

Lecture # 9

Counting Sort

Theorem 8.1

- *Any comparison-based sorting algorithm has worst-case running time $\Omega(n \log n)$*
 - Proof: (Book Page No 167, 2nd Edition)

- The lower bound implies that if we hope to sort numbers faster than $O(n \log n)$, we cannot do it by making comparisons alone.
- Is it possible to sort without making comparisons?
- The answer is yes, but only under very restrictive circumstances.

Counting Sort

- We will consider Counting Sort algorithm that is faster and work by not making comparisons.
- Counting sort assumes that the numbers to be sorted are in the range 1 to k where k is small. The basic idea is to determine the rank of each number in final sorted array.
- The rank of an item is the *number of elements that are less than or equal to it*.
- Once we know the ranks, we simply copy numbers to their final position in an output array.

Counting Sort

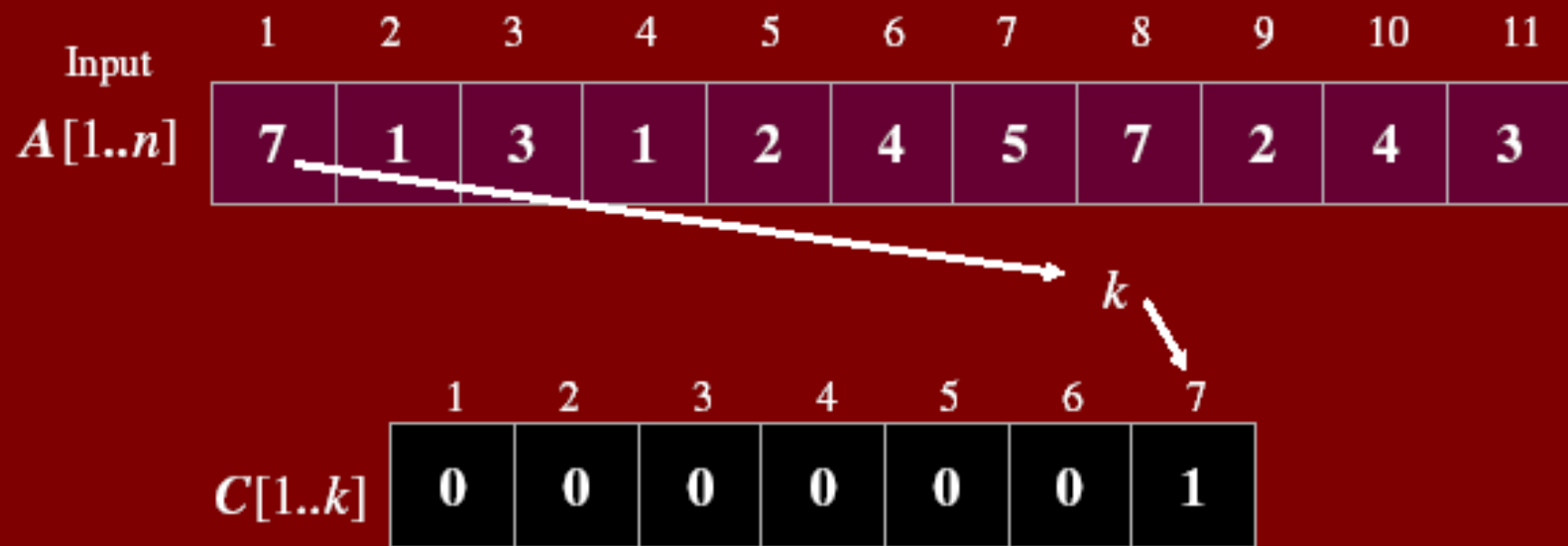
- The algorithm uses three arrays. As usual,
- $A[1..n]$ holds the initial input,
- $B[1..n]$ holds the sorted output and
- $C[1..k]$ is an array of integers. $C[x]$ is the rank of x in A , where $x \in [1..k]$.

