

# SEARCH ALGORITHMS IN AI

## Search Algorithms

### Uninformed Search

Breadth-first Search (BFS)

Uniform-cost Search (UCS)

Depth-first Search (DFS)

Depth-limited Search (DLS)

Iterative Deeping Depth-first Search (IDV)

### Informed Search

Best-first Search

Greedy Best-first Search

A\* Search

### Local Search

Hill-Climbing

Simulated Annealing

Local Beam

Stochastic Beam Search

Genetic Algorithms

## SEARCH PROBLEM

### Initial State

- State is a representation of the problem

### Successor Function (possible actions)

- State X, by action A, goes to state Y (step)
- Implicitly defines the possible **state space**
- **Path**, sequence of states, created by actions

### Objective Test (goal test)

- Checks if a **state** is **final** (objective state)

### Cost Function

- $c(X,A,Y) \rightarrow$  **cost** of the step of executing A, from state X to Y.
- Path cost  $\rightarrow$  sum of the cost of steps

### Solving a search problem

- **Path** from the **initial** state to a **final** state (goal state)
- Optimal solution  $\rightarrow$  has the least cost path

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A\* Search

G  $\rightarrow$  Greedy Best-First

A  $\rightarrow$  A\*

B  $\rightarrow$  Best First

B  $\rightarrow$  Breadth First

U  $\rightarrow$  Uniform Cost

D  $\rightarrow$  Depth First

D  $\rightarrow$  Depth Limited

I  $\rightarrow$  Iterative Deeping  
Depth-First

### Local Search

Hill-Climbing

Simulated Annealing

Local Beam

Stochastic Beam Search

Genetic Algorithms

G  $\rightarrow$  Genetic Alg.

H  $\rightarrow$  Hill Climbing

S  $\rightarrow$  Simulated  
Annealing

S  $\rightarrow$  Stochastic Beam

L  $\rightarrow$  Local Beam

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 $\hookrightarrow$  olası durum uzayını dolaylı olarak tanımlar
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### Solving a search problem

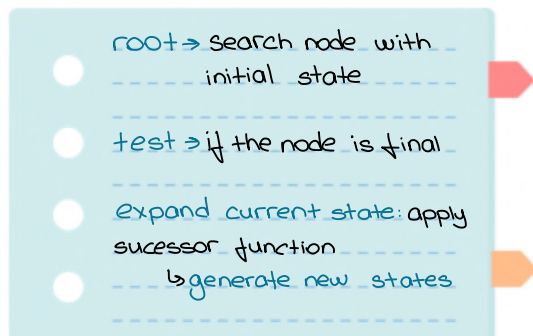
- **Path** from the **initial** state to a **final** state (goal state)
- **Optimal solution**  $\rightarrow$  has the least cost path

- **initial state**  $\rightarrow$  where to begin
- **Path**  $\rightarrow$  sequence of states (by actions)
- **Path cost**  $\rightarrow$  sum of the cost of space
- **Goal test**  $\rightarrow$  check if the state is final
- **Optimal solution**  $\rightarrow$  has the least cost path

## FIND SOLUTION – SEARCH TREE

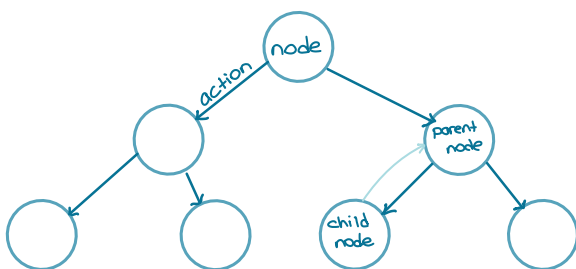
Generated from initial state and <sup>→ possible actions</sup> successors function

- **Root** is the search node with the initial state
- **Test** if this node is final (if yes, found the solution)
- **Expand** current state: apply successor function → generate new states (new search nodes)
- From all the new states (the ones that were generated) **choose** one of them – **search strategy** – and repeat the process (Test, expand, generate, choose)



Representation of the **search node**

- The **state** (of the state space) associated with it
- The **Parent node**
- The **action** that was applied to the parent to generate it
- The **cost of path** from the initial state (root) –  $g(n)$
- The **depth** (number of steps from the root)



Set of generated and not yet expanded nodes – **fringe**

- New nodes and are in the tree leaves <sup>not expanded yet</sup>
- Stored in a queue (queue type → search strategies)

## FIND SOLUTION – PERFORMANCE

It is necessary to measure the performance of the search algorithm in solving problems

- **Complete:** If the solution is exists, it is found
- **Optimal:** Ensures that it finds the optimal solutions
- **Complexity** in terms of **time** → by the number of nodes generated
- **Complexity** in terms of **space** → by the number of nodes stored in

Complexity is expressed by 3 values

- **b**, branching factor
- **d**, depth of the smallest objective node
- **m**, maximum length of any path

Complexity is measured

- **Time**, by the number of nodes generated
- **Space**, by the number of nodes stored in

complete → if the solution is exists  
 optimal → find the optimal solution  
 complexity (time) → by the number of nodes generated  
 complexity (space) → by the number of nodes stored in  
 b → max branching factor in a tree  
 d → the depth of the least cost solution  
 m → max depth state space

17:40

# UNINFORMED SEARCH (BLIND SEARCH)

There is **no additional information** about the states of the world beyond that given by the problem definition.

