#### Homework 1 - Basic Convolution

#### 1 Convolution (2 points)

Recall the definition of convolution,

$$g = I \otimes f \tag{1}$$

where I and f represents the image and kernel respectively.

Typically, when kernel f is a 1-D vector, we get

$$g(i) = \sum_{m} I(i-m)f(m) \tag{2}$$

where i is the index in the 1-D dimension.

If the kernel f is a 2-D kernel, we have

$$g(i,j) = \sum_{m,n} I(i-m, j-n) f(m,n)$$
 (3)

where i and j are the row and column indices respectively.

In this section, you need to perform the convolution **by hand**, get familiar with convolution in both 1-D and 2-D as well as its corresponding properties.

*Note:* All convolution operations in this section follow except additional notifications: 1. Zero-Padding, 2. Same Output Size, 3. An addition or multiplication with 0 will count as one operation.

For this problem, we will use the following  $3 \times 3$  image:

$$I = \begin{bmatrix} 0.0 & 1.0 & -1.0 \\ 2.0 & 1.0 & 0.0 \\ 0.0 & 3.0 & -1.0 \end{bmatrix}$$
 (4)

You are given two 1-D vectors for convolution:

$$f_x = \begin{bmatrix} -1.0 & 0.0 & 1.0 \end{bmatrix} \tag{5}$$

$$f_y = \begin{bmatrix} 1.0 & 1.0 & 1.0 \end{bmatrix}^T$$
 (6)

Let  $g_1 = I \otimes f_x \otimes f_y$ ,  $f_{xy} = f_x \otimes f_y$  and  $g_2 = I \otimes f_{xy}$ .

*Note:*  $f_{xy}$  should be of full output size.

- Question 1.1: Compute  $g_1$  and  $g_2$  (At least show two steps for each convolution operation and intermediate results), and verify the associative property of convolution.
- Question 1.2: How many operations are required for computing  $g_1$  and  $g_2$  respectively? addition and multiplication times in your result.
- Question 1.3: What does convolution do to this image?

### 2 Kernel Estimation (2 points)

Recall the special case of convolution discussed in class: The Impulse function. Using an impulse function, it is possible to 'shift' (and sometimes also 'scale') an image in a particular direction.

For example, when the following image

$$I = \begin{bmatrix} a & b & c \\ d & e & f \\ g & h & i \end{bmatrix} \tag{7}$$

is convolved with the kernel,

$$f = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \tag{8}$$

it results in the output:

$$g = \begin{bmatrix} e & f & 0 \\ h & i & 0 \\ 0 & 0 & 0 \end{bmatrix} \tag{9}$$

Another useful trick to keep in mind is the decomposition of a convolution kernel into scaled impulse kernels. For example, a kernel

$$f = \begin{bmatrix} 0 & 0 & 7 \\ 0 & 0 & 0 \\ 0 & 4 & 0 \end{bmatrix} \tag{10}$$

can be decomposed into

$$f_1 = 7 * \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$
 and  $f_2 = 4 * \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix}$ 

• Question: Using the two tricks listed above, estimate the kernel f by hand which when convolved with an image

$$I = \begin{bmatrix} 1 & 5 & 2 \\ 7 & 8 & 6 \\ 3 & 9 & 4 \end{bmatrix} \tag{11}$$

results in the output image

$$g = \begin{bmatrix} 29 & 43 & 10 \\ 62 & 52 & 30 \\ 15 & 45 & 20 \end{bmatrix} \tag{12}$$

Hint: Look at the relationship between corresponding elements in g and I.

