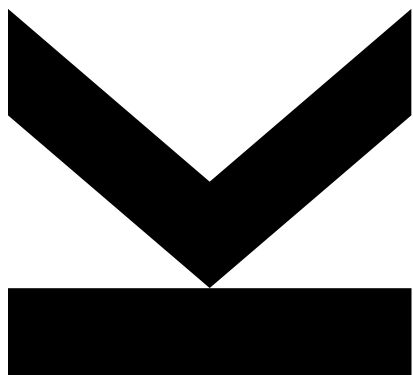


FAST SEARCHING / BALANCED TREES



Algorithms and Data Structures 2
Exercise – 2023W

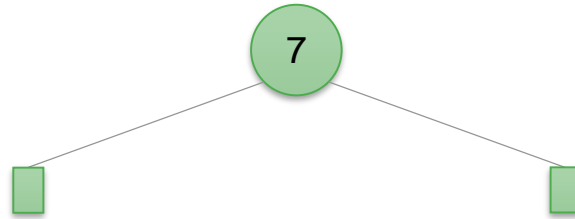
Martin Schobesberger, Markus Wening, Markus Jäger, Florian Beck, Achref Rihani

Institute of Pervasive Computing
Johannes Kepler University Linz
teaching@pervasive.jku.at



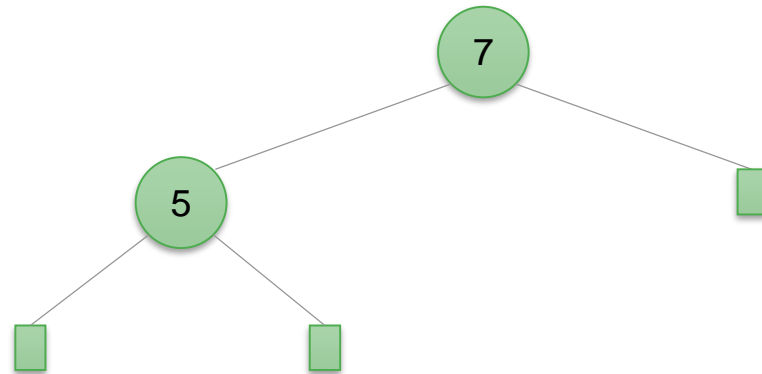
BALANCED TREES :: DEGENERATION

7, 5, 8, 17, 32



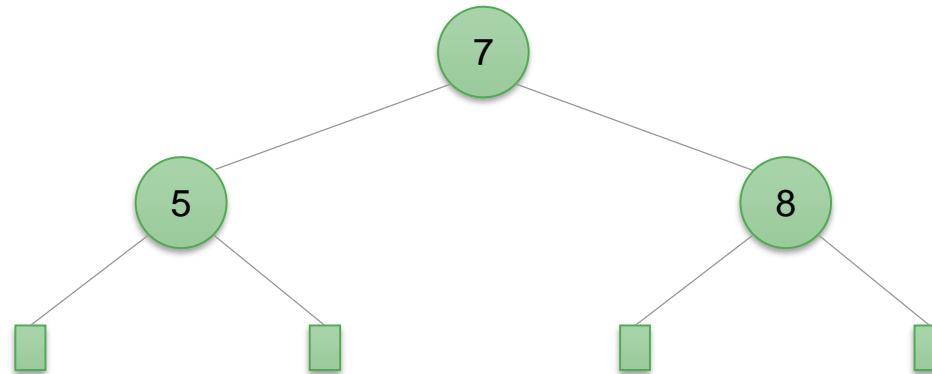
BALANCED TREES :: DEGENERATION

7, 5, 8, 17, 32



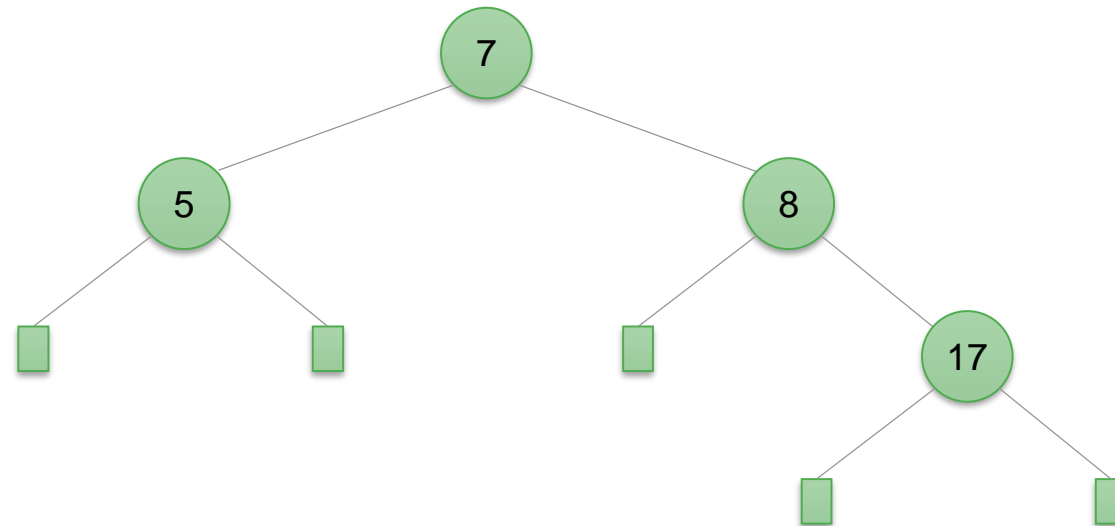
BALANCED TREES :: DEGENERATION

7, 5, 8, 17, 32



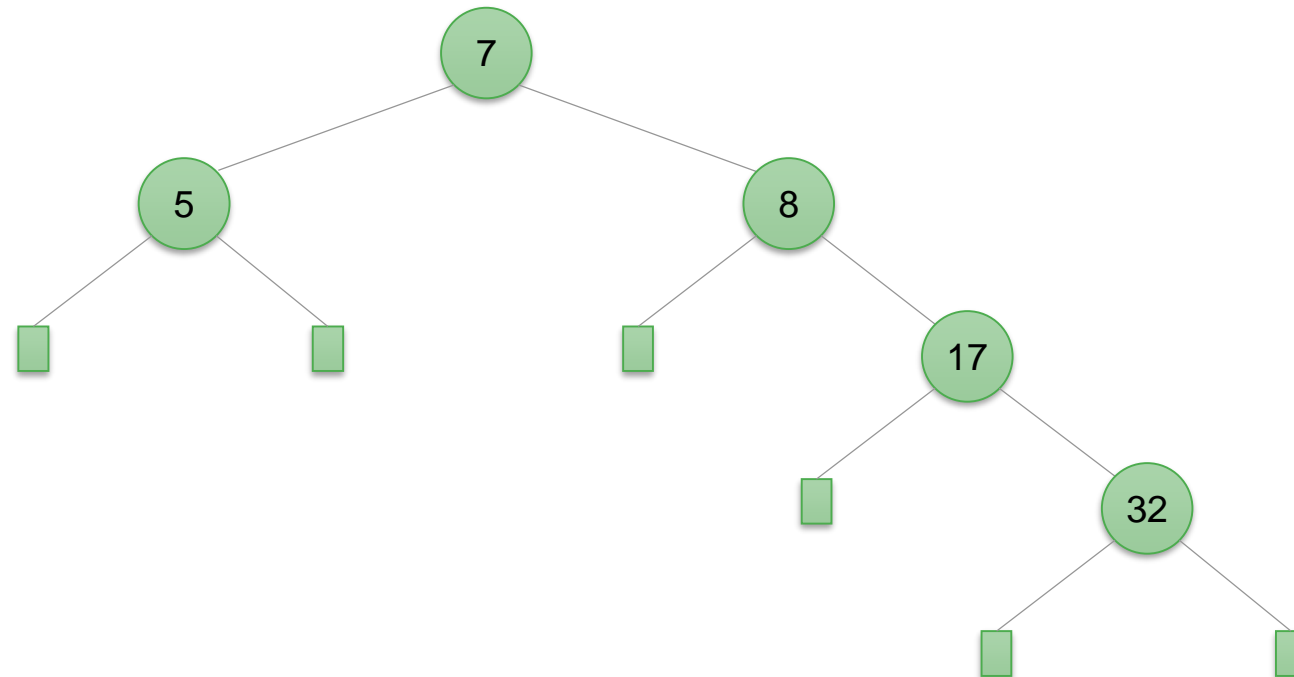
BALANCED TREES :: DEGENERATION

7, 5, 8, 17, 32



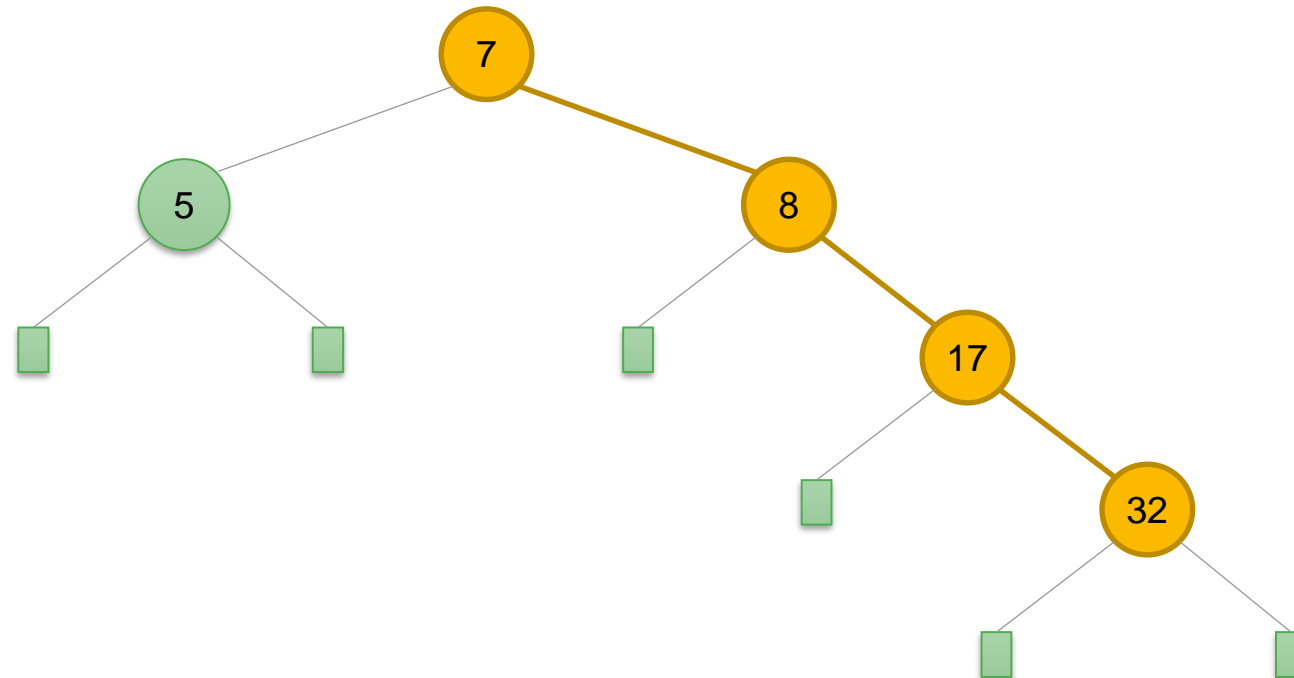
BALANCED TREES :: DEGENERATION

7, 5, 8, 17, 32



BALANCED TREES :: DEGENERATION

7, 5, 8, 17, 32



List: Access $O(n)$

BALANCED TREES

Motivation

- Real data is usually not randomly distributed
- Prevent **degeneration** of binary search trees into linear lists!
- Search/Insert in **$O(\log(n))$**

Approach

- Monitor tree structure
- Insert/Remove may require restructuring

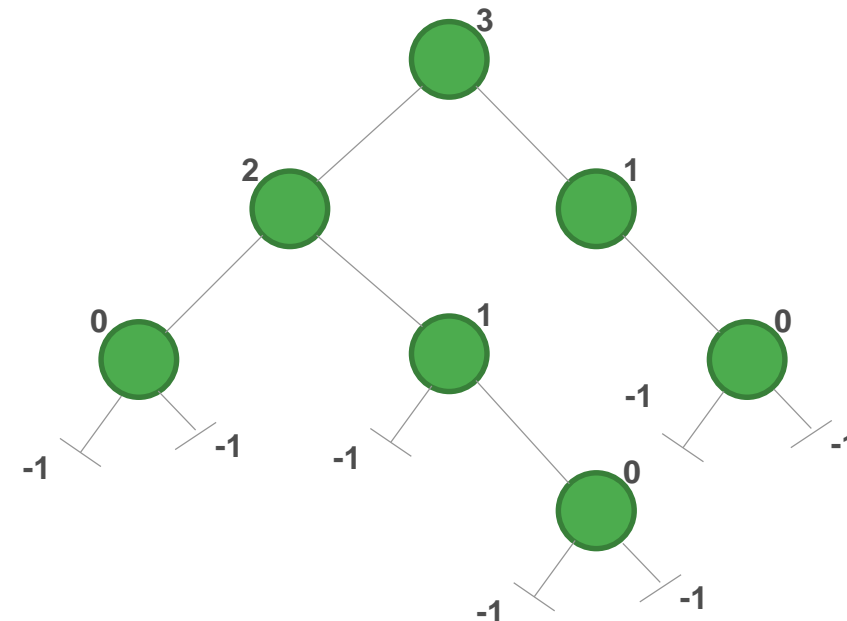
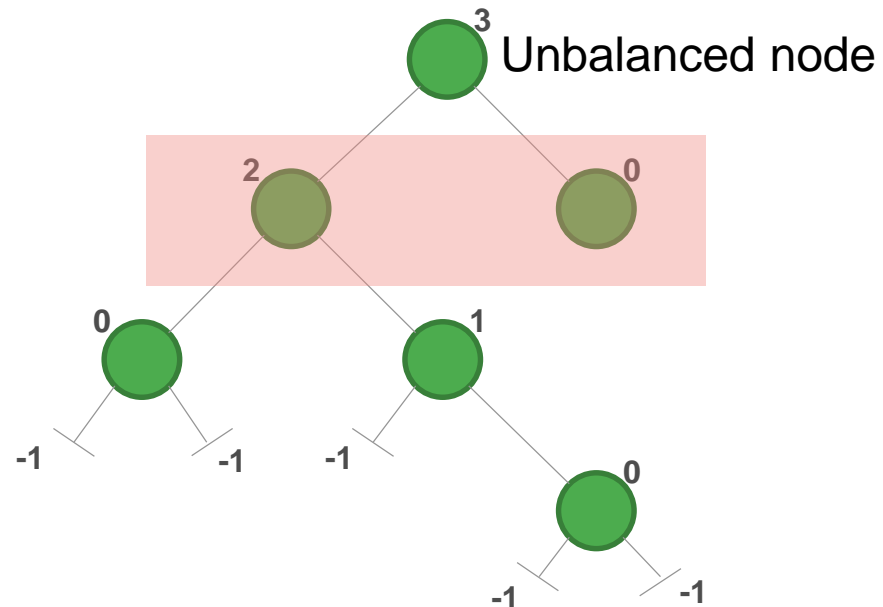
Binary search trees that guarantee the execution of search, insert and delete operations in **$O(\log(n))$** even in worst case → height-balanced trees (e.g.: **AVL tree**)

AVL TREES

Properties

- Binary search tree
- for each **node**, the heights of its two **subtrees** differ by **not more than 1** („balanced“)

Examples



AVL TREES :: INSERT

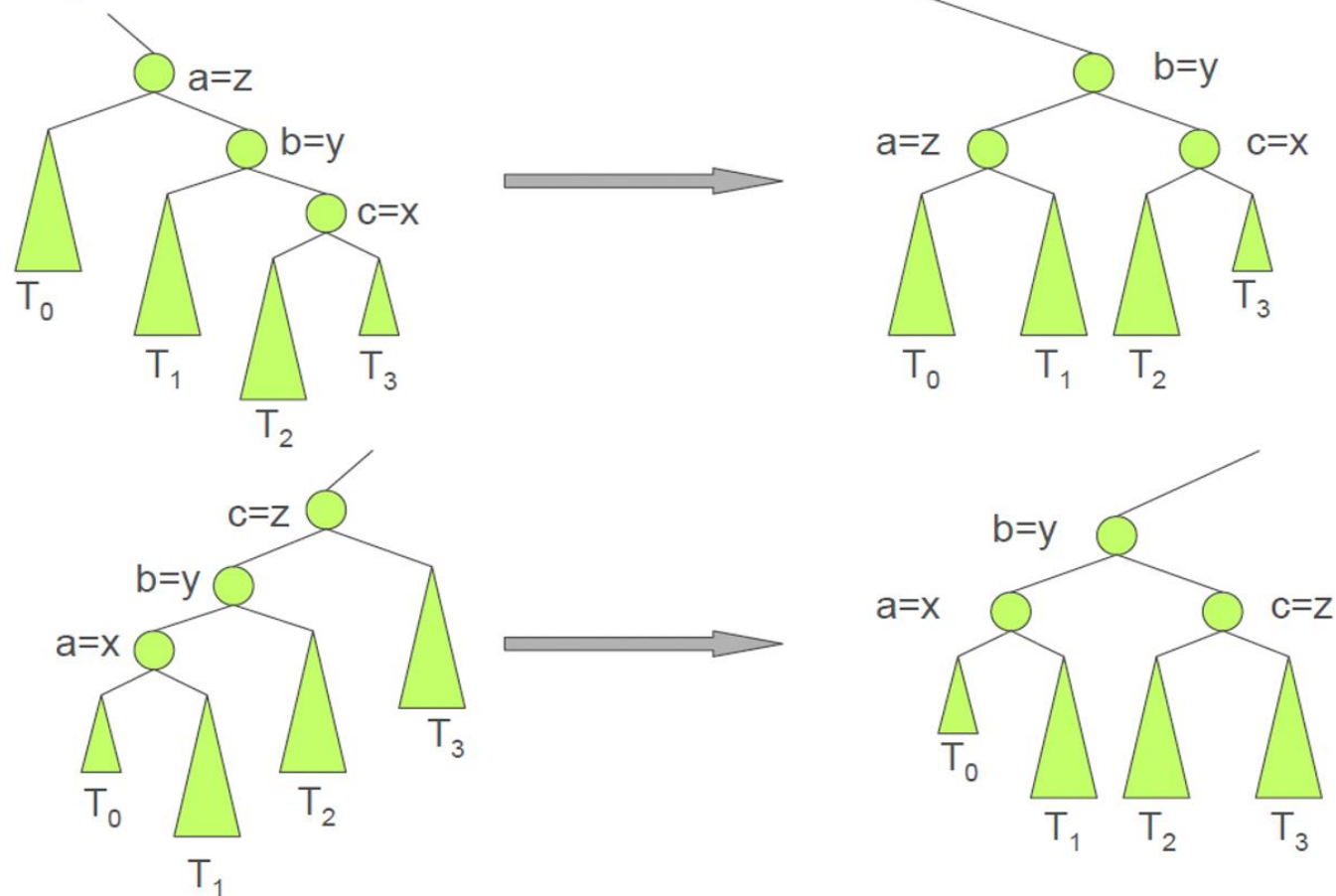
Insert is in general the same as for the binary search tree but may cause the AVL tree to become unbalanced → **restructuring required!**

Restructuring

1. Go up from the new node in the tree until the first node **x** is found, whose grandparent **z** is an unbalanced node
2. Define **y** as child of **z** (= the node we passed on the way to **z**)
3. Define **x** as child of **y**
4. Rename **x,y,z** in **a,b,c** (according to Inorder traversal!)
5. Replace **z** (old subroot of unsorted part-tree) by **b** (new subroot of sorted part-tree)
6. Children of **b** are now **a** (left) and **c** (right)
7. Children of **a** and **c** are the subtrees $T_0 \dots T_3$, which have been children of **x**, **y** and **z** before
→ reassign and distinguish **4 cases...**

