```
In [1]: ► 1 ## Importing libraries
             2 %matplotlib inline
             3 import warnings
             4 warnings.filterwarnings("ignore")
             6 import pandas as pd
             7 import numpy as np
             8 import nltk
             9 import string
            10 import matplotlib.pyplot as plt
            11 import seaborn as sns
            12 import tensorflow as tf
            13 from sklearn.feature_extraction.text import TfidfTransformer
            14 | from sklearn.feature_extraction.text import TfidfVectorizer
            16 | from sklearn.feature_extraction.text import CountVectorizer
            17 from sklearn.metrics import confusion matrix
            18 from sklearn import metrics
            19 from sklearn.metrics import roc_curve, auc
            20 from nltk.stem.porter import PorterStemmer
            21
            22 import re
            23 # Tutorial about Python regular expressions: https://pymotw.com/2/re/
            24 import string
            25 from nltk.corpus import stopwords
            26 from nltk.stem import PorterStemmer
            27 from nltk.stem.wordnet import WordNetLemmatizer
            28
            29 from gensim.models import Word2Vec
            30 from gensim.models import KeyedVectors
            31 import pickle
            32
            33 from tqdm import tqdm
            34 import os
            36 # from chart_studio.plotly import plotly
            37 # import plotly.offline as offline
            38 # import plotly.graph_objs as go
            39 #offline.init notebook mode()
            40 from collections import Counter
In [3]: ► 1 from google.colab import drive
             2 drive.mount('/content/drive')
           Mounted at /content/drive
2 # !pip install keras==2.3.1
             3 # # import tensorflow
             4 # tensorflow.__version__
In [5]: N 1 # !cp -r '/content/drive/My Drive/LSTM preprocessed/model1/processed data split.h2' '/content/'
```

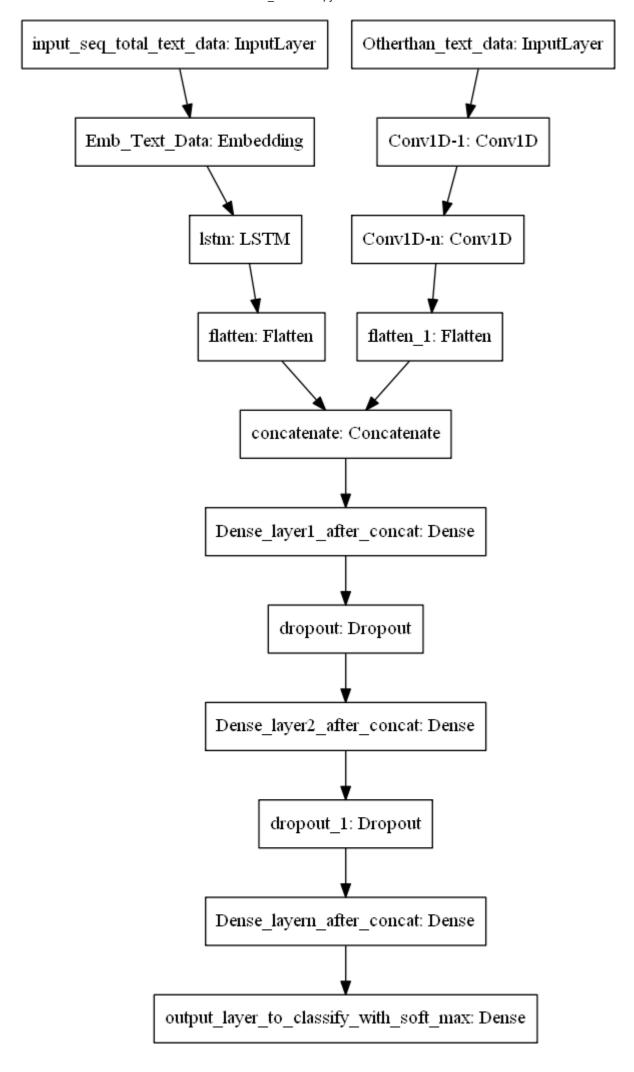
2 # !cp -r '/content/drive/My Drive/LSTM_preprocessed/model1/model_inputs.pkl' '/content/'

4 # !cp -r '/content/drive/My Drive/_datasets/glove_vectors' '/content/'