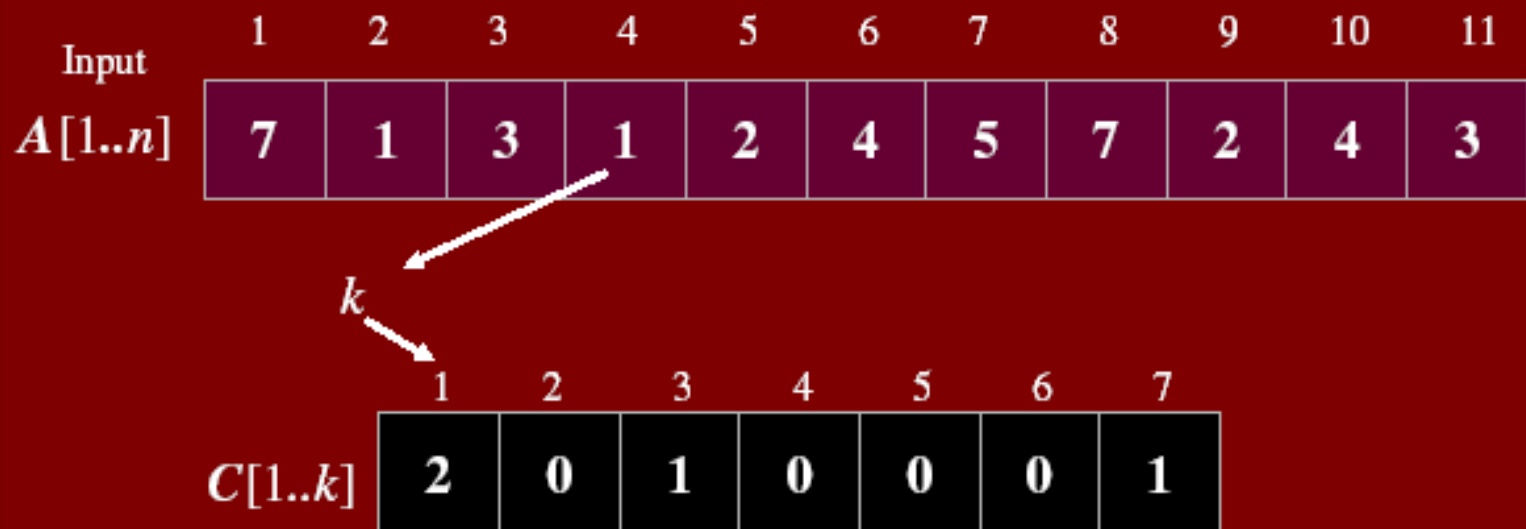
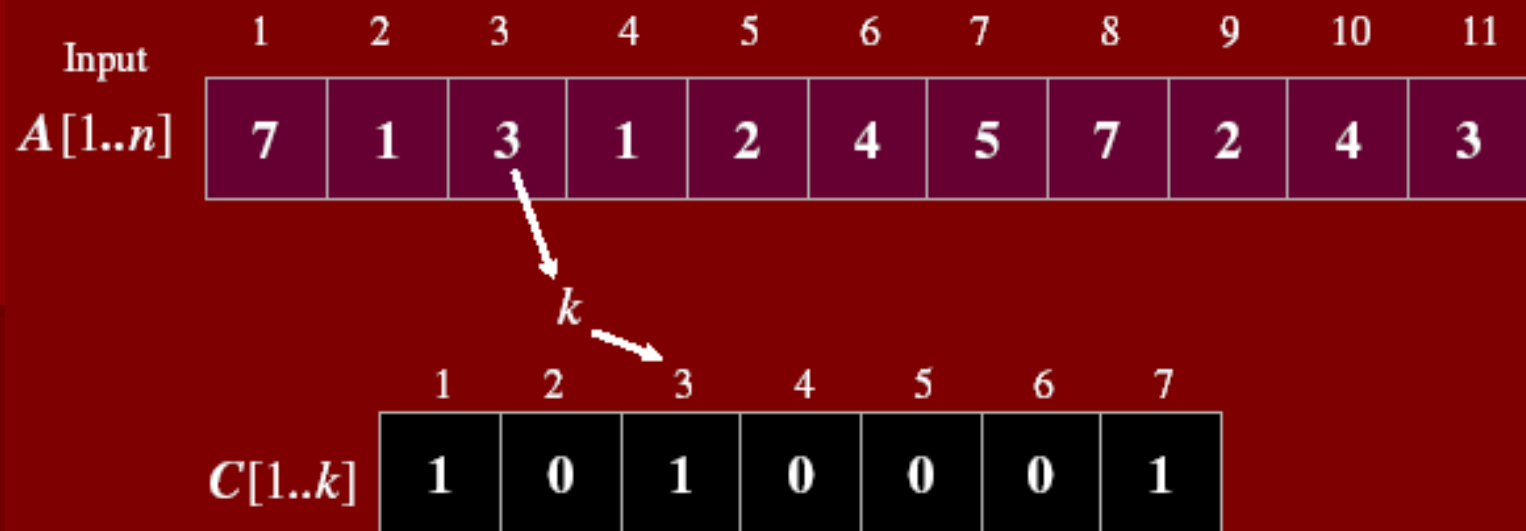
Example

