

# ACCELERATED NATURAL LANGUAGE PROCESSING

# Assignment 1

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## ANLP Assignment 1

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#### 1 Introduction

The main scope of this assignment was to build trigram character models, and use them to produce random output or analyze text perplexity. This report details the methodology followed to achieve these aims, and includes a discussion of the results obtained throughout the process.

# 2 Preprocessing Input File - Question 1

#### 2.1 Code

The code in Listing 1 demonstrates the methodology used to preprocess input lines.

Listing 1: Line Preprocessing

```
def isEngAlpha(character):
#checks if character is specifically english
        if (character >= 'a' and character <= 'z'):</pre>
                 return True
        elif (character >= 'A' and character <= 'Z'):</pre>
                 return True
        else:
                return False
def preprocess_line(line):
#Preprocessing of an input line
        character_list = list()
        for character in line:
                 if (isEngAlpha(character)): \#english checker
                         character_list.append(character.lower())
                 elif (character.isspace() or character == "."):
                         character_list.append(character) #keep ' ', '.'
                 elif (character.isdigit()):
                         \# convert digits \{0-9\} to 0
                         character_list.append('0')
        line = "".join(character_list).rstrip('\n') # remove newline
        line = '#'+line+'#' #adds sentence start/end markers
        return line
```

# 2.2 Additional Steps in Preprocessing

Besides removing the illegal characters from each input line as per the specification, a '#' character has been added to the beginning and ending of each line. This preprocessing step has been adopted in order to also gather trigrams using the beginning/ending (represented as '#'s) of lines as input characters. This way, information on sentence starts/ends is not lost. Otherwise, the system would only have been able to begin estimating trigrams from the third character onwards, for every line.

Additionally, the newline character '\n' at the end of each line has also been removed,

to allow for a smooth flow between lines when building n-gram models, without the need for catering for the extra '\n'.

#### 2.3 Sample Input and Output

A sample input/output pair from the preprocessing function is provided below:

Sample Input: Reanudación del período de sesiones Sample Output: #reanudacin del perodo de sesiones#

# 3 Determining the Given Model's Estimation Method - Question 2

# 4 Trigram Character Language Model - Question 3

#### 4.1 Method used to estimate the probabilities of the trigram

- 1. Collected the trigram counts for each of the three character sequence in the training document. This was stored in a python dictionary called tri\_counts.
- 2. Collected the bigram counts for each of the two character sequence in the training document. This was stored in a python dictionary called bi\_counts.
- 3. The trigram probabilities were estimated by referencing the tri\_counts for each trigram  $(c_1, c_2, c_3)$  and dividing it by the bi\_counts of the bigram beginning with the first two characters of the trigram  $(c_1, c_2)$ , where  $c_1, c_2, c_3 \in \{, ., 0, \#, a, b, c, d, e, f, g, h, i, k, l, m, n, o, p, q, r, s, t, u, v, w, x, y, z\}$

The equation used to estimate the trigram probabilities is stated in Equation 1:

$$P(trigram = c_1 \ c_2 \ c_3 | c_1 \ c_2) = \frac{count(c_1 \ c_2 \ c_3)}{count(c_1 \ c_2)}$$
(1)

 $c_1, c_2, c_3$  refer to the first, second and third character in the trigram sequence.

# 4.2 Simplifying assumptions made

We have extended our model to be able estimate the probabilities of unseen trigrams in the training set. For this we have implemented add one smoothing, add alpha smoothing and smoothing by interpolation. We ultimately decide to use the add one smoothing technique in our language model, because it provided the best results in terms of complexity and results.

# 4.3 n-grams with two character history 'ng'

#### 4.3.1 Expectation

It is expected that the summation of all the probabilities of n-grams with two character history 'ng' will be equal to 1. This is because in a trigram starting with ng the next character has to be a character  $\in \{.., 0, \#, a, b, c, d, e, f, g, h, i, k, l, m, n, o, p, q, r, s, t, u, v, w, x, y, z\}$ . This

is because the data set has been preprocessed to only have these characters. Hence, when we sum all the probabilities of trigrams beginning with 'ng' conditioned on the occurrence of 'ng', the summation is bound to equal 1.

#### 4.3.2 Results

Table 1: n-grams and their probability with the two-character history 'ng'

	T	
n-gram	Probability	
ng	0.7874213836477988	
ng.	0.026415094339622643	
ng0	0.0012578616352201257	
ng#	0.0025157232704402514	
nga	0.0037735849056603774	
ngb	0.0012578616352201257	
ngc	0.0012578616352201257	
ngd	0.005031446540880503	
nge	0.08553459119496855	
ngf	0.0025157232704402514	
ngg	0.0012578616352201257	
ngh	0.0012578616352201257	
ngi	0.0025157232704402514	
ngj	0.0012578616352201257	
ngk	0.0012578616352201257	
ngl	0.0037735849056603774	
ngm	0.0012578616352201257	
ngn	0.0025157232704402514	
ngo	0.007547169811320755	
ngp	0.0012578616352201257	
ngq	0.0012578616352201257	
ngr	0.012578616352201259	
ngs	0.021383647798742137	

ngt	0.013836477987421384
ngu	0.0037735849056603774
ngv	0.0012578616352201257
ngw	0.0012578616352201257
ngx	0.0012578616352201257
ngy	0.0012578616352201257
ngz	0.0012578616352201257
Summation of all the probabilities	1.0

#### 4.3.3 Conclusion

The results match the expectation.