#### 3 Edge Moving (2 points)

Object Recognition is one of the most popular applications in Computer Vision. The goal is to identify the object based on a template or a specific pattern of the object that has been learnt from a training dataset. Suppose we have a standard template for a "barrel" which is a  $3 \times 3$  rectangle block in a  $4 \times 4$  image. We also have an input  $4 \times 4$  query image. Now, your task is to verify if the image in question contains a barrel. After preprocessing and feature extraction, the query image is simplified as  $I_Q$  and the barrel template is  $I_T$ .

$$I_Q = \begin{bmatrix} 1 & 1 & 1 & 0 \\ 1 & 1 & 1 & 0 \\ 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}, I_T = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \end{bmatrix}$$

Instinctively, the human eye can automatically detect a potential barrel in the top left corner of the query image but a computer can't do that right away. Basically, if the computer finds that the difference between query image's features and the template's features are minute, it will prompt with high confidence: 'Aha! I have found a barrel in the image'. However, in our circumstance, if we directly compute the pixel wise distance D between  $I_Q$  and  $I_T$  where

$$D(I_Q, I_T) = \sum_{i,j} (I_Q(i,j) - I_T(i,j))^2$$
(13)

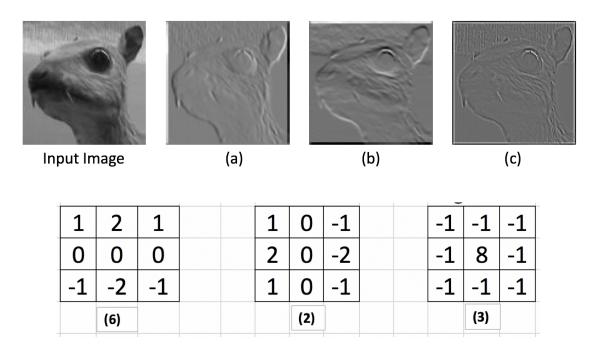
we get D = 10 which implies that there's a huge difference between the query image and our template. To fix this problem, we can utilize the power of the convolution. Let's define the 'mean shape' image  $I_M$  which is the blurred version of  $I_Q$  and  $I_T$ .

$$I_M = \begin{bmatrix} 0.25 & 0.5 & 0.5 & 0.25 \\ 0.5 & 1 & 1 & 0.5 \\ 0.5 & 1 & 1 & 0.5 \\ 0.25 & 0.5 & 0.5 & 0.25 \end{bmatrix}$$

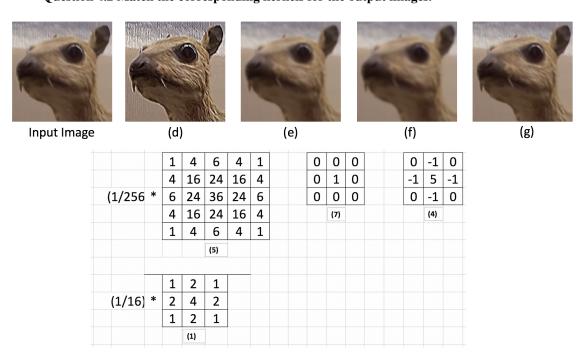
- Question 3.1: Compute two  $3 \times 3$  convolution kernels  $f_1$ ,  $f_2$  by hand such that  $I_Q \otimes f_1 = I_M$  and  $I_T \otimes f_2 = I_M$  where  $\otimes$  denotes the convolution operation. (Assume zero-padding)
- Question 3.2: For a convolution kernel  $f=(f_1+f_2)/2$ , we define  $I_Q'=I_Q\otimes f$  and  $I_T'=I_T\otimes f$ . Compute  $I_Q',I_T'$  and  $D(I_Q',I_T')$  by hand. Compare it with  $D(I_Q,I_T)$  and briefly explain what you find.

# 4 Match the Kernels (2 points)

• Question 4.1 Match the corresponding kernels for the output images.



• Question 4.2 Match the corresponding kernels for the output images.



## 5 Boundary Conditions (2 points)

For this problem, we will use the following  $3 \times 3$  image:

$$I = \begin{bmatrix} 1 & 1 & 1 \\ 1 & 3 & 2 \\ 1 & 1 & 1 \end{bmatrix} \tag{14}$$

You are given 2-D convolution filter:

$$f = \frac{1}{9} \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix} \tag{15}$$

Let  $g = I \underbrace{\otimes f \cdots \otimes f}_{\text{infinity times}}$ . The output image g has the same size as I.

• Question 5.1: When zero-padding is used, what's the output image g. (Give the verification process)