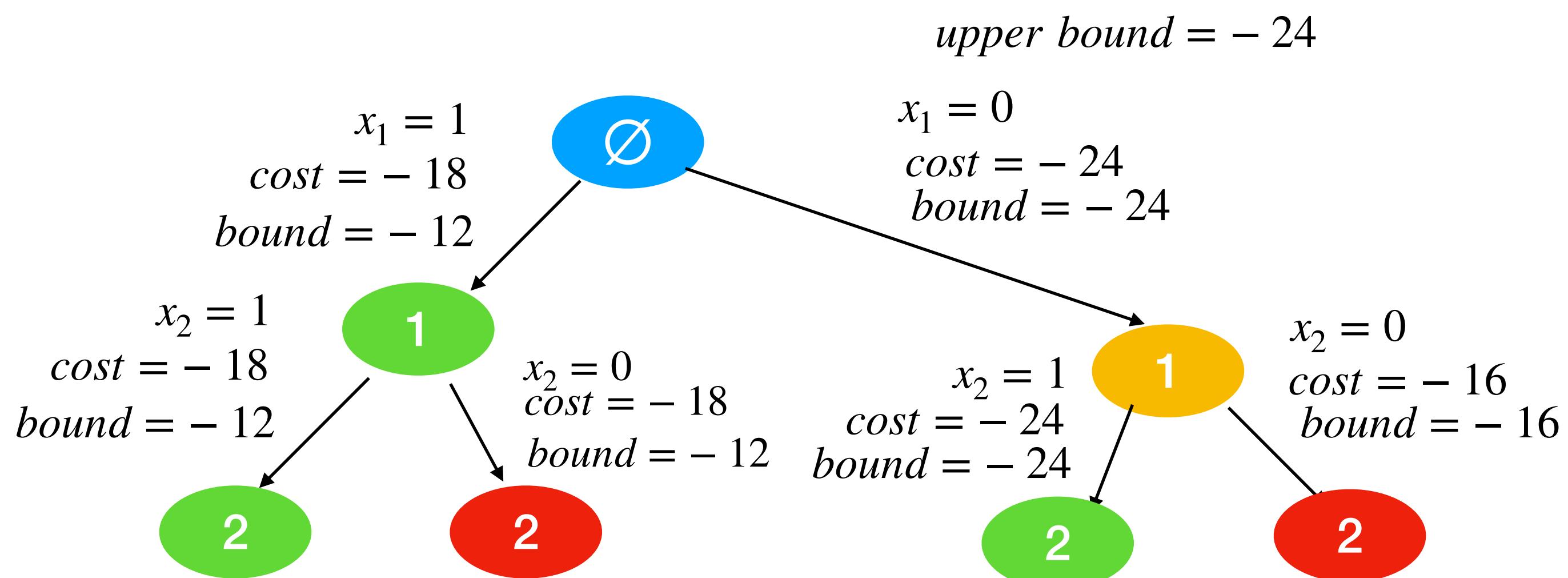
Rules :

Branch chosen : best case

Bounds :

$$cost = (-1) \sum_i^n P_i + (\text{fractional})$$

$$bound = (-1) \sum_i^n P_i$$



0-1 Knapsack Problem: Breadth-First

$$W = 11$$

Items	1	2	3	4
Profit	3	8	1	15
Weights	3	4	2	5

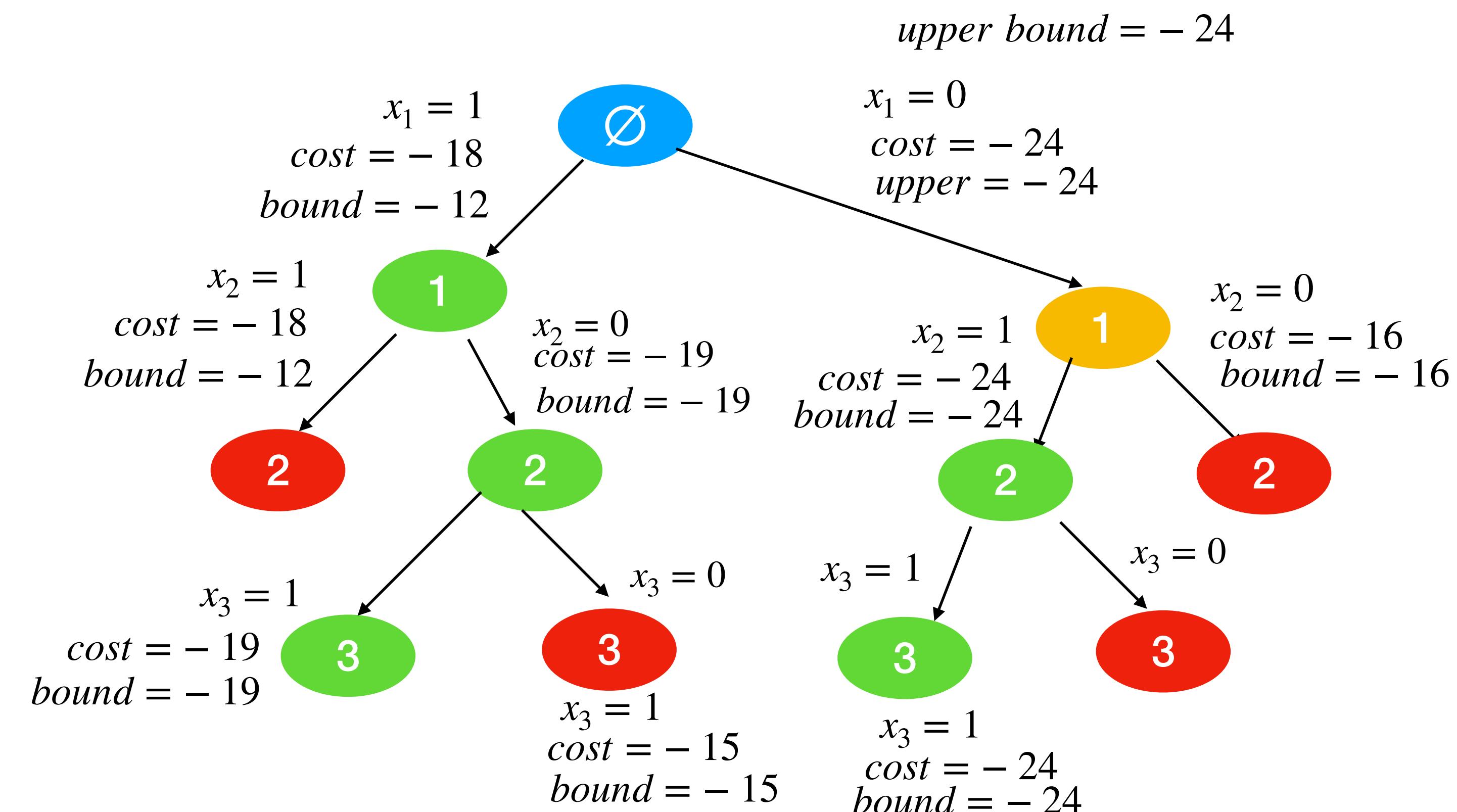
Rules :