3 # !cp -r '/content/drive/My Drive/LSTM_preprocessed/model1/model_input_cat_lables.pkl' '/content/'

```
In [6]: ▶ 1 ## Load data after split
             2 x_train = pd.read_hdf('processed_data_split.h2', 'x_train',mode='r')
             3 x_test = pd.read_hdf('processed_data_split.h2', 'x_test',mode='r')
             4 x_cv = pd.read_hdf('processed_data_split.h2', 'x_cv',mode='r')
             5 y_train =pd.read_hdf('processed_data_split.h2', 'y_train',mode='r')
             6 y_test =pd.read_hdf('processed_data_split.h2', 'y_test',mode='r')
             7 y_cv =pd.read_hdf('processed_data_split.h2', 'y_cv',mode='r')
             8 print('*'*50)
             9 print(' Successfully loaded processed split data')
            10 emd_i,embedding_matrix,seq_x_train,seq_x_test,seq_x_cv,padseq_x_train,sklstate_train,proj_grade_train,train_categories,train_subcategories,teacher_prefix_train,numerical_train.
            11 print('*'*50)
            12 | print(' Successfully loaded model input variables')
            13 y_train_cat,y_test_cat,y_cv_cat = pickle.load(open('model_input_cat_lables.pkl', 'rb'))
            14 print('*'*50)
            15 print('Successfully loaded split y labels')
           *************
            Successfully loaded processed split data
           *************
            Successfully loaded model input variables
           Successfully loaded split y labels
```

Model-3



ref: https://i.imgur.com/fkQ8nGo.png

• input_seq_total_text_data:

- . Use text column('essay'), and use the Embedding layer to get word vectors.
- . Use given predefined glove word vectors, don't train any word vectors.
- . Use LSTM that is given above, get the LSTM output and Flatten that output.
- . You are free to preprocess the input text as you needed.

• Other_than_text_data:

- . Convert all your Categorical values to onehot coded and then concatenate all these onehot vectors
- . Neumerical values and use CNN1D (https://keras.io/getting-started/sequential-model-guide/#sequence-classification-with-1d-convolutions">CNN1D (https://keras.io/getting-started/sequential-model-guide/#sequence-classification-with-1d-convolutions) as shown in above figure.
- . You are free to choose all CNN parameters like kernel sizes, stride.

3.1 Hyperparameter Tuning

```
In [11]: ► 1 import tensorflow as tf
              2 from keras.callbacks import TensorBoard, ModelCheckpoint
              3 import keras
              4 import datetime, os
              5 import keras.backend as K
              6 from keras.regularizers import 12
              7 from tensorflow import set_random_seed
              8 from sklearn.metrics import roc_auc_score
              9 from keras.layers import Dropout, Input, Activation, Dense, Embedding, concatenate, LSTM, Flatten, BatchNormalization
             10 from keras.layers import Conv1D, MaxPool1D
             11 from keras.models import Model
             12 # Load the TensorBoard notebook extension
             13 %load_ext tensorboard
             14
             15 def aucroc(y_true,y_pred):
             16
                    try:
             17
                         return tf.py_func(roc_auc_score, (y_true, y_pred), tf.double)
             18
                    except ValueError:
                         pass
             19
```