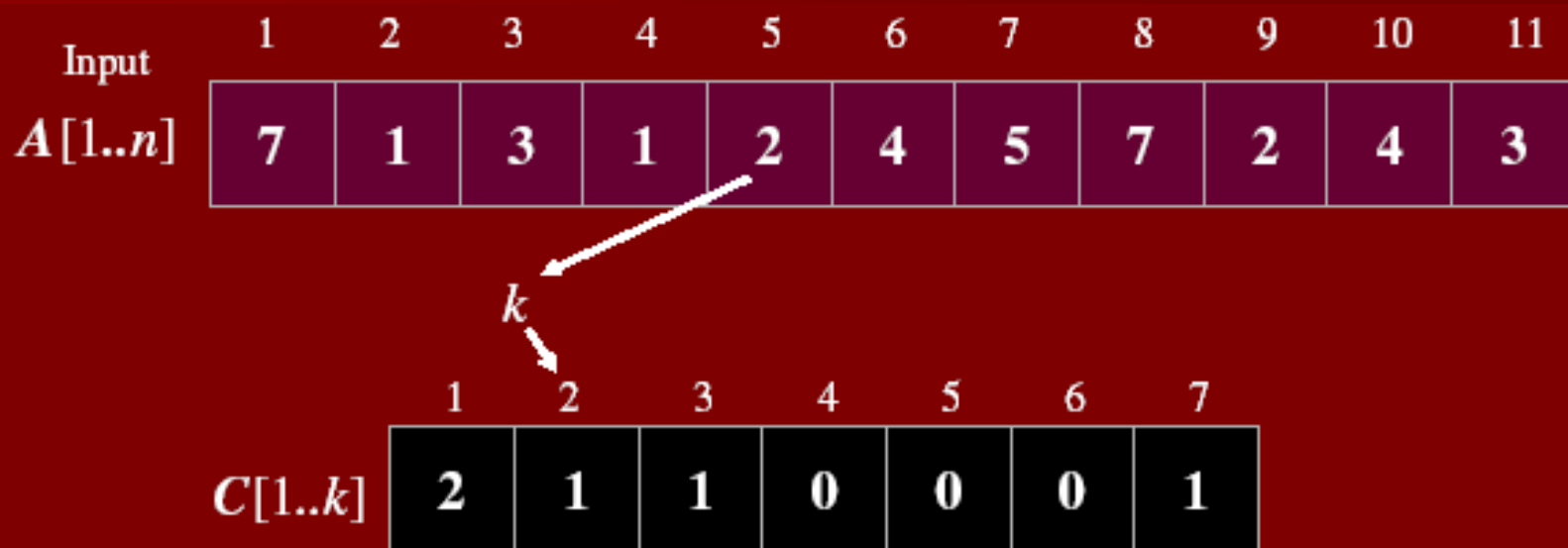
| | | | | | | | | | | | |
|-----------|---|---|---|---|---|---|---|---|---|----|----|
| Input | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| $A[1..n]$ | 7 | 1 | 3 | 1 | 2 | 4 | 5 | 7 | 2 | 4 | 3 |

