

Patch Locale of a Spectral Locale in Univalent Type Theory

Ayberk Tosun
(j.w.w. Martín Escardó)



UNIVERSITY OF
BIRMINGHAM

11 July 2022
MFPS Local Meeting at IRIF, Paris

Goal

Implement the patch locale in
univalent type theory
predicatively i.e. without using
resizing axioms.

What is a locale?

Notion of space characterised
solely by its **frame of opens**.

What is the patch locale?

What is a spectral locale?

A locale in which the **compact** opens form a basis closed under finite meets.

What is a Stone locale?

A **compact** locale in which the **clopens** form a basis.

What is the patch locale?

What is a spectral locale?

A locale in which the **compact** opens form a basis closed under finite meets.

What is a Stone locale?

A **compact** locale in which the **clopens** form a basis.

Stone \Rightarrow Spectral

Every Stone locale is spectral as the clopens coincide with the compact opens in Stone locales.

What is the patch locale?

What is a spectral locale?

A locale in which the **compact** opens form a basis closed under finite meets.

What is a Stone locale?

A **compact** locale in which the **clopens** form a basis.

Stone \Rightarrow Spectral

Every Stone locale is spectral as the clopens coincide with the compact opens in Stone locales.

Patch transforms **spectral locales** into **Stone ones**.

What is the patch locale?

What is a spectral locale?

A locale in which the **compact** opens form a basis closed under finite meets.

What is a Stone locale?

A **compact** locale in which the **clopens** form a basis.

Stone \Rightarrow Spectral

Every Stone locale is spectral as the clopens coincide with the compact opens in Stone locales.

Patch transforms **spectral locales** into **Stone ones**.
It is the **universal** such transformation.

Patch as a coreflector

A **spectral map** is a map of locales reflecting compact opens.

Spec is the category of spectral locales together with spectral maps.

The category of Stone locales forms a **full subcategory** of **Spec** that is denoted **Stone**.

Patch as a coreflector

A **spectral map** is a map of locales reflecting compact opens.

Spec is the category of spectral locales together with spectral maps.

The category of Stone locales forms a **full subcategory** of **Spec** that is denoted **Stone**.

Stone

Spec

Patch as a coreflector

A **spectral map** is a map of locales reflecting compact opens.

Spec is the category of spectral locales together with spectral maps.

The category of Stone locales forms a **full subcategory** of **Spec** that is denoted **Stone**.

$$\mathbf{Stone} \xrightarrow{i} \mathbf{Spec}$$

Patch as a coreflector

A **spectral map** is a map of locales reflecting compact opens.

Spec is the category of spectral locales together with spectral maps.

The category of Stone locales forms a **full subcategory** of **Spec** that is denoted **Stone**.



Patch as a coreflector

A **spectral map** is a map of locales reflecting compact opens.

Spec is the category of spectral locales together with spectral maps.

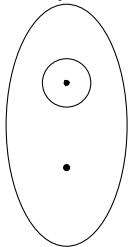
The category of Stone locales forms a **full subcategory** of **Spec** that is denoted **Stone**.



Some examples of patch

Spectral locale in consideration

Sierpiński space (Ω)



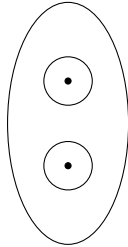
Scott topology of a (Scott) domain

$$\mathcal{P}(\mathbb{N}) \simeq \Omega^{\mathbb{N}}$$

Scott topology of domain \mathbb{N}_{\perp}

Its patch

Booleans ($\mathbf{2}$)



Lawson topology

Cantor space ($\mathbf{2}^{\mathbb{N}}$)

$$\mathbb{N}_{\infty}$$

Frames in type theory

Write $\text{Fam}_{\mathcal{W}}(A) \equiv \Sigma_{I:\mathcal{W}} I \rightarrow A$.