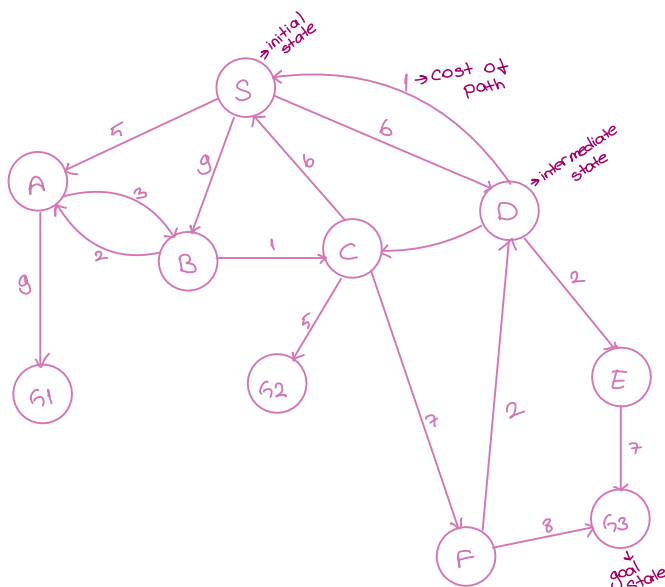
- Avoid repeated states
  - Expand states that were previously expanded search in graphs
  - **Closed list:** stores all nodes already expanded
  - **Open list:** nodes at the fringe of the search tree
  - If the current node is already in the Closed list, it is not expanded.

uninformed search  $\Rightarrow$  no info about the current state to the goal state

open list  $\Rightarrow$  generated but not expanded

closed list  $\Rightarrow$  expanded

## Search Space



# BREADTH-FIRST SEARCH (BFS)

Complete

PROCURA EM LARGURA PRIMEIRO

- All nodes of a given level are expanded before nodes of the next level are expanded.
- Uses a FIFO (queue) strategy for the selection of nodes at the fringe of the search tree.

Complete

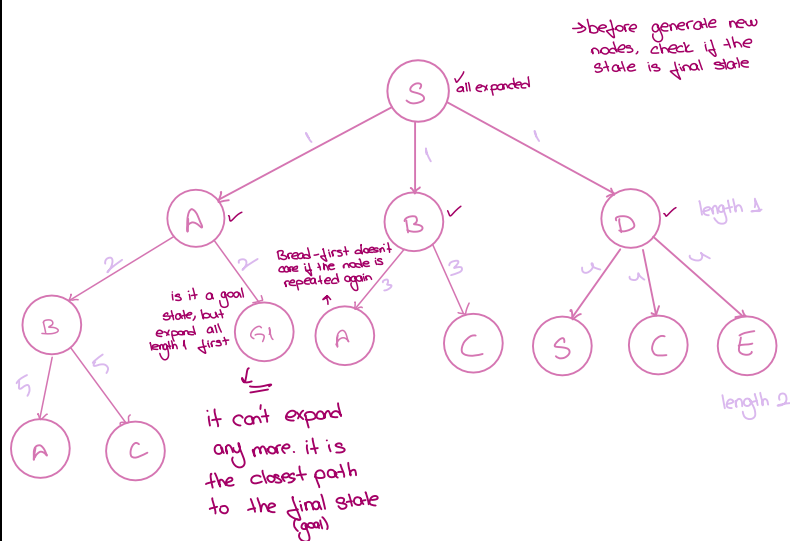
- If the objective but shallow (small) node is at level **d**, it will be found when that level is expanded.  $\rightarrow$  depth of the least cost solution

Excellent

- If the path cost is a non-decreasing function of depth. (for example, all actions have the same cost)
- Required memory is a bigger problem than runtime

Exponential complexity problems cannot be solved by uninformed methods, except for small instances.