Branch chosen : best case

Bounds :

$$cost = (-1) \sum_i^n P_i + (\text{fractional})$$

$$bound = (-1) \sum_i^n P_i$$



0-1 Knapsack Problem: Breadth-First

$$W = 11$$

Items	1	2	3	4
Profit	3	8	1	15
Weights	3	4	2	5

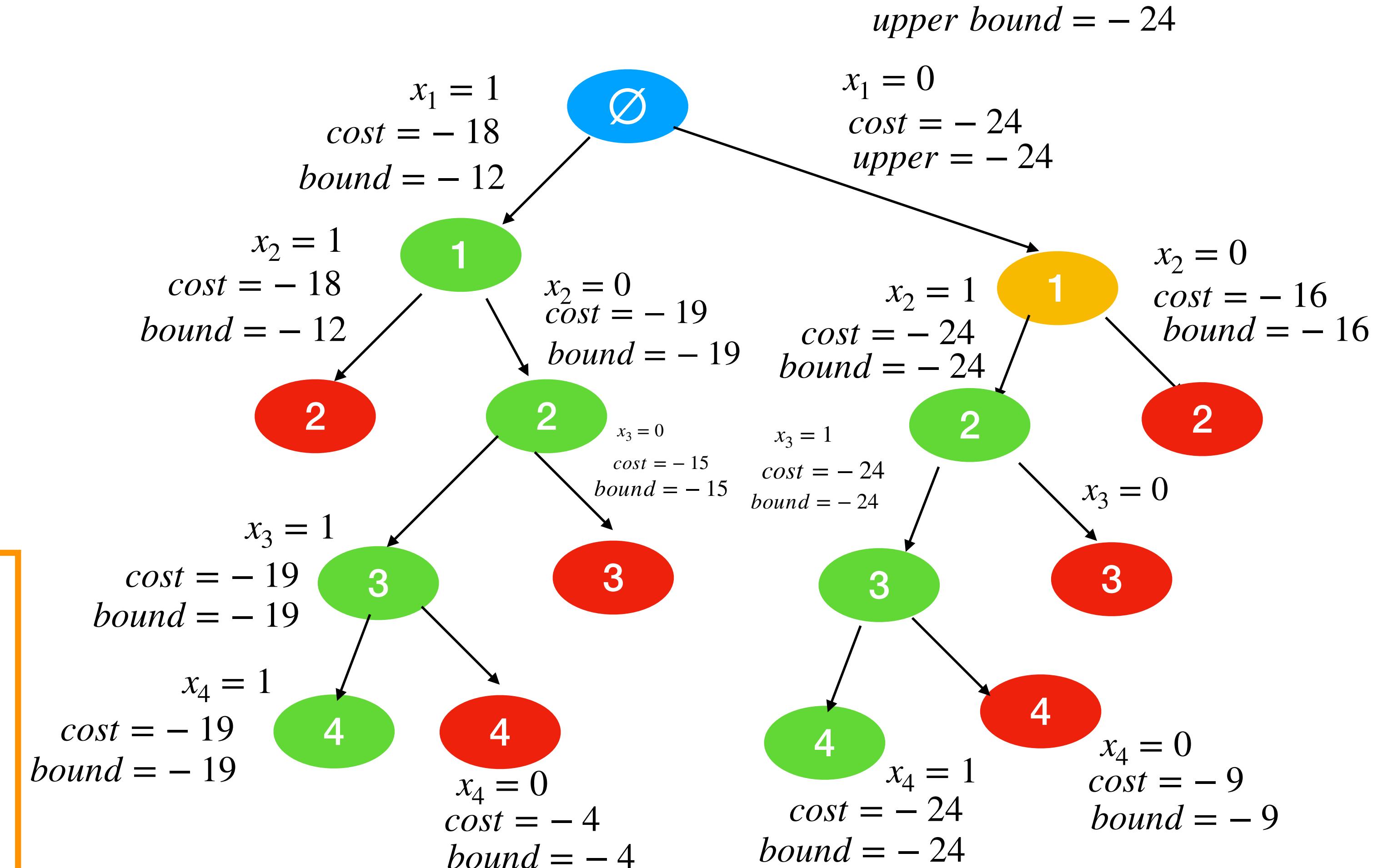
Rules :

Branch chosen : best case

Bounds :

$$cost = (-1) \sum_{i=1}^n P_i + (fractional)$$

$$upper = (-1) \sum_{i=1}^n P_i$$



$$Items\ included = \{2,3,4\} \quad Total\ weight = \sum_n W_{2,3,4} = 11$$

$$Total\ profit = \sum_i^n P_{2,3,4} = 24$$

0-1 Knapsack Problem Breadth-First Time complexity Analysis

- This approach needs to compute nodes at each level. This means that until the algorithm doesn't reach the last level, a decision cannot be made. Worst case scenario for this approach is still $O(2^n)$

0-1 Knapsack Problem Brach and Bound Summary

From all the brach and bound approaches to solve this problem, the best-case approach is the best suited since it only considers good nodes with maximum profit and rejects all the unfeasible paths. Thus, by using the best-case approach, the number of computations made by the algorithm decreases in relation to Depth-First and Breadth-First ones.