$k = 7$

| | | | | | | | |
|-----------|---|---|---|---|---|---|---|
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| $C[1..k]$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 |







| | | | | | | | | | | | |
|-----------|---|---|---|---|---|---|---|---|---|----|----|
| Input | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| $A[1..n]$ | 7 | 1 | 3 | 1 | 2 | 4 | 5 | 7 | 2 | 4 | 3 |

| | | | | | | | |
|-----------|---|---|---|---|---|---|---|
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| $C[1..k]$ | 2 | 2 | 2 | 2 | 1 | 0 | 2 |

for $i = 2$ to 7
 do $C[i] = C[i] + C[i-1]$

| | | | | | | | |
|-----|---|---|---|---|---|---|----|
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| C | 2 | 4 | 6 | 8 | 9 | 9 | 11 |

↓
 6 elements ≤ 3

| | | | | | | | | | | | |
|-----------|---|---|---|---|---|---|---|---|---|----|----|
| Input | | | | | | | | | | | |
| $A[1..n]$ | 7 | 1 | 3 | 1 | 2 | 4 | 5 | 7 | 2 | 4 | 3 |
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| Output | | | | | | | | | | | |
| $B[1..n]$ | | | | | | 3 | | | | | |

$$B[6] = B[C[3]] = B[C[A[11]]] = A[11] = 3$$

| | | | | | | | |
|-----|---|---|---|---|---|---|----|
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| C | 2 | 4 | 6 | 8 | 9 | 9 | 11 |

$$C[A[11]] = C[A[11]] - 1$$

| | | | | | | | |
|-----|---|---|---|---|---|---|----|
| C | 2 | 4 | 5 | 8 | 9 | 9 | 11 |
|-----|---|---|---|---|---|---|----|

| | | | | | | | | | | | |
|-----------|---|---|---|---|---|---|---|---|---|----|----|
| Input | | | | | | | | | | | |
| $A[1..n]$ | 7 | 1 | 3 | 1 | 2 | 4 | 5 | 7 | 2 | 4 | 3 |
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| Output | | | | | | | | | | | |
| $B[1..n]$ | | | | | | 3 | | 4 | | | |

$B[8] = B[C[4]] = B[C[A[10]]] = A[10] = 4$

| | | | | | | | |
|-----|---|---|---|---|---|---|----|
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| C | 2 | 4 | 5 | 8 | 9 | 9 | 11 |

$C[A[10]] = C[A[10]] - 1$

| | | | | | | | |
|-----|---|---|---|---|---|---|----|
| C | 2 | 4 | 5 | 7 | 9 | 9 | 11 |
|-----|---|---|---|---|---|---|----|

| | | | | | | | | | | | |
|-----------|---|---|---|---|---|---|---|---|---|----|----|
| Input | | | | | | | | | | | |
| $A[1..n]$ | 7 | 1 | 3 | 1 | 2 | 4 | 5 | 7 | 2 | 4 | 3 |
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| Output | | | | | | | | | | | |
| $B[1..n]$ | | | | 2 | | 3 | | 4 | | | |

$B[4] = B[C[2]] = B[C[A[9]]] = A[9] = 2$

| | | | | | | | |
|-----|---|---|---|---|---|---|----|
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| C | 2 | 4 | 5 | 7 | 9 | 9 | 11 |

$C[A[9]] = C[A[9]] - 1$

| | | | | | | | |
|-----|---|---|---|---|---|---|----|
| C | 2 | 3 | 5 | 7 | 9 | 9 | 11 |
|-----|---|---|---|---|---|---|----|

Input

$$A[1..n]$$

| | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|----|----|
| 7 | 1 | 3 | 1 | 2 | 4 | 5 | 7 | 2 | 4 | 3 |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |

Output

$$B[1..n]$$

| | | | | | | | | | | |
|--|--|--|---|--|---|--|---|--|--|---|
| | | | 2 | | 3 | | 4 | | | 7 |
|--|--|--|---|--|---|--|---|--|--|---|

$$B[11] = B[C[7]] = B[C[A[8]]] = A[8] = 7$$

C

| 1 | 2 | 3 | 4 | 5 | 6 | 7 |
|---|---|---|---|---|---|----|
| 2 | 3 | 5 | 7 | 9 | 9 | 11 |

C

| | | | | | | |
|---|---|---|---|---|---|----|
| 2 | 3 | 5 | 7 | 9 | 9 | 10 |
|---|---|---|---|---|---|----|

$$C[A[8]] = C[A[8]] - 1$$

[illegible]

$$B[9] = B[C[5]] = B[C[A[7]]] = A[7] = 5$$

| | | | | | | | |
|-----|---|---|---|---|---|---|----|
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| C | 2 | 3 | 5 | 7 | 9 | 9 | 10 |

$$C[A[5]] = C[A[5]] - 1$$

| | | | | | | | |
|-----|---|---|---|---|---|---|----|
| C | 2 | 3 | 5 | 7 | 8 | 9 | 10 |
|-----|---|---|---|---|---|---|----|

| | | | | | | | | | | | |
|-----------|---|---|---|---|---|---|---|---|---|----|----|
| Input | | | | | | | | | | | |
| $A[1..n]$ | 7 | 1 | 3 | 1 | 2 | 4 | 5 | 7 | 2 | 4 | 3 |
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| Output | | | | | | | | | | | |
| $B[1..n]$ | | | | 2 | | 3 | 4 | 4 | 5 | | 7 |

$$B[7] = B[C[4]] = B[C[A[6]]] = A[6] = 4$$

| | | | | | | | |
|-----|---|---|---|---|---|---|----|
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| C | 2 | 3 | 5 | 7 | 8 | 9 | 10 |

$$C[A[6]] = C[A[6]] - 1$$

| | | | | | | | |
|-----|---|---|---|---|---|---|----|
| C | 2 | 3 | 5 | 6 | 8 | 9 | 10 |
|-----|---|---|---|---|---|---|----|

| | | | | | | | | | | | |
|-----------|---|---|---|---|---|---|---|---|---|----|----|
| Input | | | | | | | | | | | |
| $A[1..n]$ | 7 | 1 | 3 | 1 | 2 | 4 | 5 | 7 | 2 | 4 | 3 |
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| Output | | | | | | | | | | | |
| $B[1..n]$ | | | 2 | 2 | | 3 | 4 | 4 | 5 | | 7 |

$$B[3] = B[C[2]] = B[C[A[5]]] = A[5] = 2$$

| | | | | | | | |
|-----|---|---|---|---|---|---|----|
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| C | 2 | 3 | 5 | 7 | 8 | 9 | 10 |

$$C[A[5]] = C[A[5]] - 1$$

| | | | | | | | |
|-----|---|---|---|---|---|---|----|
| C | 2 | 2 | 5 | 6 | 8 | 9 | 10 |
|-----|---|---|---|---|---|---|----|

| | | | | | | | | | | | |
|-----------|---|---|---|---|---|---|---|---|---|----|----|
| Input | | | | | | | | | | | |
| $A[1..n]$ | 7 | 1 | 3 | 1 | 2 | 4 | 5 | 7 | 2 | 4 | 3 |
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| Output | | | | | | | | | | | |
| $B[1..n]$ | | 1 | 2 | 2 | | 3 | 4 | 4 | 5 | | 7 |

$$B[2] = B[C[1]] = B[C[A[4]]] = A[4] = 1$$

| | | | | | | | |
|-----|---|---|---|---|---|---|----|
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| C | 2 | 2 | 5 | 7 | 8 | 9 | 10 |

$$C[A[4]] = C[A[4]] - 1$$

| | | | | | | | |
|-----|---|---|---|---|---|---|----|
| C | 1 | 2 | 5 | 6 | 8 | 9 | 10 |
|-----|---|---|---|---|---|---|----|