$\Rightarrow$  must explore all the paths at level  $n$  before move to level  $(n+1)$ .



final state  $\Rightarrow$  S - A - G1

## UNIFORM COST SEARCH (UCS)

Optimal

PROCURA DE CUSTO UNIFORME

- Expands the node with the lowest path cost.
  - If all steps are of equal cost this method is equal to breadth-first search.

$$F(n) = g(n) \rightarrow \text{gerçekler}$$

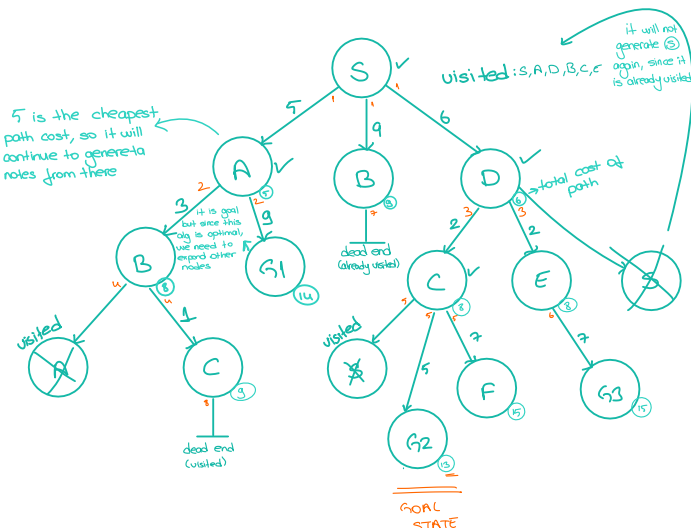
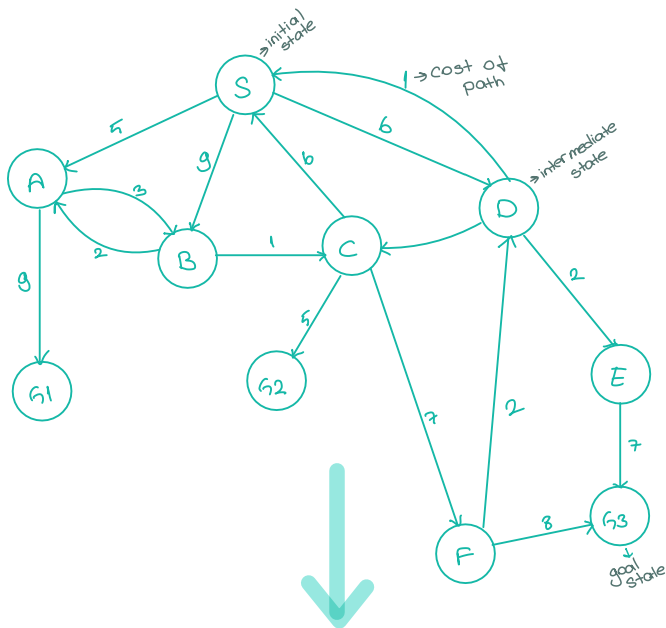
arama kriterini belirleyen fonks.

- This strategy is complete as long as it is guaranteed that the cost of each step is greater than or equal to any small positive constant value.

- This condition is also sufficient to guarantee that the strategy is optimal.

→ it will keep a visited list of all nodes, because no need to visit them again

Search Space



GOAL → S - D - C - G2 (13)

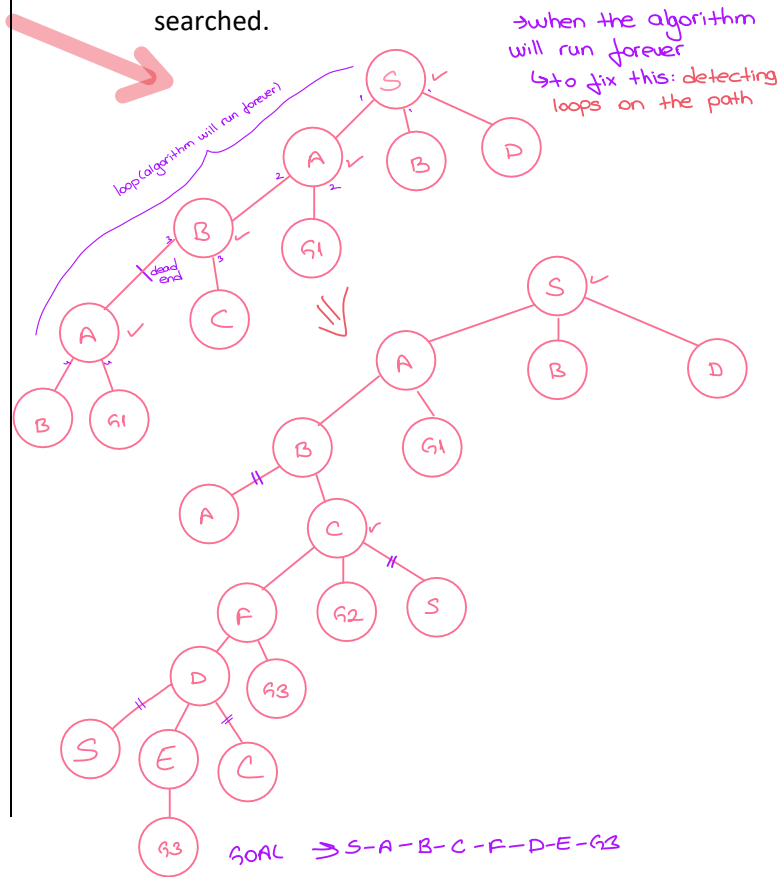
## DEPTH-FIRST SEARCH (DFS)

PROCURA EM PROFUNDIDADE PRIMEIRO

- Expands the deepest node at the fringe of the search tree.
- It uses a LIFO (fstack) strategy for the selection of nodes at the fringe of the search tree.
- Save only expanded nodes between the tree root and a leaf node
  - Path between the root and a leaf node and the nodes not yet expanded.
  - $O(bm)$ ,  $b$  is the branching factor and  $m$  is the maximum depth.
  - Backtracking search, only one successor is expanded at a time,  $O(m)$ .