Traveling Salesperson Problem

Branch and Bound

Least Cost

TSP Branch and Bound

- Problem Statement
 - Given a list of cities and the distances between each pair of cities, what is the shortest possible route that visits each city exactly once and returns to the original city?
 - Brute force (next slide) will compute all the possible permutations in order to get the shortest possible route in $O(n!)$. Not good!
 - We can do slightly better using dynamic programming since it will take only into account the minimum shortest paths computed so far in $O(n^2 2^n)$. This may not seem a great improvement. However, it will make the problem more feasible with graphs of at most 20 nodes approximately using a typical computer.
 - The branch and bound technique for solving this problem uses a breadth-first approach to display the nodes, and best-case to select the minimum cost path for each node

TSP Branch and Bound

Here is the adjacently matrix for the traveling salesman problem representing a graph of four vertices

Find the shortest possible route that visits each vertex exactly once, and returns to the source vertex. Consider for this problem source vertex to be V_0 using Branch and Bound (Least Cost)

	A	B	C	D
A	∞	25	10	15
B	25	∞	10	45
C	10	10	∞	5
D	15	45	5	∞

TSP Branch and Bound (Least Cost)

1. For each row, compute the minimum value

	A	B	C	D	Min
A	∞	25	10	15	10
B	25	∞	10	45	10
C	10	10	∞	5	5
D	15	45	5	∞	5



2. For each cell, reduce its value using the following formula $ReducedVal = ActualVal - MinVal$

	A	B	C	D
A	∞	15	0	5
B	15	∞	0	35
C	5	5	∞	0
D	10	40	0	∞

3. For each column, compute the minimum value

	A	B	C	D
A	∞	15	0	5
B	15	∞	0	35
C	5	5	∞	0
D	10	40	0	∞



4. For each column, reduce its value using the following formula $ReducedVal = ActualVal - MinVal$

	A	B	C	D
A	∞	10	0	5
B	10	∞	0	35
C	0	0	∞	0
D	5	35	0	∞

$$ReducedCost(A) = \sum MinCol + \sum MinRow = 10 + 30 = 40$$

TSP Branch and Bound (Least Cost)