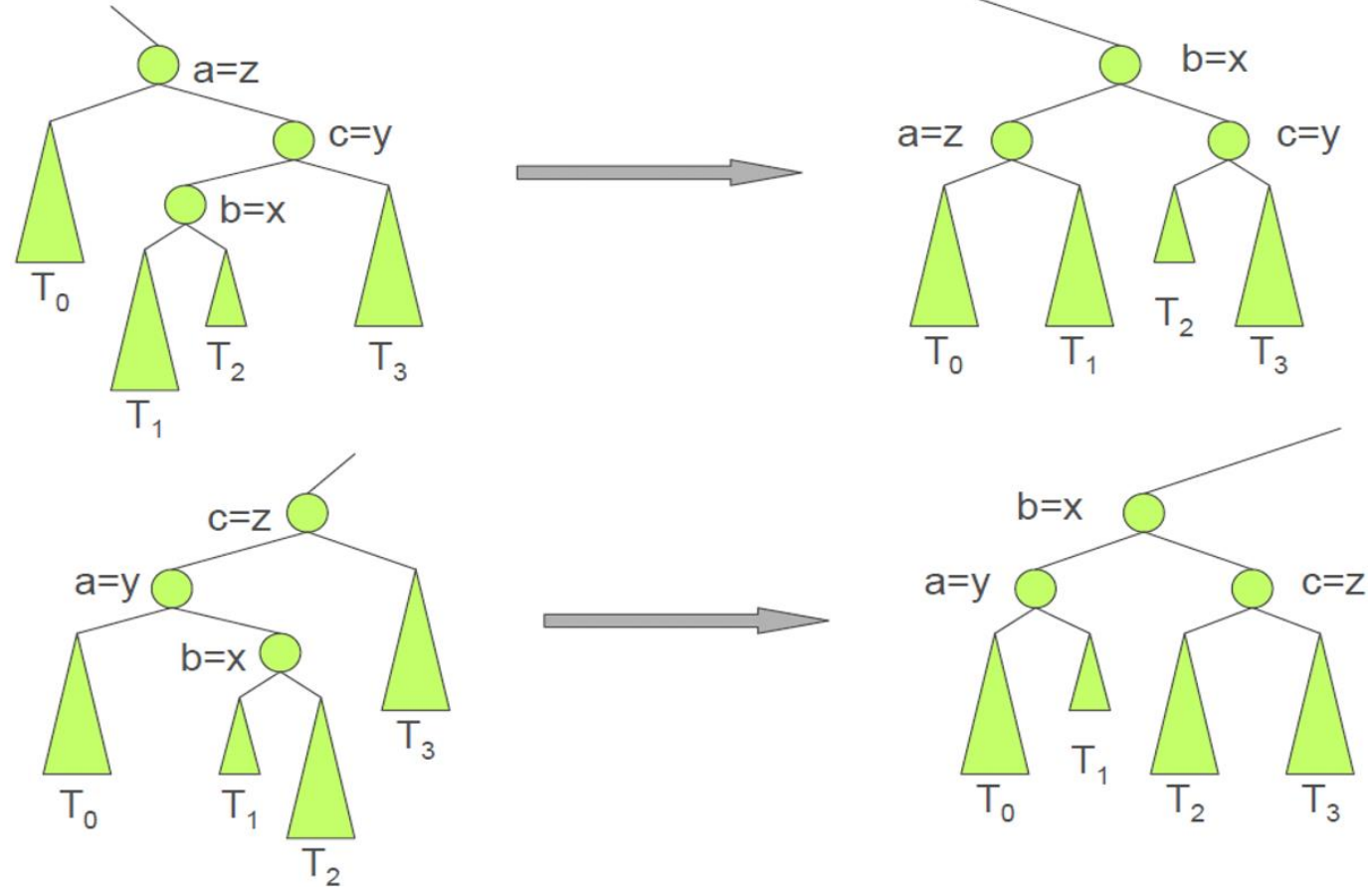
AVL TREES :: ROTATIONS :: 4 CASES

Single Rotations

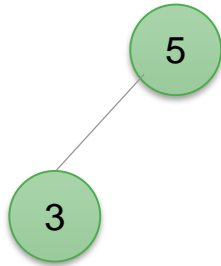


AVL TREES :: ROTATIONS :: 4 CASES

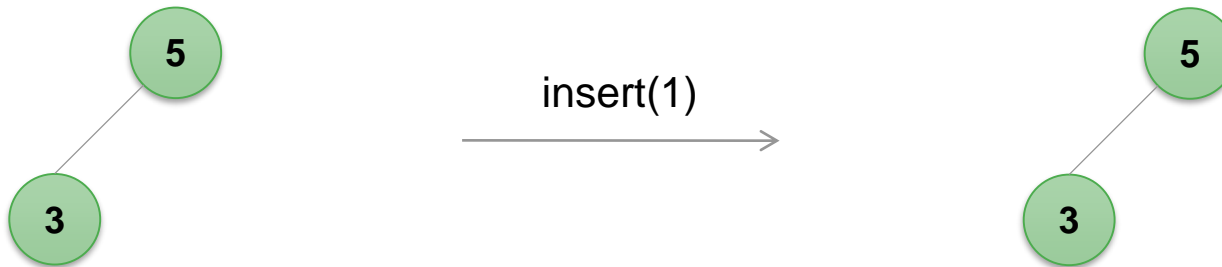
Double Rotations



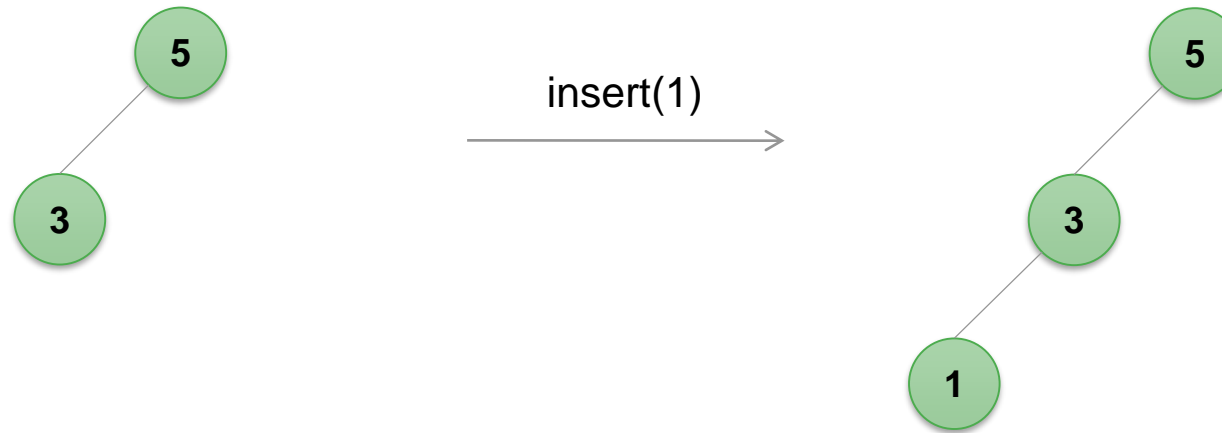
AVL TREES :: ROTATIONS :: EXAMPLE



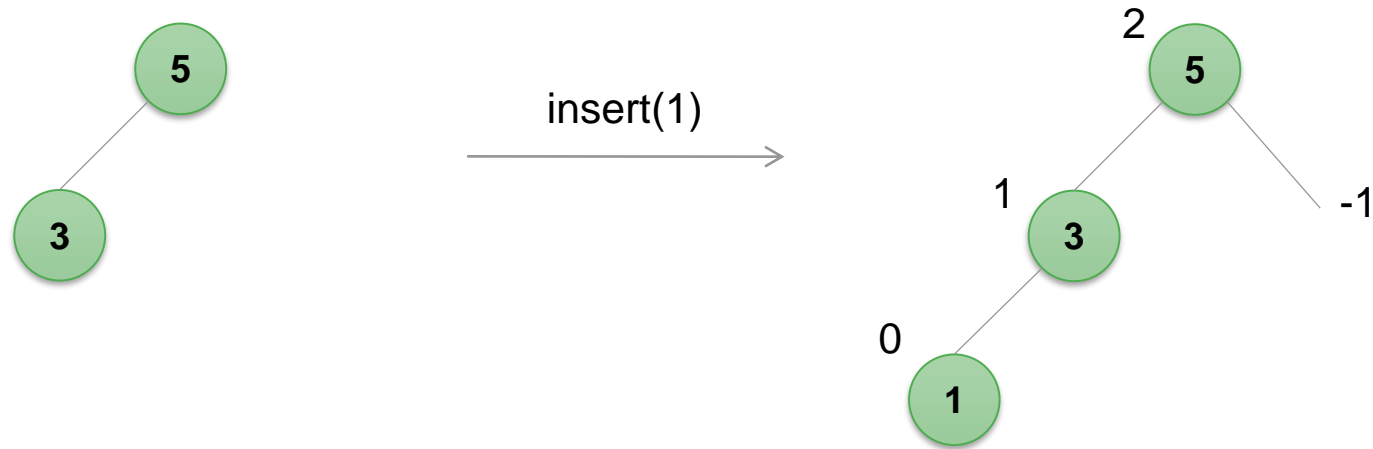
AVL TREES :: ROTATIONS :: EXAMPLE



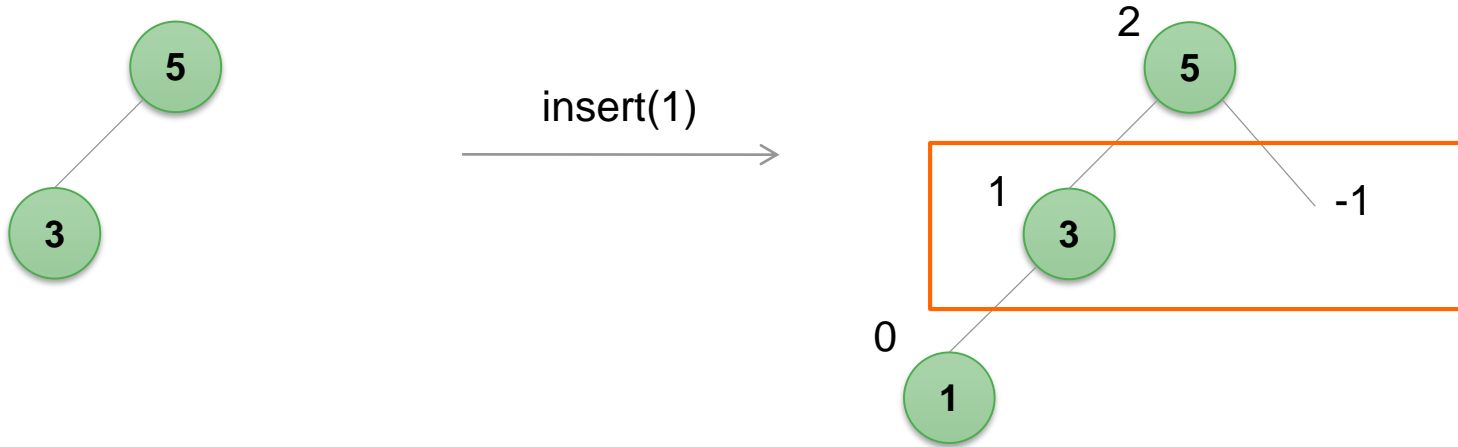
AVL TREES :: ROTATIONS :: EXAMPLE



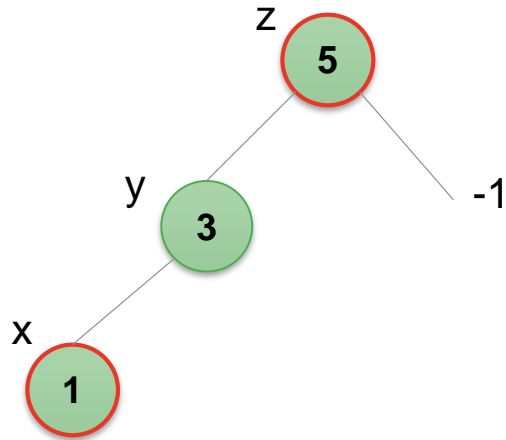
AVL TREES :: ROTATIONS :: EXAMPLE



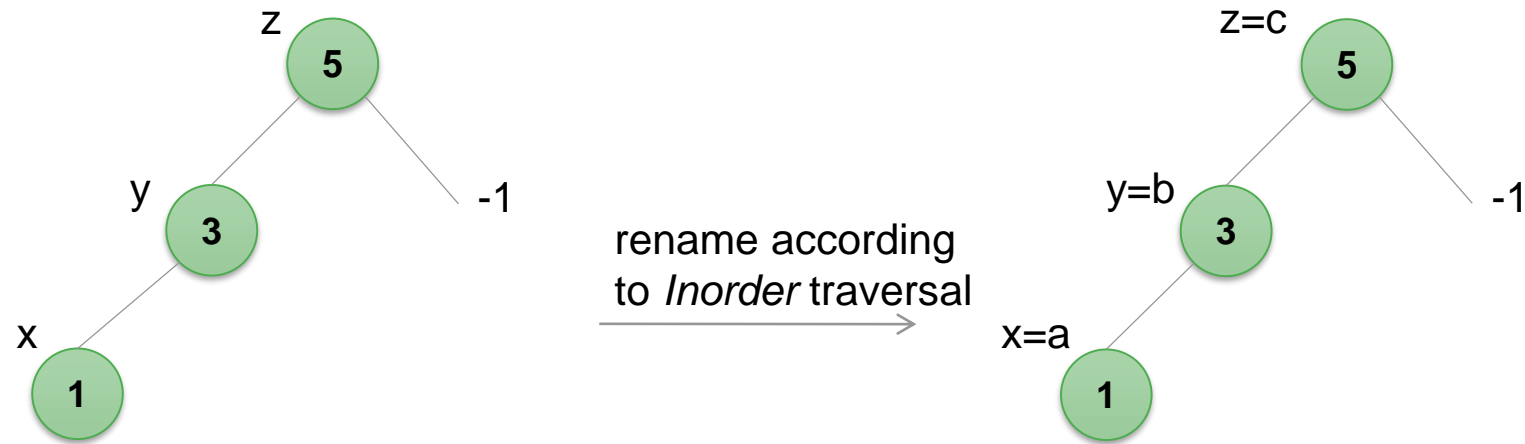
AVL TREES :: ROTATIONS :: EXAMPLE



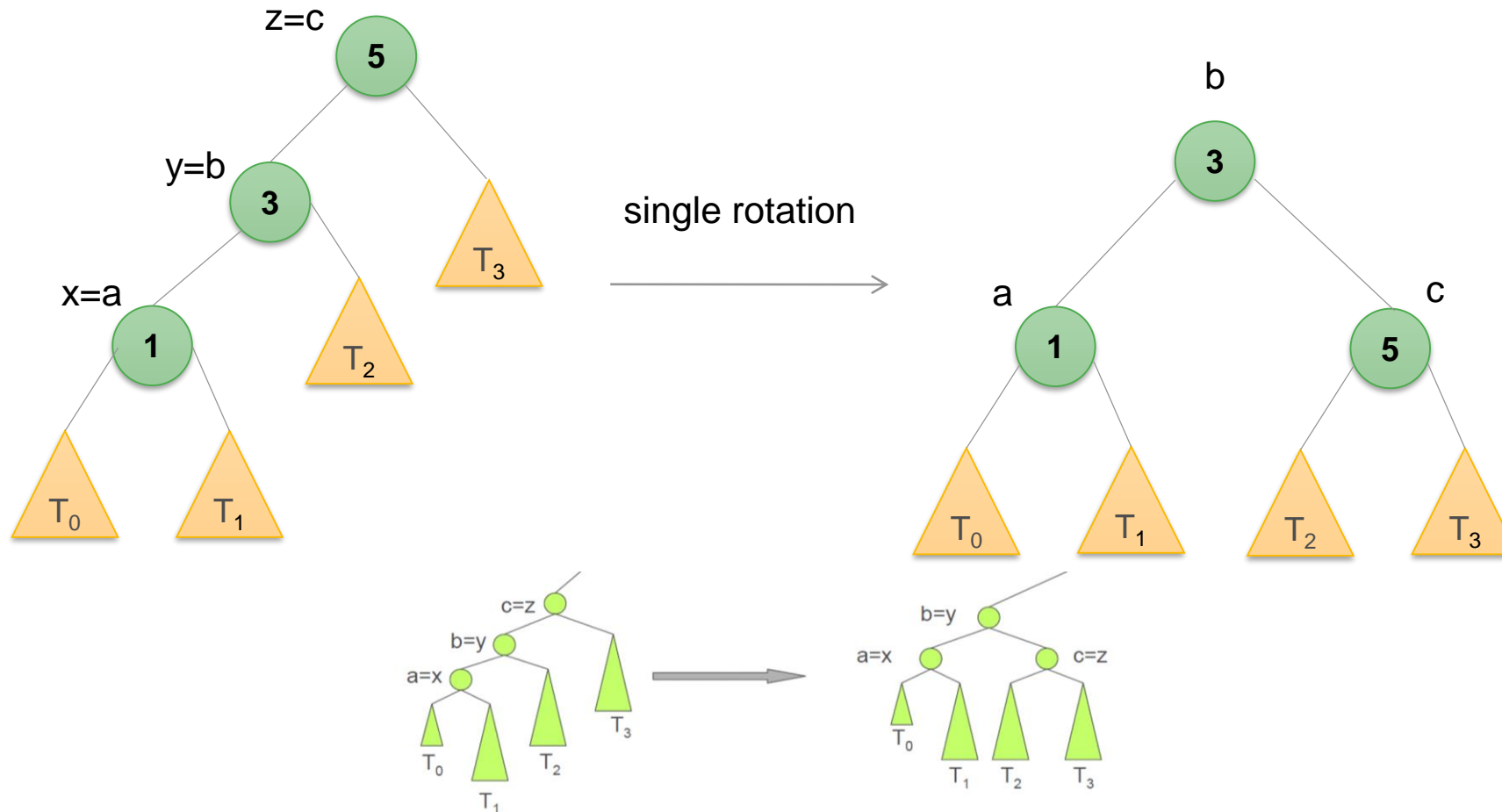
AVL TREES :: ROTATIONS :: EXAMPLE



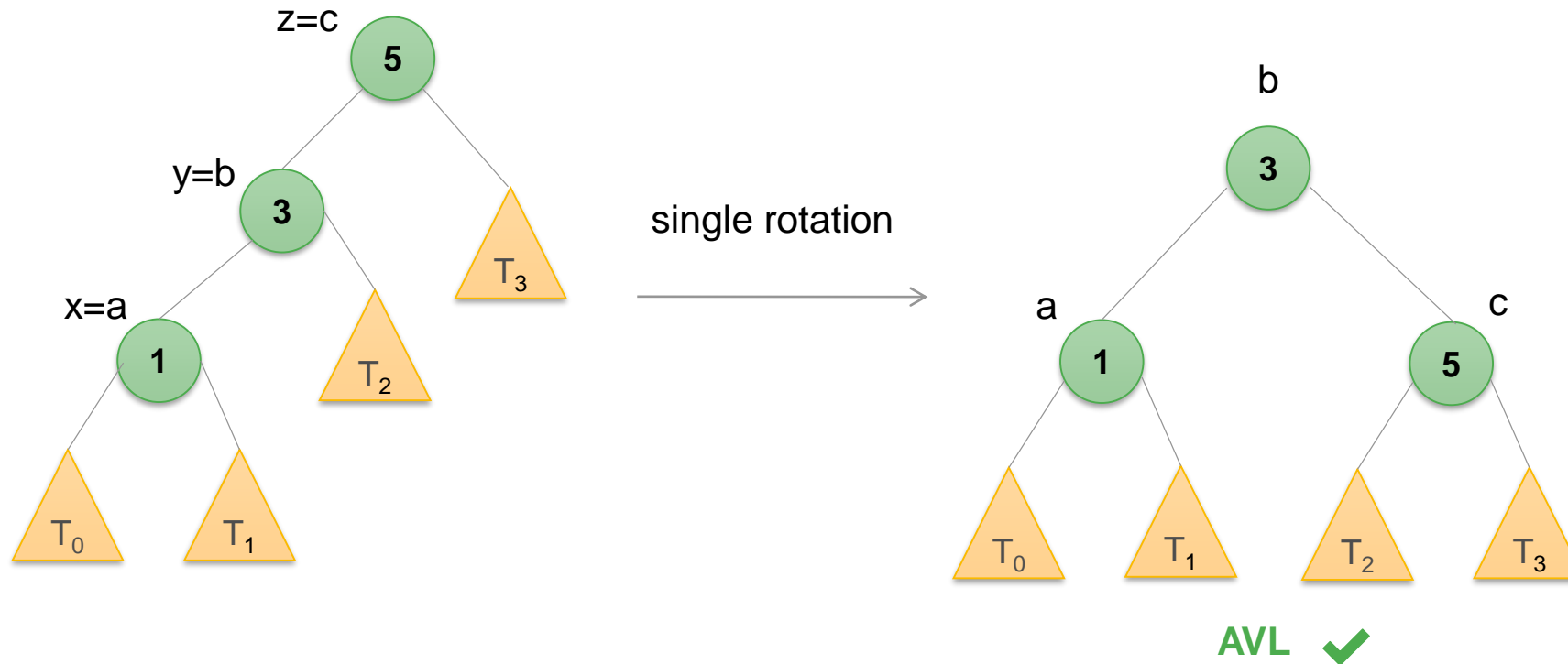
AVL TREES :: ROTATIONS :: EXAMPLE



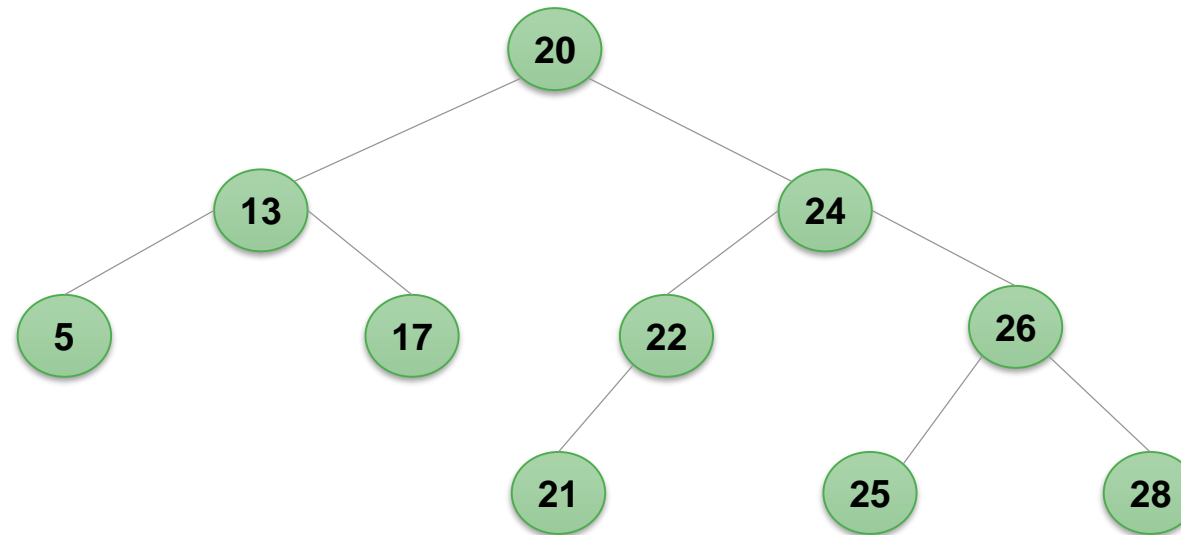
AVL TREES :: ROTATIONS :: EXAMPLE



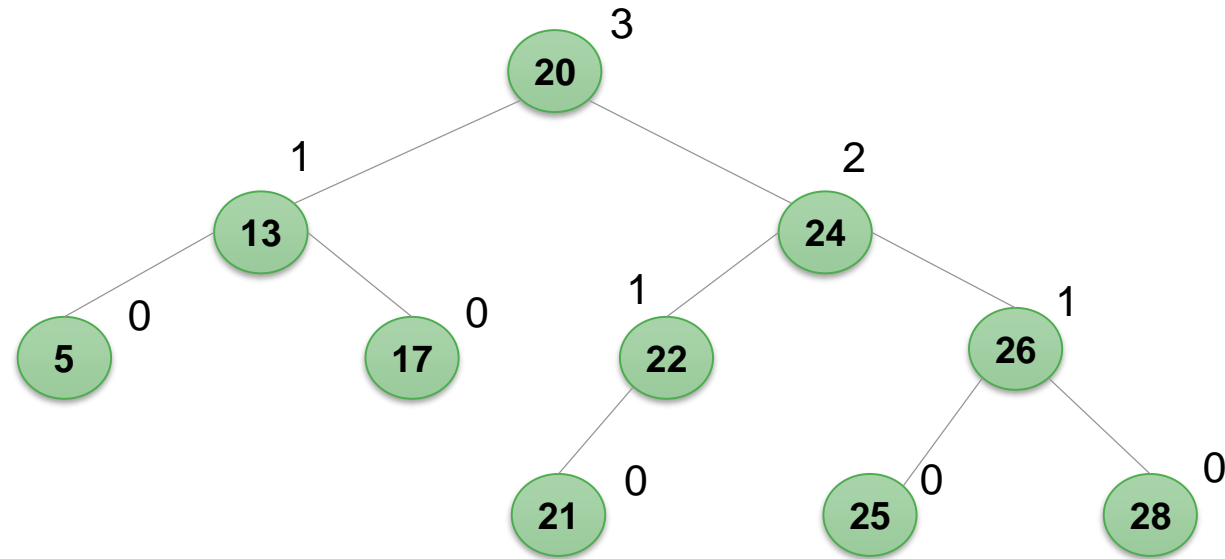
AVL TREES :: ROTATIONS :: EXAMPLE



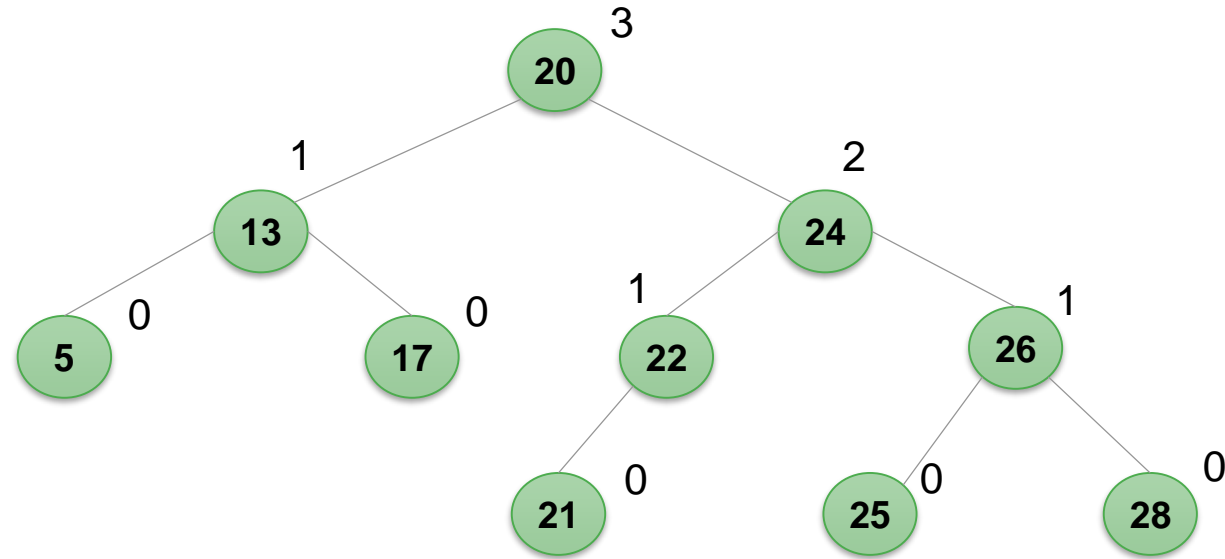
AVL TREES :: SINGLE ROTATION EXAMPLE



AVL TREES :: SINGLE ROTATION EXAMPLE



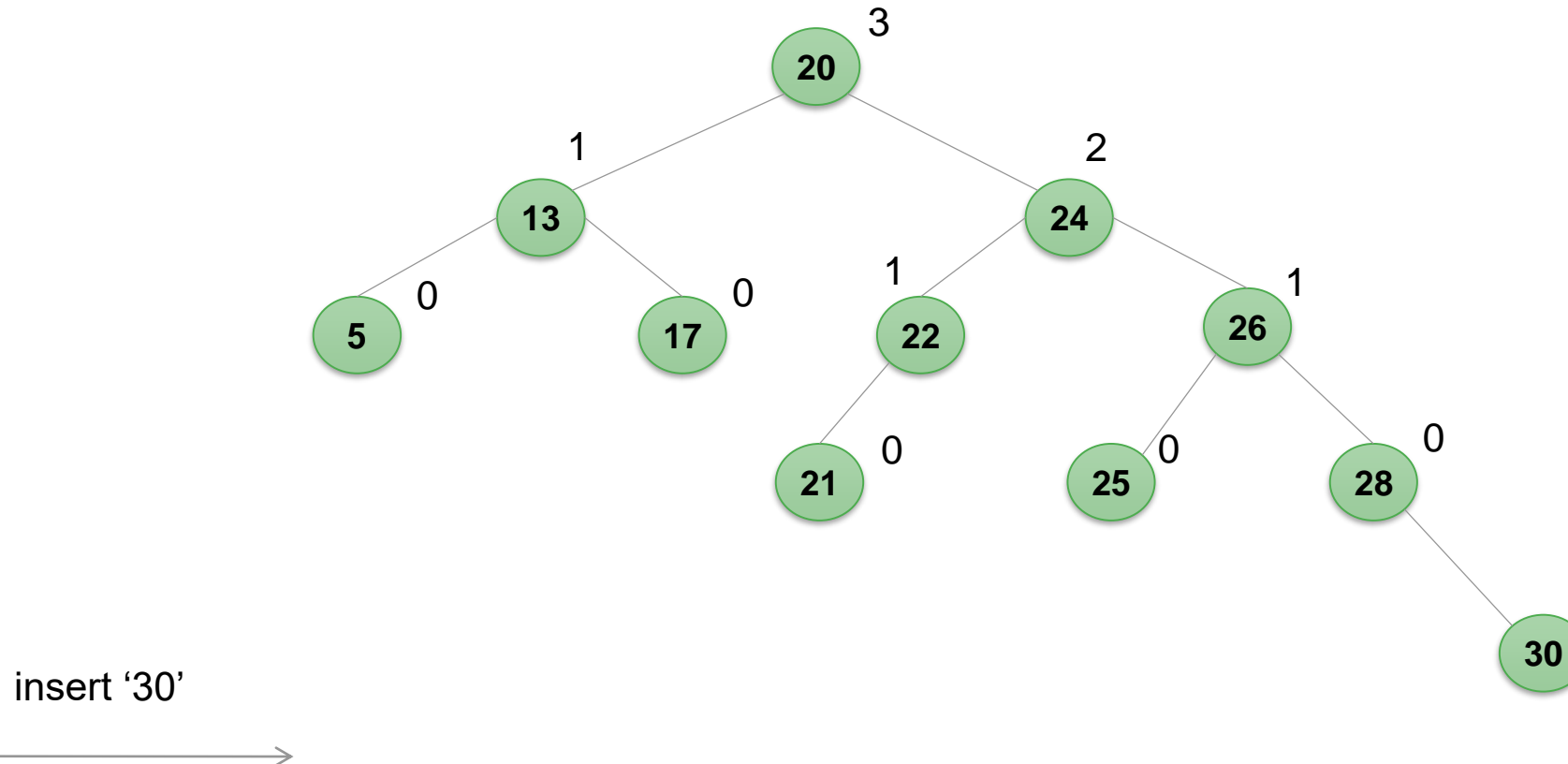
AVL TREES :: SINGLE ROTATION EXAMPLE



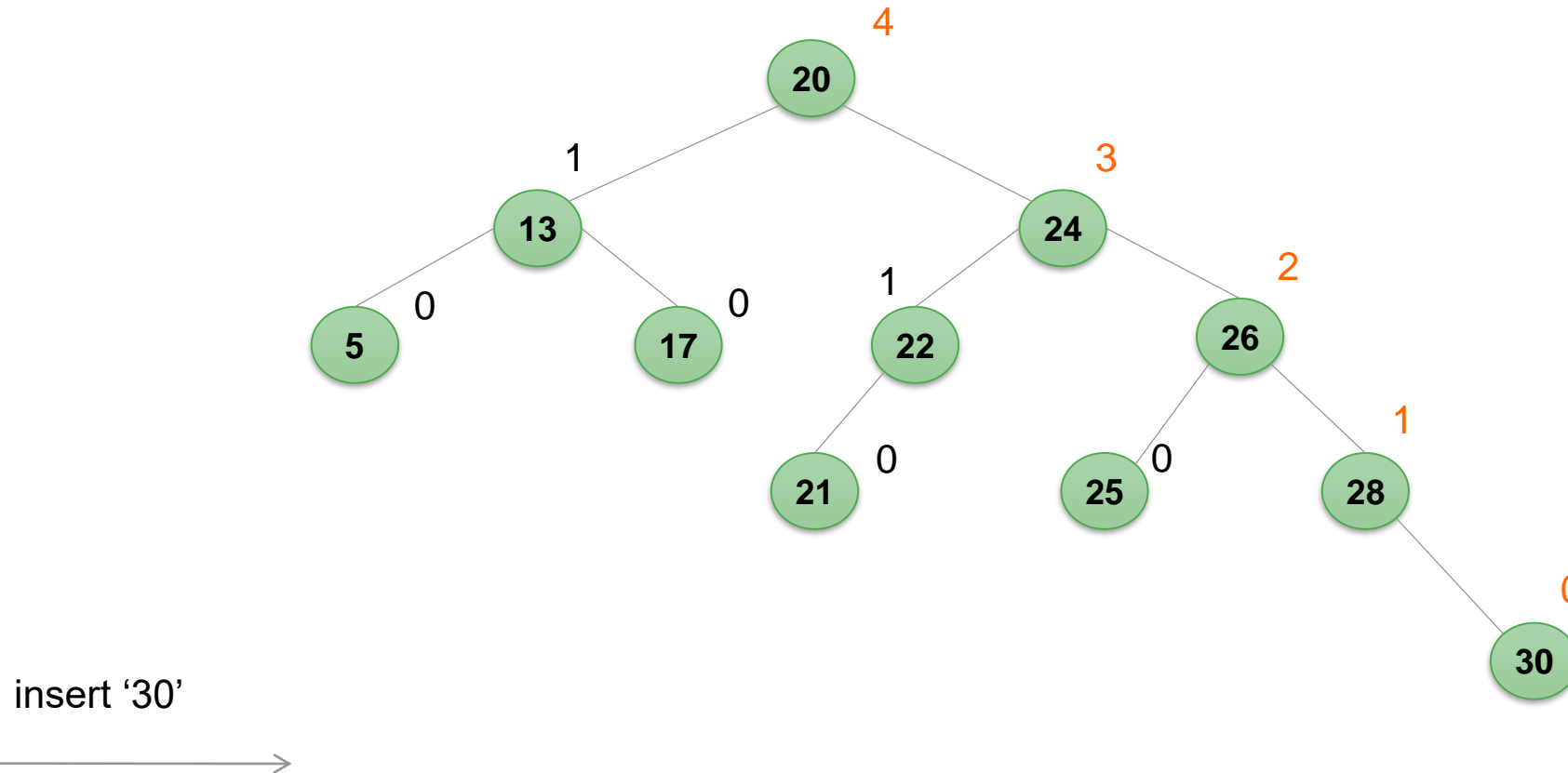
insert '30'