Using TensorFlow backend.

```
In [9]: ► 1 ## clear the graph of the tensorflow
              2 K.clear session()
              3 ### defining all the Input layer
              4 input seq total text data = Input(shape=padseq x train[0].shape,name='text Input')
              5 input other than text = Input(shape=(102,1),name='other than text')
             7 set_random_seed(5)
              8 auc scores model3=[]
              9 if not os.path.isfile('tuning_output_model3.pkl'):
                    for k s in [3,5,10]:
             10
                      for stride in [1,2,3]:
             11
             12
                         for mx_p in [3,5]:
             13
                             #definig embedding layer
             14
                             embed_text_data = Embedding(vocab_size,300,weights = [embedding_matrix],trainable=False)(input_seq_total_text_data)
             15
                             \#embed\_other\_than\_text = Embedding(other\_than\_text\_train.shape[1], other\_than\_text\_train.shape[1]//e)(input\_other\_than\_text)
             16
                             ##defining LSTM layer
             17
                             lstm layer = LSTM(128,return sequences=True)(embed text data)
             18
                             ##convolutional layer
             19
                             for c_i in [64,128,256]:
                                 conv_1 = Conv1D(c_i,kernel_size=k_s,strides=stride,padding='same',activation='relu',kernel_initializer='he_normal')(input_other_than_text)
             20
             21
                                 conv_2 = Conv1D(c_i,kernel_size=k_s,strides=stride,padding='same',activation='relu',kernel_initializer='he_normal')(conv_1)
             22
                                 max_pool = MaxPool1D(pool_size=(3),padding='same')(conv_2)
             23
                                 conv_3 = Conv1D(c_i,kernel_size=k_s,strides=stride,padding='same',activation='relu',kernel_initializer='he_normal')(max_pool)
             24
                                 conv_4 = Conv1D(c_i,kernel_size=k_s,strides=stride,padding='same',activation='relu',kernel_initializer='he_normal')(conv_3)
             25
                                 max_pool = MaxPool1D(pool_size=(3),padding='same')(conv_4)
             26
                             #max_pool = MaxPool1D(pool_size=(mx_p),padding='same')(conv_2)
             27
                             #flatten layer
             28
                             flatten 1 = Flatten()(lstm layer)
             29
                             flatten_2 = Flatten()(max_pool)
             30
                             # concat layer
             31
                             concat_layer = concatenate([flatten_1,flatten_2])
             32
             33
                             dense_layer_1 = Dense(512,activation='relu',kernel_initializer='he_normal',kernel_regularizer=12(0.0001))(concat_layer)
             34
                             regularization = BatchNormalization()(dense layer 1)
             35
                             regularization = Dropout(0.25)(regularization)
             36
                             dense_layer_2 = Dense(256,activation='relu',kernel_initializer='he_normal',kernel_regularizer=12(0.0001))(regularization)
             37
                             regularization = BatchNormalization()(dense_layer_2)
             38
                             dense_layer_3 = Dense(128,activation='relu',kernel_initializer='he_normal',kernel_regularizer=12(0.0001))(regularization)
             39
                             regularization = BatchNormalization()(dense_layer_3)
             40
                             regularization = Dropout(0.25)(dense_layer_3)
             41
                             out layer = Dense(2,activation='softmax',kernel_initializer='glorot_normal',kernel_regularizer=12(0.0001))(dense_layer_3)
             42
             43
                             model3 = Model(inputs=[input_seq_total_text_data,input_other_than_text],outputs=[out_layer])
             44
             45
                             # https://stackoverflow.com/questions/48285129/saving-best-model-in-keras
             46
                             # Whether to restore model weights from the epoch with the best value of the monitored quantity. If False, the model weights obtained at the last step of training
             47
                             model3.compile(optimizer=keras.optimizers.Adam(),loss='categorical_crossentropy',metrics=['accuracy',aucroc])
             48
             49
                             callback = tf.keras.callbacks.EarlyStopping(monitor='val_aucroc',verbose=1, patience=2,restore_best_weights=True,mode='max')
             50
             51
                             history = model3.fit([padseq_x_train,other_than_text_train],y_train_cat,epochs=10,batch_size=1000,verbose=1,
             52
                                               validation_data=[[padseq_x_cv,other_than_text_cv],y_cv_cat],
             53
                                                 callbacks=[callback])
             54
                             max = np.argmax(history.history['val aucroc'])
             55
                             print(''' Validation loss for LSTM_untis={0}, kernel_size={1}, stride_size={2}, max_pool={3}, Conv1_units={4}
             56
                               '''.format(128,k_s,stride,mx_p,c_i),' is :' ,history.history['val_loss'][max_])
             57
             58
                             auc_scores_model3.append((k_s,stride,mx_p,c_i,history.history['accuracy'][max_]
             59
                                               ,history.history['loss'][max_],history.history['aucroc'][max_],
             60
                                               history.history['val_accuracy'][max_],history.history['val_loss'][max_],history.history['val_aucroc'][max_]))
```

```
df = pd.DataFrame(data=auc_scores_model3,columns=['Kernel_size','stride_size','pool_size','CNN_units',
61
62
                                                          'Train Accuracy','Train Loss','Train auc','Test Accuracy','Test Loss','Test auc'])
63
       best param = df[df['Test auc'] == df['Test auc'].max()]
64
       with open('tuning output model3.pkl', 'wb') as f:
65
             pickle.dump([df,auc scores model3,best param] , f)
66 else:
67
     df,auc scores model3,best param = pickle.load(open('tuning output model3.pkl','rb'))
     print('----Tuning output loaded -----')
68
69
70
```

WARNING:tensorflow:From /usr/local/lib/python3.6/dist-packages/tensorflow_core/python/ops/resource_variable_ops.py:1630: calling BaseResourceVariable.__init__ (from tensorflow.python.ops.resource_variable_ops) with constraint is deprecated and will be removed in a future version.