→ not optimal in terms of cost  
→ not optimal in terms of number of action  
→ use a lot of space in memory

- Not complete
  - Unlimited depth.
- Not great
  - There may be another solution closer to the root, in a subtree that has not yet been searched.

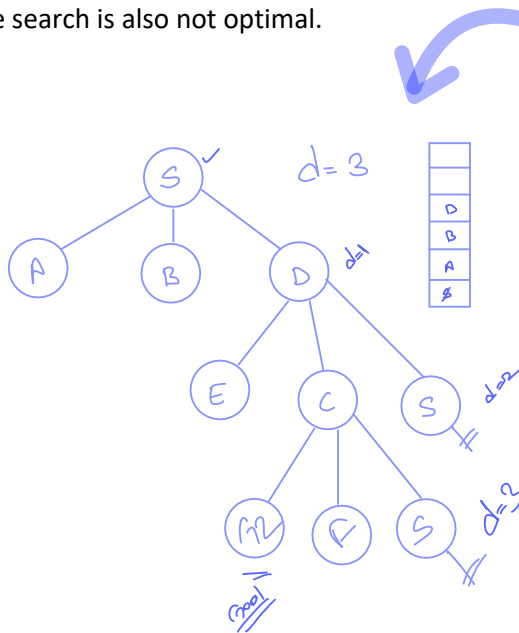


## DEPTH-LIMITED SEARCH

DLS = DFS + limit for the level

PROCURA EM PROFUNDIDADE LIMITADA

- Unlimited depth trees problem
  - Can be solved by considering the depth search first with a pre-established depth limit  $l$ .
  - Nodes at level 1 are treated as having no successors.
  - Solves the problem of unlimited paths.
- This limit adds a new source of incompleteness
  - If  $l < d$ , the shallowest (smallest) objective state is beyond the imposed depth limit (it is antural when  $d$  is not known)
- If  $l > d$  the search is also not optimal.



## ITERATIVE DEEPENING DEPTH-FIRST SEARCH (IDF)

### SEARCH (IDF)

PROCURA EM PROFUNDIDADE PRIMEIRA ITERATIVA

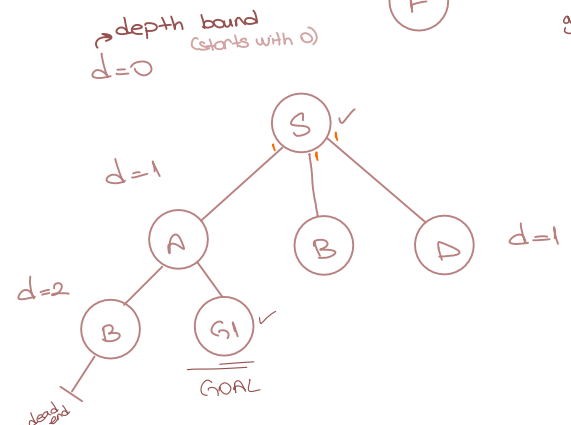
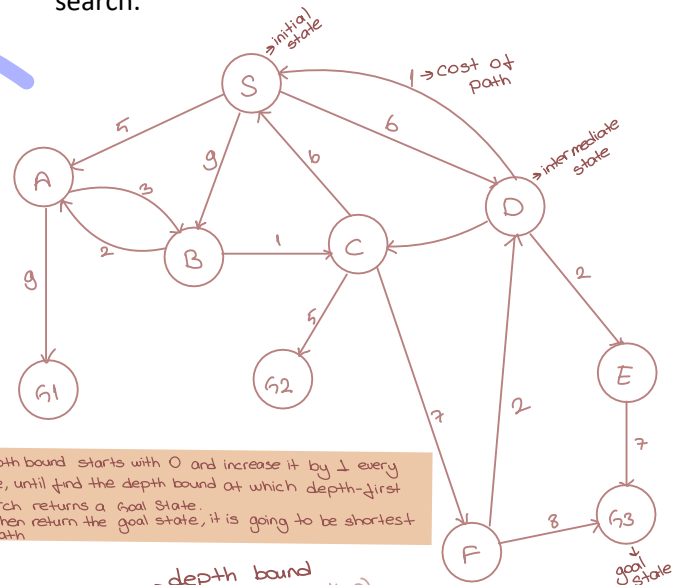
- Apply depth-limited search by gradually increasing the limits (0,1,2,3, ...) until you find the solution.

