

# Smallest Simple Function for Big Oh

- ▶ If  $f(n)$  is  $O(n^2)$ , is it also  $O(n^3)$ ?
  - Since  $O(n^3)$  grows faster than  $O(n^2)$ , it is true.
  - However,  $O(n^3)$  over estimates an  $O(n^2)$  function.
- ▶ So, our attempt will be to find the smallest simple function for which  $f(n)$  is  $O(g(n))$ .
- ▶ Some well known growth functions in order of growth:
  - $1, \log n, n, n \log n, n^2, n^3, 2^n$ , etc.
- ▶ Notice that only +ve integral values of  $n$  are of interest.

# Guidelines for Computing Big Oh

- ▶ Find the dominant term of the function and find its order.
  - A logarithmic function dominates all constants.
  - A polynomial function dominates all logarithmic functions.
  - A polynomial of degree  $k$  dominates all lower degree polynomials.
  - An exponential function dominates all polynomial functions.
- ▶ Basis here is that:
  - The dominant term grows more rapidly compared to others.
  - It will quickly outgrow non-dominant terms.

# Other Simple Rules

- ▶ If  $T_1(n) = O(f_1(n))$ , and  $T_2(n) = O(f_2(n))$ , then
  - $T_1(n) + T_2(n) = \max\{O(f_1(n)), O(f_2(n))\}$
  - $T_1(n) * T_2(n) = O(f_1(n) * f_2(n))$
- ▶ If  $T(n)$  is a polynomial of  $k$  then  $T(n) = \Theta(n^k)$ <sup>1</sup>
- ▶  $\log^k n = O(n)$  for any constant  $k$
- ▶ For checking whether  $g(n)$  and  $f(n)$  are comparable find  $\lim \frac{f(n)}{g(n)} \leq k$ , where  $k > 0$  is a constant?
- ▶ E.g.:  $\lim \frac{n^2}{n^2+6} = \lim \frac{2n}{2n} = 1$
- ▶  $\lim \frac{\log n}{\log n^2} = \lim \frac{(1/n)}{2(1/n)} = 1/2$ .

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<sup>1</sup>Not defined yet

# Some Examples

- ▶ Examples of  $O(n^2)$  functions:  $n^2$ ,  $n^2 + n$ ,  $n^2 + 1000n$ ,  $100n^2 + 1000n$ ,  $n$ ,  $n/100$ ,  $n^{1.99999}$ ,  $n^2/(\log \log \log n)$
- ▶  $\log n! = O(n \log n)$ :

$$\begin{aligned}\log n! &= \log 1 + \log 2 + \dots + \log n \\ &\leq \log n + \log n + \dots + \log n = n \log n\end{aligned}$$

- ▶  $2^{n+1} = 2 \cdot 2^n$  for all  $n$ .
  - So with  $c = 2$ ,  $n_0 = 1$ ,  $2^{n+1} = O(2^n)$ .
- ▶ But  $2^{2n} \neq O(2^n)$  can be proved by contradiction.
  - We have  $0 \leq 2^{2n} = 2^n \cdot 2^n \leq c \cdot 2^n$ , then  $2^n \leq c$ .
  - But no constant is greater than  $2^n$ .

# Some Proofs for Big Oh

## Exercise 1

Prove that  $n^3 + 20n + 1$  is not  $O(n^2)$ .

## Solution

- ▶ Assume that  $n^3 + 20n + 1$  is  $O(n^2)$ .
- ▶ By definition of big-Oh it implies  $n^3 + 20n + 1 \leq c.n^2$ .
- ▶ Divide both side of the inequality by  $n^2$ .
- ▶ So,  $n + \frac{20}{n} + \frac{1}{n} \leq c$ .
- ▶ Since left side grows with  $n$ ,  $c$  cannot be a constant.

# Some Proofs for Big Oh

## Exercise 2

Prove that  $f(n) = \frac{n^2 + 5 \log n}{2n + 1}$  is  $O(n)$

## Solution

- ▶  $5 \log n < 5n < 5n^2$ , for all  $n > 1$
- ▶  $2n + 1 > 2n$ , so  $\frac{1}{2n+1} < \frac{1}{2n}$  for all  $n > 0$
- ▶ Thus  $\frac{n^2 + 5 \log n}{2n + 1} \leq \frac{n^2 + 5n^2}{2n} = 3n$  for all  $n > 1$ .
- ▶ So, with  $c = 3$  and  $n_0 = 1$  we have  $f(n) < c.n$

# Some Proofs for Big Oh

## Exercise 3

Let  $f(n) = n^k$ , and  $m > k$ , then  $f(n) = O(n^{m-\epsilon})$ , where  $\epsilon > 0$

## Solution

- ▶ Set  $\epsilon = (m - k)/2$ , so  $m - \epsilon = (m + k)/2 > k$ .
- ▶ Hence,  $n^{(m-\epsilon)}$  dominates  $n^k$ .