Instructions for updating:

If using Keras pass * constraint arguments to layers.

WARNING:tensorflow:From /usr/local/lib/python3.6/dist-packages/keras/backend/tensorflow_backend.py:4070: The name tf.nn.max_pool is deprecated. Please use tf.nn.max_pool2d i nstead.

WARNING:tensorflow:From <ipython-input-8-ed55f280d3db>:11: py_func (from tensorflow.python.ops.script_ops) is deprecated and will be removed in a future version. Instructions for updating:

tf.py_func is deprecated in TF V2. Instead, there are two options available in V2.

- tf.py_function takes a python function which manipulates tf eager tensors instead of numpy arrays. It's easy to convert a tf eager tensor to an ndarray (just call tensor.numpy()) but having access to eager tensors means `tf.py_function`s can use accelerators such as GPUs as well as being differentiable using a gradient tape.
- tf.numpy_function maintains the semantics of the deprecated tf.py_func (it is not differentiable, and manipulates numpy arrays). It drops the stateful argument making all functions stateful.

```
In [14]: | # !cp -r '/content/drive/My Drive/LSTM_preprocessed/model3/tuning_output_model3.pkl' '/content/'
2 # df,auc_scores_model3,best_param = pickle.load(open('tuning_output_model3.pkl','rb'))
```

In [14]: ▶ 1 best_param

 Out[14]:
 Kernel_size
 stride_size
 pool_size
 CNN_units
 Train Accuracy
 Train Loss
 Train auc
 Test Accuracy
 Test Loss
 Test auc

 13
 10
 1
 5
 256
 0.854358
 0.516614
 0.756903
 0.837185
 0.597083
 0.752001

3.1 Model Trials after hyperparameter tuning

Trail :

