

# DESIGN AND ANALYSIS OF ALGORITHMS (DAA)

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Module 3

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Sem V

## Control Abstraction of Divide and Conquer

```
1  Algorithm DAndC( $P$ )
2  {
3      if Small( $P$ ) then return  $S(P)$ ;
4      else
5          {
6              divide  $P$  into smaller instances  $P_1, P_2, \dots, P_k$ ,  $k \geq 1$ ;
7              Apply DAndC to each of these subproblems;
8              return Combine(DAndC( $P_1$ ), DAndC( $P_2$ ), ..., DAndC( $P_k$ ));
9          }
10 }
```

# Quick Sort

This algorithm is called *quicksort* or *Partition exchange sort*

1. Pick an element (**pivot**)
2. **Partition** the array into elements **< pivot**, **= to pivot**, and **> pivot**
3. Quicksort these smaller arrays separately

# Quick Sort

- We are starting with an un-sorted array  $A[p \dots r]$
  - Divide: We start by dividing the array into two subarrays  $A[p \dots q-1]$  and  $A[q+1 \dots r]$ . (for now, we just leave  $A[q]$ ). We divide it such that all elements of  $A[p \dots q-1]$  are smaller than or equal to  $A[q]$ , which again is smaller than or equal to  $A[q+1 \dots r]$ .  $q$  is determined as a part of this step.
  - Conquer: Sort the two arrays  $A[p \dots q-1]$  and  $A[q+1 \dots r]$  by recursively calling quicksort.
  - Combine: We are finished, since the array is now sorted.
- The most difficult is probably "Partition", so here is an example:

## QuickSort

QUICKSORT( $A, p, r$ )

```
1  if  $p < r$ 
2       $q = \text{PARTITION}(A, p, r)$ 
3      QUICKSORT( $A, p, q - 1$ )
4      QUICKSORT( $A, q + 1, r$ )
```

## QuickSort

PARTITION( $A, p, r$ )

```
1   $x = A[r]$ 
2   $i = p - 1$ 
3  for  $j = p$  to  $r - 1$ 
4      if  $A[j] \leq x$ 
5           $i = i + 1$ 
6          exchange  $A[i]$  with  $A[j]$ 
7  exchange  $A[i + 1]$  with  $A[r]$ 
8  return  $i + 1$ 
```

# QuickSort

0	1	2	3	4	5	6	7
2	8	7	1	3	5	6	4

<b>i = 1</b>	<b>P, j = 0</b>	1	2	3	4	5	6	<b>r = 7</b>
	<b>2</b>	8	7	1	3	5	6	<b>x = 4</b>

<b>P, j, i = 0</b>	1	2	3	4	5	6	7
<b>2</b>	8	7	1	3	5	6	4

PARTITION( $A, p, r$ )

```

1   $x = A[r]$ 
2   $i = p - 1$ 
3  for  $j = p$  to  $r - 1$ 
4      if  $A[j] \leq x$ 
5           $i = i + 1$ 
6          exchange  $A[i]$  with  $A[j]$ 
7  exchange  $A[i + 1]$  with  $A[r]$ 
8  return  $i + 1$ 

```

<b>i = 0</b>	<b>J = 1</b>	2	3	4	5	6	7
2	<b>8</b>	7	1	3	5	6	<b>x = 4</b>

# QuickSort

<b>i = 0</b>	1	<b>J = 2</b>	3	4	5	6	7
2	8	<b>7</b>	1	3	5	6	<b>x = 4</b>

PARTITION( $A, p, r$ )

```

1   $x = A[r]$ 
2   $i = p - 1$ 
3  for  $j = p$  to  $r - 1$ 
4      if  $A[j] \leq x$ 
5           $i = i + 1$ 
6          exchange  $A[i]$  with  $A[j]$ 
7  exchange  $A[i + 1]$  with  $A[r]$ 
8  return  $i + 1$ 