# Some Proofs for Big Oh

## Exercise 4

Let  $f(n) = n^k$ , and  $m < k$ , then  $f(n) = \Omega(n^{m+\epsilon})^a$ , where  $\epsilon > 0$

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<sup>a</sup> $\Omega$  not defined yet

## Solution

- ▶ Set  $\epsilon = (k - m)/2$ , so  $m + \epsilon = (m + k)/2 < k$ .
- ▶ Hence,  $n^{(m+\epsilon)}$  is dominated by  $n^k$ .



# Some Proofs for Big Oh

## Exercise 5

Show  $f(n) = n^k$  is of  $O(n^{\log \log n})$  for any constant  $k > 0$

## Solution

- ▶  $n^k < n^{\log \log n}$  iff  $k < \log \log n$ , i.e.,  $n > 2^{2^k}$ .
- ▶ Setting  $n_0 = 2^{2^k}$ , we have  $n^k = O(n^{\log \log n})$ .

# Computing Big Oh of Programs

- ▶ Single loops: **for**, **while**, **do-while**, **repeat until**
  - Number of operations is equal to number of iterations times the operations in each statement inside loop.
- ▶ Nested loops:
  - Number of statements in all loops times the product of the loop sizes.
- ▶ Consecutive statements:
  - Use addition rule:  $O(f(n)) + O(g(n)) = \max(g(n), f(n))$
- ▶ Conditional statement:
  - Number of operations is equal to running time of conditional evaluation and the maximum of running time of **if** and **else** clauses.

# Computing Big Oh of Programs

- ▶ **Switch** statements:
  - Take the complexity of the most expensive case (with the highest number of operations).
- ▶ **Function** calls:
  - First, evaluate the complexity of the method being called.
- ▶ **Recursive** calls:
  - Write down recurrence relation of running time.
  - Solution mostly possible by observing pattern of growth and prove the same on the basis of induction from the base case.
  - For divide and conquer algorithms Master Theorem can be used.

# Analysis of for Loops

```
for ( $i = 0$ ;  $i < n$ ;  $i++$ )  
     $a[i] = 0$ ;  
    for ( $j = 0$ ;  $j < n$ ;  $j++$ ) {  
         $sum = i + j$ ;  
         $size++$ ;  
    }
```

- ▶ First for loop:  $n$  times
- ▶ Nested for loops:  $n^2$  times
- ▶ Total:  $O(n + n^2) = O(n^2)$

# Switch Case Statement

```
1  char key;
2  int X[5], Y[5][5], i, j;
5  ...
6  switch(key) {
7      case 'a' :
8          for (i = 0; i < sizeof(X)/sizeof(X[0]); i++)
9              sum = sum + X[i];                => O(n)
10             break;
11     case 'b' :
12         for (i = 0; i < sizeof(Y)/sizeof(Y[0]); i++)
13             for (j = 0; j < sizeof(Y[0])/sizeof(Y[0][0]); j++)
14                 sum = sum + Y[i][j];          => O(n2)
15         break;
16 } // End of switch block
```

► So using switch statement rule:  $O(n^2)$

# for & if else

```
1  char key;
2  int A[5][5], B[5][5], C[5][5];
3  ...
4  if(key == '+') {
5      for(i = 0; i < n; i++)
6          for(j = 0; j < n; j++)
7              C[i][j] = A[i][j] + B[i][j];
8  } // End of if block           =>  $O(n^2)$ 
9  else if(key == 'x')
10     C = matrixMult(A, B);      =>  $O(n^3)$ 
11  else
12     printf("Error! Enter '+' or 'x'! :"); =>  $O(1)$ 
```

► Overall complexity is:  $O(n^3)$ .

# Exponential Algorithm are Expensive

## Exercise 6

Let us first prove  $n^k = O(b^n)$  whenever  $0 < k \leq c$ ,

## Solution

$$\lim \frac{n^k}{b^n} = \lim \frac{kn^{k-1}}{\ln b \cdot b^n} \text{ (set } b^n = e^{n \ln b})$$

- ▶ The numerator's exponent decremented after each application of L Hospital's rule.
- ▶ So,  $b^n$  dominates  $n^k$  for any finite  $k$ .

# Big Oh for Recursive Algorithms

```
procedure T( $n$ : size of the problem) {  
    if ( $n < 1$ )  
        exit()  
    Do work of amount  $n^k$   
  
    T( $n/b$ ) // Repeat for  $a$  times  
    T( $n/b$ )  
    ...  
    T( $n/b$ )  
}
```

- ▶ The original problem is recursively divided into  $a$  subproblems of  $n/b$ .
- ▶ In each recursive call  $O(n^k)$  work is done.