- * Our primary task is to improve Test AUC.
- * After hyperparameter tuning we found best kernel size ,stirde size ,poolsize etc. Now lets tune our dense layers without using for loops.
- * lets increase layer1 units to 854 from 512

```
In [75]: | 1 #!cp -r '/content/model3_weights.best.hdf5' '/content/drive/My Drive/LSTM_preprocessed/model3/'
```

```
In [21]:
               2 # Clear any logs from previous runs
              3 !rm -rf ./logs/
              5 ## clear the graph of the tensorflow
              6 K.clear session()
              7 ### defining all the Input layer
              8 input seq total text data = Input(shape=padseq x train[0].shape,name='text Input')
              9 input_other_than_text = Input(shape=(102,1),name='other_than_text')
              11 set random seed(5)
              12 #definig embedding Layer
              13 embed_text_data = Embedding(vocab_size,300,weights = [embedding_matrix],trainable=False)(input_seq_total_text_data)
              14 #embed other_than_text = Embedding(other_than_text_train.shape[1],other_than_text_train.shape[1]//e)(input_other_than_text)
              15 ##defining LSTM layer
              16 | lstm layer = LSTM(128, return sequences=True)(embed text data)
              17 ##convolutional layer
              18
              19 conv 1 = Conv1D(int(best param['CNN units']),
              20
                                 kernel_size=int(best_param['Kernel_size']),
              21
                                 strides=int(best param['stride size']),
                                 padding='same',activation='relu',kernel_initializer='he_normal')(input_other_than_text)
              22
              23 conv 2 = Conv1D(int(best param['CNN units']),
                                 kernel_size=int(best_param['Kernel_size']),
              24
              25
                                 strides=int(best param['stride size']),
                                 padding='same',activation='relu',kernel_initializer='he_normal')(conv_1)
              26
              27 | max_pool = MaxPool1D(pool_size=(int(best_param['pool_size'])),padding='same')(conv_2)
              28 conv 3 = Conv1D(int(best param['CNN units']),
              29
                                 kernel size=int(best param['Kernel size']),
              30
                                 strides=int(best param['stride size']),
              31
                                 padding='same',activation='relu',kernel_initializer='he_normal')(max_pool)
              32 conv 4 = Conv1D(int(best param['CNN units']),
              33
                                 kernel_size=int(best_param['Kernel_size']),
              34
                                 strides=int(best param['stride size']),
              35
                                 padding='same',activation='relu',kernel_initializer='he_normal')(conv_3)
              36 max_pool = MaxPool1D(pool_size=((int(best_param['pool_size']))),padding='same')(conv_4)
              37 #max_pool = MaxPool1D(pool_size=(mx_p),padding='same')(conv_2)
              38 #flatten layer
              39 flatten_1 = Flatten()(lstm_layer)
              40 flatten_2 = Flatten()(max_pool)
              41 # concat Layer
              42 concat_layer = concatenate([flatten_1,flatten_2])
              43 #dense Lavers
              44 dense_layer_1 = Dense(854,activation='relu',kernel_initializer='he_normal',kernel_regularizer=12(0.0001))(concat_layer)
              45 regularization = BatchNormalization()(dense layer 1)
              46 regularization = Dropout(0.45)(regularization)
              47 dense layer 2 = Dense(512,activation='relu',kernel initializer='he normal',kernel regularizer=12(0.0001))(regularization)
              48 regularization = BatchNormalization()(dense_layer_2)
              49 dense_layer_3 = Dense(256,activation='relu',kernel_initializer='he_normal',kernel_regularizer=12(0.0001))(regularization)
              50 regularization = BatchNormalization()(dense_layer_3)
              51 regularization = Dropout(0.25)(regularization)
              52 out layer = Dense(2,activation='softmax',kernel initializer='glorot normal',kernel regularizer=12(0.0001))(regularization)
              53
              54 model3 = Model(inputs=[input_seq_total_text_data,input_other_than_text],outputs=[out_layer])
              56 # https://stackoverflow.com/questions/48285129/saving-best-model-in-keras
              57 # Whether to restore model weights from the epoch with the best value of the monitored quantity. If False, the model weights obtained at the last step of training are used.
              58 model3.compile(optimizer=keras.optimizers.Adam(),loss='categorical crossentropy',metrics=['accuracy',aucroc])
              60 callback = tf.keras.callbacks.EarlyStopping(monitor='val_aucroc',verbose=1, patience=2,restore_best_weights=True,mode='max')
```

```
log_dir="logs/" + datetime.now().strftime("%Y%m%d-%H%M%S")
tensorboard_callback = tf.keras.callbacks.TensorBoard(log_dir=log_dir,histogram_freq=0, write_graph=True,write_grads=True)

#https://machinelearningmastery.com/check-point-deep-learning-models-keras/
filepath="model3_weights.best.hdf5"
checkpoint = ModelCheckpoint(filepath, monitor='val_aucroc', verbose=1, save_best_only=True, mode='max')

callback_list = [callback,tensorboard_callback,checkpoint]
history = model3.fit([padseq_x_train,other_than_text_train],y_train_cat,epochs=10,batch_size=1000,verbose=1,
    validation_data=[[padseq_x_cv,other_than_text_cv],y_cv_cat],callbacks=callback_list)
```

```
Train on 69918 samples, validate on 17480 samples
Epoch 1/10
Epoch 00001: val_aucroc improved from -inf to 0.53766, saving model to model3_weights.best.hdf5
Epoch 2/10
Epoch 00002: val_aucroc improved from 0.53766 to 0.69492, saving model to model3_weights.best.hdf5
Epoch 3/10
Epoch 00003: val_aucroc improved from 0.69492 to 0.73442, saving model to model3_weights.best.hdf5
Epoch 4/10
Epoch 00004: val_aucroc improved from 0.73442 to 0.75773, saving model to model3_weights.best.hdf5
Epoch 5/10
Epoch 00005: val aucroc did not improve from 0.75773
Epoch 6/10
Restoring model weights from the end of the best epoch.
Epoch 00006: val aucroc did not improve from 0.75773
```