```

<b>i = 0</b>	1	2	<b>J = 3</b>	4	5	6	7
2	8	7	<b>1</b>	3	5	6	<b>x = 4</b>

0	<b>i = 1</b>	2	<b>J = 3</b>	4	5	6	7
2	<b>1</b>	7	<b>8</b>	3	5	6	<b>x = 4</b>

0	<b>i = 1</b>	2	3	<b>J = 4</b>	5	6	7
2	1	7	8	<b>3</b>	5	6	<b>x = 4</b>

# QuickSort

0	1	i=2	3	J=4	5	6	7
2	1	3	8	7	5	6	x=4

0	1	i=2	3	4	J=5	6	7
2	1	3	8	7	5	6	x=4

0	1	i=2	3	4	5	J=6	7
2	1	3	8	7	5	6	x=4

0	1	i=2	3	4	5	J=6	7
2	1	3	4	7	5	6	8

PARTITION( $A, p, r$ )

```

1   $x = A[r]$ 
2   $i = p - 1$ 
3  for  $j = p$  to  $r - 1$ 
4      if  $A[j] \leq x$ 
5           $i = i + 1$ 
6          exchange  $A[i]$  with  $A[j]$ 
7  exchange  $A[i + 1]$  with  $A[r]$ 
8  return  $i + 1$ 

```

Return 3

# QuickSort

QUICKSORT( $A, p, r$ )

```

1  if  $p < r$ 
2       $q = \text{PARTITION}(A, p, r)$ 
3      QUICKSORT( $A, p, q - 1$ )
4      QUICKSORT( $A, q + 1, r$ )

```

## Quick Sort Analysis

- The running time of quicksort depends on whether the partitioning is balanced or unbalanced, which in turn depends on which elements are used for partitioning.
- If the partitioning is balanced, the algorithm runs asymptotically as fast as merge. If the partitioning is unbalanced, however, it can run asymptotically as slowly as insertion sort.
- **Worst-case partitioning**
- The worst-case behavior for quicksort occurs when the partitioning routine produces one subproblem with  $n - 1$  elements and one with 0 elements.
- $T(n) = T(n - 1) + n$
- $= \theta(n^2)$

## Quick Sort Analysis

- **Best-case partitioning**
- In the most even possible split, PARTITION produces two subproblems,
- $T(n) = 2T(n/2) + n = \theta(n \log n)$

## Divide-and-Conquer approach

- – For  $n \leq 2$ , make 1 comparison
- – For large  $n$ , divide set into two smaller sets and determine largest/smallest element for each set
- – Compare largest/smallest from two subsets to determine smallest/largest of combined sets
- – Do recursively

## Mergesort

- `void mergesort(int a[],int low,int high,int n)`
- `{`
  - `if(low<high)`
  - `{`
    - `int mid = (low +high)/2;`
    - `mergesort(a,low,mid,n);`
    - `mergesort(a,mid+1,high,n);`
    - `merge(a,low,mid,high);`
  - `}`
- `}`

# Mergesort

```
void mergesort(int a[],int low,int high,int n)
```

```
{
  if(low<high)
```

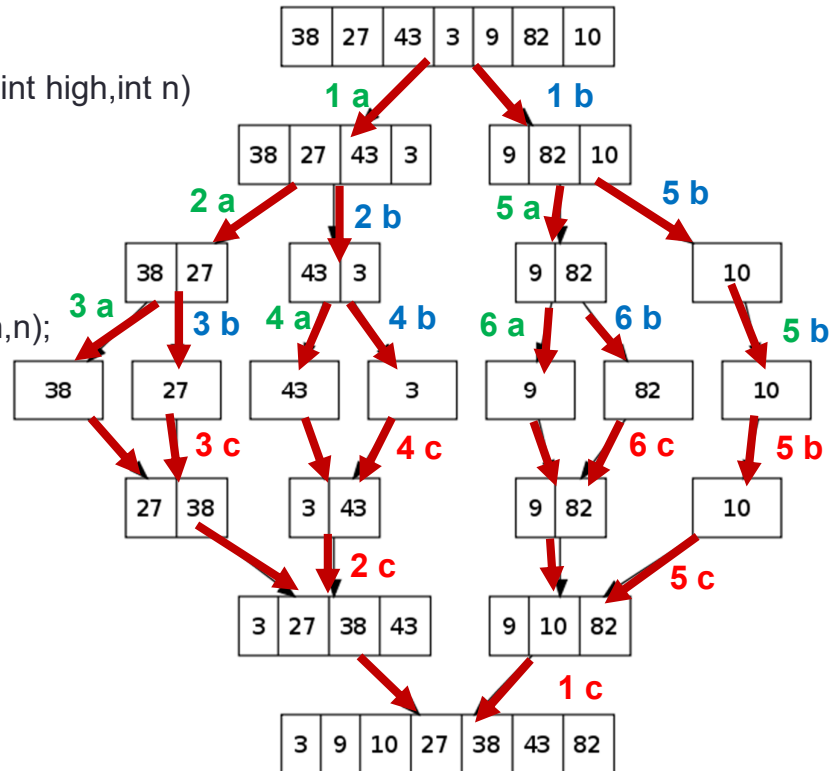
```
{
  int mid = (low +high)/2;
```

```
  a mergesort(a,low,mid,n);
```

```
  b mergesort(a,mid+1,high,n);
```

```
  c merge(a,low,mid,high);
```

```
}
```