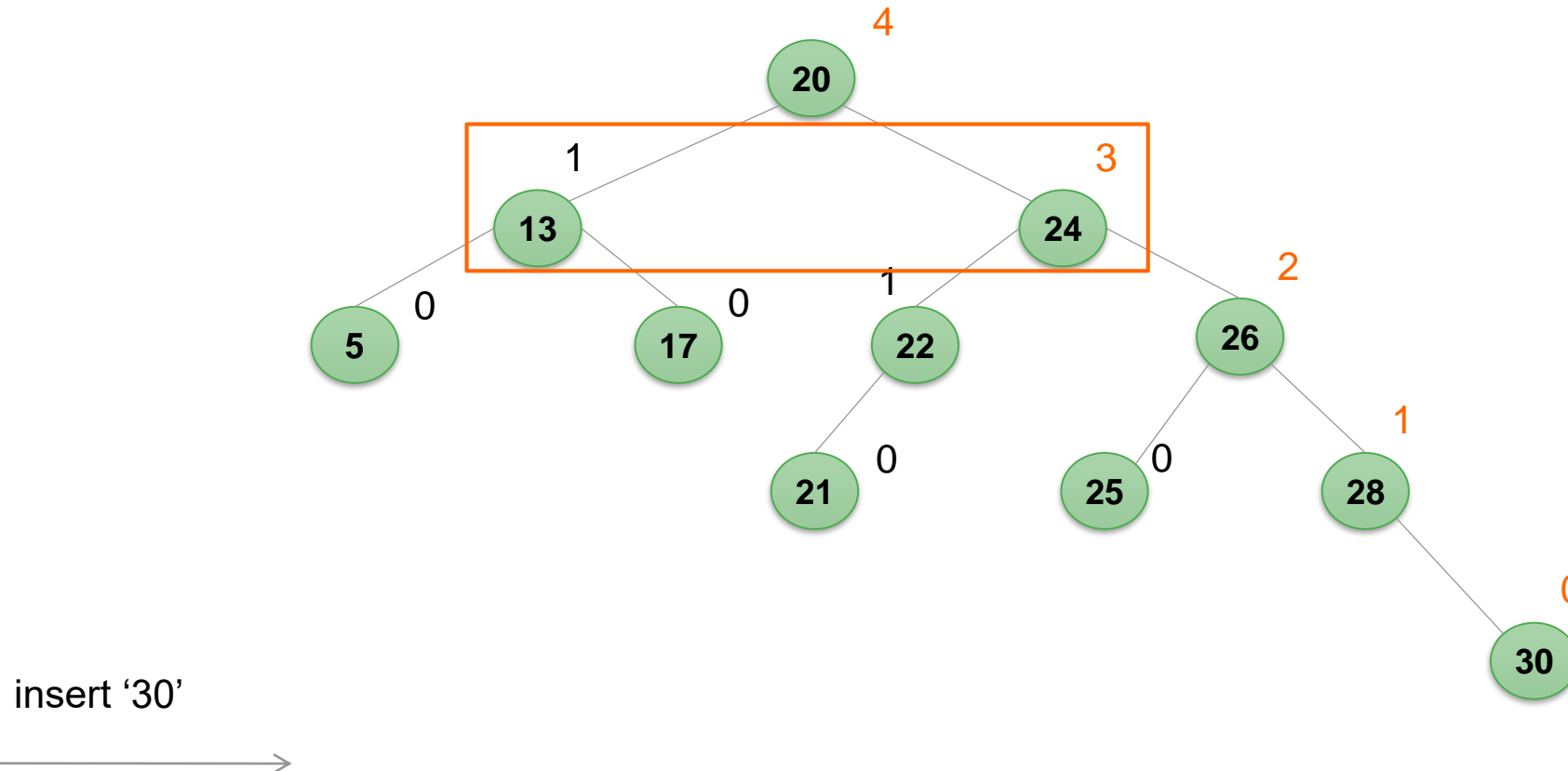
AVL TREES :: SINGLE ROTATION EXAMPLE



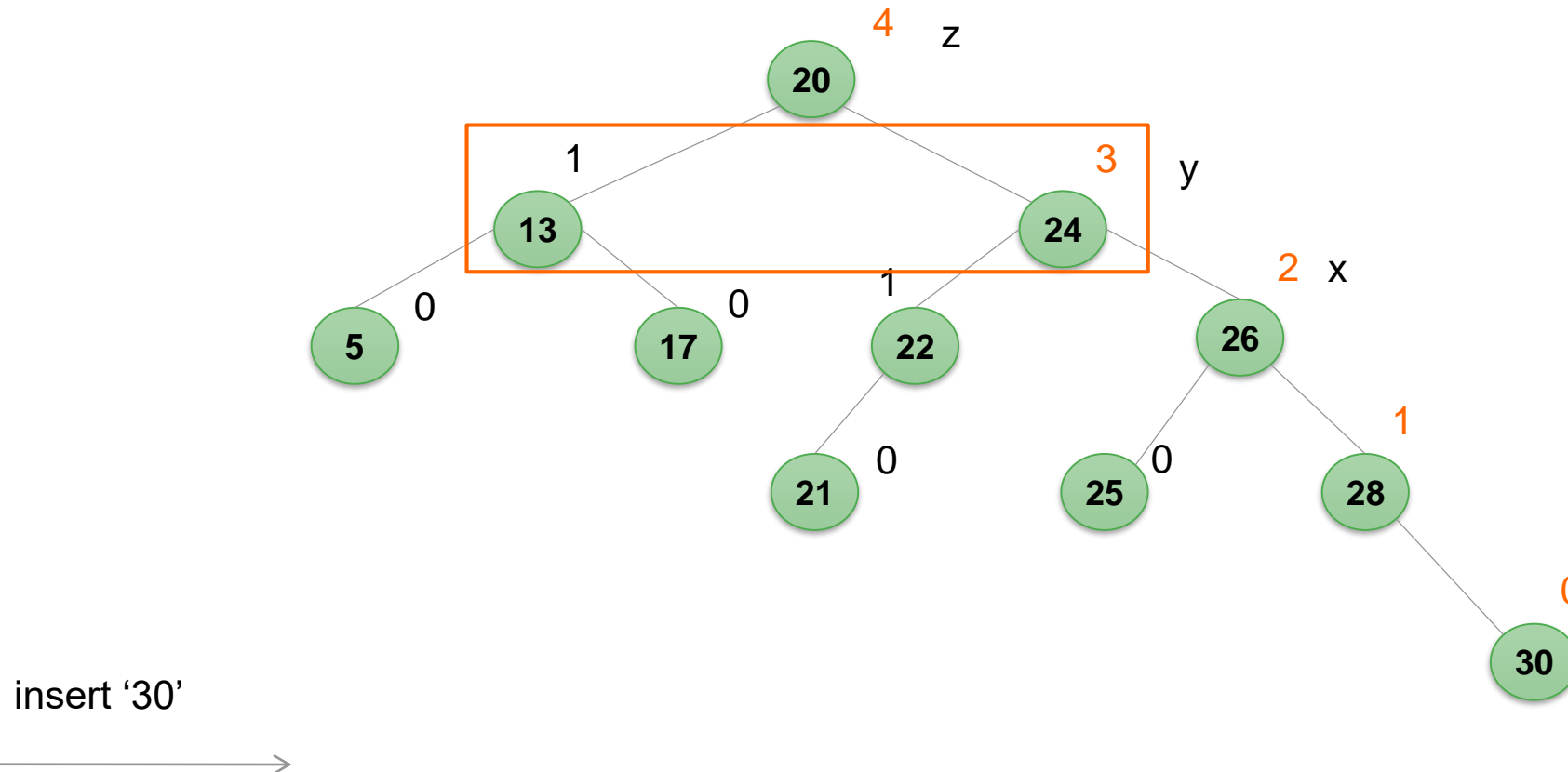
AVL TREES :: SINGLE ROTATION EXAMPLE



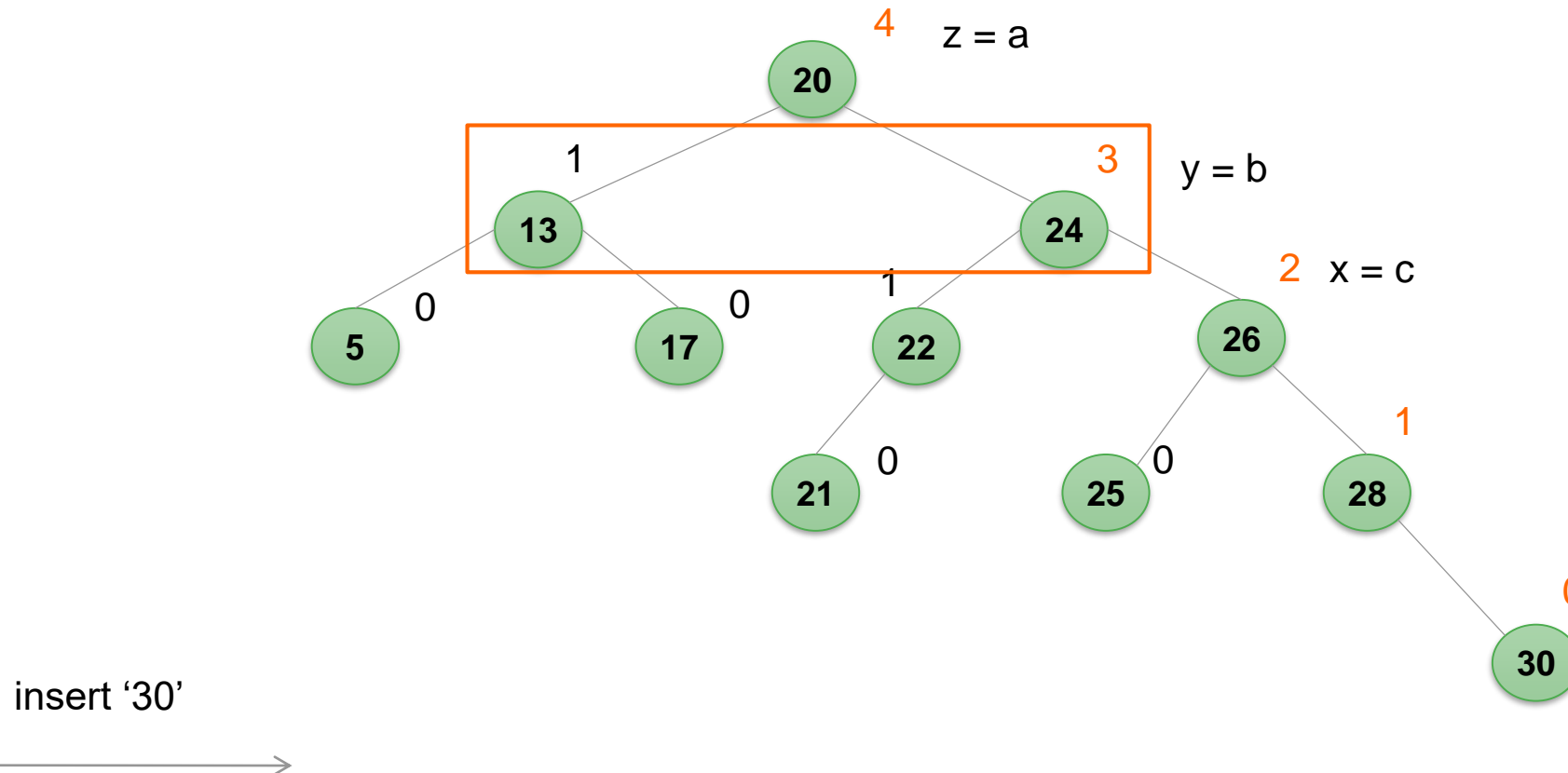
AVL TREES :: SINGLE ROTATION EXAMPLE



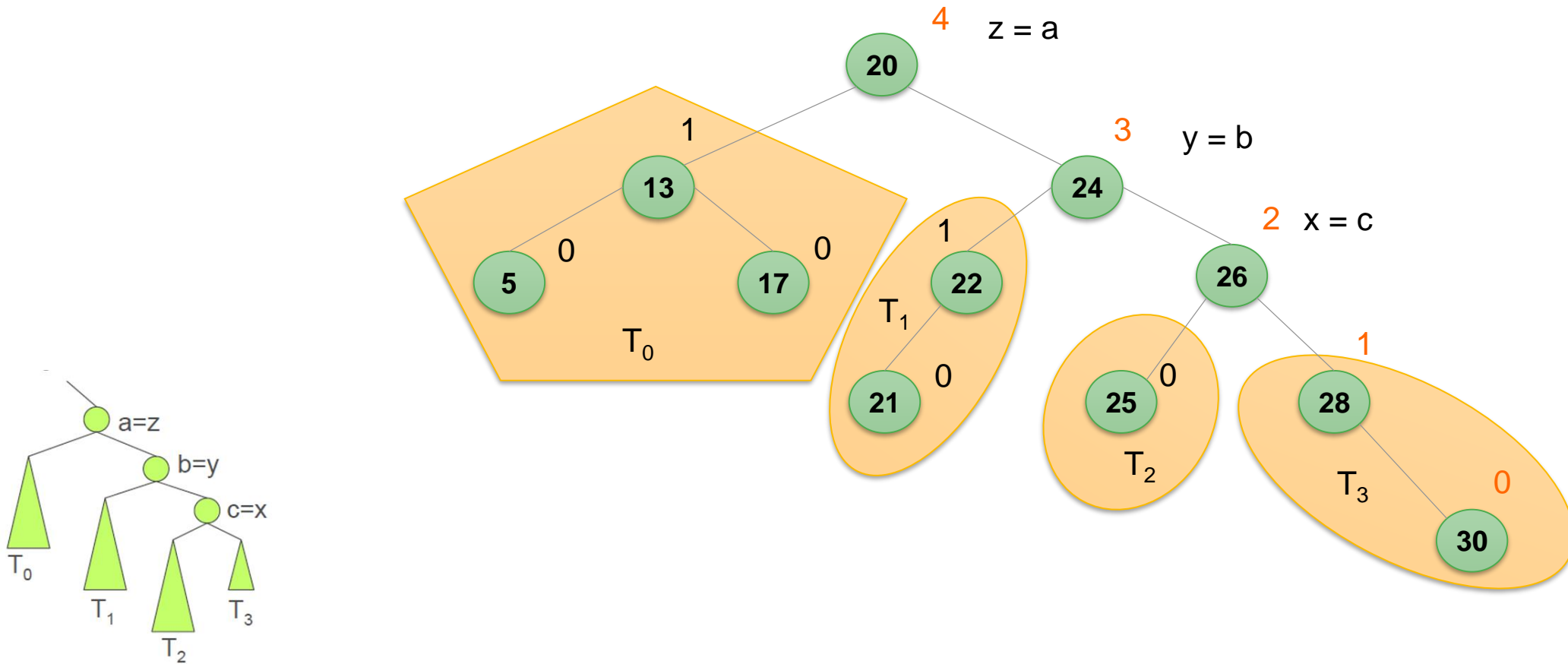
AVL TREES :: SINGLE ROTATION EXAMPLE



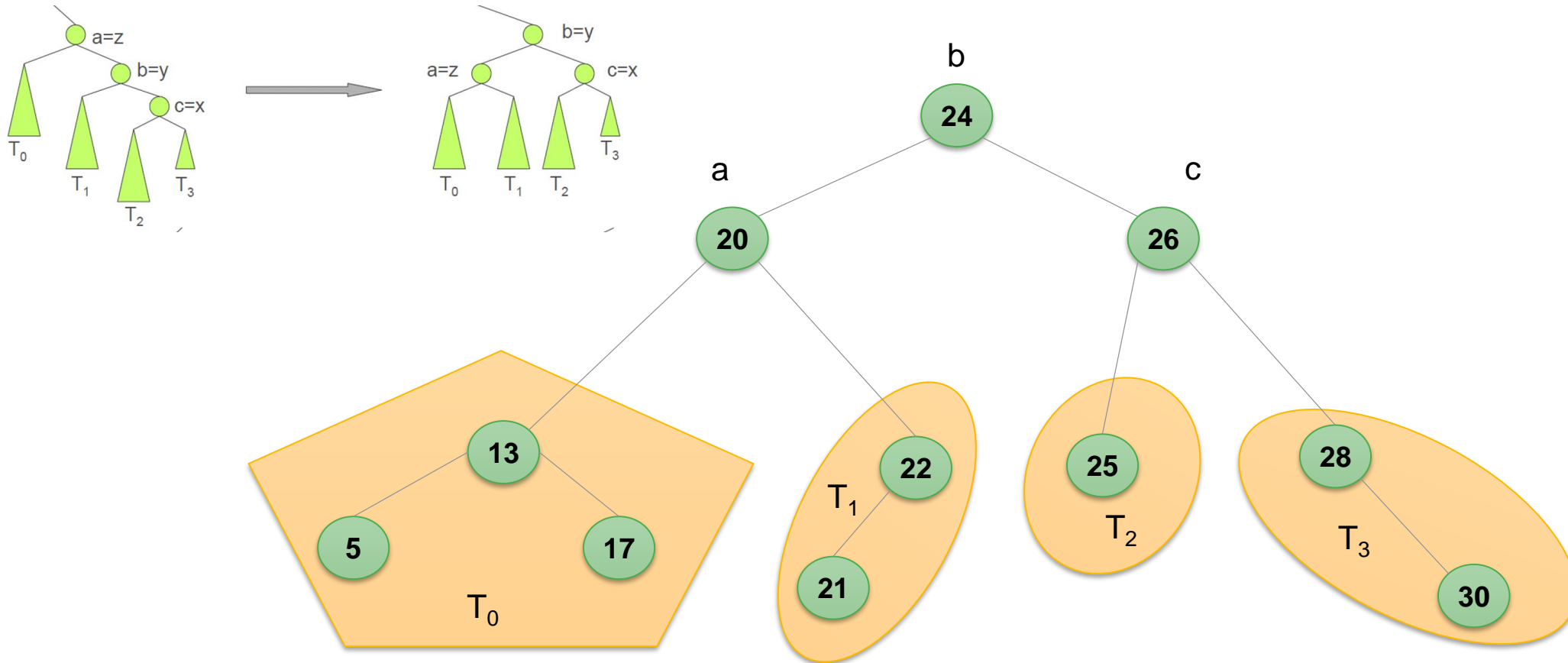
AVL TREES :: SINGLE ROTATION EXAMPLE



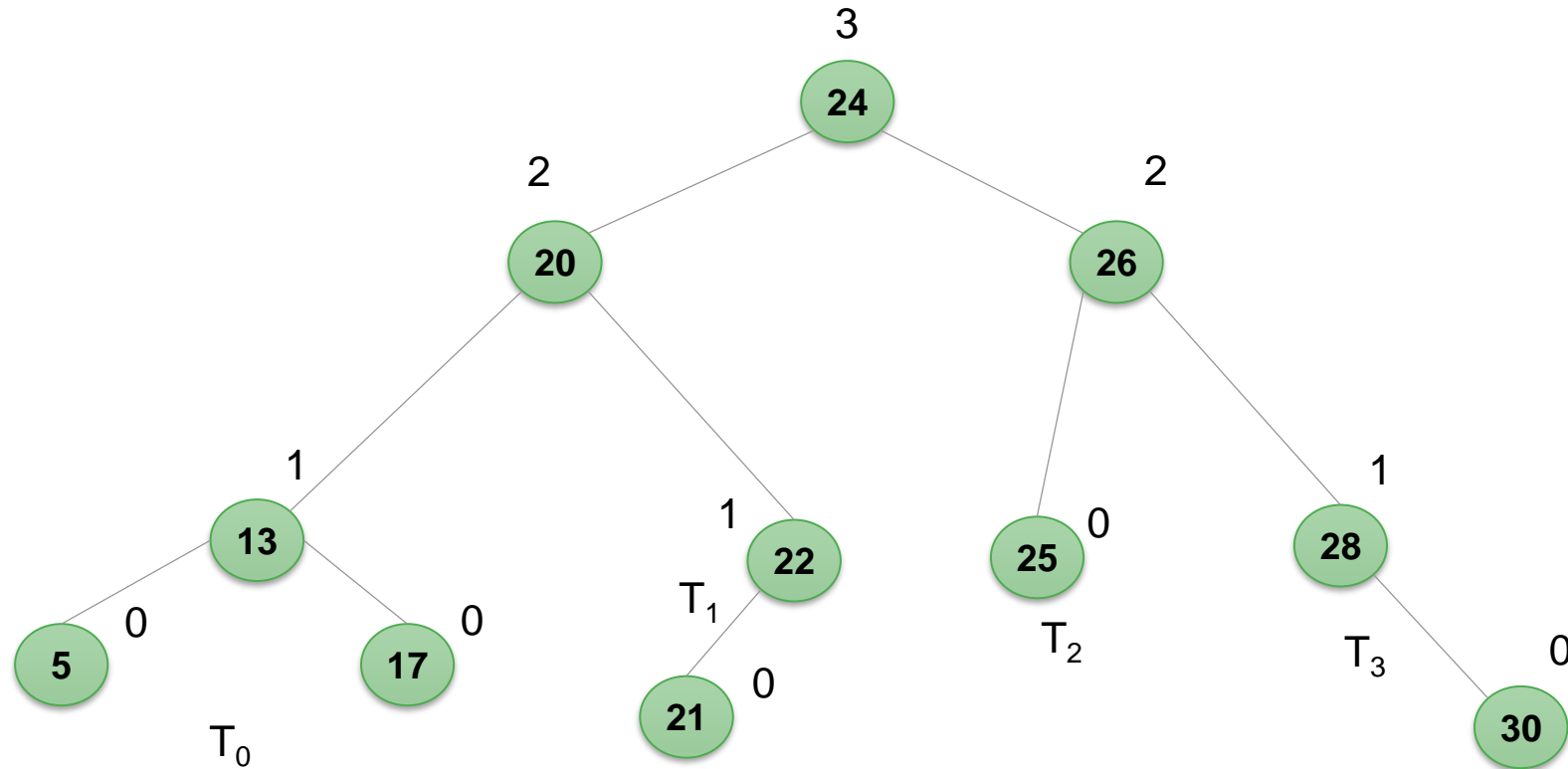
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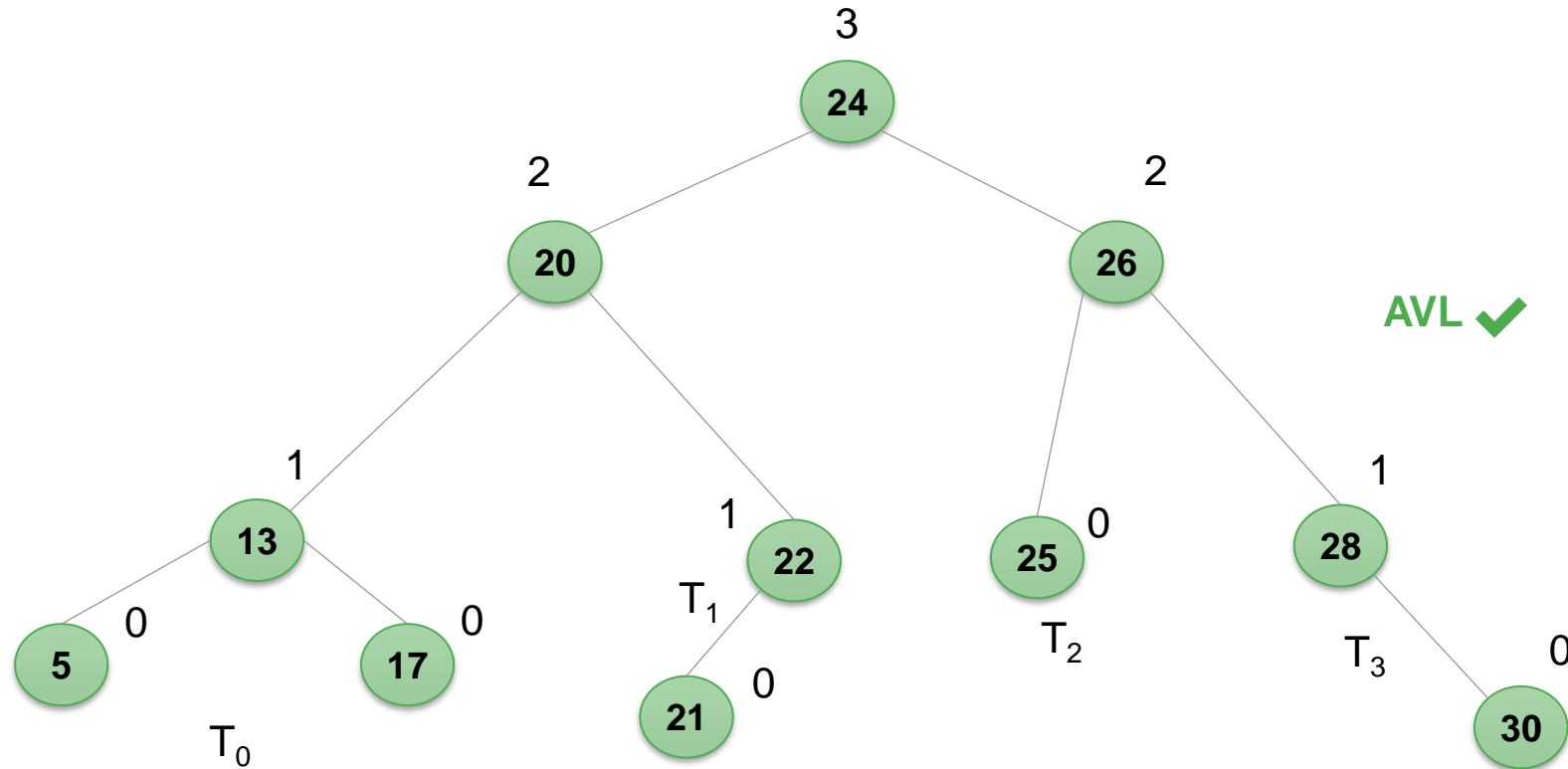
AVL TREES :: SINGLE ROTATION EXAMPLE



AVL TREES :: SINGLE ROTATION EXAMPLE



AVL TREES :: SINGLE ROTATION EXAMPLE



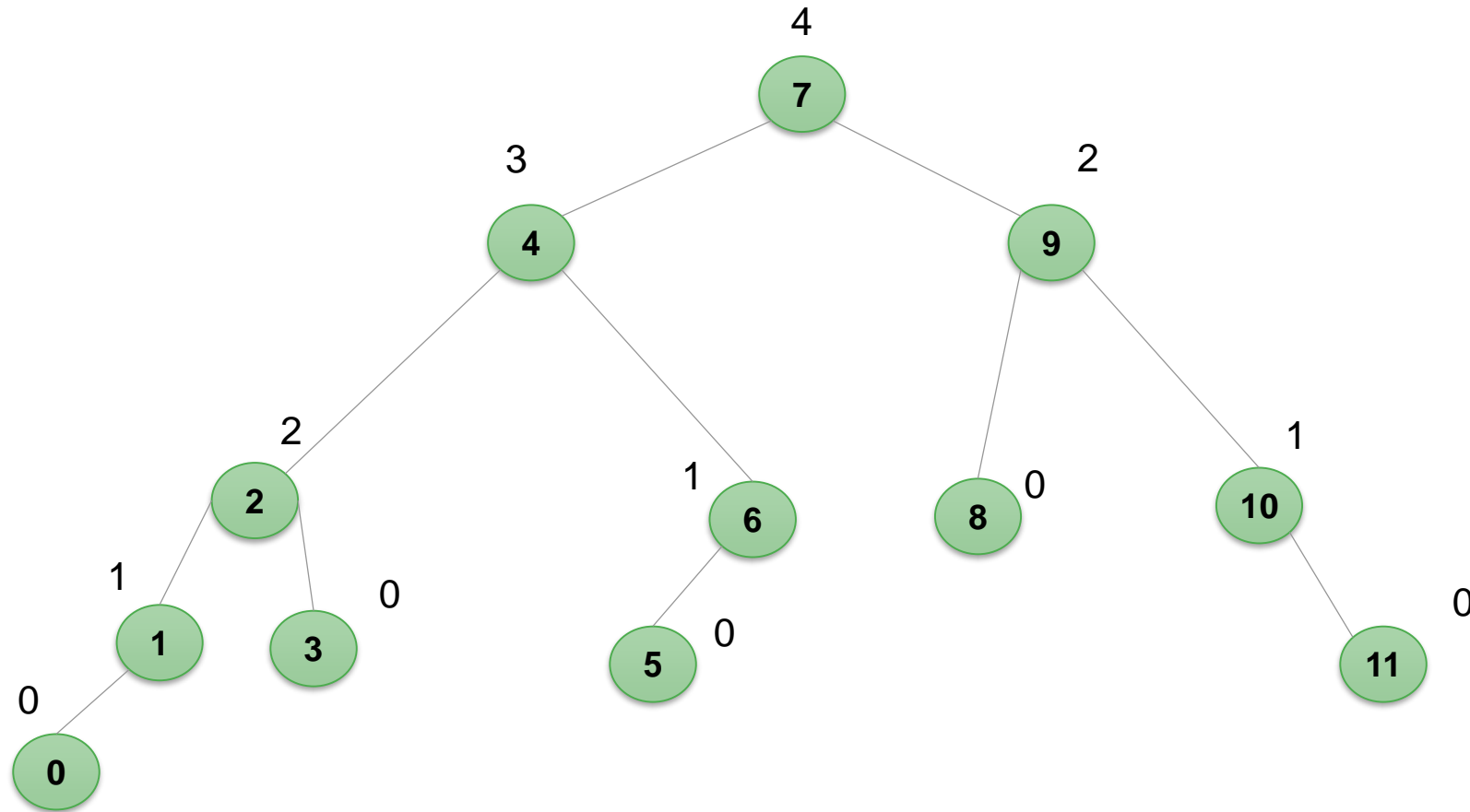
AVL TREES :: REMOVE

- Remove as in binary search tree
- Check the balance starting from the **parent** node of the moved **Inorder** successor towards the root
- **Restructure** if necessary until the tree is balanced