| | | | | | | | | | | | |
|-----------|---|---|---|---|---|---|---|---|---|----|----|
| Input | | | | | | | | | | | |
| $A[1..n]$ | 7 | 1 | 3 | 1 | 2 | 4 | 5 | 7 | 2 | 4 | 3 |
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| Output | | | | | | | | | | | |
| $B[1..n]$ | | 1 | 2 | 2 | 3 | 3 | 4 | 4 | 5 | | 7 |

$$B[5] = B[C[3]] = B[C[A[3]]] = A[3] = 3$$

| | | | | | | | |
|-----|---|---|---|---|---|---|----|
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| C | 1 | 2 | 5 | 7 | 8 | 9 | 10 |

$$C[A[3]] = C[A[3]] - 1$$

| | | | | | | | |
|-----|---|---|---|---|---|---|----|
| C | 1 | 2 | 4 | 6 | 8 | 9 | 10 |
|-----|---|---|---|---|---|---|----|

| | | | | | | | | | | | |
|-----------|---|---|---|---|---|---|---|---|---|----|----|
| Input | | | | | | | | | | | |
| $A[1..n]$ | 7 | 1 | 3 | 1 | 2 | 4 | 5 | 7 | 2 | 4 | 3 |
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |

| | | | | | | | | | | | |
|-----------|---|---|---|---|---|---|---|---|---|--|---|
| Output | | | | | | | | | | | |
| $B[1..n]$ | 1 | 1 | 2 | 2 | 3 | 3 | 4 | 4 | 5 | | 7 |

$B[1] = B[C[1]] = B[C[A[2]]] = A[2] = 1$

| | | | | | | | |
|-----|---|---|---|---|---|---|----|
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| C | 1 | 2 | 4 | 7 | 8 | 9 | 10 |

$C[A[3]] = C[A[3]] - 1$

| | | | | | | | |
|-----|---|---|---|---|---|---|----|
| C | 0 | 2 | 4 | 6 | 8 | 9 | 10 |
|-----|---|---|---|---|---|---|----|

| | | | | | | | | | | | |
|-----------|---|---|---|---|---|---|---|---|---|----|----|
| Input | | | | | | | | | | | |
| $A[1..n]$ | 7 | 1 | 3 | 1 | 2 | 4 | 5 | 7 | 2 | 4 | 3 |
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| Output | | | | | | | | | | | |
| $B[1..n]$ | 1 | 1 | 2 | 2 | 3 | 3 | 4 | 4 | 5 | 7 | 7 |

$$B[10] = B[C[7]] = B[C[A[1]]] = A[1] = 7$$

| | | | | | | | |
|-----|---|---|---|---|---|---|----|
| | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| C | 0 | 2 | 4 | 7 | 8 | 9 | 10 |

$$C[A[1]] = C[A[1]] - 1$$

| | | | | | | | |
|-----|---|---|---|---|---|---|---|
| C | 0 | 2 | 4 | 6 | 8 | 9 | 9 |
|-----|---|---|---|---|---|---|---|

Counting Sort Algorithm

```
COUNTING-SORT( array A, array B, int k)
1  for i  $\leftarrow$  1 to k
2  do C[i]  $\leftarrow$  0      k times
3  for j  $\leftarrow$  1 to length[A]
4  do C[A[j]]  $\leftarrow$  C[A[j]] + 1      n times
5  // C[i] now contains the number of elements = i
6  for i  $\leftarrow$  2 to k
7  do C[i]  $\leftarrow$  C[i] + C[i - 1]      k times
8  // C[i] now contains the number of elements  $\leq$  i
9  for j  $\leftarrow$  length[A] downto 1
10 do B[C[A[j]]]  $\leftarrow$  A[j]
11    C[A[j]]  $\leftarrow$  C[A[j]] - 1      n times
```

- There are four (unnested) loops, executed k times, n times, $k - 1$ times, and n times, respectively,
- so the total running time is **$\Theta(n + k)$** time.
- If $k = \Theta(n)$, then the total running time is **$\Theta(n)$** .