- Merge(array A, int p, int q, int r)

- { array B[p..r] //temp array taken

- i = k = p // initialize pointers

- j = q+1

- while (i <= q and j <= r)

- { if (A[i] <= A[j])

- B[k++] = A[i++]

- else B[k++] = A[j++] }

- while (i <= q) B[k++] = A[i++] // copy any leftover to B

- while (j <= r) B[k++] = A[j++]

- for i = p to r A[i] = B[i] // copy B back to A }

0	1	2	3	4	5	6
3	27	38	43	9	10	82

0	1	2	3	4	5	6
P			q	q+1		r
3	27	38	43	9	10	82

0	1	2	3	4	5	6k
3	9	10	27	38	43	82



# Analysis of Merge Sort

- Divide: computing the middle takes  $\Theta(1)$
- Conquer: solving 2 subproblems takes  $2T(n/2)$
- Combine: merging  $n$  elements takes  $\Theta(n)$
- Recurrence equation of Merge sort

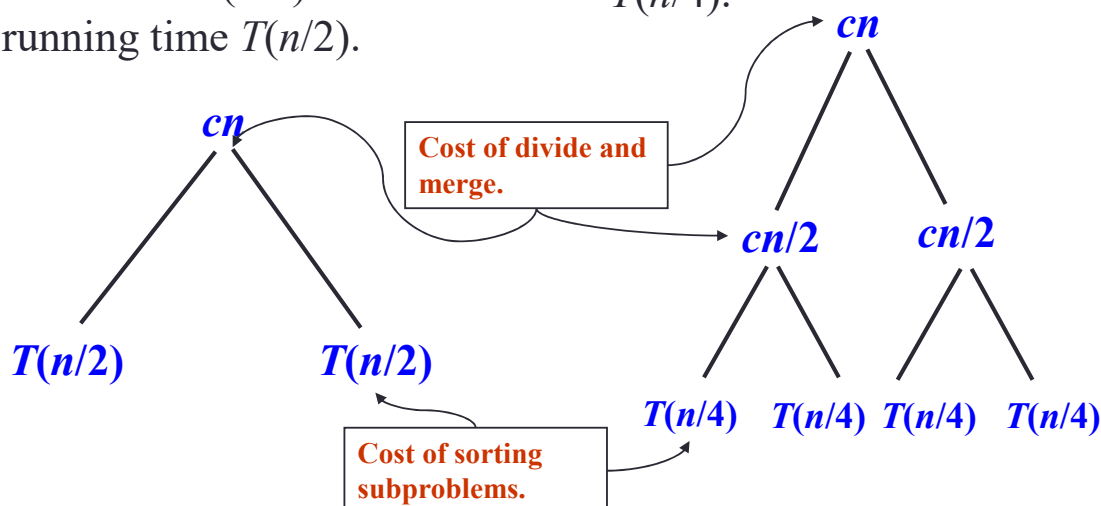
$$\begin{aligned} T(n) &= \Theta(1) && \text{if } n = 1 \\ T(n) &= 2T(n/2) + \Theta(n) && \text{if } n > 1 \end{aligned}$$