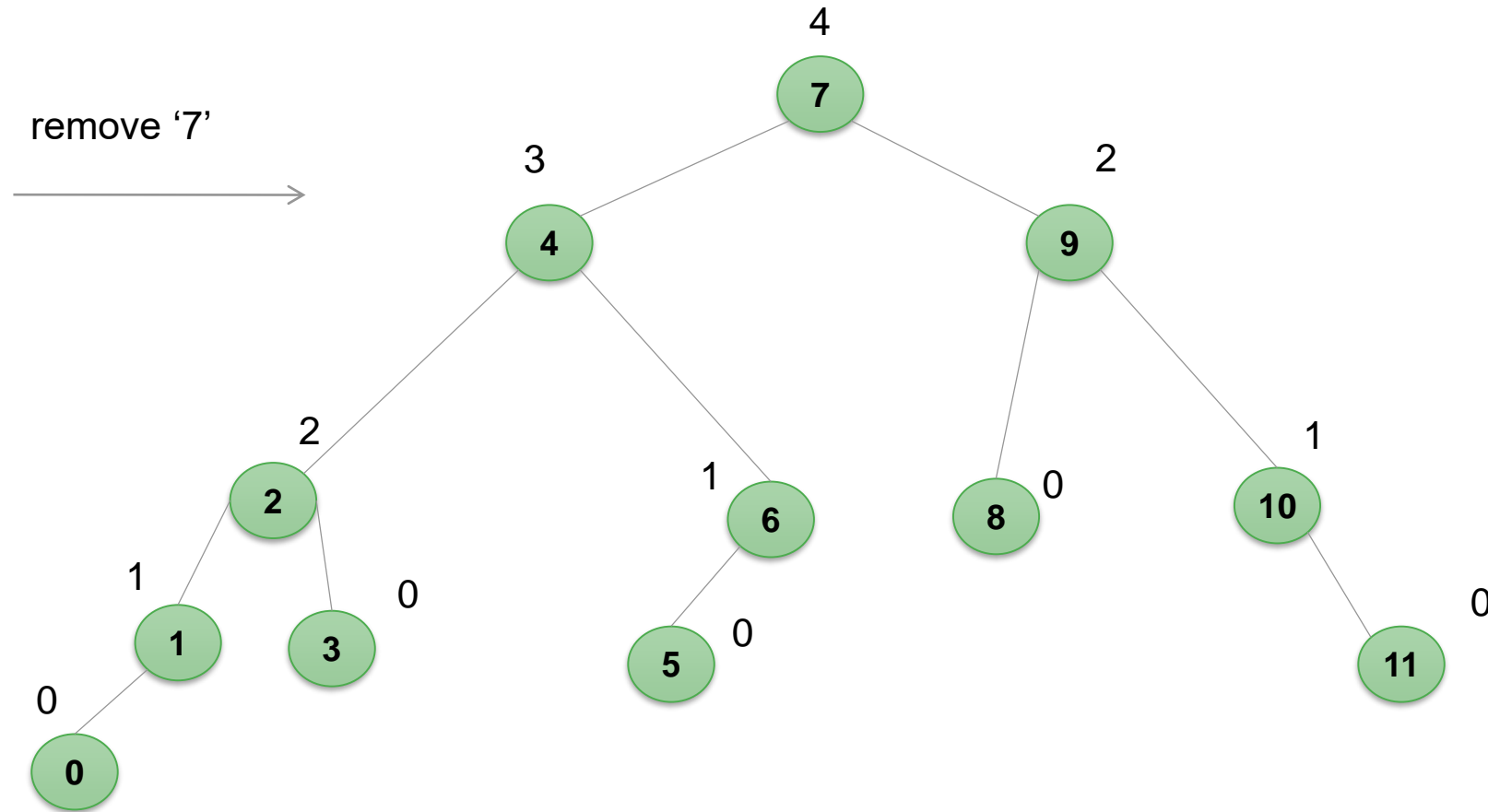
Procedure

1. Search for the first unbalanced node **z**
2. Put **y** on child of **z** with greatest height
3. Put **x** on child of **y** with greatest height

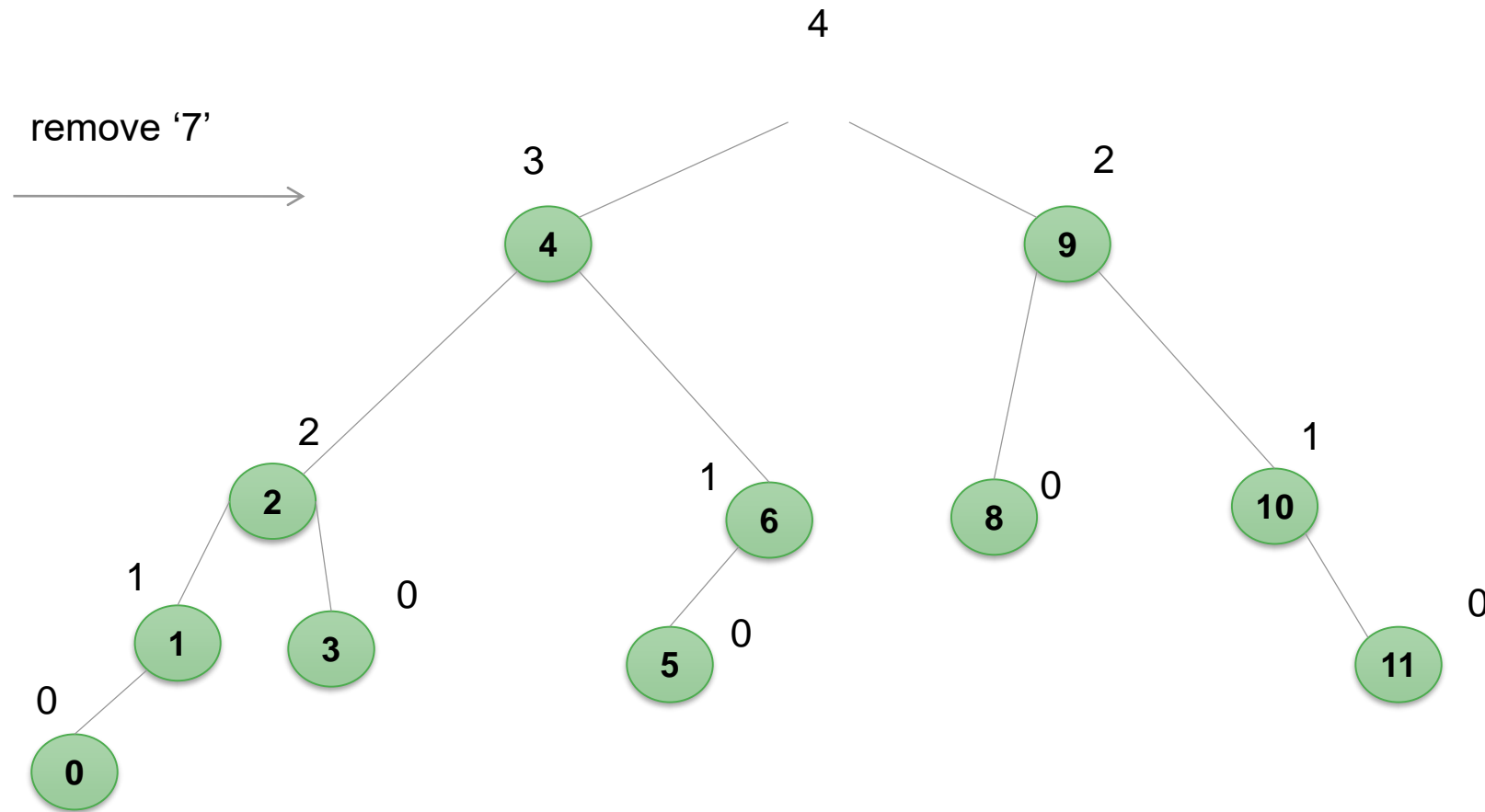
AVL TREES :: REMOVE



AVL TREES :: REMOVE



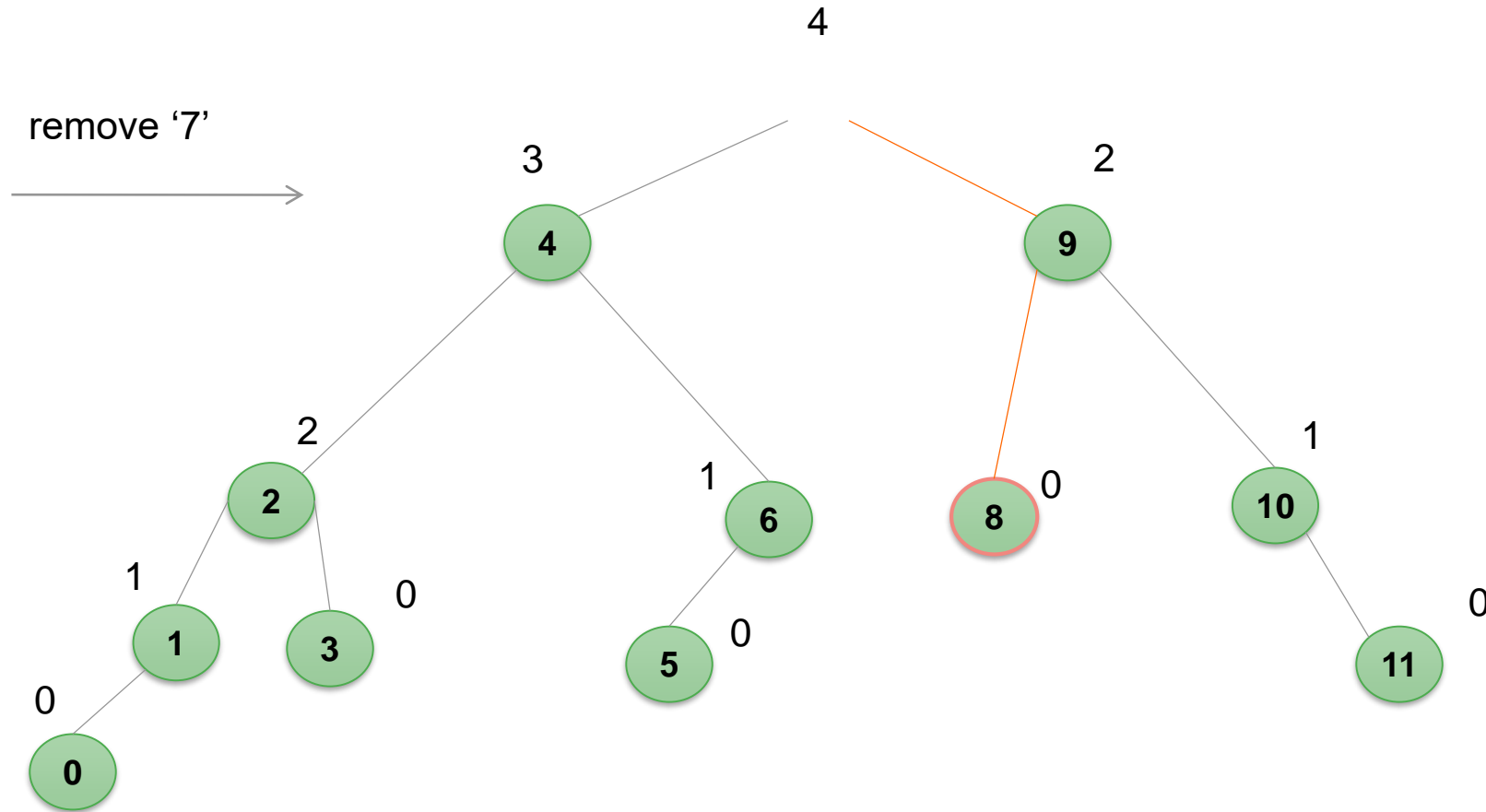
AVL TREES :: REMOVE



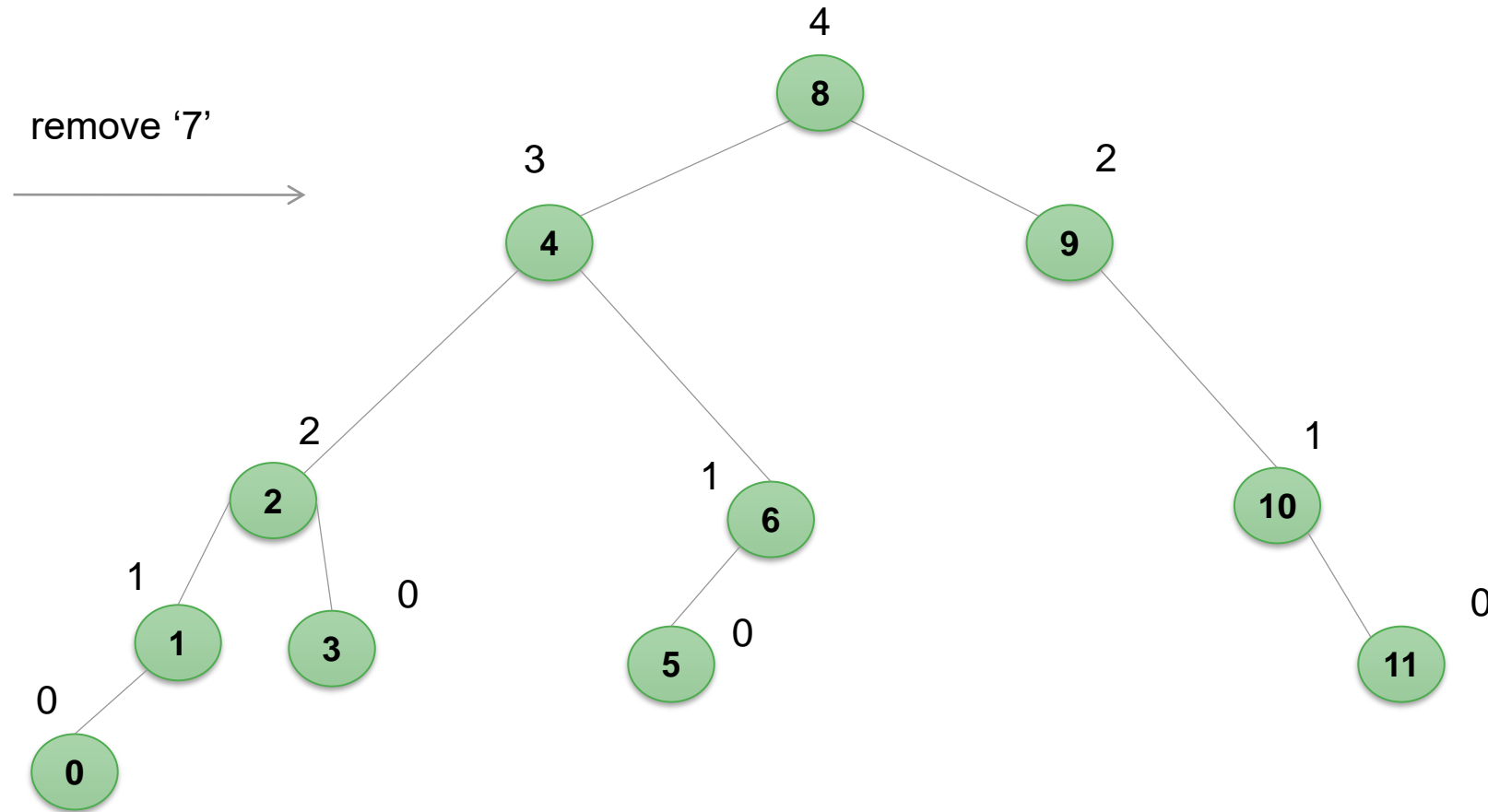
AVL TREES :: REMOVE

BST remove:

'8' is inorder successor of removed node '7'

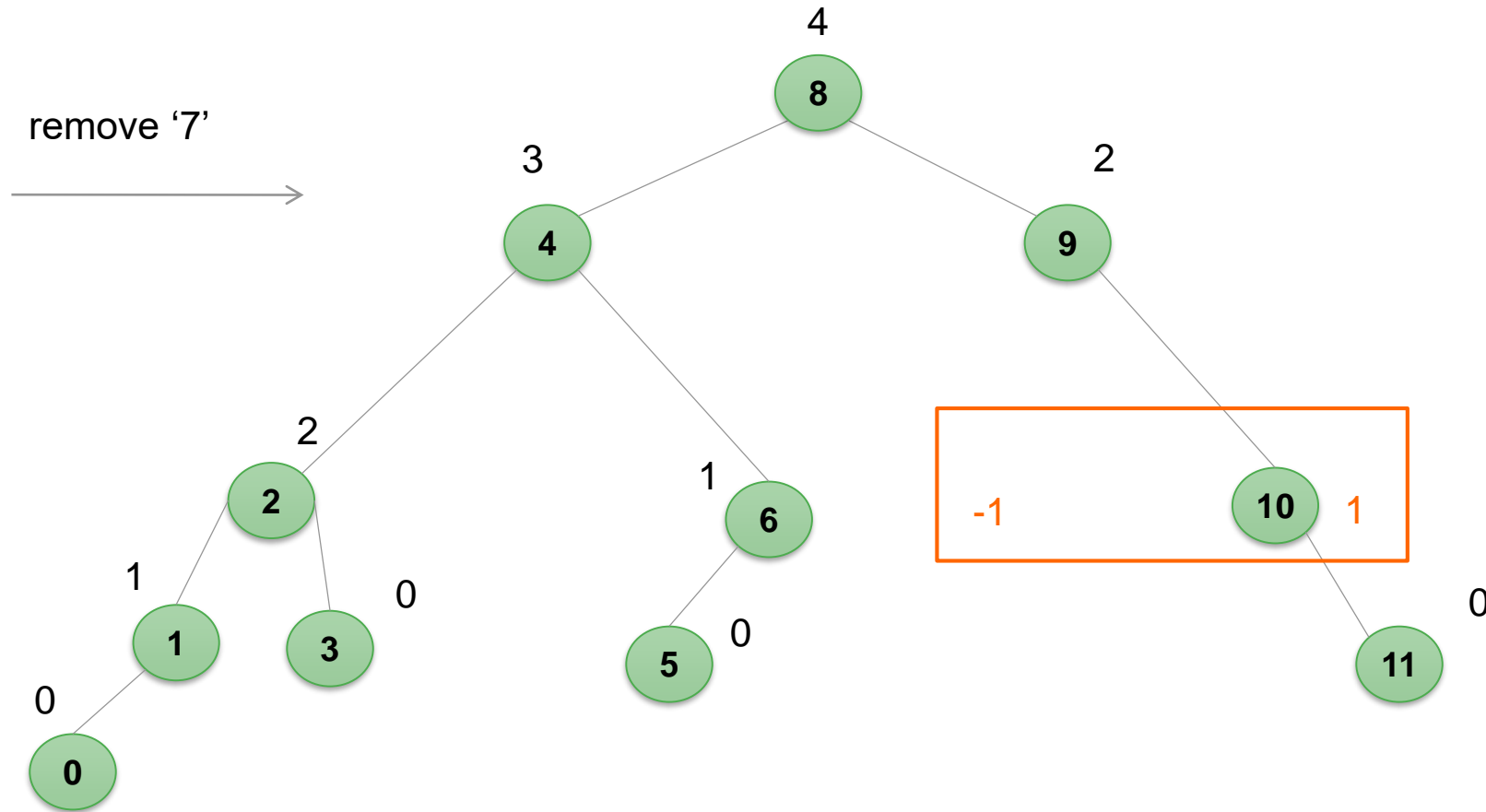


AVL TREES :: REMOVE



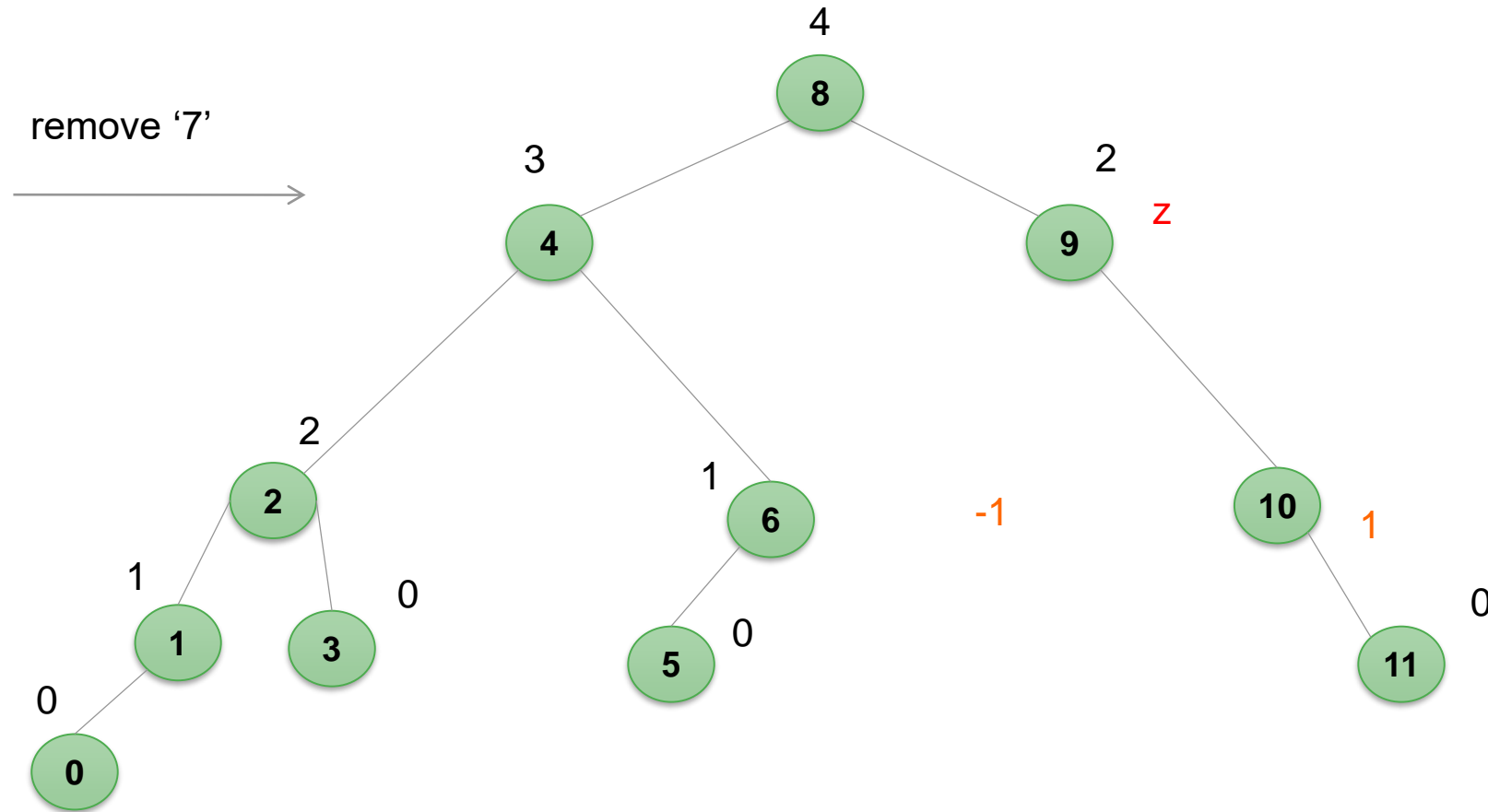
AVL TREES :: REMOVE

'8' was inorder successor of removed node '7'
and its parent node is '9'



