



HACETTEPE UNIVERSITY - COMPUTER ENGINEERING

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Swarm Systems CMP 756

## Homework-2

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# 1 Assign random initial states to every agent in a bounded region

Random initial states which are polar coordinates are assigned to every agent in the system using the "rand" command. Then, these states are converted into cartesian coordinates using the "pol2cart" command in MATLAB. The design of initial states can be seen in algorithm 1.

```
%% Assign random initial states to every agent in a bounded region
% Define location in polar coordinates for the agents
R_rand = rand(1, num_agent) * 20;
theta = 2 * pi * rand(1, num_agent); % Must be in radians

%% For the steady-state, define the radius of the hyperbola
% for the convergent area,
% Define a starting radius
R_th = 5;
R_start = 70;

%% Number of states (x & y are our states)
numOfStates = 2;
state_vec = zeros(num_agent, num_ofStates, iter_num);
state_x = zeros(num_agent, iter_num);
for i = 1:num_agent
    % Define location in Cartesian coordinates
    [x(i), y(i)] = pol2cart(theta(i), R_start + R_rand(i));
    % Initial State vector for each agent
    state_vec(i,:,1) = [x(i), y(i)];
end
```

Algorithm 1: Assignment of random initial states

# 2 Design $J(x)$ , $g(x)$ for aggregation problem

The potential function is given as:

$$J(x) = \sum_{i=1}^{N-1} \sum_{j=i+1}^N \left[ \frac{a}{2} \|x_i - x_j\|^2 + \frac{bc}{2} \exp \left( -\frac{\|x_i - x_j\|^2}{c} \right) \right] \quad (1)$$

And its corresponding attraction/repulsion function can be calculated as:

$$g(y) = -y \left[ a - b \exp \left( -\frac{\|y\|^2}{c} \right) \right] \quad (2)$$

The implementation of corresponding attraction/repulsion function can be seen in 2. Firstly, the a,b and c constants are defined with respect to the simulation done in [1].

```

%% Define positive finite constants
% linear attraction constant,repulsion bound
a = 1; b = 20; c = .2;
% Equilibrium distance
delta = sqrt(c*log(b/a));

%% Initialize control input
controlInput = zeros(num_agent,numOfStates,iter_num);
attrRepulFunc = zeros(num_agent,numOfStates,iter_num);
centroid = zeros(numOfStates,iter_num);

%% For each iteration
% i,j indicates different states
for iter = 1:iter_num
    for i = 1:num_agent
        %if (norm(state_vec(i,:,iter)) > R_th) % Convergent radius
            for j = 1:num_agent
                if (i~=j)
                    % Difference of agents x values in thestate vector
                    stateDiff(i,:,iter) = state_vec(i,:,iter)-state_vec(j,:,iter);

                    % g(x)-->(attraction/repulsion function) aggregation problem
                    attrRepulFunc(i,:,iter) = (a - b*exp(-sum(stateDiff(i,:,iter).^2) / c));

                    % Control input
                    controlInput(i,:,iter) = controlInput(i,:,iter) - stateDiff(i,:,iter).*attrRepulFunc(i,:,iter);

                    % The state difference is equal to control input u_i
                    state_vec(i,:,iter+1) = state_vec(i,:,iter) + controlInput(i,:,iter)*ts;
                end
            end
        end
    end
    %end
    % In order to find the centroid calculate the summed states
    centroid(:,iter) = centroid(:,iter) + state_vec(i,:,iter)';
end
% Find the centroid of agents
centroid(:,iter) = centroid(:,iter)/num_agent;
end

```

Algorithm 2: Design  $J(x)$ ,  $g(x)$

### 3 For the steady-state, define the radius of the hyperbola for the convergent area

Theorem II states that:

As time progresses all the members of the swarm will converge to a hyperball

$$B_\varepsilon(\bar{x}) = \{x : \|x - \bar{x}\| \leq \varepsilon\} \quad (3)$$

where

$$\varepsilon = \frac{b}{a}$$

Moreover, the convergence will occur in finite time bounded by

$$\bar{t} = \max_{i \in \{1, \dots, N\}} \left\{ -\frac{1}{2a} \ln \left( \frac{\varepsilon^2}{2V_i(0)} \right) \right\}.$$

Since we had defined our a,b and c constants in previous section. We know that the hyperball will converge to  $\varepsilon = \frac{b}{a} = \frac{20}{1} = 20$ . As seen in figure 1, the distance from centroid is decreasing and finally it reaches under  $\varepsilon$  value.