Definition (Frame)

A $(\mathcal{U}, \mathcal{V}, \mathcal{W})$ -frame consists of

- a type $A : \mathcal{U}$,
- a partial order $- \leq - : A \rightarrow A \rightarrow \text{hProp}_{\mathcal{V}}$,
- a top element $\top : A$,
- a binary meet operation $- \wedge - : A \rightarrow A \rightarrow A$,
- a join operation $\bigvee _ : \text{Fam}_{\mathcal{W}}(A) \rightarrow A$,
- satisfying distributivity i.e. $x \wedge \bigvee_{i:I} y_i = \bigvee_{i:I} x \wedge y_i$ for every $x : A$ and family $\{y_i\}_{i:I}$ in A .

The carrier type does not have to be explicitly required to be a set since this follows from the existence of a partial order on it.

Some notation

A **frame homomorphism** is a function preserving finite meets and arbitrary joins.

The category of frames and their homomorphisms is denoted **Frm**; its opposite is denoted **Loc**.

- Morphisms of **Loc** are called **continuous maps**.

The frame corresponding to a locale X is denoted $\mathcal{O}(X)$.

We pretend *as though* locales were spaces and use the letters

- X, Y, Z, \dots for them;
- $f, g : X \rightarrow Y$ for their continuous maps; and
- $U, V : \mathcal{O}(X)$ for their opens.

$f^* : \mathcal{O}(Y) \rightarrow \mathcal{O}(X)$ denotes the frame homomorphism corresponding to a continuous map $f : X \rightarrow Y$ of locales.

Patch as the frame of Scott-continuous nuclei

A nucleus on frame L is an endofunction $j : |L| \rightarrow |L|$ that is inflationary, idempotent, and preserves binary meets.

A nucleus is called **Scott-continuous** if it preserves joins of directed families.

Patch of L is the **frame** of Scott-continuous nuclei on L .

Patch as the frame of Scott-continuous nuclei

A **nucleus** on frame L is an endofunction $j : |L| \rightarrow |L|$ that is inflationary, idempotent, and preserves binary meets.

A nucleus is called **Scott-continuous** if it preserves joins of directed families.

Patch of L is the **frame** of Scott-continuous nuclei on L .
naturally defined as a subframe of the frame of all nuclei

Patch as the frame of Scott-continuous nuclei

A **nucleus** on frame L is an endofunction $j : |L| \rightarrow |L|$ that is inflationary, idempotent, and preserves binary meets.

A nucleus is called **Scott-continuous** if it preserves joins of directed families.

Patch of L is the **frame** of Scott-continuous nuclei on L .
naturally defined as a subframe of the frame of all nuclei

Nuclei are ordered pointwise in this frame.

Patch as the frame of Scott-continuous nuclei

A **nucleus** on frame L is an endofunction $j : |L| \rightarrow |L|$ that is inflationary, idempotent, and preserves binary meets.

A nucleus is called **Scott-continuous** if it preserves joins of directed families.

Patch of L is the **frame** of Scott-continuous nuclei on L .
naturally defined as a subframe of the frame of all nuclei

Nuclei are ordered pointwise in this frame.

This description of Patch was used by Escardó [1] to give a constructive, yet *impredicative*, treatment of the patch frame.

The frame of Scott-continuous nuclei in type theory?

Problem: The **frame of all nuclei** doesn't seem to be possible to construct in the predicative setting of type theory.

The frame of Scott-continuous nuclei in type theory?

Problem: The **frame of all nuclei** doesn't seem to be possible to construct in the predicative setting of type theory.

Solution: When one restricts attention to Scott-continuous nuclei though, this construction *does* seem to be predicatively possible for **large and locally small** frames with **small bases**.

The frame of Scott-continuous nuclei in type theory?

Problem: The **frame of all nuclei** doesn't seem to be possible to construct in the predicative setting of type theory.

Solution: When one restricts attention to Scott-continuous nuclei though, this construction *does* seem to be predicatively possible for **large and locally small** frames with **small bases**.

- The question of whether the frame of Scott-continuous nuclei is possible to define in a predicative setting was posed by Thierry Coquand (personal communication).