localhost:8888/notebooks/Documents/appleidai/LSTM_Assignment/Model3_LSTM .ipynb

Epoch 00006: early stopping

10/12/2020

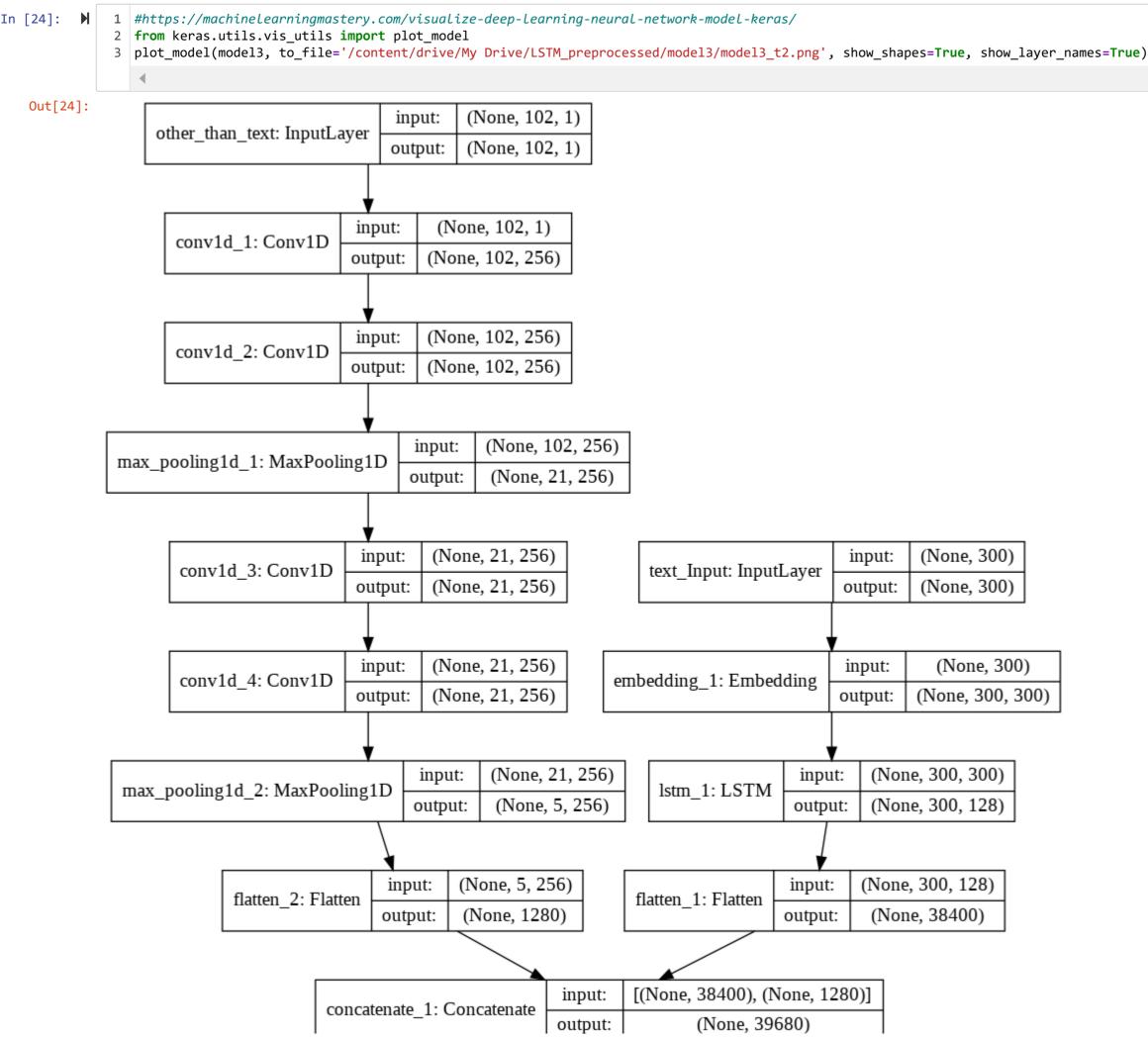
In [23]: ► 1 model3.summary()