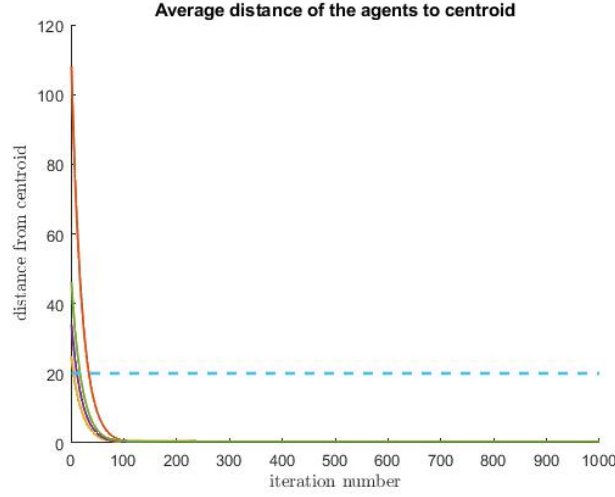


Figure 1: Convergence to hyperball

## 4 Validate Theorem I-II and Lemma I of the reference book

According to [1]:

### Theorem I:

Consider a swarm consisting of agents with dynamics in (3.1) and with control input in (3.4) with an attraction/repulsion function  $g(\cdot)$  which is odd and satisfies Assumption 1. For any  $x(0) \in \mathbb{R}^{Nn}$ ,  $t \rightarrow \infty$  we have  $x(t) \rightarrow \Omega_e$ .

Theorem-1 is satisfied as can be seen in figure 2.

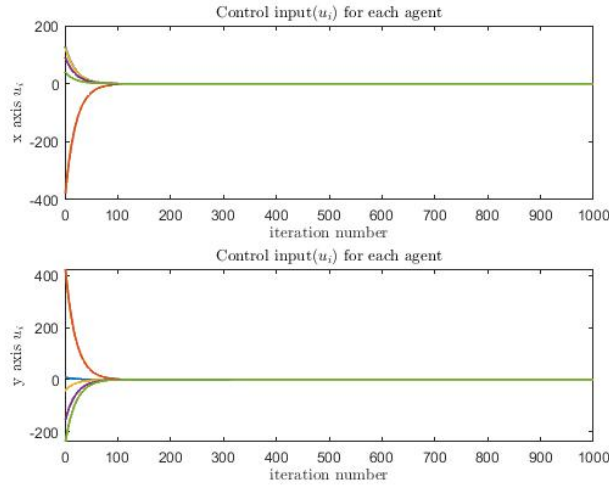


Figure 2: Control input converge to zero for the five agents

### Lemma I:

The centroid  $x$  of the swarm consisting of agents with dynamics in (3.1) and with control input in (3.4) with an attraction/repulsion function  $g(\cdot)$  which is odd and satisfies Assumption 1 is stationary for all  $t$ .

Lemma-1 is satisfied as can be seen in figure 3.

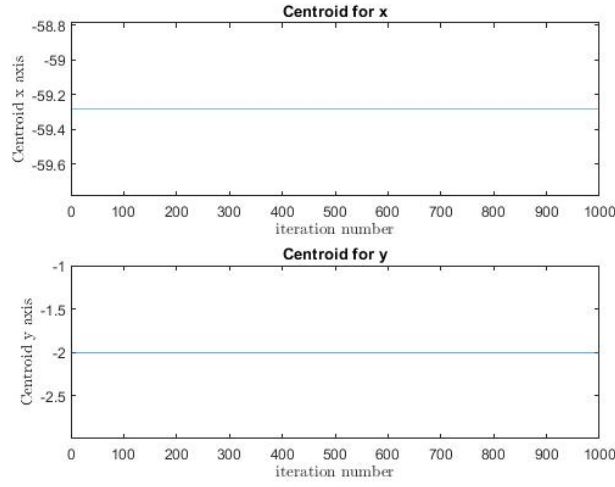


Figure 3: Centroids for both x and y axis

## 5 Plot initial positions and final positions of every agent by tracking the path on a single graph

The paths for the different five agents can be seen from figure 4. You can see that they converge to the centroid as in figure 5.