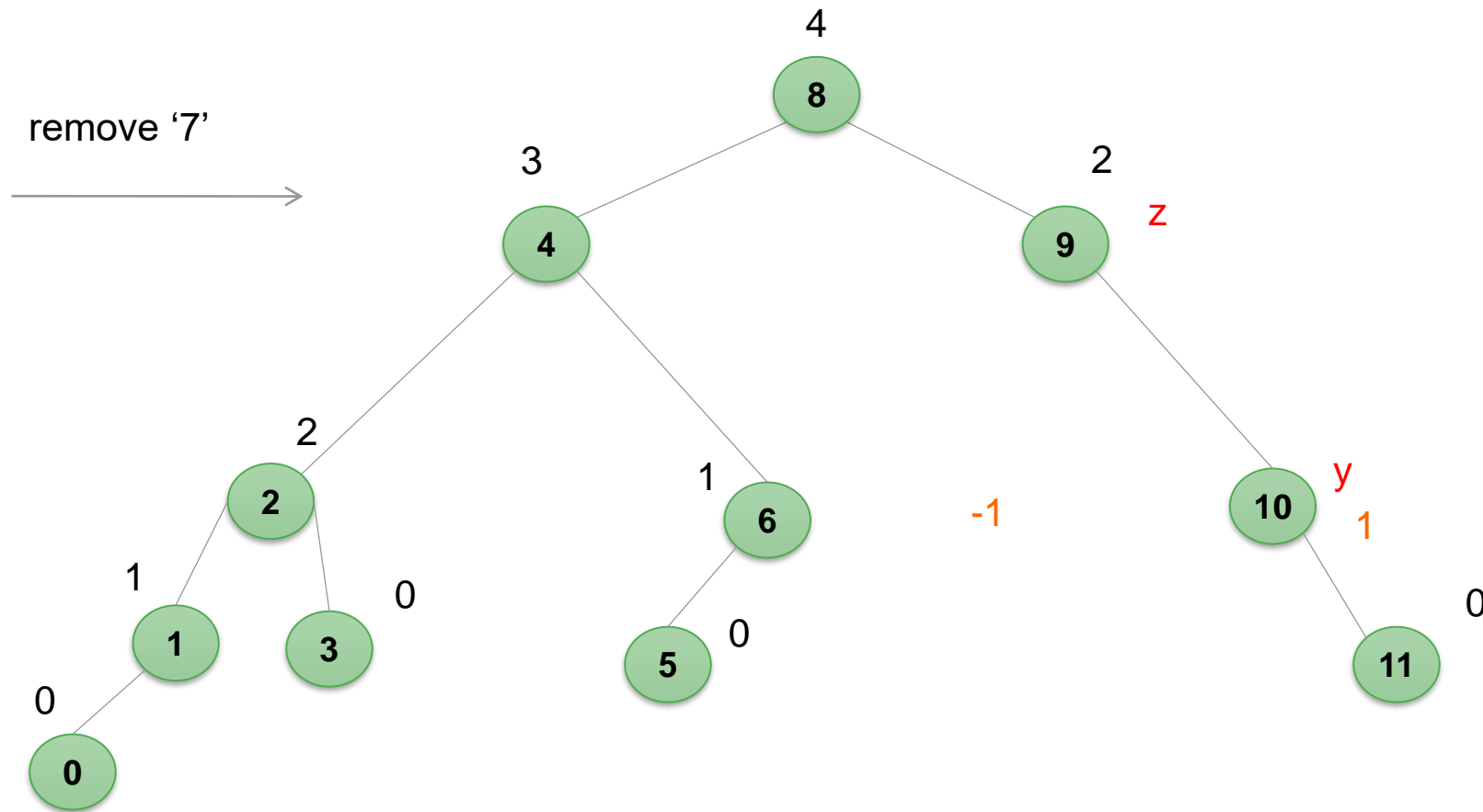
AVL TREES :: REMOVE

First unbalanced node = z



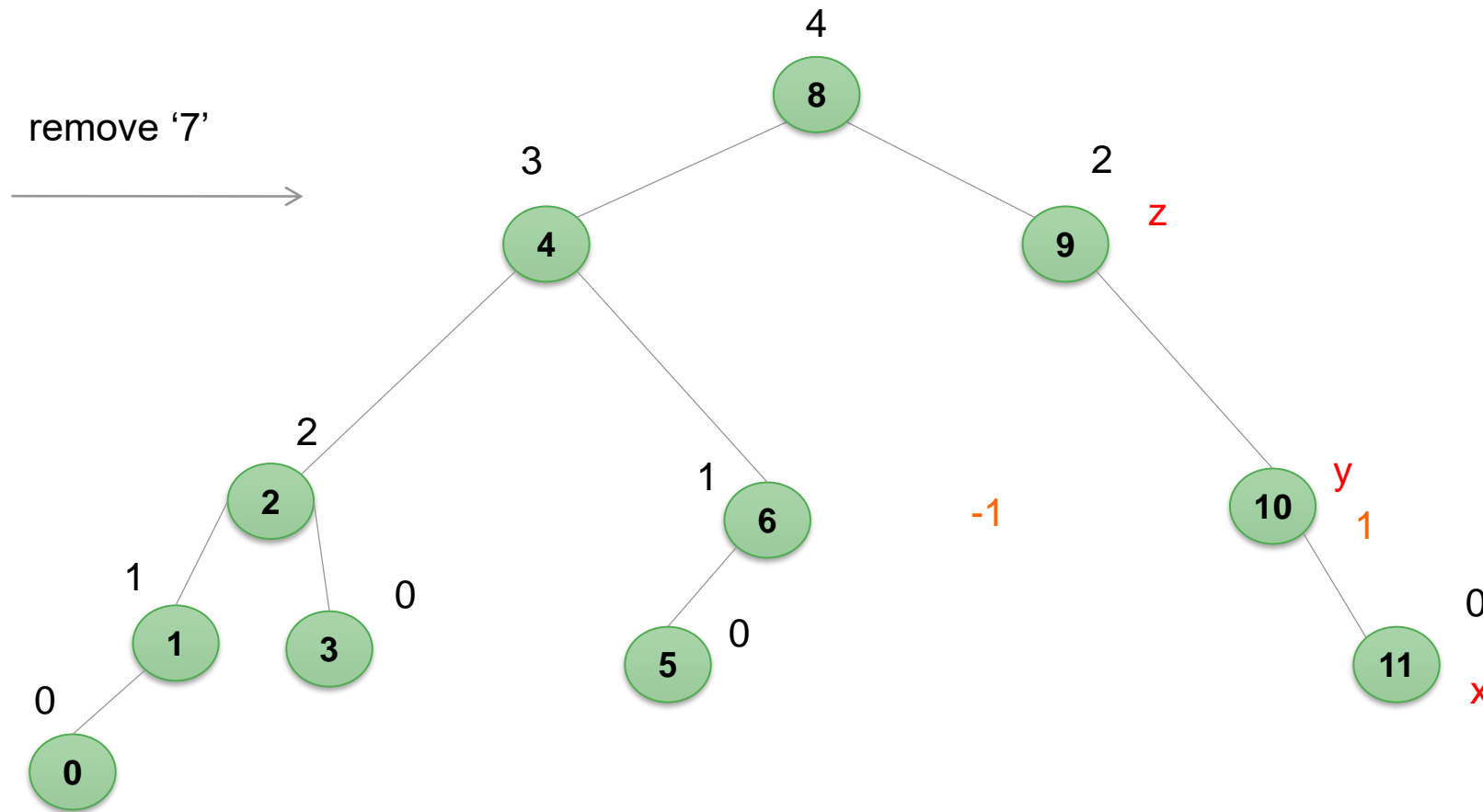
AVL TREES :: REMOVE

Child of z with greatest height = y



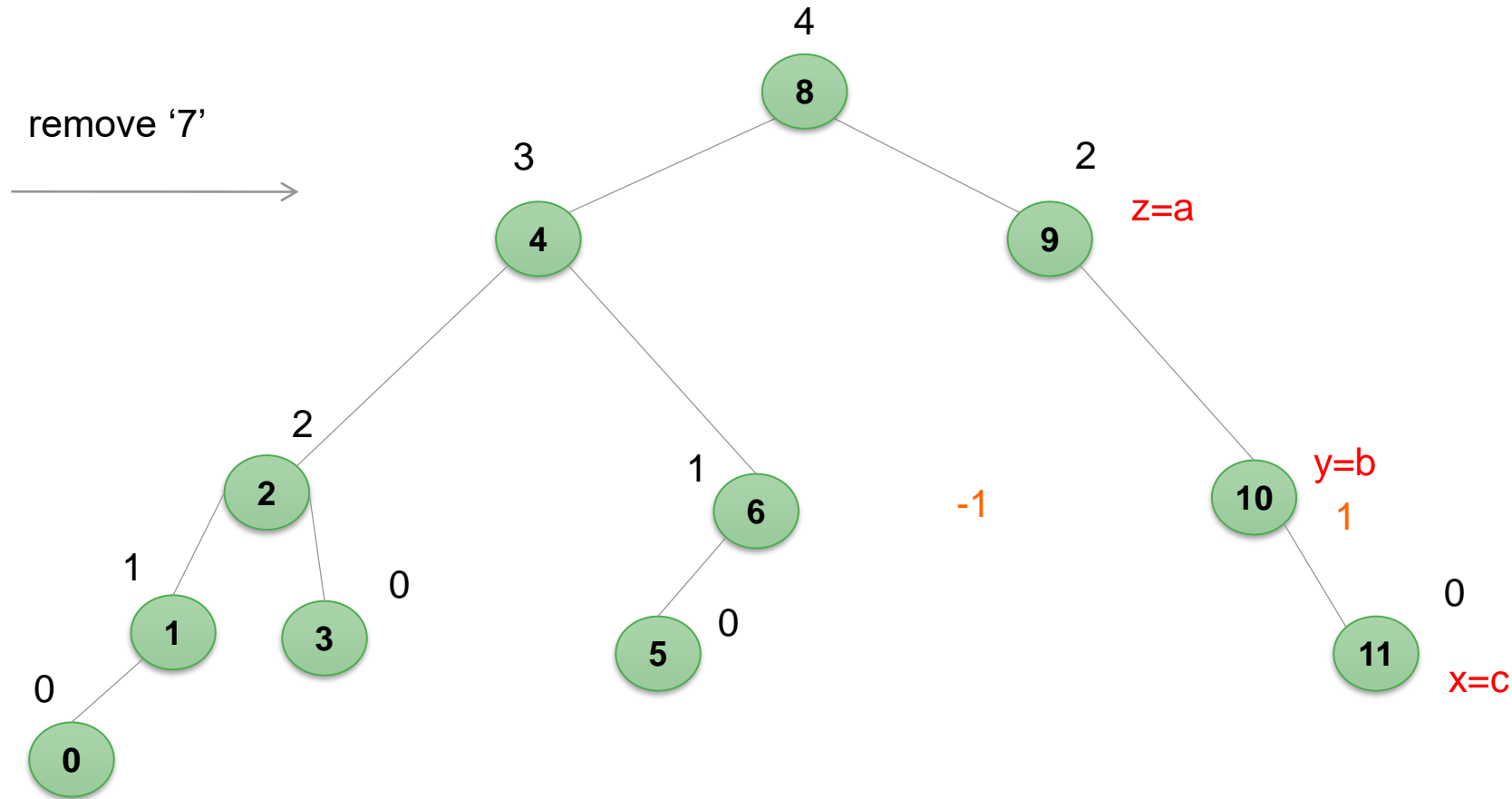
AVL TREES :: REMOVE

Child of y with greatest height = x



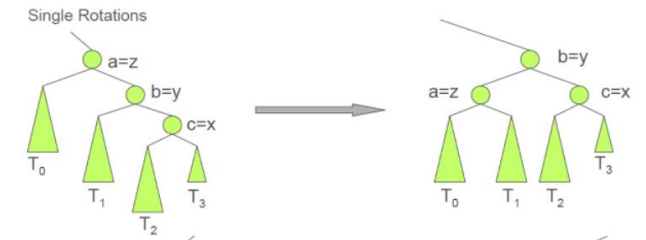
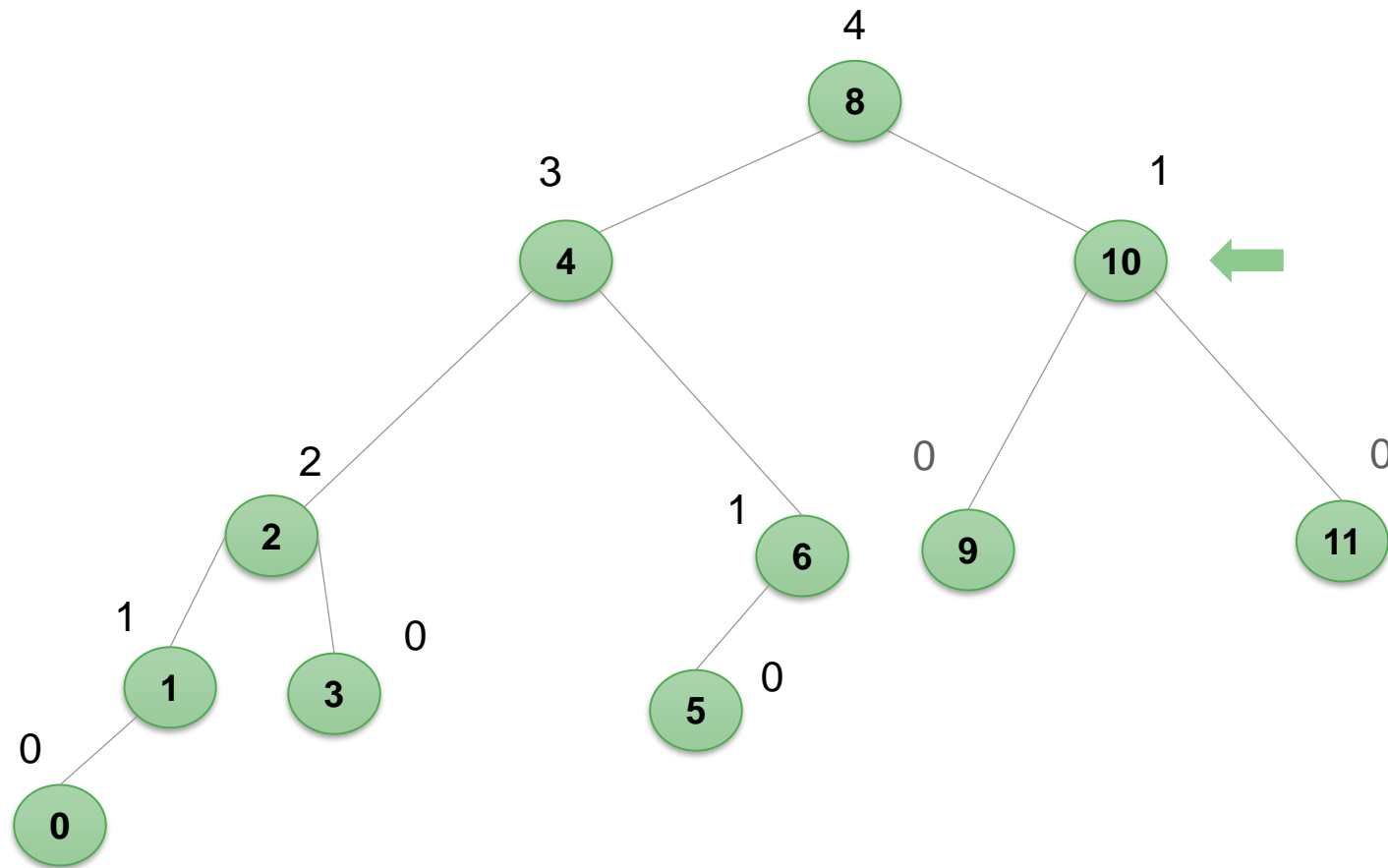
AVL TREES :: REMOVE