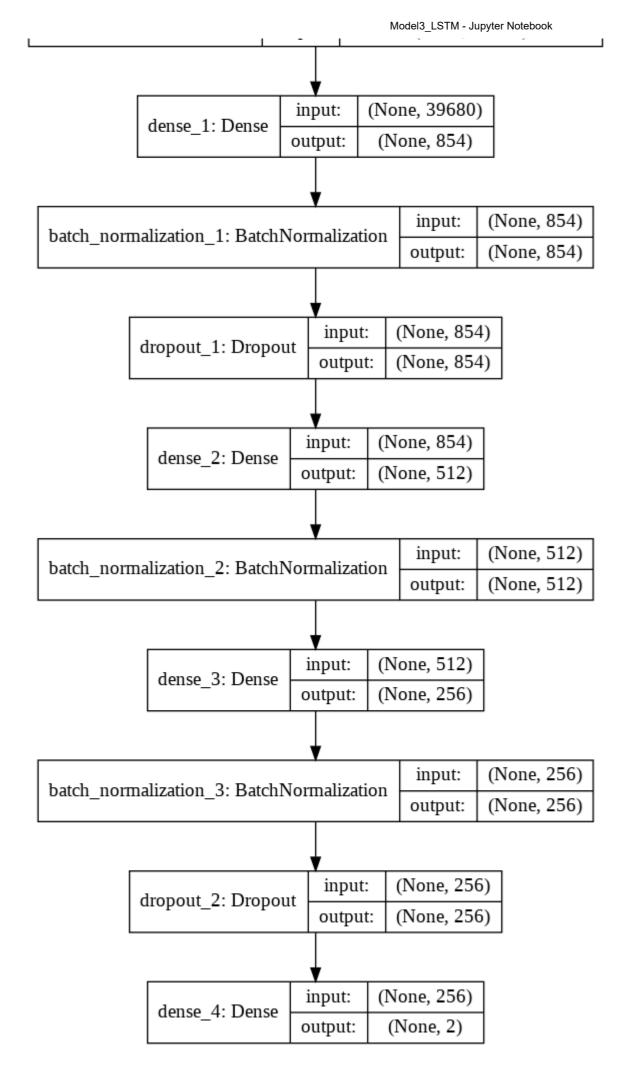
Mode:	L:	"model	1"

Layer (type)	Output	Shape	Param #	Connected to
other_than_text (InputLayer)	(None,	102, 1)	0	
conv1d_1 (Conv1D)	(None,	102, 256)	2816	other_than_text[0][0]
conv1d_2 (Conv1D)	(None,	102, 256)	655616	conv1d_1[0][0]
max_pooling1d_1 (MaxPooling1D)	(None,	21, 256)	0	conv1d_2[0][0]
text_Input (InputLayer)	(None,	300)	0	
conv1d_3 (Conv1D)	(None,	21, 256)	655616	max_pooling1d_1[0][0]
embedding_1 (Embedding)	(None,	300, 300)	14160600	text_Input[0][0]
conv1d_4 (Conv1D)	(None,	21, 256)	655616	conv1d_3[0][0]
lstm_1 (LSTM)	(None,	300, 128)	219648	embedding_1[0][0]
<pre>max_pooling1d_2 (MaxPooling1D)</pre>	(None,	5, 256)	0	conv1d_4[0][0]
flatten_1 (Flatten)	(None,	38400)	0	lstm_1[0][0]
flatten_2 (Flatten)	(None,	1280)	0	max_pooling1d_2[0][0]
concatenate_1 (Concatenate)	(None,	39680)	0	flatten_1[0][0] flatten_2[0][0]
dense_1 (Dense)	(None,	854)	33887574	concatenate_1[0][0]
batch_normalization_1 (BatchNor	(None,	854)	3416	dense_1[0][0]
dropout_1 (Dropout)	(None,	854)	0	batch_normalization_1[0][0]
dense_2 (Dense)	(None,	512)	437760	dropout_1[0][0]
batch_normalization_2 (BatchNor	(None,	512)	2048	dense_2[0][0]
dense_3 (Dense)	(None,	256)	131328	batch_normalization_2[0][0]
batch_normalization_3 (BatchNor	(None,	256)	1024	dense_3[0][0]
dropout_2 (Dropout)	(None,	256)	0	batch_normalization_3[0][0]
dense_4 (Dense)	(None,	2)	514	dropout_2[0][0]
Total names: 50 813 576	==	=	-	