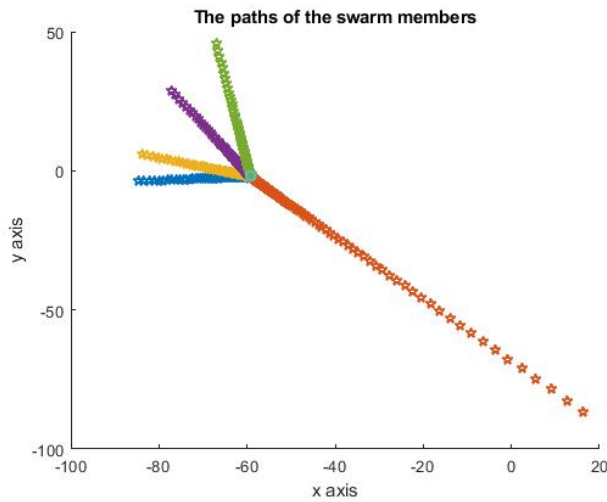


Figure 4: Paths/States(x,y) for the five agents

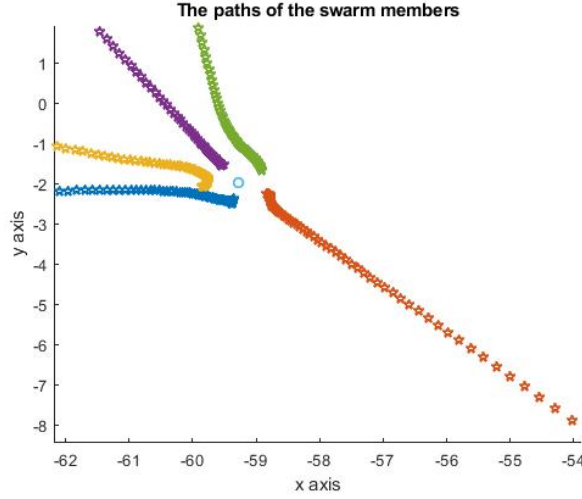


Figure 5: Converge to centroid

## 6 Discuss the results

It is assumed that the agents have a safety area of radius  $\eta = 1$  and therefore keep a distance of at least  $2\eta = 2$  units apart from each other. As can be seen from the figure 5, the agents do not collide with each other. As one can see from the figure, the minimum distance between agents is greater than  $2\eta = 2$ . Finally, it can be said that as the number of individuals increases, while the minimum distance between agents continues to be greater than  $2\eta = 2$ , the maximum distance scales with the number of agents. Therefore, we say that the swarm size scales with the number of agents while the density of the swarm remains relatively constant.

Also, we can manipulate the a, b and c constants in the code. From, formula given as:

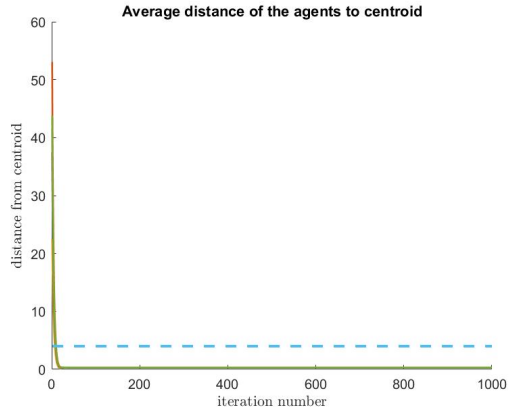
$$g_a(\|x_i - x_j\|) \geq \frac{a}{\|x_i - x_j\|} \quad (4)$$

we expect that if we **increase a**, the attraction function has to increase. Also, from figures 6a and 6b we see that the system converges more faster to stationary points.

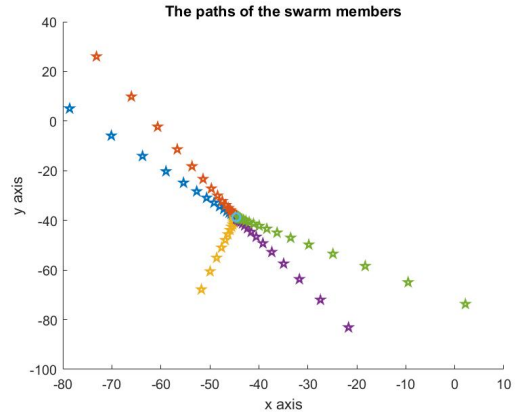
On the other hand, if we decrease **increase b** from the formula below we see that the repulsion function decreases.

$$g_r(\|x_i - x_j\|) \leq \frac{b}{\|x_i - x_j\|^2} \quad (5)$$

If we **increase c**, then the distance between centroid and agents increase as seen in 7a and 7b. Further studies can be done using the code in the 6.