## Master Theorem

- ▶ The expression for time complexity is

$$T(n) = aT(n/b) + O(n^k), \text{ where } a > 0, b > 1 \text{ and } k \geq 0$$

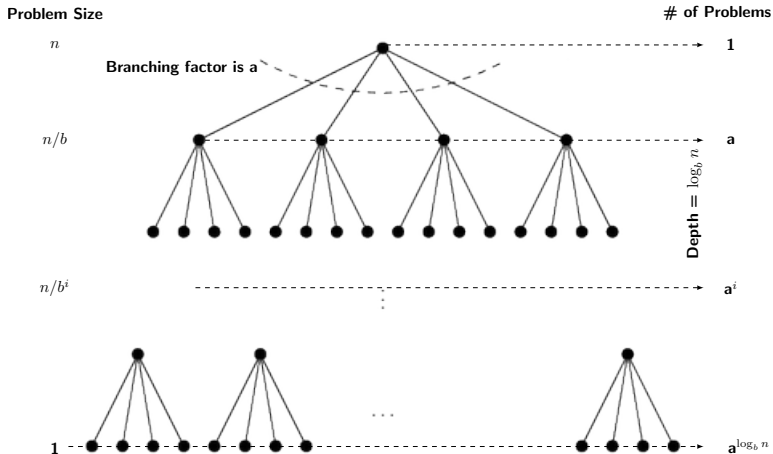
- ▶ The time complexity for recursive algorithms is given by:

$$T(n) = \begin{cases} O(n^k) & \text{if } a < b^k \\ O(n^k \log n) & \text{if } a = b^k \\ O(n^{\log_b a}) & \text{if } a > b^k \end{cases}$$

# Recursion Tree

- ▶ Before solving, let us take a look at recursion tree.
- ▶  $n$  is assumed to be a power of  $b$ , if not pad  $n$  to be larger.
- ▶ It requires more than  $b$  to be added to  $n$ .
- ▶ At level 0, when we start the problem size is  $n$ .
- ▶ At level 1, we have  $a$  problems of size  $n/b$  each.
- ▶ In general, at level  $i$ , we have  $a^i$  problems of size  $n/b^i$  each.

# Recursion Tree



# Solution of Master's Theorem

- First let us unfold the recurrence relation:

$$\begin{aligned}T(n) &= aT\left(\frac{n}{b}\right) + n^k \\&= a\left(aT\left(\frac{n}{b^2}\right) + \frac{n^k}{b^k}\right) + n^k \\&\vdots \\&= n^k + \frac{a}{b^k}n^k + \frac{a^2}{(b^k)^2}n^k + \cdots + \frac{a^L}{(b^k)^L}n^k \\&= n^k\left(1 + \frac{a}{b^k} + \left(\frac{a}{b^k}\right)^2 + \left(\frac{a}{b^k}\right)^3 + \cdots + \left(\frac{a}{b^k}\right)^L\right)\end{aligned}$$

- Here,  $L = \log_b n$ .

# Solution of Master's Theorem: Case I

- ▶ The expression within brackets is a GP, of the form

$$1 + r + r^2 + r^3 + \dots + r^L, \text{ where } r = \frac{a}{b^k} \text{ and } L = \log_b n$$

- ▶ In this case  $a < b^k$ ,  $r = \frac{a}{b^k} < 1$
- ▶ Therefore, the first term dominates the running time.
- ▶ In other words, the level 0 of the recursion dominates the runtime.
- ▶ Hence, the solution in this case will be  $O(n^k)$ .

# Solution of Master's Theorem: Case II

- ▶ In this case  $a = b^k$ , or  $r = 1$  in the expression for the running time.
- ▶ In this case, equal work ( $=n^k$ ) is done at every level of the recursion.
- ▶ Since depth of recursion is  $1 + \log n$ , the running time in this case is  $O(n^k \log n)$ .

# Solution of Master's Theorem: Case III

- ▶ Here,  $a > b^k$ , which implies  $\frac{a}{b^k} > 1$ .
- ▶ This means the last term in the sum dominates the runtime.
- ▶ So, the runtime should be  $O(n^k (\frac{a}{b^k})^L) = O(a^L)$ , as  $(b^k)^L = (b^L)^k = n^k$
- ▶ Now replace  $L$  by  $\log_b n$  to get  $O(a^{\log_b n})$
- ▶  $a^L = (b^{\log_b a})^{\log_b n} = (b^{\log_b n})^{\log_b a} = n^{\log_b a}$ .