# 5 Random Sequence Generation - Question 4

Random generation from the trigram model is a 'raw' application of the model, where the model's probabilities are translated directly into text. This output should represent the type of text the model is trained on, and will take on the features represented within the model's training source. This section provides a brief analysis of how random generation is carried out on the model, and discusses the differences between the outputs of the given model and the model estimated on the English training data.

# 5.1 Random Generation Algorithm

To actually generate the sequence, the model must select which character to output given the previous two character sequence (bigram). The model contains the probability of each possible character which can come after the particular bigram. Thus, the model simply needs to randomly sample from this distribution and output the result to produce the next character in the sequence. To do this, a probability binning system as in Figure 1 was put in place.

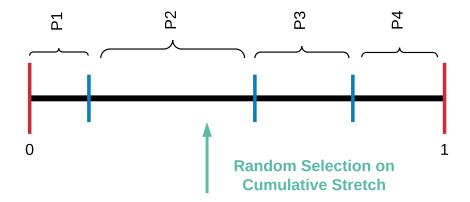


Figure 1: Random selection from a probability distribution

Essentially, each probability in the distribution was put into bins corresponding to their size (as with P1,P2,P3 & P4 in Figure 1). With this setup in place, a random number was generated from the uniform continuous distribution, and the probability bin to which this number corresponded was selected as the next character in the sequence. This system self-propagates itself; each new character produces a new biagram on which to base the next random generation. The first bigram was set to always be a '#' followed by a random character.

Whenever a '#' is generated, this signifies a line break (as explained in the answer to Question 1). Thus, the system was set to automatically add another '#' when this occurs, signifying both the end of a line and the start of a new one. The next output character in the sequence will then be based on a '##' bigram. This system works for both the Europarl and given model. The extra '#' is of course not included in the count of random characters.

The random generation process has also been summarised in pseudocode, as shown in Algorithm 1.

#### Algorithm 1 Random Generation

```
1: procedure GENERATE_FROM_LM(Num_Chars, Model, Valid_Char_List)
 2:
        sequence = []
 3:
       bigram\_in \leftarrow `\#' + random(Valid\_Char\_List)
       chars\_left \leftarrow Num\_Chars - 1
 4:
 5:
    loop:
       if chars\_left > 0 then
 6:
            if bigram\_in[1] == '\#' and bigram\_in[0] != '\#' then
 7:
 8:
                sequence \leftarrow sequence + '\#'
            else
 9:
               if bigram_in == '\#\#' then
10:
                   possible\_tris \leftarrow [bigram\_in + Valid\_Char\_List[excluding `#']].
11:
               else
12:
                   possible\_tris \leftarrow [bigram\_in + Valid\_Char\_List].
13:
               distribution \leftarrow model[possible\_tris].
14:
               bins \leftarrow cumulative\_sum(distribution)
15:
               distribution\_pos \leftarrow random\_bin\_select(bins)
16:
               new\_sequence \leftarrow pos\_tris[distribution\_pos]
17:
               bigram\_in \leftarrow new\_sequence[0:1]
18:
               sequence \leftarrow sequence + new\_sequence[2]
19:
               chars\_left \leftarrow chars\_left - 1
20:
21:
            goto loop.
22:
       return sequence
```

### 5.2 Sample Outputs

When generating 300 sample characters of output from both models, two sample outputs obtained are shown below (NL signifies a new line, all '#'s have been removed):

Generated from the Add-One-Smoothing Model trained on 'training.en':

th thesion an lk.ltqnqake shou hatin action the we mad on laticlasted betterevicand theqqltese the tooving miss  $ted_{NL}$ 

 $welv0comh.x.gfunalwcnme\ counat\ implound\ thinitate\ trat\ to\ shose\ und\ be\ cof\ wo\ st\ pe\ of\ goin\ inagend\ thas\ new\ orwgoodur$ 

Generated from the given model, 'model-br.en':

```
me it you ch ons.NL
the get doggir.NL
mor hose.NL
whaskis it.NL
ok.NL
theres so thes doings.NL
okay.NL
```

```
what thet doin.NL
them sho some right.NL
oks.NL
he ill one.NL
wast wally not put want there buchats.NL
ye.NL
righ thesnt.NL
hos tope.NL
his dog.NL
im his truseen ther.NL
low yout apeer a toor.NL
yeah.NL
spee to tin you dog this backlif
```