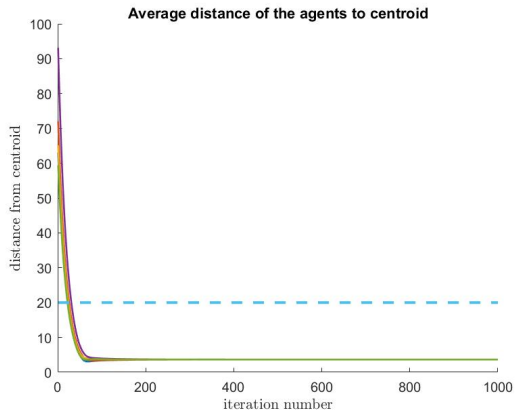


(a) Distance to centroid

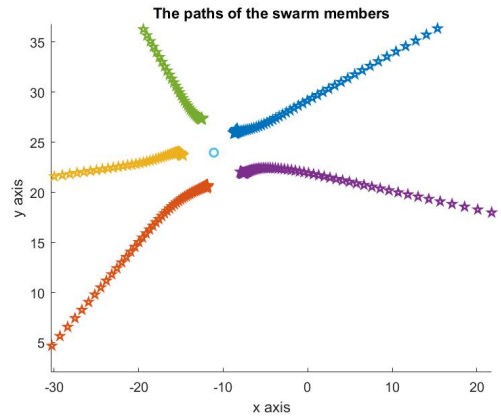


(b) States for the agents

Figure 6: The value of  $a$  is increased to 5



(a) Distance to centroid



(b) States for the agents

Figure 7: The value of  $c$  is increased to 10

# Appendix

## Simulation code

```
1 %% SWRAM SYSTEM - HOMEWORK#2
2 clear all; close all;
3 bdclose all;
4 %% Define parameters for the system
5 num_agent = 5; % number of agents
6 iter_num = 1000; % number of iteration
7 ts = 0.01;
8 % time interval
9 % time = [0:ts:(ts*iter_num)];
10
11 %% Assign random initial states to every agent in a bounded region
12 % Define location in polar coordinates for the agents
13 R_rand = rand(1, num_agent) * 20;
14 theta = 2 * pi * rand(1, num_agent); % Must be in radians
15
16 %% For the steady-state, define the radius of the hyperbola for the
    convergent area,
17 % Define a starting radius
18 R_th = 5;
19 R_start = 70;
20
21 %% Number of states (x & y are our states)
22 numOfStates = 2;
23 state_vec = zeros(num_agent, num_ofStates, iter_num);
24 state_x = zeros(num_agent, iter_num);
25 for i = 1:num_agent
26     % Define location in Cartesian coordinates
27     [x(i), y(i)] = pol2cart(theta(i), R_start + R_rand(i));
28     % Initial State vector for each agent
29     state_vec(i, :, 1) = [x(i), y(i)];
30 end
31
32 %% Define positive finite constants
33 % linear attraction constant, repulsion bound
34 a = 1; b = 20; c = .2;
35 % Equilibrium distance
36 delta = sqrt(c*log(b/a));
37
38 %% Initialize control input
39 controlInput = zeros(num_agent, num_ofStates, iter_num);
40 attrRepulFunc = zeros(num_agent, num_ofStates, iter_num);
41 centroid = zeros(num_ofStates, iter_num);
42
43 %% For each iteration
44 % i,j indicates different states
45 for iter = 1:iter_num
46     for i = 1:num_agent
47         %if (norm(state_vec(i, :, iter)) > R_th) % Convergent radius
48             for j = 1:num_agent
49                 if (i~=j)
50                     % Difference of agents x values in the state
```



```

vector
51         stateDiff(i,:,iter) = state_vec(i,:,iter) -
state_vec(j,:,iter);
52
53         % g(x)-->(attraction/repulsion function)
aggregation problem
54         attrRepulFunc(i,:,iter) = (a - b*exp(-sum(
stateDiff(i,:,iter).^2) / c));
55
56         % Control input
57         controlInput(i,:,iter) = controlInput(i,:,iter)
- stateDiff(i,:,iter).*attrRepulFunc(i,:,iter);
58
59         % The state difference is equal to control
input u_i
60         state_vec(i,:,iter+1) = state_vec(i,:,iter) +
controlInput(i,:,iter)*ts;
61     end
62 end
63 %end
64 % In order to find the centroid calculate the summed states
65 centroid(:,iter) = centroid(:,iter) + state_vec(i,:,iter)';
66 end
67 % Find the centroid of agents
68 centroid(:,iter) = centroid(:,iter)/num_agent;
69 end
70
71 %% Validate Theorem I-II and Lemma I
72 % Lemma #1 --> Define centroid of the swarm
73 iteration = 1:iter_num;
74 figure
75 subplot(2,1,1)
76 % Plot centroid for x axis
77 plot(iteration,centroid(1,:))
78 ylim([centroid(1,1)-0.5 centroid(1,1)+0.5])
79 title('Centroid for x')
80 xlabel('iteration number','Interpreter','latex','Linewidth',2);
81 ylabel('Centroid x axis','Interpreter','latex','Linewidth',2);
82 hold on
83
84 % Plot centroid for y axis
85 subplot(2,1,2)
86 plot(iteration,centroid(2,:))
87 title('Centroid for y')
88 ylim([centroid(2,1)-1 centroid(2,1)+1])
89 xlabel('iteration number','Interpreter','latex','Linewidth',2);
90 ylabel('Centroid y axis','Interpreter','latex','Linewidth',2);
91
92
93 %% Theorem I --> Plot control input
94
95 figure;
96 hold all;
97 for k = 1:num_agent
98     subplot(2,1,1)
99     controlInput_x = squeeze(controlInput(k,1,:));