- Complexity of merge sort =  $O(n \log n)$

## Recursion Tree for Merge Sort

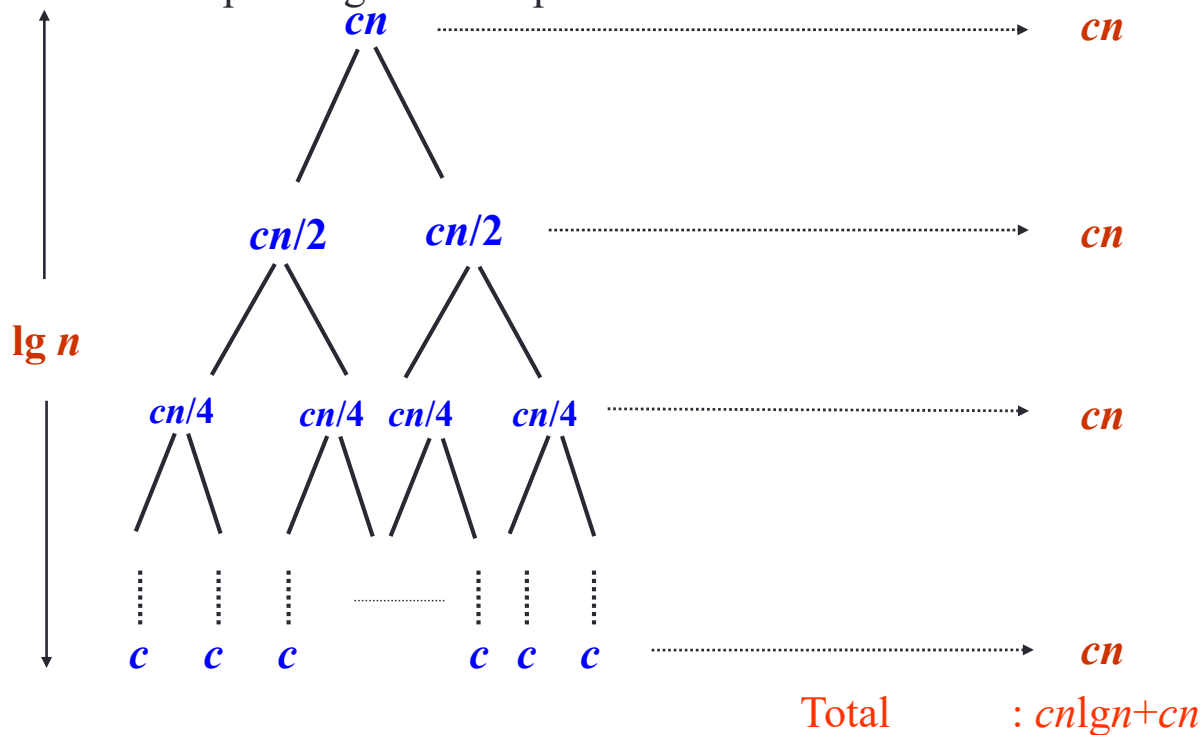
For the original problem, we have a cost of  $cn$ , plus two subproblems each of size  $(n/2)$  and running time  $T(n/2)$ .

Each of the size  $n/2$  problems has a cost of  $cn/2$  plus two subproblems, each costing  $T(n/4)$ .



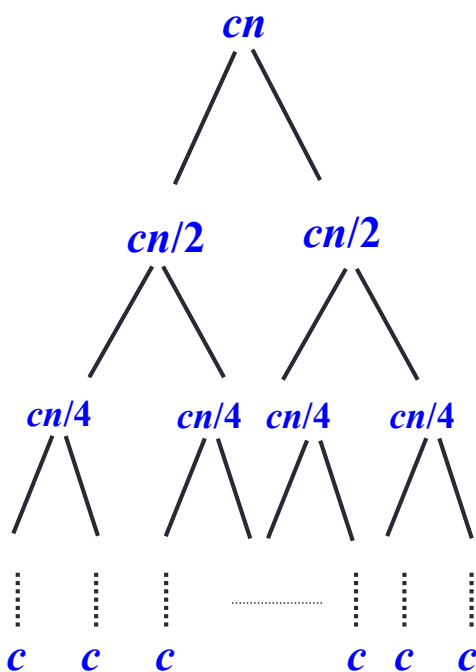
# Recursion Tree for Merge Sort

Continue expanding until the problem size reduces to 1.



# Recursion Tree for Merge Sort

Continue expanding until the problem size reduces to 1.