Rename to a, b, c according to inorder traversal



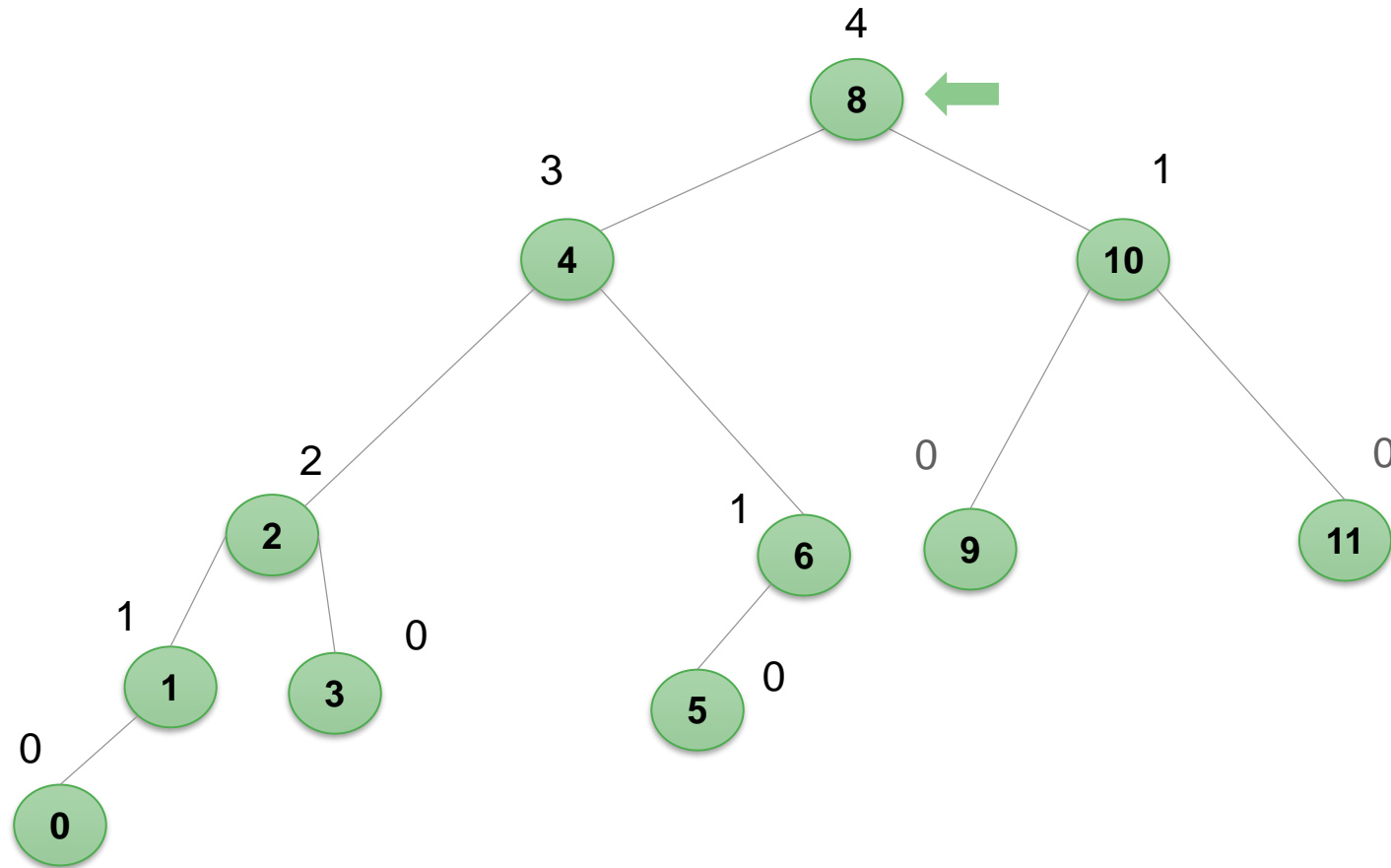
AVL TREES :: REMOVE

Rotate according to 4 cases

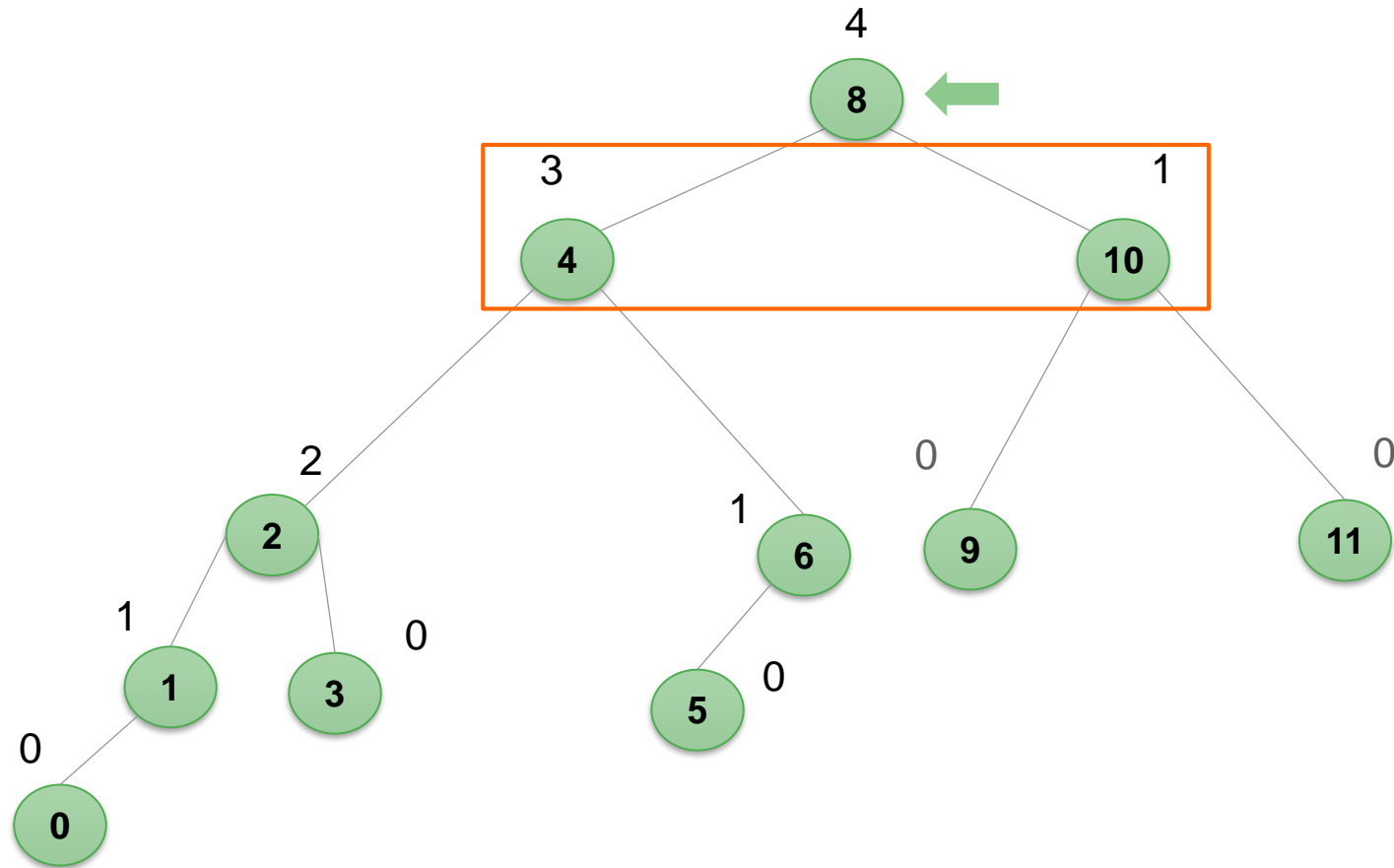


AVL TREES :: REMOVE

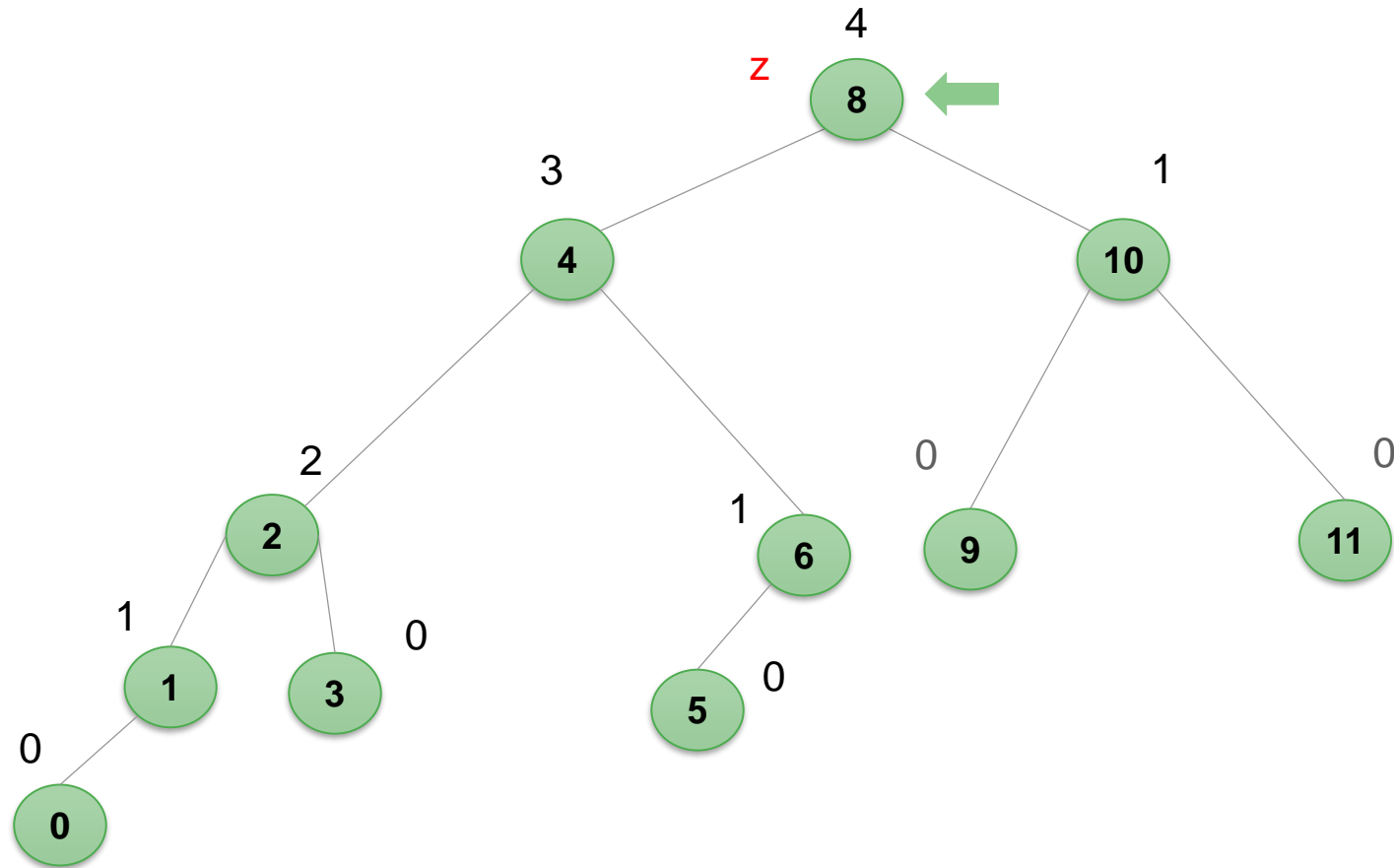
Continue balance check towards root



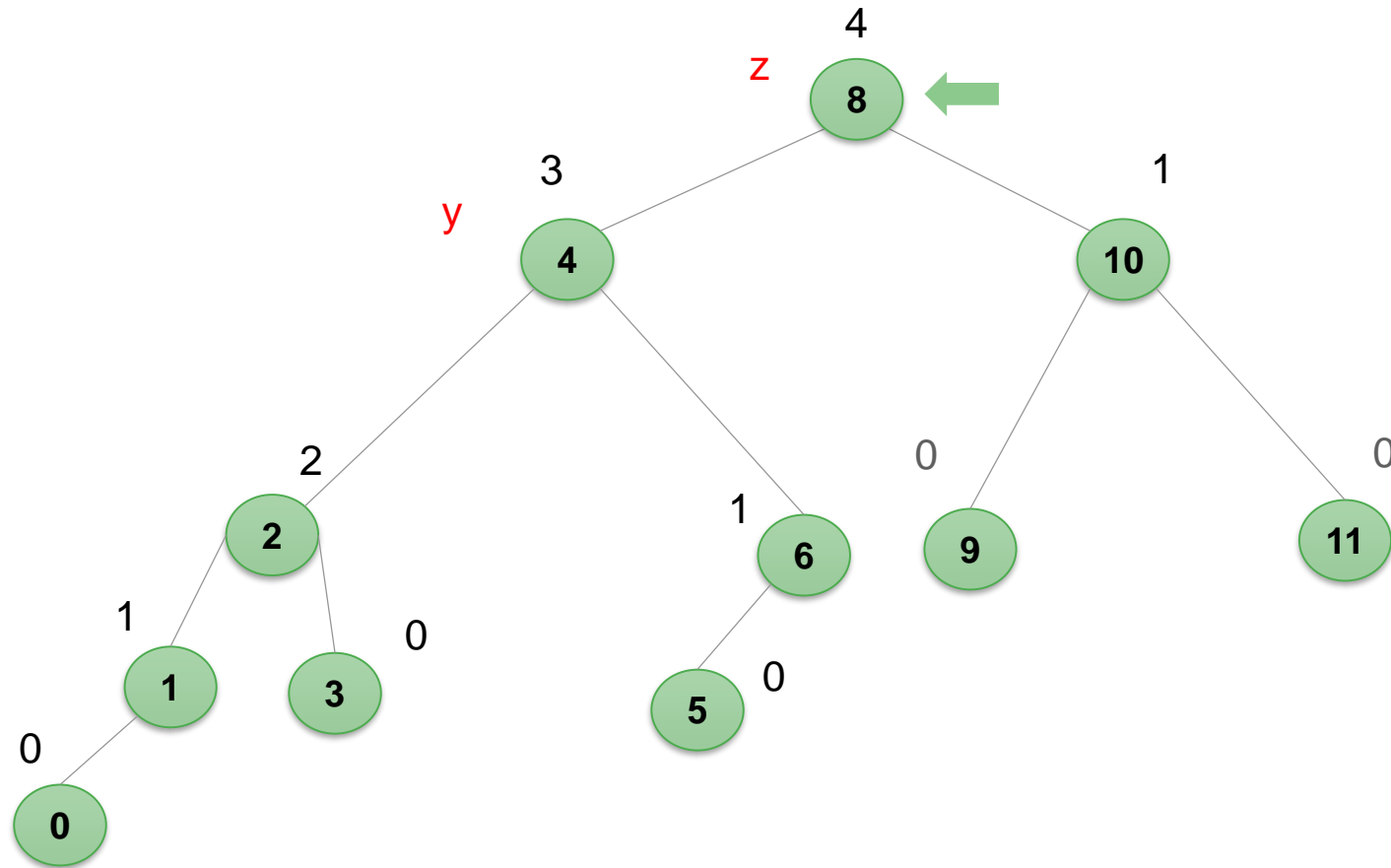
AVL TREES :: REMOVE



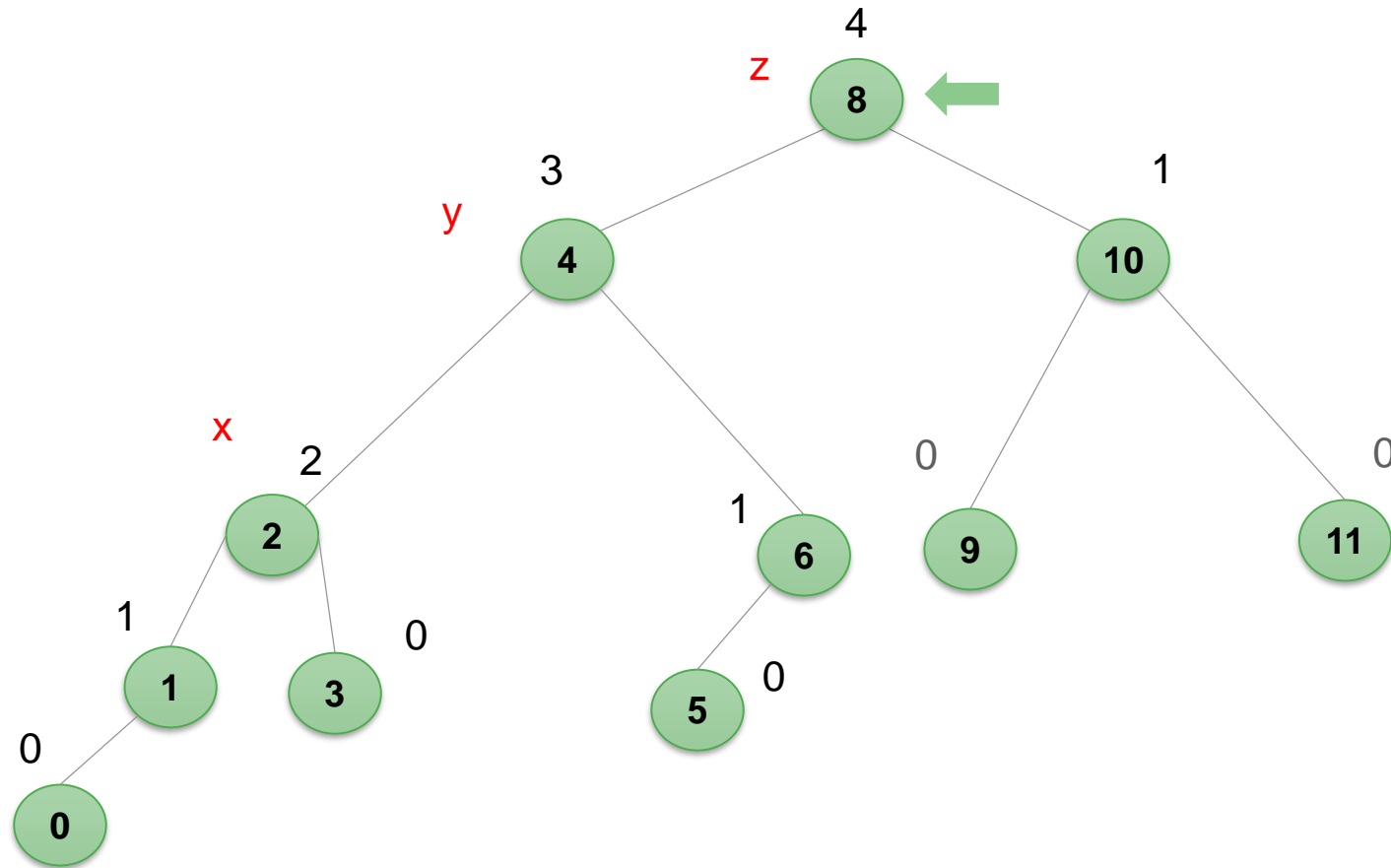
AVL TREES :: REMOVE



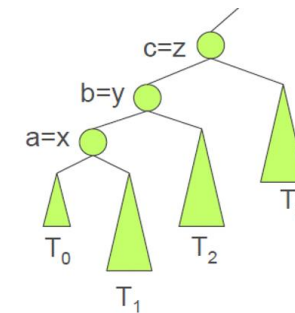
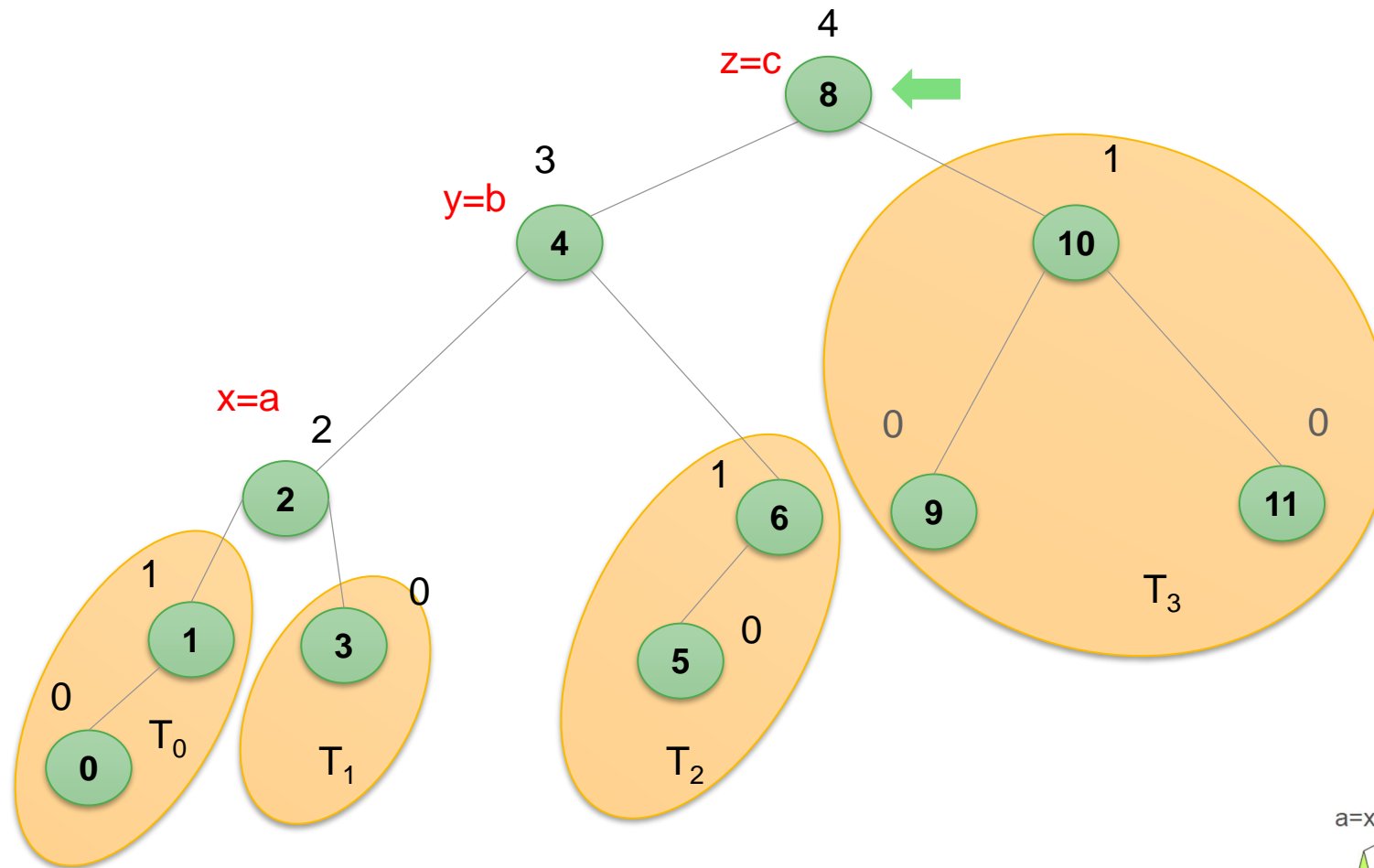
AVL TREES :: REMOVE



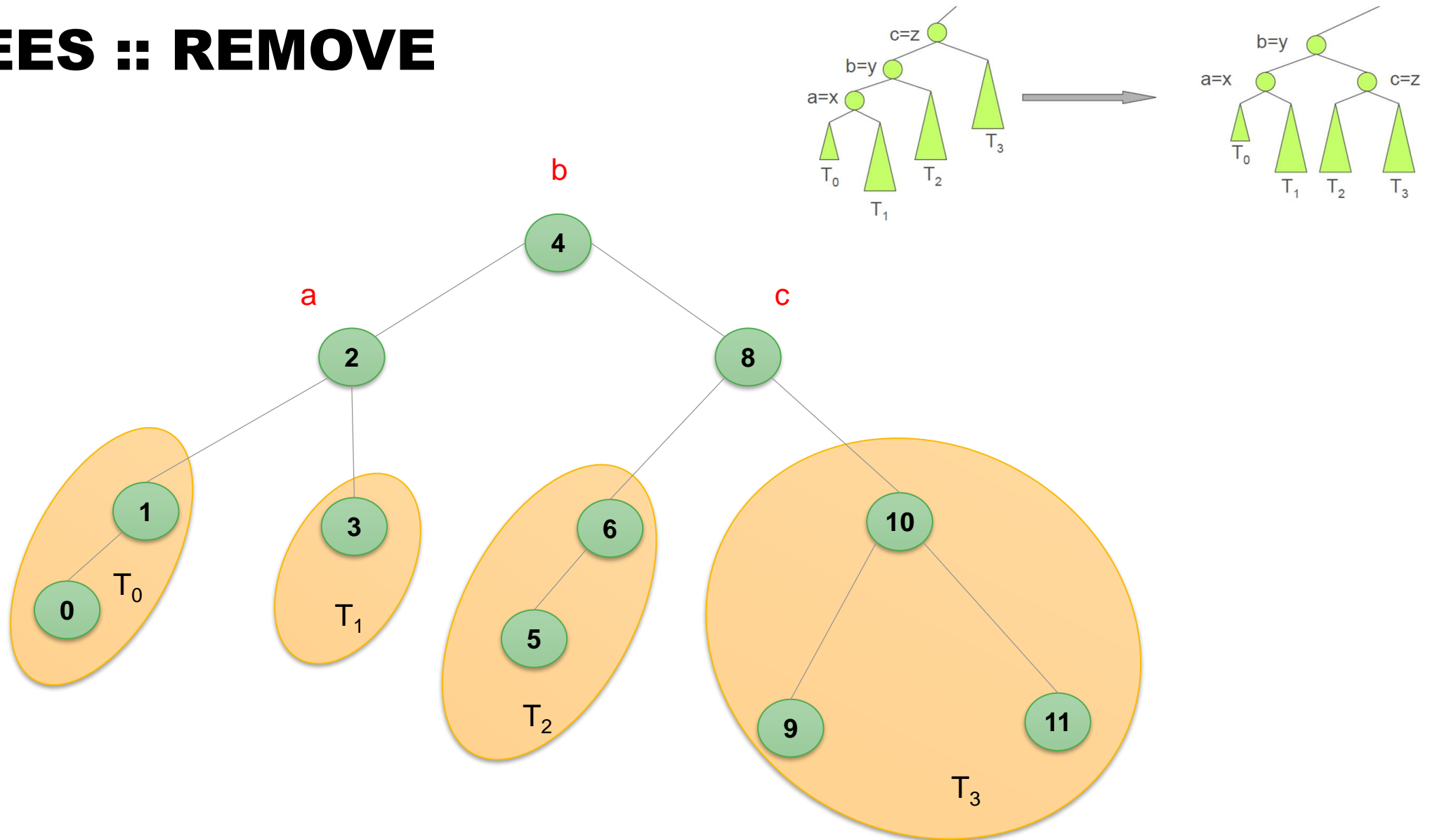
AVL TREES :: REMOVE



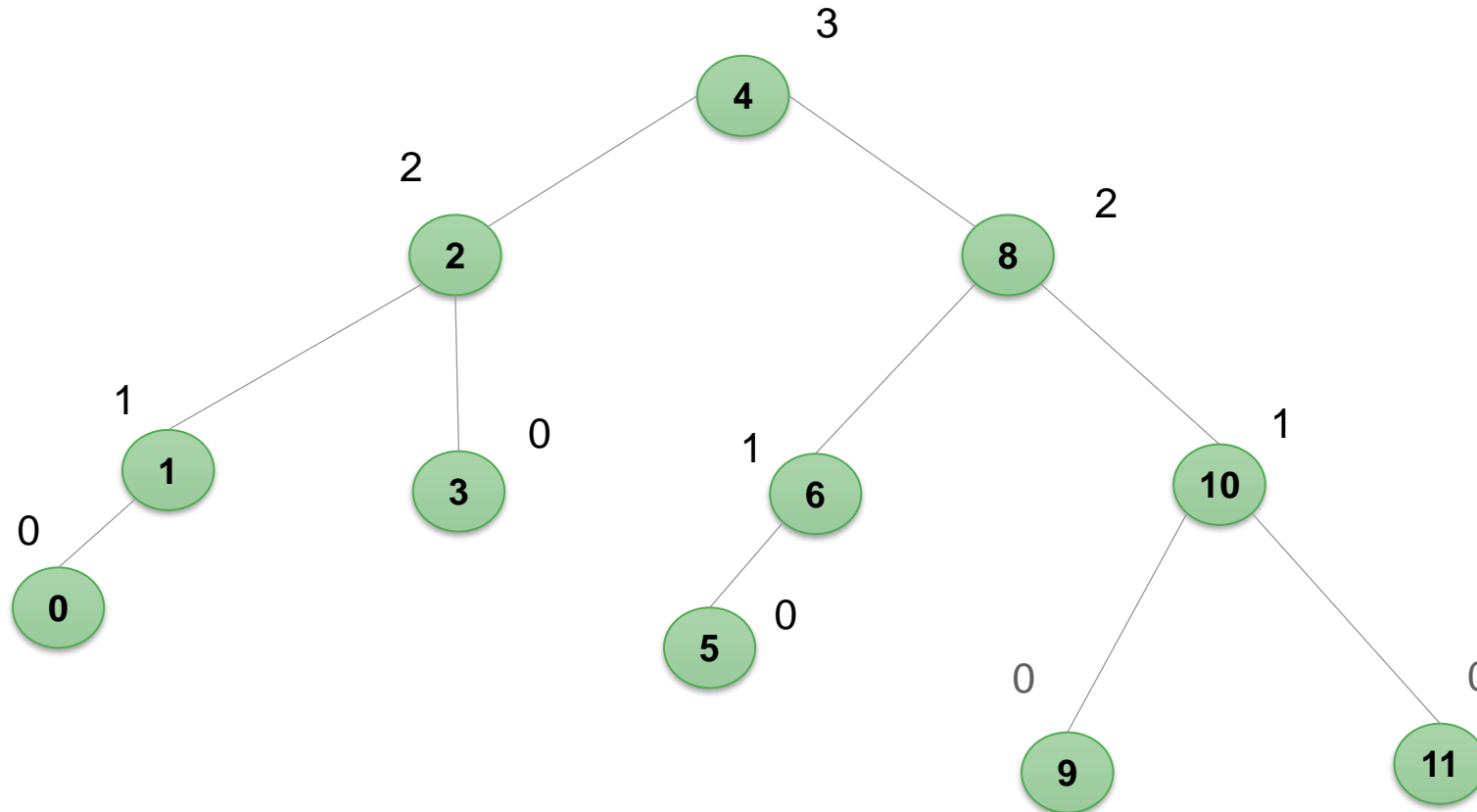
AVL TREES :: REMOVE



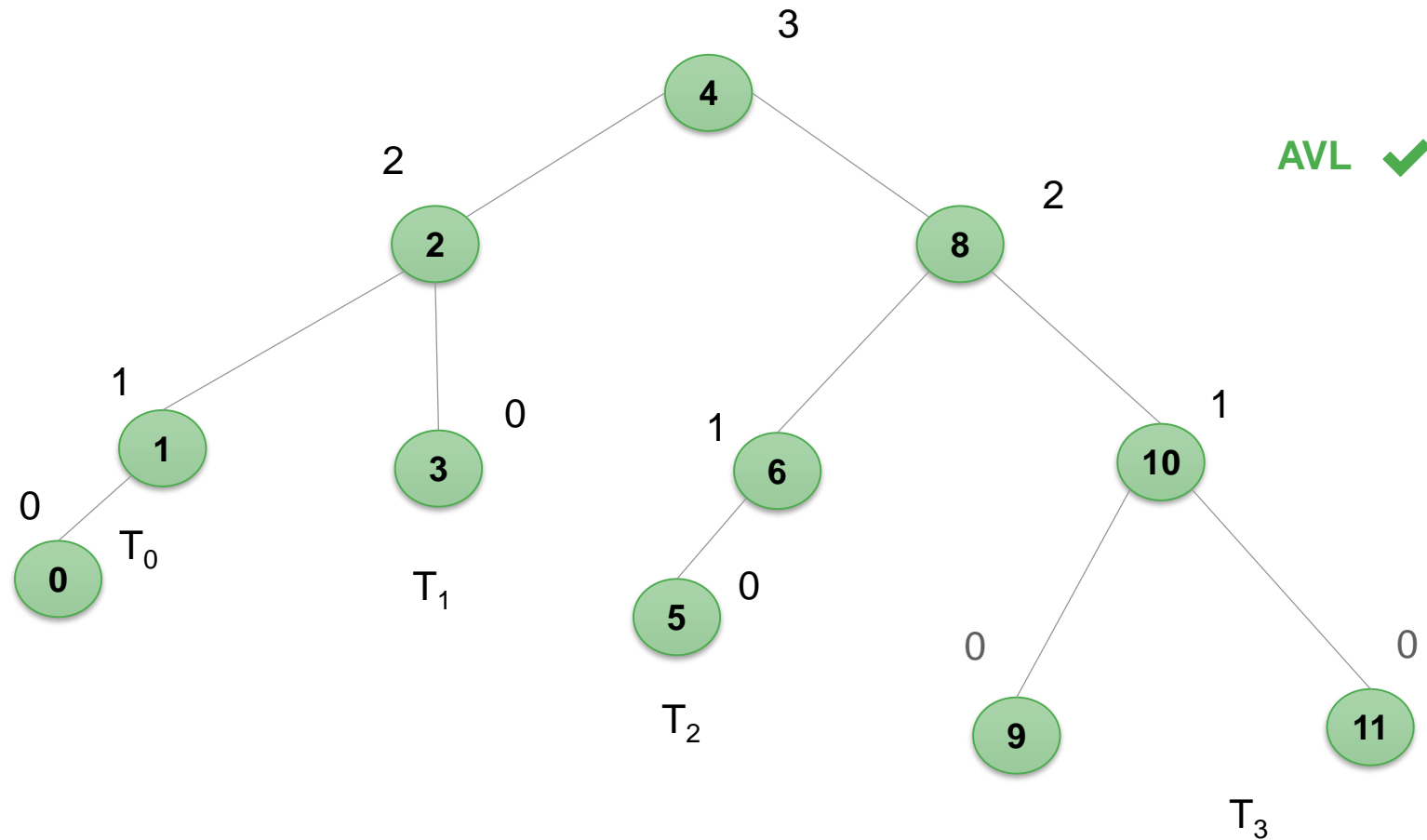
AVL TREES :: REMOVE



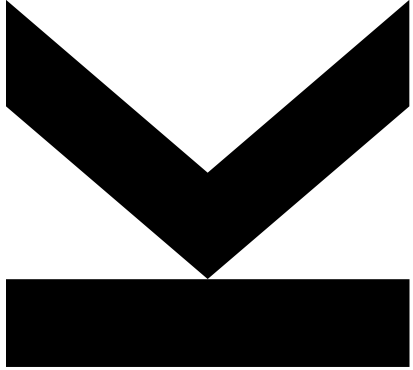
AVL TREES :: REMOVE



AVL TREES :: REMOVE

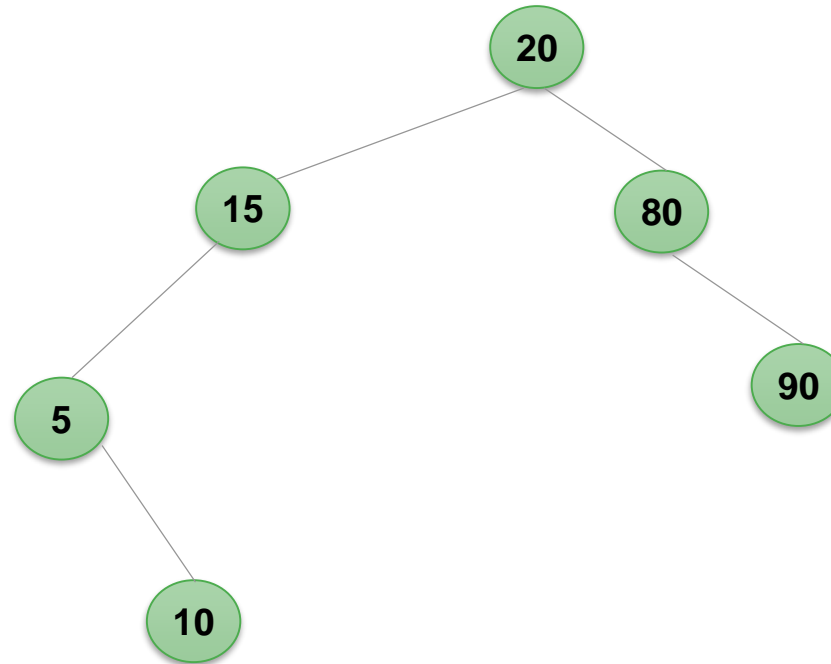


ASSIGNMENT 01



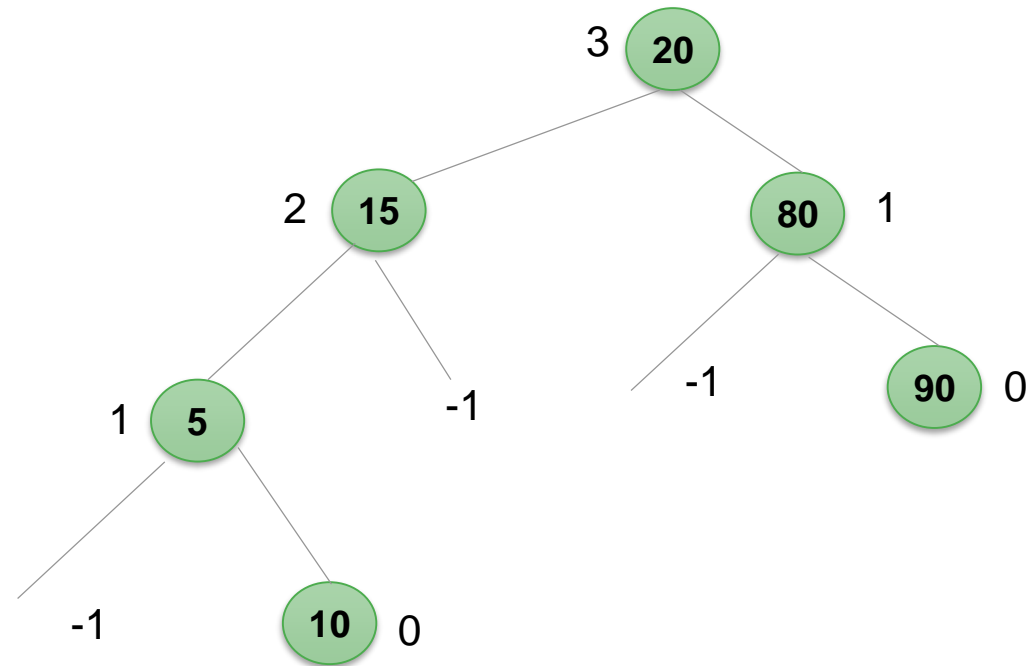
AVL TREES :: DISCUSSION

valid AVL tree?



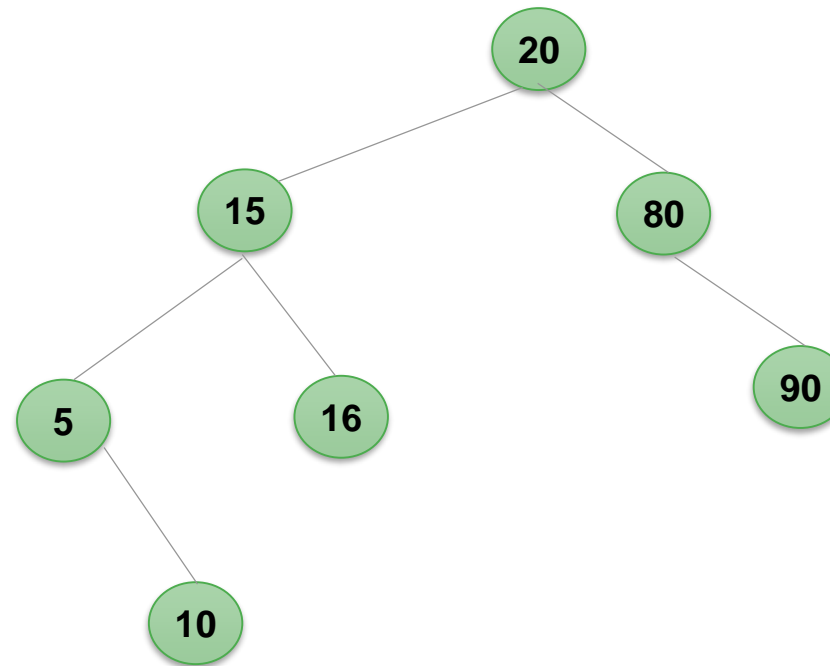
AVL TREES :: DISCUSSION

valid AVL tree?



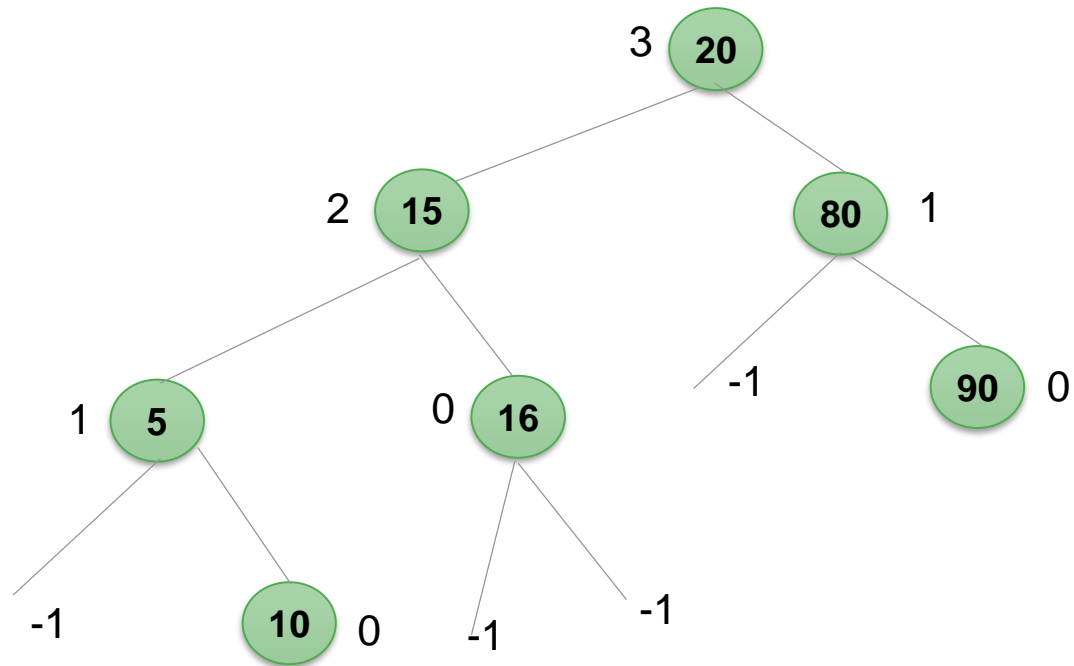
AVL TREES :: DISCUSSION

valid AVL tree?



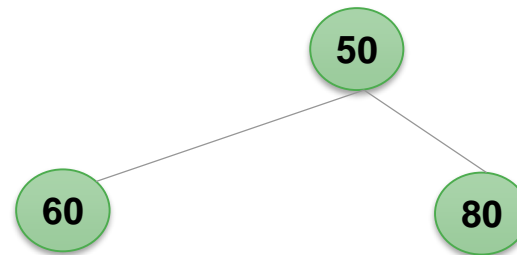
AVL TREES :: DISCUSSION

valid AVL tree?