Reduced Matrix

	A	B	C	D
A	∞	10	0	5
B	10	∞	0	35
C	0	0	∞	0
D	5	35	0	∞

$A \rightarrow D$

	A	B	C	D
A	∞	∞	∞	∞
B	10	∞	0	∞
C	0	0	∞	∞
D	∞	35	0	∞

$A \rightarrow B$

	A	B	C	D
A	∞	∞	∞	∞
B	∞	∞	0	35
C	0	∞	∞	0
D	5	∞	0	∞

$A \rightarrow D \rightarrow B$

	A	B	C	D
A	∞	∞	∞	∞
B	∞	∞	0	∞
C	0	∞	∞	∞
D	∞	∞	∞	∞

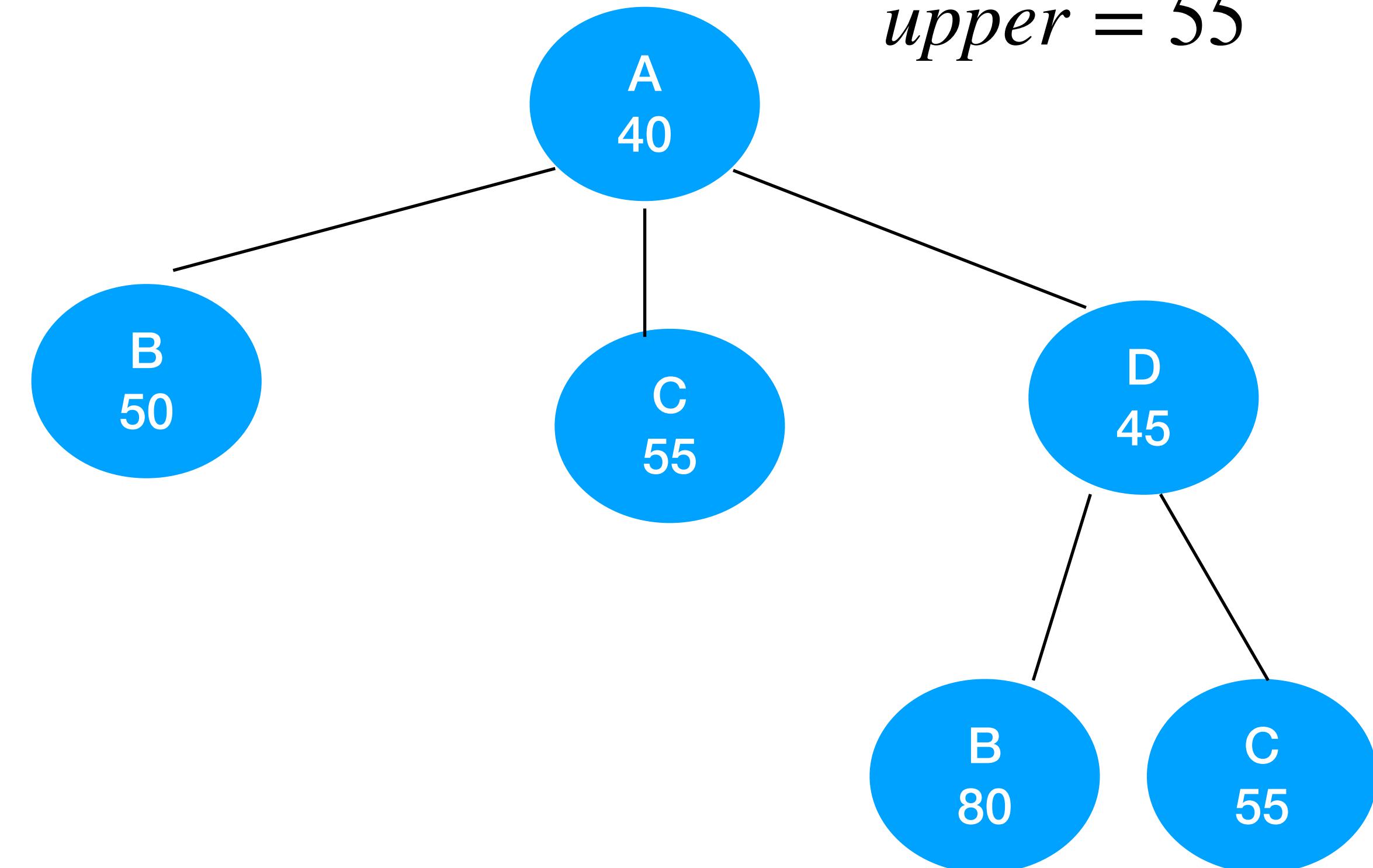
$A \rightarrow C$

	A	B	C	D
A	∞	∞	∞	∞
B	0	∞	∞	25
C	∞	0	∞	0
D	0	30	∞	∞

$A \rightarrow D \rightarrow C$

	A	B	C	D
A	∞	∞	∞	∞
B	0	∞	0	∞
C	∞	∞	∞	∞
D	∞	∞	∞	∞

$upper = 55$



Minimum Path: $A - D - C - B - A = 55$

TSP Branch and Bound Summary

- The best-case approach together with a breadth-first search give pretty good results in this problem because we reject unpromising vertices before exploring in deep the tour for that vertex.
- The time complexity of this problem is still similar to the brute force one. However, in practice, branch and bound performs better depending on the bounding function given
- TSP still is one of the most interesting problems in algorithms and one of the most researched.

Date: 10/23/23

p_i	w_i	i	0	1	2	3	4	5	6	7	8	9
\$0	0 kg	0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
\$50	5 kg	1	\$0	\$0	\$0	\$0	\$0	\$50	\$50	\$50	\$50	\$50
\$20	3 kg	2	\$0	\$0	\$0	\$20	\$20	\$50	\$50	\$70	\$70	\$70
\$30	4 kg	3	\$0	\$0	\$0	\$20	\$30	\$50	\$50	\$70	\$80	\$80
\$25	2 kg	4	\$0	\$0	\$25	\$25	\$30	\$50	\$55	\$75	\$75	\$80
\$5	1 kg	5	\$0	\$5	\$25	\$30	\$50	\$55	\$75	\$80	\$80	\$80

$$M[4][9] = \max(M[3][9], M[3][9-2] + P[4])$$

i w

↓ ↓

We don't include the item We include the item

Max (\$80) or \$50 + \$25

\ /

\$75

formula $\rightarrow M[i][w] = \max(M[i-1][w], M[i-1][w-w_i] + P[i])$

Ex: $M[2][8] = \max(M[1][8], M[1][8-3] + P[2])$

\$50 , \$50 + \$20 = \$70

Dynamic Programming is important because it finds the most optimize solution

How to find the items that conform this solution

$$sol = \{ \#3, \#1, \emptyset \}$$

$$9 - 4 = 5 \text{ kg}$$

$$5 - 5 = 0 \text{ kg}$$

Empty set always there

Why this?

\$80

because $\{\#3, \#1, \emptyset\}$ is

better than $\{\#1, \#2, \#5, \emptyset\}$

\$75

Pseudocode

$(n+1)(w+1)$ → Initialize matrix to 0

$(n+1)$ → for each i in Matrix:

$(w+1)$ → for each w in Matrix

1 → If $w < w[i]$ then $M[i][w] = M[i-1][w]$

1 → else $M[i][w] = \text{Max}(M[i-1][w], M[i-1][w-w[i]] + P[i])$

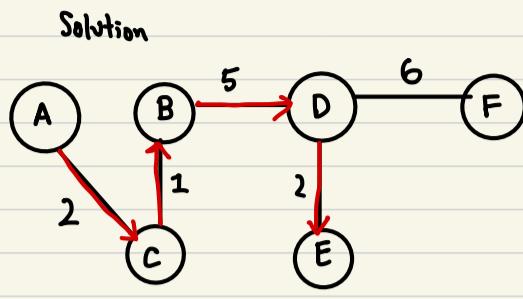
$$t(n) = (n+1)(w+1) + (w+1) = nw + n + w + 1 + (w+1) = O(nw)$$

Dijkstra Algorithm (greedy)

10/30/2023

Find the minimum path from the source node to all the other nodes

$\{V, W\}$	B	C	D	E	F
A	A, 4	A, 2	A, ∞	A, ∞	A, ∞
A, C	C, 3	X	C, 10	C, 12	C, ∞
A, C, B	X	X	B, 8	C, 12	B, ∞
A, C, B, D	X	X	X	D, 10	D, 14
A, C, B, D, E	X	X	X	X	D, 14



$$\min \{A, B\}, \{A, C, B\}$$

$$4 \quad 2 + 1 = 3$$

$$= 3$$

$$\min \{A, D\}, \{A, C, D\}$$

$$\infty \quad 2 + 8 = 10$$

$$= 10$$

Destination	Path	Cost
B	{A, C, B}	3
C	{A, C}	2
D	{A, C, B, D}	8
E	{A, C, B, D, E}	10
F	{A, C, B, D, F}	14