→ Don't check the loops

- Complete → branching factor
  - When  $b$  is finite.
- Excellent
  - When the path cost is a non-decreasing function of the node depth.

it will run depth-first with a depth bound → when reach to depth-bound it will treat as a dead end

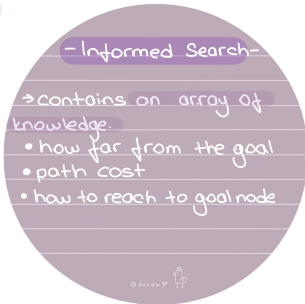
- Memory:  $O(bd)$
- Time: generate fewer nodes than breadth-first search.



it can find a optimal plan sometimes faster than breadth-first search

# INFORMED SEARCH

- Informed search algorithm contains an array of knowledge such as how far from the goal, path cost, how to reach to goal node, etc.
  - This knowledge help agents to explore less to the search space and find more efficiently the goal node.



## HEURISTICS FUNCTION

- It takes the current state of the agent as its unput and produces the estimation of how close agent is from the goal.
- Heuristic function estimates how close a state is to the goal.
- It is represented by  $h(n)$ , and it calculates the cost of an optimal path between the pair of states.
  - The value of the heuristic function is always positive.

$$h(n) \leq h^*(n)$$

heuristic cost      estimated cost

$h(n)$  = heuristic cost

$h^*(n)$  = estimated cost

BEST-FIRST SEARCH → Greedy Search

NOT COMPLETE

PROCURO MELHOR PRIMEIRO

→ sezişeli (heuristic) önemiyloruz ve  
gerçek maliyeti dikkate almayoruz

- It is a node that selected for expansion based on an evaluation function,  $f(n)$ .
- Traditionally the node with the lowest rating is selected to be expanded, because the rating function measures the distance to the target.
- What we do is choose the node that seems to be the best (lowest cost), according to the evaluation function.

arama kriterini belirleyen fonks.

$$f(n) = h(n)$$

↳ heuristic (sezişel)

- An important part of these algorithms is the heuristic function:
  - $h(n)$  = estimated cost of the best path between node  $n$  and the objective node
  - If  $n$  is an objective node then  $h(n) = 0$

→ it always selects the path which appears best at the moment.

→ it is a combination of DFS and BFS

Depth-First Search

→ expands the deepest node at the fringe of the search tree

Breadth-First Search

→ must explore all the paths at level  $n$  before moving

→ it uses the heuristic function  
 $h(n) \leq h^*(n)$

→ it is implemented by priority queue

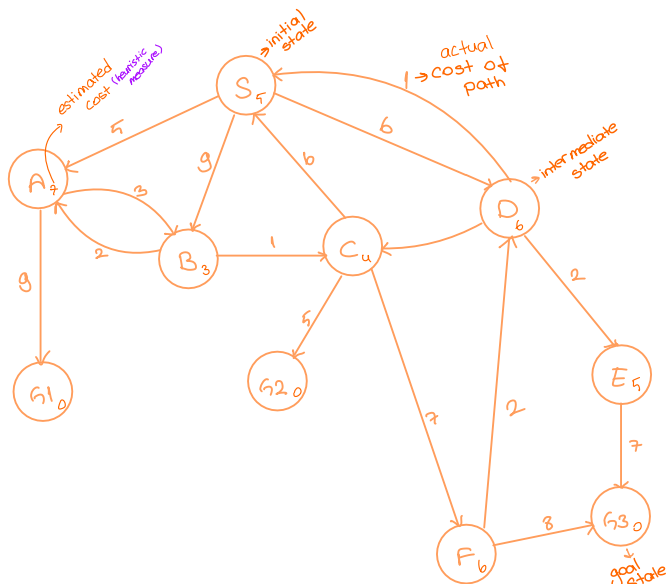


## GREEDY BEST-FIRST SEARCH

PROCURO MELHOR PRIMEIRO GREEDY

- It tries to expand the node that is closest to the goal, based on the assumption that through that node the solution is reached more quickly.
  - $f(n) = h(n)$
- The algorithm is called Greedy because at each step it tries to get as close to the goal as possible.
- This strategy is similar to **depth-first search**, as it prefers to follow a single path to the goal, backtracking when it reaches a terminal node.
  - It is therefore not optimal and incomplete.

greedy version ✓



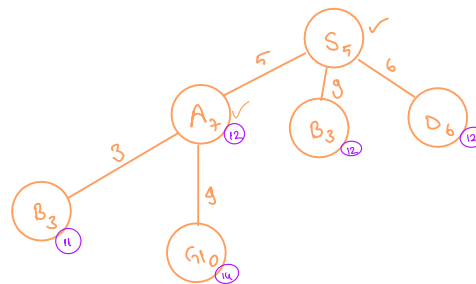
## A\* SEARCH

o düğüme ulaşmanın maliyeti + o düğüme ulaşmaktan hedefe ulaşmanın maliyeti

- Minimize the estimated total cost for the solution nodes are evaluated by combining the cost of getting to that node,  $g(n)$ , and the cost of getting from that node to the goal,  $h(n)$ :
  - $f(n) = g(n) + h(n)$  → gerçek maliyet ile sezgisel maliyet