The frame of Scott-continuous nuclei in type theory?

Problem: The **frame of all nuclei** doesn't seem to be possible to construct in the predicative setting of type theory.

Solution: When one restricts attention to Scott-continuous nuclei though, this construction *does* seem to be predicatively possible for **large and locally small** frames with **small bases**.

- The question of whether the frame of Scott-continuous nuclei is possible to define in a predicative setting was posed by Thierry Coquand (personal communication).
- **Our contribution:** we answer this question in the positive by constructing the frame of Scott-continuous nuclei in type theory *without using any resizing axioms*.

The frame of Scott-continuous nuclei in type theory?

Problem: The **frame of all nuclei** doesn't seem to be possible to construct in the predicative setting of type theory.

Solution: When one restricts attention to Scott-continuous nuclei though, this construction *does* seem to be predicatively possible for **large and locally small** frames with **small bases**.

- The question of whether the frame of Scott-continuous nuclei is possible to define in a predicative setting was posed by Thierry Coquand (personal communication).
- **Our contribution:** we answer this question in the positive by constructing the frame of Scott-continuous nuclei in type theory *without using any resizing axioms*.
- This question turns out to be nontrivial.

Bases for frames

Consider a $(\mathcal{U}, \mathcal{V}, \mathcal{W})$ -locale X .

Defn. (Basis)

A \mathcal{W} -family $\{B_i\}_{i:I}$ over a $(\mathcal{U}, \mathcal{V}, \mathcal{W})$ -locale X is said to **form a basis** for X if

for any $U : \mathcal{O}(X)$, there is a *subfamily* $\{B_l\}_{l \in L}$ of $\{B_i\}_{i:I}$ such that

$$U = \bigvee_{l \in L} B_l.$$

Bases for frames

Consider a $(\mathcal{U}, \mathcal{V}, \mathcal{W})$ -locale X .

Defn. (Basis)

A \mathcal{W} -family $\{B_i\}_{i:I}$ over a $(\mathcal{U}, \mathcal{V}, \mathcal{W})$ -locale X is said to **form a basis** for X if

for any $U : \mathcal{O}(X)$, there is a *subfamily* $\{B_l\}_{l \in L}$ of $\{B_i\}_{i:I}$ such that

$$U = \bigvee_{l \in L} B_l.$$

In our work, we are primarily interested in **frames with bases** of the form $(\mathcal{U}^+, \mathcal{U}, \mathcal{U})$ i.e.

large and **locally small** frames with **small bases**.

Spectrality revisited (1)

Recall the impredicative definition of a spectral locale as:
one in which the compact opens form a basis closed under finite meets.

Question: How do we know that joins of covering families exist?

Spectrality revisited (1)

Recall the impredicative definition of a spectral locale as:
one in which the compact opens form a basis closed under finite meets.

Question: How do we know that joins of covering families exist?

- **Answer:** In general, we don't, as they might be too big.

Spectrality revisited (1)

Recall the impredicative definition of a spectral locale as:
one in which the compact opens form a basis closed under finite meets.

Question: How do we know that joins of covering families exist?

- **Answer:** In general, we don't, as they might be too big.
- **Solution:** We need to ensure the smallness of these joins.

Spectrality revisited (1)

Recall the impredicative definition of a spectral locale as:
one in which the compact opens form a basis closed under finite meets.

Question: How do we know that joins of covering families exist?

- **Answer:** In general, we don't, as they might be too big.
- **Solution:** We need to ensure the smallness of these joins.

Defn. of spectral locale

We say that locale X , with basis $\{B_i\}_{i:I}$, is **spectral** if

- B_i is **compact** for each $i : I$, and
- $\{B_i\}_{i:I}$ is **closed under finite meets** i.e. there exists some $t : I$ such that $\top = B_t$ and for any two $j, k : I$, there exists some $l : I$ such that $B_l = B_j \wedge B_k$.