- Each level has total cost  $cn$ .
- Each time we go down one level, the number of subproblems doubles, but the cost per subproblem halves  $\Rightarrow$  *cost per level remains the same.*
- There are  $\lg n + 1$  levels, height is  $\lg n$ . (Assuming  $n$  is a power of 2.)
  - Can be proved by induction.
- Total cost = sum of costs at each level =  $(\lg n + 1)cn = cn \lg n + cn = \Theta(n \lg n)$ .

## Merge Sort

- Although merge sort has an optimal complexity, it needs an additional space of  $O(n)$  for the temporary array.

## Matrix multiplication

- Let A, B and C be  $n \times n$  matrices

$$C = AB$$

$$c_{ij} = \sum_{k=1}^n a_{ik} \cdot b_{kj}$$

# Matrix multiplication

SQUARE-MATRIX-MULTIPLY( $A, B$ )

```
1   $n = A.rows$ 
2  let  $C$  be a new  $n \times n$  matrix
3  for  $i = 1$  to  $n$ 
4      for  $j = 1$  to  $n$ 
5           $c_{ij} = 0$ 
6          for  $k = 1$  to  $n$ 
7               $c_{ij} = c_{ij} + a_{ik} \cdot b_{kj}$ 
8  return  $C$ 
```

- The straightforward method to perform a matrix multiplication requires  $O(n^3)$  time.

## Divide-and-conquer approach

- We use a divide-and-conquer algorithm to compute the matrix product  $C = A \cdot B$ , we assume that  $n$  is an exact power of 2 in each of the  $n \times n$  matrices.
- Suppose that we partition each of  $A$ ,  $B$ , and  $C$  into four  $n/2 \times n/2$  matrices

$$A = \begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{pmatrix}, \quad B = \begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix}, \quad C = \begin{pmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{pmatrix}$$

## Divide-and-conquer approach

- We rewrite the equation  $C = A \cdot B$  as

$$\begin{pmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{pmatrix} = \begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{pmatrix} \cdot \begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix}$$

This equation corresponds to the four equations

$$C_{11} = A_{11} \cdot B_{11} + A_{12} \cdot B_{21}$$

$$C_{12} = A_{11} \cdot B_{12} + A_{12} \cdot B_{22}$$

$$C_{21} = A_{21} \cdot B_{11} + A_{22} \cdot B_{21}$$

$$C_{22} = A_{21} \cdot B_{12} + A_{22} \cdot B_{22}$$

## Divide-and-conquer approach

- The recurrence equation for the running time of SQUARE-MATRIX-MULTIPLY-RECURSIVE is :

$$T(n) = \begin{cases} \Theta(1) & \text{if } n = 1 \\ 8T(n/2) + \Theta(n^2) & \text{if } n > 1 \end{cases}$$

## Strassen's matrix multiplication

- The key to Strassen's method is to make the recursion tree slightly less bushy.
- That is, instead of performing eight recursive multiplications of  $n/2 \times n/2$  matrices, it performs only seven.
- Strassen's method involves first computing the seven  $n/2 \times n/2$  matrices  $P, Q, R, S, T, U, V$

## Strassen's matrix multiplication

- $P = (A_{11} + A_{22})(B_{11} + B_{22})$   
 $Q = (A_{21} + A_{22})B_{11}$   
 $R = A_{11}(B_{12} - B_{22})$   
 $S = A_{22}(B_{21} - B_{11})$   
 $T = (A_{11} + A_{12})B_{22}$   
 $U = (A_{21} - A_{11})(B_{11} + B_{12})$   
 $V = (A_{12} - A_{22})(B_{21} + B_{22})$
- $C_{11} = P + S - T + V$   
 $C_{12} = R + T$   
 $C_{21} = Q + S$   
 $C_{22} = P + R - Q + U$

# Time complexity

- Time complexity:
- The recurrence for the running time  $T(n)$  of Strassen's algorithm:

$$T(n) = \begin{cases} \Theta(1) & \text{if } n = 1 \\ 7T(n/2) + \Theta(n^2) & \text{if } n > 1 \end{cases}$$

## Example

- Use Strassen's algorithm to compute the matrix product

$$\begin{pmatrix} 1 & 3 \\ 7 & 5 \end{pmatrix} \begin{pmatrix} 6 & 8 \\ 4 & 2 \end{pmatrix}$$