```

```

100     plot(iteration,controlInput_x,'Linewidth',1.25);
101     hold on;
102     subplot(2,1,2)
103     controlInput_y = squeeze(controlInput(k,2,:));
104     plot(iteration,controlInput_y,'Linewidth',1.25);
105     hold on;
106 end
107 subplot(2,1,1)
108 xlabel('iteration number','Interpreter','latex','Linewidth',1.25);
109 ylabel('x axis $u_{i}$','Interpreter','latex','Linewidth',1.25);
110 title('Control input($u_{i}$) for each agent','Interpreter','latex',
    'Linewidth',1.25);
111
112 subplot(2,1,2)
113 xlabel('iteration number','Interpreter','latex','Linewidth',1.25);
114 ylabel('y axis $u_{i}$','Interpreter','latex','Linewidth',1.25);
115 title('Control input($u_{i}$) for each agent','Interpreter','latex',
    'Linewidth',1.25);
116
117 %% Theorem II --> Swarm will converge to a hyperball
118 % The average distance to the center
119 figure;
120 hold all;
121 for k = 1:num_agent
122     x_vec = squeeze(state_vec(k,1,2:end));
123     x_c = centroid(1,:);
124     y_vec = squeeze(state_vec(k,2,2:end));
125     y_c = centroid(2,:);
126     dis = sqrt((x_vec-x_c).^2+(y_vec-y_c).^2);
127     plot(iteration, dis,'Linewidth',1.3);
128     hold on
129 end
130 plot([iteration(1),iteration(end)],[b/a, b/a], '--','Linewidth',2);
131
132 xlabel('iteration number','Interpreter','latex','Linewidth',2);
133 ylabel('distance from centroid','Interpreter','latex','Linewidth',
    2);
134 title('Average distance of the agents to centroid','Linewidth',5);
135
136 %% Plot initial positions and final positions of every agent by
    tracking the path on a single graph
137 figure;
138 hold all;
139 for k = 1:num_agent
140     x_vec = squeeze(state_vec(k,1,:));
141     y_vec = squeeze(state_vec(k,2,:));
142     plot(x_vec,y_vec,'p','Linewidth',1.25);
143     hold on
144 end
145 plot(centroid(1,:),centroid(2,:), 'o','Linewidth',1.5);
146 disp(strcat('The centroid is (x,y):',num2str(centroid(1,1)), ' , ',
    num2str(centroid(2,1))))
147
148 title('The paths of the swarm members')
149 xlabel('x axis','Linewidth',1.25);
150 ylabel('y axis','Linewidth',1.25);

```

## References

- [1] Veysel Gazi and Kevin Passino. *Swarm Stability and Optimization*. 01 2011. ISBN 978-3-642-18040-8. doi: 10.1007/978-3-642-18041-5.