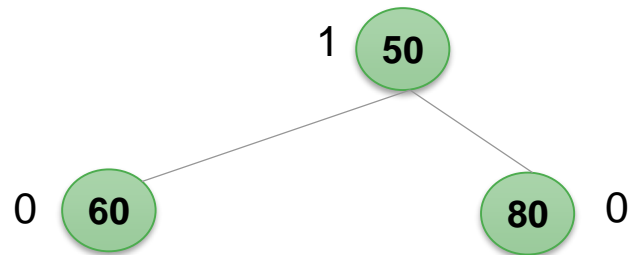
AVL TREES :: DISCUSSION

valid AVL tree?



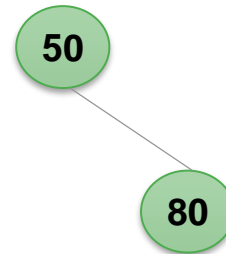
AVL TREES :: DISCUSSION

valid AVL tree?



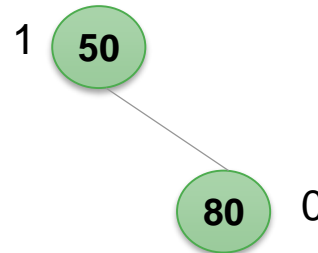
AVL TREES :: DISCUSSION

valid AVL tree?



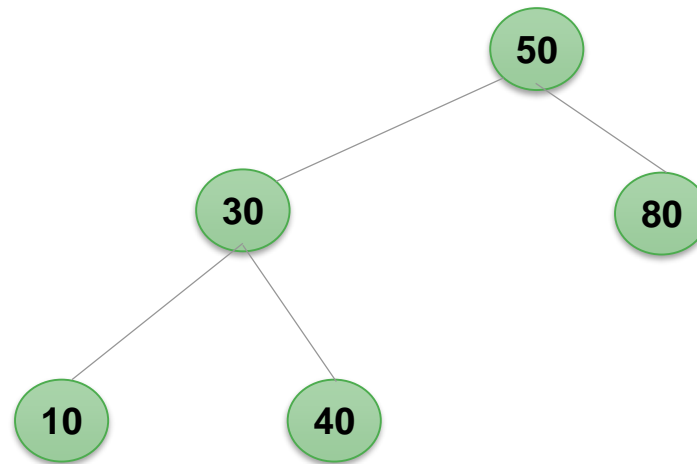
AVL TREES :: DISCUSSION

valid AVL tree?



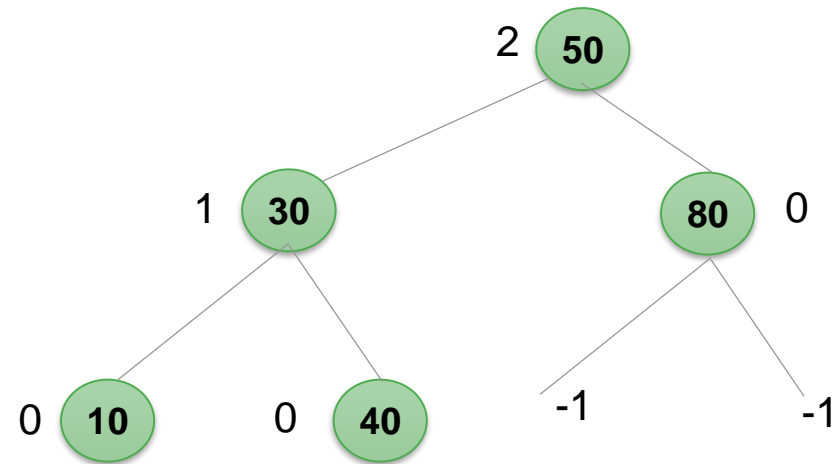
AVL TREES :: DISCUSSION

valid AVL tree?



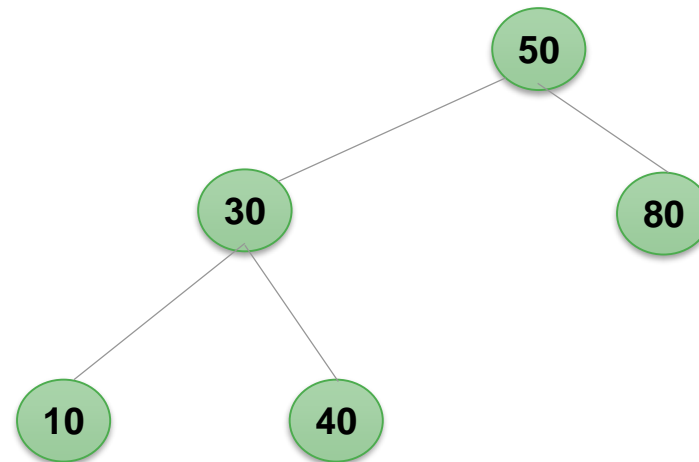
AVL TREES :: DISCUSSION

valid AVL tree?



AVL TREES :: DISCUSSION

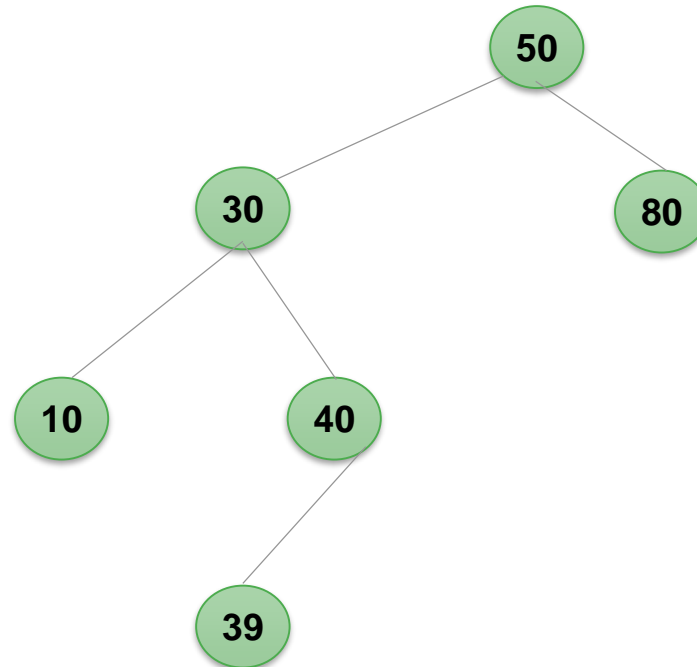
insert(39)



AVL TREES :: DISCUSSION

insert(39)

valid AVL tree?

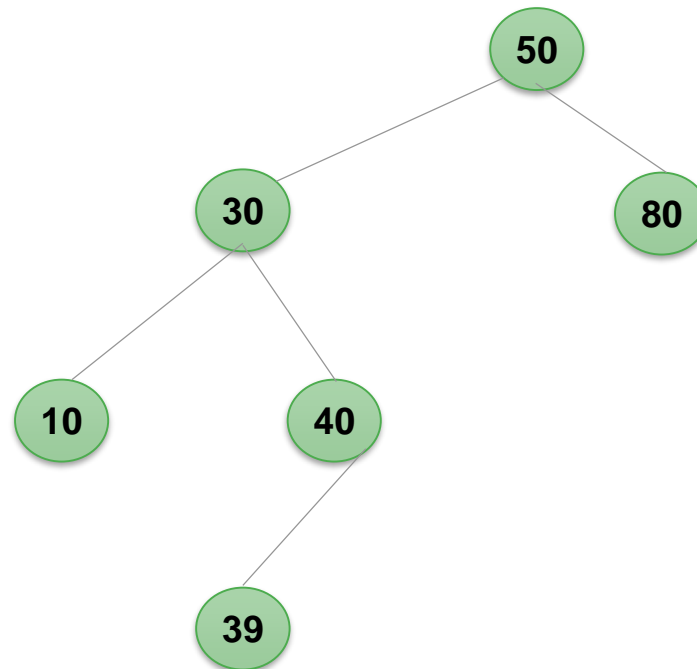


AVL TREES :: DISCUSSION

insert(39)

valid AVL tree?

-> update heights

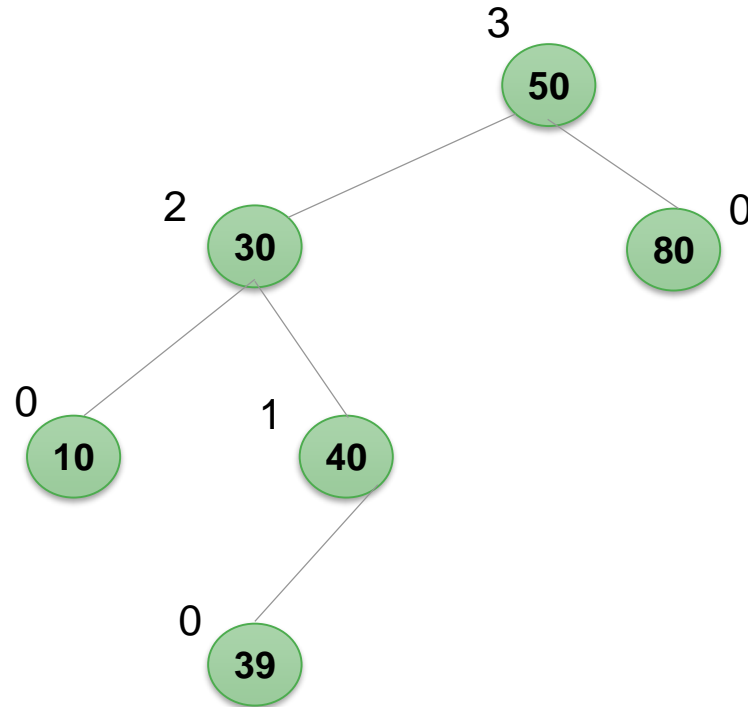


AVL TREES :: DISCUSSION

insert(39)

valid AVL tree?

-> update heights

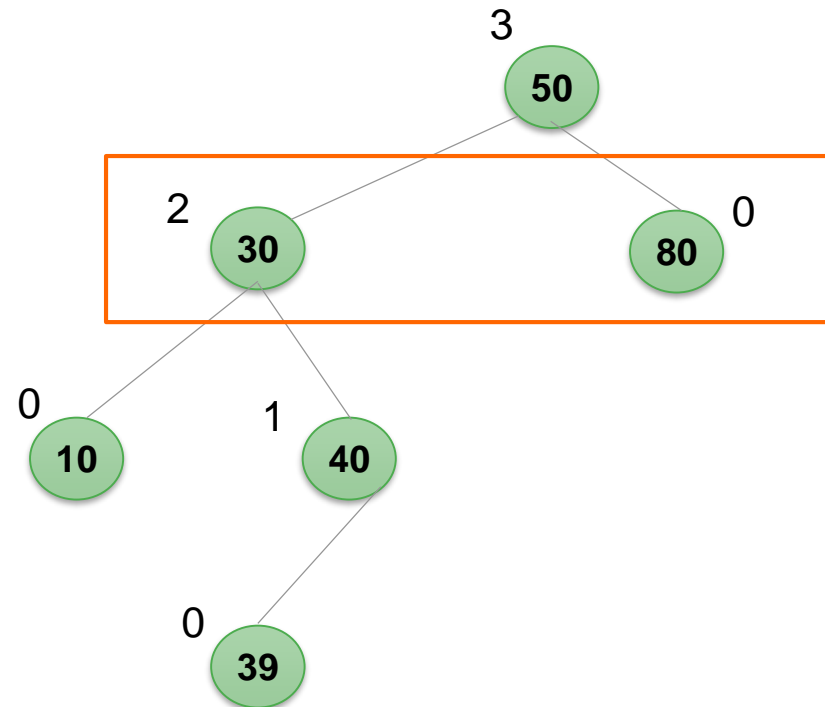


AVL TREES :: DISCUSSION

insert(39)

valid AVL tree?

-> update heights

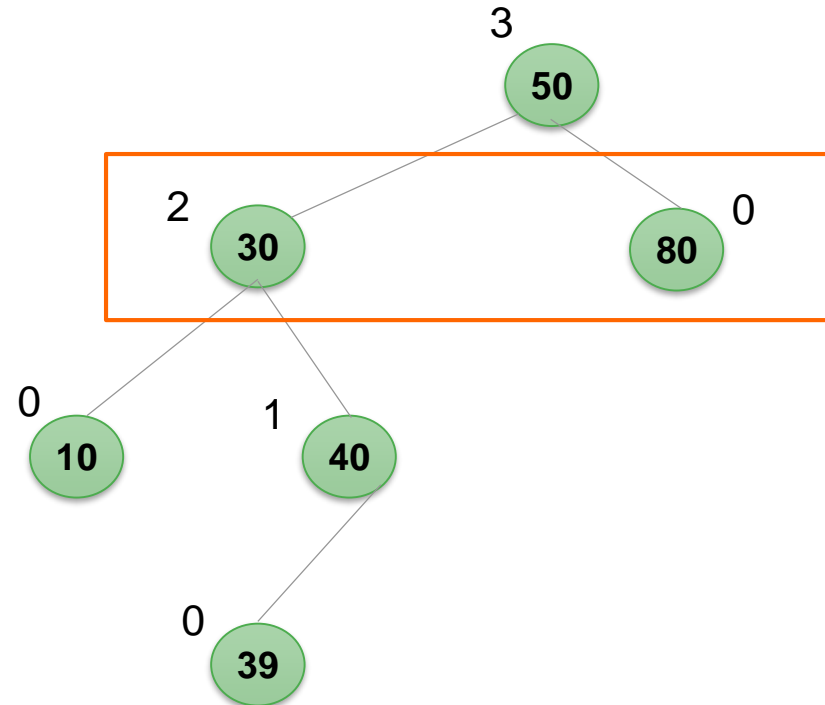


AVL TREES :: DISCUSSION

insert(39)

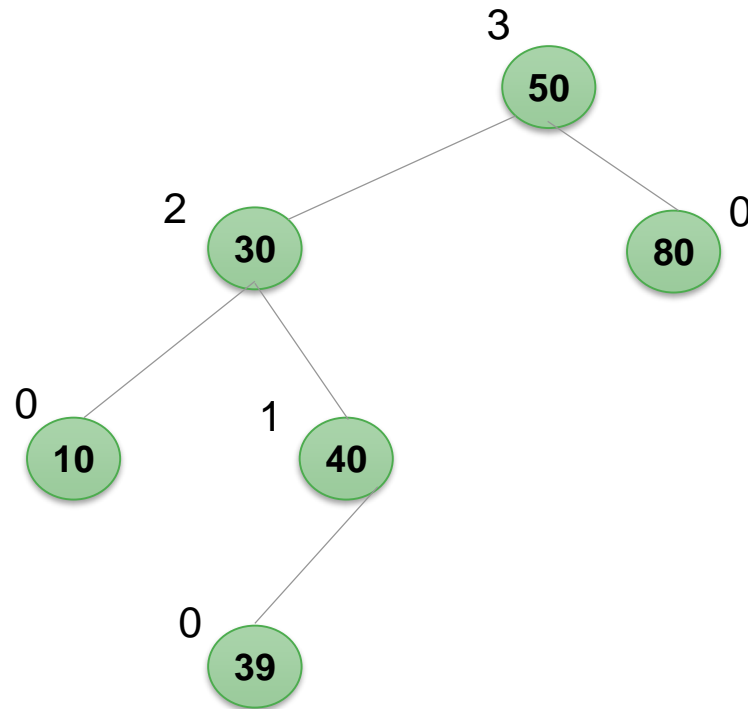
valid AVL tree?

-> rebalance



AVL TREES :: DISCUSSION

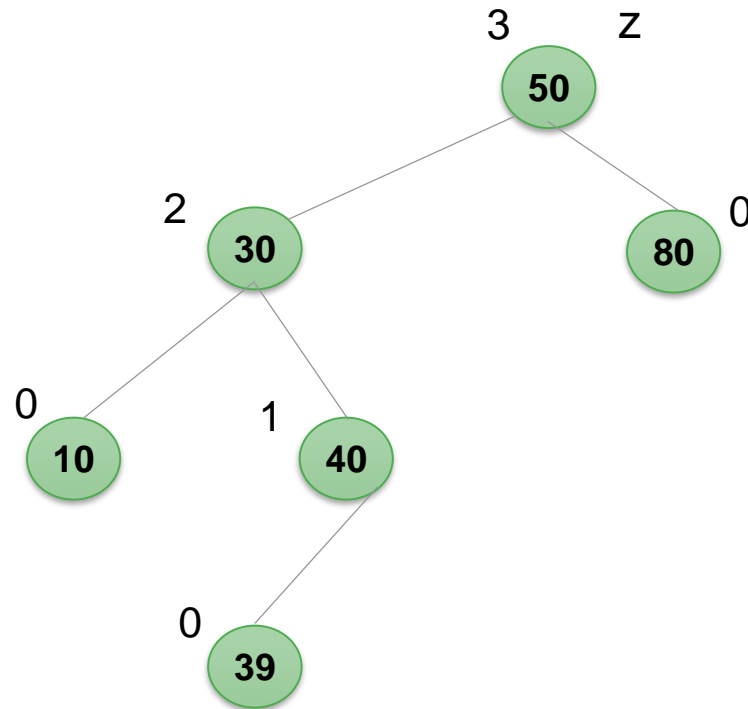
insert(39)
valid AVL tree?
-> rebalance



Go up from the new node in the tree until the first node **x** is found, whose grandparent **z** is an unbalanced node

AVL TREES :: DISCUSSION

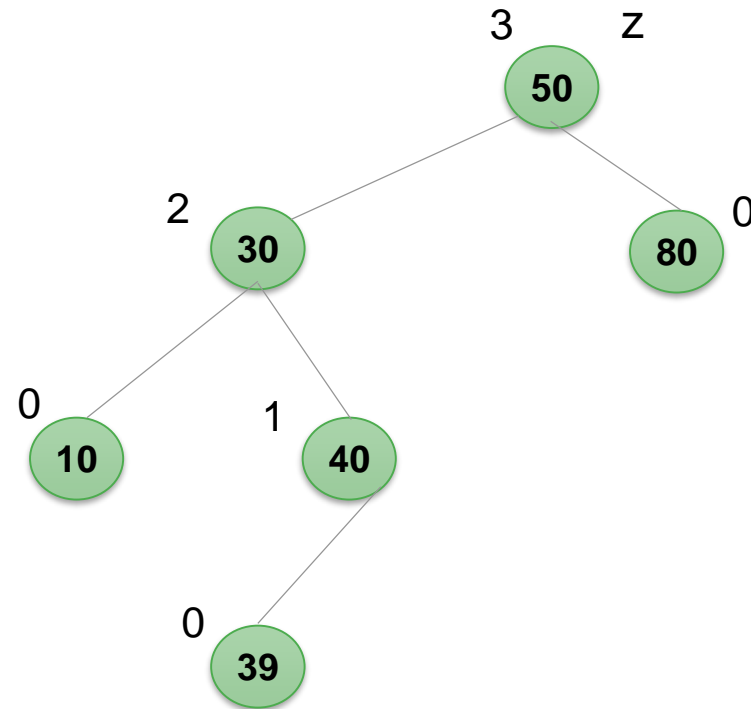
insert(39)
valid AVL tree?
-> rebalance



Go up from the new node in the tree until the first node **x** is found, whose grandparent **z** is an unbalanced node

AVL TREES :: DISCUSSION

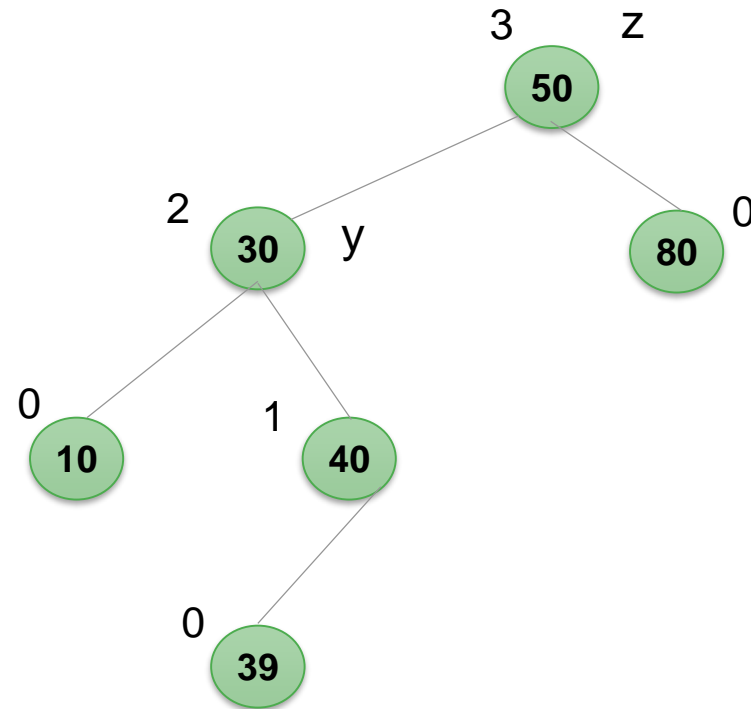
insert(39)
valid AVL tree?
-> rebalance



Define **y** as child of **z** (= the node we passed on the way to z);

AVL TREES :: DISCUSSION

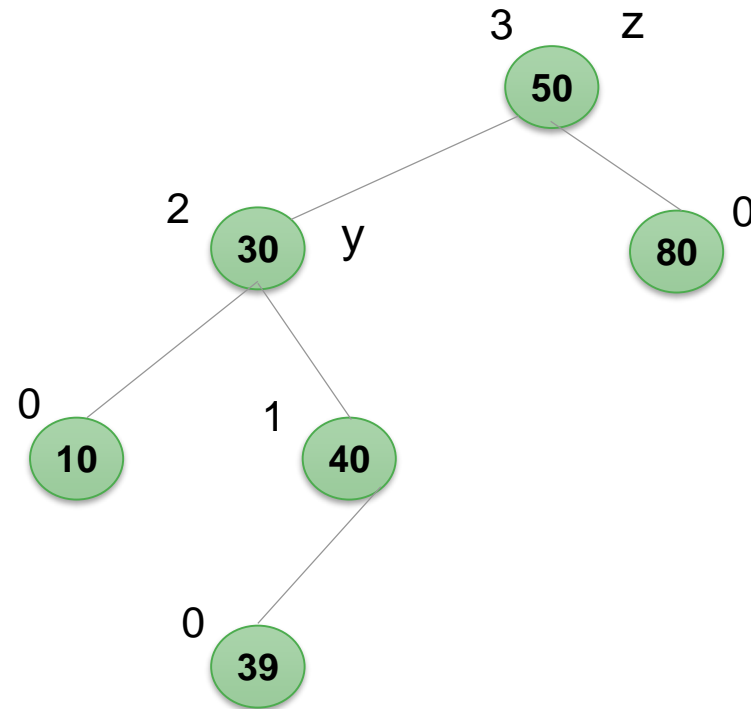
insert(39)
valid AVL tree?
-> rebalance



Define **y** as child of **z** (= the node we passed on the way to **z**);

AVL TREES :: DISCUSSION

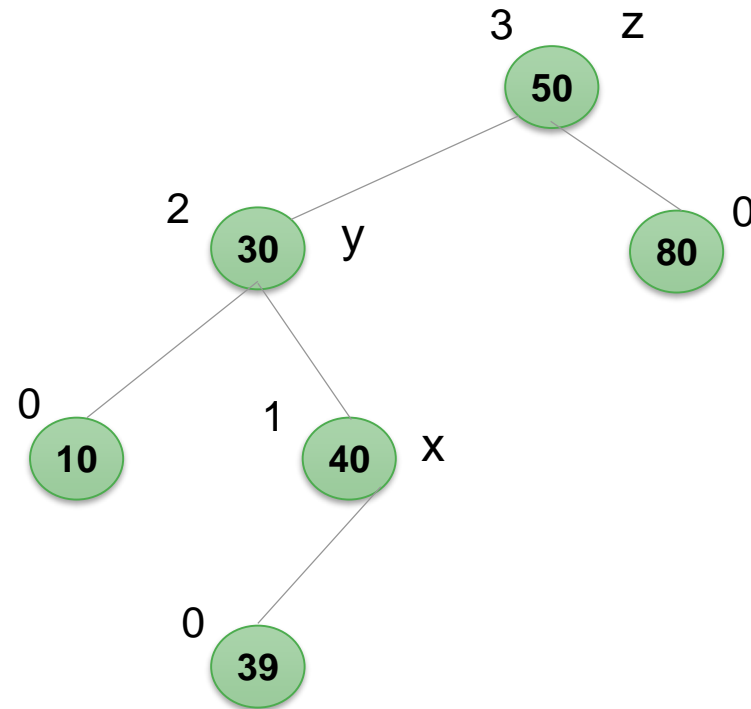
insert(39)
valid AVL tree?
-> rebalance



Define **x** as child of **y**

AVL TREES :: DISCUSSION

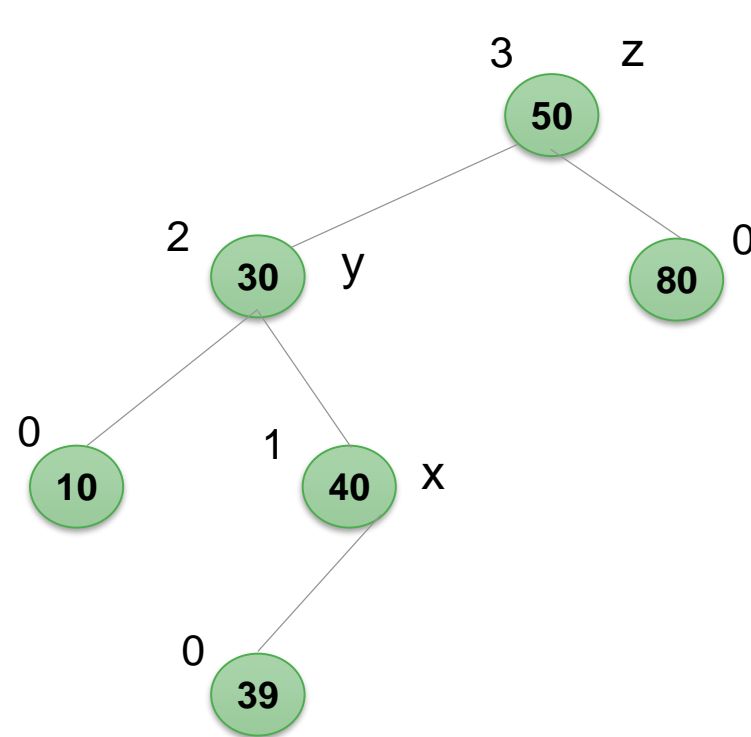
insert(39)
valid AVL tree?
-> rebalance



Define **x** as child of **y**

AVL TREES :: DISCUSSION

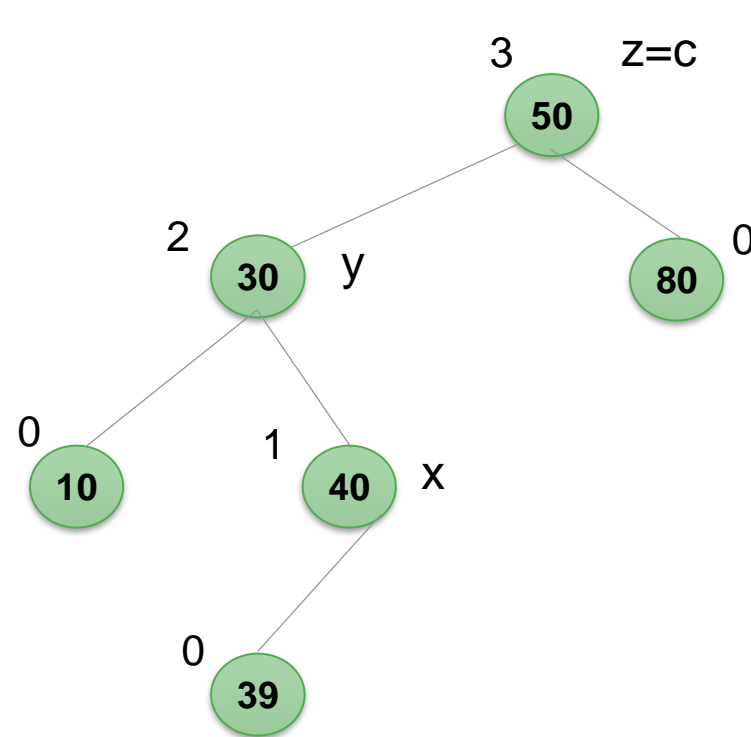
insert(39)
valid AVL tree?
-> rebalance



Rename **x,y,z** in **a,b,c** (according to Inorder traversal!)