$$\text{cost}(A, v_i) = \min(\{A, v_i\}, \{A, \{v_k\}, v_i\})$$

Visited

0	1	2	3	4	5
1		1			

space complexity

$$t(n) = n + n^2$$

$$= O(n^2)$$

time complexity

$$O(n^2)$$

$$\min \{A, C, D\}, \{A, C, B, D\}$$

$$10, \quad 2 + 1 + 5 = 8$$

$$= 8$$

$$\{A, C, E\}, \{A, C, B, E\}$$

$$12, \quad 2 + 1 + \infty$$

$$= 12$$

$$\{A, C, F\}, \{A, C, B, F\}$$

$$\infty, \quad 2 + 1 + \infty$$

$$\{A, C, B, F\}, \{A, C, B, D, E\}$$

$$12, \quad 2 + 1 + 5 + 2 = 10$$

$$= 10$$

$$\{A, C, B, F\}, \{A, C, B, D, F\}$$

$$\infty, \quad 2 + 1 + 5 + 6 = 14$$

$$= 14$$

$$\{A, C, B, D, F\}, \{A, C, B, D, E, F\}$$

$$14,$$

$$2 + 1 + 5 + 2 + 5 = 15$$

$$= 14$$

Visited	B	C	D	E	F
{A}	A, 4	A, 2	A, ∞	A, ∞	A, ∞
0	1	2	3	4	5

0	(0, 4)	(0, 2)	(0, ∞)	(0, ∞)	(0, ∞)
0	(1, 3)				

$$t(n) = n + n = 2n$$

for each v in graph : $\rightarrow N$

Compute Dijkstra $\rightarrow N^2$

$$O(n^3)$$

visited	B	C	D	E	F	
{A}	A,4	A,2	A,∞	A,∞	A,∞	
0	1	2	3	4	5	
A	B	C	D	E	F	
0	(0,4) ↓ (2,3)	(0,2)	(0,∞)	(0,∞)	(0,∞)	
	0	1	2	3	4	5

Visited =

1	0	1	0	0	0
---	---	---	---	---	---

$$t(n) = n + n = 2n$$

for each v in graph : $\rightarrow N$

Compute Dijkstra $\rightarrow N^2$

$$\mathcal{O}(n^3)$$

Encryption algorithm

	Data	Codes
User 1	01	0000
User 2	10	1111
User 3	11	

bits → Volts

$$f(b) = v$$

two rules

- (1) $f(0) = +1v$
- (2) $f(1) = -1v$

use XOR operation

$$1 \text{ XOR } 1 = 0$$

$$0 \text{ XOR } 0 = 0$$

$$1 \text{ XOR } 0 = 1$$

$$0 \text{ XOR } 1 = 1$$

Receiver

$$f(b) = v$$

$$f\left(\frac{\sum_{i=1}^c f_i c_i}{\text{Length(code)}}\right) = \text{bit}$$

$$\begin{array}{r} +1v, +1v, +1v, +1v \\ -1v, -1v, -1v, -1v \\ \hline -1v, -1v, -1v, -1v \\ = -4v \end{array}$$

	Data	Codes
User 1	01	0000
User 2	10	1010
User 3	11	1100

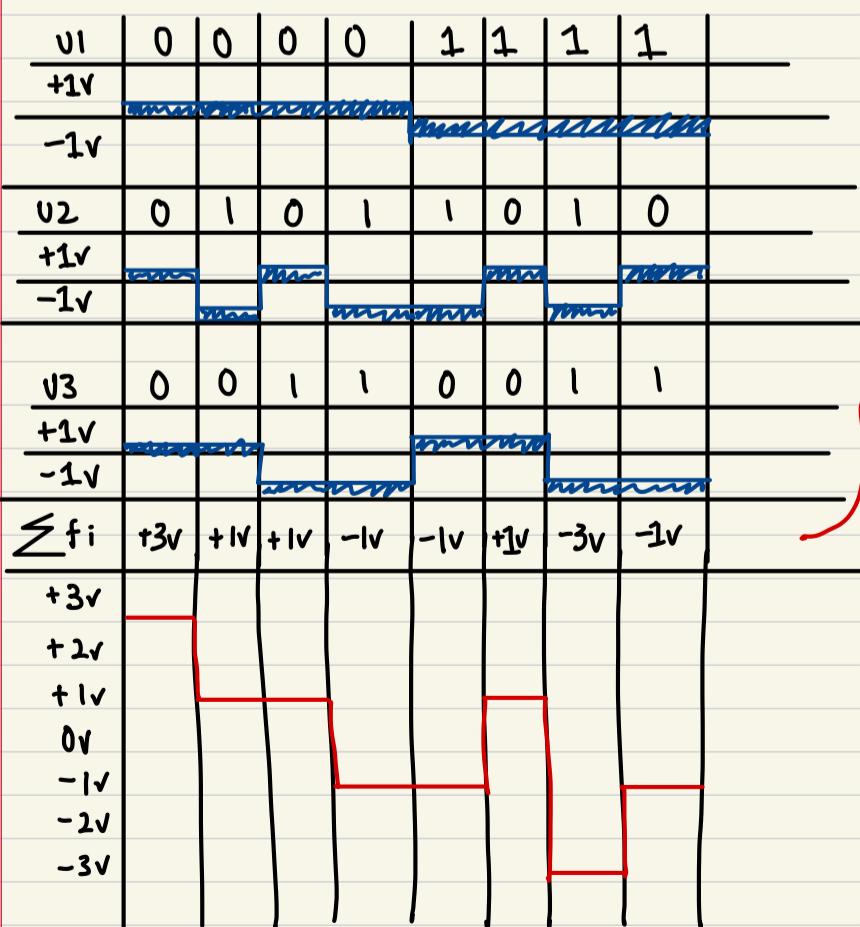
$$\begin{array}{r} +1v, +1v, +1v, +1v \\ -1v, -1v, +1v, +1v \\ (-1v) + (-1v) + 1v + 1v \\ = 0 \end{array}$$