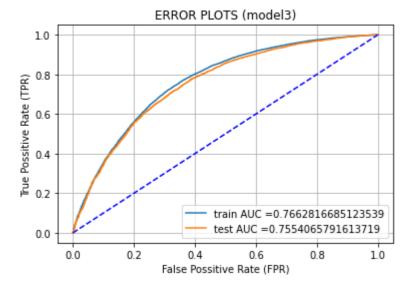
Total params: 50,813,576
Trainable params: 36,649,732
Non-trainable params: 14,163,844

10/12/2020 Model3 LSTM - Jupyter Notebook

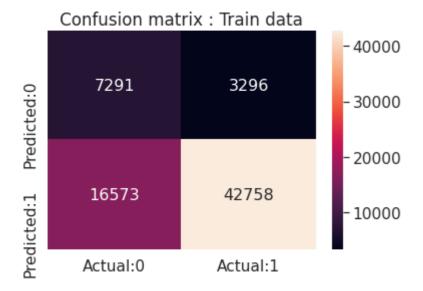




```
In [26]: ▶
              2 ## Predict the test and train
              3 from sklearn.metrics import confusion matrix
              4 ## Finding best threshold for predictions
              5 def best threshold(thresholds,fpr,tpr):
                     t=thresholds[np.argmax(tpr*(1-fpr))]
                     # (tpr*(1-fpr)) will be maximum if your fpr is very low and tpr is very high
              8
                     print("the maximum value of tpr*(1-fpr)", max(tpr*(1-fpr)), "for threshold", np.round(t,3))
              9
             10
             11 def predict_with_best_t(proba, threshold):
             12
                     predictions = []
             13
                     for i in proba:
             14
                         if i>=threshold:
             15
                             predictions.append(1)
             16
             17
                             predictions.append(0)
             18
                     return predictions
             19
             20
             21 y_test_predict = model3.predict([padseq_x_test,other_than_text_test],use_multiprocessing=True)[:,1]
             22 y_train_predict = model3.predict([padseq_x_train,other_than_text_train],use_multiprocessing=True)[:,1]
             23
             24 # if os.path.isfile('model_predictions.pkl'):
             25 #
                       os.remove('model predictions.pkl')
             26 #
                       print("File model_predictions Removed!")
             27 #
                       with open('model_predictions.pkl','wb') as f:
             28 #
                           pickle.dump([y_train_predict,y_test_predict],f)
             29
             30 ## Store fpr and tpr rates
             31
             32 train_fpr, train_tpr, tr_thresholds = roc_curve(y_train, y_train_predict)
             33 test_fpr, test_tpr, te_thresholds = roc_curve(y_test, y_test_predict)
             34
             35
             37 plt.plot(train_fpr, train_tpr, label="train AUC ="+str(auc(train_fpr, train_tpr)))
             38 plt.plot(test_fpr, test_tpr, label="test AUC ="+str(auc(test_fpr, test_tpr)))
             39 plt.legend()
             40 plt.xlabel("False Possitive Rate (FPR)")
             41 plt.ylabel("True Possitive Rate (TPR)")
             42 plt.title("ERROR PLOTS (model3)")
             43 plt.plot([0, 1], [0, 1], 'b--')
             44 plt.grid()
             45 plt.show()
             46
             47 print("="*100)
             49 best_t=best_threshold(tr_thresholds,train_fpr, train_tpr)
             50
```



the maximum value of tpr*(1-fpr) 0.4963064277331075 for threshold 0.811



Confusion matrix : Test data -12000 -10000 -8000 -6000 -6000 -2000 -2000 -2000

model	train_auc	test_auc
model_1 model_2 model_3		0.71 0.72 0.7554

Observations

- * Model3 performs best among all on test data with 0.76 auc with CNN and max pooling layers as features to our dense layers.
- * As the number of units in dense layer increases the model tends to overfit hence we regularize using dropouts in between layers and 12 regularizer in all the dense layers.
- * using maxpool represents data in convoltional layer 1 and layer 2 in a abstracted way and avoids overfitting and reduces computational time while evaluation.
- * Using filtered words in Model2 in our dataset reduced train time and produced good results.
- * Model3 can be considered best fit among all as train and test AUC's are more close than Model1 and Model2 and also resulted with highest AUC.
- * using early stopping we are fitting data in epoch which produced best validation auc and stop the training when there is no improvement.