Spectrality revisited (1)

Recall the impredicative definition of a spectral locale as:
one in which the compact opens form a basis closed under finite meets.

Question: How do we know that joins of covering families exist?

- **Answer:** In general, we don't, as they might be too big.
- **Solution:** We need to ensure the smallness of these joins.

Defn. of spectral locale

We say that locale X , with basis $\{B_i\}_{i:I}$, is **spectral** if

- B_i is **compact** for each $i : I$, and
- $\{B_i\}_{i:I}$ is **closed under finite meets** i.e. there exists some $t : I$ such that $\top = B_t$ and for any two $j, k : I$, there exists some $l : I$ such that $B_l = B_j \wedge B_k$.

We use the same idea for Stone-ness.

Spectrality revisited (2)

Question: Can there be compact opens that do not fall in the basis?

Spectrality revisited (2)

Question: Can there be compact opens that do not fall in the basis?

Proposition

Let X be a spectral locale with basis $\{B_i\}_{i:I}$. Given any compact $K : \mathcal{O}(X)$, there is some $k : I$ such that $K = B_k$.

Ordering on nuclei – *size matters* (1)

Let

- X be a large and locally small spectral locale with basis $\{B_i\}_{i:I}$, and
- j and k be two Scott-continuous nuclei on X .

Define $j \preceq k \equiv \prod_{U:\mathcal{O}(X)} j(U) \leq k(U)$.

Problem: $j \preceq k$ lives in universe \mathcal{U}^+ .

This means $\text{Patch}(X)$ is a $(\mathcal{U}^+, \mathcal{U}^+, \mathcal{U})$ -locale i.e. it is *not* **locally small**.

Solution: define a small version of the ordering.

Ordering on nuclei – *size matters* (1)

Let

- X be a large and locally small spectral locale with basis $\{B_i\}_{i:I}$, and
- j and k be two Scott-continuous nuclei on X .

Define $j \preceq k \equiv \prod_{U:\mathcal{O}(X)} j(U) \leq k(U)$.

Problem: $j \preceq k$ lives in universe \mathcal{U}^+ .

This means $\text{Patch}(X)$ is a $(\mathcal{U}^+, \mathcal{U}^+, \mathcal{U})$ -locale i.e. it is *not* **locally small**.

Solution: define a small version of the ordering.

Definition

$$j \preceq_s k \equiv \prod_{i:I} j(B_i) \leq k(B_i).$$

Ordering on nuclei – *size matters* (1)

Let

- X be a large and locally small spectral locale with basis $\{B_i\}_{i:I}$, and
- j and k be two Scott-continuous nuclei on X .

Define $j \preceq k \equiv \prod_{U:\mathcal{O}(X)} j(U) \leq k(U)$.

Problem: $j \preceq k$ lives in universe \mathcal{U}^+ .

This means $\text{Patch}(X)$ is a $(\mathcal{U}^+, \mathcal{U}^+, \mathcal{U})$ -locale i.e. it is *not* **locally small**.

Solution: define a small version of the ordering.

Definition

$$j \preceq_S k \equiv \prod_{i:I} j(B_i) \leq k(B_i).$$

$j \preceq_S k$ lives in universe \mathcal{U} .

Ordering on nuclei – *size matters* (2)

Proposition

$j \preceq k$ iff $j \preceq_S k$.

Proof

- The nontrivial direction is $j \preceq_S k \rightarrow j \preceq k$.
- Let $U = \bigvee_{l \in L} B_l$ be an open of locale X .
- $j(\bigvee_{l \in L} B_l) = \bigvee_{l \in L} j(B_l) \leq \bigvee_{l \in L} k(B_l) = k(\bigvee_{l \in L} B_l)$.

Ordering on nuclei – *size matters* (2)

Proposition

$j \preceq k$ iff $j \preceq_S k$.

Proof

- The nontrivial direction is $j \preceq_S k \rightarrow j \preceq k$.
- Let $U = \bigvee_{l \in L} B_l$ be an open of locale X .
- $j(\bigvee_{l \in L} B_l) = \bigvee_{l \in L} j(B_l) \leq \bigvee_{l \in L} k(B_l) = k(\bigvee_{l \in L} B_l)$.