	Data	Codes
User 1	01	0000
User 2	10	1010
User 3	11	1100

$$\begin{array}{r} v_1 = 00001111 \\ \text{XOR } 00000000 \\ \hline 00001111 \end{array}$$

$$\begin{array}{r} v_2 = 11110000 \\ \text{XOR } 10101010 \\ \hline 01011010 \end{array}$$

$$\begin{array}{r} v_3 = 11111111 \\ \text{XOR } 11001100 \\ \hline 00110011 \end{array}$$



$$\text{Decode } \left(\frac{\sum_{i=1}^c f_i c_i}{\text{Length(code)}} \right) = \text{bit}$$

$$\begin{array}{r} +3v, +1v, +1v, -1v, -1v, +1v \\ -1v, +1v, -1v, +1v \\ \hline (-3v) + (1v) + (-1v) + (-1v) \\ = -\frac{4v}{4} = -1v \end{array} \quad \begin{array}{r} -1v, +1v, -3v, -1v \\ -1v, +1v, -1v, +1v \\ \hline (1v) + (1v) + (3v) - 1v \\ = \frac{4v}{4} = 1v \end{array}$$

$$f(-1v) = 1 \text{ bit}$$

$$f(1 \text{ bit}) = -1v$$

$$f(0 \text{ bit}) = +1v$$

$$f^{-1}(-1v) = 1 \text{ bit}$$

$$f^{-1}(+1v) = 0 \text{ bit}$$

PS vedo code

next page

$n = \# \text{ uses}$

Steps for pseudocode

Sender

1. finding orthogonal codes $O(n^3)$
2. XOR operation
for every $O(n(d+c))$
 $d = \text{Length of data}$
 $c = \text{Length of code}$

receiver

3. Tables of frequencies $O(n(d+c))$
 $nd + nc$
4. creating the decoding data process $O(d+c)$
5. Decoding $O(1)$

What approach I have implemented in this algorithm

- (1) brute force
- (2) Divide & conquer
- (3) Backtracking (DFS, LC, or BFS)
- (4) Dynamic programming
- (5) Greedy
- (6) None that we covered so far

Bellman - Ford (Distance Vector Version)

	A	B	C	D
A	0	3	4	∞
B	∞	0	∞	∞
C	∞	∞	0	∞
D	∞	∞	∞	0

	A	B	C	D
A	0	∞	∞	∞
B	∞	0	∞	∞
C	∞	-2	0	∞
D	∞	∞	∞	0

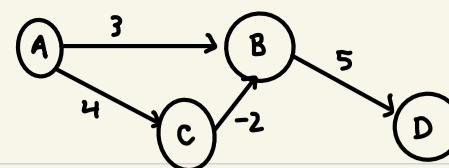
P = NP

	A	B	C	D
A	0	∞	∞	∞
B	∞	0	∞	5
C	∞	-2	0	∞
D	∞	∞	∞	0

	A	B	C	D
A	0	∞	∞	∞
B	∞	0	∞	∞
C	∞	∞	0	∞
D	∞	∞	∞	0

from

to



Step 2

	A	B	C	D
A	0	2	4	8
B	∞	0	∞	5
C	∞	-2	0	3
D	∞	∞	∞	0

$$\text{Dist}_A(B) = \min \left\{ \begin{array}{l} A \rightarrow B + B \rightarrow B, 3 + 0 = 3 \\ A \rightarrow C + C \rightarrow B, 4 + (-2) = 2 \\ A \rightarrow D + D \rightarrow B, \infty + \dots \\ = 2 \end{array} \right.$$

$$\text{Dist}_A(C) = \min \left\{ \begin{array}{l} A \rightarrow C + C \rightarrow C = 4 + 0 \\ A \rightarrow B + B \rightarrow C = 5 + -3 \\ A \rightarrow D + D \rightarrow C = \infty + x \\ = 4 \end{array} \right.$$

$$\text{Dist}_A(D) = \min \left\{ \begin{array}{l} \text{cost}(A, D) + \text{Dist}_D(D), \infty + 0 \\ \text{cost}(A \rightarrow B) + \text{Dist}_B(D), 3 + 5 = 8 \\ \text{cost}(A \rightarrow C) + \text{Dist}_C(D), 4 + \infty \\ = 8 \end{array} \right.$$

$$\text{Dist}_B(A) = \min \left\{ \begin{array}{l} \text{cost}(B, A) + \text{Dist}_A(A), \infty + 0 \\ \text{cost}(B, C) + \text{Dist}_C(A), \infty + \infty \\ \text{cost}(B, D) + \text{Dist}_D(A), 5 + \infty \\ = \infty \end{array} \right.$$

$$\text{Dist}_B(C) = \min \left\{ \begin{array}{l} \text{cost}(B, C) + D, \infty \\ \text{cost}(B, A) + \text{Dist}_A(C), \infty \\ \text{cost}(B, D) + \text{Dist}_D(C), 5 \end{array} \right.$$

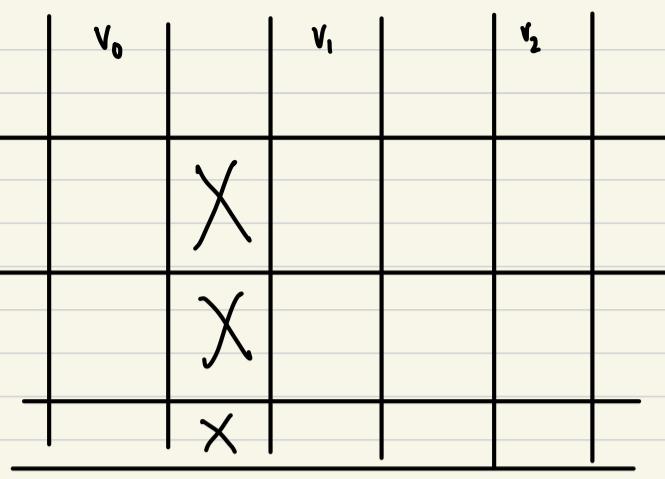
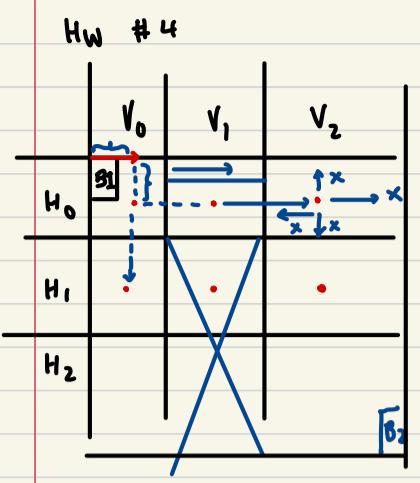
$$\text{Matrix}(n) = \text{Matrix}(n) - 1$$