#### 5.3 Comments

- The given model has line breaks after nearly every full stop. The trained model places line breaks after full stops much less. This is possibly caused by the small size of the test data used. The Europarl model has not prioritized new lines after full stops enough, even though most line breaks come after full stops in the training data.
- The given model produces much more new lines than the trained model. The Europarl model has been trained on a corpus containing many long sentences, which explains why its output contains many long sentences in turn. For the given model, this could possibly signify the model was training on data from some poem or text containing many short sentences.
- The given model actually produces some coherent words, whilst the Europarl model produces many more jumbled up words. Again, this could be a consequence of the small size of the data used. Interestingly, the given model contains many words such as 'toy', 'dog', 'pup', 'boy' or 'daddy'. This again points to the training text for this model being based on some kid-friendly text, possibly with very few sentences to make reading easier. Of course, this could also be some form of dialogue between a child and his parents. The Europarl model occasionally produces words such as 'important' which appear frequently within the text.

# 6 Perplexity Computation - Question 5

Perplexity is a measure of how well a model can predict the characters within a text corpus. Essentially, it shows how well a text file's contents are related to the probabilities stored within a model. This section provides an analysis of the different languages model, and how it fares against familiar and unfamiliar types of inputs.

# 6.1 Perplexity Computation

The general equation for perplexity  $(PP_M)$  computation is shown in Equation 2.

$$PP_M = P_M (c_1 .... c_n)^{-\frac{1}{n}} \tag{2}$$

Where  $P_M(...)$  is the product of the probability of each character( $c_i$ ) in an input text, and n is the total number of characters in the input. Using direct probabilities, however, will result in a number which is too small for proper representation. Thus, a log system was used by converting the perplexity measure into a log perplexity measure as follows:

$$log(PP_{M}) = log(P_{M}(c_{1}...c_{n})^{-\frac{1}{n}})$$

$$log(PP_{M}) = -\frac{1}{n} \times log(P_{M}(c_{1}...c_{n}))$$

$$log(PP_{M}) = -\frac{1}{n} \sum_{i=1}^{n} (log(P_{M}(c_{1})) + ... + log(P_{M}(c_{n})))$$
(3)

The result, Equation 3, can be easily converted back to the normal perplexity measure by taking the antilog of its value. This system allows one to compute the perplexity without running into any numerical representation issues. This system was implemented as a standalone function, along with a number of checks to deal with the double '#' preprocessing and other inadmissible conditions.

## 6.2 Testing the Language Models

The test document provided acts as being an 'unseen' document, and should act as a good validation of the expected result for each language model, at least with an English text input. Each language model with Add-One Smoothing was used to calculate the perplexity of the test document, with the results shown in Table 2 (the model file result has been added for comparison).

Language Model	Perplexity (4 decimal places)
English	8.8696
German	22.9270
Spanish	22.5249
Given	22.0930

Table 2: Perplexity results on the English test file

Looking at these results, it is clear that from the three language models, the English model has found the test document to be the least perplex, and by a large margin ( $\sim$ 13.5). This should mean that, by analyzing a document with all three of the models, one should be able to easily infer the language of the test document by finding the outlier (and much lower) perplexity value. Further perplexity tests were carried out on a Spanish [2] and German document [2], the results of which are shown in Table 3.

Table 3: Perplexity results on Spanish and German test files

Language Model	Perplexity (4 decimal places)	
	Spanish File	German File
English	22.6965	20.6750
German	29.3398	8.6951
Spanish	11.3395	27.9311
Given	51.9747	45.1458

Again, the perplexity results show a clear indication towards the correct document language, with the margin of perplexity difference between the correct language and other languages being at least 11.

#### 6.3 Document Language Determination

Whilst using all three models in tandem results in a good language classifier, using just one model for classification does not, even just for detecting the model's trained language. This is easy to confirm using just the two English models - the given model and the Europarl English model.

Looking back at tables 2 and 3, the given and Europarl model perplexity results vary considerably. In Table 2, the Europarl model reports back a perplexity of  $\sim 8$  whilst the given model reports a perplexity of  $\sim 22$ . Taking the Europarl model itself, one might think that it should be obvious that the test document is written in English. However, had one used just the given model, one would think twice before deciding on the language! It is entirely possible that the Europarl model could assign such a high perplexity to an English document too, if the document is written in a format very different (e.g. with very short sentences or with many slang words) to the one it was trained on.

It is clear that using just one model in isolation is not enough to determine the language of a document. One would need models of all the other possible languages the document could be in, in order to correctly deduce the document language. Furthermore, each language model should ideally be trained on the same style and format of corpus (or a translation of the same corpus) in order to make sure that the only difference between models is in the language, and not in formatting or context.

# 6.4 Effects of Smoothing on Perplexity

Two other methods of smoothing were implemented - Add Alpha Smoothing and Interpolation Smoothing.

- 7 Identifying Document Type Question 6
- 8 Conclusions
- 9 References
- [1] Friends Script Spanish Translations: https://www.friendspeich.com/guiones01.php
- [2] German Text Source: https://lingua.com/german/reading/