Notice the use of Scott-continuity!
It is crucial to the local smallness of Patch.

Closed and open nuclei

Let X be a spectral locale and $U : \mathcal{O}(X)$ an open.

We embed the opens of X into $\text{Patch}(X)$ using the **closed** and **open** nuclei.

Closed nucleus of U : $'U' :\equiv V \mapsto U \vee V$.

Open nucleus of U : $\neg'U' :\equiv V \mapsto U \Rightarrow V$.

Closed and open nuclei

Let X be a spectral locale and $U : \mathcal{O}(X)$ an open.

We embed the opens of X into $\text{Patch}(X)$ using the **closed** and **open** nuclei.

Closed nucleus of U : $'U' \equiv V \mapsto U \vee V.$

Open nucleus of U : $\neg'U' \equiv V \mapsto U \Rightarrow V.$

Heyting implication



Closed and open nuclei

Let X be a spectral locale and $U : \mathcal{O}(X)$ an open.

We embed the opens of X into $\text{Patch}(X)$ using the **closed** and **open** nuclei.

Closed nucleus of U : $'U' \equiv V \mapsto U \vee V.$

Open nucleus of U : $\neg'U' \equiv V \mapsto U \Rightarrow V.$

Heyting implication



Problem: it's not so easy to write down the Heyting implication in the predicative context of type theory.

- The usual definition of Heyting implication (e.g. via the Adjoint Functor Theorem) is impredicative.
- We use (a version of the) Adjoint Functor Theorem for locally small frames with small bases.

Closed and open nuclei

Let X be a spectral locale and $U : \mathcal{O}(X)$ an open.

We embed the opens of X into $\text{Patch}(X)$ using the **closed** and **open** nuclei.

Closed nucleus of U : $'U' \equiv V \mapsto U \vee V$.

Open nucleus of U : $\neg'U' \equiv V \mapsto U \Rightarrow V$.

Heyting implication



Problem: it's not so easy to write down the Heyting implication in the predicative context of type theory.

- The usual definition of Heyting implication (e.g. via the Adjoint Functor Theorem) is impredicative.
- We use (a version of the) Adjoint Functor Theorem for locally small frames with small bases.

Formalised in modules `AdjointFunctorTheoremForFrames`, `GaloisConnection`, `HeytingImplication` of Escardó's `TypeTopology` [2] Agda development.

Patch is Stone

Theorem

Given a spectral $(\mathcal{U}^+, \mathcal{U}, \mathcal{U})$ -locale X with small basis $\{B_i\}_{i:I}$, $\text{Patch}(X)$ is a Stone locale.

Proof idea

The family

$$\{\neg B_k \wedge B_l \mid k, l : I\}$$

forms a basis for $\text{Patch}(X)$ and the covering subfamily for a given Scott-continuous nucleus $j : \mathcal{O}(X) \rightarrow \mathcal{O}(X)$ is

$$\{\neg B_k \wedge B_l \mid B_k \leq j(B_l), k, l : I\}$$

Summary

We set out to implement a rather important construction of pointfree topology in univalent type theory, without using resizing.

Doing this predicatively turned out to involve surprising challenges.

We had to reformulate quite a few things in the theory itself to obtain a **type-theoretic understanding** of the construction in consideration.

Our work has been almost completely formalised in the Agda proof assistant, as part of Escardó's TypeTopology [2] library.

References I

- [1] Escardó, Martín H. “On the Compact-regular Coreflection of a Stably Compact Locale”. In: *Electronic Notes in Theoretical Computer Science* 20 (1999), pp. 213–228. ISSN: 15710661. DOI: 10.1016/S1571-0661(04)80076-8.
- [2] Escardó, Martín H. and contributors. *TypeTopology*. Agda development. URL: <https://github.com/martinescardo/TypeTopology>.