	A	B	C	D
A	0			
B	0			
C		0		
D			0	

time complexity

Step 1

Step 2 matrix \rightarrow matrix $\rightarrow \dots + n-1$
so a for loop



Put it in a perspective of a person

\leftarrow right \downarrow \rightarrow left

$$T(n) \geq T\left(\frac{n}{3}\right) + n^3 + n^2 + n + 1$$

$$T(n) = 9^{\log_3 n} \left(1 + \frac{3n^3}{2} \left(\frac{1}{3^{\log_3 n}} - 1\right) + n \log_3 n + n \left(\frac{3^{\log_3 n} - 1}{2}\right)\right) + \frac{9^{\log_3 n} - 1}{8}$$

$$T(n) = n^2 - \frac{3n^3}{2} \left(\frac{1}{n} - 1\right) + n \log_3 n + n \left(\frac{n-1}{2}\right)$$

$$\Theta(n^3)$$

HW #2 pseudo code

```

function SortFile (file-path , size-file , size-buffer )
    I1 : of size size buffer
    I2 :
    O1 :
        file = Load-file (file path)
        number of passes = Log2 (size of file) - 1
        number of files = Size of file / size of buffers
        first-pass (file , number of files)
        next-pass (1 , number of passes , number files , size of file )
    
```

```
function FirstPass (file , number of files)
```

```

    While file is not empty {
        I1 = read(size of buffer)
        O1 = Quicksort (I1)
        O1 = flush (tmpfile)
    }

```

```

function nextPass (currentpass , number-passes , number of files , size of file )
    If Currentpass = number of passes then return
    file index = 0
    While true :
        file 1 = Load (currentpass , file index - 1 )
        file 2 = Load (currentpass , file index )
        While file 1 and file 2 are not empty
            I1 read (file1)
            I2 read (file2)
            O1 Merge (I1, I2)
            file index = file index + 2
        If file index ≥ number of files break
        nextpass (currentpass + 1 , number of passes , number files / 2 , size file * 2 )
    
```

$$t(n) = T\left(\frac{n}{B}\right) + \frac{N}{B} \log \frac{M}{B} \left(\frac{N}{B}\right)$$

$$t(n) = T\left(\frac{N}{2}\right) + \frac{N}{4} \log_2 \frac{N}{4}$$

Master theorem

$$a=1 \quad b=2 \quad k=1 \quad p=1$$

$$\log_2 1 = 0 < k$$

(Base #3 → 2)

$$p=1$$

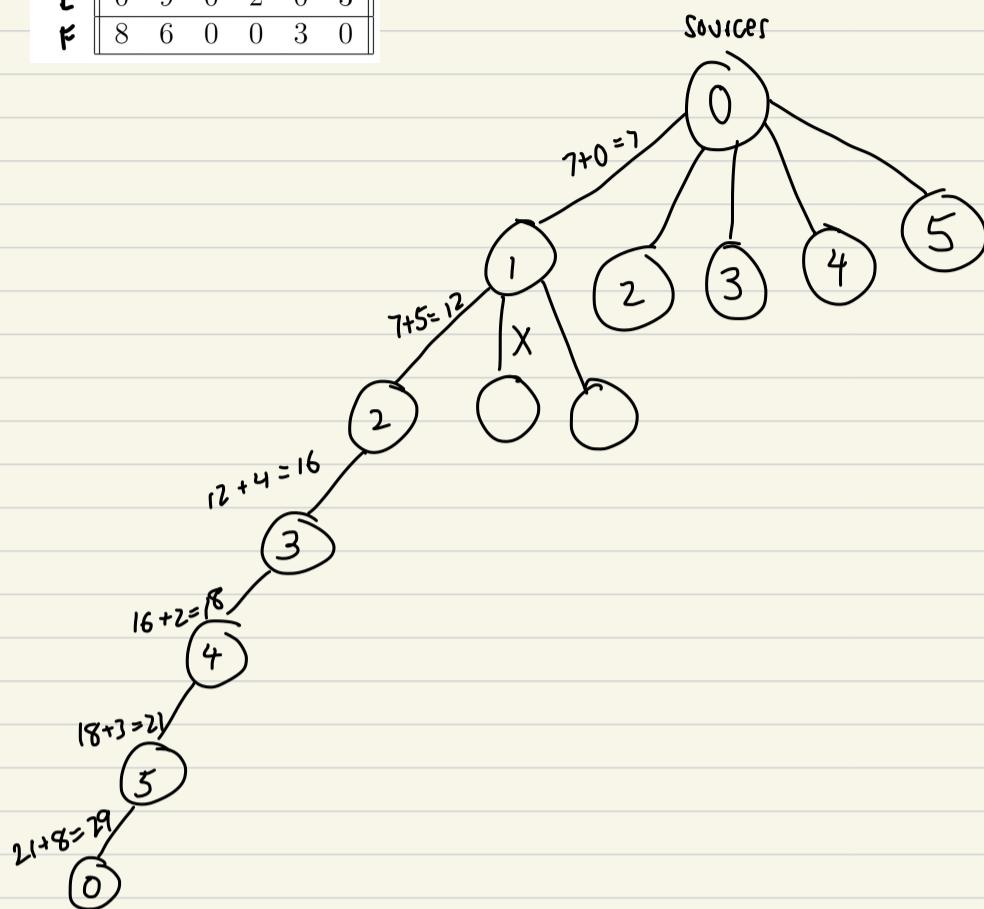
$$\Theta(n^k \log^p n)$$

$$\Theta\left(\frac{N}{B} \log \frac{M}{B} \left(\frac{N}{B}\right)\right)$$

Hw #3 Solutions

	A	B	C	D	E	F
A	0	7	1	0	0	8
B	7	0	5	0	9	6
C	1	5	0	4	0	0
D	0	0	4	0	2	0
E	0	9	0	2	0	3
F	8	6	0	0	3	0

- Step 1. Find all the hamiltonian cycles in the graph, and their distances
 Step 2. Find the minimums and maximums distances and their paths