→ heuristic never overestimate the cost

- The node with the lowest value of  $g(n)(custo) + h(n)(estimativa)$  is chosen, since it has the estimate of the least cost path.
- A\* using **Tree-Search** is **optimal** if  $h(n)$  <sup>kabul edilebilir</sup> **admissible heuristic**
  - That is, since  $h(n)$  never overestimates the cost of reaching the goal.
  - In a city map an admissible heuristic is the distance in a straight line.
- A\* using **Graph-Search** is **optimal** if  $h(n)$  <sup>tutarlı</sup> **consistent heuristic**
  - If for all node  $n$  and all successors  $n'$  of  $n$  generated by an action  $a$ , the estimated cost of reaching the goal from  $n$  is not greater than the step up to  $n'$  plus the estimated cost of  $n'$  to the goal:  $h(n) \leq c(n, a, n') + h(n')$





# LOCAL SEARCH

- In many optimization problems, the path to the goal is irrelevant; the objective state itself is the solution (e.g., n-queens)
- In these cases we can use local search
- Maintains a single “current state”; paths are not are memorized
- In each iteration it seeks to “improve” the current state; useful in optimization
- Typically, a state transitions to “neighboring” states

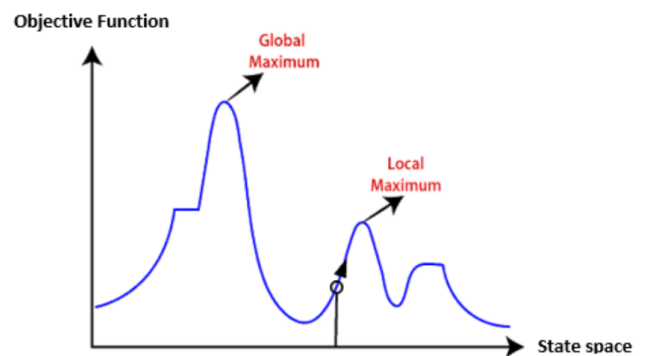
**PROBLEM:** Typically not complete!

## HILL-CLIMBING (GREEDY LOCAL SEARCH)

TREPA COLINAS

- It is a simple cycle that continually moves towards a better value. Ends when no successor has better values.

**PROBLEM:** Depending on the initial state, it may get stuck at a local maximum.



### HILL-CLIMBING VARIANTS

1. **Stochastic Hill-Climbing:** Randomly choose from the best successors
2. **First-choice Hill-Climbing:** Generates the successors randomly until it finds the first one with better values than the current state and that. Is the one that is chosen (it's handy if a state has thousands of possible successors)
3. **Random-restart Hill-Climbing:** Conducts a series of searches from different, randomly generated initial states; stops when the target is reached

## SEARCH SIMULATED ANNEALING

### PROCURA SIMULATED ANNEALING

**Idea:** Escape from local minima allowing “bad” movements to be made, but gradually decreasing their frequency

- Instead of choosing the best successor, choose a successor at random that is typically “accepted” if the situation improves
- Simulated tempera.
- It is possible to prove that if temperature  $T$  decreases slowly enough (as a function of the schedule), then the simulated annealing search will find a global maximum with probability close to 1.

**Metaphor:** Imagine the task of putting a ping-pong ball into the deepest hole in a surface full of holes

- One solution is to let the ball land at a local minimum and then shake the surface to get it out of the local minimum.
- Simulated annealing starts by “waving” a lot at the beginning and then it starts shaking less and less.

## LOCAL BEAM

### PROCURA EM BANDA

- Stores reference to  $k$  states instead of 1
  - Starts with  $k$  randomly generated states
- In each iteration, all successors of the  $k$  states are generated.
- If any is an objective state, stop; otherwise choose the  $k$  best successors and repeat.

**Note that** this algorithm is more than running  $k$  Random-Restart Hill Climblings in parallel!

- Successors from all states do not have to be chosen
- If one state generates several good successors and the other  $k-1$  states do not, the less promising states are abandoned
- However, it can also have problems: there can be little diversity in the  $k$  state.
  - **Stochastic Beam Search:**  $k$  successors are chosen at random.