AVL TREES :: DISCUSSION

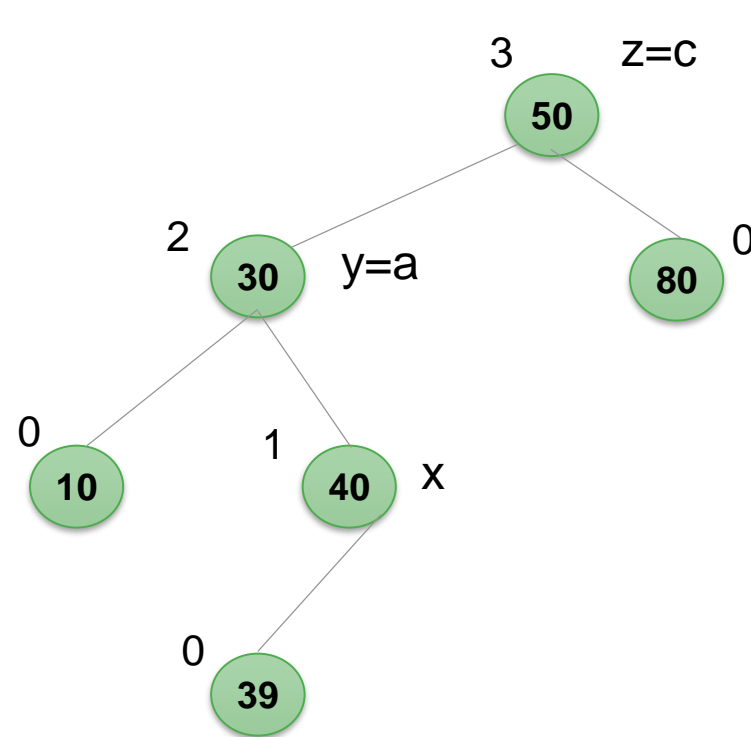
insert(39)
valid AVL tree?
-> rebalance



Rename **x,y,z** in **a,b,c** (according to Inorder traversal!)

AVL TREES :: DISCUSSION

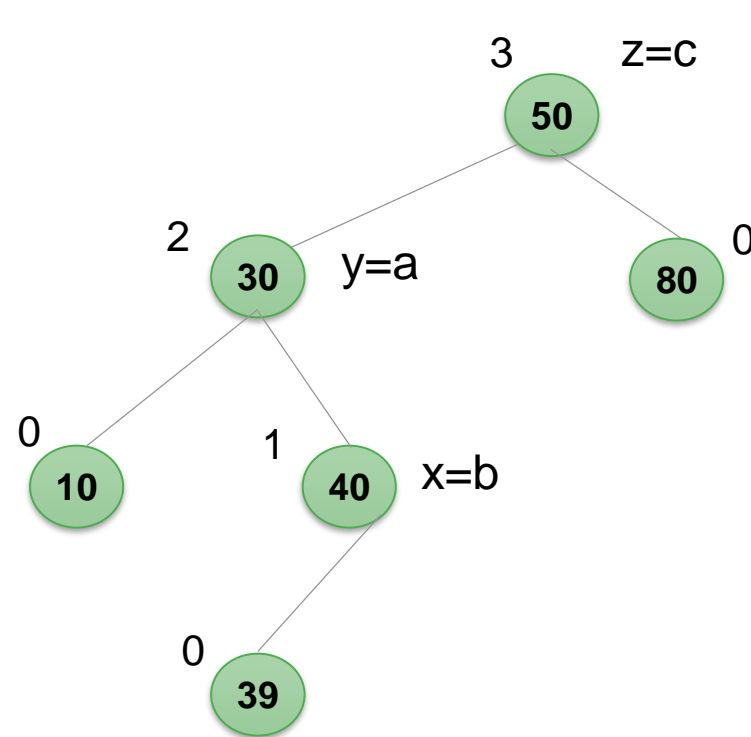
insert(39)
valid AVL tree?
-> rebalance



Rename **x,y,z** in **a,b,c** (according to Inorder traversal!)

AVL TREES :: DISCUSSION

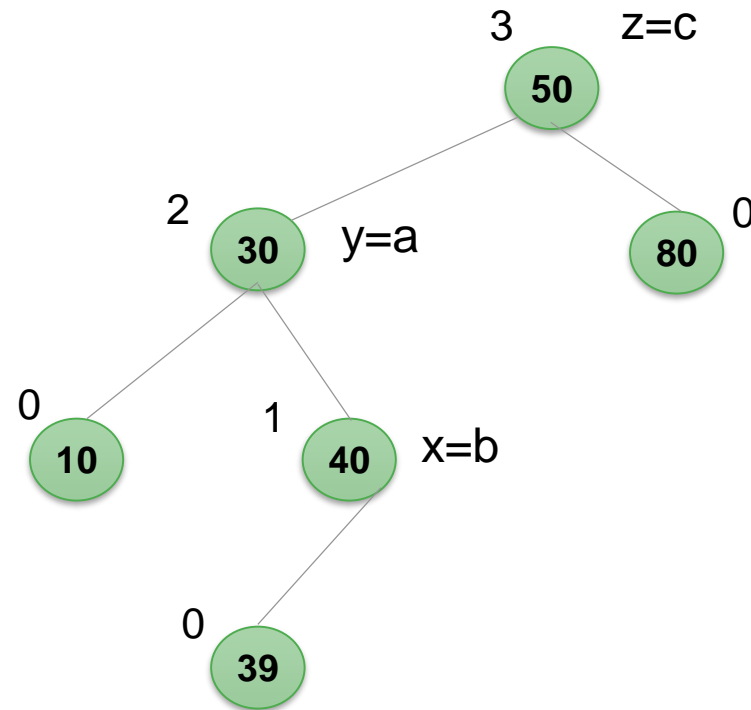
insert(39)
valid AVL tree?
-> rebalance



Rename **x,y,z** in **a,b,c** (according to Inorder traversal!)

AVL TREES :: DISCUSSION

insert(39)
valid AVL tree?
-> rebalance



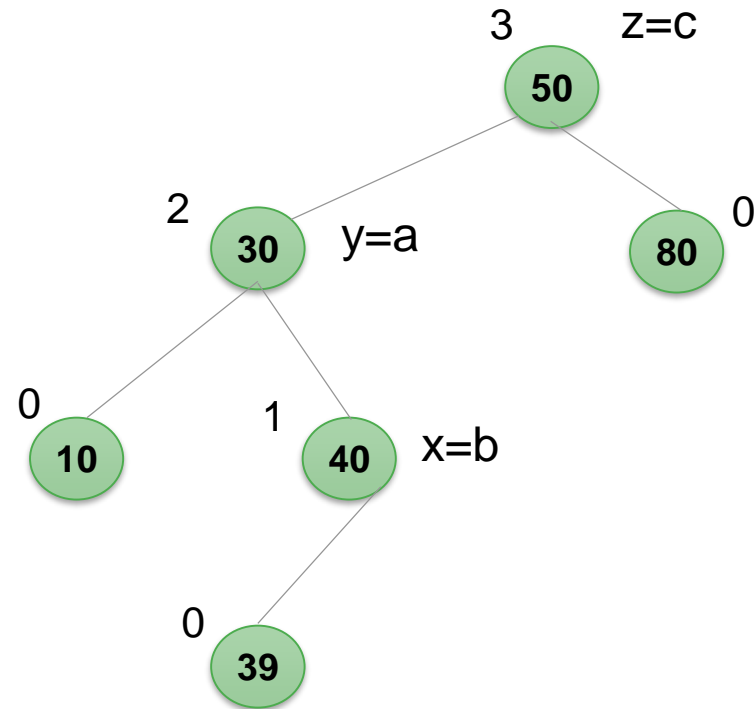
Assign subtrees T0...T3 based on 4 cases

AVL TREES :: DISCUSSION

insert(39)

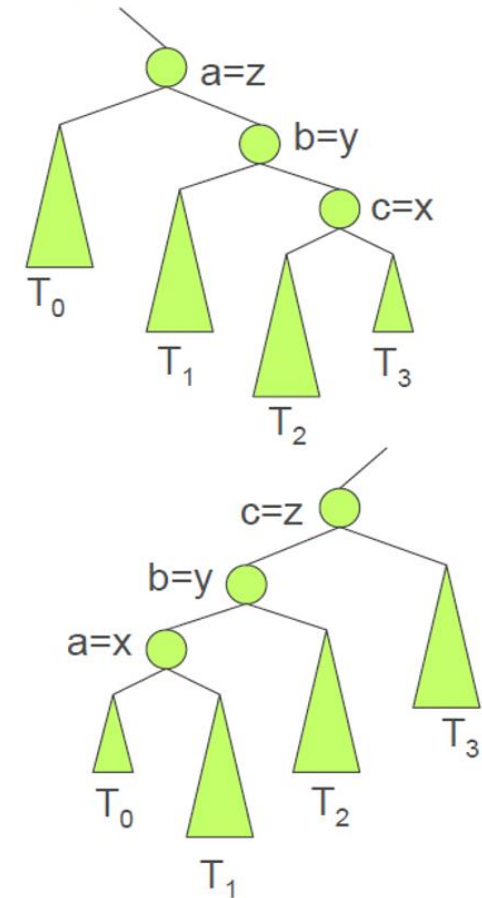
valid AVL tree?

-> rebalance



Assign subtrees T0...T3 based on 4 cases

Single Rotations

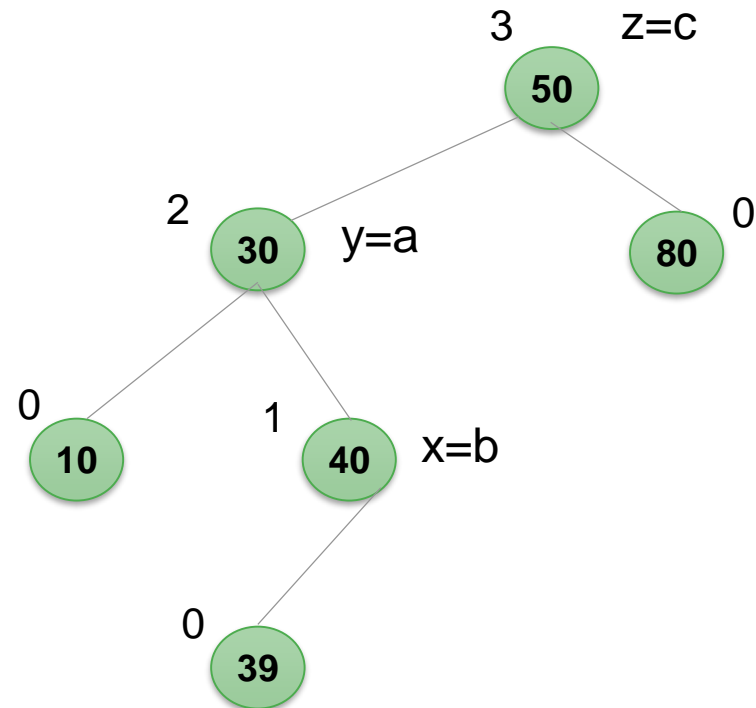


AVL TREES :: DISCUSSION

insert(39)

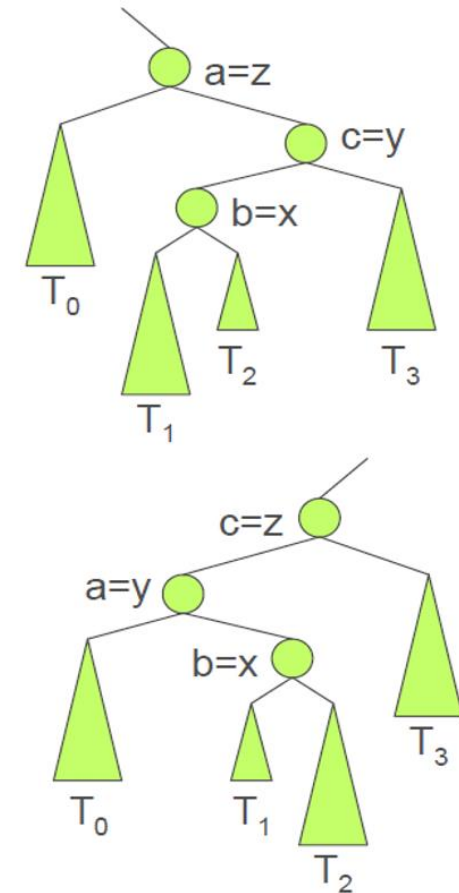
valid AVL tree?

-> rebalance



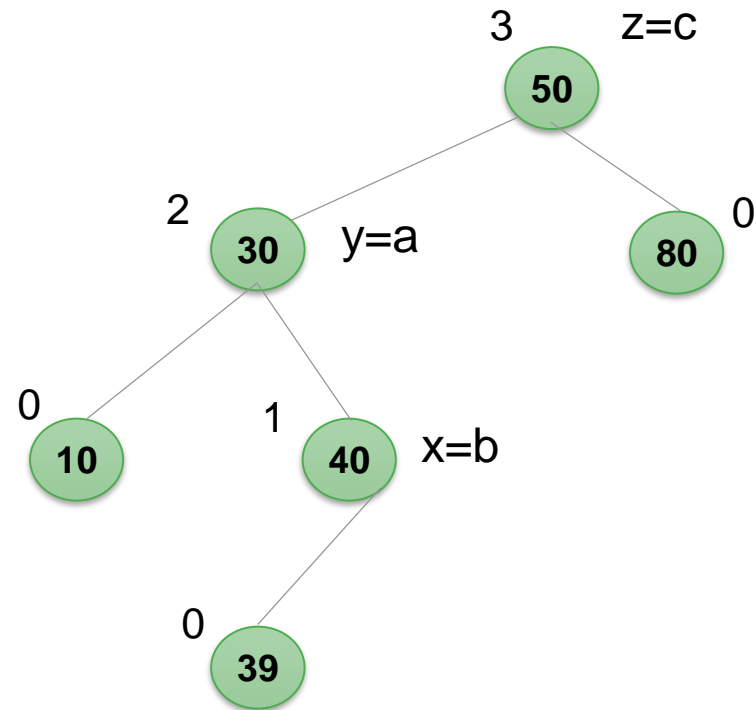
Assign subtrees T0...T3 based on 4 cases

Double Rotations

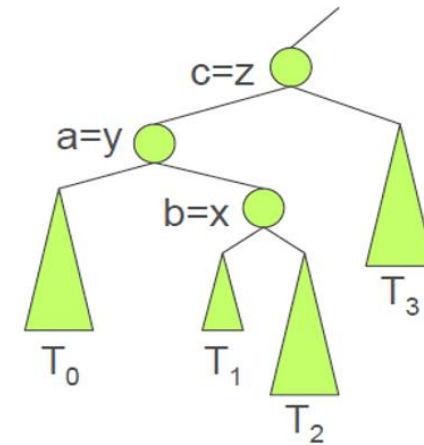


AVL TREES :: DISCUSSION

insert(39)
valid AVL tree?
-> rebalance

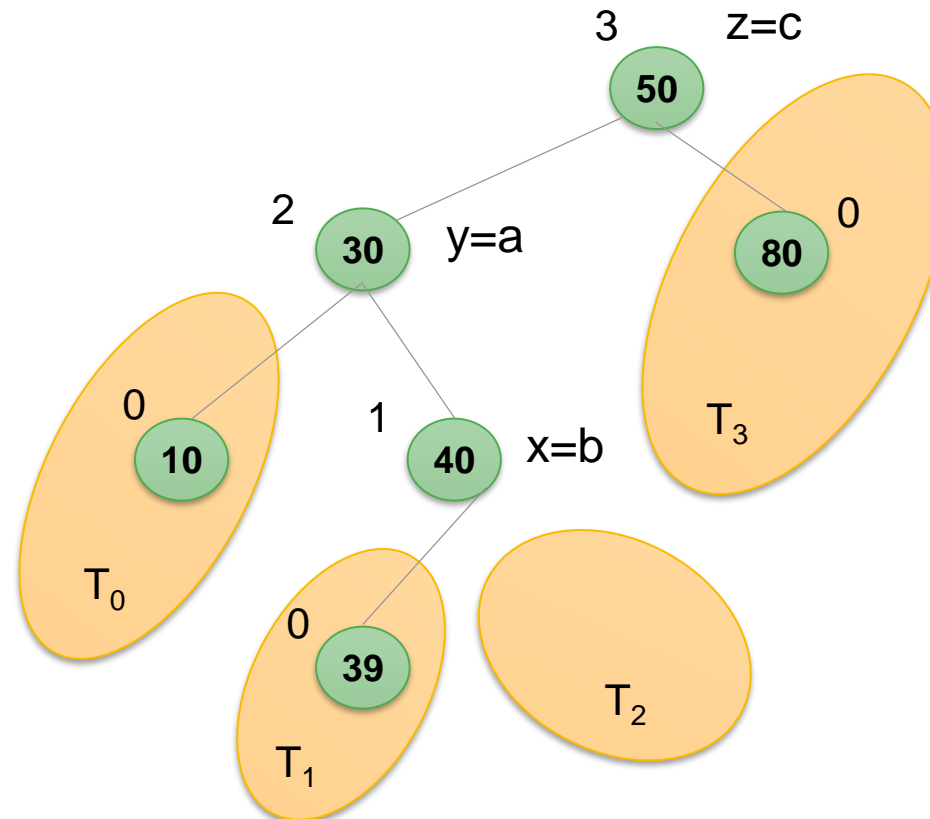


Assign subtrees T0...T3 based on 4 cases

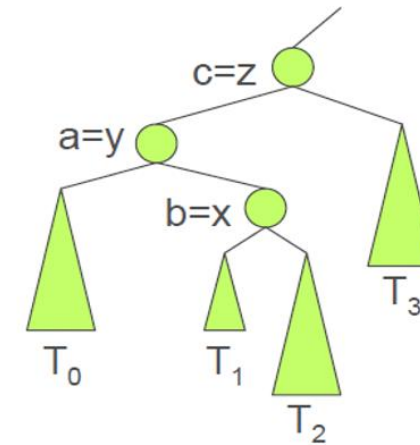


AVL TREES :: DISCUSSION

insert(39)
valid AVL tree?
-> rebalance

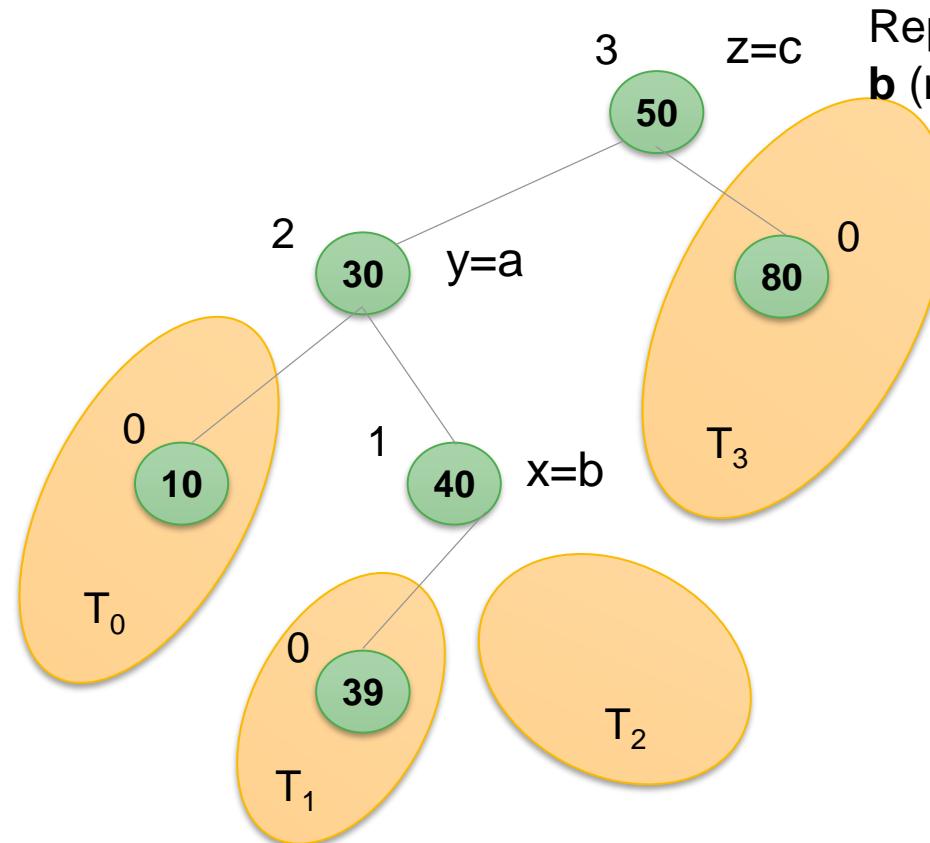


Assign subtrees T0...T3 based on 4 cases



AVL TREES :: DISCUSSION

insert(39)
valid AVL tree?
-> rebalance



Replace **z** (old subroot of unsorted part-tree) by **b** (new subroot of sorted part-tree)

AVL TREES :: DISCUSSION

insert(39)
valid AVL tree?
-> rebalance

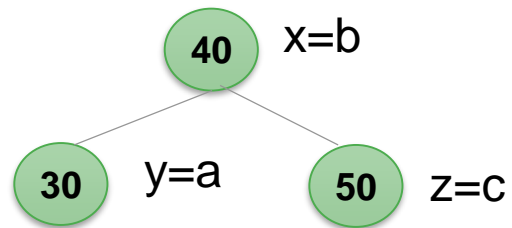
40 x=b

Replace **z** (old subroot of unsorted part-tree) by **b** (new subroot of sorted part-tree)

AVL TREES :: DISCUSSION

insert(39)
valid AVL tree?
-> rebalance

Children of **b** are now **a** (left) and **c** (right)

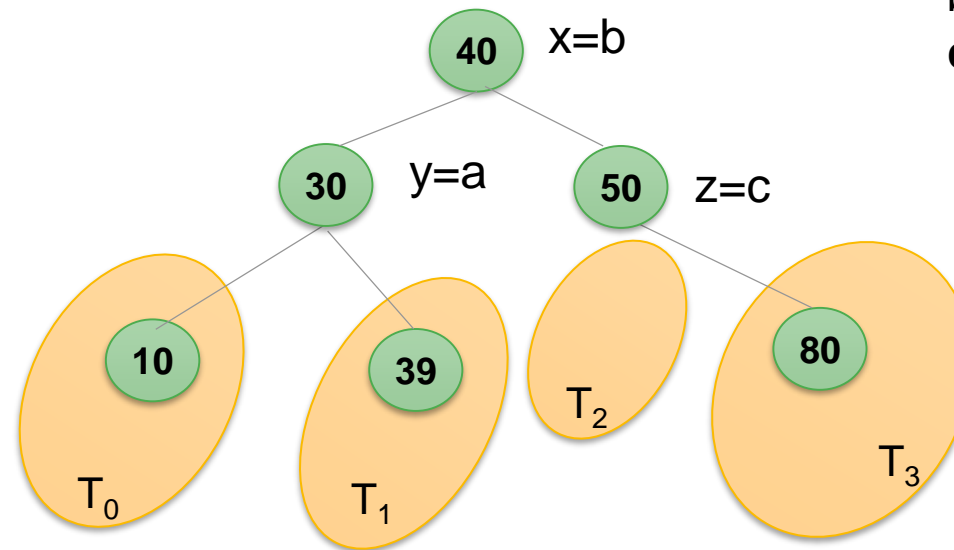


AVL TREES :: DISCUSSION

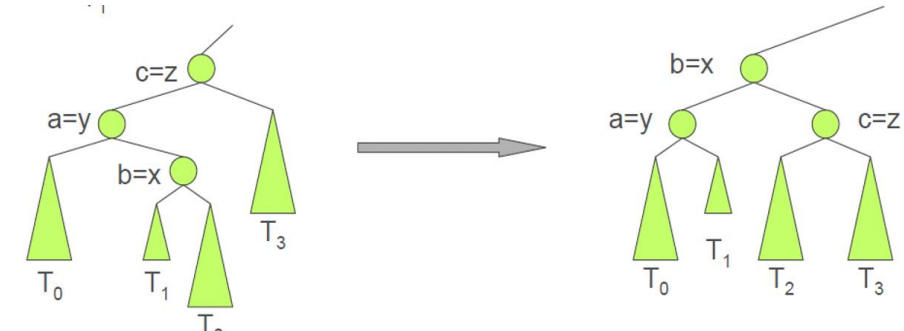
insert(39)

valid AVL tree?

-> rebalance



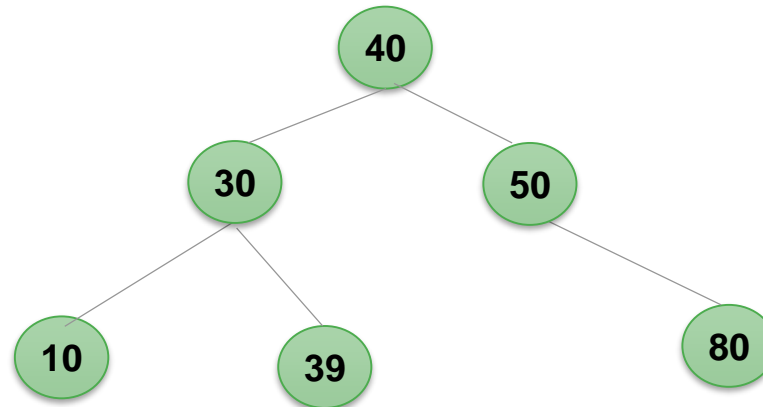
1. Children of **a** and **c** are the subtrees $T_0 \dots T_3$, which have been children of **x**, **y** and **z** before → reassign and distinguish **4 cases...**



AVL TREES :: DISCUSSION

insert(39)

valid AVL tree?

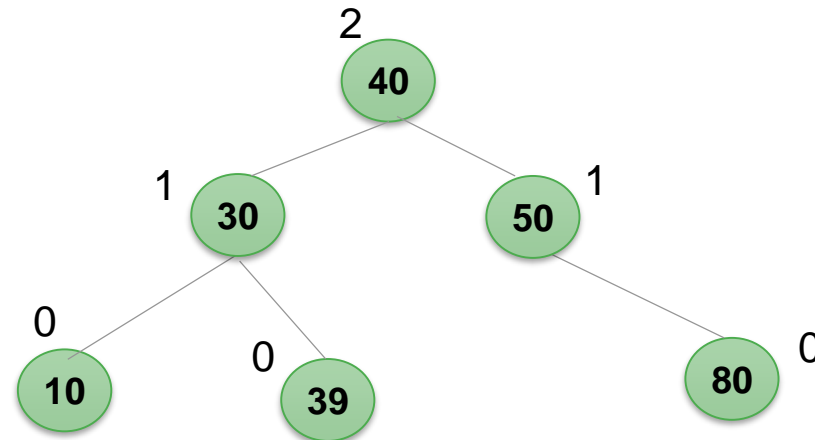


AVL TREES :: DISCUSSION

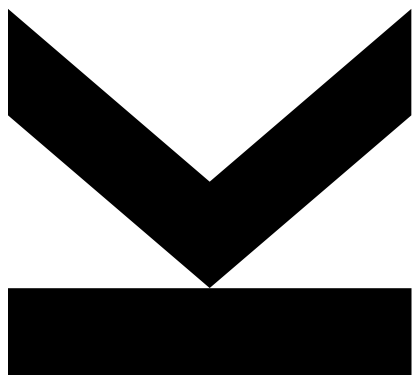
insert(39)

valid AVL tree?

check heights



FAST SEARCHING / BALANCED TREES



Algorithms and Data Structures 2
Exercise – 2023W

Martin Schobesberger, Markus Wening, Markus Jäger, Florian Beck, Achref Rihani

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