1. Main method
2. Find distances (Recursive)
3. Find minimum distance
4. Find maximum distance

Find Distances (Graph, num of Nodes, currentNode, nodeCount, visitedNode, distance)

```

if nodeCount == num of Nodes and Graph[currentNode][0] > 0 {
    distance = distance + Graph[currentNode][0]
    distance.add(distance)
    path.add(0)
}
for each nextNode in Graph {
    if visited[nextNode] == False and Graph[current][nextNode] > 0 {
        visited[nextNode] = True
        findDistance (Graph, num of Nodes, nextNode, nodeCount + 1, visitedNodes, distance + Graph[currentNode][nextNode])
        visited[nextNode] = False
    }
}
return
  
```

time complexity =

$n!$

distance [29, 23, 30, 23, 30, 29]
 path [0, 1, 2, 3, 4, 5, 0]

Final Review

- 1) Floyd algorithm
 - 2) knapsack problem (DP or Greedy)
 - 3) time complexity for a given psuedocode (iterative)
 - 4) two problems: Asymptotic order
 - 5) time complexity for a given psuedocode (recursive)
 - 6) Dijkstra algorithm (true or false)
 - 7) P=NP Give you a problem we covered in class
 - 8) N-coloring Problem
 - 9) travelling salesperson problem tsp - decision, tsp search, tsp-opt
- Tsp = Backtracking
Tsp = Branch & Bound
Tsp = Dp

$$2 + \left(\frac{n}{4}\right) + \log_2 n \in O(2^n)$$

use master theorem

not recursive

```
for(k=0, k<n; k++) → n
    for(p=1, p<(n-3), p++) → n
        for(j=2; j<p; j=j^2) → Log Log n
            print(...)
```

then drop : master theorem
Back substitution
 $O(n^2 \log \log n)$

why its $\log \log n$?

j	2^k	2^{2^k}
2	2^1	2^{2^1}
4	2^2	2^{2^2}
16	2^4	2^{2^4}
256	2^8	2^{2^8}
n	2^k	2^{2^k}

$n = 2^{2^k}$

$\log_2 n = 2^k$

$\log \log n = k$

function f(n) {
if $n \leq 1$ return 1 X
for ($i=0$, $i < (n-3)$, $i++$) → n
 for ($j=n$; $j > 1$, $j=\frac{j}{2}$) → $\log n \rightarrow 2^k = \frac{n}{2^k}$
 print(...)}

master theorem

$f\left(\frac{n}{2}\right)$
 $f\left(\frac{n}{2}\right)$
 $f\left(\frac{n}{2}\right)$
 $f\left(\frac{n}{2}\right)$

$$\Rightarrow 4T\left(\frac{n}{2}\right) + n \log n$$

$$a=4 \quad p=1$$

$$b=2$$

$$k=1$$

$$\textcircled{H} (n^{\log_b a})$$

$$\textcircled{H}(n^2)$$

$$\log_2 4 = 2 > k$$

P+2														
w=10	i	w _i	p _i	0	1	2	3	4	5	6	7	8	9	10
	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1	20	40	0	0	0	0	0	0	0	0	0	0	0	0
2	6	24	0	0	0	0	0	0	0	24	24	24	24	24
3	3	6	0	0	0	0	6	6	6	24	24	24	30	30
4	7	40	0	0	0	6	6	6	6	24	40	40	40	46

$$M[i][w] = \max \left(\frac{M[i-1][w]}{24}, M[i-1][w - w_i] + p_i \right)$$

$$\{0\} = 0$$

$$\{1\} = 0$$

$$\{2\} = 1$$

$$\{3\}$$

$$\{\#4, \#3, \emptyset\}$$

The correct answer is: $T(n) = \frac{2^{n+1}-1}{2}$

Question 4
Not answered
Points out of 5
Flag question
Edit question

0/1 Knapsack Problem Branch and Bound (Best Case)
Item: [0, 1, 2, 3, 4, 5]
profit: [10, 15, 5, 7, 6, 9]
weight: [1, 3, 5, 2, 4, 5]
w = 12

What is the cost and bound when item 0 is not included in the knapsack?

Select one:

- a. cost = -22 and bound = -22
- b. cost = -37 and bound = -38
- c. cost = -28 and bound = -28
- d. cost = -22 and bound = -28

The correct answer is: cost = -28 and bound = -28

$$i \quad 0 \quad 1 \quad 2 \quad 3 \quad 4 \quad 5$$

$$p_i \quad 10, 15, 5, 7, 6, 9$$

$$w_i \quad 1, 3, 5, 2, 4, 5$$

$$cost = 1 + 0 + 5 + 2 + 4 + 0$$

$$cost = (10 + 0 + 5 + 7 + 6 + 9)(-1)$$

$$bound =$$

$$cost = \sum p_i + \frac{p_i}{w_i}$$

$$bound = \sum p_i$$

$$cost = 1 + 2 + 5 + 11 + \frac{1}{4}$$

$$cost = (10 + 15 + 5 + 7 + \frac{1}{4})(-1)$$

$$bound = (10 + 15 + 5 + 7)(-1)$$