## GENETIC ALGORITHMS

### ALGORITMOS GENÉTICOS

- Variant of the **Stochastic Beam Search**
- Starts with **k** randomly generated states (**population**) such as in-band search
  - A state is represented as a string over a finite alphabet (usually {0,1})
- The successor state is generated by combining two states (**parents**)
  - Produces the next generation of states by selection, crossover and mutation
  - The **fitness function** gives higher values to the best states

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## SAT AND CSP

They are identical problems

- There is a set of variables
- Constraints between these variables
- Need to find values for variables
  - They do not violate restrictions

### SAT

#### PROPOSITIONAL SOLVENCY PROBLEMS

- Binary variables
- Restrictions; formula for propositional calculus
- Assignment that satisfies the formula

### CSP

- Each variable has a specific domain
- Various type of constraints between variables
- Assign values to variables that satisfy constraints

## SAT - DEFINITION

- Given a formula of propositional calculus
  - Represented by the Boolean function  $F(x)$
- Identify assignments for variables
  - $X^* = \{x^1 = 0, x^2 = 1, \dots\}$
- That satisfy the formula
  - $F(X^*) = 1$
- Or, prove that no assignment satisfies the formula
  - $F(X) = 0$ , for all possible assignments
- Formula represented in **Conjunctive Normal Form (CNF)**
  - Conjunction of disjunctions
 
$$(x \vee y) \wedge (x \vee \neg z \vee \neg y) \wedge (z \vee \neg y)$$
  - Clauses** (disjunctions)
  - Positive and negative **literals** (variables)

Consider the formula;

$$(x \vee y) \wedge (x \vee \neg z) \wedge (z \vee \neg y)$$

- The assignment:  $\{x=0, z=1\}$
- Literals:**
  - True
  - False
  - Free
- Clause**
  - Satisfied:** If at least 1 of your literals is true (3<sup>rd</sup>)
  - Not satisfied:** If all its literals have the value false (2<sup>nd</sup>)
  - Unresolved:** Otherwise (1<sup>st</sup>) (unitary = with 1 free literal)
- Formula**
  - Satisfied:** If all your clauses are satisfied
  - Not satisfied:** If at least one clause is unsatisfied
  - Unresolved:** If any of the clauses are unresolved

$$(x \vee y) \wedge (x \vee \neg z) \wedge (z \vee \neg y)$$

## CSP

### CONSTRAINTS SATISFACTION PROBLEMS

- Each variable has a specific domain
- Various type of constraints between variables
- Assign values to variables that satisfy constraints

A CSP is a set of:

- **Variables:**  $X = \{x_1, \dots, x_n\}$
- The respective **domains:**  $D = \{d_1, \dots, d_n\}$
- **Restrictions:**  $C = \{c_1, \dots, c_m\}$

Every restriction;

- A subset of  $X$
- With the specification of the allowed values
- An **assignment** of values to variables  $\{x_i = v_i, x_j = v_j\}$ 
  - $v_i$  is a value of the domain  $d_i$
  - **Complete:** if all variables have value
  - **Consistent:** if not violating restrictions
  - **Inconsistent:** otherwise
- **Solution** is a complete and consistent assignment

## CSP - RESTRICTIONS

### Cryptarithmic Example

**SEND**  
**+MORE**  
**-----**  
**MONEY**

Replace each letter with a different number so that the sum is correct.

### Definition of the constraint satisfaction problem

- Variables:  $\{S, E, N, D, M, O, R, Y\}$
- All have the same domain:  $\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$

### Restrictions

- S and M cannot be zero:  $\{S \neq 0, M \neq 0\}$  (**unary**)
- The variables are all different: 28 inequality  $x \neq y$  (binary)
- Correct sum:
  - $1000S + 100E + 10N + D + 1000M + 100O + 10R + E = 10000M + 1000O + 100N + 10E + Y$

- Global restriction
  - The previous 28 restrictions can be replaced by **all\_different (S,E,N,D,M,O,R,Y)**
    - All variables are different two by two
  - A global constraint is applied on a sequence of variables
  - Used for efficiency reasons
  - And for reasons of simplicity of the model
- Numerical restrictions
- High level restrictions
  - About complex data structures (list, trees)
  - Meta-constraints, combine constraints (implication)
- The choice of a model influences the resolution of the CSP
- CSPs have a higher expressive power than SAT
  - In SAT we lose structure information

## SAT AND CSP — ALGORITHMS

We can classify them into

### Complete

- If there is a solution, it is found
- Allows you to prove that a problem has no solution
  - If the algorithm ends without finding a solution
- Search with backtracking

### Incomplete

- Do not guarantee to find a solution
- Do not allow to prove that a problem has no solution
- Local minima problems
- Local search

## BACKTRACKING SEARCH

- Search space
  - Defined by possible assignments to variables
- Depth search
  - At each node the Heuristic chooses the variable to be assigned
    - In CSP this is done in 2 phases:
      - 1<sup>st</sup> choose the variable
      - 2<sup>nd</sup> choose the value to assign
  - Propagation of constraints (reduces the search space)
    - SAT: unit clause rule (BCP)
    - CSP: Arc consistency
  - Detects conflict and analyzes it
    - Non-chronological rewind
    - Learning
      - SAT: clause registration
      - CSP: nogoods register (not yet used)
  - Defines a search tree

## BACKTRACKING SEARCH — SAT

The evolution in this area was marked by the emergence of increasingly competitive (autonomous) solvers

- GRASP (1996), techniques that reduce the search space (BCP, conflict analysis, etc.)
- zChaff (2001), BCP optimization, lightweight conflict-based heuristics, frequent restarts
- Others, but based on the zChaff architecture:
  - MiniSat (2003)
  - SatElite (2005)
  - Rsat (2007)
  - PicoSat (2008) (new quick restarts policy)

## BACKTRACKING SEARCH — CSP

- The evolution in this area was towards creating tools (ILOG)
- And build applications that use them
- Problem dependent application
  - Heuristics use problem domain knowledge
  - Conflict analysis is problem specific
    - Difficult to build generic learning modules
- Generic solvers
  - Start to appear
  - XCSP



## LOCAL SEARCH — SAT

- The evolution in this area was marked by the emergence of increasingly competitive (autonomous) solvers.
- Start with a full assignment
  - They are changing (altering) the value of the variables
- GSAT (1992)
  - Performs multiple attempts (restarts)
  - Weights to escape local minimums
- WalkSat (1994)
  - GSAT + other exchange policies
  - Adaptive Novelty+ (2002)
- Genetic Algorithms
  - Various potential solutions
  - Computationally heavy
    - Improved with the use of Parallel Hardware (2006)

## LOCAL SEARCH — CSP

- Start with a full assignment
- Use a cost function
- Change the value of a variable or swap it with another variable
- GSAT type heuristic
- The stop conditions
  - Maximum number of iterations
  - Variations of the cost function
- Use Restarts
  - To exit local minima

## HEURISTIC DECISIONS

- The use of backtracking search algorithms
  - Making decisions about the variable to choose (and value)
  - Advance the search
  - In an informed way
  - Trying to direct the search to the solution
- A bad initial decision
  - Diverts the search
  - Lead to combinatorial explosions in the search tree
- The use of good heuristic decisions
  - Crucial for solving many problems
  - Reduce combinatorial explosion
- There are no 100% informed heuristics

## HEURISTIC DECISIONS — SAT

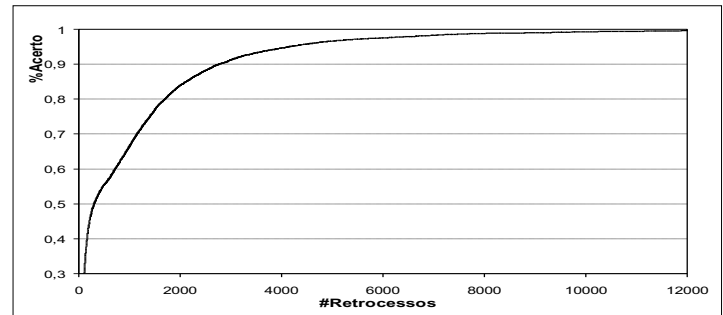
- DLIS
  - Literals appearing in more unresolved clause
- VSIDS (zChaff)
  - Counter for each literal, of conflict clauses
  - Periodically the counters are normalized
  - Prefers to satisfy (most recent) conflicts first
  - **Very fast!**
- Others based on VSIDS
  - BerkMin, also considers literals that contributed to the conflict
  - MiniSat, makes normalizations smoother (better results)
  - VMTF, uses a priority queue scheme
    - Shifting start literals that appear in recent conflicts
- All these heuristics use randomness
  - Tie cases or to minimize bad choices

## HEURISTIC DECISIONS — CSP

- 2 fases
  - Choice of variable
  - Choice of value (considered of marginal importance)
- Fail first principle
  - First try where it is likely to fail
- Dom – smallest number of remaining values
  - Chooses variables with less hypothesis (fewer values in the domain)
- Dom/ddeg
  - Privileges variables with small domains and many links
- Dom/wdeg
  - The importance of links is weighted with a weight
  - Weight increases whenever a constraint (link) is violated
  - Prioritizes heavily violated restrictions (conflict oriented)

## RESTARTS

- The runtimes of backtracking algorithms are characterized by **heavy-tail** distributions.
  - Using random heuristics
  - Sometimes the algorithm can take a long time



- Restarts strategy
  - Restarts the search whenever a setback threshold value is reached (**cutoff**)
    - Indicates that the algorithm is lost
  - Increments the cutoff value after each remainder
  - Keep the conflict clause between restarts (**learning**)

## RESTARTS — USAGE

- In SAT it is used in the best algorithms (zChaff)
  - Quick restarts
  - Learning between restarts (registration of conflict clauses)
  - Randomization (controlled)
- In CSP it is used in the best algorithms
  - Starts to be used
  - But with some problems
    - Heuristics without randomization
    - Conflict heuristics, with no-goods, but restarts
    - Generally, there is a deficient combination of techniques

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